



**Homeland  
Security**

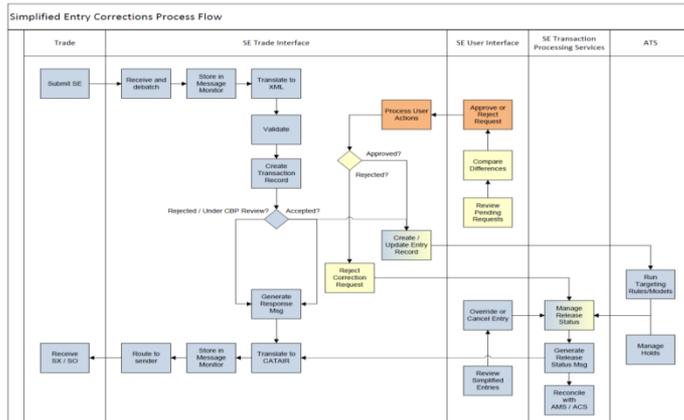
# Corrections and Cancellations

**ACE SOFTWARE DEVELOPER SESSION**

**Tuesday, May 21, 2013**

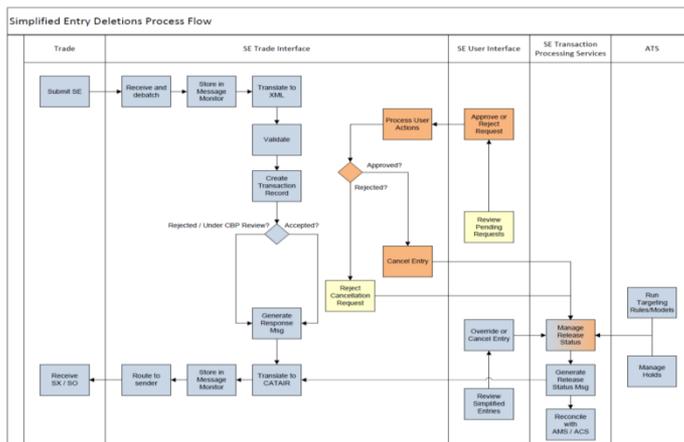
**Sheraton Baltimore Washington Airport**

## Process Flow – Corrections



- Ability for the Trade to apply for the Correction and Cancellation of existing Simplified Entries (SE) via EDI
  - Updated Implementation Guide (IG) documentation
  - Integration of DIS
  - Updated Status Notification IG
  - New Business Rules added in for transaction processing.

## Process Flow - Cancellations



- Ability for CBP Officer to process these EDI requests
  - New User Interface screens for CBPO
  - Hot Lists (filtered by port for the CBPO)
  - Ability to send Request adjudication results to the Trade with both standard messages and customized comments

# Correction Request

- Trade submits SE transaction with “R” (Replace) Action in **SE10 Record**
  - Submission must include filer contact name and contact phone number.
- Some default SX messaging:
  - *No Correction after arrival and release*
  - *Existing pending action must be concluded before additional Correction requests will be considered*
  - *Correction request will be held in pending status if SE is in Document Review or Intensive exam status*
- CBP Officer processes Correction requests
  - New User Interface screens for CBPO
  - CBPO can **Accept** or **Reject** the submission
  - Ability to send request adjudication results to the Trade with both standard messages and customized comments

## Correction Request (cont.)

- If upon review of the Correction request, the CPBO **Accepts** the Correction, then the SE filer will be notified via the SO message.
  - The SE will be versioned and the Correction request becomes the active entry record.
- CBPO has a selection of standard **reasons for Rejecting** a Correction request:

Valid reason codes for rejecting a correction request:

- 05** Additional information required via DIS.
- 06** Unable to verify CBP disposition for full bill quantity on original entry.
- 09** Original entry is on hold. Hold must be resolved prior to correction / deletion request.
- 10** Original entry is on PGA hold. Hold must be resolved by PGA prior to correction / deletion request.
- 11** Other (See comments).

## Correction Request (cont.)

- If upon review of the Correction request, the CBPO Rejects the Correction, then the SE filer will be notified via the SO message.
- Note: If a Request is Rejected, then a new Request must be submitted to pursue Correction action. *Each Request submission stands on its on.*

\*(Please refer to the Simplified Entry Status Notification Implementation Guide)

# Cancellation Request

- Trade submits SE transaction with “D” (Delete/Cancel) Action in **SE10 Record**
  - Submission must include filer contact name and contact phone number.
- If the “D” action is submitted before any bill match, then the SE will be deleted from the SE system and the filer may reuse the entry number for another entry submission.
- If the “D” action is submitted after bill match, then this becomes a Cancellation Request, and will be reviewed by CBP personnel prior to accepting or rejecting the request.
- CBP Officer processes Cancellation requests
  - New User Interface screens for CBPO
  - CBPO can **Accept** or **Reject** the submission
  - Ability to send request adjudication results to the Trade with both standard messages and customized comments

## Cancellation Request (cont.)

- The Cancellation Request must include the following in the SE20 Record:
  - **RSN** Reason Code for the Deletion request
  - **REF** Reference data related to the Deletion Reason Code
  - **NAM** Contact Name for the Correction / Deletion request
  - **PHN** Phone Number for the Correction / Deletion request
  - **IND** Indicator Code specifying various conditions that apply to the request

- The Cancellation Request must include the following in the SE20 Record:
  - **RSN** Reason Code for the Deletion request

Valid deletion request reason codes are:

**Code Description**

- 01** Clerical error
- 02** Entry replaced by CBPF 7512 (replacement in-bond number required)
- 03** Merchandise cleared under another entry (replacement entry number required)
- 04** Entry replaced by FTZ Admission (replacement FTZ Admission Number required)
- 05** Merchandise cleared under informal entry
- 06** Merchandise seized
- 07** Merchandise destroyed
- 08** Non-arrival
- 09** Shipment refused by importer
- 10** Shipment not authorized for import
- 11** System error

- The Cancellation Request must include the following in the SE20 Record:
  - **RSN** Reason Code for the Deletion request
  - **REF** Reference data related to the Deletion Reason Code

**REF** is the reference data provided by the filer in relation to the reason for the deletion request.

Reason  
Code

- 02 The replacement **In-bond Number** that is on file with CBP must be provided.
- 03 The replacement **Entry Number** that is on file with CBP must be provided.
- 04 The replacement **FTZ Admission Number** that is on file with CBP must be provided.

- The Cancellation Request must include the following in the SE20 Record:
  - **RSN** Reason Code for the Deletion request
  - **REF** Reference data related to the Deletion Reason Code
  - **NAM** Contact Name for the Correction / Deletion request
  - **PHN** Phone Number for the Correction / Deletion request
  - **IND** Indicator Code specifying various conditions that apply to the request

Valid indicator codes are:

**Code Description**

- |           |   |
|-----------|---|
| <b>01</b> | Documents have been submitted via the Document Imaging System (DIS) |
| <b>02</b> | Multiple other cargo dispositions                                   |

- The filer has the opportunity to include IND = 01, which informs CBP that a DIS submission has been made in connection with this Cancellation Request.
- The filer has the opportunity to include IND = 02, which informs CBP that there are multiple transactions which together represent replacement of the SE.

## Cancellation Request (cont.)

- If upon review of the Cancellation request, the CPBO **Accepts** the Cancellation , then the SE filer will be notified via the SO message.
  - The SE transaction will now be in Cancelled status.
  - The associated entry number cannot be reused for SE, Entry Summary or for an ACS entry.

## Cancellation Request (cont.)

- CBPO has a selection of standard **reasons for Rejecting** a Cancellation request which may include:

Valid reason codes for rejecting a Cancellation request:

- 01** Provided replacement entry is in cancelled status.
- 02** Provided replacement entry is in open status.
- 03** Provided replacement in-bond is in deleted status.
- 04** Requested document is not in DIS.
- 05** Additional information required via DIS.
- 06** Unable to verify CBP disposition for full bill quantity on original entry.
- 07** Provided replacement FTZ Admission is in deleted status.
- 08** Provided replacement FTZ Admission is in open status.
- 09** Original entry is on hold. Hold must be resolved prior to correction / deletion request.
- 10** Original entry is on PGA hold. Hold must be resolved by PGA prior to correction / deletion request.
- 11** Other (See comments).

## Cancellation Request (cont.)

- If upon review of the Cancellationrequest, the CBPO Rejects the Cancellation, then the SE filer will be notified via the SO message.
- Note: If a Request is Rejected, then a new Request must be submitted to pursue Cancellation action. *Each* Request submission stands on its on.

\*(Please refer to the Simplified Entry Status Notification Implementation Guide)



# U.S. Customs and Border Protection