

# CBP and Trade Automated Interface Requirements

USDA APHIS Lacey Act Samples

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Contents

<a href="#">Table of Changes</a> .....	<a href="#">2</a>
<a href="#">Introduction</a> .....	<a href="#">4</a>
<a href="#">Example 1: Simple Declaration, One Product many Species</a> .....	<a href="#">5</a>
<a href="#">Example 2: Simple Declaration, Three Products One Species</a> .....	<a href="#">6</a>
<a href="#">Example 3: Unknown Species Breakdown</a> .....	<a href="#">7</a>
<a href="#">Example 4a: Unknown Country Breakdown</a> .....	<a href="#">8</a>
<a href="#">Example 4b: Unknown Country Breakdown</a> .....	<a href="#">9</a>
<a href="#">Example 5: Unknown Country and Species Breakdown</a> .....	<a href="#">10</a>
<a href="#">Example 6: Special Use Designation</a> .....	<a href="#">11</a>
<a href="#">Example 7: Component-level Declaration</a> .....	<a href="#">12</a>
<a href="#">Example 8: Product-level Declaration</a> .....	<a href="#">13</a>
<a href="#">Example 9: Declaration with a Permit</a> .....	<a href="#">14</a>
<a href="#">Example 10: Disclaimer Declaration</a> .....	<a href="#">15</a>

## Introduction

The APHIS Lacey Act ACE message set samples are for demonstration of the APHIS Lacey Act message set and are not the definitive or complete set of examples. The cases presented are representative of cases developed during talks with developers and brokers during the development of the APHIS Lacey Act message set.

### Example 1: Simple Declaration, One Product many Species

Example 1 is an entry of used railroad ties with only one CBP line item. Each tie is made of maple or oak. There are 100 kg of *Acer saccharum* from Canada, 200 kg of *Quercus rubra* from Canada, 300 kg of *Quercus alba* from Canada, 400 kg of *Acer saccharum* from the U.S., 500 kg of *Quercus rubra* from the U.S., and 600 kg of *Quercus alba* from the U.S. The value of the PGA line is \$10,000, and the shipment is in two containers.

```
00  H5001CA 4407930010ZZABCTEC123XXX
01  OI      USED RAILROAD TIES
02  PG01 001  APHAPL
03  PG02 P
04  PG10 USED MAPLE AND OAK RAILROAD TIES
05  PG21 IM  JOHN Q IMPORTER      3015552232  JQI@imp.com
06  PG22 IM  AP6  Y      12182014
07  PG25 10000
08  PG27 WHXU4101474      WHXU4101475
09  PG04 Maple Ties  100  KG  000000
10  PG05 ACER      SACCHARUM
11  PG06 HRV  CA
12  PG04 Oak Ties  200  KG  000000
13  PG05 QUERCUS  RUBRA
14  PG06 HRV  CA
15  PG04 Oak Ties  300  KG  000000
16  PG05 QUERCUS  ALBA
17  PG06 HRV  CA
18  PG04 Maple Ties  400  KG  000000
19  PG05 ACER      SACCHARUM
20  PG06 HRV  US
21  PG04 Oak Ties  500  KG  000000
22  PG05 QUERCUS  RUBRA
23  PG06 HRV  US
24  PG04 Oak Ties  600  KG  000000
25  PG05 QUERCUS  ALBA
26  PG06 HRV  US
```

## Example 2: Simple Declaration, Three Products One Species

Example 2 is an entry of pine desks with only one CBP line item and has three PGA line items representing 3 different styles of desk. All three PGA lines are under the same OI record (same commercial description).

- 1) First PGA line are desks made of pine (*Pinus taeda*), with a quantity is 100 cubic meters, harvested in Canada, and valued at \$10,000.
- 2) Second PGA line are desks made of pine (*Pinus taeda*), with a quantity is 200 cubic meters, harvested in Canada, and valued at \$20,000.
- 3) Third PGA line are desks made of pine (*Pinus taeda*), valued at \$30,000, with a quantity of 300 cubic meters harvested in the United States and 400 cubic meters harvested in the Canada.

### Section 1.1

#### Text

```
00 H5001CA9403308000ZZABCTEC123XX
01 OI   Wooden Desk
02 PG01 001  APHAPL
03 PG02 P
04 PG10 Wooden Desk Model 0415
05 PG21 IM   JOHN Q IMPORTER      3015552232  JQI@imp.com
06 PG22 IM   AP6  Y    12182014
07 PG25 10000
08 PG04 Pine Desk Tops Legs Side Drawers      100  M3  000000
09 PG05 Pinus Taeda
10 PG06 HRV  CA
11 PG01 002  APHAPL
12 PG02 P
13 PG10 Wooden Desk Model 0522
14 PG21 IM   JOHN Q IMPORTER      3015552232  JQI@imp.com
15 PG22 IM   AP6  Y    12182014
16 PG25 20000
17 PG04 Pine Desk Tops Legs Side Drawers      200  M3  000000
18 PG05 Pinus Taeda
19 PG06 HRV  CA
20 PG01 003  APHAPL
21 PG02 P
22 PG10 Pine Desk Model 0553
23 PG21 IM   JOHN Q IMPORTER      3015552232  JQI@imp.com
24 PG22 IM   AP6  Y    12182014
25 PG25 30000
26 PG04 Pine Desk Tops Drawers      300  M3  000000
27 PG05 Pinus Taeda
28 PG06 HRV  US
29 PG04 Pine Desk Tops Legs Side      400  M3  000000
30 PG05 Pinus Taeda
31 PG06 HRV  CA
```

### Example 3: Unknown Species Breakdown

**This sample is not common and requires the exercise of due care to determine applicability.**

Example 3 is an entry of softwood lumber made of three species of pine with a quantity of 300 cubic meters.\* After exercising due care the importer cannot determine specifically how much of each species exists in this shipment, however, they know that 100 M3 was harvested in the United Kingdom and 200 M3 was harvested in France. The shipment is valued at \$30,000 and is shipped in one container. The PG04 starts a sequence and the PG29 ends it.

\*The statute requires a unique quantity for each species/country pairing.

00	H5001CA4403200008AFABCTEC123FAL		
01	OI	Softwood Pulpwood	
02	PG01	001 APHAPL	
03	PG02	<b>P</b>	
04	PG10	European Union Pine Pulpwood	
05	PG21	IM JOHN Q IMPORTER	3015552232 JQI@imp.com
06	PG22	IM AP6 Y 12182014	
07	PG25	30000	
08	PG27	WHXU4101474	
09	PG04	UK Pine Pulpwood	000000
10	PG05	Pinus Taeda	
11	PG06	HRV GB	
12	PG04	UK Pine Pulpwood	000000
13	PG05	Pinus Rigida	
14	PG06	HRV GB	
15	PG04	UK Pine Pulpwood	000000
16	PG05	Pinus Echinada	
17	PG06	HRV GB	
18	PG29	KG 100	
19	PG04	French Pine Pulpwood	000000
20	PG05	Pinus Taeda	
21	PG06	HRV FR	
22	PG04	French Pine Pulpwood	000000
23	PG05	Pinus Rigida	
24	PG06	HRV FR	
25	PG04	French Pine Pulpwood	000000
26	PG05	Pinus Echinada	
27	PG06	HRV FR	
28	PG29	KG 100	

### Example 4a: Unknown Country Breakdown

**This Sample is not common and requires the exercise of due care to determine applicability.**

\*The statute requires a unique quantity for each species/country pairing. APHIS guidance states that in the case where the country is unknown (after the exercise of due care) and the total number of possible countries is 10 or more, then the filer may use “\*\*” in lieu of the Country of Harvest.

Example 4a is an entry of softwood lumber with only one CBP line item and has two PGA line items. Both PGA lines are under the same OI record (same commercial description).

This line is lumber made of a species of pine, quantity is 200 cubic meters, (after exercising due care the importer cannot determine specifically how much of each species was harvested in each country). The line is valued at \$20,000, and is in one container. 00 H5001CA4403200008ZZABCTEC123XX

01	OI	Softwood Pulpwood			
02	PG01	001	APHAPL		
03	PG02	<b>P</b>			
04	PG10	European Union Softwood Pulpwood			
05	PG21	IM	JOHN Q IMPORTER	3015552232	JQI@imp.com
06	PG22	IM	AP6 Y	12182014	
07	PG25	20000			
08	PG27	WHXU4101474			
09	PG04	Pine Pulpwood Unknown Country Breakdown		00000	
10	PG05	Pinus Echinada			
11	PG06	HRV	GB		
12	PG06	HRV	FR		
13	PG06	HRV	DE		
14	PG29	M3	200		

## Example 4b: Unknown Country Breakdown

**This Sample is not common and requires the exercise of due care to determine applicability.**

\*The statute requires a unique quantity for each species/country pairing. APHIS guidance states that in the case where the country is unknown (after the exercise of due care) and the total number of possible countries is 10 or more, then the filer may use "\*\*\*" in lieu of the Country of Harvest.

Example 4b is an entry of softwood lumber with only one CBP line item and has two PGA line items. Both PGA lines are under the same OI record (same commercial description).

This line is lumber made of three species of pine (100 m3 each); it is unknown\* (after exercising due care) exactly where the pine was harvested. The country of harvest could have been one of eleven countries. This shipment is valued at \$30,000, and shipped in one container.

```
00 H5001CA4403200008ZZABCTEC123XX
01 OI Softwood Pulpwood
02 PG01 002 APHAPL
03 PG02 P
04 PG10 European Union Softwood Pulpwood
05 PG21 IM JOHN Q IMPORTER 3015552232 JQI@imp.com
06 PG22 IM AP6 Y 12182014
07 PG25 30000
08 PG27 WHXU4101474
09 PG04 Pine Pulpwood Unknown Country 00000
10 PG05 Pinus Echinada
11 PG06 HRV **
12 PG29 M3 100
14 PG04 Pine Pulpwood Unknown Country 00000
15 PG05 Pinus Taeda
16 PG06 HRV **
17 PG29 M3 100
18 PG04 Pine Pulpwood Unknown Country 00000
19 PG05 Pinus Rigida
20 PG06 HRV **
21 PG29 M3 100
```

### Example 5: Unknown Country and Species Breakdown

**This Sample is not common and requires the exercise of due care to determine applicability.**

Example 5 is an entry of softwood lumber with only one CBP line item and has three PGA line items. The PGA line is lumber made of three species of pine; it is unknown (after exercising due care) how much of the 300 cubic meters of pine represents each of the three species, though they were each harvested in Canada and United States. This shipment is valued at \$30,000, and shipped in one container.

\*The statute requires a unique quantity for each species/country pairing.

00	H5001CA4403200008ZZABC	TEC123XX		
01	OI	Softwood Pulpwood		
02	PG01	001 APHAPL		
03	PG02	<b>P</b>		
04	PG10	North American Softwood Pulpwood		
05	PG21	IM JOHN Q IMPORTER	3015552232	JQI@imp.com
06	PG22	IM AP6 Y	12182014	
07	PG25	30000		
08	PG27	WHXU4101474		
09	PG04	Pine Pulpwood	00000	
10	PG05	Pinus Echinada		
11	PG06	HRV CA		
12	PG06	HRV US		
13	PG04	Pine Pulpwood	00000	
14	PG05	Pinus Taeda		
15	PG06	HRV CA		
16	PG06	HRV US		
17	PG04	Pine Pulpwood	00000	
18	PG05	Pinus Rigida		
19	PG06	HRV CA		
20	PG06	HRV US		
21	PG29	M3 300		

## Example 6: Special Use Designation

**This Sample is not common and requires the exercise of due care to determine applicability.**

Example 6 is an entry of products whose component species are difficult to determine. APHIS has created Special Use Designations (SUD's) to streamline the declaration requirement for cases where the importer has exercised their due care and concluded that the species is impossible to determine. This entry contains 2 products.

The first product was manufactured in 1955 (prior to the Lacey Act reporting requirement) and \*after the exercise of due care the importer could not determine the species.

The second product is a seat made of pine and particleboard and (after the exercise of due care) the importer could not determine the species. For the Pine portion of the chairs is made of 100 M3 of Pinus strobus. For the particle board portion of the chairs, the quantity of plant material harvested in Canada is 200 M3 and the quantity of plant material harvested in the United States is 300 M3.

\*The statute requires the species and country of harvest for each plant or plant product.

```
00 H5001CA9403704010ZZABCTEC123XX
01 OI Seats with Wood Frames
02 PG01 001 APHAPL
03 PG02 P
04 PG10 Seats with Wooden Frames
05 PG21 IM JOHN Q IMPORTER 3015552232 JQI@imp.com
06 PG22 IM AP6 Y 12182014
07 PG25 7000
08 PG04 Vintage Seats 100 M3 000000
09 PG05 SPECIAL PREAMENDMENT
10 PG06 HRV CA
11 PG01 002 APHAPL
12 PG02 P
13 PG10 Seats with Wooden Frames
14 PG21 IM JOHN Q IMPORTER 3015552232 JQI@imp.com
15 PG22 IM AP6 Y 12182014
16 PG25 40000
17 PG04 Pine veneer 100 M3 000000
18 PG05 PINUS STROBUS
19 PG06 HRV CA
20 PG04 Particleboard base 200 M3 000000
21 PG05 SPECIAL COMPOSITE
22 PG06 HRV CA
23 PG04 Particleboard base 300 M3 000000
24 PG05 SPECIAL COMPOSITE
25 PG06 HRV US
```

## Example 7: Component-level Declaration

Example 7 is a component-level declaration of an entry of wooden side chairs. This shipment is identical to the shipment in Example 8.

The first line of chairs is made of a variety of different species with a two-species backing. There are 800 m3 of *Pinus radiata* from Russia, 500 m3 of *Betula platyphylla* from Russia, 600 m3 of *Pinus radiata* from Russia, 200 m3 of *Betula platyphylla* from Russia.

The second line of chairs is a fabric-covered seat containing another set of species. There are 22000 m3 of *Pinus radiata* from New Zealand, 40000 m3 of *Betula Alleghaniensis* from Canada. The fabric is made of synthetic (non-plant) material and does not require a Lacey Act declaration. The value of the PGA line is \$10,000, and the shipment is in one container.

```
00  H5001CA 9403704010ZZABCTEC123XX
01  OI    Wooden K.D Furniture
02  PG01 001  APHAPL
03  PG02  C
04  PG10 Wooden K.D Furniture
05  PG21 IM   JOHN Q IMPORTER      3015552232  JQI@imp.com
06  PG22 IM   AP6  Y    12182014
07  PG25 10000
08  PG04 Chair Sides      800  M3  000000
09  PG05 Pinus      radiata
10  PG06 HRV  RU
11  PG04 Chair Back      500  M3  000000
12  PG05 Betula      platyphylla
13  PG06 HRV  RU
14  PG04 Chair Seat      600  M3  000000
15  PG05 Pinus      radiata
16  PG06 HRV  RU
17  PG04 Chair Legs      200  M3  000000
18  PG05 Betula      platyphylla
19  PG06 HRV  RU
20  PG01 002  APHAPL
21  PG02  C
22  PG10 Wooden K.D Furniture
23  PG21 IM   JOHN Q IMPORTER      3015552232  JQI@imp.com
24  PG22 IM   AP6  Y    12182014
25  PG25 10000
26  PG27 WHXU4101474
27  PG04 Chair Legs      22000 M3  000000
28  PG05 Pinus      radiata
29  PG06 HRV  NZ
30  PG04 Chair back      40000 M3  000000
31  PG05 Betula      alleghaniensis
32  PG06 HRV  CA
```

### Example 8: Product-level Declaration

Example 8 is a product-level declaration of an entry of wooden side chairs. This shipment is identical to the shipment in Example 7.

The first line of chairs is made of a variety of different species with a two-species backing. There are 800 m3 of *Pinus radiata* from Russia, 500 m3 of *Betula platyphylla* from Russia, 600 m3 of *Pinus radiata* from Russia, 200 m3 of *Betula platyphylla* from Russia.

The second line of chairs is a fabric-covered seat containing another set of species. There are 22000 m3 of *Pinus radiata* from New Zealand, 40000 m3 of *Betula Alleghaniensis* from Canada. The fabric is made of synthetic (non-plant) material and does not require a Lacey Act declaration. The value of the PGA line is \$10,000, and the shipment is in one container.

```
00  H5001CA 9403704010ZZABCTEC123XX
01  OI    Wooden K.D Furniture
02  PG01 001  APHAPL
03  PG02 P
04  PG10 Wooden K.D Furniture
05  PG21 IM   JOHN Q IMPORTER      3015552232  jqi@imp.com
06  PG22 IM   AP6  Y    12182014
07  PG25 10000
08  PG04 Chair - Sides and Seat      1400  m3    000000
09  PG05 Pinus      radiata
10  PG06 HRV  RU
11  PG04 Chair - Back and Legs      700   m3    000000
12  PG05 Betula    platyphylla
13  PG06 HRV  RU
14  PG01 002  APHAPL
15  PG02 P
16  PG10 Wooden K.D Furniture
17  PG21 IM   JOHN Q IMPORTER      3015552232  jqi@imp.com
18  PG22 IM   AP6  Y    12182014
19  PG25 10000
20  PG27 WHXU4101474
21  PG04 Chair - Legs                22000 m3    000000
22  PG05 Pinus      radiata
23  PG06 HRV  NZ
24  PG04 Chair - Back                40000 m3    000000
25  PG05 Betula    alleghaniensis
26  PG06 HRV  CA
```

### Example 9: Declaration with a Permit

Example 9 is an entry of pine desks with only one CBP line item and one PGA line item. The desks are made of pine, with a quantity is 100 cubic meters, harvested in Canada, and valued at \$10,000. These desks have an inlay of 0.678 cubic meters of *Cedrela oderata* harvested in Bolivia. This is a CITES species that requires a permit. The permit number is: 000473

00	H5001CA9403308000ZZABC	TEC123XX							
01	OI	Wooden Desk Pine							
02	PG01	001 APHAPL							
03	PG02	P							
04	PG10	Pine Desk Model 0415							
05	PG14	1 FWF 000473	1	05012015					
06	PG21	IM JOHN Q IMPORTER		3015552232	JQI@imp.com				
07	PG22	IM AP6 Y 12182014							
08	PG25	10000							
09	PG04	Desk sides top legs and frame	100	M3	000000				
10	PG05	Pinus Taeda							
11	PG06	HRV CA							
12	PG04	Cedar Inlay CITES Protected	0.678	M3	000000				
13	PG05	Cedrela oderata							
14	PG06	HRV BO							

### Example 10: Disclaimer Declaration

Example 10 is a disclaimed declaration for an entry of metal pianos. The Lacey Act Declaration is flagged for shipments of HTS 9201, however there is no plant material in product and therefore does not require any further PGA information under the Lacey Act.

00 H5001CA 920120000051ZZABCTEC123XX  
01 OI Cast Iron Grand Pianos  
02 PG01 001 APHAPL C