

CBP Rail APIS Document Guidance



U.S. Customs and Border Protection
Office of Field Operations

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SUITABLE FOR PUBLIC DISSEMINATION

Executive Summary

To better facilitate commercial rail travel, voluntary Advance Passenger Information System (APIS) manifests can be submitted to U.S. Customs and Border Protection (CBP) for trains arriving in or departing from certain United States ports of entry. These electronically submitted manifests are to include all travelers (passengers and crew) aboard each train.

This guide provides information pertaining to some of the most common travel documents rail carriers may encounter when collecting manifest information for submission to CBP. It does not address the validity of documents for land border crossings into and out of the United States. Additional information on acceptable documents is available on the Western Hemisphere Travel Initiative (WHTI) website at www.getyouhome.gov. Carriers can also refer to the Carrier Information Guide at http://www.cbp.gov/xp/cgov/travel/inspections_carriers_facilities/carrier_info_guide/.

This guide is designed to serve as a reference aid and is intended as general guidance to assist persons responsible for submitting rail APIS data with the submission of document information. It is not intended to cover every possible document that may be submitted. This document does not create or confer any right or benefit on any person or party, private or public.

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I. General Document Information

A variety of documents can be used to enter the United States from Canada and Mexico. The CBP officer at the U.S. port of entry will make the determination as to the validity of the document(s) presented by the traveler for admission to the United States.

In order to avoid delays, it is prudent for the carrier collecting the document information to compare the travel document presented by the traveler with the travel document information it is transmitting to CBP to ensure that the information is correct, the document appears to be valid for travel to the United States, and the traveler is the person to whom the travel document was issued. The most common documents that the carrier will likely encounter are passports, passport cards, permanent resident cards, enhanced driver's licenses, and visas.

Carriers are encouraged to submit passport information if the traveler has a passport. In the event that a traveler does not have a passport, another Department of Homeland Security (DHS) - approved travel document can be submitted in lieu of the passport. Only one document will be submitted for each traveler.

II. Specific Document Submission Data

Rail APIS submissions will generally include the traveler's full name, date of birth, gender, DHS-approved travel document type, number, and country of issuance. All document data should reflect the information from the travel document biographical page.

The full name consists of last, first, and, if available, middle name, exactly as it is shown on the travel document. Do not include any letters with diacritical marks (such as ñ, é, or ü) in the APIS manifest. Instead, substitute common English letters (such as n, e, or u). Only American Standard Code for Information Interchange (ASCII) letters are acceptable. The only special characters that can be included in a name are the apostrophe (') and hyphen (-).

The document type is a one to three character code, alphanumeric only. Do not include any special characters. Specific codes for each document can be found in Appendix I – Document Codes and Descriptions.

The document number is the unique number that identifies the document. This is alphanumeric only. Do not include any special characters or spaces.

The document country of issuance will be submitted as a three-character code. A list of codes can be found in Appendix II – Country Codes.

U.S. Permanent Resident Card:

Lawful Permanent Residents of the U.S. can present a Permanent Resident Card for admission to the United States. This document is commonly referred to as a “Green Card.” Alternatively, it may be referred to as an Alien Resident Card. The most commonly encountered versions are shown here.



The MRZ’s on these documents have the same format as the U.S. passport card shown previously.

Resident cards from countries other than the U.S. are not valid for admission to the United States.

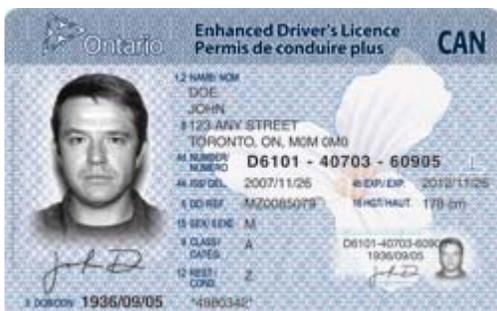
Enhanced Driver’s License:

An enhanced driver’s license is a driver’s license that can also be used as a cross-border travel document to enter the U.S. by land. It denotes both identity and citizenship, per the Western Hemisphere Travel Initiative.

The following U.S. states are currently issuing this type of WHTI-compliant document:
Michigan, New York, Vermont, and Washington.



The following Canadian provinces are currently issuing this type of WHTI-compliant document: **British Columbia, Manitoba, Ontario, and Quebec.**

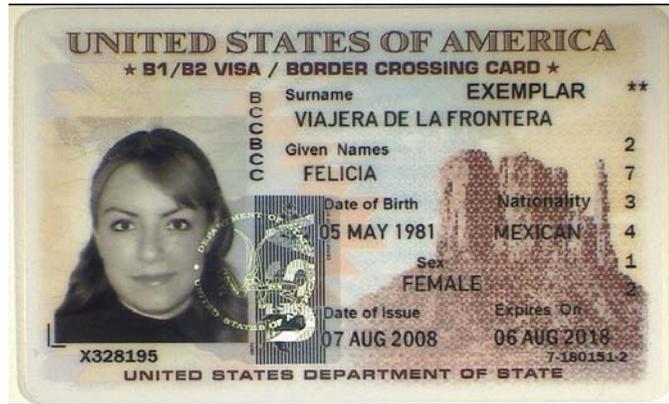


The MRZ on an enhanced driver's license is found on the reverse side and has the same format as the U.S. passport card shown previously. See www.getyouhome.gov for the most current information on enhanced driver's license issuance.

U.S. Visa:

Many U.S. visas are inserted into the passport of the visa holder. In this case, the information that should be transmitted in the APIS manifest is the passport data.

In the event that the visa is not inserted into a passport and no passport information is available, the visa data should be sent. The most common example of this is the DSP-150 B1/B2 visa/Border Crossing Card (BCC). This visa is only issued to applicants who are citizens of and resident in Mexico.



The MRZ on the BCC is on the reverse side and has the same format as the U.S. passport card, but the document number to be used should be the second set of numbers after the Country of Issuance as shown below.



Document Type



Country of Issuance



Document Number – include the leading letters

IV. Summary

Although the document examples included in this guide are not a comprehensive list, the examples given can be applied to other ICAO-compliant documents. MRZ's will generally be two lines or three lines. The U.S. passport is an example of a two line MRZ and the U.S. passport card is an example of a three line MRZ.

APIS Guide Updates:

The most recent version of this guide can be found at:

http://www.cbp.gov/xp/cgov/travel/inspections_carriers_facilities/apis/rail_apis/.

Contact Information:

Please direct all questions, comments, or concerns about this guide to the National Rail APIS Account Manager at RailAPIS@DHS.gov.

Appendix I: Document Codes and Descriptions

| | |
|--|--------------------|
| Travel Document Names and Codes in this table are not valid for all ports of entry. Verify which documents are valid for land, sea or air ports of entry at www.getyouhome.gov. | |
| <u>Travel Document Name</u> | <u>Code</u> |
| U.S. ALIEN REGISTRATION CARD | A |
| U.S. ALIEN REGISTRATION CARD (1998 - 2003) | A1 |
| U.S. ALIEN REGISTRATION CARD | A2 |
| PERMANENT RESIDENT CARD | C |
| PERMANENT RESIDENT CARD (1998 - 2003) | C1 |
| PERMANENT RESIDENT CARD | C2 |
| DRIVER LICENSE | DL |
| BIRTH CERTIFICATE | FB |
| FACILITATION DOCUMENT | FD |
| ENHANCED DRIVER'S LICENSE (EDL) | ID |
| FAST CARD | IF |
| GLOBAL ENTRY CARD | IG |
| NEXUS CARD | IN |
| U.S. PASSPORT CARD | IP |
| SENTRI CARD | IS |
| FOREIGN MARINER DOCUMENT | MC |
| U.S. MERCHANT MARINER DOCUMENT | MD |
| MILITARY ID DOCUMENT | MG |
| NATURALIZATION CERTIFICATE | N |
| NATIVE AMERICAN INDIAN/INAC | ND |
| PASSPORT | P |
| REENTRY PERMIT (BEGINNING 2009) | PRU |
| REFUGEE TRAVEL (BEGINNING 2009) | PTU |
| DHS REFUGEE TRAVEL DOCUMENT | TP |
| DHS RE-ENTRY PERMIT | TR |
| LASER VISA - BCC | VB |
| VISA IMMIGRANT | VI |
| VISA NON-IMMIGRANT | VN |

Appendix II: Country Codes

| Country Name / Location Description | 3-Char Code |
|--|--------------------|
| CANADA | CAN |
| MEXICO | MEX |
| UNITED STATES | USA |
| AFGHANISTAN | AFG |
| ALBANIA | ALB |
| ALGERIA | DZA |
| AMERICAN SAMOA | ASM |
| ANDORRA | AND |
| ANGOLA | AGO |
| ANGUILLA | AIA |
| ANTARCTICA | ATA |
| ANTIGUA & BARBUDA | ATG |
| ANTILLES (NETHERLANDS) | ANT |
| ARGENTINA | ARG |
| ARMENIA | ARM |
| ARUBA | ABW |
| AUSTRALIA | AUS |
| AUSTRIA | AUT |
| AZERBAIJAN | AZE |
| BAHAMAS | BHS |
| BAHRAIN | BHR |
| BANGLADESH | BGD |
| BARBADOS | BRB |
| BELARUS | BLR |
| BELGIUM | BEL |
| BELIZE | BLZ |
| BENIN | BEN |
| BERMUDA | BMU |
| BHUTAN | BTN |
| BOLIVIA | BOL |
| BONAIRE, ST EUSTATIUS, SABA | BES |
| BOSNIA AND HERZEGOVINA | BIH |
| BOTSWANA | BWA |
| BOUVET ISLAND | BVT |
| BRAZIL | BRA |
| BRITISH INDIAN OCEAN TERRITORY | IOT |
| BRUNEI DARUSSALAM | BRN |
| BULGARIA | BGR |
| BURKINA FASO | BFA |
| BURUNDI | BDI |
| CAMBODIA (FORMER KAMPUCHEA) | KHM |
| CAMEROON | CMR |
| CAPE VERDE | CPV |
| CAYMAN ISLANDS | CYM |
| CENTRAL AFRICAN REPUBLIC | CAF |

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| | |
|---|-----|
| CHAD | TCD |
| CHILE | CHL |
| CHINA (MAINLAND) | CHN |
| CHINA (TAIWAN) | TWN |
| CHRISTMAS ISLAND | CXR |
| COCOS (KEELING) ISLANDS | CCK |
| COLOMBIA | COL |
| COMOROS | COM |
| CONGO, DEM REPUBLIC OF THE (FORMER ZAIRE) | COD |
| CONGO, REPUBLIC OF THE | COG |
| COOK ISLANDS | COK |
| COSTA RICA | CRI |
| CROATIA | HRV |
| CUBA | CUB |
| CURACAO | CUW |
| CYPRUS | CYP |
| CZECH REPUBLIC | CZE |
| DENMARK | DNK |
| DJIBOUTI | DJI |
| DOMINICA | DMA |
| DOMINICAN REPUBLIC | DOM |
| ECUADOR | ECU |
| EGYPT | EGY |
| EL SALVADOR | SLV |
| ERITREA | ERI |
| ESTONIA | EST |
| ETHIOPIA | ETH |
| FALKLAND ISLANDS | FLK |
| FAROE ISLANDS | FRO |
| FIJI | FJI |
| FINLAND | FIN |
| FRANCE | FRA |
| FRENCH GUIANA | GUF |
| GABON | GAB |
| GAMBIA, THE | GMB |
| GEORGIA | GEO |
| GERMANY (FORMER EAST) DEM REP | DDR |
| GERMANY (UNIFIED, FORMER WEST) FED REP | DEU |
| GHANA | GHA |
| GIBRALTAR | GIB |
| GREECE | GRC |
| GREENLAND | GRL |
| GRENADA | GRD |
| GUADELOUPE | GLP |
| GUAM | GUM |
| GUATEMALA | GTM |
| GUINEA | GIN |
| GUINEA, EQUATORIAL | GNQ |

SUITABLE FOR PUBLIC DISSEMINATION

| | |
|------------------------------|-----|
| GUINEA-BISSAU | GNB |
| GUYANA | GUY |
| HAITI | HTI |
| HEARD AND MCDONALD ISLANDS | HMD |
| HONDURAS | HND |
| HONG KONG SAR | HKG |
| HUNGARY | HUN |
| ICELAND | ISL |
| INDIA | IND |
| INDONESIA | IDN |
| IRAN | IRN |
| IRAQ | IRQ |
| IRELAND | IRL |
| ISRAEL | ISR |
| ITALY | ITA |
| IVORY COAST (COTE D'IVOIRE) | CIV |
| JAMAICA | JAM |
| JAPAN | JPN |
| JORDAN | JOR |
| KAZAKHSTAN | KAZ |
| KENYA | KEN |
| KIRIBATI | KIR |
| KOREA, DEM REP (NORTH) | PRK |
| KOREA, REPUBLIC (SOUTH) | KOR |
| KOSOVO | RKS |
| KUWAIT | KWT |
| KYRGYZSTAN | KGZ |
| LAOS | LAO |
| LATVIA | LVA |
| LEBANON | LBN |
| LESOTHO | LSO |
| LIBERIA | LBR |
| LIBYA | LBY |
| LIECHTENSTEIN | LIE |
| LITHUANIA | LTU |
| LUXEMBOURG | LUX |
| MACAO (MACAU) | MAC |
| MACEDONIA | MKD |
| MADAGASCAR (FORMER MALAGASY) | MDG |
| MALAWI | MWI |
| MALAYSIA | MYS |
| MALDIVES | MDV |
| MALI | MLI |
| MALTA | MLT |
| MARIANA ISLANDS, NORTHERN | MNP |
| MARSHALL ISLANDS | MHL |
| MARTINIQUE | MTQ |
| MAURITANIA | MRT |

SUITABLE FOR PUBLIC DISSEMINATION

| | |
|------------------------------------|-----|
| MAURITIUS | MUS |
| MAYOTTE | MYT |
| MICRONESIA, FEDERATED STATES OF | FSM |
| MOLDOVA | MDA |
| MONACO | MCO |
| MONGOLIA | MNG |
| MONTENEGRO | MNE |
| MONTSERRAT | MSR |
| MOROCCO | MAR |
| MOZAMBIQUE | MOZ |
| MYANMAR (FORMER BURMA) | MMR |
| NAMIBIA | NAM |
| NAURU | NRU |
| NEPAL | NPL |
| NETHERLANDS | NLD |
| NEW CALEDONIA | NCL |
| NEW ZEALAND | NZL |
| NICARAGUA | NIC |
| NIGER | NER |
| NIGERIA | NGA |
| NIUE | NIU |
| NORFOLK ISLAND | NFK |
| NORWAY | NOR |
| OMAN | OMN |
| PAKISTAN | PAK |
| PALAU | PLW |
| PALESTINE (GAZA STRIP / WEST BANK) | PSE |
| PANAMA | PAN |
| PAPUA NEW GUINEA | PNG |
| PARAGUAY | PRY |
| PERU | PER |
| PHILIPPINES | PHL |
| PITCAIRN ISLAND | PCN |
| POLAND | POL |
| POLYNESIA (FRENCH) | PYF |
| PORTUGAL | PRT |
| PUERTO RICO | PRI |
| QATAR | QAT |
| ROMANIA | ROU |
| RUSSIA | RUS |
| RWANDA | RWA |
| SAINT HELENA | SHN |
| SAINT KITTS AND NEVIS | KNA |
| SAINT LUCIA | LCA |
| SAINT PIERRE AND MIQUELON | SPM |
| SAINT VINCENT AND THE GRENADINES | VCT |
| SAMOA | WSM |
| SAN MARINO | SMR |

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|---|-----|
| SAO TOME AND PRINCIPE | STP |
| SAUDI ARABIA | SAU |
| SENEGAL | SEN |
| SERBIA | SRB |
| SEYCHELLES | SYC |
| SIERRA LEONE | SLE |
| SINGAPORE | SGP |
| SINT MAARTEN | SXM |
| SLOVAKIA | SVK |
| SLOVENIA | SVN |
| SOLOMON ISLANDS | SLB |
| SOMALIA | SOM |
| SOUTH AFRICA, REPUBLIC OF | ZAF |
| SOUTH SUDAN | SSD |
| SPAIN | ESP |
| SRI LANKA (FORMER CEYLON) | LKA |
| SUDAN | SDN |
| SURINAME | SUR |
| SVALBARD AND JAN MAYEN | SJM |
| SWAZILAND | SWZ |
| SWEDEN | SWE |
| SWITZERLAND | CHE |
| SYRIA | SYR |
| TAJIKISTAN | TJK |
| TANZANIA | TZA |
| THAILAND | THA |
| TIMOR-LESTE (EAST TIMOR) | TLS |
| TOGO | TGO |
| TOKELAU | TKL |
| TONGA | TON |
| TRINIDAD AND TOBAGO | TTO |
| TUNISIA | TUN |
| TURKEY | TUR |
| TURKMENISTAN | TKM |
| TURKS AND CAICOS ISLANDS | TCA |
| TUVALU | TUV |
| UGANDA | UGA |
| UKRAINE | UKR |
| UNITED ARAB EMIRATES | ARE |
| UNITED KINGDOM - BRITISH CITIZEN (NORMAL) | GBR |
| UNITED KINGDOM - BRITISH NATIONAL OVERSEAS | GBN |
| UNITED KINGDOM - BRITISH OVERSEAS CITIZEN | GBO |
| UNITED KINGDOM - BRITISH OVERSEAS TERRITORIES CITIZEN | GBD |
| UNITED KINGDOM - BRITISH PROTECTED PERSON | GBP |
| UNITED KINGDOM - BRITISH SUBJECT | GBS |
| UNITED STATES MINOR OUTLYING ISLANDS | UMI |
| UNKNOWN | XXX |
| URUGUAY | URY |

SUITABLE FOR PUBLIC DISSEMINATION

| | |
|--------------------------------|-----|
| UZBEKISTAN | UZB |
| VANUATU | VUT |
| VATICAN CITY (HOLY SEE) | VAT |
| VENEZUELA | VEN |
| VIETNAM | VNM |
| VIRGIN ISLANDS (BRITISH) | VGB |
| VIRGIN ISLANDS (UNITED STATES) | VIR |
| WALLIS AND FUTUNA | WLF |
| WESTERN SAHARA | ESH |
| YEMEN | YEM |
| YUGOSLAVIA - FORMER | YUG |
| ZAMBIA | ZMB |
| ZIMBABWE | ZWE |