
CBP ITDS CONOPS Briefing webinar

April 8, 2010



U.S. Customs and
Border Protection

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AGENDA



- What is a CONOPS?
- Why did CBP do a CONOPS for the Cargo Process?
- How did we develop the CBP CONOPS?
- What assumptions were made in the CONOPS?
- What are the significant cargo process changes?
- Next Steps



What is a CONOPS?

Definition:

A Concept of Operations is a high level description of the business processes and needs. It is the basic building block of an IT project and constructs the operational overview, depicting how the business (customer) expects the system to respond.

Advantages:

- Visualizes future processes and their interactions
- Highlights new functionality needed
- Gains approval by policy makers
- Uncovers difficult decisions up front
- Supports funding requests



Why did CBP Do the CONOPS Now?

The CBP ITDS CONOPS was prepared for several reasons:

- Show commitment by CBP to fully incorporate PGAs into the future vision of ACE
- Document the future vision of ACE CBP interagency cargo processing from beginning to end including Manifest, Entry and Post Entry operations
- Identify and resolve key decisions
- Use a multi-disciplinary group that developed consensus on the expectations of the functionality including legal and policy issues
- Demonstrate CBP commitment to the “Single Window” concept for the international trade community



How did we Develop the CONOPS?

- Task Force under direction of Assistant Commissioner, Office of International Trade
- 3-month initiative completed on December 31, 2009
- Consultation with Participating Government Agencies
- Briefings with and Comments by the trade community
 - TSN
 - NCBFAA
 - AAEI
 - COAC

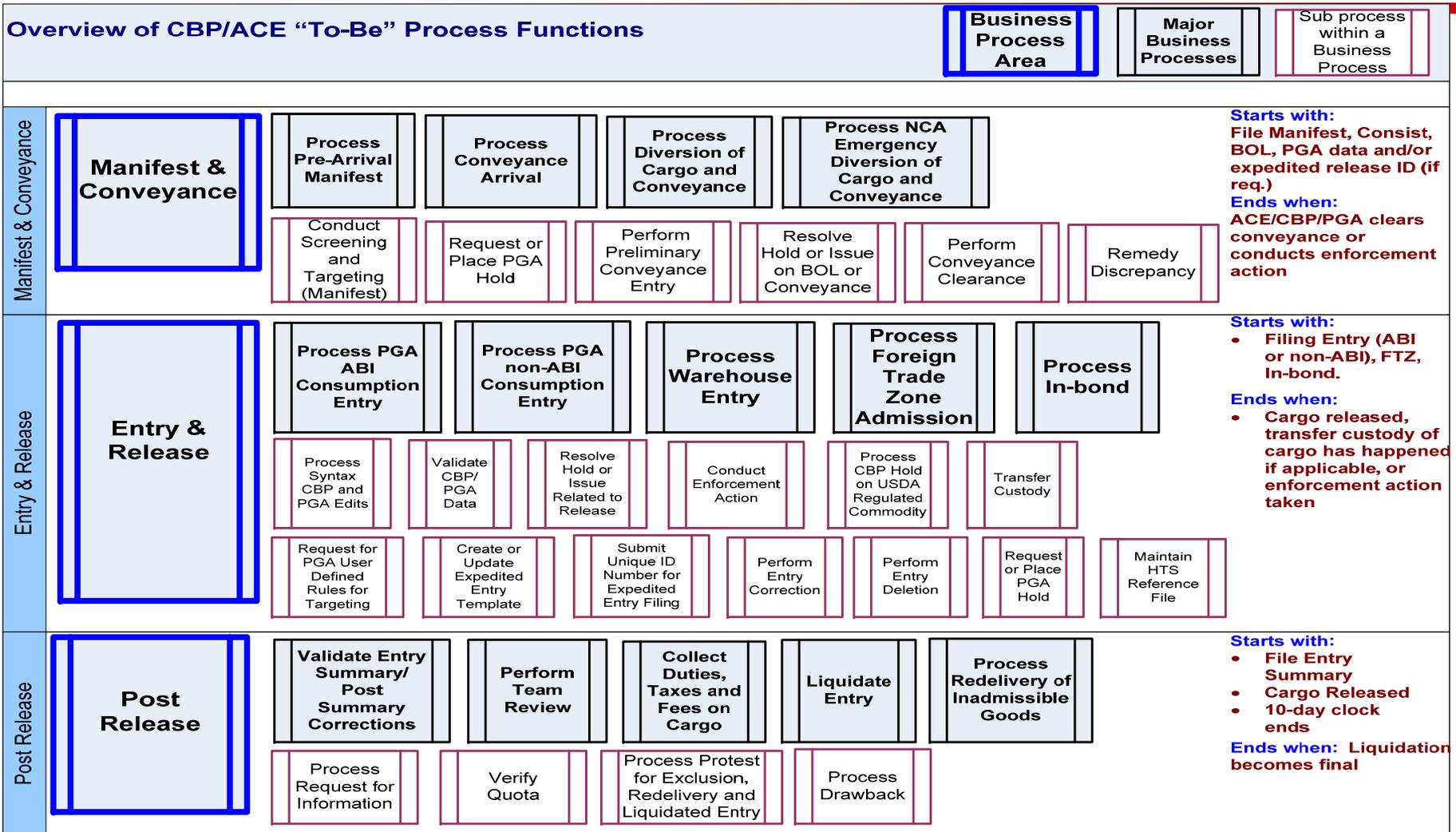


Status of CBP ITDS CONOPS

- Approved by CBP's Executive Steering Committee on January 5, 2010
- Continued development through July 2010.



CBP/ACE "To-Be" Process Functions



Key Assumptions in CBP ITDS CONOPS

The following key assumptions were made:

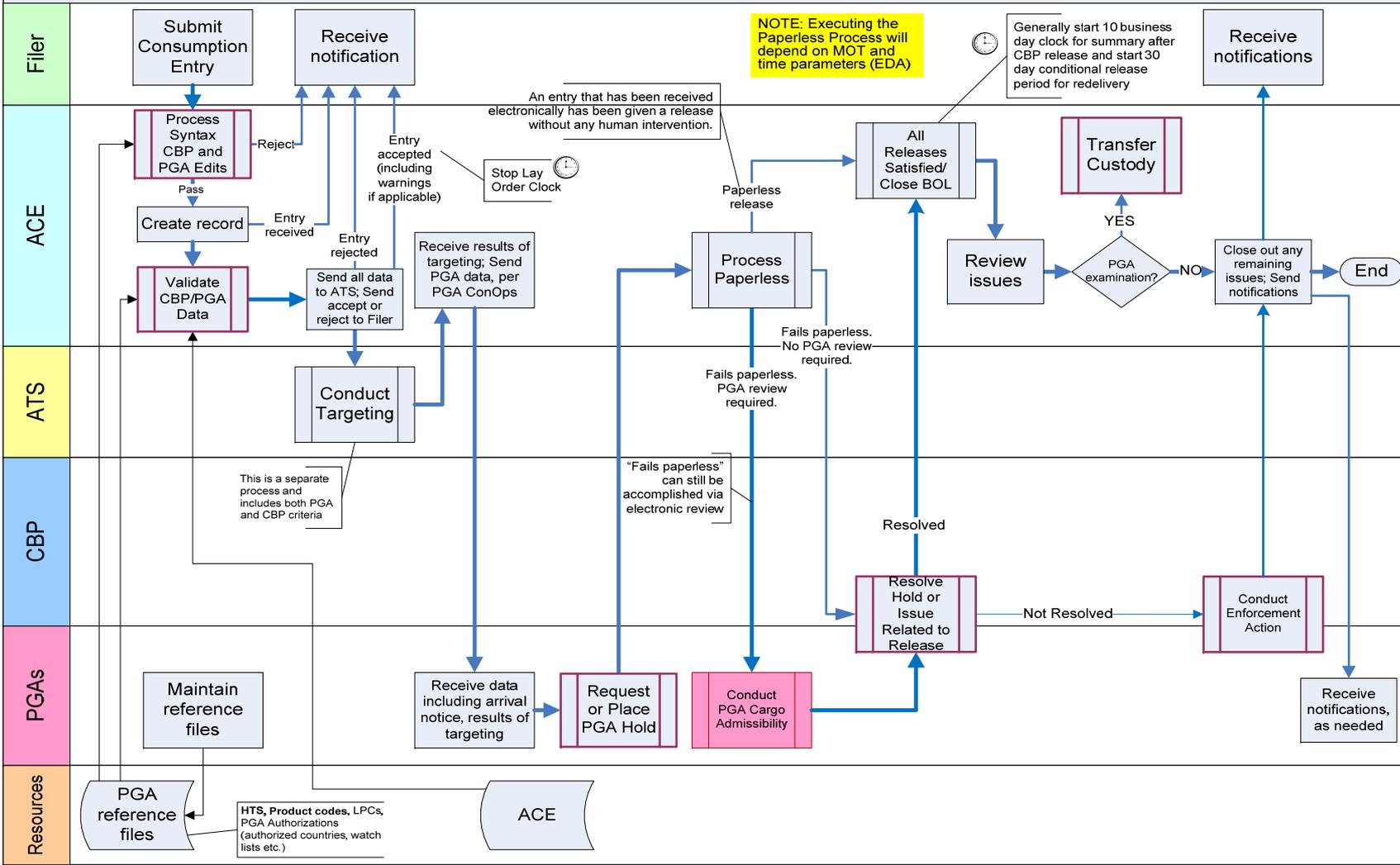
- PGA data will be collected electronically
 - ABI will be expanded with PG Message Set to meet the data needs of PGAs
 - Product identification codes and unique party identification will be collected as required by the PGAs
- PGAs will be responsible for promulgating their own regulations regarding the electronic submission of their specific data
- PGAs may require additional information from the carrier and/or filer in accordance with their own regulations



CBP: Process PGA ABI Consumption Entry TO-BE

Complex Process (not diagramed)	Diagramed as Separate Process	See PGA ConOps
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Process Area: Entry & Release



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What are the significant cargo process changes? New for Manifest and Conveyance

- Processes for all modes of transport modeled on Ocean/Rail Manifest (M-1) functions and business rules
- Process for placing and removing PGA Holds on manifest and conveyance
- ACE will accept electronic notice of conveyance arrival
- Port Change processes (Emergency and Requested)
 - Change of filer code with retention of Manifest and Entry data
 - Would require broker to have National Broker Permit
- Recognition of an Expedited Entry Process



New for Entry/Release

- Critical HTS flags will be maintained by PGAs
- Single Release and notifications
 - Release may be contingent upon multiple agencies but CBP retains “final release” authority
 - Status notifications of agencies holding merchandise and agencies that will have further interest after CBP release will be sent by ACE to the trade
 - Trade community receives one ACE release notification
 - Carrier and Broker notified of release at the same time



New for Entry/Release (cont.)

- ISSUE (New terminology)
 - PGAs without release authority may set an ISSUE that notifies the trade that further government review required, such as an examination
 - Trade interacts directly with PGAs, unless CBP acts on behalf of a PGA
- Release to PGA with ISSUE
 - Status Notifications to notify the broker of government agencies involved beyond release
 - A **final status notification** will be sent when all agency requirements have been met
- Transfer of Custody/Liability
 - Transfer obligates importer or broker bond
 - Further tracking mechanism for agencies that do not effect release, such as FSIS
 - Offsite examination locations will have FIRMS codes
 - The transfer will be arrived by the facility that accepts the merchandise
 - PGA will acknowledge receipt at facility
- Automated Entry Correction/Deletion process is correlated with Entry Summary Processing



New for Post Release

- Automation of PGA re-delivery process to track merchandise refused entry
 - Assumption: PGAs will need to use ACE for the redelivery process to preserve obligation on the importer's bond
- Post Summary Corrections (to be delivered with release A2.3.1b)
- PGAs may send requests for information and receive the responses from the filer / importer through ACE
- Revised electronic Drawback process requires passage of Drawback reform legislation
- CBP will record protest decisions in ACE



CBP ITDS CONOPS Phase 2

- Beginning in January 2010, six PGAs will be mapped to the CBP ITDS CONOPS to verify applicability of processes and document discovered gaps:
 - Currently underway:
 - APHIS – Highly integrated with CBPAS processes
 - NMFS
 - EPA
 - FSIS
 - FDA
 - USCG- Key DHS partner agency
 - Projected – depending on approval of PGA CONOPS and resource time:
 - CPSC
- Mapping will provide assurance and lay groundwork for requirements building



Questions?

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