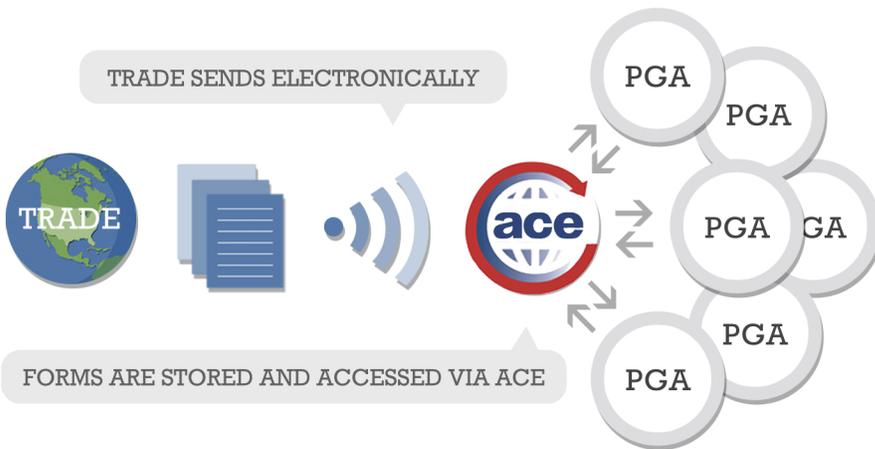


ACE SINGLE WINDOW DOCUMENT IMAGE SYSTEM

The Automated Commercial Environment (ACE) will become the Single Window, the one system through which the international trade community submits import and export information and the Government determines admissibility. The Document Image System (DIS) is an ACE feature that eliminates the need to send paper forms to the Government in order to comply with import and export regulations.

HOW DOES DIS WORK?



WHAT FORMS CAN BE SENT VIA DIS?

- General forms not specific to any agency, such as invoices or packing lists
- CBP forms to support Cargo Release/Simplified Entry
- Ocean export manifests
- Specific forms for six initial Partner Government Agencies (PGAs)

BENEFITS OF ELECTRONIC FORM SUBMISSION

FASTER
REVIEW AND
RELEASE OF
CARGO

ELIMINATION
OF PAPER

COST
SAVINGS FROM
ELECTRONIC
PROCESSING

HOW DO I START USING DIS?

- TO FILE IMPORT FORMS, BECOME AN ACE ENTRY SUMMARY FILER**
- CALL YOUR CBP CLIENT REPRESENTATIVE**
- CALL YOUR BROKER OR SERVICE PROVIDER**

PLANS FOR THE FUTURE?

AS ACE DEVELOPMENT MOVES FORWARD, MORE PGA FORMS WILL BE ELIGIBLE FOR SUBMISSION VIA DIS.

FILERS SHOULD GET ON BOARD NOW AS THE NUMBER OF FORMS AND RESULTING BENEFITS WILL CONTINUE TO GROW.



ACE SINGLE WINDOW DOCUMENT IMAGE SYSTEM (DIS) PILOT

KEY FEATURES OF THE EXPANDED DIS PILOT

- Reduced data submission requirements. The only data elements required are sender, request, document, and associated transaction.
- More Partner Government Agency (PGA) forms eligible for electronic submission via DIS.
- Ability to submit specific Animal and Plant Health Inspection Service forms at time of manifest.
- Ability for software providers to electronically transmit data received for transmission to CBP.

METHODS FOR SUBMITTING FORMS TO DIS

- **ELECTRONIC DATA INTERCHANGE (EDI)** – EDI transmission in XML format is the preferred mechanism of document transmission via DIS.
- **EMAIL** – Ocean export manifest documents can only be submitted via email; Simplified Entry documents can be submitted via email or EDI.

ELIGIBILITY REQUIREMENTS

To transmit import forms via DIS, participants must be ACE Entry Summary filers. Emailed submission of ocean export manifest forms is available to all ocean carriers.

FORMS ELIGIBLE FOR SUBMISSION VIA DIS

AGENCY	FORMS
General Forms, not specific to any one agency	<ul style="list-style-type: none"> • Phytosanitary Certificate • Ingredients List • Commercial Invoice • Packing List • Invoice Working Sheet
Forms to Support Cargo Release/Simplified Entry	<ul style="list-style-type: none"> • Packing List • Commercial Invoice • Passport/Driver's License/Government-Issued ID • CBP Form 3299 Declaration for Free Entry of Unaccompanied Articles • CBP Form 4455 Certificate of Registration • CBP Form 4457 Certificate of Registration for Personal Effects Taken Abroad • CBP Form 3229 Certificate of Origin • Vehicle Title, Certificate • Permit • Other documents to support CBP Form 3461 Entry/Immediate Delivery
Ocean Export Manifest (submitted via email)	<ul style="list-style-type: none"> • Export Manifest (1302A) including Roll On/Roll Off (RO/RO) Export Manifests • Bills of Lading • Declaration of Empties • Images, text files, or spreadsheet files • Any additional supporting documents; letters etc.
Animal and Plant Health Inspection Service (APHIS)*	<ul style="list-style-type: none"> • Plant Protection and Quarantine (PPQ) Form 368 Notice of Arrival • PPQ Form 587 Permit to Import Plants or Plant Products • PPQ Form 586 Permit To Transit Plants and/or Plant Products, Plant Pests, and/or Associated Soil Through The United States • PPQ Form 203 Foreign Site Certificate of Inspection and/or Treatment
Centers for Disease Control and Prevention (CDC)	<ul style="list-style-type: none"> • Importation Permission Letter • Permit Exemption Letter • CDC Form 0728 Permit to Import or Transfer Etiological Agents or Vectors of Human Disease
Defense Contract Management Agency	<ul style="list-style-type: none"> • Certificate of Duty Free Entry
Environmental Protection Agency (EPA)	<ul style="list-style-type: none"> • Pesticide Label • EPA 3540-1 Notice of Arrival of Pesticides and Devices • Pre-Approved Vehicle/Engine Exemption • EPA 3520-21 Importation of Motor Vehicles and Engines (off-road) • EPA 3520-1 Importation of Motor Vehicles and Engines (on-road) • Toxic Substances Control Act (TSCA) Import Certification Form
Food Safety and Inspection Service (FSIS)	<ul style="list-style-type: none"> • FSIS Form 9010-1 Application for the Return of Exported Products to the United States • FSIS Form 9060-5 Meat and Poultry Export Certificate of Wholesomeness • FSIS Form 9540-4 Shipper Notification – Importation of Undenatured Inedible Meat Product • FSIS Form 9540-5 Notification of Intent
National Oceanic and Atmospheric Administration (NOAA)	<ul style="list-style-type: none"> • Toothfish Pre-Approval • NOAA 370 Fisheries Certificate of Origin

* APHIS DOCUMENTS MAY BE TRANSMITTED AT THE TIME OF MANIFEST

