

ACE FILING INSTRUCTIONS FOR TTB-REGULATED COMMODITIES

DRAFT



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General Introduction

This document is intended as a supplemental guide to the CBP Customs and Trade Automated Interface Requirements (CATAIR), PGA Message Set chapter (also referred to as an implementation guide). The PGA CATAIR chapter outlines the overall data relationships and rules for data submission on a CBP system level.

The PGA Message Set chapter/implementation guide and its related Appendix PGA can be found on CBP.gov at:

<http://www.cbp.gov/document/guidance/pga-message-set>

The CATAIR chapters and appendices can be found on CBP.gov at:

<http://www.cbp.gov/trade/ace/catair>

Other related information for the CBP Document Image System may be found at:

<http://www.cbp.gov/document/forms/dis-xml-schema>

This PGA supplemental guide provides PGA-specific guidance regarding PGA's business requirement for data submission.

REMINDERS: The PGA Message Set does not stand-alone and must be submitted with an entry filing such as an ACE Entry Summary certified for cargo release.

There are times when TTB and CBP reporting may require the same data. In those instances, TTB will not ask for the data to be provided again in the PGA Message Set, if it is already collected by CBP. That is, the PGA Message Set data requirements for TTB will not duplicate those common data elements. Instead, the PGA Message Set is used to provide the additional data elements required for TTB reporting. In some instances, however, TTB's reporting requirements may require more specificity than CBP filing requirements. In those cases, TTB standardized format, provided for by the CATAIR, must be used regardless of how the data was captured by Customs.

TTB Overview

A variety of laws and regulations govern import and export requirements of alcohol and tobacco. TTB taxes and regulates alcohol and tobacco products under Chapters 51 and 52 of the Internal Revenue Code and under the Federal Alcohol Administration Act. Responsibility for assessing and collecting the excise taxes due on imported alcohol and tobacco products are among the "Customs revenue functions" vested by statute with the Secretary of Treasury (6 U.S.C. 212) and delegated to the Secretary of Homeland Security under Treasury Order 100-16 (68 FR 28322, dated May 23, 2003).

Legal requirements and additional information on import and exports regulated by TTB may be found at:

- a. Alcohol Imports - <http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&sid=506cf0c03546efff958847134c5527d3&rgn=div5&view=text&node=27:1.0.1.1.22&idno=27>

- b. Alcohol Exports - <http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&sid=506cf0c03546efff958847134c5527d3&rgn=div5&view=text&node=27:1.0.1.1.23&idno=27>
- c. Tobacco Imports - <http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&sid=abca5150308c67b5f26291d7c0d65811&rgn=div5&view=text&node=27:2.0.1.1.2&idno=27>
- d. Tobacco Exports - <http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&sid=abca5150308c67b5f26291d7c0d65811&rgn=div5&view=text&node=27:2.0.1.1.3&idno=27>

Contact information:

Contacts for various TTB programs can be found at: <http://www.ttb.gov/about/contact.shtml>

For questions or comments on this document, you may contact:

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IMPORTANT NOTE:

This document is intended to provide instructions to importers, brokers, and other interested parties in order to provide a framework for discussion. It should be considered a draft version, as these requirements will be submitted as a Notice for Proposed Rulemaking, with a solicitation for public comment. It is made available now so that both trade and government may undertake a reasonable approach to system development. It will be updated periodically before implementation. It is suggested that you check CBP.gov to ensure that you are working with the most current version.



1 - Permit Number

TTB permit numbers are required for all alcohol and tobacco imports for commercial purposes into the US.

The following are the potential PGA records associated with submitting the TTB permit number for alcohol and tobacco imports:

<i>PG Record</i>	<i>Description</i>
OI	The commercial description of the shipment is provided.
PG01	The shipment is regulated by TTB and the commodity type for import is included.
PG14	Indicates a TTB permit and the permit number.

Permit Number Sample

A shipment of cigarettes is imported into the United States for domestic consumption or shipment to a TTB permit holder. The importer will include its permit number along with other information required by TTB and CBP.

TTB Tobacco Permit Number Message Set Layout for Sample1

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1 1 1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2
1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6
O I S M A L L C I G A R E T T E S
P G 0 1 0 0 1 T T B T O B
P G 1 4 3 T Z 3 M D - T I - 9 9 9 9 9

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Permit Number Message Set Description

The following records and codes were used to create the TTB Message Set Sample 1. The status column in the following record set descriptions identifies the data element as Mandatory (M), Conditional (C), Optional (O), or Not Applicable (N/A). Mandatory Data Elements are required because either the particular record requires it, or it is required by TTB.

Because of the flexibility of the PGA Message set, the PGA Records and Data Elements that are required may vary both from program to program and within a single program.



Record Identifier OI (Input)

This is a mandatory PGA line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message set.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space filled.	
Commercial Description	70X	11-80	M	The commercial description of the product. For example, Small Cigarettes. See Appendix A of this document for further instruction.	

Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, Globally Unique Product Identification Code, Intended Use Code, Intended Use Description, and Disclaimer.

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“TTB”	
Government Agency Program Code	3X	11-13	M	“TOB”	1
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	N/A		
Confidential Information Indicator	1A	18	N/A		
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		



Record Identifier PG01 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	N/A		
Intended Use Description	22X	58-79	N/A		

Note 1:

PG01 Valid TTB Program Codes

<i>Data Element</i>	<i>Code</i>	<i>Definition</i>
Program Codes	BER	Beer
	DSP	Distilled Spirits
	TOB	Tobacco Product
	WIN	Wine

Record Identifier PG14 (PGA Identifier)

This is a conditional PGA input record (mandatory if the PG13 is sent) that provides data pertaining to Licenses, Permits, Certificates or Other (LPCO) issued by TTB and other government entities. The data elements included in this record are LPCO Type, LPCO Number, and LPCO Quantity. There may be more than one LPCO required for each TTB commodity. This record may be repeated.

Record Identifier PG14 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	C	Code identifying the use type of LPCO information being provided. For example, 2 or 3.	1
LPCO Type	3AN	6-8	C	Code identifying the type of LPCO information being provided.	2
LPCO Number (or Name)	33X	9-41	C	The LPCO information. This is the TTB issued importer permit number.	3
LPCO Date Qualifier	1N	42	N/A		



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LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1:

List of LPCO Transaction Type codes applicable for TTB-regulated imports. In most cases, the code for TTB will be 2 for permits that do not expire and 3 for permits that have an expiration date.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
LPCO Transaction Type Codes (Categorizes the duration/type of the particular LPCO)	1	Single Use
	2	Continuous
	3	General

Note 2:

Appendix PGA lists TZ3 as the code to use for TTB-issued permits.

Note 3:

The TTB-issued importer permit number will be entered into this spaces, along with the permit number (or brewer’s notice number) of the domestic producer, manufacturer, or export warehouse proprietor if the alcohol or tobacco is being transferred in bond and/or without payment of tax to a domestic TTB permittee.

2 - Notice of Release of Tobacco Products, Papers or Tubes without Payment of Excise Tax - TTB F5200.11

This information is submitted by a tobacco manufacturer or export warehouse to TTB to certify that a valid permit exists and that products may be released from CBP custody without payment of federal excise tax under an Internal Revenue Code bond. There are two instances:

- Tobacco products, cigarette papers and cigarette tubes imported or brought into the United States may be released from customs custody, without payment of tax, for delivery to the proprietor of an export warehouse or to a manufacturer of tobacco products or cigarette papers and tubes if such articles are not put up in packages. (26 U.S.C. 5704(c)).
- Tobacco products and cigarette papers and tubes that have been exported and returned may be released from customs custody, without payment of that part of the duty attributable to the internal revenue tax for delivery to the original manufacturer of such tobacco products or cigarette papers and tubes or to the proprietor of an export warehouse authorized by such manufacturer to receive such articles. (26 U.S.C. 5704(d)).



The following are the potential PGA records associated with submitting the Notice of Release of Tobacco Products, Papers or Tubes without Payment of Excise Tax.

<i>PG Record</i>	<i>Description</i>
OI	The commercial description of the shipment.
PG01	Identifies the commodity type as regulated by TTB.
PG14	Licenses, Permits, Certificates, or Exemptions issued by TTB.
PG19	Consignee Information.
PG20	Consignee Information.
PG22	Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.

TTB-Notice of Release of Tobacco Products, Cigarette Papers, or Cigarette Tubes Data Map from Existing TTB Form 5200.11

The process for completion of TTB Form 5200.11 has been changed to allow for electronic submission of the required data. As a result, the data required to be submitted has changed and there is not a complete mapping for each data element included on the form as some are no longer required.



Notice of Release of Tobacco Products, Cigarette Papers, or Cigarette Tubes Message Set Description

Permit Number Message Set Description

The following records and codes were used to create the TTB Message Set Sample 2. The status column in the following record set descriptions identifies the data element as Mandatory (M), Conditional (C), Optional (O), or Not Applicable (N/A). Mandatory Data Elements are required because either the particular record requires it, or it is required by TTB.

Because of the flexibility of the PGA Message set, the PGA Records and Data Elements that are required may vary both from program to program and within a single program.

Record Identifier OI (Input)

This is a mandatory PGA line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message set.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space filled.	
Commercial Description	70X	11-80	M	The commercial description of the product. For example, Large Cigars. See Appendix A of this document for further instruction.	

Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, Globally Unique Product Identification Code, Intended Use Code, Intended Use Description, and Disclaimer.

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“TTB”	



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Record Identifier PG01 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Government Agency Program Code	3X	11-13	M	“TOB”	1
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	N/A		
Confidential Information Indicator	1A	18	N/A		
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	N/A		
Intended Use Description	22X	58-79	N/A		
Disclaimer	1A	80	N/A		

Note 1:

PG01 Valid TTB Program Codes

Data Element	Code	Definition
Program Codes	BER	Beer
	DSP	Distilled Spirits
	TOB	Tobacco Product
	WIN	Wine



Record Identifier PG14 (PGA Identifier)

This is a conditional PGA input record (mandatory of the PG13 is sent) that provides data pertaining to Licenses, Permits, Certificates or Other (LPCO) issued by TTB and other government entities. The data elements included in this record are LPCO Type, LPCO Number, and LPCO Quantity. There may be more than one LPCO required for each TTB commodity. This record may be repeated.

<i>Record Identifier PG14 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	C	Code identifying the use type of LPCO information being provided. For example, 2.	1
LPCO Type	3AN	6-8	C	Code identifying the type of LPCO information being provided.	2
LPCO Number (or Name)	33X	9-41	C	The LPCO information. This is the TTB issued permit number indicating the IRC-bonded facility.	3
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1:

List of LPCO Transaction Type codes applicable for TTB imports. In most cases, the code for TTB will be 2.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
LPCO Transaction Type Codes (Categorizes the duration/type of the particular LPCO)	1	Single Use
	2	Continuous
	3	General

Note 2:

Appendix PGA lists TZ3 as the code to use for TTB-issued permits.

Note 3:

The TTB-issued importer permit number will be entered into this spaces, along with the permit number of the domestic manufacturer or export warehouse proprietor.



Record Identifier PG19

This is a conditional record that will be required if the consignee's EIN and address is not provided to CBP as part of the entry data.

<i>Record Identifier PG19 (Input)</i>				
Data Element	Length/ Class	Position	Status	Description
Control Identifier	2A	1-2	M	Must always equal PG.
Record Type	2N	3-4	M	Must always be 19.
Entity Role Code	3AN	5-7	C	Identifies the role of the entity. For TTB, this code will always be CN.
Entity Identification Code	3AN	8-10	C	Identifies the code being used to describe the entity. For TTB, this code will always be 348, which is the code for EIN.
Entity Number	15X	11-20	C	Consignee's EIN.
Entity Name	32X	26-57	C	Name of the Consignee.
Entity Address 1	23X	58-80	C	Address Line 1 for the Consignee.

Record Identifier PG20

This is a conditional record that will be required if the consignee's EIN and address is not provided to CBP as part of the entry data.

<i>Record Identifier PG20 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 20.	
Entity Address 2	32X	5-36	C	Address Line 2 for the Consignee, if needed	
Entity Apartment Number/Suite Number	5X	37-41	C	Apartment/Suite number of the Consignee.	
Entity City	21X	42-62	C	Consignee's City.	
Entity State/Province	3AN	63-65	C	Consignee's State	
Entity Country	2A	66-67	N/A		
Entity Zip/Postal Code	9X	68-76	C	Consignee's ZIP Code.	
Filler	4X	77-80	N/A	Space fill	



Record Identifier PG22 (Input)

This is a conditional PGA input record that provides data pertaining to Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications. This record can be repeated if more than one Document Identifier or Conformance Declaration is needed.

Record Identifier PG22 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 22.	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	C	A code of Y (yes) indicating that the importer has a copy of a document (contract, TTB permission letter, etc.) needed to import a product that is subject to TTB regulation. No other code is accepted.	1
Document Identifier	7AN	6-12	C	This code indicates the type of document or the specific PGA form number being certified. See Appendix PGA (Document Identifier) of this publication for valid codes.	2
Conformance Declaration	5X	13-17	N/A		
Entity Role Code	3AN	18-20	N/A		
Declaration Code	4AN	21-24	N/A		
Declaration Certification	1A	25	N/A		
Date of Signature	8N	26-33	N/A		
Invoice Number	17X	34-50	N/A		
Compliance Description	30X	51-80	C	Text describing the information required by the PGA.	3

Note 1:

The importer must declare that the facility the cargo is being moved to is bonded under the terms of the Internal Revenue Code.

Note 2:

The Document Identifier to use is as follows:

Data Element	Code	Description
Document Identifier	TTB1	A valid TTB permit



Note 3:

The compliance description to be included is as follows:

<i>Data Element</i>	<i>Text</i>	<i>Description</i>
Compliance Description	Released Under IRC Bond	Acknowledgement that the importer has a valid TTB permit and the goods are released from customs custody under the internal revenue bond of a domestic, TTB-permitted manufacturer or export warehouse proprietor.

3. Certificate of Label Approval (COLA) – TTB 5100.31

No imported beverages, wine in containers, bottled distilled spirits, or malt beverages, shall be released from Customs custody for consumption unless the importer has a Certificate of Label Approval (COLA) from TTB. The approval number must be provided at time of entry.

The following are the potential PGA records associated with the TTB COLA.

<i>PG Record</i>	<i>Description</i>
OI	The commercial description of the shipment.
PG01	Identifies the commodity type as regulated by TTB.
PG14	Licenses, Permits, Certificates, or Exemptions issued by TTB.
PG22	Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.

TTB-COLA Map from Existing TTB Form 5100.31 Sample

The COLA is obtained before it can be used. Therefore, the TTB message set will include an indicator that an approved TTB COLA exists and the COLA number will be included. The COLA must be obtained prior to removal from CBP custody and presented to the appropriate TTB officer upon request. This will be accomplished as follows.



COLA Message Set Layout for Sample

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29
O	I									W	I	N	E		L	E	S	S		T	H	A	N			1	4	%
P	G	0	1	0	0	1	T	T	B	W	I	N				Y												
P	G	1	4	2	T	Z	3	M	D	-	I	-	9	9	9	9	9											
P	G	1	4	2	T	Z	1	1	4	1	9	9	9	9	9	9	9	9	9	9	9	9						
P	G	2	2	Y	1	1																						

COLA Message Set Description

The following records and codes were used to create the TTB Message Set Sample 3. The status column in the following record set descriptions identifies the data element as Mandatory (M), Conditional (C), Optional (O), or Not Applicable (N/A). Mandatory Data Elements are required because either the particular record requires it, or it is required by TTB.

Because of the flexibility of the PGA Message set, the PGA Records and Data Elements that are required may vary both from program to program and within a single program.

COLA Record Identifier OI (Input)

This is a mandatory PGA line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message set.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space filled.	
Commercial Description	70X	11-80	M	The commercial description of the product. For example, Wine Less Than 14% alcohol. See Appendix A of this document for further instruction.	



Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, Globally Unique Product Identification Code, Intended Use Code, Intended Use Description, and Disclaimer.

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“TTB”	
Government Agency Program Code	3X	11-13	M	“WIN”	1
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	N/A		
Confidential Information Indicator	1A	18	N/A		
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	N/A		
Intended Use Description	22X	58-79	N/A		
Disclaimer	1A	80	N/A		

Note 1:

PG01 Valid TTB Program Codes

<i>Data Element</i>	<i>Code</i>	<i>Definition</i>
Program Codes	BER	Beer
	DSP	Distilled Spirits
	TOB	Tobacco Product
	WIN	Wine



Record Identifier PG14 (PGA Identifier)

This is a conditional PGA input record (mandatory if the PG13 is sent) that provides data pertaining to Licenses, Permits, Certificates or Other (LPCO) issued by TTB and other government entities. The data elements included in this record are LPCO Type, LPCO Number, and LPCO Quantity. There may be more than one LPCO required for each TTB commodity. This record may be repeated. For this example, there will be two PG14 records, one for the TTB permit and one for the COLA.

<i>Record Identifier PG14 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	C	Code identifying the use type of LPCO information being provided. For example, 2.	1
LPCO Type	3AN	6-8	C	Code identifying the type of LPCO information being provided.	2
LPCO Number (or Name)	33X	9-41	C	The LPCO information. This is the TTB issued permit number indicating the IRC-bonded facility.	3
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1:

List of LPCO Transaction Type codes applicable for TTB imports. In most cases, the code for TTB will be 2.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
LPCO Transaction Type Codes (Categorizes the duration/type of the particular LPCO)	1	Single Use
	2	Continuous
	3	General

Note 2:

Appendix PGA lists TZ3 as the code to use for TTB-issued permits.



Note 3:

The TTB-issued importer permit number will be entered into this spaces. This block must be a manufacturer or export warehouse permit number.

Record Identifier PG14 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	C	Code identifying the use type of LPCO information being provided. For example, 2.	1
LPCO Type	3AN	6-8	C	Code identifying the type of LPCO information being provided.	2
LPCO Number (or Name)	33X	9-41	C	The LPCO information. This is the TTB approved COLA number.	3
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1:

List of LPCO Transaction Type codes applicable for TTB imports. In most cases, the code for TTB will be 2 for COLAs.

Data Element	Code	Description
LPCO Transaction Type Codes (Categorizes the duration/type of the particular LPCO)	1	Single Use
	2	Continuous
	3	General

Note 2:

Appendix PGA lists the TTB document identifiers as:

Data Element	Code	Description
LPCO Type	TZ1	TTB Label Approval Number
	TZ2	TTB Tax Rate Certificate
	TZ3	TTB Permit Number



Note 3:

The TTB-issued COLA number will be entered into the space for “TTB Label Approval Number”.

Record Identifier PG22

This is a conditional PGA input record that provides data pertaining to Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications. This record can be repeated if more than one Document Identifier or Conformance Declaration is needed.

<i>Record Identifier PG22 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 22.	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	C	A code of Y (yes) indicating that the importer has a copy of a document (contract, TTB permission letter, etc.) needed to import a product that is under TTB jurisdiction. No other code is accepted.	1
Document Identifier	7AN	6-12	C	This code indicates the type of document or the specific PGA form number being certified. See Appendix PGA (Document Identifier) of this publication for valid codes.	2
Conformance Declaration	5X	13-17	N/A		
Entity Role Code	3AN	18-20	N/A		
Declaration Code	4AN	21-24	N/A		
Declaration Certification	1A	25	N/A		
Date of Signature	8N	26-33	N/A		
Invoice Number	17X	34-50	N/A		
Compliance Description	30X	51-80	N/A		

Note 1:

The importer must certify that the importer has obtained a COLA or has obtained permission to use a COLA from the COLA-holder and that the COLA will be presented upon request.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Importers Substantiating Signed Document /Signed Confirmation Letter Document Identifier	Y	Certification that the importer has a COLA



Note 2:

The Document Identifier to use is as follows:

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Document Identifier	11	COLA

4. Certificates

There are a variety of certificates, Certificates of Origin (Scotch, Irish, Canadian and other Whiskies); Certificate of Age and Origin (Brandy, Cognac, Rum, and Tequila); Certificates Required by Foreign Governments; Certification of Vintage Wine; Certification of Origin and Identity; and Certification of Proper Cellar Treatment of Natural Wine that are required upon import. These certificates shall be presented to TTB upon request. For the TTB message set, an indicator is included in the message set that certifies a certificate is available for TTB review.

The following are the potential PGA records associated with submitting TTB Certificates.

Certificate Record Identifier OI (Input)

<i>PG Record</i>	<i>Description</i>
OI	The commercial description of the shipment.
PG01	Identifies the commodity type as regulated by TTB.
PG13	Provides information pertaining to Licenses, Permits, Certificates, or Exemptions issued by TTB.
PG14	Licenses, Permits, Certificates, or Exemptions issued by TTB.
PG22	Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.

Certificate Required for Champagne Imports Sample

Certificates are documents that are required for certain alcohol beverages and must be made available upon request. Typically these certificates are issued by foreign entities, and are not standardized, so there are no forms to map to the TTB message set. Instead, there will be an indicator that the certificate is available for review and the entity which issued the certificate will be identified as detailed below. The example used is for champagne which must have a certificate issued by French authorities.

TTB Certificate Message Set Layout for Sample



1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	40	41	42	43	44	
O	I										C	H	A	M	P	A	G	N	E										
P	G	0	1	0	0	1	T	T	B	W	I	N				Y													
P	G	1	3																						I	S	O	F	R
P	G	1	4	T	Z	3	M	D	-	I	-	9	9	9	9	9													
P	G	1	4	T	Z	4	F	0	0	0	0	0	0	0	0														
P	G	2	2	Y	8	6	3																						

Certificate Message Set Description

The following records and codes were used to create the TTB Message Set Sample 4. The status column in the following record set descriptions identifies the data element as Mandatory (M), Conditional (C), Optional (O), or Not Applicable (N/A). Mandatory Data Elements are required because either the particular record requires it, or it is required by TTB.

Because of the flexibility of the PGA Message set, the PGA Records and Data Elements that are required may vary both from program to program and within a single program.

Certificate Record Identifier OI (Input)

This is a mandatory PGA line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message set.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space filled.	
Commercial Description	70X	11-80	M	The commercial description of the product. For example, “Champagne”. See Appendix A of this document for further instruction.	



Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, Globally Unique Product Identification Code, Intended Use Code, Intended Use Description, and Disclaimer.

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“TTB”	
Government Agency Program Code	3X	11-13	M	“WIN”	1
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	N/A		
Confidential Information Indicator	1A	18	N/A		
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	N/A		
Intended Use Description	22X	58-79	N/A		
Disclaimer	1A	80	N/A		

Note 1:

PG01 Valid TTB Program Codes

<i>Data Element</i>	<i>Code</i>	<i>Definition</i>
Program Codes	BER	Beer
	DSP	Distilled Spirits
	TOB	Tobacco Product
	WIN	Wine



Record Identifier PG13 (Input)

This is a conditional PGA input record that provides data pertaining to Licenses, Permits, Certificates or Other (LPCO). The data elements included in this record are Issuer and location of issuer of the LPCO. There may be more than one LPCO required for each PGA per product. If using this record, a PG14 is mandatory. This record is repeatable in combination with the PG14.

<i>Record Identifier PG13 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 13.	
Issuer of LPCO	35X	5-39	N/A	Identifies the entity that issued the LPCO	
LPCO Issuer - Government Geographic Code Qualifier	3A	40-42	C	The code relating to the location of the issuer of the LPCO. This will be ISO, for the country of issuance.	
Location (Country/State/Province) of Issuer of the LPCO	3A	43-45	C	Identifies the location of the issuer of the LPCO (the ISO Foreign Country Code). Enter the appropriate code from Appendix B in the ACS ABI CATAIR.	1
Regional description of location of Agency Issuing the LPCO	25X	46-70	N/A	Free form regional description of the location within a country, of the agency issuing the LPCO.	
Filler	10X	71-80	M	Space fill	

Note 1:

The ISO Country Code for France is FR.

<i>Data Element</i>	<i>Code</i>	<i>Definition</i>
Location (Country/State/Province) of Issuer of the LPCO	FR	France



Record Identifier PG14 (PGA Identifier)

This is a conditional PGA input record (mandatory if the PG13 is sent) that provides data pertaining to Licenses, Permits, Certificates or Other (LPCO) issued by TTB and other government entities. The data elements included in this record are LPCO Type, LPCO Number, and LPCO Quantity. There may be more than one LPCO required for each TTB commodity and for this example this record will be repeated. There will be one PG14 record for the permit and another PG14 record for the required certificate.

<i>Record Identifier PG14 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	C	Code identifying the use type of LPCO information being provided. For example, 2.	1
LPCO Type	3AN	6-8	C	Code identifying the type of LPCO information being provided.	2
LPCO Number (or Name)	33X	9-41	C	The LPCO information. This is the TTB issued permit number indicating the IRC-bonded facility.	3
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1:

List of LPCO Transaction Type codes applicable for TTB imports. In most cases, the code for TTB will be 2.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
LPCO Transaction Type Codes (Categorizes the duration/type of the particular LPCO)	1	Single Use
	2	Continuous
	3	General

Note 2:

Appendix PGA lists TZ3 as the code to use for TTB-issued permits.

Note 3:



ACE Filing Instructions for TTB-Regulated Commodities

The TTB-issued importer permit number will be entered into this spaces. This block must be a manufacturer or export warehouse permit number.

Record Identifier PG14 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	C	Code identifying the use type of LPCO information being provided. For example, 1.	1
LPCO Type	3AN	6-8	C	Code identifying the type of LPCO information being provided. For example, TZ1.	2
LPCO Number (or Name)	33X	9-41	C	The LPCO information. This is the TTB approved COLA number.	
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1:

List of LPCO Transaction Type codes applicable for TTB imports.

Data Element	Code	Description
LPCO Transaction Type Codes (Categorizes the duration/type of the particular LPCO)	1	Single Use
	2	Continuous
	3	General

Note 2:

Appendix PGA lists the TTB document identifiers as:

Data Element	Code	Description
LPCO Type	TZ1	TTB Label Approval Number
	TZ2	TTB Tax Rate Certificate
	TZ3	TTB Permit Number
	TZ4	Certificate (other than COLA or tax rate)



Record Identifier PG22

This is a conditional PGA input record that provides data pertaining to Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications. This record can be repeated if more than one Document Identifier or Conformance Declaration is needed.

<i>Record Identifier PG22 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 22.	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	C	A code of Y (yes) indicating that the importer has a copy of a document (contract, TTB permission letter, etc.) needed to import a product that is under TTB jurisdiction. No other code is accepted.	1
Document Identifier	7AN	6-12	C	This code indicates the type of document or the specific PGA form number being certified. See Appendix PGA (Document Identifier) of this publication for valid codes.	2
Conformance Declaration	5X	13-17	N/A		
Entity Role Code	3AN	18-20	N/A		
Declaration Code	4AN	21-24	N/A		
Declaration Certification	1A	25	N/A		
Date of Signature	8N	26-33	N/A		
Invoice Number	17X	34-50	N/A		
Compliance Description	30X	51-80	N/A		

Note 1:

The importer must certify that a copy of the COLA exists and will be presented upon request.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Importers Substantiating Signed Document /Signed Confirmation Letter Document Identifier	Y	Certification that the importer has a copy of a required certificate for import.



Note 2:

The Document Identifier to use is as follows:

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Document Identifier	268	Wine Certificate – Certificate attesting to the quality, origin, or appellation of wine.
	861	Certificate of Origin
	863	Regional Appellation Certificate

5. Standard Effective Tax Rate Approval Document (TTB Tax Rate Certificate)

An importer who imports distilled spirits on which the tax is to be paid or determined at an effective tax rate based in whole, or in part, on the alcohol content derived from eligible wine or eligible flavor must have a TTB-issued certificate of effective tax rate or document showing a TTB-approved standard effective tax rate. The certificate or document must be presented if requested at the time of entry into the US or when withdrawn from a bonded facility for consumption. The importer must certify at entry that the importer is in possession of such document and will make it available upon request.

<i>PG Record</i>	<i>Description</i>
OI	The commercial description of the shipment.
PG01	Identifies the commodity type as regulated by TTB.
PG14	Licenses, Permits, Certificates, or Exemptions issued by TTB.
PG22	Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.

Standard Effective Tax Rate Approval Sample

The approval is currently not a standardized form, so there are no forms to map to the TTB message set. Instead, there will be an indicator that the document is available upon request.



TTB Standard Effective Tax Rate Message Set Layout Sample

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
O	I									R	U	M					
P	G	0	1	0	0	1	T	T	B	D	S	P				Y	
P	G	1	4	T	Z	3	M	D	-	I	-	9	9	9	9	9	
P	G	1	4		T	Z	2										
P	G	2	2	Y	1	0											

Standard Effective Tax Rate Approval Message Set Description

The following records and codes were used to create the TTB Message Set Sample 5. The status column in the following record set descriptions identifies the data element as Mandatory (M), Conditional (C), Optional (O), or Not Applicable (N/A). Mandatory Data Elements are required because either the particular record requires it, or it is required by TTB.

Because of the flexibility of the PGA Message set, the PGA Records and Data Elements that are required may vary both from program to program and within a single program.

Standard Effective Tax Rate Record Identifier OI (Input)

This is a mandatory PGA line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message set.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space filled.	
Commercial Description	70X	11-80	M	The commercial description of the product. For example, “Rum”. See Appendix A of this document for further instruction.	



Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, Globally Unique Product Identification Code, Intended Use Code, Intended Use Description, and Disclaimer.

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“TTB”	
Government Agency Program Code	3X	11-13	M	“DSP”	1
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	N/A		
Confidential Information Indicator	1A	18	N/A		
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	N/A		
Intended Use Description	22X	58-79	N/A		
Disclaimer	1A	80	N/A		

Note 1:

PG01 Valid TTB Program Codes

<i>Data Element</i>	<i>Code</i>	<i>Definition</i>
	DSP	Distilled Spirits

Record Identifier PG14 (PGA Identifier)



ACE Filing Instructions for TTB-Regulated Commodities

This is a conditional PGA input record (mandatory if the PG13 is sent) that provides data pertaining to Licenses, Permits, Certificates or Other (LPCO) issued by TTB and other government entities. The data elements included in this record are LPCO Type, LPCO Number, and LPCO Quantity. There may be more than one LPCO required for each TTB commodity and for this example this record will be repeated. There will be one PG14 record for the permit and another PG14 record to indicate that TTB has issued a standard effective tax rate letter.

<i>Record Identifier PG14 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	C	Code identifying the use type of LPCO information being provided. For example, 2.	1
LPCO Type	3AN	6-8	C	Code identifying the type of LPCO information being provided.	2
LPCO Number (or Name)	33X	9-41	C	The LPCO information. This is the TTB issued permit number indicating the IRC-bonded facility.	3
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1:

List of LPCO Transaction Type codes applicable for TTB imports. In most cases, the code for TTB will be 2.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
LPCO Transaction Type Codes (Categorizes the duration/type of the particular LPCO)	1	Single Use
	2	Continuous
	3	General

Note 2:

Appendix PGA lists TZ3 as the code to use for TTB-issued permits.

Note 3:



ACE Filing Instructions for TTB-Regulated Commodities

The TTB-issued importer permit number will be entered into this spaces. This block must be a manufacturer or export warehouse permit number.

Record Identifier PG14 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	C	Code identifying the use type of LPCO information being provided. For example, 1.	1
LPCO Type	3AN	6-8	C	Code identifying the type of LPCO information being provided.	2
LPCO Number (or Name)	33X	9-41	N/A		
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1:

List of LPCO Transaction Type codes applicable for TTB imports. In most cases, the code for TTB will be 2 for the Certificate of Standard Effective Tax Rates.

Data Element	Code	Description
LPCO Transaction Type Codes (Categorizes the duration/type of the particular LPCO)	1	Single Use
	2	Continuous
	3	General

Note 2:

For this process, the correct TTB document identifiers is TZ2:

Data Element	Code	Description
LPCO Type	TZ1	TTB Label Approval Number
	TZ2	TTB Tax Rate Certificate
	TZ3	TTB Permit Number
	TZ4	Certificate (other than COLA and tax rate)

Record Identifier PG22



ACE Filing Instructions for TTB-Regulated Commodities

This is a conditional PGA input record that provides data pertaining to Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications. This record can be repeated if more than one Document Identifier or Conformance Declaration is needed.

<i>Record Identifier PG22 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 22.	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	C	A code of Y (yes) indicating that the importer has a copy of a document (contract, TTB permission letter, etc.) needed to import a product that is under TTB jurisdiction. No other code is accepted.	1
Document Identifier	7AN	6-12	C	This code indicates the type of document or the specific PGA form number being certified. See Appendix PGA (Document Identifier) of this publication for valid codes.	2
Conformance Declaration	5X	13-17	N/A		
Entity Role Code	3AN	18-20	N/A		
Declaration Code	4AN	21-24	N/A		
Declaration Certification	1A	25	N/A		
Date of Signature	8N	26-33	N/A		
Invoice Number	17X	34-50	N/A		
Compliance Description	30X	51-80	N/A		

Note 1:

The importer must certify that a copy of the COLA exists and will be presented upon request.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Importers Substantiating Signed Document /Signed Confirmation Letter Document Identifier	Y	Certification that the importer has a copy of a required certificate for import.

Note 2:

The Document Identifier to use is as follows:

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Document Identifier	10	TTB Tax Rate Letter



	268	Wine Certificate – Certificate attesting to the quality, origin, or appellation of wine.
	861	Certificate of Origin
	863	Regional Appellation Certificate

6. Alcohol in Bulk Containers Withdrawn from CBP Custody without Payment of Federal Excise Tax

Imported beer, wine, and distilled spirits in bulk containers may be released from CBP custody without payment of tax for delivery to the bonded premises of a distilled spirits plant (DSP), bonded wine premises, or brewery. Each DSP, bonded wine premises, or brewery must have a TTB permit (or for beer, a brewer’s notice) and be covered by an Internal Revenue Code bond.

The following are the potential PGA records associated with indicating that alcohol is being released from CBP custody without payment of tax and shipped via IRC bond to a TTB permit holder.

<i>PG Record</i>	<i>Description</i>
OI	The commercial description of the shipment.
PG01	Identifies the commodity type as regulated by TTB.
PG14	Licenses, Permits, Certificates, or Exemptions issued by TTB.
PG19	Consignee Information
PG20	Consignee Information
PG22	Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.

Alcohol in Bulk Containers Released from CBP Custody without Payment of Federal Excise Tax Sample

There is no form to map to the message set, instead an indicator that a shipment of rum will be released from CBP custody without payment of tax and consigned to a distilled spirits plant TTB permit holder and that an IRC bond exists.



Bulk Alcohol Released Without Payment of Tax Message Set Description

The following records and codes were used to create the TTB Message Set Sample 6. The status column in the following record set descriptions identifies the data element as Mandatory (M), Conditional (C), Optional (O), or Not Applicable (N/A). Mandatory Data Elements are required because either the particular record requires it, or it is required by TTB.

Because of the flexibility of the PGA Message set, the PGA Records and Data Elements that are required may vary both from program to program and within a single program.

Bulk Alcohol Released Without Payment of Tax Record Identifier OI (Input)

This is a mandatory PGA line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message set.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space filled.	
Commercial Description	70X	11-80	M	The commercial description of the product. For example, “Rum”. See Appendix A of this document for further instruction.	

Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, Globally Unique Product Identification Code, Intended Use Code, Intended Use Description, and Disclaimer.

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“TTB”	



Record Identifier PG01 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Government Agency Program Code	3X	11-13	M	“DSP”	1
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	N/A		
Confidential Information Indicator	1A	18	N/A		
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	N/A		
Intended Use Description	22X	58-79	N/A		
Disclaimer	1A	80	N/A		

Note 1:

PG01 Valid TTB Program Codes

<i>Data Element</i>	<i>Code</i>	<i>Definition</i>
Program Codes	BER	Beer
	DSP	Distilled Spirits
	WIN	Wine

Record Identifier PG14 (PGA Identifier)

This is a conditional PGA input record (mandatory if the PG13 is sent) that provides data pertaining to Licenses, Permits, Certificates or Other (LPCO) issued by TTB and other government entities. The data elements included in this record are LPCO Type, LPCO Number, and LPCO Quantity. TWO LPCO record indicators will be required for each TTB permit holder. One record will include the importer permit number and the second will include the distilled spirits plant permit number. This record may be repeated.



Record Identifier PG14 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	C	Code identifying the use type of LPCO information being provided. For example, 2.	1
LPCO Type	3AN	6-8	C	Code identifying the type of LPCO information being provided.	2
LPCO Number (or Name)	33X	9-41	C	The LPCO information. This is the TTB issued importer permit number.	3
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1:

List of LPCO Transaction Type codes applicable for TTB imports. In most cases, the code for TTB will be 2.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
LPCO Transaction Type Codes (Categorizes the duration/type of the particular LPCO)	1	Single Use
	2	Continuous
	3	General

Note 2:

Appendix PGA lists TZ3 as the code to use for TTB-issued permits and brewer’s notices.

Note 3:

The TTB-issued importer permit number will be entered into this space, along with the permit number of the domestic DSP, the permit number of the bonded wine premises, or the brewer’s notice number of the domestic brewery receiving the alcohol under internal revenue bond.



Record Identifier PG14 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	C	Code identifying the use type of LPCO information being provided. For example, 1.	1
LPCO Type	3AN	6-8	C	Code identifying the type of LPCO information being provided.	2
LPCO Number (or Name)	33X	9-41	C	The LPCO information. This is the TTB permit number for the DSP or bonded wine premises where the spirits are being shipped under an IRC bond, or the brewer’s notice number for the brewery where beer is being shipped under an IRC bond.	3
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1:

List of LPCO Transaction Type codes applicable for TTB imports.

Data Element	Code	Description
LPCO Transaction Type Codes (Categorizes the duration/type of the particular LPCO)	1	Single Use
	2	Continuous
	3	General

Note 2:

Appendix PGA lists the TTB document identifiers as:

Data Element	Code	Description
LPCO Type	TZ1	TTB Label Approval Number
	TZ2	TTB Tax Rate Certificate
	TZ3	TTB Permit Number or Brewer’s Notice Number
	TZ4	Certificate (other than COLA and tax rate)



Note 3:

Include the TTB permit number or brewer’s notice number for the distilled spirits plant, bonded wine premises, or brewery where the distilled spirits, wine, or beer are transferred under IRC bond from CBP custody.

Record Identifier PG19

This is a conditional record that will be required if the consignee’s EIN and address is not provided to CBP as part of the entry data.

<i>Record Identifier PG19 (Input)</i>				
Data Element	Length/ Class	Position	Status	Description
Control Identifier	2A	1-2	M	Must always equal PG.
Record Type	2N	3-4	M	Must always be 19.
Entity Role Code	3AN	5-7	C	Identifies the role of the entity. For TTB, this code will always be CN.
Entity Identification Code	3AN	8-10	C	Identifies the code being used to describe the entity. For TTB, this code will always be 348, which is the code for EIN.
Entity Number	15X	11-20	C	Consignee’s EIN.
Entity Name	32X	26-57	C	Name of the Consignee.
Entity Address 1	23X	58-80	C	Address Line 1 for the Consignee.



Record Identifier PG20

This is a conditional record that will be required if the consignee's EIN and address is not provided to CBP as part of the entry data.

Record Identifier PG20 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 20.	
Entity Address 2	32X	5-36	C	Address Line 2 for the Consignee, if needed	
Entity Apartment Number/Suite Number	5X	37-41	C	Apartment/Suite number of the Consignee.	
Entity City	21X	42-62	C	Consignee's City.	
Entity State/Province	3AN	63-65	C	Consignee's State	
Entity Country	2A	66-67	N/A		
Entity Zip/Postal Code	9X	68-76	C	Consignee's ZIP Code.	
Filler	4X	77-80	N/A	Space fill	



Record Identifier PG22

This is a conditional PGA input record that provides data pertaining to Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications. This record can be repeated if more than one Document Identifier or Conformance Declaration is needed.

<i>Record Identifier PG22 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 22.	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	C	A code of Y (yes) indicating that the importer has a copy of a document (contract, TTB permission letter, etc.) needed to import a product that is under TTB jurisdiction. No other code is accepted.	1
Document Identifier	7AN	6-12	C	This code indicates the type of document or the specific PGA form number being certified. See Appendix PGA (Document Identifier) of this publication for valid codes.	2
Conformance Declaration	5X	13-17	N/A		
Entity Role Code	3AN	18-20	N/A		
Declaration Code	4AN	21-24	N/A		
Declaration Certification	1A	25	N/A		
Date of Signature	8N	26-33	N/A		
Invoice Number	17X	34-50	N/A		
Compliance Description	30X	51-80	N/A		3

Note 1:

The importer must certify that a copy of the TTB approval letter exists and will be presented upon request.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Importers Substantiating Signed Document /Signed Confirmation Letter Document Identifier	Y	Certification that the facility is covered by IRC bond.



Note 2:

The Document Identifier to use is as follows:

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Document Identifier	TTB1	A valid TTB permit or brewer's notice.

Note 3:

The compliance description to be included is as follows:

<i>Data Element</i>	<i>Text</i>	<i>Description</i>
Compliance Description	Released Under IRC Bond	Acknowledgement that the importer has a valid TTB permit and the goods are released under the terms of the receiving DSP's, bonded winery's, or brewery's IRC bond.

7. Large Cigar Sales Price

Generally, the tax imposed on large cigars is computed based on the sale price (the price for which the large cigars are sold by the importer). At present the rate is 52.75% of the sale price not to exceed \$.4026 per cigar. The percentage tax rate applies when the sale price is \$763.222 or less per thousand cigars, and the flat tax rate applies when the sale price is more than \$763.222 per thousand cigars. The sales price will be included in the TTB message set. The following are potential PGA records associated with submitting the large cigar sale price.

<i>PG Record</i>	<i>Description</i>
OI	The commercial description of the shipment.
PG01	Identifies the commodity type as regulated by TTB.
PG14	Licenses, Permits, Certificates, or Exemptions issued by TTB.
PG22	Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.



Large Cigar Sale Price Sample

An import of 1,000 large cigars with a sale price of \$764.00. The excise tax for this example will be \$402.60.

```

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 51 52 53 54 55 56 57 58
O I                               L A R G E           C I G A R S
P G 0 1 0 0 1 T T B T O B
P G 1 4 3 T Z 3 T P - M D - 9 9 9 9 9
P G 2 2                               7 6 4 . 0 0 0
  
```

Large Cigar Sale Price Description

The following records and codes were used to create the TTB Message Set Sample 7. The status column in the following record set descriptions identifies the data element as Mandatory (M), Conditional (C), Optional (O), or Not Applicable (N/A). Mandatory Data Elements are required because either the particular record requires it, or it is required by TTB.

Because of the flexibility of the PGA Message set, the PGA Records and Data Elements that are required may vary both from program to program and within a single program.

Large Cigar Sale Price Record Identifier OI (Input)

This is a mandatory PGA line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message set.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space filled.	
Commercial Description	70X	11-80	M	The commercial description of the product. For example, “Large Cigars”. See Appendix A of this document for further instruction.	



Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, Globally Unique Product Identification Code, Intended Use Code, Intended Use Description, and Disclaimer.

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“TTB”	
Government Agency Program Code	3X	11-13	M	“TOB”	1
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	N/A		
Confidential Information Indicator	1A	18	N/A		
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	N/A		
Intended Use Description	22X	58-79	N/A		
Disclaimer	1A	80	N/A		

Note 1:

PG01 Valid TTB Program Codes

<i>Data Element</i>	<i>Code</i>	<i>Definition</i>
	TOB	Tobacco



Record Identifier PG14 (PGA Identifier)

This is a conditional PGA input record (mandatory if the PG13 is sent) that provides data pertaining to Licenses, Permits, Certificates or Other (LPCO) issued by TTB and other government entities. The data elements included in this record are LPCO Type, LPCO Number, and LPCO Quantity. There may be more than one LPCO required for each TTB commodity. This record may be repeated.

<i>Record Identifier PG14 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	C	Code identifying the use type of LPCO information being provided. For example, 1.	1
LPCO Type	3AN	6-8	C	Code identifying the type of LPCO information being provided.	2
LPCO Number (or Name)	33X	9-41	C	The LPCO information. This is the TTB permit number for the importer.	3
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1:

List of LPCO Transaction Type codes applicable for TTB imports.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
LPCO Transaction Type Codes (Categorizes the duration/type of the particular LPCO)	1	Single Use
	2	Continuous
	3	General

Note 2:

For this process, the correct TTB document identifiers is TZ3:

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
LPCO Type	TZ1	TTB Label Approval Number
	TZ2	TTB Tax Rate Certificate
	TZ3	TTB Permit Number
	TZ4	Certificate (other than COLA and tax rate)



Record Identifier PG22

This is a conditional PGA input record that provides data pertaining to Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications. This record can be repeated if more than one Document Identifier or Conformance Declaration is needed.

Record Identifier PG22 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 22.	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	N/A		
Document Identifier	7AN	6-12	N/A		
Conformance Declaration	5X	13-17	N/A		
Entity Role Code	3AN	18-20	N/A		
Declaration Code	4AN	21-24	N/A		
Declaration Certification	1A	25	N/A		
Date of Signature	8N	26-33	N/A		
Invoice Number	17X	34-50	N/A		
Compliance Description	30X	51-80	C	Text indicating the sales price of the large cigars upon which the tax is determined. This is a numeric-only field for the sales price per 1000 cigars.	



Appendix A: Allowable Inputs for TTB Message Set

Record Identifier OI (Input)

This is a mandatory PGA line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message set.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space filled.	
Commercial Description	70X	11-80	M	The commercial description of the product. For example, Small Cigars.	

Allowable Commercial Descriptions for TTB-regulated goods:

- Large Cigars
- Small Cigars
- Large Cigarettes
- Small Cigarettes
- Snuff
- Chewing Tobacco
- Pipe Tobacco
- Cigarette Tobacco
- Cigar Tobacco
- Roll-Your-Own Tobacco
- Processed Tobacco
- Cigarette Wrapper
- Cigar Wrapper
- Cigarette Papers up to 6 ½ Inches in Length
- Cigarette Papers over 6 ½ Inches in Length
- Cigarette Tubes up to 6 ½ Inches in Length
- Cigarette Tubes over 6 ½ Inches in Length
- Brandy
- Whiskey
- Tequila
- Rum
- Cognac
- Armagnac
- Other Distilled Spirits for Beverage Purposes
- Non-Beverage Alcohol



Beer and Malt Beverages, Bulk
Beer and Malt Beverages, Packaged
Hard Cider
Champagne
Other Sparkling Wines
Wine containing less than 14% alcohol by volume
Wine containing no more than 14% alcohol by volume
Wine containing between 14% and 21% alcohol by volume
Wine containing between 21% and 24% alcohol by volume
Wine, Artificially carbonated

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