

TSN Requirement Report - ACTIVE REQUIREMENTS - CROCKETT

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-007.1	972	The importer account portal should contain the following additional detail: b. List/search capability for all Protests/Petitions/PEAs/SILs filed and status	6/14/2002	Pending	Inc 11, 12	October 2014: PSC data Protests/Petitions data once capability is delivered AUGUST 18, 2009 - TH PENDING CBP CHAN ALSO COVERS ACT-0 ACT-007.9 3/25/08 - Post Summary for A2.3. Protests will b 8/9/07 - Will be impleme Display capability will be number. 1/17/07 Post S PEs with A2.

Owner: Monica Crockett

Requirement # **GIF** **TSN Requirement Description** **Submission date** **Status** **Release (s)** **Comments**

ACT-007.14	970	View compliance measurements by port and nationwide by EIN for rejections, exam errors, CF 28s, CF29s, liquidation changes, disclosures, late filings, etc.	6/14/2002	Accepted in Part	Inc 10, 11	<p>October 2014: The ACE trade and Agile teams to capabilities become available</p> <p>DETERMINED TO BE</p> <p>AUGUST 18, 2009-THIS PENDING CBP CHANGE THIS GIF COVERS AC 014.1 FOR ALL FUTURE</p> <p>Update: 3/25/08 - Need address. The Accounts needed to clarify this re</p> <p>Status 4/11/07: Not all Neuhart. Compliance fu errors belong to K. San will be held and CBP/AS committee review.</p> <p>1/26/07 - Accepted in pa currently available.</p> <p>Transaction data will be format that the requirem</p> <p>Cross over with multiple</p> <p>(Reviewed by CCR team provided)</p>
			<i>Owner:</i> Monica Crockett			

ACT-007.4	977	The importer account portal should contain the following additional detail: h. ii. See a flag on each line where the HTS was changed in liquidation	6/14/2002	Pending	TBD	<p>October 2014: Pending</p> <p>AUGUST 24, 2009 - TH PENDING CBP CHANGE THIS GIF COVERS AC</p>
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ACT-007.7	842	Add USC Administrative messages List /search open CF 28/29s by importer and filer List/search closed CF 28/29s by importer and filer	6/14/2002	Pending	Inc 12	October 2014: Pending AUGUST 28, 2009 - FR ACCEPTED and delive A2.3.1a. For Brokers th 842-ACT-801-A2.3-BR & 4647 VIA THE PORT Update 4/20/2009 - A2.2 report. Account commit report meets needs. Po importers. Update: 3/25/08 - Admi List/search open CF 28 4/3/2007 - CR 1896 will administrative message GIF 217 has been prepa Administrative Messag 2/16/2006. 1/17/07 A CR has been Administrative message Admin Messages thru A not been selected. ACE is not tracking ope able to search an entry been issued.

Owner: Monica Crockett

ACT-007.8	972	List open increased Duty Bills/Sanction	6/14/2002	Pending	Inc 9, 10, 11, 12	October 2014: Pending AUGUST 18, 2009 - TH PENDING CBP CHAN ALSO COVERS ACT-C ACT 007.0
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ACT-007.9	972	List open outstanding Duty Bills (all duty bills that do not have a paid status) (portal requirement)	6/14/2002	Pending	Inc 9, 10, 11, 12	October 2014: Pending AUGUST 18, 2009 - TH PENDING CBP CHAN ALSO COVERS ACT-0 ACT-007.9 Update: 03/25/08 - Rev 7/25/07: GIF requireme Revenue workshop. If r committee review. Update : 6/18/2007: Do capability. CBP can pro pull a separate GIF will
<i>Owner:</i> Monica Crockett						
ACT-009.10	985	The surety account shall have the ability to query/view protest data by importer and entry number or bill number with the ability to file a protest through the portal. It shall also provide the ability to view/query/petitions and offers in compromise by importer and entry number or case number, with the ability to file a petition or offer in compromise through the portal. (Portal requirement)	6/14/2002	Pending	Inc 11, 12	October 2014: Pending AUGUST 28, 2009 - TH CSPO-GIF-985-ACT-0 INFORMATION. Update: 3/25/08 - Need more specifics by the R A2.4 8/9/07 - Ability to file a p ACE. May require lega data. Need more specifi to query. Will be discuss Revised 9/23/04. This point includes two Query/view functionality time to allow protests to
<i>Owner:</i> Monica Crockett						

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ACT-009.12	959	<p>The surety account shall continue to be provided the ability to receive regular, periodic downloads of its continuous bonds, and entries (and related courtesy notices), in-bond movements, increased/supplemental duty bills, and FP&F cases arising from activities secured by its bonds.</p> <p>(Download requirement)</p>	6/14/2002	Accepted in Part	Inc 10	<p>October 2014: Pending</p> <p>FEBRUARY 8, 2011 - D FOR CARGO RELEASE</p> <p>AUGUST 28, 2009 - TH CSPO-GIF=959-ACT-8 DATA DOWNLOAD.</p> <p>Update: 3/25/08 - Further was filed or still being p</p> <p>7/25/07: GIF requireme Revenue workshop. If re committee review.</p> <p>Update 6/18/2007: This further review by the ES data download issue an determine if an addition</p> <p>Requirement revised 9/</p> <p>No FP&F data. Surety</p>
			<i>Owner:</i>	Monica Crockett		
ACT-009.13	985	<p>The surety account shall have the ability to export data from entry, bill, and FP&F portal reports in order to manipulate for output or internal processing. Would be similar to the currently available entry summary and importer/bond queries under ABI.</p> <p>(Download requirement)</p>	6/14/2002	Pending	Inc 10, 11, 12	<p>October 2014: Pending</p> <p>AUGUST 28, 2009 - TH CSPO-GIF-985-ACT-0 INFORMATION.</p> <p>03/25/08 - A GIF will be address this request</p> <p>7/25/07: GIF requireme Revenue workshop. If re committee review.</p>

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ACT-009.16	959	<p>The surety account shall have the ability to view, query and download information from the "Extract Reference File" as currently identified in ABI, or its equivalent under ACE. The surety account requests the ability to query any code in the extract reference file, such as but not limited to claims and status codes. (Also referenced in Rev-008.)</p> <p>(Portal & download requirement)</p>	6/14/2002	Accepted in Part	inc 10, 11, 12	<p>October 2014: Pending</p> <p>AUGUST 28, 2009 - TH CSPO-GIF=959-ACT-009.16 DATA DOWNLOAD.</p> <p>Update: 3/25/08 - A2.4</p> <p>7/25/07: GIF requirement Revenue workshop. If re committee review.</p> <p>Update 6/18/2007: Sam</p> <p>Requirement revised 9/</p> <p>ABI filers can download whether all reference da</p>
<i>Owner:</i> Monica Crockett						
ACT-009.18	985	<p>All claims should indicate how a claim was resolved (petitioned, protested or paid) and by whom (i.e. importer or surety, or set-off).</p> <p>(Portal & download requirement)</p>	9/23/2004	Pending	Inc 10, 11, 12	<p>October 2014: Pending</p> <p>AUGUST 28, 2009 - TH CSPO-GIF-985-ACT-009.18 INFORMATION</p> <p>Update: 3/25/08 - A2.3 GIF to cover specifics o</p> <p>/25/07: GIF requirement Revenue workshop. If re committee review.</p> <p>Update 6/18/2007: Sam</p> <p>Requirement revised 9/</p>
<i>Owner:</i> Monica Crockett						

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ACT-009.19	986	<p>Access to the periodic monthly statement reports. This should include the ability to view at the continuous bond number level, importer ID level and Filer code level.</p> <p>(Portal requirement)</p>	9/23/2004	Pending	Inc 10, 11	<p>October 2014: Pending</p> <p>AUGUST 24, 2009 - TH PENDING CBP CHAN</p> <p>Update: 3/25/08 - A2.3</p> <p>7/25/07: GIF requireme Revenue workshop. If r committee review.</p> <p>6/18/2007: Same status</p> <p>Requirement revised 9/</p> <p>Need bond number on r Reporting tool for ESAF</p> <p><i>Owner:</i> Monica Crockett</p>
ACT-009.20	986	<p>Access to the A/R reports. This should include the ability to view at the continuous bond number level as well as the importer ID level.</p> <p>(question: is the bond number currently available?? It could be at the CBP view level and not at the trade's view level.</p> <p>(Portal requirement)</p>	9/23/2004	Pending	Inc 10, 11	<p>October 2014: Pending</p> <p>AUGUST 24, 2009 - TH PENDING CBP CHAN</p> <p>Update: 3/25/08 - A2.3 this information.</p> <p>7/25/07: GIF requireme Revenue workshop. If r committee review.</p> <p>Update 6/18/2007: Sam</p> <p>Requirement revised 9/</p> <p>Need bond number on r</p> <p><i>Owner:</i> Monica Crockett</p>

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ACT-009.21	987	<p>Include any default as an instant alert (i.e., periodic statement.) Allow each surety to filter output based on default type and status on a per portal user basis.</p> <p>(Portal requirement)</p>	9/23/2004	Pending	Inc 10, 11	<p>October 2014: Pending</p> <p>AUGUST 24, 2009 - TH PENDING CBP CHAN</p> <p>Update: 3/25/08 - A2.3 Need to verify with Sure</p> <p>7/25/07: GIF requireme Revenue workshop. If r committee review.</p> <p>Update 6/18/2007: Sam</p> <p>Requirement revised 9/</p> <p>Under review.</p> <p><i>Owner:</i> Monica Crockett</p>
ACT-009.22	988	<p>The portal should have the capability for a surety to set up payment to CBP through it. It should allow a surety to identify an item which will be paid in full, mitigated amount paid, or if an offer in compromise is being paid. The access to this part shall be controlled by the surety/account owner.</p> <p>(Portal requirement)</p>	9/23/2004	Pending	Inc 10, 11	<p>October 2014: Pending</p> <p>AUGUST 24, 2009 - TH PENDING CBP CHAN</p> <p>Update: 3/25/08 - A2.4</p> <p>7/25/07: GIF requireme Revenue workshop. If r committee review.</p> <p>Update 6/18/2007: Sam</p> <p>Requirement revised 9/</p> <p><i>Owner:</i> Monica Crockett</p>

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ACT-009.23	989	<p>The surety and CBP should have the ability to track payment by bond. (i.e.: CBP should be aware when a surety has paid its full limit of liability and the bond can no longer be called upon).</p> <p>(Portal requirement)</p>	9/23/2004	Pending	Inc 10, 11, 12	<p>October 2014: Pending</p> <p>AUGUST 24, 2009 - TH PENDING CBP CHAN</p> <p>Update: 3/25/08 - A2.4 7/25/07: GIF requireme Revenue workshop. If r committee review.</p> <p>Update 6/18/2007: Sam</p> <p>Requirement revised 09</p>	
			<i>Owner:</i>	Monica Crockett			
ACT-009.24	959	<p>The surety download shall provide information at various times: daily (entry data), weekly (FPF data), monthly (CTB data), and quarterly (re-fresh of entry data). It shall also be able to download up to 15 gigabytes of information to any surety.</p> <p>(Download requirement)</p>	9/23/2004	Pending	Inc 10, 11, 12	<p>October 2014: Pending</p> <p>AUGUST 28, 2009 - TH CSPO-GIF-959-ACT-8 DATA DOWNLOAD.</p> <p>Update: 3/25/08 - A2.4</p> <p>7/25/07: GIF requireme Revenue workshop. If r committee review.</p> <p>Requirement revised 09</p> <p>For A1, the surety down</p>	
			<i>Owner:</i>	Monica Crockett			

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ACT-009.3	982	<p>The surety account shall include the ability to view all continuous and single transaction bonds and all types of riders on file including their effective and termination dates, with look up by importer identification number when you are the surety, or importer name with wild card.</p> <p>The surety should able have the ability to view history of bonds (i.e., name change, address change, etc.)</p> <p>(Portal & download requirement)</p>	6/14/2002	Pending	Inc 5, 6, 7	<p>October 2014: Pending</p> <p>SEPTEMBER 16, 2011 input for cargo release. bond process.</p> <p>MAY 3, 2011 - This GIF cargo release - CBP with listed in "Review" status</p> <p>JANUARY 7, 2011 - Ca GIF could also be met v view both continuous and bothe the ability to view current Customs Bond</p> <p>AUGUST 24, 2009 - TH PENDING CBP CHAN</p> <p>Update: 3/25/08 - Ability available by A2.1. Singl</p> <p>7/25/07: GIF requireme Revenue workshop. If re committee review.</p> <p>Update 6/18/2007: Sam</p> <p>Revised 9./23/04</p> <p>10/16/05 Need to coord functionality be included i be included in ACE?</p> <p>1/17/07 - In A1 the suret continuous bonds thru th</p>

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ACT-009.6	959	<p>The surety account shall provide the ability for the surety to view/query all entry data, liquidated and unliquidated for all entries for any bond a surety writes.</p> <p>Part II: It is requested that this include line item HTS data, ADD/CVD case number, open CF 28/29 and closed CF 28/29 information and A1A documents available to surety for public access.</p> <p>(Portal & download requirement)</p>	6/14/2002	Pending	Inc 10, 11, 12	<p>October 2014: Pending</p> <p>AUGUST 28, 2009 - THE CSPO-GIF-959-ACT-8 DATA DOWNLOAD.</p> <p>Update: 3/25/08 - A 2.4</p> <p>8/9/07 - Need more info elements are needed. M access to data. Will dis Workshop. Will require</p> <p>Revised 9/23/04</p> <p>Trade will be able to qu 29 was issued.</p>	
			<i>Owner:</i>	Monica Crockett			
ACT-009.7	983	<p>SURETY VIEW OF ENTRY SUMMARY DATA - The surety account shall allow the surety to view or query entry data including periodic payment and reconciliation flags secured by any of its bonds by importer identification number.</p> <p>(Portal requirement)</p>	6/14/2002	Pending	Inc 10, 11, 12	<p>October 2014: Pending</p> <p>FEBRUARY 3, 2011 - T for cargo release. The Dimensions.</p> <p>JANUARY 7, 2011- Thi Change Control Board revalidation effort.</p> <p>AUGUST 24, 2009 - TH PENDING CBP CHAN</p> <p>Update: 3/25/08 - A2.4</p> <p>8/9/07 - ACE can provid downloaded in a report. periodic payment data. Workshop. Will need a</p>	

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ACT-009.9	985	<p>I. The surety account shall provide the ability for the surety to view/query, both by importer number or entry number or bill/case number, the Increased Duty/Additional Duty/Supplemental Duty Bill or FPF Case. These claim types include: FPF claims, Increased Duty/Additional Duty/Supplemental Duty Bills, Passenger User Fees, Debit Vouchers/ACH Defaults, Liquidated Damages, and Penalties.</p> <p>II. The surety should be able to view detailed information on each claim type above by status: open, closed, protested, protest decision, petitioned, petition decision, offer in compromise, offer in compromise decision, formal demand on surety, billing cycle statuses, and sanction eligibility. (For purposes of this document any reference to "bill" is considered an increased duty bill, additional duty bill or supplemental duty bill).</p> <p>(Portal requirement)</p>	6/14/2002	Pending	Inc 10, 11, 12	<p>October 2014: Pending</p> <p>AUGUST 24, 2009 - THE PENDING CBP CHANGES</p> <p>Update: 3/25/08 - A2.3</p> <p>1/25/07: GIF requirement Revenue workshop. If required committee review.</p> <p>Update 6/18/2007: Same</p> <p>Revised 9/23/04</p> <p>No FP&F data</p>

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ACT-011	591	<p>Broker Quick Views & Reports: In this requirement the brokers are requesting quick views and reports that support their compliance efforts and business needs. Below are a few of the sample views & reports:</p> <p>Quick Views:</p> <ul style="list-style-type: none"> a. CM Compliance rate b. ABI/ACE reject rate c. Total duties/taxes/fees paid by broker <p>Reports:</p> <ul style="list-style-type: none"> a. Port reports providing the entered value, entries filed, duties, taxes & fees, etc. b. % broker's share of the entry summaries, value & duties, taxes & fees, etc. 		Accepted in Part	3, A1, A2.3, A2.4, A3, M2	<p>October 2014: Status un</p> <p>May 19, 2009 - THIS R CBP CHANGE CONT 213 IS CLOSED. This which is in review status</p> <p>Update: 3/25/08 - Quic partially delivered in A2. would be 2.3 at the earli</p> <p>8/9/07 - New GIF no = C ECP-CR-1748</p> <p>Initial functionality will b 3). Additional functiona</p> <p>Reporting tool is still u</p> <p>A GIF will be prepared a the Port Monitoring Rep Referred to determine a</p> <p>(Reviewed by CCR team provided)</p> <p>1/17/07 - Under review.</p>
				<i>Owner:</i> Monica Crockett		
ACT-013	842	<p>CF 28's & 29's: This requirement involves the introduction of the CF 28, 29 and 4647 into the ACE portal. Quite often, brokers are involved in responding to the CBP's requests on CF 28s and 29s for importers. In order to do so in a timely manner, it would be vary advantageous for importers and brokers to be notified through the account portal as soon as CBP creates a CF 28 or 29. An alert message could appear on the Account's home page notifying them of a new item (potentially within the SAL). If so, SAL would also</p>	6/13/2003	Pending	Inc 11, 12	<p>October 2014: Pending</p> <p>JANUARY 21, 2009 - T PENDING CBP CHAN</p> <p>Update: 3/25/08 - A2.2 with trade brokers requ portal. The Broker Acco requesting this capabili</p>

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ACT-014

974

The trade needs the ability to download entry summary data, and other compliance related results and statistics via the Internet Account portal.

1/30/2004

Pending

Inc 8, 9, 10, 11 October 2014: Pending

A. All entry summary elements that have been transmitted to CBP should be available for download.

B. These downloadable elements should include all data and data fields referred to in the CATAIR.

C. An authorized user would be able to download entry data for a specific period, for a specific importer or record, and even for a specific filer, SCAC or port of entry.

D. Data should be downloadable in a variety of formats such as Adobe Acrobat, MS Excel, Dbase formats, comma delimited, etc. (R3)

DETERMINED TO BE

AUGUST 24, 2009 - TH
PENDING CBP CHAN

: Will there be an extrac

8/9/07 - The data will be
and data can be downlo

Concern is band width a

(072704: Changed to L

The data download capa
Reporting tool is under

A GIF has been prepare
download capability (sub
of CR 1687.

An authorized data extra
after the move to the new

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ACT-014.1	970	All related compliance data, such as exam results, including Stratified compliance exams, and related commodity specialist (and entry specialist) review notations, should be available to download.	1/30/2004	Accepted in Part	A1, A2.3 A2.4, A3, M1, M2, M2.3, M3	<p>October 2014: Status u</p> <p>DETERMINED TO BE</p> <p>AUGUST 18, 2009-THI</p> <p>PENDING CBP CHAN</p> <p>THIS GIF COVERS AC</p> <p>014.1 FOR ALL FUTUR</p> <p>062909: Will there be an</p> <p>M1?</p> <p>Update: 3/25/08 - will b</p> <p>data extract. The Accou</p> <p>requesting all informati</p> <p>multiple downloads.</p> <p>This is the data downloa</p> <p>This requirement needs</p> <p>will be available routine</p> <p>request? The data dow</p> <p>investigated.</p> <p>(Reviewed by CCR team</p> <p>provided)</p> <p>1/26/07 - Comments/rev</p>

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ACT-020	702	<p>MULTIPLE HTS NUMBER REPORT. An authorized user should have the ability to request a report that reflects all entry summary lines that were classified within a range of HTS numbers or for multiple HTS numbers with total value, quantity, and a list of entries under which any were filed.</p> <p>A. An authorized user should have the ability to request a report that reflects all entry summary lines associated with HTS number groupings (e.g. clocks, American goods returned, etc) even if there isn't a value reported for each associated HTS number.</p> <p>B. ACE should be designed to allow the user to specify up to the maximum HTS numbers per line item (currently eight) when requesting a report.</p> <p>C. Each entry summary line where the HTS was changed in liquidation should be flagged such that a report can be generated reflecting the changes.</p> <p>D. A link to rulings pertaining to any of the specified HTS classifications, including rulings affiliated with the importer should be provided.</p> <p>E. An Authorized user should be able to run a report that reflects the HTS data for a specific period, a specific importer, port of entry, entry type, mode of transport, release message, liquidation status, reported primary and secondary quantities, MID, TIB status, "entry query status stuff".</p> <p>F. Report elements should include all data and data fields referred to in the CATAIR.</p> <p>G. The requestor should receive a message notification that either the requested size of the report exceeds the maximum allowed size parameter or that the return report contains only partial information.</p>	1/30/2004	Pending	Inc 12	<p>October 2014: Pending increments.</p> <p>APRIL 11, 2008 - THIS PENDING CBP CHAN</p> <p>Update: 3/25/08 - The A address running a report of where the HTS was c with A2.1. There will be release message (E).</p> <p>1/25/08 This requirement needs review/input. Is this req are available today? If th for "entry date," a GIF w</p> <p>8/9/07 - Item A - Accepted Item B - Accepted Item C - Accepted Item D - Rejected - CB Item E - Should be an M this? This is a mix of er and Anita Brown will co Item F - Need data on C Item G - Partial data is a</p> <p>Timeframe for reports v</p> <p>Reporting tool is under</p> <p>A GIF will be prepared Additional HTS Range</p>

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ACT-044	107	BOND AUTHORIZATION LISTING REPORT: Listing of a surety's bond authorization by filer code (all or specific) for blanket, specific or both authorizations, including current only or used or expired authorizations.	3/30/2005	Pending	Inc 7	October 2014: Pending SEPTEMBER 16, 2011- electronic single transa MAY 4, 2011- This GIF transaction bond proces "Review" in Dimensions JANUARY 7, 2011-This Change Control Board revalidation effort. OCTOBER 19, 2009 - T IS PENDING CBP CH SEPTEMBER 2009 - T 2005 report workshop. It Account subcommittee.
<i>Owner:</i> Monica Crockett						
ACT-047	985	CLAIM LIST BY ACCOUNT REPORT List claims (open & closed) by account including FP&F, supplemental duty bills and any claims as they are added to ACE	3/30/2005	Pending	TBD	October 2014: Pending increments. OCTOBER 20, 2009 - T AN ATTACHMENT TO GIF-985 - ACT-009.9 " SEPTEMBER 2009 - T 2005 report workshop. It Accounts Subcommittee
<i>Owner:</i> Monica Crockett						
ACT-050	107	BROKER PERMIT REPORT: This report will capture permits specified under section 111.19 to reflect the permits held by the broker as well as the qualifying licensed individual for local amd matopma; [ermits to meet section 111.19 provisions.	3/30/2005	Pending	TBD	October 2014: Pending increments. OCTOBER 19, 2009 - T IS PENDING CBP CH REVIEW.

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ACT-051	985	DEMAND ON SURETY CLAIM LISTING REPORT: List all claims that have reached demand on surety including FP&F, supplemental duty bills and any claims as they are added to ACE so that the sureties can review claims which CBP has demanded payment.	3/30/2005	Pending	TBD	October 2014: Pending increments. OCTOBER 20, 2009 - T... AN ATTACHMENT TO... GIF-985 - ACT-009.9", SEPTEMBER 2009 - T... 2005 report workshop. It... Account subcommittee.
<i>Owner:</i> Monica Crockett						
ACT-052	108	RECONCILIATION REPORT: View entries flagged for reconciliation.	3/30/2005	Pending	TBD	October 2014: Pending increments. OCTOBER 19, 2009 - T... IS PENDING CBP CH... SEPTEMBER 2009 - T... 2005 report workshop. It... committee.
<i>Owner:</i> Monica Crockett						
ACT-054	108	FP&F CLAIM DISPLAY REPORT: Display all information including current status, status history and payment information regarding a particular FP&F claim to provide a current status of any FP&F claim to complement the weekly FP&F download.	3/30/2005	Pending	Inc 11	October 2014: Pending increments. OCTOBER 19, 2009 - T... IS PENDING CBP CH... SEPTEMBER 2009 - T... 2005 report workshop. It... Account subcommittee.
<i>Owner:</i> Monica Crockett						
ACT-055	107	LATE FILES/NO FILES REPORT: This report will capture when an entry summary has not been filed 10 working days after release of the cargo and when it has been filed late. This report will be run by	3/30/2005	Pending	Inc 11	October 2014: Pending increments. OCTOBER 19, 2009 - T...

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-058	107	OPEN BROKER PERMITS REPORT: This report shows those ports where the permitted broker has been terminated. When the permit qualifier for the port leaves, the broker has 180 days to provide a new permit qualifier. The report will track that process.	3/30/2005	Pending	Inc 11	October 2014: Pending increments. OCTOBER 19, 2009 - THIS IS PENDING CBP CHANGES. SEPTEMBER 2009 - THIS 2005 report workshop. It was held after a problem was discovered and covered by a problem ticket.
<i>Owner:</i> Monica Crockett						
ACT-060	986	MONTHLY STATEMENT REPORT: This report lists all entries for an importers that have been flagged to be paid on a monthly statement to allow the surety to evaluate the outstanding duty owed to CBP on an importer basis.	3/30/2005	Pending	Inc 11	October 2014: Pending increments. OCTOBER 20, 2009 - THIS IS AN ATTACHMENT TO THE CSPO-GIF-986 - ACT-009.19 REPORTS" SEPTEMBER 2009 - THIS 2005 report workshop. It was held Account subcommittee. CSPO-GIF-986 but a separate report.
<i>Owner:</i> Monica Crockett						
ACT-064	108	SANCTION ELEGIBLE FP&F CASE LISTING: List of FP&F claims that have reached sanction eligible status for a surety. Sureties will want to review claims which CBP has demanded payment and could result in a sureties sanction.	3/30/2005	Pending	Inc 11	October 2014: Pending increments. OCTOBER 19, 2009 - THIS IS PENDING CBP CHANGES. SEPTEMBER 2009 - THIS 2005 report workshop. It was held Account subcommittee.
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-066	108	SINGLE TRANSACTION BOND DATA BY TRANSACTION NUMBER: Display bond related information for single transaction bonds. Used by the surety to verify bond information in CBPs system to the surety's system.	3/30/2005	Pending	Inc 5, 6, 7	October 2014: Pending MAY 3, 2011 - This GIF for cargo release pending process. This GIF is still JANUARY 7, 2011-This Change Control Board revalidation effort. OCTOBER 19, 2009 - THIS IS PENDING CBP CHANGES. 067 is also included in the SEPTEMBER 2009 - THIS 2005 report workshop. It was Account subcommittee.
<i>Owner:</i> Monica Crockett						
ACT-067	108	SINGLE TRANSACTION BOND DATA BY ACCOUNT REPORT: List single transaction bonds related to an account by importer number. Used to verify bond data in CBPs system to the sureties systems and confirm a surety's exposures for an account	3/30/2005	Pending	Inc 5, 6, 7	October 2014: Pending increments. OCTOBER 19, 2009 - THIS IS PENDING CBP CHANGES. 066 is also included in the SEPTEMBER 2009 - THIS 2005 report workshop. It was Account subcommittee.
<i>Owner:</i> Monica Crockett						
ACT-068	108	SUPPLEMENTAL DUTY BILL REPORT: Display all information including current status and payment information regarding a particular supplemental duty claim.	3/30/2005	Pending	Inc 5, 6, 7	October 2014: Pending increments. OCTOBER 19, 2009 - THIS IS PENDING CBP CHANGES. SEPTEMBER 2009 - THIS 2005 report workshop.

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-069	114	TEMPORARY IMPORTATION UNDER BOND (TIB) REPORT: This report will track TIBs and allow the filer to track TIBs for compliance purposes.	3/30/2005	Pending	Inc 5, 6, 7	October 2014: Pending increments. JULY 8, 2010 - THIS R PENDING CPB CHAN SEPTEMBER 2009 - T 2005 report workshop. T accepted/delivered. Th separate GIF
<i>Owner:</i> Monica Crockett						
ACT-070	107	TRIENNIAL REPORT (PARTNERSHIP, ASSOCIATION, OR CORPORATION) This report provides for the Triennial Report as required in section 111.30 (d). The triennial report is a status report and fee payment that must be submitted every three years by all licensed brokers, whether they are licensed as in individual, corporation, partnership or association. :	5/30/2005	Pending	TBD	October 2014: Pending increments. OCTOBER 19, 2009 - T IS PENDING CBP CH REVIEW. SEPTEMBER 2009 - T 2005 report workshop. It after a problem was disc covered by a problem tic
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-071	108	<p>ANTI DUMPING COUNTERVAILING DUTY REPORT:</p> <p>The Surety Account Subcommittee is requesting a report that lists aggregate AD/CV duty (estimated, paid, bonded) by importer. The report should contain the following data elements:</p> <ul style="list-style-type: none"> o Bonded ADD o Bonded CVD o Entry Number o Entry Type o Estimated ADD o Estimated CVD o Importer Name o Importer Number o Paid ADD o Paid CVD o Surety Code o Value <p>The report should contain the following variables:</p> <ul style="list-style-type: none"> o Importer Number (Default All) o Entry Date Range o Entry Type (Default All) o Surety Code (Default All) <p>Security for this report needs to be based on the surety code reported on the entry summary.</p>	10/19/2009	Pending	Inc 10, 11	<p>October 2014: Pending increments.</p> <p>OCTOBER 19, 2009 - THIS IS PENDING CBP CHANGE REVIEW.</p>
				<i>Owner:</i>	Monica Crockett	
ACT-801	842	<p>BROKER ACCESS TO CBP FORMS 28, 29, 4647 VIA THE ACE PORTAL OR EDI</p> <p>Brokers are requesting the ability to receive their courtesy copy of CBP Forms 28, 29, 4647 through the ACE Portal. They would also like the ability to respond to CBP Forms on behalf of their importer clients through their broker portal account. The functionality being requested by brokers through the ACE portal is similar to what is available to importers with ACE accounts in A2.2. Brokers will also need the ability to select their method of communication to receive their courtesy copy of the forms.</p>		Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>MAY 19, 2009 - THIS IS PENDING CBP CHANGE REVIEW.</p>

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ACT-824	957	<p>FREEZING IMPORTER RECORD NUMBERS VIA THE ACE PORTAL:</p> <p>Trade would like to request the ability through the ACE portal to freeze/unfreeze importer or record numbers at the 11 digit level and to identify the users in a company that are authorized to request changes to the IR number. The Trade Account Owner and Proxy Trade Account Owner, along with designated users should have the ability to freeze/unfreeze the importer of record number or make changes to the related information. The authorization of each user will be to each importer of record number at the 11 digit level. This functionality is applicable to the importer and carrier views only. The freeze should apply to both ACS and ACE entry summaries.</p>	8/7/2009	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>AUGUST 7, 2009 - TH PENDING CBP CHAN</p> <p>April 14, 2009 - Pending Awaiting designation of</p>
			<i>Owner:</i>	Monica Crockett		
ACT-827	958	<p>BROKER EVALUATION & ANALYSIS REPORT (BEAR) EXTRACT.</p> <p>As CBP and the Trade prepare for the BSA (Broker Self Assessment) it is critical that all brokers have the necessary tools and controls to help manage their own compliance. To assist all parties in moving that direction, brokers would like to be able to generate the equivalent of the BEAR report/extract in ACE. The BEAR report is currently provided to managed accounts by their National Account Managers. The report is in the form of an Excel spreadsheet with multiple tabs at the bottom of the report. The tabs reflect the following: - SEE REQUIREMENT FOR DETAILED</p>	8/7/2009	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>AUGUST 7, 2009 - TH PENDING CBP CHAN</p>
			<i>Owner:</i>	Monica Crockett		

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ACT-828	959	<p>EXTRACTS TO SURETIES (AUTOMATED SURETY INTERFACE) DATA DOWNLOAD.</p> <p>The trade is requesting capability through the ACE Portal and an EDI download to retrieve information about entries and claims to sureties that result from bonds they issue, similar to those currently provided. The sureties currently receive nightly downloads, through the Automated Surety Interface (ASI) in ACS, of entry information, including liquidation for all entries on which the surety is obligated.</p> <p>The sureties require:</p> <ul style="list-style-type: none"> • All existing data elements within each data file to remain the same (current and new data elements attached). • Information on entries covered by both single transaction bonds and continuous bonds. • Coordination with the eBond process, including providing information about single transaction bonds and the related entry information. (Coordinate with "eBond Concept" CSPO GIF 692). • Information must be provided at release, summary and any time there is an update to any of the data elements. • System to recognize that more than one surety may be obligated on the entry and provide information to all the sureties that are obligated. • If a surety is no longer obligated on an entry, it should be notified. If it is found that a claim was issued to the wrong surety, notification to the "wrong" surety should be provided that they are no longer responsible for that claim. For instance, when an entry is submitted with the wrong surety code, the wrong surety receives entry information. When later the surety code is corrected, that wrong surety stops receiving entry information and has no way to know what has happened on the entry to close its files. Therefore, the trade is requesting if a surety starts to received data regarding an entry, but it is later found that it will not continue to received this data, the surety should be notified. • Any changes which may limit a bond's liability under 	8/7/2009	Pending	Inc 7	<p>October 2014: Pending increments.</p> <p>FEBRUARY 3, 2011- T for cargo release. Sill li</p> <p>AUGUST 7, 2009 - TH PENDING CBP CHAN</p>

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		<p>include a surety extract feature.</p> <ul style="list-style-type: none"> Continued type of access to the Entry Summary Query and Importer/Bond Query in ACS. The sureties need to have access to all information they are entitled to see when they are entitled to receive it. <p>The current data elements are in the attached document (ASI Layout). The new data elements the surety requires are in the attached spreadsheet which contains seven worksheets within it (ASI New Data Elements 1-2008). As ACE develops and additional data elements are defined, this request will be updated.</p>				
				<i>Owner:</i>	Monica Crockett	
ACT-830	979	<p>SURETY ACCOUNT PORTAL BOND QUERY OPTIONS:</p> <p>Query capabilities are important as any one surety can have thousands of bonds on file with CBP. The bond tab in the surety portal currently only allows the surety to query a bond by bond number, activity code, and bond status. The portal should allow for querying by importer of record number, bond type (continuous vs single), principal name, bond effective date, filer code and agent ACE ID. In addition, the portal should allow for querying by a date range and by terminated and active bonds. Today large sureties with ACE Portal accounts are unable to view all of their bonds because of an error when bonds are being loaded for their account after opening the bond page. While there is a search capability that was delivered for CBP and the sureties, that capability for the trade only searches the first 2000 bonds thus rendering the search capability useless for large sureties. In the future, when single transaction bonds are in the system, the portal should also allow for querying by entry number and entry type.</p>	8/18/2009	Pending	Inc 7	<p>October 2014: Pending</p> <p>SEPTEMBER 16, 2011 review for cargo release electronic single transa</p> <p>MAY 4, 2011 - This GIF release. Still listed in "F</p> <p>JANUARY 7, 2011 - Th Change Control Board by entry number and Imp release revalidation effo</p> <p>AUGUST 18, 2009 - TH PENDING CBP CHAN</p>
				<i>Owner:</i>	Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-831	980	<p>ENHANCEMENTS TO PERIODIC MONTHLY STATEMENT:</p> <p>If there is an Office Code other than spaces, the ABE record for the Filer Code + Port Code + Office Code never indicates they are a periodic monthly statement participant. This is because when ACE sends periodic monthly statement participant messages to ACS, only the Filer Code and Port Code are used. The Office Code is not used in ACE for periodic monthly statement participation. If the Office Code for a RLF Daily Statement is spaces there is no problem with processing the PMS entry summaries. It is only with statements that have an Office Code other than spaces that the problem exists. Programs need to be changed to allow periodic monthly statement to work with remote location filing and an office code.</p>	8/18/2009	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>AUGUST 18, 2009 - TH PENDING CBP CHAN</p>
<i>Owner:</i> Monica Crockett						
ACT-832	109	<p>OPEN RECONCILIATION REPORT:</p> <p>The purpose of this report is to allow CBP and trade to view all entry summaries that contain one or more line items which has been flagged for reconciliation and for which the reconciliation entry summary (entry type 09) has not been filed. Trade recommends to accomplish this that either a separate reconciliation report be created or that ESM 7008 be modified as follows: The following additional data elements should be available to drag into ESM 7008: SEE REQUIREMENT FOR DATA ELEMENTS</p>	10/30/2009	Pending	Inc 11	<p>October 2014: Pending increments.</p> <p>OCTOBER 30, 2009 - T IS PENDING CBP CHA REVIEW.</p>
<i>Owner:</i> Monica Crockett						
ACT-833	109	<p>OPEN RECONCILIATION EXTRACT REPORT:</p> <p>The purpose of this extract report is to allow CBP and trade to view all entry summaries that contain one or more line items which has been flagged for reconciliation and for which the reconciliation entry summary (entry type 09) has not been filed. The extract should include the following data elements:</p>	10/30/2009	Pending	Inc 11	<p>October 2014: Pending increments.</p> <p>OCTOBER 30, 2009 - T IS PENDING CBP CHA REVIEW.</p>
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-834	109	<p>RECONCILIATION REPORT: The purpose of this report is to allow CBP and trade to view entry summaries flagged for reconciliation and to associate those underlying entry summaries with the reconciliation (entry type 09) entry summary. This report is designed for importers and brokers. A separate report GIF will be submitted by the sureties. The report should contain the following data elements: SEE REQUIREMENT FOR LIST OF DATA ELEMENTS</p>	10/30/2009	Pending	Inc 11	<p>October 2014: Pending increments.</p> <p>OCTOBER 30, 2009 - THIS IS PENDING CBP CHANGES REVIEW.</p>
			<i>Owner:</i>	Monica Crockett		
ACT-835	109	<p>POST SUMMARY CORRECTION REPORT: This GIF contains a request from the trade community related to a post summary corrections report for brokers and importers. While a list of data elements is provided below, this is not the final list. This GIF will need to be updated once CBP identifies all data elements to trade that can be corrected via post summary correction.</p> <p>The first request is for a new report which would show all versions of the entry summary making it possible to trace the history of the summary from when it was initially transmitted (version 1.0), through any post summary corrections to final liquidation. The report should contain the following data elements as known on October 7, 2009 : SEE REQUIREMENT FOR LIST OF DATA ELEMENTS</p>	10/30/2009	Pending	Inc 11	<p>October 2014: Pending increments.</p> <p>OCTOBER 30, 2009 - THIS IS PENDING CBP CHANGES REVIEW.</p>
			<i>Owner:</i>	Monica Crockett		
ACT-836	109	<p>POST SUMMARY CORRECTION EXTRACT: This GIF contains a request from the trade community related to a post summary corrections extract for brokers and importers. While a list of data elements is provided below, this is not the final list. This GIF will need to be updated once CBP identifies all data elements to trade that can be corrected via post summary correction. The extract should contain the</p>	10/30/2009	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>OCTOBER 30, 2009 - THIS IS PENDING CBP CHANGES REVIEW.</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-837	109	<p>ABILITY TO FILE A PSC THROUGH THE ACE PORTAL:</p> <p>CBP has delivered the ability for the broker and self filer to file post summary corrections via ABI for ACE entry summaries. However, the ability for the importer to make their own corrections to their entry summaries has been taken away since CBP will no longer accept paper Post Entry Amendments (PEA) from the importer if the entry summary was filed in ACE. Many importers both large and small file their own PEAs today. This is a means of ensuring compliance from their perspective. Importers are therefore requesting that ability to maintain control over their own corrections by being provided the means to make post summary (pre-liquidation) amendments and corrections to the entry summary data initially filed and accepted by CBP through the ACE Portal.</p> <p>The ACE Portal should provide the ability for the importer and the broker or a third party designee to correct an individual entry summary through the ACE Portal.</p> <p>Notifications:</p> <ul style="list-style-type: none"> •When a PSC is made through the portal, an initial system generated acceptance or rejection message should be displayed in the ACE Portal once CBP has edited the entry summary. The acceptance message should include a date. If the correction is rejected the message should include a date and the reject reason. •The filer/broker associated with the entry summary should receive a notification through ABI or the portal when a PSC is received from the importer. •When a broker files a PSC on behalf of the importer, the importer should be notified through the ACE Portal that a correction has been made on their behalf. The same information that was provided to the filer through ABI should be included in the portal notification to the importer. •If a PSC is filed by a third party, then both the importer and the broker should be notified of the change. <p>Portal Functionality:</p>	10/30/2009	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>NOVEMBER 10, 2011 - the CBP Change Contr</p> <p>OCTOBER 30, 2009 - T IS PENDING CBP CH REVIEW.</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
		<p>that. Corrections will be subject to CBP validations upon submission. Note: If trade corrects the HTS number on a line item, they will be responsible for making any additional changes needed to duty, taxes and fees both at the line and header level.</p> <ul style="list-style-type: none"> •If a change to duty is posed and a change to fees is not but fees should have been adjusted as well, an error message will display to the user (not display when the MPF is at max). •Users need to be able to view the version number associated with the entry summary through the ACE portal. •Users should receive an initial system generated “Accepted CBP Status notification” or a “Rejected notification” through the ACE portal once CBP has successfully edited the entry summary. The acceptance or rejection notice should include a date and the reason for the rejection. The initial acceptance of the PSC should start the clock for final approval of the PSC by CBP. •When a post summary correction is accepted through the ACE portal, the source of the correction (portal or ABI) needs to be captured such that the party and user making the updates can be identified. This indicator likewise will need to be available in any post summary correction report. •The importer will be able to make multiple PSCs prior to liquidation. Trade will have 270 days from the entry summary date to file the post summary correction, but not less than 20 days prior to liquidation. •Trade would like the ability to request an extension of liquidation through the ACE portal. •Corrections through the ACE Portal is to the line or lines since that might impact the fees for the entire entry summary, the changes should be processed as a complete replace of the entry summary. •The ACE Portal should provide the ability for a filer other than the PSC filer to be able to make a correction to the entry summary through the ACE Portal, as designated/authorized by the importer. 				

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PGA is not a filing participant but is a DIS participant, we would like the ability to PSC a DIS-identified form.
 Security/Access Requirements:

- The Trade Account Owner should be able to designate who can make post summary corrections on behalf of the Account. Trade requests user access should be defined as “No Access”, “Read Only Access” and “Full Access.”
- The Trade Account Owner should be able to designate PSC capability by account type to allow for a broker, consultant or attorney to file on their behalf.
- When a user is removed from portal access, ACE should capture the expiration date and display the field under “AllPersons.” This would allow the TAO to monitor, limit, and reauthorize access with greater ease due to personnel changes, vendor consolidations, etc.
- The portal should prevent more than one user from editing an entry summary at the same time and display an “entry summary under edit” message.
- When submitting a post summary correction through the ACE portal, trade requests an “Are you sure” message appear after hitting “Submit” such that the user would need to confirm they want to submit the correction.

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Extension of Liquidation

- Since a post summary correction beyond 270 days and within 20 days must include a request to extend liquidation, the ACE portal needs to provide the ability for trade to request liquidation be extended.

Comparing Different Versions of the Entry Summary

- Trade is requesting the ability to view through the ACE Portal the entry summary before and after the post summary correction. This could be accomplished through some sort of a comparison view where the user could see version 1 and version 2 displayed simultaneously.
- The other option would be to have a report that would display multiple versions of the entry summary.

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-839	116	COMBINE MANIFEST & CARGO ENTRY DATA IN ACE REPORTS: Trade request the ability to run ACE reports that will allow the user to pull data elements currently available in the manifest universe and combine them with data elements from the cargo entry universe.	1/12/2011	Pending CBP HQ Input	Inc 12 and beyond	October 2014: Pending increments. SEPTEMBER 16, 2011 input for cargo release January 7, 2011 - This CCB Board (CCB) review as
				<i>Owner:</i> Monica Crockett		
ACT-840	120	REVISION TO CARGO ENTRY REPORTS FOR SIMPLIFIED PROCESS: CBP is currently planning for a simplified entry process that would allow importers who meet specified criteria to file 12 data elements that will serve as both the ISF filing and the cargo entry. The existing Aggregate and Detail Cargo Entry Reports need to be modified to include all of the data elements from the simplified entry that are not currently available in the existing cargo entry reports. In addition a new field needs to be added to the aggregate and detail cargo entry reports reflecting the fact that this is a simplified entry filing.	10/4/2011	Pending	Inc 11	October 2014: Pending increments. OCTOBER 4, 2011 - This CCB Board (CCB) review as
				<i>Owner:</i> Monica Crockett		
ACT-841	121	REPORT REQUEST TO INCLUDE DOCUMENT IMAGE SYSTEM (DIS) INFORMATION: Trade community requests access to DIS information in ACE Reports through the ACE Portal and a report housed within the DIS Portal or through the ACE Portal using the current reporting tool. If access is through the ACE Portal, DIS data elements should be added to any applicable entry summary extract.	12/7/2011	Pending	Inc 11	October 2014: Pending increments. DECEMBER 7, 2011: This CCB Board (CCB) review as
				<i>Owner:</i> Monica Crockett		
ACT-842	121	ACCESS TO DOCUMENT IMAGE SYSTEM (DIS): Trade requests access to images submitted electronically to CBP through the DIS. The ACE Portal should provide the option for viewing DIS data and the	12/7/2011	Pending	Inc 11	October 2014: Pending increments. DECEMBER 7, 2011- This CCB Board (CCB) review as

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-856	124	Ability to Include PGA Message Set Data Elements in ACE Reports: The trade is requesting the ability to add PGA Message Set data elements whether transmitted in support of cargo release at the time of entry summary filing to cargo entry and entry summary reports.	4/17/2013	Pending	Inc 11	October 2014: Pending increments. August 20, 2013: CSPO Change Control Board
			<i>Owner:</i>	Monica Crockett		
ACT-857	124	Ability to view the PGA Message Set via the ACE Portal: The trade is requesting the ability to view the PGA Message Set via the ACE Portal or a link from the ACE Portal to where the data is housed.	4/17/2013	Pending	Inc 11	October 2014: Pending increments. August 20 2013: CSPO Change Control Board
			<i>Owner:</i>	Monica Crockett		
ACT-GIF 187	981	ACCESS TO BOL NUMBER FOR REPORTS: Make the bill of lading field from the 7501 available to "add" to reports. The bill of lading number should be available on all cargo entry and entry summary detail reports. Often times the trade has a BOL number and needs to tie the bill back to the entry/entry summary data. If the BOL field was available under the "add hoc or modify" feature of reports, reports such as AM_008, ESM_008, etc could be used to cross reference the data. The bill of lading number should be available on all cargo entry and entry summary reports as well as ACE Entry Summary reports. This change should be designed so that any house bill of lading or sub-house bill of lading numbers would also be provided once such data is transmitted or available. This GIF covers trade user requirement ACT-GIF-197 dated 12/6/2005. A CR was approved for this GIF but has not been scheduled. Please note that GIF 187 was approved and CR 1731 created. The CR has never been scheduled.	12/6/2005	Pending	Inc 11	October 2014: Pending increments. SEPTEMBER 16, 2011 input for cargo release JANUARY 7, 2011-This Change Control Board revalidation effort. AUGUST 18, 2009 - TH PENDING CBP CHAN CR 1731 WAS CREAT Update: 3/25/08 - Appro 4/11/07 - will be met with 1/17/07 - Scheduled to be reporting tool. Will be c GIF was approved by PE created.
			<i>Owner:</i>	Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-GIF 203	974	<p>As part of the overall Automated Commercial Environment Account Portal, the trade needs the ability to download entry summary data, and other compliance related results and statistics via the Internet "account portal". In addition, carriers require the capability to download all data related to their Account including history of manifest transactions, penalty/liiquidated damages, bond obligations, compliance data, statistical data, etc.</p> <p>1. A properly authorized user would be able to download entry data for a specific period, a specific importer of record number (for which the entry has been filed), and even for a specific filer, SCAC or port of entry. This data would be downloadable in a variety of formats such as Adobe Acrobat (.pdf), MS Excel (.xls), Dbase formats (.dbf), comma delimited, etc.</p> <p>2. The minimum "maximum time/date parameter" for a single download should enable an authorized account user to download data for a period covering at least one year. Additionally, the ability to download data on a daily, weekly or monthly basis must also be provided.</p> <p>•3. All entry summary elements (on all entry summary lines) that have been transmitted to CBP should be available to download. In addition, all related compliance data, such as exam results, including Stratified compliance exams, and related commodity specialist (and entry specialist) review notations, should be available to download.</p>	1/9/2006	Pending	Inc 11	<p>October 2014: Pending increments.</p> <p>DETERMINED TO BE</p> <p>AUGUST 18, 2009 - TH CBP CHANGE CONTI COVERS ACT-014, AN</p> <p>062909: Existing functio releases, will meet this</p> <p>MAY 19, 2009 - This re</p> <p>Update: 3/25/08 - Autho A2.1. Carrier authorize</p> <p>1/17/07 - The capability scheduled to be delivere new reporting tool. (Cu</p> <p>Reference TSN Requir This GIF was submitte the Production Baseline The ability to do a bulk o PBE Change Request.</p> <p>Please note that exam r as requested by the tra information thru a Free Information provided wil</p>

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ACT-GIF 213	591	<p>BROKER QUICK VIEW REPORT- MONTHLY PORT 2/16/2006 ACTIVITY REPORT</p> <p>This requirement addresses the quick views and reports the brokers would like to see available in their portal, for example quick views should include their ABI/ACE reject rate, the total duties, taxes and fees paid by the broker, etc. Brokers also receive separately from the local ports a monthly port activity report which should be made available thru ACE. Having the quick views customized to meet brokers needs allows them to better manage their business.</p>		Pending	Inc 11	<p>October 2014: Pending increments.</p> <p>MARCH 21, 2008 - TH PENDING CBP CHAN</p> <p>MAY 19, 2009 - This re CSPO GIF 591 (See AC</p> <p>Update: 3/25/08 - Can running aggregate rep</p> <p>New GIF number = CSI 1748</p> <p>This GIF references AC Production Baseline En are checking the status (Current GIF #279)</p> <p>1/29/08 - accepted but n</p>
			<i>Owner:</i>	Monica Crockett		
ACT-GIF 223	103	<p>ALPHABETIZING DATA OBJECTS:</p> <p>Modify data element lists shown in the dropdown boxes for Add attribute and Add metric on the Analyze Tab so that the data elements are listed in alphabetical order rather than a random order. This change needs to be made for Account Management, Account Revenue and Transactions reports.</p>	3/14/2006	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>SEPTEMBER 4, 2009 - IS PENDING CBP CHA REVIEW. THIS FUNC AVAILABLE IN BUSINE</p> <p>DECEMBER 18, 2006- WAS REJECTED BY T FUNCTIONALITY WIL IMPLEMENTATION O</p> <p>Update: 3/25/08 - Data under the available obje this will be completed by</p>

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ACT-GIF 245	260	<p>Currently there is no way to distinguish between entries that were completed and entries that were cancelled when running cargo entry reports and filtering by create date or entry date. If an entry is cancelled for whatever reason, it is not removed from ACE. Thus if you run any cargo entry detail reports those cancelled entries will be part of the report. When running entry summary detail reports, if you filter by create date there is not way to exclude cancelled entry summaries from the report. Add a field with a flag code for cancelled entries such that those entries/entry summaries can be eliminated by the user when running ACE cargo entry and entry summary detail reports. This flag should be available on all entry and entry summary detail reports as a filter before launching the report. This would be better than having to run the report and then filter for either cancelled or not cancelled entries.</p> <p>It would also be advantageous to be able to simply run a cancelled entry/entry summary report for a specified date range</p>	7/20/2006	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>SEPTEMBER 10, 2009 ACCEPTED BY CBP E</p> <p>Update: 4/20/09 - Comm capabilities for ACE ent status is shown.</p> <p>Update: 3/25/08 - A2.3</p> <p>News GIF number = CS</p> <p>This GIF was presented Board on July 24, 2006.</p> <p>1/17/07 - CBP has appr change request with mo</p> <p>1/28/08 - to be baked int</p>
			<i>Owner:</i>	Monica Crockett		

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ACT-GIF 246	259	<p>AMS provides data that is not presently incorporated in the ACE Reports/Cargo Exam data repository, e.g. APHIS, other government agency, Customs holds and VACIS exams. The information is classified by the trade as "cargo exam" data. The incorporation of such data, in addition to performing a refresh of cargo exam data nightly, will provide the trade with visibility of ALL cargo exams which we can then use to define predictability for our own import process. This information is only available through our Customs Account Manager today.</p> <p>The trade recommends adding the following fields to the cargo exam report: 1) EXAM TYPE (examples of exam type would be: Manifest Hold, VACIS exam, Stratified Compliance, Compliance Measurement, Intensive, APHIS, Agriculture Hold, etc.) 2) BILL OF LADING NUMBER 3) CONTAINER NUMBER 4) ARRIVAL DATE 5) RELEASE DATE. The trade recommends updating cargo exam statistics nightly.</p>	7/20/2006	Pending CBP HQ Input	Inc 11	<p>October 2014: Pending increments.</p> <p>SEPTEMBER 16, 2011 - Modernization & ACE C There are two parts to the incorporating specific A exam data repository recommends adding info (exam type, bill of lading further discussion during Additionally the timing of warrants further discuss</p> <p>JANUARY 7, 2011 - This Change Control Board revalidation effort.</p> <p>SEPTEMBER 12, 2006 IS PENDING CBP CHA</p> <p>4/11/07 - Current GIF n CCR team.</p> <p>This GIF was presented Board on July 24, 2006. Control and Release for</p> <p>1/17/07 - Under review.</p> <p>1/29/08 - Under review</p>	
			<i>Owner:</i>	Monica Crockett			

ACT-GIF 425	425	<p>Importers will have the ability to flag entries for reconciliation at the line item level in A2. Currently they can only flag the entries at the header level. Surety companies receive a download of all entries secured by that surety's bonds. This entry data is summary data - the surety does not receive line item data. With the</p>	9/26/2006	Pending	Inc 11	<p>October 2014: Pending increments.</p> <p>SEPTEMBER 10, 2009 ACCEPTED BY CBP E</p> <p>FEBRUARY 02, 2009</p>
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ACT-GIF 574	979	<p>The screens that will be available to the surety include a "Bond Portlet". While the screens presented at the Dec 2006 TSN conference are for CBP use only, the surety subcommittee is commenting on how the surety screen should be set up and queried.</p> <p>Query capabilities are important as any one surety can have thousands of bonds on file with CBP. The portlet should allow for querying by bond type (continuous vs single), bond activity code, ACS/ACE bond number, IRS/Importer number, Principal Name, Bond Effective Date, filer code and Agent ACE ID. In addition, the portlet should allow for querying by a date range and by terminated and active bonds. In the future, when single transaction bonds are in the system, the portlet should also allow for querying by entry number and entry type.</p>	3/20/2007	Pending	Inc 7	<p>October 2014: Pending increments.</p> <p>ACCEPTED IN PART COVERED BY ACT-83</p> <p>MAY 8, 2008 - THIS RE</p> <p>Update: 3/25/08 - Surety A2.1</p> <p>1/29/08 - CR in place for be created to address o</p>
		<i>Owner:</i> Monica Crockett				
ACT-GIF 575	575	<p>The surety account concept calls for an optional ACE ID number for a surety administrator/agent. This Surety Agent ACE ID number should be a mandatory field in the surety account portal, as well as the Surety Producer Account Number. The Surety Agent ACE ID must be built into the BAF. This should be available in A1 with the ability for the surety to update existing bonds with an Agent ACE ID.</p>	3/20/2007	Pending	Inc 7	<p>October 2014: Pending increments.</p> <p>FEBRUARY 3, 2011 - T for cargo release.</p> <p>JANUARY 7, 2011 - Th Change Control Board revalidation effort.</p> <p>JUNE 12, 2008 - CCB DEFERRAL WAS THA REQUIRED.</p>
		<i>Owner:</i> Monica Crockett				

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ACT-GIF 664	103	<p>The functionality delivered with ESAR A1 does not allow the mid to large size broker to take advantage of using the ACE Portal to meet their 19 CFR 111 requirements. On average it takes 20 minutes to manually enter information on one employee. Additionally there is no copy paste functionality to help reduce the time it takes to enter employee information. To fully take advantage of the new functionality delivered, the trade is requesting the ability to upload employee information from an Excel spreadsheet into the ACE portal. All data elements currently required would be included in the spreadsheet. In addition, the trade is recommending that the actual update date should also be captured. The update date would allow CBP to know how current the information is. Trade would like to receive a confirmation that the upload worked correctly to ensure they remain compliant with regulations. The message should confirm the number of records received. If for some reason the upload is not successful, error messages should be returned that are understandable. The option to upload an entire file each month should be available as well as the ability to upload changes only. While the trade would like to start with an Excel spreadsheet as a means of providing employee information, ultimately the trade would like to have an EDI option as well.</p>	3/1/2008	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>SEPTEMBER 10, 2009 (1036) WAS RESUBMITTED TO THE BOARD (CCB) REVIEW. FUNCTIONALITY WILL BE REVIEWED.</p> <p>MAY 19, 2009 - THIS REQUEST IS CURRENTLY LISTED AS "CLOSED" DUE TO THE DIMENSIONS DATABASE BEING FULL. THE FOLLOWING COULD BE ACHIEVED ON A DEFERRED STATUS: "Lower priority, doesn't affect the database."</p> <p>Update: 03/25/08 - App</p>	
			<i>Owner:</i>	Monica Crockett			
ACT-GIF 665	665	<p>The Manage Access tab should be used for all aspects of an individuals' access, information, associations, etc within the ACE portal. This would include the ability to associate a person to a specific port for reporting requirements, license qualification for brokers at all levels, and all designations (licensed) etc. In essence, the manage access tab would be used as a database to feed all the other tabs.</p> <p>The current functionality requires identical input of data in two separate areas. This is redundant and results in a loss of productivity. The original vision was to have a single tab that would allow for all of the information</p>	3/1/2008	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>MAY 19, 2009 - THIS REQUEST IS CURRENTLY DEFERRED BY THE CCB DUE TO THE FOLLOWING CONDITIONS: THE DIMENSIONS DATABASE IS FULL. THE FOLLOWING CONDITIONS MUST BE COMPLETED AT THE PRESENT TIME: "Lower priority, doesn't affect the database."</p> <p>Update 3/25/2008 - App</p>	

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ACT-GIF 707	707	<p>The current delivery of the Authorized Data Extract (ADE) allows the trade to access currently existing reports in a way that allows for more data to be pulled at one time and that allows the user to obtain the data in a .CSV format so that it can be analyzed offline from ACE. Each of the current data downloads cover a portion of the entry/entry summary process. In separate downloads, the trade can obtain the following information: entry summary data, ADD/CVD information, entry processing (cargo release data), exam information, team review results, Accounts Receivable information, and AR Aged information. The trade had requested, in the past, to get all of this data in a single download, so that the entire history of an entry could be seen at the same time. It has been proposed that these separate downloads can be somehow tied to one another, by the trade, in order to see that history. However, since these separate downloads have separate filters (some use release date, some use summary date as an example), and each dataset uses different keys in the results. Some downloads are at a detail level and some are at a summary level. So, it is not possible for the trade to align these separate downloads into one complete history of a single entry, because the data periods may or may not align as it is currently designed.</p> <p>It is the request of the trade that ACE align this data as appropriate so that the trade can obtain a complete import life cycle of the transactions filed with CBP in a single data download (as is received today in the ITRAC data download). While we understand that the data mart has been designed to support these particular reports for efficiency, it is imperative that the trade be able to trace a transaction throughout the data in a single download. This is not possible in the current delivery of ADE. This request data download may not be support by the existing data views, but it can be managed and</p>	4/26/2008	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>SEPTEMBER 16, 2011 review for cargo release outside the scope of cargo housed in the data warehouse authorized users.</p> <p>JANUARY 7, 2011-This Change Control Board revalidation effort.</p> <p>MAY 19, 2009 - THIS R DEFERRED BY CPB C FOLLOWING CONDIT further detail. GIF will b</p> <p>Update: 4/20/2009 - Sub</p>

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ACT-GIF 657	657	<p>With A1 implementation, brokers are now able to input and view data related to their 19 CFR 111 reporting responsibilities, for instance, the reporting of broker employees and employee information such as social security number, home address, previous employment history, etc.</p> <p>Brokers need to be able to restrict access to this personal data in such a manner that only certain of their employees can edit or view. Currently, access can be denied a user by completely removing that user's access to the Accounts tab. However, any user that has permission to run reports can run any of the newly created reports found in the "Public Folders" under "Account Management"/"Broker Permits and Employees" sub-folder. The ability must exist to allow users to run reports such as those found in the "Aggregate Reports", "Detail Reports", and "Quick Views" sub-folders, while restricting that same user from "Broker Permits and Employees" reports which contain confidential personal information.</p> <p>One way to do this would be to allow the Trade Account Owner or the Proxy to assign access privileges to the various report folders (i.e. Account Management folder, Account Revenue folder, Personnel Records folder, etc) in lieu of just being able to grant access to the Reports Tab. See attached illustration. This would ensure the privacy and confidentiality of personnel reports for brokers, cartmen, lightermen, warehouses and in the future foreign trade zones. It would also address an earlier recommendation that access to Account Revenue reports be restricted to those with a need to know the financial information in the company.</p>	2/25/2008	Pending	Inc 12 and beyond	<p>MAY 19, 2009 - THIS R THE CBP CCB ON MA</p> <p>Update: 3/25/08 - Appr</p>
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ENT-003	690	<p>Release: Implement Importer Activity Summary Statement (IASS) to provide an option for aggregate filing of statistical and revenue data for multiple releases on a monthly basis.</p> <p>A. The IASS will consist of “reconfigured entries”, i.e., entries filed on an IASS which substitute for all or part of an importer’s entries. In general, reconfigured entries will each contain rolled-up data, aggregating values and quantities of common elements such as multiple imports of goods with the same tariff number. In any case, the reconfigured entry will be a legal entity for purposes of finality and for purposes of satisfying the requirement that summary information be filed (e.g.,. The summary declaration for a month) for filers who use it instead of individual entry summaries.</p> <p>B. If Customs needs to extend for reasons allowed by law (i.e., additional information is needed from the importer/filer), the entire IASS (all reconfigured entries filed on an IASS) should not be extended. Rather, either via extension or a new reconciliation requested by Customs, only those reconfigured entries on the IASS with respect to which it has insufficient information to should remain open.</p> <p>B. Multiple refunds per IASS must be allowed.</p> <p>C. Multiple IASS's can be filed for a month.</p> <p>D. Importers need the flexibility to structure the IASS in a manner that best comports with their business</p>	6/21/2001	Pending	TBD	<p>October 2014: Pending increments.</p> <p>July 14, 2009: THIS RE CBP CHANGE CONTI ENT 003 and all of its s requirement (that is, GI incorporates by referenc 004 (CSPO GIF 922); E GIF 921); ENT 010 (CS ENT 017 (CSPO GIF 9</p> <p>3/18/08: CSPO-GIF-69</p> <p>3/6/08: This requiremen the Committee for review 003.2</p> <p>1/25/08 All IASS requirements</p> <p>8/9/07 - ALL IASS AND REQUIREMENTS NEED GIFS.</p> <p>Subject to CBP policy d regulatory changes. TH on the IASS being the le The requirement is bein incorporation in one cor</p> <p>Revised 9/24/04 to clari entries. Pending recon</p> <p>Updated 10/16/2005 to r</p>

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ENT-003.1	690	In addition, because the reconfigured entries in an IASS 6/21/2001 will cover aggregate import activity during an entire month, multiple protest (and request for re-liquidation) issues are likely to abound. For some issues, Customs may be willing to grant a protest, while other issues may be subject to judicial contest. The use of reconfigured entries on an IASS enables each issue with respect to the covered imports to be handled individually without reference to other reconfigured entries.		Pending	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R CBP CHANGER CON</p> <p>7/14/09: ENT 003 and a one requirement (that is incorporates by referenc 004 (CSPO GIF 922); E GIF 921); ENT 010 (CS ENT 017 (CSPO GIF 9</p> <p>4/8/08: This requiremen review</p> <p>3/6/08: This requiremen the Entry Committee for 003.1 and ENT 003.2</p> <p>1/25/08 All IASS requirements a</p> <p>8/9/07 - ALL IASS AND REQUIREMENTS NEED GIFS.</p> <p>Revised 09/24/04 to refl entries. Pending recon</p> <p>Updated 10/16/2005 to r</p>

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ENT-003.2	690	The use of reconfigured entries on an IASS enables each issue with respect to the covered imports to be handled individually without reference to other reconfigured entries. This allows importers to receive refunds without having to wait for all issues to be resolved as would be the case if the entire IASS was the legal entity and required re-liquidation. This protects importers' interest in maintaining cash flows and solves the government's dilemma of accumulating large interest obligations on uncontested principal amounts that would otherwise have to await resolution of other issues in the IASS. Importers should have flexibility to structure the IASS in the manner that best comports with their business practices (e.g., either by importer number, port, etc.). Multiple IASS's can be filed for a month. This flexibility simplifies the aggregation rules required for an individual IASS.	6/21/2001	Pending	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R CBP CHANGE CONTI</p> <p>7/14/09: ENT 003 and a one requirement (that is incorporates by referenc 004 (CSPO GIF 922); E GIF 921); ENT 010 (CS ENT 017 (CSPO GIF 9</p> <p>4/8/08: This requiremen review</p> <p>3/6/08: This requiremen the Entry Committee for 003.1 and ENT 003.2.</p> <p>1/25/08 All IASS requirements a</p> <p>8/9/07 - ALL IASS AND REQUIRMENTS NEE GIFS.</p> <p>Revised 9/24/04 to refle entries. Pending reconfigured e</p> <p>Updated 10/16/2005 to r</p>

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ENT-004	922	<p>Post Release: Importers should have flexibility to use an IASS or any of the prescribed methods for completing statistical and accounting requirements.</p> <p>A. ACE should accommodate full data at release, or within a specified period after release.</p> <p>B. ACE should accommodate release followed by the filing of an IASS containing statistical and revenue data for each release not later than a specific number of days after the close of the month in which a shipment is released.</p> <p>C. ACE should accommodate release followed by an IASS summarizing statistical and revenue activity for the previous month, filed no later than a specific number of days after the closed of the month in which a shipment is released.</p>	6/21/2001	Pending	TBD	<p>October 2014: Pending increments.</p> <p>LY 14, 2009: THIS REQUIREMENT IS BEING INCORPORATED INTO THE CHANGE CONTROL SYSTEM.</p> <p>7/14/09: ENT 003 and a one requirement (that is incorporated by reference to ENT 004 (CSPO GIF 922); ENT 004 (CSPO GIF 921); ENT 010 (CSPO GIF 921); ENT 017 (CSPO GIF 921)).</p> <p>4/8/08: This requirement is under review.</p> <p>3/6/08: This requirement is under review by the Entry Committee for Harmonization of the Rules of Origin.</p> <p>1/25/08 All IASS requirements are being reviewed.</p> <p>8/9/07 - ALL IASS AND REQUIREMENTS NEED TO BE REVIEWED FOR GIFS.</p> <p>Subject to CBP policy and regulatory changes. The requirement is being incorporated in one of the pending regulatory changes. The requirement is being incorporated in one of the pending regulatory changes. The requirement is being incorporated in one of the pending regulatory changes. Pending reconfigured e</p> <p>Updated 10/16/2005 to r</p>

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ENT-005	923	The IASS, as originally introduced into the law, was a consolidation/aggregation of periodic entry summary data; it was envisioned as the legal entity (i.e., the entry) for purposes of finality. The trade community required a change to this status so that if CBP extends liquidation it should extend only those lines on the IASS with respect to which it has insufficient information to finalize the IASS. As a result, the "reconfigured entry," as described in ENT-003 was developed. Consequently, for purposed of extension, it is the reconfigured entry (both for filers that report each release in list in the IASS and for those that summarize aggregated/rolled-up monthly import data) that will be extended, rather than the entire IASS.	6/21/2001	Pending	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R CBP CHANGE CONTI</p> <p>7/14/09: ENT 003 and a one requirement (that is incorporates by referenc 004 (CSPO GIF 922); E GIF 921); ENT 010 (CS ENT 017 (CSPO GIF 9</p> <p>4/8/08: This requiremen Board review</p> <p>3/6/08: This requiremen the Entry Committee for</p> <p>1/25/08 All IASS requirements a</p> <p>8/9/07 - ALL IASS AND REQUIRMENTS NEE GIFS.</p> <p>Subject to CBP policy d regulatory changes. It has now been decide The IASS summary itse the IASS will be conside be subject to liquidation This requirement recon legal entity and thus nee being returned to the Er comprehensive require</p> <p>Revised 9/24/04 to refle</p> <p>Updated 10/16/2005 to r</p>

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ENT-006	921	<p>Post-release. Importers should have flexibility to structure the IASS in the manner that best comports with their business practices (e.g. by importer number, port, etc.)</p> <p>A. The trade should not have a flag at the time of release, indicating the type of follow-up: individual summary of IASS.</p> <p>B. The release should be treated as an IOU, with satisfaction coming through the actual filing of an individual summary (currently within 10 working days) or through an IASS.</p>	6/21/2001	Pending	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R CBP CHANGE CONTI</p> <p>7/14/09: ENT 003 and a one requirement (that is incorporates by referenc 004 (CSPO GIF 922); E GIF 921); ENT 010 (CS ENT 017 (CSPO GIF 9</p> <p>4/8/08: This requiremen review</p> <p>3/6/08: This requiremen the Entry Committee for</p> <p>1/25/08 All IASS requirements a</p> <p>8/9/07 - ALL IASS AND REQUIRMENTS NEE GIFS.</p> <p>Subject to CBP policy d regulatory changes. TH on the IASS being the le The requirement is bein incorporation in one con</p> <p>Updated 10/16/2005 to r</p>

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ENT-008	108	<p>DATA ELEMENT EXPANSION: Expansion of data elements under reconciliation that can be revised. Additionally, proposal of new narrower flags, e.g., value assist and broad flags.</p> <p>A. All data elements should be subject to revision through the filing of a post-entry amendment or reconciliation.</p> <p>B. Provide for both broad flagging of an issue like value and for narrow flags like dutiable commissions to reduce contingent liabilities.</p>	6/21/2001	Pending CBP L/P Input	TBD	<p>October 2014: Pending increments.</p> <p>OCTOBER 23, 2009 - THIS IS PENDING CBP CHANGES REVIEW.</p> <p>6/29/09: this requirement is under review as part of the reconciliation review and is also still outstanding (as of 1/27/09)</p> <p>4/20/09: Pending further legal/policy review on 1/27/09</p> <p>Status: 03/26/2008 - Pending further review to be required.</p> <p>3/6/08: This requirement was referred to the Entry Committee for review.</p> <p>1/25/08 All reconciliation requirements are under review by the reconciliation subcommittee.</p> <p>8/9/07 - Will require HC changes. GIF WILL BE REQUIRED.</p> <p>Subject to CBP policy and regulatory changes. There is an addition reconciliation Subcommittees providing input on reconciliation issues. The Entry Committee for further review. CBP is rejecting the requirement.</p> <p>Updated 10/16/2005 to reflect changes.</p> <p>11/2/05: Partially implemented. Changes are not being changed for A...</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-009	908	<p>Aggregate Reconciliation: ACE should accommodate current policies/options to file aggregate reconciliations.</p> <p>In addition, once CBP has been satisfied that its release control function requirement has been met, there should be no need to link reconciliation back to individual releases.</p>	6/21/2001	Pending CBP HQ Input	TBD	<p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>6/29/09: this requirement review as part of the rec</p> <p>3/6/08: This requirement the Entry Committee for</p> <p>1/25/08 All reconciliation require reconciliation subcomm</p> <p>8/9/07 - Aggregate rec REQUIRE A SEPARAT</p> <p>Subject to CBP policy d regulatory changes. TH additional input on aggr CBP is rejecting the sta that its release control f should be no need to lin releases. (072704: Changed to L</p> <p>Updated 10/16/2005 to r</p> <p>11/2/05: Partial accepta</p>

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ENT-010	924	<p>Importers should be able to designate either prospectively, or retrospectively those elements of an ES/IASS they want to reconcile or revise. Business reality dictates that an importer will frequently not know or be able to identify on a prospective basis the types of adjustments it will need to make. While an importer filing computed value reconciliations will always know ahead of time that Computed Values will have to be adjusted, an importer using Transaction Value may not have such a general knowledge. For example, a given importer knows that for the vast majority (99.9%) of its business, Transaction Value will be based on the price paid or payable, without any adjustments to price or for the other statutory additions provided for in 19 USC 1401a(b). On occasion, however, certain business transactions may occur which may impact dutiable value. For a specific group of SKU's, months after import, the importer may receive a bill for a special mold that had to be provided to the manufacturer. Upon performing a review, the importer's compliance department discovers and validates that the mold expense relates to merchandise previously imported. At that point in time, the importer is ready to signal intent to reconcile as to the specific SKU's (and issue) affected.</p> <p>Retroactive flagging does not guarantee that every issue will be identified in a manner timely enough to capture within the reconciliation process, i.e., discovery of the issue may still occur after the deadline for retroactive flagging, necessitating an SIL or prior disclosure. However, many importers do not believe that aberrational adjustments warrant the prospective blanket flagging of all import activity just in case a Reconciliation adjustment may be necessary. Such blanket prospective flagging would require that the importer who might have an assist covering ten out of ten thousand SKU's, flag each and every summary/IASS covering all SKU's. Moreover, it is inequitable to create huge contingent liabilities with respect to an importer as a result of flagging all activity for the few</p>	6/21/2001	Pending	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>7/14/09: ENT 003 and a one requirement (that is incorporates by referenc 004 (CSPO GIF 922); E GIF 921); ENT 010 (CS ENT 017 (CSPO GIF 9</p> <p>6/29/09:This requireme review as part of the rec</p> <p>Status: 03/26/2008 - Per split into an IASS comp Reconciliation is sched IASS is scheduled for d</p> <p>3/6/08: This requiremen the Entry Committee for</p> <p>1/25/08 All IASS requirements y subcommittee</p> <p>8/9/07 - WILL REQUIRE RECONCILIATION.</p> <p>Subject to CBP policy d regulatory changes. ENT 039 also reference more detail.</p> <p>Revised 9/24/04</p> <p>Updated 10/16/2005 to r</p>

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		needs to know what will be reconciled prior to liquidation, so that the items (e.g. IASS line (s)) will remain open for adjustment by the importer. However, in the case of Aggregate Reconciliation, where the aggregate adjustments are never traced back to individual entry summaries, there is no systems logic to flagging summaries or IASS's. In the latter case, the items are flagged and then the flags are resolved as a pro-forma process.				
			<i>Owner:</i>	Monica Crockett		
ENT-016	925	The Importer Activity Summary Statement (IASS) should be national, not port specific. However, the port needs to be identified for statistical purposes.	7/11/2001	Pending	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>7/14/09: ENT 003 and a one requirement (that is incorporates by referenc 004 (CSPO GIF 922); E GIF 921); ENT 010 (CS ENT 017 (CSPO GIF 9</p> <p>3/6/08: This requiremen the Entry Committee for</p> <p>1/25/08 All IASS requirements a subcommittee</p> <p>8/9/07 - Will require a C</p> <p>Subject to CBP policy d regulatory changes. This requirement recon legal entity and thus nee being returned to the Er comprehensive require</p>

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ENT-017	926	Multiple IASSs can be filed for a month. The Trade community should have the flexibility to determine what to put on an IASS and how many to file. This flexibility simplifies the aggregation rules required for an individual IASS.	7/11/2001	Pending	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>7/14/09: ENT 003 and a one requirement (that is incorporates by referenc 004 (CSPO GIF 922); E GIF 921); ENT 010 (CS ENT 017 (CSPO GIF 9</p> <p>3/6/08: This requiremen the Entry Committee for</p> <p>1/25/08 All IASS requirements a subcommittee</p> <p>8/9/07 - Will require a</p> <p>Subject to CBP policy d regulatory changes. TH on the IASS being the le The requirement is bein incorporation in one cor</p> <p>Updated 10/16/2005 to r</p>

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ENT-030	917	<p>ACE shall notify the importer when a sample is taken.</p> <p>A. ACE shall identify the reason the sample is being taken.</p> <p>B. ACE shall identify the date the sample was sent to the laboratory.</p> <p>C. ACE shall identify the lab (location) where the sample was sent.</p> <p>D. ACE shall provide lab findings and reports from CBP and PGAs.</p> <p>E. ACE shall display the information on the web portal.</p>	6/10/2002	Pending	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>1/27/09: This requirement review.</p> <p>3/6/08: This requirement the Committee for review</p> <p>1/25/08 This requirement will ne input is also needed from</p> <p>8/28/07 - Requires furth</p> <p>Subject to CBP policy c preliminary CBP approv to CBP for input.</p> <p>Updated 10/16/2005 to r</p> <p>11/2/05: CCR, not PR.</p>

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ENT-031	928	ACE shall automate the filing of the Consolidated Informal Entry Summary provided for under 19 CFR 142.17a (One Consolidated Entry Summary for Multiple Ultimate Consignees). ACE shall post the consolidated entry summary on the daily or periodic statement for payment. ACE shall maintain the existing liquidation cycle for informal entries.	5/26/2003	Pending CBP L/P Input	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>1/27/09: Transferred into</p> <p>Status: 03/26/2008 - Pending be required.</p> <p>3/6/08: This requirement the Entry Committee for</p> <p>1/25/08 This requirement is to be GIF already been prepared</p> <p>8/9/07 - Need to be an A Henry on crossover Review</p> <p>Subject to CBP legal and</p> <p>Updated 10/16/2005 to r</p>

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ENT-039	915	<p>The trade needs the ability to de-flag entries and reconfigured entries/IASS lines previously flagged for reconciliation. The trade also needs the ability to retroactively flag entries and reconfigured entries/IASS lines for reconciliation.</p> <p>A. A reason code will be provided to indicate why the entry is being de-flagged.</p> <p>B. CBP's approval is required for some reason codes but not for "no change".</p> <p>C. ACE should provide the flexibility for an importer or their designated broker to do aggregate de-flagging, by this we mean an importer should be able to de-flag a group of entry summaries at one time as opposed to individually.</p> <p>D. Importers may NOT retroactively add reconciliation flags after the entry or reconfigured entry liquidates.</p> <p>E. ACE should allow de-flagging either through the portal or via EDI.</p> <p>F. De-flagging would need to occur within the 21 month time frame set for filing the reconciliation entry.</p> <p>G. In addition to de-flagging, the trade needs the ability to change the reconciliation issue flag.</p> <p>H. The filer should have the option of transmitting minimal data elements or the complete entry summary to accomplish the de-flagging, retroactively flagging or changes to the reconciliation issue flag.</p>	5/17/2004	Pending	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>6/29/09: This requireme of the reconciliation req</p> <p>7/14/09: ENT 003 and a one requirement (that is incorporates by referenc 004 (CSPO GIF 922); E GIF 921); ENT 010 (CS ENT 017 (CSPO GIF 9</p> <p>Status: 03/26/2008 - Per split into an IASS and R scheduled for developm scheduled for developm</p> <p>3/6/08: This requiremen the Entry Committee for</p> <p>1/25/08 All IASS requirements a subcommittee</p> <p>8/9/07 - Will require a C</p> <p>Subject to CBP policy c preliminary CBP approv time period should read explanation from the Sub requires CBP input.</p> <p>Updated 10/16/2005 to r Deflagging has been re Retroactive has prelimin</p>

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ENT-040	108	<p>EXPANSION OF RECONCILIATION ISSUES: ACE should provide the ability for the trade to file quantity reconciliations, except on quota lines where a quantity change could indicate an admissibility issue.</p> <p>ACE should provide for the expansion of the use of HTS reconciliation to include American Goods Returned when AGR has been claimed at the time of summary filing.</p> <p>ACE should provide the flexibility for additional reconciliation categories as might be needed in the future to reconcile trade agreements.</p>	5/17/2004	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>OCTOBER 23, 2009 - THIS IS PENDING CBP CHANGES REVIEW.</p> <p>6/29/09: This requirement is pending their reconciliation requirements.</p> <p>4/27/09: This requirement is pending their reconciliation requirements.</p> <p>3/06/2008 - This requirement is pending further E.O. review.</p> <p>1/25/08 All reconciliation requirements are pending reconciliation subcommittee review.</p> <p>8/9/07 - Needs legal policy review.</p> <p>Subject to CBP policy changes (072704: Changed to LTR).</p> <p>Updated 10/16/2005 to reflect changes.</p> <p>11/2/05: This is not planned for implementing in a future release.</p>

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ENT-041	930	<p>The trade needs the ability to make post-summary corrections through the portal during the 10 month period when corrections by the trade will be allowed. Changes to the entry summary and the reconfigured entry may occur at the header or the line level.</p> <p>A. The trade also needs the ability to make post-summary corrections via EDI.</p> <p>B. The trade needs to be notified when CBP makes a change to the entry summary or reconfigured entry.</p> <p>C. The trade should not need to retransmit the entire entry or reconfigured entry if just one piece of information is changing.</p> <p>D ACE should accommodate either a retransmission of the entire entry summary/reconfigured entry or a correction to certain fields. Corrections at the line level may result in corrections to the entry totals.</p> <p>E. The trade requires that any electronically made adjustment have the capability to credit or debit the subject entry's duties, taxes, and fees.</p> <p>F. The trade needs the ability to electronically correct entries/reconfigured entries via ABI as well as through the web portal and EDI.</p> <p>G. The trade will supply a reason code, general in nature, which describes the asserted change.</p> <p>H. The trade should have the ability to designate the change as being covered under prior disclosure provisions.</p> <p>I. The trade requires this electronic feature to provide similar features to the current Post Entry Amendment process. The trade also needs the option to report any change immediately whether major or minor.</p>	5/17/2004	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>6/29/09: This requireme of their post summary co</p> <p>4/20/09: This requireme a GIF; this requirement 4/1 Trade Ambassador Portal will be submitted Committee</p> <p>Status: 03/26/2008 - Per retransmission of entry</p> <p>3/6/08: This requiremen the Entry Committee for</p> <p>8/9/07 - Post Summary period will be 183 days Item A - OK Item B - Yes as an ABI Item C - Valarie Neuhar Item D - OK Item E - OK Item F - not in scope for Item G - OK Item H - Not in scope Item I - OK</p> <p>Points A and B have pre need to be referred to th like one decision from th CBP Legal Policy review approval. Point H needs Subcommittee and point</p>

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ENT-051	914	<p>ACE should provide a mechanism that will permit warehouse entries (types 21 and 22) covering tax exempt goods of domestic origin (US Country of Export) to be electronically transmitted and processed through ACE. (Reference ENT-048). The mechanism must provide a means to differentiate tax exempt domestic goods entered for warehouse from entires of American Goods Returned.</p> <p>For the Census Bureau and the thade to be able to track this merchandise separately, a new country code for tax exempt domestically produced goods is recommended; the existing "US" country of origin code is designated for American Goods Returned only.</p>	11/1/2004	Pending	Inc 12 and beyond	<p>JULY 13, 2009: THIS R CBP CHANGE CONTI A2.3-AUTOMATE WAR DOMESTIC GOODS/U</p> <p>1/27/09: Transferred into</p> <p>3/6/08: This requiremen the Entry Committee for</p> <p>1/25/08 This requirement will re bonded warehouse subc</p> <p>8/9/07 - Will require a C</p> <p>Updated 10/16/2005 to r</p> <p>11/2/05: This is not plan Not in A2.</p>
			<i>Owner:</i>	Monica Crockett		
ENT-052	918	ACE should expand the eligibility qualifications for Remote Location Filing to include entry types 21 (warehouse) and 22 (rewarehouse).	11/1/2004	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>3/6/08: This requiremen the Entry Committee for</p> <p>1/25/08 This requirement will re bonded warehouse subc</p> <p>8/9/07 Will require a GI</p> <p>Updated 10/16/2005 to r</p> <p>11/2/05: This is not plan</p>

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ENT-062	896	<p>Trade members consisting of law firms and economic consulting firms would like to request a new and separate ACE Account category, entitled AD/CVD Interested Parties. For the most part, this Account would be available to those law firms and economic consulting firms who participate in the Department of Commerce and International Trade Commission proceedings for specific AD/CVD cases. However, other Interested Parties, such as trade associations, could also apply for ACE Interests Party Account status.</p> <p>As ACE Accounts, approved AD/CVD Interested Parties would have the same access, through their Portal, as importers, filers and sureties will have to the Commerce AD/CVD Case Information Management System that was previewed for the Trade Ambassadors at the December 17, 2008 ESAR Trade Session.</p> <p>Additionally, AD/CVD Interested Party Accounts would have limited functionality with regard to AD/CVD Reports. Accounts should be able to get reports on AD/CV duties paid, AD/CV duties bonded, and AD/CV duties liquidated during a specific Commerce Period-of-Review (POR). These summary reports would only be available at the 7-digit case level, not the 10-digit case level. Under this restriction, no individual entry information would be available, nor any value summary information. The information proposed for these reports is already provided by Customs on their website, but on a fiscal year basis.</p> <p>This GIF would require no additional information. It would only involve establishing certain limits to existing data and reports.</p>		Pending	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>5/4/09: This GIF was dis Trade Ambassador Wor during a 5/1/09 internal and a dermination is per</p>
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ENT-063	903	<p>TRADE ASSOCIATION OR INTERESTED PARTIES ACCOUNT;</p> <p>Trade members consisting trade associations and other interested trade associated firms would like to request a new and separate ACE Account category, entitled Trade Associations or ACE Interested Party. For the most part, this Account would be available to those associations dealing with trade as its primary function or serving as an association for such firms, and who have an interest in AD/CVD cases as well as other reference only material.</p> <p>As ACE Accounts, approved ACE Interested Parties would have the same access, through their Portal, as importers, filers and sureties will have to the Commerce AD/CVD Case Information Management System that was previewed for the Trade Ambassadors at the December 17, 2008 ESAR Trade Session, as well as other reference only data available.</p> <p>Additionally, ACE Interested Party Accounts would have limited functionality with regard to AD/CVD Reports. Accounts should be able to get reports on AD/CV duties paid, AD/CV duties bonded, and AD/CV duties liquidated during a specific Commerce Period-of-Review (POR). These summary reports would only be available at the 7-digit case level, not the 10-digit case level. Under this restriction, no individual entry information would be available, nor any value summary information. The information proposed for these reports is already provided by Customs on their website, but on a fiscal year basis.</p> <p>This GIF would require no additional information. It would only involve establishing certain limits to existing data and reports.</p>		Pending CBP L/P Input	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>5/4/09: This GIF was dis Trade Ambassador Wor during a 5/1/09 internal and a dermination is per</p>

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ENT-066	109	<p>ABI REPORTS TO REPLACE DAILY STATEMENT: When ACE is fully integrated with the new financial system [need name] the result will be a full separation of the trade's operational and financial interface with CBP. When this occurs, the operational/financial flow of information to the filer that currently exists under ACS will change. As a result, filers utilizing the Periodic Monthly Statement (PMS), will no longer receive the Daily PMS Statements (PDS) that incorporates both the financial information and operational information currently used by the trade to manage its workflow. This change creates the need for something in ACE to replace the statement. It also raises a number of operational questions.</p> <p>Today, customs brokers and self filers utilize the Daily PMS Statement (PDS) to manage their workload to create the checks and balances necessary to manage (validate) the PMS statement process on a daily basis. Under ACE PMS, the PDS represents all releases for a particular date that will be due for payment, in aggregate, the following month. In addition, the PDS is used as a daily transmission sheet for "documents due;" that is, trade uses it to monitor the 10-day clock to file documents required for specific entries identified on the PDS. It also is used to track the acceptance and finalization of duty free and fee free entries where no payment is due.</p> <p>From an operational standpoint, after full ACE financial integration, brokers and self filing importers that will handle any volume of transactions will still need daily information to manage their operations. Waiting until the end of the month to receive the first ACE PMS statement is not an option. The inability to manage this large volume of data could subject the filer to late filing penalties. More frequent access to this information allows the importer/filer to incorporate "due diligence" into the process.</p> <p>Requirement: The trade would like the ability to have three reports that</p>	10/23/2009	Pending	Inc 12 and beyond	<p>October 2014: Pending increments.</p> <p>OCTOBER 23, 2009 - THIS IS PENDING CBP CHANGES UNDER REVIEW.</p>

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not intended to cover the more limited needs of the group using the Portal.

The first report (Report A) would be provided to the filer (push by CBP via ABI) on a daily basis. It would be similar to the current PDS and would be managed the same way. Unless a different day was selected through the portal, the report would be provided eight working days after the date of entry and would list all entries (free and dutiable) for that release date. If documents were due for those entry summaries, the report would indicate what is due.

The second report (Report B) would be a selectable ABI report that would allow the filer to pull a report, with the same data as Report A. Report B would allow the filer to select a single date of release or a range of release dates.

The last report (Report C) would also be a pull report via ABI. This report would provide information about open "docs required" requests. In simplest form, this report would list the entry number, date of release, documents requested by CBP and the due date. The request could be made for all open "docs required" requests, or based on due date. For example, a filer could request all open "docs required" requests from CBP that are expiring within 5 days.

While these are general outlines of reports that will be needed, it is necessary for CBP to have a sufficient number of work sessions with the trade to fully vet the needs and methods to satisfy them with CBP and the programmers to finalize agreement on data details, timing, role of the Portal in the filer selecting time periods and other options.

There are still a number of issues that need further dialogue include:

- Proof of payment requirement for due diligence under 19 CFR 111.29 to satisfy CBP's auditors. It has been suggested that there may need to be a further message from CBP indicating that an ABI entry has been satisfied.
- Question as to whether reports A&B can be combined into one regular request from the broker/importer-filer

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differently depending on their size. A filer that submits large volumes will need daily management, but smaller filers may want to manage on a weekly basis. We need input from both types of filers.

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ENT-067	109	<p>ULTIMATE CONSIGNEE AND ACE ID GENERATION:</p> <p>CBP and the trade are considering a proposal to replace the data elements “ultimate consignee” and the “manufacturer identification code (MID)” with four data elements to enhance targeting. In addition, CBP and the trade need to better align all trade entities in the manifest, entry/entry summary, and the Importer Security Filing (ISF). The trade entities should be clearly defined and consistent across the entire supply chain and all CBP applications. The new data elements replacing the ultimate consignee and MID would identify the ‘sold to” and “deliver to” parties and the “manufacturer/producer/grower” and “exporter/shipper/seller” parties, respectively. To identify these parties, an ACE ID would be used as an alternative to the employer identification number (EIN), social security number, and the manufacturer identification code (MID) on the entry (cargo release) and the equivalent CBP Form 3461.</p> <p>In the current environment, use of the EIN, social security number, and the MID code to identify the trade entities is very problematic. Importers are very concerned about using their tax number on customs transactions, especially individuals with the growing concern about theft identify. Additionally, the MID code presents a host of problems and challenges itself. The ACE ID will be used instead of the EIN, the social security number, and MID to identify the trade entities. Currently, there isn't a mechanism to generate the ACE ID for this purpose. This GIF sets forth the requirements for generating the ACE ID to replace the current identifiers.</p> <p>To generate an ACE ID to identify trade entities, the following information is needed:</p> <ul style="list-style-type: none"> • Full legal name • Complete address 	10/23/2009	Pending	TBD	<p>October 2014: Pending increments.</p> <p>OCTOBER 23, 2009 - THIS IS PENDING CBP CHANGES UNDER REVIEW.</p>

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A

separate ACE ID should be created whenever the address and the third piece of identifying information are different from the name. This will allow the same entity with different operating divisions a way to create unique ACE IDs.

The role of the entity assigned an ACE ID should not be limited. One ID would be assigned for all roles exercised by that entity if for example the entity is the “sold to” and “deliver to” parties.

Each data element (or entity) should have an accompanying “identifier” or “qualifier” to establish their role. For example, when there is more than one possible entity such as the “manufacturer/producer/grower,” this will help identify which entity that data element represents.

The trade needs the ability to file a “disclaimer” in the event a data element can’t be accurately reported. An example would be when the exact manufacturer or deliver to party can’t be identified. There needs to be some business rules when a disclaimer can’t be used. However, there are some situations when by default a disclaimer is needed. The system should allow at least two disclaimers: one for one of the U.S. trade entities (sold to and deliver to) and one for foreign entities (manufacturer/producer/grower or exporter/shipper/seller).

CBP and the trade recognize the difficulty in implementing this requirement for low value and informal shipments. Therefore, the requirement for collecting this data must be part of a phased-in enforcement approach over a specified number of years to ensure the trade can fully comply with the new requirements.

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to help maintain strict confidentiality. The ID can then be given to the broker to file the customs entry.

Create the ability to query ACE to determine if an ACE ID already exists to avoid duplicating IDs. Certain identifying information would need to be submitted in the query request to ensure confidentiality of information. Also, there needs to be rule to determine who can query ACE IDs. Query capability should be allowed using ABI and other mechanisms such as EDI and the Secure Data Portal.

Create the ability to update and inactivate the ACE ID. There needs to be rules to determine who can update the ACE ID. Update capability should be allowed using ABI and other mechanisms such as EDI and the Secure Data Portal.

In an effort to keep ACE IDs current, the following rules should apply:

- If an ACE ID shows no activity in 12 months, CBP should inactivate the ID. The party that created and the owner of the ID should be notified of CBP's intent to inactivate the ID 30 days prior to the close of the 12 months inactive period.
- After 18 months of continual transactions involving an ACE ID, CBP should send the party that created and the owner of the ID notice to verify all information used to create the ID is still current and correct. CBP should send this notice 30 days prior to the close of the 18-month period.

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ENT-068	109	<p>ULTIMATE CONSIGNEE AND MANUFACTURER IDENTIFICATION NUMBER: CBP OFO proposes the elimination of the data field "Ultimate Consignee" and its replacement with two data fields with corresponding definitions (as below): "Sold To"- The named sold to (buyer, purchaser etc) from the invoice at the time of entry. Further defined as the U.S. party causing the importation by reason of establishing a financial interest in the imported goods. If goods are not arriving in the U.S. pursuant to a sale the field should be left blank and the "deliver to" party must be submitted. In short, if the goods are not sold, then this field should not be completed. "Deliver To"- The identification of the named party on the invoice that will physically receive the merchandise, which may be different from the consignee (e.g. de-consolidator warehouse).</p> <p>Manufacturer Identification Number: CBP also proposes the splitting of the current "Manufacturer Identification Number" field into the following two data fields with corresponding definitions (as below): "Manufacturer/Producer/Grower" - The entity that manufactures, produces, or grows the imported commodity. These entities produce or grow raw material or by labor, art or skill transform raw material into some kind of finished product or article of trade. The transformation of the raw material may involve processing into finished goods, or the production of goods to be further assembled to create a finished product, or the assembly of goods into a finished product. For entry purposes this is the location where the country of origin attaches to the merchandise. "Exporter/Shipper/Seller" - The last named overseas (foreign) party identified on the transaction</p>	10/23/2009	Pending	TBD	<p>October 2014: Pending increments.</p> <p>OCTOBER 12, 2011 - T scope for cargo release</p> <p>SEPTEMBER 16, 2011 Input for cargo release.</p> <p>OCTOBER 23, 2009 - T IS PENDING CBP CH REVIEW.</p>

personal/household effects) or similar party.

In addition, CBP and the trade need to better align all trade entities in the manifest, entry/entry summary, and the Importer Security Filing (ISF) transactions. The trade entities should be clearly defined and consistent across the entire supply chain and all CBP applications. These definitions should be broad enough to allow for minor modifications for special emphasis programs or PGA definition. Additional defining elements may be added by policy or regulation to meet specific needs when appropriate but should fit within the definitions provided.

Identification Numbers:

It is also proposed that the following information be presented to identify the four data fields above :

- 1.The ACE ID of the appropriate party (see below).
- 2.The Tax ID, CBP assigned number or social security number of the appropriate party if known (current).
- 3.The Dun and Bradstreet (DUNS) number of the appropriate party if known.

New Functionality for ACE ID:

It is further proposed that a new account type and appropriate functionality will be developed that will allow these reference entities to be assigned ACE identification numbers directly from ACE. This should function in two ways:

- 1.An secure online (portal) functionality that will allow any party to establish their reference file directly with CBP. This will allow these parties to provide their name, address and at least one additional, verifiable unique identifier that is yet to be fully determined (anticipated examples include Social Security number, tax ID number, DUNS number, Drivers License number, Date of Birth, passport number etc.). For foreign addressing, the portal shall provide on-line guidance for registration in identified country's address format. CBP will provide a registration edits to ensure proper

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Query Functionality:

For these ACE identification numbers ACE will provide a query functionality that allows participants to input existing information and have matching ACE ID numbers returned. This will prevent duplication and allow trade partners to provide accurate information while preventing personal or trade sensitive information from being incorrectly distributed to unauthorized parties.

Requirements for Entry/Release and Entry Summary:

These requirements also envision that the above data from immediate delivery, entry or release will be reported at time of entry summary as well. The reporting of multiple entities on the line level in the ABI entry summary record similar to the current ability to report multiple Ultimate Consignees on the line level in the cargo release record. To accomplish this, the entity information defined above at the line level on the entry summary must be reported and be consistent with the definitions at the time of entry/release. This parallel reporting will minimize identification issues when the data is provided to state taxation departments.

*(see also, ENT-067, GIF-ENT UC and ACE ID Generation)

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ENT-069	109	<p>CONTINUATION OF ACS FUNCTIONALITY IN ACE:10/23/2009</p> <p>During the several years of the ERP I to III discussions (1977 to 2000) between the U.S. Customs Service and the various trade organizations, the basic structure of the current entry/entry summary process was validated. Based on these IRP results, early on the TSN Entry Committee wanted to ensure that ACE would provide, at a minimum, the same functionality that was present in ACS. This included preserving a two-step process (entry/release and entry summary), ensuring that all EDI reports and queries in ACS are also in ACE and the like. This committee asked the Customs development team whether it was necessary to document all of these ACS capabilities. We were advised that we needed to focus on changes and improvements to the process in developing requirements; that Customs would move all the functionality from ACS to ACE without requirements. The purpose of this requirement document is to memorialize the importance of transferring ACS capabilities to ACE.</p>	10/23/2009	Pending	Inc 12	<p>October 2014: Pending</p> <p>OCTOBER 23, 2009 - THIS IS PENDING CBP CHAIRMAN'S REVIEW.</p>
<i>Owner:</i> Monica Crockett						

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ENT-070	112	<p>The trade would like to ensure they are informed when a PSC modification has been done by a CBP officer/employee against their entry summaries. The filer needs to keep their entries (within their internal systems) in synch with CBP's systems. This is to ensure processes such Reconciliation and Drawback are done with minimal errors and with accuracy. If the entry is no longer owned by the original filer, these notifications should still be sent to them, as well as to current entry's owner.</p> <p>The ACE system should generate a UC message back to the original filer(s) and current filer when a CBP officer updates the entry in any way. This UC message should indicate that a PSC change has been applied to the entry in question and include a summary of the change just made. The trade could, in return, query the entry to get the latest information on that entry.</p> <p>Application UC - Record E1 should be revised to include:</p> <ul style="list-style-type: none"> -New Disposition Type Code to indicate this action. Maybe with a description such as: Entry Updated by CBP Officer -Notification Reason Code(s) to indicate the reason for this action. - <p>It is important that this change is in place before the release of the Post Summary Correction release</p>	4/12/2010	Pending	TBD	<p>October 2014: Pending increments.</p> <p>APRIL 120, 2010 - THIS PENDING CBP CHAN</p>
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Owner: Monica Crockett

ENT-072	116	<p>TRADE ASSOCIATION OR INTERESTED PARTIES ACCOUNT - Trade members consisting of law firms, economic consulting firms, trade associations, etc. request a new and separate ACE account category for ACE Interested Parties. This account would be available for those parties dealing with the trade as its primary function and who have an interest in AD/CVD cases as well as other reference only material. This account</p>	2/28/2011	Pending CBP HQ Input	TBD	<p>October 2014: Pending increments.</p> <p>February 28, 2011 - Thi /AD/CVD Reconciliatio</p>
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<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-073		Paperless Entry Summary Statement: As ACE becomes mandatory, and filers use the Document Image System, the paper statement that is filed at the local port should become paperless also.	1/3/2014	Pending	TBD	October 2014: Pending increments. January 3, 2014: Entered review and assignment
			<i>Owner:</i>	Monica Crockett		
ENT-074		ENHANCED POST SUMMARY CORRECTION FUNCTIONALITY IN ACE: Trade requests that this requirement be implemented immediately or be incorporated into the "Refactor ACE Entry Summary Foundation" currently proposed for Increment 7. Modify PSC to: 1. Allow PSC for unflagging elements for reconciliation 2. Allow PSC for flagging elements for reconciliation 3. Allow PSC for 520(d) claims 4. Allow PSC requesting extension of liquidation under 19 CFR 159.12 5. Allow PSC on extended entries up to 90 days prior to new liquidation date 6. Allow PSC on Type 03 entries until suspension of liquidation is removed by the Department of Commerce.		Pending	TBD	October 2014: Pending increments. January 3, 2014: Entered review and assignment
			<i>Owner:</i>	Monica Crockett		
ENT-075		CONSOLIDATED ENTRIES HAVING LARGE NUMBER OF ULTIMATE CONSIGNEES: The ability for an ACE entry having one importer of record (either resident or non-resident) with hundreds of different sold to parties (ultimate consignees), many of them first time consignees and the maximum allowable number of entered lines.		Pending	TBD	October 2014: Pending increments. January 3, 2014 - Entered submitted to CBP for review
			<i>Owner:</i>	Monica Crockett		
ENT-076		ACE ATTORNEY PORTAL: Develop an ACE Attorney Portal to allow attorneys to: 1. File protests and other correspondence with CBP 2. Monitor protests for all clients from a single portal	1/3/2014	Pending	TBD	October 2014: Pending increments. January 3, 2014: Entered review and assignment

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-077		<p>PUBLIC ACCESS PORTAL: Public access to all ACE information that is not business proprietary, though a single window to include:</p> <ol style="list-style-type: none"> 1. AD/CVD case query 2. HTSUS database 3. Liquidation Notices 4. IPR database 5. Reference files including <ul style="list-style-type: none"> - ports of entry - currency conversion rates - interest rates - import specialist team assignment file - quota query - manufacturer ID query 	1/3/2014	Pending	TBD	<p>October 2014: Pending increments.</p> <p>January 3, 2014: Entered review and assignment</p>
			<i>Owner:</i>	Monica Crockett		
ENT-078		<p>ENTRY SUMMARY MPF CALCULATION-CIVIL AIRCRAFT</p> <p>This GIF is being submitted based on the this understanding of new GN 3 (c)(iv) as outlined in CSMS Message 14-000003. This GIF requests the following:</p> <ol style="list-style-type: none"> 1. CBP change policy and program to unify the method for making preference claims under these protocols. Currently imported commodity is FAA certified and the HTS number is designated with a "C" and is dutiable (example 8413.30.1000 2.5%) filers are asked to apply SPI C# to apply duty preference. If the HTS has a duty rate of "free" (example 8411.99.1040 free) filers are asked to apply the corresponding FTA SPI not The applicable C#, K# or L#. In other words, two different methods to make the identical claim. (See CATAIR Appendix B for current process confirmation.) 	6/25/2014	Pending CBP L/P Input	TBD	<p>October 2014: Pending increments.</p> <p>June 25, 2014. This req review.</p>
			<i>Owner:</i>	Monica Crockett		

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ENT-079

RECONCILIATION ENTRY FOR TYPE 03 ENTRIES 6/25/2014
(AD/CVD)

The statutory authority for Reconciliation Entry for AD/CVD entries was included in the Customs ModAct legislation, passed in 1993. At some point after the legislation was passed, Customs determined that ACS could not support Reconciliation for AD/CVD entries, so Reconciliation was postponed until it could be developed in ACE. The NCAP Test for Reconciliation for AD/CVD entries was scheduled to be implemented in the February 2010 ACE Release that included the AD/CVD Case Information System and ACE for Type 03 entries. However, Customs announced at the November 2009 TSN Plenary Session that Reconciliation for AD/CVD entries was being postponed indefinitely, due to budget reductions. No action has been taken with regard to Reconciliation for AD/CVD entries since that decision. In the recently announced schedule for ACE Deployment, Reconciliation is listed in the work program for Increments 8 and 9, with all other entry types. Implements 8 and 9 are scheduled to occur from November 2014 to May 2015.

However, before Reconciliation Entry for AD/CVD entries can be developed in ACE, there are a number of critical policy issues, remaining from 2009, that must be decided in advance of the Development Team's work. This paper hopes to detail those issues and clarify the trade's position, to re-start the active discussions between the trade and Customs that were ongoing prior to the 2009 termination decision.

Owner: Monica Crockett

Pending CBP L/P
Input

TBD

October 2014: Pending increments.

June 25, 2014. This req review.

ENT-080

ADMINISTRATIVE REFUND FOR PSC ON TYPE 03 ENTRIES (AD/CVD) 6/25/2014

Importers filing Post Summary Corrections (PSC) on Type 03 entries that result in an AD/CV duty reduction would have the option of requesting that the PSC also be considered to be a formal request to CBP for an administrative refund under 19 USC 1520(a). If CBP

Pending CBP L/P
Input

TBD

October 2014: Pending increments.

June 25, 2014. This req review.

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-FTZ-053	898	<p>Upon inclusion of e214 data in ACE, it will be necessary for the trade to be able to access that data through ACE reporting.</p> <p>The trade needs reporting that provides information about the concurrence status of zone admissions (FZ program filings).</p> <p>Those with access to such reporting would include:</p> <ul style="list-style-type: none"> •Persons with authority for the IOR of the zone via the portal (operator – operator account type by IOR of the operator) •Persons admitting to the zone (users, applicants – importer account type by IOR of the applicant) •Persons filling admissions to the zone (broker, service provider account type by filer code) <p>Data elements should include:</p> <ul style="list-style-type: none"> •Bill of Lading •Zone ID •Calendar Year •Control Number •Authorization Date (system posted date on which the e214 was authorized in the system) – if not authorized, the item would appear on the report, but the authorization date would be blank •Concurrence Date (system posted date on which the concurrence was recorded in the system) – if not concurred, the item would appear on the report, but the concurrence date would be blank •Port Code •Import Date (in the FT20 record) •CBP's Arrival Date (as posted on the bill of lading in AMS when it arrived in port) •Number of days left on the GO clock (as calculated by CBP) <p>Report Filters:</p> <ul style="list-style-type: none"> •System implied (required according to ACE system access rules) 	12/5/2008	Pending	Inc 9, 10	<p>October 2014: ACE Rep Team regarding which</p> <p>JUNE 9, 2010 - Jim Sw but not the information fr with cargo release.</p> <p>DETERMINED TO BE CCB REVIEW FOR RE</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>4/27/09- E214 Admissio was submitted to Vinny, request for M2.3 requir</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-FTZ-O54	899	E-214 - M1 Admission Content Report	7/13/2009	Pending		August 20 2013:This C Change Control Board
			<i>Owner:</i>	Monica Crockett		
ENT-FTZ-057	902	<p>Provide the ability to pay HMF via ABI by ACH Debit/ACH Credit. Goal is to schedule and submit all payments to CBP through the same automated system. Trade prefers to maintain the process of ACH Debit though the ABI process. This would allow the FTZ operator to view all import and related revenue activity with CBP through ACE—either the portal or via ABI. The FTZ operator can see what is due, submit changes and approve and pay through ABI. The preference is to move away from the use of “3rd party” processors such as Pay.Gov to submit payment.</p> <p>Since the HMF is due by the 31st day after the quarter ends, it would be important to have a preliminary statement issued immediately after the close of the quarter. This would allow the FTZ to adjust the statement if necessary.</p> <p>The system must allow the ability to indicate the EIN of the HMF responsible party so that the charges will be billed to the right party. The HMF payment process in ACE would provide:</p> <ol style="list-style-type: none"> 1.Ability for the system to generate a calculation for the HMF due for the admission (including any corrections noted during acceptance) using the known information of HMF requirements for the provided HMF responsible party (without requiring the user to provide an indication of HMF requirements) 2.Ability for the HMF responsible party to choose to accept the system calculated HMF or to disagree by entering an independently calculated HMF amount 		Pending	A2.3	<p>7/16/09: This may requi</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>4/21/09: Electronic Paym subcommittee further re</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-FTZ-058	897	<p>As CBP moves everything to account-based reporting in ACE, it is imperative that all payments are made through PMS.</p> <ul style="list-style-type: none"> •The FTZ user is aware of the date of the border crossing for shipments moving to Canada or Mexico. •The due date of Part I of the NAFTA 08 entry is within 10 (ten) working days of the border crossing. •The due date of Part II of the NAFTA 08 entry is within 60 calendar days of the border crossing. •Since the due date for any duties to be paid is known at the time Part I is prepared, the filer should be able to select the month of a PMS statement on which the charge will appear even if it is not the next PMS. 		Pending	Inc 8, 9, 10	<p>October 2014: Pending</p> <p>7/16/2009: This may rec</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>April 21, 2009: Payment CBP CCB for review. A sponsor.</p>
<i>Owner:</i> Monica Crockett						
ENT-GIF-195	296	<p>Inclusion of Zone Number on Reports</p> <p>Current reports do not include the zone number, when applicable. When a transaction is related to a Foreign-Trade Zone, the zone number should appear on the reports related to that transaction.</p> <p>Add the zone number to all cargo entry, entry summary detail, cargo exam and entry summary compliance reports.</p>	10/6/2005	Pending	Inc 11	<p>October 2014: Pending increments.</p> <p>SEPTEMBER 16, 2011 Input under TMAC to de on all cargo entry recor of the entire Foreign Tr</p> <p>New GIF no = CSPO-G</p> <p>This is conditionally acc release until e-214 data</p>
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-GIF-196	295	<p>e214 Data in Importer Portal Account</p> <p>The e214 data should be visible in the Importer Portal in the same way entry summary data is viewable in the Importer Portal today. The e214 data would include the data elements found in the FT application (documentation can be found at http://www.cbp.gov/linkhandler/cgov/import/cargo_control/ftz_trade_req.ctt/ftz_trade_req.doc). The format would be the same as the format used to view e214 data in the FTZ Operator Portal account, and it would appear in a new view in the Importer Portal account. Along with the data contained in the e214 document, operators should be able to view all concurrences that have been transmitted related to each e214 (FZ application), messages produced by CBP concerning the admission (NF application) and the current status of the e214 (approved, docs required, etc.) should be shown with the e214 data. Since the applicants EIN is included in the e214, that identifier could be used to determine if an e214 needs to be displayed in an Importer's Portal account.</p> <p>Upon completion of the e214, the zone admission data will be available in ACS, as entry and entry summary data is available today. An importer, with an Importer Portal Account, could act as an applicant and file an e214. In this case, the importer should be able to view the e214 in his/her Importer Portal Account.</p>	10/6/2005	Pending CBP HQ Input	Inc 8, 9, 10	<p>October 2014: Pending increments.</p> <p>SEPTEMBER 16, 2011 Input for cargo release process.</p> <p>JUNE 9, 2010 - Jim Sw release development with</p> <p>DETERMINED TO BE CCB REVIEW FOR RE</p> <p>New GIF = CSPO-GIF-</p> <p>This is conditionally acc release until e-214 data</p>
			<i>Owner:</i>	Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-GIF-197	294	<p>e214 Data in FTZ Operator Portal Account</p> <p>The e214 data should be visible in the FTZ Operator Portal in the same way entry summary data is viewable in the Importer Portal today. The e214 data would include the data elements found in the FT application (documentation can be found at http://www.cbp.gov/linkhandler/cgov/import/cargo_control/ftz_trade_req.ctt/ftz_trade_req.doc). The e214 data should be in a separate view from the entry summary data. Along with the data contained in the e214 document, operators should be able to view all concurrences that have been transmitted related to each e214 (FZ application), messages produced by CBP concerning the admission (NF application) and the current status of the e214 (approved, docs required, etc.) should be shown with the e214 data.</p> <p>Upon completion of the e214, the zone admission data will be available in ACS, as entry and entry summary data is available today. When the FTZ Operator Account is added in A1, that portal account view should include the e214 data available in ACS.</p>	10/6/2005	Pending CBP HQ Input	Inc 8, 9, 10	<p>October 2014: Pending increments.</p> <p>SEPTEMBER 16, 2011 input for cargo release process.</p> <p>JUNE 9, 2010 - Jim Sw release development with</p> <p>DETERMINED TO BE CCB REVIEW FOR RE</p> <p>JANUARY 12, 2007: TH PENDING CBP CHAN</p> <p>This is conditionally acc release until e-214 data</p>
			<i>Owner:</i>	Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-GIF-199	292	<p>e214 Data in Broker Portal Account</p> <p>The e214 data should be visible in the Broker Portal in the same way entry summary data is viewable in the Importer Portal today. The e214 data would include the data elements found in the FT application (documentation can be found at http://www.cbp.gov/linkhandler/cgov/import/cargo_control/ftz_trade_req.ctt/ftz_trade_req.doc). The format would be the same as the format used to view e214 data in the FTZ Operator Portal account, and it would appear in a new view in the Broker Portal account. Along with the data contained in the e214 document, brokers should be able to view all concurrences that have been transmitted related to each e214 (FZ application), messages produced by CBP concerning the admission (NF application) and the current status of the e214 (approved, docs required, etc.) should be shown with the e214 data. Since the broker's filer code is included in the e214, that identifier could be used to determine if an e214 needs to be displayed in an Broker's Portal account.</p> <p>Upon completion of the e214, the zone admission data will be available in ACS, as entry and entry summary data is available today. A broker, with a Broker Portal Account, could file an e214. In this case, the broker should be able to view the e214 in his/her Broker Portal</p>	10/6/2005	Pending CBP HQ Input	Inc 8, 9, 10	<p>October 2014: Pending increments.</p> <p>SEPTEMBER 16, 2011 Input for cargo release process.</p> <p>JUNE 9, 2010 - Jim Sw release development with</p> <p>DETERMINED TO BE CCB REVIEW FOR RE</p> <p>JANUARY 12, 2007: TH PENDING CBP CHAN</p> <p>This is conditionally acc release until e-214 data</p>
			<i>Owner:</i>	Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
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ENT-GIF-198

293

e214 Data in Carrier Portal Account

10/6/2005

Pending CBP HQ
Input

Inc 8, 9, 10

October 2014: Pending increments.

The e214 data should be visible in the Carrier Portal in the same way entry summary data is viewable in the Carrier Portal today. The e214 data would include the data elements found in the FT application (documentation can be found at http://www.cbp.gov/linkhandler/cgov/import/cargo_control/ftz_trade_req.ctt/ftz_trade_req.doc). The format would be the same as the format used to view e214 data in the FTZ Operator Portal account, and it would appear in a new view in the Carrier Portal account. Along with the data contained in the e214 document, carriers party to the admission should be able to view all concurrences that have been transmitted related to each e214 (FZ application), messages produced by CBP concerning the admission (NF application) and the current status of the e214 (approved, docs required, etc.) should be shown with the e214 data. Since the carriers SCAC code is included in the e214, that identifier could be used to determine if an e214 needs to be displayed in a Carrier's Portal account.

Upon completion of the e214, the zone admission data will be available in ACS, as entry and entry summary data is available today. A carrier, with a Carrier Portal Account, needs to know goods are authorized to move to the zone. In this case, the carrier should be able to view the e214 in his/her Carrier Portal Account.

Owner: Monica Crockett

SEPTEMBER 16, 2011
Input under TMAC as p

JUNE 9, 2010 - Jim Sw
release development wi

DETERMINED TO BE
CCB REVIEW FOR RE

JANUARY 12, 2007: TH
PENDING CBP CHAN

This is conditionally acc
release until e-214 data

ENT-RSC-006

661

CBP VALIDATION OF EIN/SSN AT TIME OF 5106 ADD:

3/6/2008

Pending

Inc 8

October 2014: Pending increments.

Whatever validation program is being run by CBP, it should be performed real-time as each 5106 add es received. An ABI response should be returned to the filer if the number is deemed invaled and the 5106 add should be relected. Filers would then be forced to contact the importer for a valid EIN/SSN before the

NOVEMBER 23, 2011 -
release. Do not have the

SEPTEMBER 16, 2011
Input for cargo release.

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-RSC-026	628	5106 DATABASE SEPARATION OF INFORMATION- 9/21/2007 IMPORTER OF RECORDS AND REPORTING PARTIES: The company records (5106 data records) within ACE will be separated into two categories: Importer of Record and Reporting Parties.		Inc 8	CR	October 2014: Pending increments. NOVEMBER 17, 2011- for cargo release. MAY 20, 2009 - THIS R CBP CHANGE CONTI 1/29/08: CSPO-GIF-628
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-WHS-O60	906	<p>Current Regulations provide for an allowance in Duty and Tax upon satisfactory proof of the destruction or loss, in whole or in part, of any merchandise while in a bonded warehouse – 19 USC 1563, 19 CFR 158.21. Within 30 days of the discovery of the loss, theft, injury or destruction, the importer SHALL file an application, in DUPLICATE, on Customs Form 4315, with the Port Director wherein the loss, theft, injury or destruction occurred and within 90 days from the date of discovery of such incident, the importer SHALL file with the Port Director wherein the loss, theft, injury or destruction occurred, any evidence required in sections 158.26 and 158.27 of 19 CFR. Furthermore, any theft, suspected theft, overage or extraordinary shortage or damage (one percent or more of the value of the merchandise in an entry or unique identifier – OR – if the missing merchandise is subject to duty and tax in excess of \$100) SHALL be immediately brought to the attention of the Port Director wherein the incident occurred and confirmed in writing within five (5) business days thereafter. The warehouse proprietor SHALL record all shortages, overages, thefts, loss, injury, damage or destruction of merchandise, whether extraordinary or NON-extraordinary in nature, in the inventory control and recordkeeping system of the warehouse proprietor, whether or not such an incidence need be required to be reported to the Port Director.</p> <p>REQUESTED CHANGE TO CURRENT PROCEDURE</p> <p>As it is the case that CBP no longer maintains a duplicate permit file folder for warehouse entries as such records are now required to be maintained by the warehouse proprietor, in lieu of processing and transmitting Customs Form 4315 in the antiquated manner of submitting a paper document to CBP for signature, which is inefficient and burdensome to both the filer and CBP, ACE should allow for the paperless release of an application for an allowance in duties on</p>		Pending	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>April 27, 2009: Paperless Pending submission to of a CBP Business spor</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-WHS-061	904	<p>BACKGROUND</p> <p>Current Regulations provide for the transfer of merchandise between bonded warehouses within the same port through the use of the non-automated CF 6043 – 19 CFR 144.34 and 144.41. Additionally, the port director MAY require the filing of a rewarehouse entry if the port director determines it is necessary for the proper control of the merchandise.</p> <p>REQUESTED CHANGE TO CURRENT PROCEDURE</p> <p>Current regulations and procedures governing the transferring of in-bond merchandise between bonded warehouses within the same port (1) do not allow for a paperless release, (2) do not allow for the transference of bond or importer information between warehouse proprietors and (3) do not provide for the filing of a paperless type 22 entry.</p> <p>Transferring in-bond merchandise to different bonded facilities operated by different entities within the same port limits is nothing new to Customs. FTZ's have been doing this for quite some time using a CF 7512 (see FTZM 9.11(a)) in order to assure that the bonded facility at destination has the necessary information in order to exercise proper control over the in-bond merchandise and to be able to file proper documentation for the admission (entry) of the merchandise into the bonded facility at destination.</p> <p>Ace should allow for the paperless release of in-bond merchandise, on CF 7512, for the purpose of transportation between bonded warehouses within the same port limits. Ace should also allow the filing of a paperless type 22 entry for such transferred merchandise.</p>		Pending	TBD	<p>October 2014: Pending increments.</p> <p>JULY 14, 2009: THIS R PENDING CBP CHAN</p> <p>April 27, 2009: Paperle submission to CBP CC Business sponsor.</p>

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-011	101	To allow for remote filing for all entry methods.	8/31/2001	Pending CBP L/P Input	TBD	<p>October 2014: Pending</p> <p>JANUARY 11, 2011 - D determine to continue R</p> <p>SEPTEMBER 16, 2011 input for cargo release. There are two policy and FAST PAPS is a policy in-bond requires legal additional discussion.</p> <p>JANUARY 7, 2011-This related in the cargo rele</p> <p>SEPTEMBER 2, 2009 - IS PENDING CBP CHA REVIEW.</p> <p>July 2009 - MMM comm be moved to the Release</p> <p>4/11/07 - Pending CBP</p> <p>Subject to CBP policy d changes.</p> <p>(Reviewed by CCR team provided)</p>

Owner: Monica Crockett

MMM-HL-057	505	<p>TAO TO GRANT ACCESS TO TRANSACTIONS TAB FOR ANY PAO OR USER:</p> <p>Valuable information is stored in the Transactions tab in the Carrier view. Details on entries being filed@, FDA Prior Notice having been filed, status of crew, equip., conveyance and shipments. Currently only the Trade Account Owner can access this Tab. Enable the TAO and PAO to grant access to the Transactions Tab.</p>	1/17/2007	Pending	TBD	<p>October 2014: Requires</p> <p>APRIL 4, 2007 - THIS P THE CBP CHANGE C REQUEST FOR ADDI This requirement may b</p>
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<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-060	508	<p>ENTERING THE ADDRESS TO WHERE THE DRIVER WILL BE IN THE U.S. IS TIME CONSUMING AND LABORIOUS.</p> <p>A. The user is currently required to select USA in a field that is mandatory USA. Hardcode USA do not allow user to change.B. The address where the driver will be in the USA is often times the consignees address. Currently a carrier is required to manually type this complete address every time they use a non-FAST driver. Give the user the ability to access the consignee file on the screen where they are required to enter the address where the driver will be in the US.</p>	1/17/2007	Pending	TBD	<p>October 2014: Required</p> <p>MAY 25, 2007 - THIS R LOW PRIORITY BY TH</p>
				<i>Owner:</i>	Monica Crockett	
MMM-HL-063	511	<p>CARRIER REPORTS NOT WORKING PROPERLY: Relationship of data elements reported in carrier reports are not showing logical or correct relationships. One example can be seen by running TR003 where every shipper and consignee that is on the trip is being associated with every shipment control number. This six shipment report shows 54 lines of incorrectly associated data. (ECP_PTR_12664)</p>	1/17/2007	Pending CBP L/P Input	TBD	<p>October 2014: Required</p> <p>APRIL 4, 2007 - THIS R THE CBP CHANGE C</p>
				<i>Owner:</i>	Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-001	995	<p>Provide a financial component to ACE for the management of Customs Trade Accounts that supports account based, periodic payment for accounts who elect to use this payment vehicle.</p> <p>A. Statements on accounts should reflect all payments and refunds including Importer Activity Summary Statements, other entries and payments and refunds discussed in Rev 001.1.</p> <p>B. Statement adjustments should be allowed prior to final statement without sanction by CBP if error is clerical and manifest from the paperwork.</p> <p>C. In addition, changes that do not alter the underlying summary data but perfect the duty calculations should also be allowed without sanction prior to the final settlement (i.e. SPI for NAFTA added based on CO, etc.). Additionally, the statement should reflect any adjustments by CBP</p> <p>Refer to proposal specifications for additional details.</p>	9/4/2001	Pending	TBD	<p>October 2014: Pending increments.</p> <p>AUGUST 28, 2009 - TH PENDING CBP CHAN</p> <p>Status: Sept 2008 - On closer to the A3 Release incorporate all IASS rec</p> <p>Status: 03/26/2008 - ES be delivered in A3</p> <p>03/10/2008 - In discuss will revise</p> <p>01/28/08 - REV-GIF-0018. All IASS related E with the Entry committee for CBP GIF Board revi</p> <p>7/25/07: GIF requireme Revenue workshop. If r committee review.</p> <p>Update 6/18/2007: A. CBP will give a mont required in IASS a separ B. This will be done in F required. C. Same as B - no GIF</p> <p>Explanation of staged fu Release 3 – Generate s ACH credit and ACH de Release 5 - Periodic sta Release 7 - Full paymen (including drawback and</p> <p>Explanation of multiple s</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
						include all account types references to specific d
						No updates required for
						9/24/04: Based on reco above), this requiremen resubmitted to CBP on 5 Updated status explanat 1. Accepted in part: Thi Release 3 2. Preliminary CBP app considered in Business appropriate.
						10/14/05: Updated to re Outstanding issues (9/2 1. Netting 2. Process for and infor 3. National statements 020)
						Periodic statement is m IASS coverage mapped
						11/2/05 -- Cross-team i requirement. Exception: PMS settle refinement" by product c Exception: No Netting r
						11/28/06: 1) CBP is not ensure that they can do CBP will consider expar will be allowed for filers drawback will be on the be provided on all activi statement on which a pa

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-001.3	666	STATEMENT ADJUSTMENTS: B. Statement adjustments should be allowed prior to final statement without sanction by CBP if error is clerical and manifest from the paperwork. Allow adjustments if error is clerical or changes do no alter summary data but perfect duty calculations	9/4/2001	Pending	TBD	<p>October 2014: Pending increments.</p> <p>MAY 20, 2009 - THIS R PENDING CBP CHAN</p> <p>Sept 2008 - Deferred to Base as GIF 666.</p> <p>03/05/2008 - Submitted Assigned CSPO-GIF-6</p> <p>01/28/08: REV-GIF-001 CBP GIF Board review.</p> <p>7/25/07: GIF requireme Revenue workshop. If r committee review.</p> <p>Update 6/18/2007: Cove need a GIF if it maps to</p> <p>Explanation of staged fu Release 3 – Generate s ACH credit and ACH de Release 5 - Periodic sta initial payments (includi acceptance of cash pay Release 7 - Full paymen (including drawback and</p> <p>Explanation of multiple s 1. Accepted in part: Thi Release 3 2. Pending further comm full periodic payment, in considered in Business Revenue Committee up references to tracks 2, 3 neutrality, © decouple fr</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
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Updated status explanation:
 1. Accepted in part: This is Release 3
 2. Preliminary CBP approval is considered in Business Review if appropriate.

 10/14/05: The portion of the requirement is mapped to A2. State of California drawback.

 11/28/06: This requirement is pending.

 12/11/06: If the PSC is approved prior to statement that the requirement time CBP will net in A2.

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REV-001.4	722	ROLLING CREDIT BALANCES - The trade account should have the option of rolling credit balances forward to the next month or receiving an EFT (ACH Credit) or check from CBP to reconcile the account.	4/7/2008	Pending	TBD	<p>October 2014: Pending increments.</p> <p>MAY 20, 2009 - THIS REQUIREMENT IS PENDING CBP CHANGES.</p> <p>Status: 04/07/2008 - Submitted for review = CSPO-</p>
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Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-002	667	<p>PRESERVE CURRENT MECHANISM FOR SETTING FINANCIAL OBLIGATION:</p> <p>Preserve current mechanisms for settling financial obligations to and from CBP, including daily statements via ACH debit/credit/check, single payment, etc. Add EFT to support single entry payments. Preserve payment and refund options. Allow single entry payments via EFT.</p>	7/26/2001	Pending	TBD	<p>October 2014: Pending increments.</p> <p>MAY 20, 2009 - THIS R PENDING CBP CHAN</p> <p>Sept 2008 - Deferred to Base as GIF 667</p> <p>03/05/2008 - Submitted Assigned CSPO-GIF-6</p> <p>01/28/08: REV-GIF-002 GIF Board review.</p> <p>7/25/07: GIF requireme Revenue workshop. If re committee review.</p> <p>Update 6/18/2007: Will CBP is not doing electr</p> <p>Explanation of staged fu Release 6 - Daily statem summary transactions, r adjustments, violation b Release 7 -Daily statem protest, miscellaneous r</p> <p>10/14/05: Updated to re capability planned for A3 daily statement mapped</p> <p>ACH Debit, ACH Credi</p> <p>11/28/06: 1) Drawback statement will be in A3 - A3.. The refund will be statement is tied to the r</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-003	692	<p>E-BOND CONCEPT Mechanism for Bond Maintenance - An electronic mechanism needs to provide for the filing, amending, canceling and monitoring of all current and future bonds. This document begins with the January 21, 1999, U.S. Customs Service Electronic Bond Requirements, Final Report, which is incorporated by reference. Further, the attached report "eBond System Description and Requirements", version 11 which expands upon the concepts described as follows.</p> <p>An electronic bond system would have to provide certain, basic functionality:</p> <ol style="list-style-type: none"> 1.Filing with and acceptance by Customs of bonds. 2.Filing with and acceptance by Customs of riders and amendments. 3.Filing with and acceptance by Customs of termination requests from importers or their customs brokers and sureties in compliance with the regulations. 4.Filing with and acceptance by Customs of reinsurance documents and bonds with co-sureties. 5.Queries and Downloads 6.Monitoring for bond sufficiency. 7.Appropriate electronic notifications in connection with these transactions. 	10/5/2001	Pending	Inc 7	<p>October 2014: Being developed (DEPLOYMENT D) for future increments.</p> <p>NOVEMBER 2, 2011 -</p> <p>SEPTEMBER 16, 2011 Input for cargo release.</p> <p>MAY 20, 2009 - THIS REPORT PENDING CBP CHANGE</p> <p>Sept 2008 - Deferred to Datat Base as GIF 692.</p> <p>3/18/2008- CSPO-GIF-</p> <p>03/10/2008 - Title of GIF subcommittee. May need</p> <p>01/28/08: REV-GIF-003 May need to be split into subcommittee will also</p> <p>7/25/07: GIF requirement Revenue workshop. If re committee review.</p> <p>Update 6/18/2007: Request Bridgford. Will be covered end of September or early</p> <p>- Explanation of staged - Release 5: Initial bond - Release 6: Full bond - (Release 7: IASS, Dr - 9/24/04: This recomm</p> <p>TSN eBond Subcommittee 003 representing the e the TSN eBond Subcom</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
						Surety GIF is pending r committee.
			<i>Owner:</i>	Monica Crockett		
REV-004	668	ACH REFUND AND NOTIFICATION TO TRADE: Process that will electronically deposit refunds due from CBP to an importers financial institution. Electronically deposit refunds to separate banks; provide transaction level detali, email notification of refund,. Refund notice to IR, account who paid, drawback filer, surety. Specification of notice listed (entry#, liq. Record, name/address, etc.)	10/1/2001	Pending	TBD	<p>October 2014: Pending increments.</p> <p>MAY 20, 2009 - THIS R PENDING CBP CHAN ALSO INCLUDES REV</p> <p>Sept 2008 - Deferred to Data Base as GIF 668</p> <p>03/05/2008 - Submitted Assigned CSPO-GIF-6</p> <p>01/28/08: REV-GIF-004 from REV-014. Ready</p> <p>7/25/07: GIF requireme Revenue workshop. If re committee review.</p> <p>Update 6/18/2007: Will</p> <p>Explanation of staged fu Release 5 - Initial refun Release 7 - Refund pro Release 7 (protest, dra 10/14/05: Updated to rel A2 maps to Release 5 a above.</p> <p>11/2/05 -- Exception - e specific financial institu mapped to any release.</p> <p>11/28/06: 1) CBP needs Revenue committee nee</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-005	669	PAYMENTS AND REFUNDS REPORT: ACE must provide the ability to access Payments Due, Outstanding refund claims, approved Refunds not issued. Access to payments due to CBP and refunds coming and approved refunds from CBP.	10/1/2001	Pending	Inc 11	<p>October 2014: Pending increments.</p> <p>MAY 20, 2009 - THIS R PENDING CBP CHAN ALSO INCLUDES REV</p> <p>Sept 2008 - Deferred to Data Base as GIF 669</p> <p>03/05/2008 - Submitted Assigned CSPO-GIF-6</p> <p>01/28/08: REV-GIF-005 Combined requiremens and Refund Remittance</p> <p>7/25/07: GIF requireme Revenue workshop. If r committee review.</p> <p>Update 6/18/2007: Will A2.</p> <p>Explanation of staged fu Release 3 - Ability to ac periodic payment Release 5 - Ability to ac statement, ability to acc (including reconciliation Release 7 - Ability to ac ability to access amount IASS</p> <p>Explanation of multiple s 1. "Accepted in part" fo Periodic Payment (Rele 2. "Preliminary CBP Ap future release</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
			<i>Owner:</i>	Monica Crockett		
REV-009.1	670	CLAIMS MANAGEMENT SYSTEMS: AUTOMATION Customs claims management systems need to be updated to provide for the following: 1.All claims must be automated. (such as Supplemental Duty Bills, Penalties, Liquidated Damages, Debt Vouchers, passenger user fees, etc.)	3/5/2008	Pending	Inc 11	October 2014: Pending MAY 20, 2009 - THIS R PENDING CBP CHAN 03/05/2008 - Submitted Assigned CSPO-GIF-6 Refer to REV-009 for m
			<i>Owner:</i>	Monica Crockett		
REV-009.2	671	CLAIMS MANAGEMENT SYSTEMS: MULTIPLE BONDS Customs claims management systems need to be updated to provide for the following: 2.Mechanisms must be provided to recognize that there may be multiple bonds covering an entry and insure that claims are issued to the correct surety. Further, in the case of co-surety, the system must also insure that all sureties are notified in the event of a claim.	3/5/2008	Pending	Inc 11	October 2014: Pending MAY 20, 2009 - THIS R PENDING CBP CHAN 03/05/2008 - Submitted Assigned CSPO-GIF-6 Refer to REV-009 for m
			<i>Owner:</i>	Monica Crockett		
REV-009.3	672	CLAIMS MANAGEMENT SYSTEMS: RECOGNIZABLE FORMAT Customs claims management systems need to be updated to provide for the following: 3.When making a claim, the supporting data that may be in an electronic form must be provided in a means that can be read by the surety and in a format that can be used in any proceeding action against a bond principal.	3/5/2008	Pending	Inc 11	October 2014: Pending MAY 20, 2009 - THIS R PENDING CBP CHAN 03/05/2008 - Submitted Assigned CSPO-GIF-6 Refer to REV-009 for m
			<i>Owner:</i>	Monica Crockett		
REV-009.4	673	CLAIMS MANAGEMENT SYSTEMS: TRADE NOTIFICATION Customs claims management systems need to be updated to provide for the following:	3/5/2008	Pending	Inc 11	October 2014: Pending MAY 20, 2009 - THIS R PENDING CBP CHAN

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-010	674	REFUND TO SURETIES: All refunds on payments by the surety under its bonds will be tendered directly to the surety with applicable interest, rather than to the bond principal.	11/5/2001	Pending	Inc 7	<p>October 2014: Pending</p> <p>MAY 20, 2009 - THIS R PENDING CBP CHAN</p> <p>Sept 2008 - Deferred to Data Base as GIF 674</p> <p>03/05/2008 - Submitted Assigned CSPO-GIF-6</p> <p>01/28/08: REV-GIF-010 the Accounts committee GIF separate from REV</p> <p>7/25/07: GIF requireme Revenue workshop. If re committee review.</p> <p>Will require a GIF for A</p> <p>Explanation of staged fu Release 5 - Initial refun entry summary liquidati Release 7 - Refunds on</p> <p>10/14/05: Mapped to ES A2: Initial refund proces entry summary liquidati A3: Refunds on protest</p> <p>11/2/05: OPEN issue</p> <p>11/28/06: All Surety rela 018) will be discussed i</p>

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<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-011	675	SETTLEMENT OF USER FEES: The system will provide functionality that allows for settlement of all user fees not collected in connection with entry of merchandise and not otherwise provided for in the requirements issuing from this committee, e.g., the customs brokers user fees specified in 19 C.F.R. 111.96(c) and 111.96(d), by check at a single Customs office designated for collection of payments or by EFT or similar electronic means through ACE.	2/12/2002	Pending	Inc 11	October 2014: Pending MAY 20, 2009 - THIS R PENDING CBP CHAN Sept 2008 - Deferred to Data Base as GIF 675 03/05/2008 - Submitted Assigned CSPO-GIF-6 01/28/08: REV-GIF-011 GIF Board review. 7/25/07: GIF requireme Revenue workshop. If r committee review. 6/18/2007: Will require June, 2004: While CBP have such fees collecte 9/23/04: The TSN Reve requirement recommen by CBP (above). 10/14/05: Mapped to ES 11/2/05 -- facilitated by 11/28/06: On schedule f
			<i>Owner:</i>	Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-012	774	<p>REDIRECTION OF BILLS, REFUNDS, & LIQUIDATION NOTICES:</p> <p>The system will provide functionality equivalent to the current CF4811 that allows an importer to redirect CBP bills, refunds and notices of liquidation, singly or in any combination. Additionally, the system will provide for these changes to be defined, at the importer's discretion, with maximum flexibility, e.g.</p> <p>A. If an importer employs more than one entry filer, it can employ this functionality to the respective filer for the underlying entry transaction at the entry or summary level. B. It may elect to designate a single account to receive the items.</p> <p>C. It may select only specified entries or summaries for redirection</p> <p>D. It may set-up any logically consistent method for distribution of the items.</p>	5/17/2002	Pending	Inc 11	<p>October 2014: Pending</p> <p>MAY 20, 2009 - THIS R PENDING CBP CHAN</p> <p>Sept 2008 - Deferred to Data Base as GIF 774</p> <p>Status: 03/25/2008 - ES</p> <p>4/11/07 - In scope for A</p> <p>This requirement falls u 10/14/05: Mapped to ES</p> <p>11/2/05 -- secondary ow</p> <p>11/28/06: Refer to com</p>

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-013	676	BORDER CROSSING FEES: Provide the ability for CBP to recognize a crossing and determine that the carrier has pre-paid the annual border crossing fee or should be billed for a month of crossings (up to 20 crossings annually).	5/17/2002	Pending	Inc 11	<p>October 2014: Pending</p> <p>MAY 20, 2009 - THIS R PENDING CBP CHAN ALSO INCLUDES REV</p> <p>Sept 2008 - Deferred to Data Base as GIF 676</p> <p>03/05/2008 - Submitted Assigned CSPO-GIF-6</p> <p>01/28/08: REV-GIF-013 GIF Board review.</p> <p>7/25/07: GIF requireme Revenue workshop. If r committee review.</p> <p>6/18/2007: Requires a C allows the account to be</p> <p>10/14/05: Mapped to C</p> <p>11/2/05 -- Not currently</p> <p>(Reviewed by CCR tear provided)</p> <p>11/28/06: CBP needs ac prior to implementation.</p> <p>12/11/06: Covers borde between MMM and Fin decrementing via traspo the carrier to pre-pay lin account and decrementi</p>

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REV-013.1	676	<p>A. Carriers not using transponders should be able to present debit and credit cards or cash to CBP at the border.</p> <p>B. Carriers with multiple truck should be able to make one annual payment for the fleet (account) at the beginning for the year.</p>	5/17/2002	Pending	Inc 11	<p>October 2014: Pending increments.</p> <p>MAY 20, 2009 - THIS R PENDING CBP CHAN</p> <p>01/28/08: Included in R</p> <p>Not in the A2 business leads on status. Is a CF</p> <p>Mapped to CCR</p> <p>11/2/05 -- Not currently</p> <p>(Reviewed by CCR team provided)</p> <p>11/28/06: Proposed for request to be submitted</p>
			<i>Owner:</i>	Monica Crockett		
REV-013.2	676	Provide the ability for CBP to recognize a crossing and determine that the carrier has pre-paid the annual border crossing fee or should be billed for a month of crossings (up to 20 crossings annually).	5/17/2002	Pending	Inc 11	<p>October 2014: Pending</p> <p>MAY 20, 2009 - THIS R PENDING CBP CHAN</p> <p>01/28/08: Included in RE</p> <p>7/25/07: GIF requireme Revenue workshop. If r committee review.</p> <p>6/18/2007: Same as RE</p> <p>10/14/05: Mapped to C</p> <p>11/2/05 -- Not currently</p> <p>(Review by CCR team provided)</p>

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REV-015	103	Eliminate the requirement for the Trade to present hard copy preliminary statements daily to all ports of entry when filed and paid electronically except when documents are required. When documents are required, reduce the information to be presented to statement header and entry summary information.	1/14/2004	Pending CBP L/P Input	Inc 11	<p>October 2014: Pending</p> <p>SEPTEMBER 10, 2009 (1034) IS PENDING CBP REVIEW</p> <p>MAY 27, 2009 - Trade s</p> <p>1/25/08 This requireme determine if this has alr</p> <p>8/9/07 Need cro issues.</p> <p>(072704: Changed to L 10/14/05: Pending CBP</p> <p>11/2/05: Elim. Requirement to p satisfied electronically a unnecessary (see CBP</p> <p>11/28/06: Status chang Functionality should be</p>

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REV-017	677	<p>PERIODIC MONTHLY NATIONAL STATEMENTS: This requirement will provide for four additional aspects of flexibility to the current periodic monthly statement process as relates to national statements:</p> <ol style="list-style-type: none"> 1.The production of periodic monthly national importer statements sorted by, and consolidating the transactions of single filers, respectively. 2.The production of periodic monthly national and port broker statements in the same accounting period. 3.The production of periodic monthly national broker statements sorted by and consolidating the transactions of single importer, respectively. 4.The ability of the payer to direct each of multiple national statements, whether importer statements or broker statements, produced during the same accounting period for a single importer or filer, to different ports, and with the added flexibility for independently assigned print dates, for transactional settlement. <p>The ability to create national periodic statements is key to leveraging the benefits of both periodic monthly payment and account based business processes in ACE. ACE should provide for national statements to be available both as filer statements by importer and importer statements by filer. ACE should also provide a mechanism by which a filer or importer may create both national and port statements in the same month. The election of a national statement should neither prohibit the future or concurrent creation of statements by port. The “flag” for assignment of a payment to a port or national monthly statement should be moved from the profile to the entry.</p>	9/24/2004	Pending	Inc 11	<p>October 2014: Pending</p> <p>MAY 20, 2009 - THIS R PENDING CBP CHAN</p> <p>03/05/2008 - Submitted Assigned CSPO-GIF-6</p> <p>01/28/08: REV-GIF-017 017.3 and 017.4. Ready review.</p> <p>7/25/07: GIF requireme Revenue workshop. If r committee review.</p> <p>6/18/2007: Refers to Na incorporating but will r the Statement workshop</p> <p>10/14/05: Mapped to ES</p> <p>11/28/06: National state A2. All 4 points will be</p>

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REV-033	107	<p>ELECTRONIC PETITIONS</p> <p>The trade community requests the capability to file a petition with respect to liquidated damages and penalty cases via ABI and the ACE Secure Data Portal. The account types who currently have the capability to file a petition should have access to the capability in ACE. These account types include:</p> <ul style="list-style-type: none"> • Importer • Broker • Surety • Consignee • Foreign Exporter/Producer • Attorney • Carrier (and NVOCC) • Terminal Operator • CFS <p>The ACE petition system should interface with SECATS system.</p> <p>The petition filer should also have the capability to attach files, images and any supporting document to complete the electronic submission of the petition.</p> <p>CBP should also be able to respond to the petition filed via ACE. CBP should have the capability to input a decision and provide explanation and comments – both text or files/images.</p>	10/16/2009	Pending	Inc 11	<p>October 2014: Pending increments.</p> <p>OCTOBER 16, 2009 - THIS IS PENDING CBP CHA</p>
				<i>Owner:</i>	Monica Crockett	
REV-DBK-001	745	<p>DRAWBACK ACCOUNT TYPE - Establish a Drawback account type to meet the express needs of the drawback claimant that is not defined by the existing ACE Portal Account types. The majority of drawback claimants will have access to an importer or broker account and will file drawback claims via ABI. But there will be instances in which an entity, who is not an importer/exporter and who does not want to use a broker will need ACE Portal account access..</p>	7/8/2008	Pending	Inc 10, 11	<p>October 2014: Pending</p> <p>MAY 28, 2009 - THIS R CBP CHANGE CONTI</p> <p>July 8, 2008: Entered in (GIF Board) Review</p>

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REV-DBK-002	106	<p>ESTABLISH DRAWBACK REPORTS:</p> <p>Specific Drawback Reports are required by the trade to better monitor and track the status of drawback claims. Currently three (3) specific reports have been identified to satisfy these requirements: 1) Drawback Import Report; 2) Drawback Claimant Report; 3) Drawback Bond Report. Specific data elements for the reports are listed in the full requirement.</p>	10/7/2009	Pending	Inc 10, 11	<p>October 2014: Pending</p> <p>OCTOBER 7, 2009 - T PENDING CBP CHAN</p>
				<i>Owner:</i>	Monica Crockett	
REV-DBK-003	768	<p>DRAWBACK RULINGS AND PRIVILEGE APPLICATIONS SUBMITTED TO CBP:</p> <ol style="list-style-type: none"> 1. Ability to file via the ACE Portal, rulings and privilege applications along with scanned supporting documentation with CBP headquarters. 2. Ability to file via the ACE Portal, rulings and privilege applications along with scanned supporting documentation with the CBP Drawback Offices. 3. Ability to view via the ACE Portal for given IR# (with permission from the entity holding the approved ruling or privilege) the rulings and privilege applications approved by CBP headquarters and the CBP Drawback Offices. 4. Ability to view via the ACE Portal for given IR# (with permission from the entity who filed said ruling or privilege application) the approval status of the rulings and privilege applications on file with CBP headquarters and the CBP Drawback offices. 5. Ability to receive via the ACE Portal for given IR# (with permission from the entity who filed said ruling or privilege application) a request for revisions, additional information, or supplemental documentation for rulings or applications that are on file with CBP headquarters or the CBP Drawback Offices 	7/29/2008	Pending	Inc 10, 11	<p>October 2014: Pending</p> <p>MAY 28, 2009 - THIS R CBP CHANGE CONTI</p>
				<i>Owner:</i>	Monica Crockett	

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REV-DBK-005	107	<p>ESTABLISH DRAWBACK CROSS ACCOUNT TYPE</p> <p>The trade requires cross account access for what is typically known as 3rd party drawback, and for situations where a broker is filing drawback on behalf of a claimant.</p> <ul style="list-style-type: none"> • In 3rd party drawback, the drawback claimant is not always both the importer and the exporter. For example, a drawback claimant may be the exporter and the drawback claim will be based upon imports that have been transferred from an importer (in another importer's account). The drawback claimant might also be the importer and the drawback claim will involve exports made by an exporter (assuming exports are linked with ACE, in another party's export account). Any other party in the chain (manufacturer, producer, intermediate party) could also be a drawback claimant even though that party is neither the importer nor the exporter. In each of these instances, the claim will be based upon records (either import or export) in the account of another party. • Where a broker files drawback, that broker will only have access to the transactions of the importer if the broker was the filer of the import entry. In order properly to prepare a claim or transfer drawback rights, the drawback broker (broker with a power of attorney (POA)) needs access to the transactions of its client even though the record may have been filed by another filer. • Drawback claimants and brokers filing drawback need the ability to access this drawback data before the claim is filed in order to view the underlying import data to file claims, to download import entry information, or to view previous drawback claim data. • This cross-account access would be based upon importer ID number. In order to grant access, the account would need to identify the specific IR number and perhaps user name for the party allowed access to this data. In addition, in order to prevent access beyond that necessary for drawback, the screen granting access should allow the account to limit this access to 	10/16/2009	Pending	Inc 10, 11	<p>October 2014: Pending</p> <p>OCTOBER 16, 2009 - THIS IS PENDING CBP CHALLENGE REVIEW.</p>

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REV-GIF-023	691	PAYMENTS TO CBP VIA THE ACE PORTAL: Set up payment to CBP via the ACE Portal.		Pending	Inc 11	<p>October 2014: Pending</p> <p>MAY 20, 2009 - THIS R PENDING CBP CHAN</p> <p>03/18/2008 - Deferred to GIF-691</p> <p>03/10/2008 - Account co more detail. Currently b</p> <p>1/30/08: Action to draft C workshop. Comments fr requirement. Ready to</p> <p><i>Owner:</i> Monica Crockett</p>
REV-GIF-024	678	PROTEST REPORT		Pending	Inc 11	<p>October 2014: Pending</p> <p>MAY 20, 2009 - THIS R PENDING CBP CHAN</p> <p>03/05/2008 - Submitted Assigned CSPO-GIF-6</p> <p>01/30/08: Action to draf workshop. Ready to sub</p> <p><i>Owner:</i> Monica Crockett</p>

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REV-GIF-028	723	STATEMENT OF PAYMENT DELETE: For entries filed at the Port a customs broker may file an entry summary with a notation that the importer did not fund the duty payment, hence no duty tender accompanies the entry summary filing. For RLF entry the current ACS system does not permit deletion of the payment from a statement. The system currently allows this function for non-RLF entries. ACE should provide functionality for both RLF and non-RLF entries to cover this business scenario. Additionally the importer should have near real time visibility to these deletions.	3/5/2008	Pending	Inc 11	October 2014: Pending MAY 20, 2009 - THIS R PENDING CBP CHAN 05/29/2008 - Submitted Dimensions data base C 03/12/2008 - This requi
			<i>Owner:</i>	Monica Crockett		
REV-GIF-029	775	THIRD PARTY BOND QUERY: The system must identify the query submitter as the bond principal, surety, surety agent, bond filer, or customs broker of record, or other. If other, ACE will return limited information to include: bond on file, the name and address of the principal on that bond, type of bond activity covered. If the submitter matches one of the above identified parties, ACE will return complete bond information.	3/5/2008	Pending	Inc 7	October 2014: Pending MAY 20, 2009 - THIS R PENDING CBP CHAN 08/01/2008 - Submitted Dimensions data base a 03/12/2008 - This requi
			<i>Owner:</i>	Monica Crockett		

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REV-GIF-030	990	<p>NOTICE TO BOND FILER FOR PM STATEMENT ACTIVATION AND DEACTIVATION: Business Scenario problem that pertains to recommended change: Currently, there is no notice to the surety or the bond filer when an importer is approved for participation in periodic payment processing. When the bond rider was eliminated in the September 22, 2005 Federal Register notice, CBP agreed to "...notify sureties, electronically, of a bond principal's participation in the test." Description of Recommended Change: The system must generate a notice to the surety and bond filer that an importer has been authorized for PM Statement, including the name and I.D. number of the importer, the bond number, the three digit surety code and the name and contact of the party that initiated the authorization. Additional Comments: ACE should provide visibility for an obligor to any party that creates an obligation for their account. A customs bond is a "...legally enforceable contract between a first party (the principal or obligor), a second party (the customer or obligee) [CTB], and a third party (the surety, such as a bank, bonding company, or insurance company) whereby the surety guarantees payment of a specified maximum sum, or to otherwise compensate (indemnify), the obligee against damage or loss caused by the actions (or a failure to perform) of the obligor."</p>	8/25/2009	Pending	Inc 7	<p>October 2014: Pending</p> <p>AUGUST 25, 2009 - TH PENDING CBP CHAN</p>
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REV-GIF-031	991	<p>ELECTRONIC PROTESTS: The trade community requests the capability to file a protest via the ACE Secure Data Portal. The account types who currently have the capability to file a protest should have access to the capability in ACE. These account types include:</p> <ul style="list-style-type: none"> •Importer •Broker •Surety •Consignee •Drawback Claimant •Ship's Master •Vessel Owner/Operator •Foreign Exporter/Producer •Attorney <p>The ACE protest system should interface with ACE entry summary records similar to what it does today. The capability requested is to automate the protest filing process or automating the submission 514 protests, 520(d) petitions, 181.115 interventions and CBP Form 19. The protestant would enter the required data in the ACE Portal. These data fields are the same ones currently found on CBP Form 19 and include:</p> <ol style="list-style-type: none"> 1.Name and address of the protestant; 2.The importer number of the protestant; 3.The number and date(s) of the entry(s); 4.The date of liquidation of the entry (or the date of a decision); 5.A specific description of the merchandise; 6.The nature of and justification for the objection set forth distinctly and specifically with respect to each category, claim, decision, or refusal; 7.The date of receipt and protest number of any protest previously filed that is the subject of a pending application for further review; and 8.If another party has not filed a timely protest, the surety's protest shall certify that the protest is not being filed collusively to extend another authorized person's 	8/25/2009	Pending	Inc 11	<p>October 2014: Pending</p> <p>AUGUST 25, 2009 - TH PENDING CBP CHAN</p>

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REV-GIF-404	404	Expansion of Broker Reference Field on Entry and Entry Summary Records. Increase the length of the Broker Reference Number to 16 characters in the following places in ABI CATAIR: Entry Optional Input (currently pos 39-47) in record identifier H2; Entry Conditional Output (currently pos 19-27) in record identifier H6; Entry Summary Optional Input (currently pos 40-48) in record identifier 20; Entry Summary Conditional Output (currently pos 70-78 in record identifier H1.	10/6/2006	Pending	Inc 12 and beyond	October 2014: Pending increments. 11/28/06: The configuration Status Reported - "CSF Reference Field on Entry (11/08/06). This GIF will be considered for A2 Status has been changed
			<i>Owner:</i>	Monica Crockett		
REV-GIF-611	611	SURETY ACCESS TO AUTHORIZED DATA EXTRACT: When there is a breach of bond conditions on an entry and/or entry summary, the surety which has underwritten the bond covering the entry/entry summary is entitled to all information related to that entry/entry summary. This gives the surety the information it needs so that it can address any demand against the bond in a timely fashion. The information is necessary for the surety to exercise its rights and obligations to protest, petition, or pay after reviewing the entry data to determine the validity of the CBP demand on the surety	9/5/2007	Pending	Inc 7	October 2014: Pending MAY 20, 2009 - THIS REQUEST HAS BEEN ACCEPTED BY CBP AND MAPPED TO RELEASE 09/05/2007 - Submitted 611
			<i>Owner:</i>	Monica Crockett		

Requirement # GIF TSN Requirement Description Submission date Status Release (s) Comments

Definition of Status categories

Accepted	The user requirement and/or GIF has been accepted by CBP and delivered in an ACE release.
Accepted in Part	A portion of the use requirement has been delivered in ACE but other portions of the requirement are still pending CBP approval.
Pending	The user requirement is pending CBP review and analysis for a future release.
Rejected	CBP has rejected this recommendation.
Withdrawn	The recommendation is: a) no longer relevant; b) overtaken by events; or c) already implemented in existing functionality or other recommendations.
Preliminary CBP Approval	The user requirement has been agreed to by CBP for planning consideration in a future release, but the requirement has not yet been delivered in an ACE release.
Pending CBP HQ Input	The user requirement will require further review by appropriate CBP HQ offices pending their acceptance.
Pending CBP L/P Input	Requires CBP L/P review to determine if there are any L/P dependencies that will impact the recommendation.
Pending Further Committee Review	The user requirement has been returned to the submitting committee for further clarification.
Replaced	Recommendation was completely revised and replaced by a new version of the recommendation included in a GIF.

Release/Drop Categories for Entry Summary Account and Revenue (ESAR) and Cargo Control and Release (CCR)

	<i>ENTRY SUMMARY ACCOUNTS & REVENUE (ESAR)</i>
A1	Master Data and Enhanced Accounts
A2	Entry Summary and Revenue
A3	Drawback, Protest, and IASS
	<i>CARGO CONTROL & RELEASE (CCR)</i>
M1	e-Manifest: Rail & Sea
M2 1	e-Manifest: Air