



**Data Samples and Guidelines for Using the PGA Message Set
for Electronic Completion of the U.S. Department of
Agriculture (USDA), Food Safety Inspection Service (FSIS)
Application for Import Inspection (FSIS Form 9540-1)**

February 2013

Summary

This document is intended as a guide to understanding the United State Department of Agriculture's (USDA) Food Safety and Inspection Service (FSIS) data requirements when an Automated Broker Interface (ABI) filer (broker or self-filing importer) is using the Automated Commercial Environment (ACE) System of Customs and Border Protection (CBP) to provide PGA Message Set data. Specifically this covers the data to be provided when a filer is submitting an **Entry Summary certified for Cargo Release** that relates to FSIS.

This document also serves a guide to those filers that are interested in participating in FSIS's pilot program announced in the *Federal Register* (Docket Number FSIS-2012-0037).

The CBP Customs and Trade Automated Interface Requirements (CATAIR) chapters and appendices can be found on CBP.gov at:

http://www.cbp.gov/xp/cgov/trade/automated/modernization/ace_edi_messages/catair_main/abi_catair/catair_chapters/.

The PGA Message Set and its related Appendix T can be found on CBP.gov at:

http://www.cbp.gov/xp/cgov/trade/automated/modernization/ace_edi_messages/catair_main/abi_catair/catair_chapters/future_pga_set_docs/.

Other related appendices that could be used when submitting an entry can be found at:

http://www.cbp.gov/xp/cgov/trade/automated/automated_systems/abi/catair/appendices/.

It should be noted that the PGA Message Set does not stand alone and must be submitted with an entry filing such as an ACE Entry Summary certified for cargo release. The Entry Summary also contains certain data elements that are common to both FSIS and CBP requirements. The PGA Message Set data requirements for FSIS will not duplicate those common data elements, but rather provide the additional data needed to complete the FSIS application for import inspection (Form 9540-1) electronically.

FSIS has included examples in this guidance that provide data samples of frequently imported products. The samples include a grid and accompanying text. The grid represents the 80-character limit, per record, allowed by ABI. The PGA Message Set defines the character length, class and position required by ABI for transmission to CBP and the grid shows how the data would be represented in ABI.

FSIS

FSIS is the public health regulatory agency responsible for ensuring that domestic and imported meat, poultry, and processed egg products are safe, wholesome, and correctly labeled and packaged. FSIS ensures that meat, poultry, and egg products imported to the United States originate from eligible countries and are produced under standards equivalent to U.S. inspection standards.

In order to enhance the Agency's ability to protect public health, FSIS has launched the Public Health Information System (PHIS) as part of its effort to collect, consolidate, and analyze data. PHIS is a flexible, Web-based application that replaced FSIS's Automated Import Information System. PHIS will strengthen FSIS detection and response to food borne hazards.

All shipments of meat, poultry, and egg products imported to the United States are presented to FSIS import inspection personnel at an FSIS official import inspection establishment. Reinspection of a product is intended to verify the eligibility of the product as well as the effectiveness of the foreign inspection system. FSIS ensures that the exporting country's inspection certificates are authentic and accurate. FSIS samples meat, poultry, and egg products prior to releasing the consignment into the commerce of the United States. FSIS sampling focuses on health risks and food safety, subjecting specific shipments to a more in-depth reinspection of product, including product examination and laboratory testing for pathogens and chemical residues. FSIS sampling is allocated by country, process category, finished product category, and species, and is adjusted for each country's risk-based sampling plan. Import inspection personnel enter all results into the FSIS automated system.

If the shipment fails reinspection, the non-compliant product is refused entry and the automated system intensifies the rate of inspection to ensure product compliance of subsequent shipments. FSIS has the discretion to increase the level of sampling for a specific product, country and foreign establishment due to causes other than port of entry failures (e.g., problems associated with on-site audits, foreign inspection service delays in responding to program changes, biosecurity alert, etc.).

U.S. port of entry verification activities will continue to be performed on imported meat, poultry, and processed egg products that have already been inspected and passed by an equivalent foreign inspection system. Eligibility of the foreign country, foreign establishment, and product will be determined by the FSIS system when the Importer of Record or U.S. Customs Broker files the mandatory application for FSIS import inspection.

Beginning with the pilot program, filers will enter the FSIS Application to Import Meat, Poultry, and Egg Products (FSIS Form 9540-1) directly into ABI as part of CBP's entry process. The data in the form will be electronically transmitted to ACE and then to the PHIS as a seamless transfer of data in advance of the shipment arrival. This transfer of data will eliminate the need to submit a paper copy of FSIS Form 9540-1, eliminating the manual data entry by FSIS inspection personnel and thereby, expediting the clearance of FSIS regulated shipments. The data elements required in FSIS Form 9540-1 are obtained from a combination of the data collected by CBP in the Entry Summary and PGA Message Set. The FSIS import inspector verifies the information when the shipment arrives at the official import inspection establishment, using the official inspection certificate issued by the eligible foreign government.

Optional use of Global Trade Item Numbers (GTINs)

It is important for importers to consider the use of standardized, structured, global product classification codes to improve the ability of government agencies to accurately determine jurisdictional authority and assess inherent level of risk in product admission, which will

improve targeting and reduce the number of cargo holds. While FSIS does not require GTIN's by regulation, importers may use globally unique product identification numbers (when available), known as GS1 GTINs, to identify products by brand and model so that government agencies can improve targeting and better utilize resources.

For a general reference to GTINs: <http://www.gs1.org/barcodes/implementation>.

FSIS PGA Message Set – FSIS Electronic Application (FSIS 9540-1) Data Requirements

FSIS is proceeding with an electronic FSIS 9540-1 in order to expedite the clearance process on regulated shipments. An Entry Summary certified for cargo release in ACE, combined with the PGA Message Set, will eliminate the need for paper applications, expedite the application process, and allow importers to meet the FSIS regulatory requirements for prior notice. Below is the set of data elements FSIS may need from the trade, depending on the commodity. Please refer to the FSIS samples for specific data requirements.

<i>Record #</i>	<i>Required Data Element(s)</i>	<i>Notes</i>
OI	Commercial Description	
PG01	Government Agency Code PGA Line Number	
PG02	Item Type	FSIS will utilize the Grouping indicator.
PG06	Source Type Code Country Code Processing Start Date Processing End Date	
PG10	Category Type Code Category Code Commodity Qualifier Code Commodity Characteristic Qualifier	Appendix T contains specific FSIS product information to be used with the PG10 record
PG13	Licenses, Permits, Certificates or Other (LPCO) Issuer – Government Geographic Code Qualifier Location (Country/State/Province) of Issuer of the LPCO	
PG14	LPCO Type LPCO Number (or name)	
PG19	Entity Role Code Entity Identification Code Entity Number Entity Name Entity Address 1	FSIS will need information on various entities including the broker, consignee, and importer of record
PG20	Entity Address 2 Entity Apartment Number/Suite Number	

<i>Record #</i>	<i>Required Data Element(s)</i>	<i>Notes</i>
	Entity City Entity State/Province Entity Country Entity Zip/Postal code	
PG21	Individual Qualifier Individual Name Telephone number of the individual Email address or fax number for the individual	
PG22	Document Identifier Entity Role Code Declaration Certification Date of Signature	
PG24	Remarks Type Code Remarks Text	Seal numbers may be required in some cases
PG25	Lot Number Qualifier Lot Number	
PG26	Packaging Qualifier Quantity Unit of Measure (packaging level) Package Identifier	
PG29	Unit of Measure (PGA line - net) Commodity Net Quantify (PGA line – net)	
PG30	Inspection/Laboratory Testing Status Requested or scheduled date of Inspection	

Foreign Inspection Certificate

FSIS requires that all imported shipments of meat, poultry and egg products be properly certified by the exporting foreign country. The data needed to complete the ABI entry is supplied in part from the CBP Entry and from the foreign inspection certificate, which accompanies each shipment.

It is important to note that currently, FSIS receives official certification electronically from Australia and New Zealand. For filers making entries from these two countries, only the inspection certificate number identified by the filer in PG14 would be needed. The PGA Message Set requirement for records identifying specific lot information would not be needed from the filer.

For filers making entries from countries that do not currently exchange inspection certificate information electronically, additional PGA records identifying product attributes would be necessary. FSIS will continue to develop the electronic inspection certificate data exchange with other foreign governments as warranted.

Point(s) of Contact

If you have questions about the content of these data samples, please contact:

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SAMPLES

The following examples represent a grid and accompanying text. The grid represents the 80-character limit, per record, allowed by ABI. The PGA Message Set defines the character length, class and position required by ABI for transmission to CBP and the grid shows how the data would be represented in ABI. The accompanying text represents what data elements FSIS would anticipate to complete the electronic import application in the PHIS.

<i>Sample #</i>	<i>Sample name</i>	<i>Sample description (including conditions covered by the sample)</i>
1	Boneless Beef Trim from Australia (current e-cert country)	Boxed product, single species product, same source, production country that is certified electronically directly to PHIS
2	Boneless Beef Trim from current non-eCert Country (Mexico)	Boxed product, single species product, same source, production country is not electronic inspection certificate exchange and has multiple inspection certificates within a single entry.

PG02 G (indicating that the following data is associated with the above PG13. For this example, all three certificates are issued from Australia)

PG14 FS7 = MEAT AND MEAT BY PRODUCTS CERTIFICATE NUMBER WHICH IS 123456AAAA

PG14 FS7 = MEAT AND MEAT BY PRODUCTS CERTIFICATE NUMBER WHICH IS 123456BBBB

PG14 FS7 = MEAT AND MEAT BY PRODUCTS CERTIFICATE NUMBER WHICH IS 123456CCCC

PG02 E (indicating this is the end of this group of data associated with that PG13)

PG19 CB

PG21 LOCAL BROKER CONTACT INFORMATION – FIRST NAME AND LAST NAME, LOCAL PHONE NUMBER, AND E MAIL ADDRESS – MARTHA STEWART 313-666-7777 JAS2@hotmail.com

PG21 LOCAL BROKER FAX NUMBER IS 313-666-7771

PG22 956 = THE CERTIFYING STATEMENT FROM THE FSIS APPLICATION THE CERTIFYING OFFICIAL IS CERTIFYING THE FSIS APPLICATION (**FS3**) YES (**Y**= CERTIFIED) ON 07/26/2011

PG21 CI – THE CERTIFYING INDIVIDUAL THAT IS MARTHA STEWART

PG19 CN

PG21 CONSIGNEE CONTACT INFORMATION – FIRST NAME AND LAST NAME, LOCAL PHONE NUMBER, AND E MAIL ADDRESS, HILDA BRAND, 8566667777, HILDA@HOTMAIL.COM

PG21 CONSIGNEE CONTACT FAX NUMBER - 8566667778

PG19 IM

PG21 IMPORTER OF RECORD CONTACT INFORMATION – FIRST NAME AND LAST NAME, LOCAL PHONE NUMBER, AND E MAIL ADDRESS – HILDA BRAND, 8566667777, HILDA@HOTMAIL.COM

PG21 IMPORTER OF RECORD CONTACT FAX NUMBER – 8566667778

PG30 USE AN “**I**” TO INDICATE THIS IS A REGULATORY AUTHORITY INSPECTION (REQUIRED). PROVIDE THE DATE OF REINSPECTION LOCATION CODE OF “**8**” TO PROVIDE THE OFFICIAL IMPORT INSPECTION ESTABLISHMENT NUMBER (425 IN THIS EXAMPLE).

**Description: SINGLE SPECIES, SAME PRODUCTION AND SOURCE COUNTRY FROM NON E-CERT COUNTRY.
MULTIPLE INSPECTION CERTIFICATES WITHIN THE SAME ENTRY AND MULTIPLE LOTS WITHIN THE SAME
INSPECTION CERTIFICATE**

OI THE SHIPMENT IS PROCESSED MEAT - BONELESS BEEF TRIM

PG01 IDENTIFIES THIS AS A SHIPMENT SUBJECT TO FSIS REGULATIONS, **SRV** = THE GTN NUMBER IS 1000578620002680 AND INTENDED USE CODE 230.000.000 IS GIVEN.

PG06 PRODUCING COUNTRY (**CODE 39**) IS MEXICO

PG13 THE FOREIGN GOVERNMENT OF MEXICO ISSUED THE CERTIFICATE

PG14 **FS7** = MEAT AND MEAT BY PRODUCTS CERTIFICATE NUMBER WHICH IS 123456AAAA

PG02 **G** (indicating that the following data is associated with the immediately above PG14)

PG10 FROM APPENDIX T FSIS CODES THAT DEFINE THE PRODUCT (EXAMPLE: CATEGORY TYPE CODE = **FS1**, CATEGORY CODE FROM *FSIS – Product Species Name – FSI* IS (1) MEAT; BEEF, COMMODITY QUALIFIER CODE IS (**RPI**) RAW PRODUCT-INTACT, AND PRODUCT CHARACTERISTIC QUALIFIER FROM RAW PRODUCT INTACT TABLE IS (**1D**) RAW INTACT-BEEF: BNLS. MFTG. TRIMMINGS)

PG19 PRODUCING EST (**PE**) # ASSIGNED BY MEXICO 5555A

PG19 EXPORTING ESTABLISHMENT (**EXE**) 5555A

PG 25 LOT NUMBER =1

PRODUCTION DATES 01/01/2011 – 02/01/2011

PG26 10 (**CT** = CARTONS) (**5** = OUTER PACKAGE) AND SHIPPING MARKS **XYXYXY123** (PACKAGE IDENTIFIER)

PG26 1 (**MB** = BAG, MULTI PLY) (**6** = INNERMOST PACKAGE)

PG29 NET WEIGHT OF ASSOCIATED LOT AS PER OFFICIAL INSPECTION CERTIFICATE. UNIT OF MEASURE (Individual unit - net) IS 233.25 LB

PG02 **E** (indicating this is the end of this group of data associated with that PG14)

PG02 **G** (indicating a new group of data, still associated with that previous PG14, since it follows immediately after PG02 E)

PG10 FROM APPENDIX T FSIS CODES THAT DEFINE THE PRODUCT (EXAMPLE: CATEGORY TYPE CODE = **FS1**, CATEGORY CODE FROM *FSIS – Product Species Name – FSI* IS (1) MEAT; BEEF, COMMODITY QUALIFIER CODE IS (**RPI**) RAW PRODUCT-INTACT, AND PRODUCT CHARACTERISTIC QUALIFIER FROM RAW PRODUCT INTACT TABLE IS (**1D**) RAW INTACT-BEEF: BNLS. MFTG. TRIMMINGS)

PG19 PRODUCING EST (**PE**) # ASSIGNED BY MEXICO 5555A

PG19 EXPORTING ESTABLISHMENT (**EXE**) 5555A

PG 25 LOT NUMBER =2

PRODUCTION DATES 01/01/2011 – 02/01/2011

PG26 10 (**CT** = CARTONS) (**5** = OUTER PACKAGE) AND SHIPPING MARKS **XYXYXY1234** (PACKAGE IDENTIFIER)
PG26 1 (**MB** = BAG, MULTI PLY) (**6** = INNERMOST PACKAGE)
PG29 NET WEIGHT OF ASSOCIATED LOT AS PER OFFICIAL INSPECTION CERTIFICATE. UNIT OF MEASURE (Individual unit - net) IS 233.00 LB
PG02 **E** (indicating this is the end of this group of data, associated with that PG14)
PG13 THE FOREIGN GOVERNMENT OF MEXICO ISSUED THE CERTIFICATE
PG14 **FS7** = MEAT AND MEAT BY PRODUCTS CERTIFICATE NUMBER WHICH IS 123456BBBB
PG02 **G** (indicating that the following data is associated with the immediately above PG14)
PG10 FROM APPENDIX T FSIS CODES THAT DEFINE THE PRODUCT (EXAMPLE: CATEGORY TYPE CODE = **FS1**, CATEGORY CODE FROM *FSIS – Product Species Name – FSI* IS (**1**) MEAT; BEEF, COMMODITY QUALIFIER CODE IS (**RPI**) RAW PRODUCT-INTACT, AND PRODUCT CHARACTERISTIC QUALIFIER FROM RAW PRODUCT INTACT TABLE IS (**1D**) RAW INTACT-BEEF: BNLS. MFTG. TRIMMINGS)
PG19 PRODUCING EST (**PE**) # ASSIGNED BY MEXICO 5555A
PG19 EXPORTING ESTABLISHMENT (**EXE**) 5555A
PG 25 LOT NUMBER=1
PRODUCTION DATES 01/01/2011 – 02/01/2011
PG26 20 (**CT** = CARTONS) (**5** = OUTER PACKAGE) AND SHIPPING MARKS **ABABAB1239** (PACKAGE IDENTIFIER)
PG26 1 (**MB** = BAG, MULTI PLY) (**6** = INNERMOST PACKAGE)
PG29 NET WEIGHT OF ASSOCIATED LOT AS PER OFFICIAL INSPECTION CERTIFICATE. UNIT OF MEASURE (Individual unit - net) IS 233.25 LB
PG02 **E** (indicating this is the end of this group of data associated with that PG14)
PG19 **CB**
PG21 LOCAL BROKER CONTACT INFORMATION (FIRST NAME AND LAST NAME), LOCAL PHONE NUMBER, AND E MAIL ADDRESS – MARTHA STEWART 313-666-7777 JAS2@hotmail.com
PG21 LOCAL BROKER FAX NUMBER IS 313-666-7771
PG22 **956** = THE CERTIFYING STATEMENT FROM THE FSIS APPLICATION THE CERTIFYING OFFICIAL IS CERTIFYING THE FSIS APPLICATION (**FS3**) YES (**Y**= CERTIFIED) ON 07/26/2011
PG21 **CI** – THE CERTIFYING INDIVIDUAL THAT IS M STEWART
PG19 **CN**
PG21 CONSIGNEE CONTACT INFORMATION – FIRST AND LAST NAME, LOCAL PHONE NUMBER, AND E MAIL ADDRESS HILDA BRAND, 8566667777, HILDA@HOTMAIL.COM
PG21 CONSIGNEE CONTACT FAX NUMBER - 8566667778
PG19 **IM**

PG21 IMPORTER OF RECORD CONTACT INFORMATION – FIRST AND LAST NAME, LOCAL PHONE NUMBER, AND E MAIL ADDRESS – HILDA BRAND, 8566667777, HILDA@HOTMAIL.COM

PG21 IMPORTER OF RECORD CONTACT FAX NUMBER - 8566667778

PG30 USE AN “**I**” TO INDICATE THIS IS A REGULATORY AUTHORITY INSPECTION (REQUIRED). PROVIDE THE DATE OF REINSPECTION LOCATION CODE OF “**8**” TO PROVIDE THE OFFICIAL IMPORT INSPECTION ESTABLISHMENT NUMBER (425 IN THIS EXAMPLE).