

Great Idea Form

Requirement Summary

GIF #:	CSPO GIF 1099	Status:		Submit Date :	Updated 09/09/2011 Revised 11/10/11
Title:	Ability to File a PSC through the ACE Portal				

Origination

Requirement Initiator:	Lori Goldberg and Julie Parks, Account Management Committee - Co-Chairs
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Sponsor:	

Source:

Source:
Trade Request

Business Sponsor

Business Office:	Office of International Trade/ACE Business Office
Executive Director for the Business Office:	Cindy Allen

CSPO Planning

Change to CSPO System?	
Change Planned?	Where/When Planned?

Assign to System:	Assign to Release/Delivery:

Requirements Description

Business Area:	ACE Portal Functionality
Request Type:	Business Need
Impacts Trade?	Yes
Description of Change:	<p>CBP has delivered the ability for the broker and self filer to file post summary corrections via ABI for ACE entry summaries. However, the ability for the importer to make their own corrections to their entry summaries has been taken away since CBP will no longer accept paper Post Entry Amendments (PEA) from the importer if the entry summary was filed in ACE. Many importers both large and small file their own PEAs today. This is a means of ensuring compliance from their perspective. Importers are therefore requesting that ability to maintain control over their own corrections by being provided the means to make post summary (pre-liquidation) amendments and corrections to the entry summary data initially filed and accepted by CBP through the ACE Portal.</p> <p>The ACE Portal should provide the ability for the importer and the broker or a third party designee to correct an individual entry summary through the ACE Portal.</p> <p>Notifications:</p> <ul style="list-style-type: none"> • When a PSC is made through the portal, an initial system generated acceptance or rejection message should be displayed in the ACE Portal once CBP has edited the entry summary. The acceptance message should include a date. If the correction is rejected the message should include a

date and the reject reason.

- The filer/broker associated with the entry summary should receive a notification through ABI or the portal when a PSC is received from the importer.
- When a broker files a PSC on behalf of the importer, the importer should be notified through the ACE Portal that a correction has been made on their behalf. The same information that was provided to the filer through ABI should be included in the portal notification to the importer.
- If a PSC is filed by a third party, then both the importer and the broker should be notified of the change.

Portal Functionality:

- All functionality available to the importer through the Portal must be available to the broker through their ACE portal account.
- When entering a correction, users should be able to enter an entry number and the most recent version of the entry summary displays with all line items shown for the user to input their corrections.
- Each PSC will create a new version of the Entry Summary or, if there was a previous PSC, it will replace that. Corrections will be subject to CBP validations upon submission. *Note: If trade corrects the HTS number on a line item, they will be responsible for making any additional changes needed to duty, taxes and fees both at the line and header level.*
- If a change to duty is posed and a change to fees is not but fees should have been adjusted as well, an error message will display to the user (not display when the MPF is at max).

- Users need to be able to view the version number associated with the entry summary through the ACE portal.
- Users should receive an initial system generated *“Accepted CBP Status notification”* or a *“Rejected notification”* through the ACE portal once CBP has successfully edited the entry summary. The acceptance or rejection notice should include a date and the reason for the rejection. The initial acceptance of the PSC should start the clock for final approval of the PSC by CBP.
 - When a post summary correction is accepted through the ACE portal, the source of the correction (portal or ABI) needs to be captured such that the party and user making the updates can be identified. This indicator likewise will need to be available in any post summary correction report.
 - The importer will be able to make multiple PSCs prior to liquidation. Trade will have 270 days from the entry summary date to file the post summary correction, but not less than 20 days prior to liquidation.
 - Trade would like the ability to request an extension of liquidation through the ACE portal.
 - Corrections through the ACE Portal is to the line or lines since that might impact the fees for the entire entry summary, the changes should be processed as a complete replace of the entry summary .
 - The ACE Portal should provide the ability for a filer other than the PSC filer to be able to make a correction to the entry summary through the ACE Portal, as designated/authorized by the importer.

Types of Corrections:

- PSCs should be allowed for all types of corrections. Users should be able to enter the individual

correction (s) at the header or line level on the entry summary and ACE should treat the corrected summary as a full replace.

- The trade would also like the ability to make corrections to other governmental agency requirements, as agencies participate in PGA filing. In addition, if the PGA is not a filing participant but is a DIS participant, we would like the ability to PSC a DIS-identified form.

Security/Access Requirements:

- The Trade Account Owner should be able to designate who can make post summary corrections on behalf of the Account. Trade requests user access should be defined as “No Access”, “Read Only Access” and “Full Access.”
- The Trade Account Owner should be able to designate PSC capability by account type to allow for a broker, consultant or attorney to file on their behalf.
- When a user is removed from portal access, ACE should capture the expiration date and display the field under “AllPersons.” This would allow the TAO to monitor, limit, and reauthorize access with greater ease due to personnel changes, vendor consolidations, etc.
- The portal should prevent more than one user from editing an entry summary at the same time and display an “entry summary under edit” message.
- When submitting a post summary correction through the ACE portal, trade requests an “Are you sure” message appear after hitting “Submit” such that the user would need to confirm they want to submit the correction.

	<p>Extension of Liquidation</p> <ul style="list-style-type: none"> • Since a post summary correction beyond 270 days and within 20 days must include a request to extend liquidation, the ACE portal needs to provide the ability for trade to request liquidation be extended. <p>Comparing Different Versions of the Entry Summary</p> <ul style="list-style-type: none"> • Trade is requesting the ability to view through the ACE Portal the entry summary before and after the post summary correction. This could be accomplished through some sort of a comparison view where the user could see version 1 and version 2 displayed simultaneously. • The other option would be to have a report that would display multiple versions of the entry summary.
<p>Benefit of Change:</p>	<p>This GIF supports the movement towards an Account based approach for CBP and trade. It provides savings to CBP by replacing the current paper process of receiving and processing PEAs with an electronic process. It improves the timeliness for CBP when issuing refunds thus saving the government interest expense. It provides an electronic repository of changes for analysis for all parties and it allows all parties to make better use of resources. When you look at your transactions in ACE, they will be current. The user will have full visibility into the most current information.</p> <p>This GIF also restores to the importer the ability to file their own corrections as they can for non-ACE entry summaries using the PEA process. This change would allow the importer to maintain control of their corrections and thus ensure compliance.</p>
<p>Impact Assessment:</p>	<p>Unknown at time of entry in tool.</p>

System/Subsystem

<p>System:</p>	<p>ACE</p>	<p>Cargo Business Area:</p>	<p>Import</p>
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Implementation Requirements

Needed By Date:	A2.3	Change Urgency:	
Level of Effort:		Cost Estimate:	

Sponsor Recommendation

Sponsor Recommendation:	
Sponsor Comments:	

Board Disposition

Date:	Disposition:	Comments:

Next Steps:

Next Steps:

Reasons for Return/Deferral/Withdrawn/Rejection/Forward to PO

Reason for Return:	
Reason for Deferral:	
Reason for Withdrawal:	
Reason for Rejection:	
Reason for Forward to PO:	

Secretary Comments:

Comments:

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[Related Items:](#)

CR#:	CR Name:
PTR#:	PTR Name:

[Attachments](#)

Attachments :	
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[Action Descriptions](#)

[Document History](#)

[Action History](#)

Date:	User Name:	Note:

[Update History](#)

Date:	User Name:	Note: