

EPA Form 3520-1 to PGA Message Set Cross Walk

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Table of Contents

Table of Contents.....	i
List of Exhibits.....	ii
Revision Log	iii
1 Introduction	4
1.1 Overview	4
1.2 Assumptions.....	4
1.3 Vehicles and Engines Pilot imports requirements:	4
1.4 Process Steps for Mapping.....	7
2 V&E Form 3520-1 to PGA Message Set.....	9
2.1 Block 1, 2, 3: Port Code / Entry Date / Entry Number	9
2.2 Block 4: Vehicle Identification Number (VIN), or engine serial number	9
2.3 Block 5: Manufacture date	10
2.4 Block 6: Manufacturer (Make).....	10
2.5 Block 7: Model.....	11
2.6 Block 8: Test Group/Engine Family Name and Model Year	11
2.7 Block 9: Importer Contact Information.....	12
2.8 Blocks 10: Owner	12
2.9 Block 11: Storage Location Contact.....	13
2.10 Certification Statement	13
2.11 Block 12/13: Signature and Date	14
2.12 Block 14: Name, Company and Phone	14
2.13 Import Code.....	15
Appendix A. Form 3520-1 to PGA Message set Data Elements.....	18
Appendix B. PGA Message Set codes by PG line Number	19

List of Exhibits

Exhibit 1-1: V&E Form 3520-1 Page 1	5
Exhibit 1-2: V&E Form 3520-1 Page 2	6
Exhibit 1-3: V&E PGA Message Set Sample	7
Exhibit 2-1: Form 3520-1 – Port Code / Entry Date / Entry Number.....	9
Exhibit 2-2: Block 4 – Vehicle Identification Number (VIN)	9
Exhibit 2-3: PGA Message - Vehicle Identification Number (VIN)	9
Exhibit 2-4: Block 5 – Manufacture Date	10
Exhibit 2-5: PGA Message - Manufacture Date	10
Exhibit 2-6: Block 6 – Manufacturer (Make).....	10
Exhibit 2-7: PGA Message Set – Manufacturer (Make)	10
Exhibit 2-8: Block 7 – Model.....	11
Exhibit 2-9: PGA Message Set - Model	11
Exhibit 2-10: Block 8 –Model Year, Test Group/Engine Family Name and Expiration Date	11
Exhibit 2-11: PGA Message Set –Model Year, Test Group/Engine Family Name and Expiration Date	11
Exhibit 2-12: Block 9 – Importer Contact Information.....	12
Exhibit 2-13: PGA Message Set – Importer Contact Information	12
Exhibit 2-14: Block 10 - Owner Contact Information	12
Exhibit 2-15: PGA Message Set – Owner Contact Information	13
Exhibit 2-16: Block 11 – Storage location	13
Exhibit 2-17: PGA Message Set – Storage Location	13
Exhibit 2-18: Certification Statement	13
Exhibit 2-19: PGA Message Set – Certification Statement	13
Exhibit 2-20: Block 12/13 – Signature and Date	14
Exhibit 2-21: PGA Message Set – Signature and Date.....	14
Exhibit 2-22: Block 14 – Name, Company and Phone	14
Exhibit 2-23: PGA Message Set – Name, Company and Phone	15
Exhibit 2-24: Import Codes.....	16
Exhibit 2-25: Import Code – Code ‘A’	17
Exhibit 2-26: PGA Message Set – Import Code.....	17
Exhibit 2-29: Mapping between Form 3520-1 and PGA Message Set	18
Exhibit 2-30: PGA Message Set Codes Relevant to V&E Form 3520-1.....	19

Revision Log

Date	Version No.	Description	Author	Reviewer	Review Date
3/25/2013	0.01	Delivery of Draft Cross Reference between Form 3520-1 and PGA message set.	Francis Gleason	Beth Reichle	4/24/2013
5/24/2013	0.02	Re-delivery of Draft Cross Reference between Form 3520-1 and PGA message set with updates.	Francis Gleason	Beth Reichle	5/20/2013
5/21/2013	0.03	Update after PPQA Review.	Francis Gleason	Roy Chaudet	5/28/2013
5/29/2013	0.04	Update after Program Office Review.	Beth Reichle, Francis Gleason	Roy Chaudet	5/28/2013
6/17/2013	0.05	Updated Program Code, Dates, added PG55 record and section 1.3.	Beth Reichle, Jennifer Paine	Francis Gleason	6/17/2013
6/26/2013	0.06	Removed Intended use codes and Certificate of Conformity record. Changed Storage Location to Storage Contact.	Francis Gleason	Beth Reichle	6/26/2013
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8/27/2013	0.08	Updated Draft Delivered.	Francis Gleason	Jennifer Paine	8/27/2013
01/08/2014	0.09	Updated Appendix B to conform with the January release of CBP documentation	Francis Gleason	Daniel Sforza	01/24/2014
02/03/2014	0.10	Updated Import/Industry Code instructions to conform with PGA message set logic.	Daniel Sforza	Frank Gleason	02/06/2014
02/21/2014	0.11	Final Updates and review	Francis Gleason	Daniel Sforza, Beth Reichle	2/19/2014
2/27/2014	1.0	Final Version	Beth Reichle		

1 Introduction

1.1 Overview

This document is designed to facilitate the understanding of the electronic format for transmitting Vehicles and Engines (V&E) regulated import data to Customs and Border Protection (CBP). Currently this data is submitted to Environmental Protection Agency (EPA) via two paper forms, 3520-1 and 3520-21. This guide addresses Form 3520-1. With the development of the Automated Commercial Environment (ACE), EPA can receive V&E data from Trade via ACE. Trade can electronically submit EPA data to CBP via the Partner Government Agency (PGA) Message Set. This document maps each data element from the V&E Form 3520-1 (Exhibit 1-1 and Exhibit 1-2) to its corresponding location within the EPA V&E PGA Message Set (Exhibit 1-3). This document is supplemental, and should be used in conjunction with EPA's Supplemental PGA Message Set Documentation in order to create appropriate and complete submissions.

1.2 Assumptions

It is important to note that the blocks on the original paper form might be found in multiple places within the PGA Message Set (represented by multiple fields), or, if it is already being collected by CBP in the Entry Header or Entry Line Number, it may not be collected in the PGA Message Set at all. In an effort to reduce the burden on Trade, duplicative items between CBP and EPA are only being collected once. For a complete list of V&E Form 3520-1 data elements and their corresponding locations in the PGA Message Set, please refer to Appendix A. A list of the codes used by the V&E PGA Message Set is available in Appendix B, and a list of all codes used by the PGA Message Set can be found in the Customs and Trade Automated Interface Requirements (CATAIR) Appendices.

1.3 Vehicles and Engines Pilot filing requirements:

The pilot only covers imports that have received EPA certification or have received an EPA Exemption Letter. As such, not all Import Codes are relevant to the pilot. The subsets of Import Codes applicable to the pilot are:

- For 3520-1: A, B, F, G, I K, L or O
- For 3520-21: 1, 3, 4, 10, 11, 12, 16, or 18

Exhibit 1-1: V&E Form 3520-1 Page 1

		United States Environmental Protection Agency Declaration Form	
Importation of Motor Vehicles and Motor Vehicle Engines Subject to Federal Air Pollution Regulations			
<small>U.S. EPA, Compliance & Innovative Strategies Division, 2000 Traverwood Drive, Ann Arbor, MI 48105 http://www.epa.gov/vota/imports Phone (734) 214-4100; Fax (734) 214-4676.</small>			
<p>This form must be submitted to the U.S. Customs and Border Protection (Customs) (42 USC 7522, 7601; 19 CFR 12.73) for each motor vehicle (including motorcycles, disassembled vehicles, kit cars, light-duty vehicle/motorcycle engines) imported into the U.S., except that this form is not required for motor vehicles that are imported by their original manufacturer and are new and are covered by an EPA certificate of conformity and bear an EPA emission control label. One form per shipment may be used, with attachments including all information required to fully describe each vehicle or engine as below. Check the box below indicating the provisions under which you are importing this vehicle or engine. Offroad vehicles/engines and heavy-duty engines must use form 3520-21. Note: Although only imports using codes G, I, K, L, M-3, and O require specific written authorization from EPA, Customs may request EPA review of importer documentation and eligibility for any import using this form. A nonconforming vehicle that is ineligible for the exemptions or exclusions listed below, must be imported through an independent commercial importer (ICI) under codes A, C, J, or Z. For codes A, C, J, and Z, EPA does not authorize the release to the vehicle owner.</p> <p>Penalties: Any person who knowingly makes any false or fraudulent statement, or omits or conceals a material fact can be fined up to \$250,000 or imprisoned for up to 5 years, or both (18 USC 1001). Any person who improperly imports a motor vehicle (including a motorcycle) or engine may be fined up to \$32,500 per vehicle or engine (42 USC 7524), and may be subject to forfeiture of the entire importation bond, if applicable (40 CFR 85.1513), and the U.S. Customs Service may seize the vehicle or engine (19 CFR 162.21).</p>			
Description and Declaration of Motor Vehicle or Motor Vehicle Engine (Note: Heavy-duty Engines must use form 3520-21)			
1. Port code: 1001	2. Entry date: (mm/dd/yyyy) 03/12/2014	3. Customs entry number: NNN-001-999	4. Vehicle Identification Number (VIN), or engine serial number: JTDBBADU5B0865DK0, JTDBBADU5B0865DK1, (See Attached)
5. Manufacture date (mm/yyyy): 12/2013	6. Manufacturer (make): Toyota (Japan)	7. Model: Prius	
8. ICI imports only, codes A, C, J, Z - EPA certificate no., model year and expiration date of applicable certificate: Model Year 2014; 9EPAV01.0ABC			
Names, Addresses, and Telephone Numbers of Relevant Parties			
<p>Certification: I certify that I have read and understand the purpose of this form, the penalties for falsifying information, or for providing misleading information, or for concealing a material fact. The information I have provided is correct, and all required attachments are appended to this form. I authorize EPA Enforcement Officers to conduct inspections or testing permitted by the Clean Air Act. I am the owner, importer, or agent for the owner or importer.</p>			
9. Importer (code B must be certificate holder or their agent for shipments of new vehicles prior to introduction into commerce; codes A, C, J, Z must be ICI): Jane Smith, Toyota N.A. 12345 Peachtree Street Atlanta Ga. 30301, US	10. Owner: John Tyler, Toyota N.A. 12345 Peachtree Street Atlanta Ga. 30301, US	11. Storage location: Jones Smith, Toyota 201-456-7890; jones.smith@toyotastorage.com	12. Signature: _____ 13. Date: 01/27/2014 14. Name, company and phone (type or print): Jane Smith, Toyota North America (706) 234-5678
U.S. conforming and "identical" vehicles			
<input type="checkbox"/> code B - U.S. certified - unmodified vehicle bearing a U.S. EPA emission control label in engine compartment (or on motorcycle frame) in English.			
<input type="checkbox"/> code F - U.S. certified, catalyst restoration - U.S. certified vehicle as described above, except that the catalyst, oxygen sensors or fuel filler neck restrictor were removed or damaged. The importer attests that the catalyst and oxygen sensors and fuel filler neck restrictor, as applicable, will be re-installed or replaced after importation. If leaded gasoline was used, the importer attests that after importation (1) the fuel tank will be drained and refilled with unleaded gasoline, (2) the catalyst and oxygen sensors, if they were left on the vehicle during use of the leaded gasoline, will be replaced, and (3) the fuel filler neck restrictor will be checked and replaced as necessary. No bond or EPA approval is required.			
<input type="checkbox"/> code EE - identical in all material respects to a U.S. certified version - either 1) Canadian vehicle (proof required e.g. Canadian emission control label, registration or title, or letter from the U.S. or Canadian manufacturer representative on letterhead verifying manufacture for sale in Canada) on EPA list of Canadian "identical" models, or 2) vehicle from any country with letter attached to this form from the manufacturer's U.S. representative on letterhead (not a dealer or mechanic) stating that the vehicle is identical to a U.S. EPA certified version with respect to emissions. The importer attests that vehicle is being imported for purposes other than resale or lease. For import of "identical" Canadian vehicles for resale, use code FF.			
<input type="checkbox"/> code FF - Canadian "identical" models imported for resale or lease - Canadian vehicle as described above appearing on EPA list of Canadian "identical" models, imported for resale or lease. The importer attests that the importer will satisfy applicable labeling, warranty and CAFE requirements as specified by EPA.			
EPA exempted vehicles			
<input type="checkbox"/> code M - miscellaneous exemption , either 1) Canadian vehicle as described above (proof required) and the importer is either permanently emigrating to the U.S. or will reside in the U.S. for greater than one year under a worker or student visa, or 2) Canadian vehicle received by U.S. resident through inheritance, or 3) EPA hardship letter based on unforeseen and extraordinary circumstances is attached to this form.			
<input type="checkbox"/> code E - vehicle at least 21 years old (calendar year of manufacture subtracted from year of importation) and in original unmodified configuration is either exempted or excluded from EPA emission requirements, depending on age. Vehicles at least 21 years old with replacement engines are not eligible for this exemption unless they contain equivalent or newer EPA certified engines. Customs may require proof of vehicle age.			

Exhibit 1-2: V&E Form 3520-1 Page 2



United States Environmental Protection Agency

Excluded vehicles

- ☐ **code L - racing vehicle** as determined by EPA and may not be registered or licensed for use on or operated on public roads or highways (40 CFR 85.1511(e)). EPA letter of approval must be attached to this form.
- ☐ **code U - 2005 model year (or older) motorcycle, scooter or moped** with engine displacement less than 50cc and with rated speed greater than 5000 rpm.
- ☐ **code W - non-chassis-mounted engine** to be used in a light-duty vehicle or light-duty truck or motorcycle which is currently covered by an EPA certificate or will be covered by an EPA certificate prior to introduction into commerce.
- ☐ **code Y - unregulated fuel** - a vehicle that: (1) for model years earlier than 1991 operates on fuel other than gasoline or diesel fuel, or (2) for 1991- 1996 model years operates on fuel other than gasoline or diesel or methanol fuel, or (3) for 1997 and later model years operates on fuel other than gasoline or diesel or methanol or ethanol or compressed natural gas (CNG) or liquid petroleum gas (LPG), including propane. This exemption does not apply to 2004 and later model year vehicles.

Temporary imports

- ☐ **code G - imported for repair or alteration** in accordance with 40 CFR 85.1511(b)(1). May not be registered or licensed for use on, or operated on public roads or highways, or sold or leased in the U.S. **Customs bond required.** EPA requests that the vehicle be bonded for at least its full value. EPA letter of approval must be attached to this form.
- ☐ **code I - imported for testing purposes** in accordance with 40 CFR 85.1511(b)(2). May not be registered or licensed for use on or operated on public roads or highways (except operation that is integral to the purpose of the testing program) or sold or leased in the U.S. **Customs bond required.** EPA requests that the vehicle be bonded for at least its full value. EPA letter of approval must be attached to this form.
- ☐ **code K - imported for display** (solely for public or business purposes, and not for private purposes or U.S. market sales promotions) in accordance with 40 CFR 85.1511(b)(4). May not be registered or licensed for use on or operated on public roads or highways (except operation that is integral to the purpose of the display) or sold or leased in the U.S. **Customs bond required.** EPA requests that the vehicle be bonded for at least its full value. EPA letter of approval must be attached to this form.
- ☐ **code N - imported for up to one year** by member of the armed forces or personnel of a foreign government on assignment to the U.S., for whom free entry has been authorized in writing by the U.S. Department of State, or a member of the armed forces of a foreign country with official orders for duty in the U.S.
- ☐ **code O - imported by nonresident for personal use** by an individual for a period up to a year. EPA letter of approval must be attached to this form.

Independent commercial importer (ICI) imports

- ☒ **code A - imported by an ICI for modifications** in accordance with a valid EPA certificate of conformity issued for the specific make, model, and model year in accordance with 40 CFR 85.1505.
- ☐ **code C - imported by an ICI for modification and testing** in accordance with 40 CFR 85.1509. Vehicle must be at least 6 years old.
- ☐ **code J - imported by an ICI for the purpose of pre-certification testing** in order to obtain an EPA certificate of conformity. No EPA approval is required. The ICI has 180 days to obtain a certificate or export (40 CFR 85.1511(b)(3)). **Customs bond required.**
- ☐ **code Z - imported by an ICI for the purpose of modifying** to be identical to an original equipment manufacturer (OEM) certified version in accordance with written instructions from the OEM that are specific to the vehicle being imported.

OEM imports

- ☐ **code H - imported, owned, and controlled directly by an original equipment manufacturer (OEM)** on EPA list of OEM certificate holders provided to Customs, for research, development or testing purposes in accordance with 40 CFR 85.1706. This is a temporary exemption without time limit. If the vehicle is subsequently covered by an applicable EPA certificate of conformity, it is released from the restrictions of this exemption.
- ☐ **code Q - imported, owned, and controlled directly by an original equipment manufacturer (OEM)** on EPA list of OEM certificate holders provided to Customs, for storage pending receipt of the applicable EPA certificate of conformity, which is pending and imminent. Use of this code is no longer permitted once EPA has issued the applicable certificate of conformity.

U.S. Department of Transportation Requirements

Note: Importers of vehicles that are primarily manufactured for use on public roads must also file an HS-7 Declaration form to identify the basis for the vehicle's admission under the laws administered by the U.S. Department of Transportation. For more information, see www.nhtsa.dot.gov/cars/rules/import/.

Paperwork Reduction Act Notice

This information is collected to ensure that motor vehicles and engines imported into the U.S. conform with applicable emission requirements. Responses to this collection are mandatory (40 CFR 85.1501 et seq., and Clean Air Act Sections 203 and 208). Information submitted to the Agency under a claim of confidentiality will be safeguarded according to policies set forth in Title 40, Chapter 1, Part 2, Subpart B. The public reporting and recordkeeping burden for this collection of information is estimated to average 30 minutes per response. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

Exhibit 1-3: V&E PGA Message Set Sample

OI TOYOTA SEDANS			
PG01001EPAVNE Y			
PG02P			
PG24EP2A			
PG07	PRIUS	122013AKGJTDBBADU5B0865DK0	
PG08JTDBBADU5B1865DK1JTDBBADU5B2865DK2JTDBBADU5B3865DK3JTDBBADU5B4865DK4			
PG08JTDBBADU5B5865DK5JTDBBADU5B6865DK6JTDBBADU5B7865DK7JTDBBADU5B8865DK8			
PG08JTDBBADU5B9865DK9JTDBBADU5BK865DKAJTDBBADU6B7865DKB			
PG10	V06	2014	
PG143EP49EPAV01.0ABC			
PG19MF	TOYOTA (JAPAN)		
PG19IM	TOYOTA NORTH AMERICA	1234 PEACHTREE STREET	
PG20	ATLANTA	GA US30301	
PG21IM JANE SMITH	7062345678	JANE.SMITH@TOYOTAAMERICA.COM	
PG55CI			
PG19DFP	TOYOTA NORTH AMERICA	1234 PEACHTREE STREET	
PG20	ATLANTA	GA US30301	
PG21DFPJOHN TYLER	7062345678	JOHN.TYLER@TOYOTAAMERICA.COM	
PG19STL	TOYOTA STORAGE		
PG21STLJONES SMITH	2014567890	JONES.SMITH@TOYOTASTORAGE.COM	
PG22 942	CI EP2 Y01272014		

1.4 Process Steps for Mapping

In the next section we will provide the mapping to PGA Message Set for each element of EPA Form 3520-1. However, it is helpful to understand the process steps that are used in creating the mappings. For illustrative purposes, the following steps are used in mapping one element, the Test Group/Engine Family Name.

1. **Identify Element Type:** For a given element, Test Group/Engine Family Name, identify the appropriate line number. This is done by recognizing that the Test Group/Engine Family Name is a certificate type (Certificate of Conformity) with an expiration date, and will belong in the License/Permit/Certificate/Other (LPCO) category.
2. **Identify Appropriate PG Line:** The *CATAIR's Partner Government Agency's Message Set* accommodates LPCOs in a PG14 line.
3. **Review the Record Identifier:** Review the PG14 line to determine which possible elements should be completed and which codes are needed. For PG14, the following fields are present:
 - a. Control Identifier
 - b. Record Type
 - c. LPCO Transaction Type
 - d. LPCO Type
 - e. LPCO Number (or Name)
 - f. LPCO Date Qualifier
 - g. LPCO Date
 - h. LPCO Quantity
 - i. LPCO Unit of Measure
 - j. Exemption Code
4. **Review EPA's PGA Message Set Samples:** A review of an EPA sample with the Test Group/Engine Family Name will clarify which of the possible fields in PG14 are required for this element. In most cases, not all of the fields for a particular line are used for each

occurrence of the line. In this case, the PG14 fields used by EPA in the example are “a” through “e” in the list above and shown in the example PG14 line:

PG143EP49EPAV01.0ABC

5. Refer to CATAIR Appendices: As needed, reference the CATAIR appendices for any codes that are not provided directly in the record set. In this case, Appendix PGA provides the code, EP4, for EPA Vehicle and Engine Test Group/Engine Family Name.
6. Complete the PG14 line: Using the space locations and codes from the CATAIR, as well as specific information from the engine (Engine Family Name), the PG14 line can now be constructed as above. The individual components of this completed line are:
 - a. Control Identifier : PG
 - b. Record Type : 14
 - c. LPCO Transaction Type : 3 [code is in the PGA Message Set in CATAIR]
 - d. LPCO Type : EP4 [code is in Appendix PGA in CATAIR]
 - e. LPCO Number (or Name) : 9EPAV01.0ABC [specific to individual vehicle test group family name/ number]

2 V&E Form 3520-1 to PGA Message Set

2.1 Block 1, 2, 3: Port Code / Entry Date / Entry Number

The information located in Blocks 1, 2, and 3 of Form 3520-1 are the Port Code, Entry Date, and Entry Number for the shipment (Exhibit 2-1). This information is not represented in the PGA Message Set as they are collected by CBP in the Entry Data.

Exhibit 2-1: Form 3520-1 – Port Code / Entry Date / Entry Number

Description and Declaration of Motor Vehicle or Motor Vehicle Engine (Note: Heavy-duty Engines must use form 3520-21)			
1. Port code: 1001	2. Entry date: (mm/dd/yyyy) 03/12/2014	3. Customs entry number: NNN-001-999	4. Vehicle Identification Number (VIN), or engine serial number: JTDBBADU5B0865DK0, JTDBBADU5B1865DK1, (See Attachment)

2.2 Block 4: Vehicle Identification Number (VIN), or engine serial number

The Information found in block 4 of Form 3520-1, is the Vehicle Identification Number (VIN). Only a few VIN numbers will actually fit within block 4, so, the rest are included as an attachment as explained by the Form (Exhibit 2-2). In the PGA Message Set (Exhibit 2-3), the first VIN number is located on a PG07 line in spaces 64 to 80, while any additional VIN numbers are found on following PG08 lines, up to four per line, in spaces 5 to 21, 22 to 38, 39 to 55, and 56 to 72. There can be as many PG08 lines as the shipment requires.

The code 'AKG,' in spaces 61 to 63 of the PG07 line, indicates that the information following the code is a VIN number. The AKG code is not repeated in PG08 lines, as PG08 lines are a continuation of whatever code is indicated in the preceding PG07.

Exhibit 2-2: Block 4 – Vehicle Identification Number (VIN)

<p>This form must be submitted to the U.S. Customs and Border Protection (Customs) (42 USC 7522, 7601; 19 CFR 12.73) for each motor vehicle (including motorcycles, disassembled vehicles, kit cars, light-duty vehicle/motorcycle engines) imported into the U.S., except that this form is not required for motor vehicles that are imported by their original manufacturer and are new and are covered by an EPA certificate of conformity and bear an EPA emission control label. One form per shipment may be used, with attachments including all information required to fully describe each vehicle or engine as below. Check the box below indicating the provisions under which you are importing this vehicle or engine. Offroad vehicles, engines and heavy-duty engines must use form 3520-21. Note: Although only imports using codes G, I, K, L, M-3, and O require specific written authorization from EPA, Customs may request EPA review of importer documentation and eligibility for any import using this form. A nonconforming vehicle that is ineligible for the exemptions or exclusions listed below, must be imported through an independent commercial importer (ICI) under codes A, C, J, or Z. For codes A, C, J, and Z, EPA does not authorize the release to the vehicle owner.</p> <p>Penalties: Any person who knowingly makes any false or fraudulent statement, or omits or conceals a material fact can be fined up to \$250,000 or imprisoned for up to 5 years, or both (18 USC 1001). Any person who improperly imports a motor vehicle (including a motorcycle) or engine may be fined up to \$32,500 per vehicle or engine (42 USC 7524), and may be subject to forfeiture of the entire importation bond, if applicable (40 CFR 85.1513), and the U.S. Customs Service may seize the vehicle or engine (19 CFR 162.21).</p>			
Description and Declaration of Motor Vehicle or Motor Vehicle Engine (Note: Heavy-duty Engines must use form 3520-21)			
1. Port code: 1001	2. Entry date: (mm/dd/yyyy) 03/12/2014	3. Customs entry number: NNN-001-999	4. Vehicle Identification Number (VIN), or engine serial number: JTDBBADU5B0865DK0, JTDBBADU5B1865DK1, (See Attachment)

Exhibit 2-3: PGA Message - Vehicle Identification Number (VIN)

PG07	PRIUS	122013AKG	JTDBBADU5B0865DK0
PG08	JTDBBADU5B1865DK1JTDBBADU5B2865DK2JTDBBADU5B3865DK3JTDBBADU5B4865DK4		
PG08	JTDBBADU5B5865DK5JTDBBADU5B6865DK6JTDBBADU5B7865DK7JTDBBADU5B8865DK8		
PG08	JTDBBADU5B9865DK9JTDBBADU5BK865DKAJTDBBADU6B7865DKB		

2.3 Block 5: Manufacture date

Block 5 of Form 3520-1 is the Manufacture Date of the vehicles in the shipment (Exhibit 2-4). In the PGA Message Set, this information is found on the same PG07 line, spaces 55 to 60, as the First VIN number (Exhibit 2-5). The manufacture month and year is written as numbers only, in the format MMYYYY, and does not include any slashes or dashes.

Exhibit 2-4: Block 5 – Manufacture Date

Description and Declaration of Motor Vehicle or Motor Vehicle Engine (Note: Heavy-duty Engines must use form 3520-21)			
1. Port code: 1001	2. Entry date: (mm/dd/yyyy) 03/12/2014	3. Customs entry number: NNN-001-999	4. Vehicle Identification Number (VIN), or engine serial number: JTDBBADU5B0865DK0, JTDBBADU5B1865DK1, (See Attachment)
5. Manufacture date (mm/yyyy): 12/2013	6. Manufacturer (make): Toyota (Japan)	7. Model: Prius	

Exhibit 2-5: PGA Message - Manufacture Date

PG07	PRIUS	122013	AKGJTDBBADU5B0865DK0
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2.4 Block 6: Manufacturer (Make)

Block 6 on Form 3520-1 contains the Manufacturer of the vehicles (Exhibit 2-6). This information is represented by a PG19 line, spaces 26 to 57, of the PGA Message Set (Exhibit 2-7).

The Code 'MF' in spaces 5 to 6 of PG line 19 defines this PG19 record as pertaining to the Manufacturer. The name found on this line is the Manufacturer of the vehicles being imported. If the Manufacturer Name is longer than the allocated space in the PG19, the name should be truncated.

Exhibit 2-6: Block 6 – Manufacturer (Make)

Description and Declaration of Motor Vehicle or Motor Vehicle Engine (Note: Heavy-duty Engines must use form 3520-21)			
1. Port code: 1001	2. Entry date: (mm/dd/yyyy) 03/12/2014	3. Customs entry number: NNN-001-999	4. Vehicle Identification Number (VIN), or engine serial number: JTDBBADU5B0865DK0, JTDBBADU5B1865DK1, (See Attachment)
5. Manufacture date (mm/yyyy): 12/2013	6. Manufacturer (make): Toyota (Japan)	7. Model: Prius	

Exhibit 2-7: PGA Message Set – Manufacturer (Make)

PG19MF	TOYOTA (JAPAN)
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2.5 Block 7: Model

Block 7 of Form 3520-1 is the Model Name of the vehicles being shipped (Exhibit 2-8). The Model Name is found on the same PG07 line, along with the manufacture date and the first VIN number (Exhibit 2-9). The Model Name occupies spaces 40 to 54 on the same PG07 line as the manufacture date and the first VIN number. If the Model Name is longer than the space allowed, the name should be truncated.

Exhibit 2-8: Block 7 – Model

Description and Declaration of Motor Vehicle or Motor Vehicle Engine (Note: Heavy-duty Engines must use form 3520-21)			
1. Port code: 1001	2. Entry date: (mm/dd/yyyy) 03/12/2014	3. Customs entry number: NNN-001-999	4. Vehicle Identification Number (VIN), or engine serial number: JTDBBADU5B0865DK0, JTDBBADU5B0865DK1, (See Attached)
5. Manufacture date (mm/yyyy): 12/2013	6. Manufacturer (make): Toyota (Japan)	7. Model: Prius	
8. ICI imports only, codes A, C, J, Z - EPA certificate no., model year and expiration date of applicable certificate: Model Year 2014; 9EPAV01.0ABC			

Exhibit 2-9: PGA Message Set - Model

PG07	PRIUS	122013AKGJTDBBADU5B0865DK0
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2.6 Block 8: Test Group/Engine Family Name and Model Year

The Information found in Block 8 of Form 3520-1, is the Model Year of the imported vehicles and the Test Group Engine Family Name/Number, also known as Certificate of Conformity Number, for ICI imports (Exhibit 2-10). This information is represented across two PG lines within the PGA Message Set (Exhibit 2-11). The Model Year is found in spaces 24 to 80 on a PG10 line, which provides details about the commodity. The Engine Family Name/Number is found in spaces 9 to 41 of a PG14 line. The PG14 line accommodates Licenses, Permits, Certificates, and Other (LPCO) numbers.

The code 'V06' in spaces 16 to 18 of PG10 signifies that the following number, in spaces 24 to 80, is the Model Year of the vehicle. In the PG14 line, the first code, '3,' in space 5 indicates the LPCO type is a general type. The 'EP4' code in spaces 6 to 8, clarifies that the number in spaces 9 to 41 is the Test Group/Engine Family Name/Number (Certificate of Conformity Number).

Exhibit 2-10: Block 8 –Model Year, Test Group/Engine Family Name and Expiration Date

5. Manufacture date (mm/yyyy): 12/2013	6. Manufacturer (make): Toyota (Japan)	7. Model: Prius
8. ICI imports only, codes A, C, J, Z - EPA certificate no., model year and expiration date of applicable certificate: Model Year 2014; 9EPAV01.0ABC		

Exhibit 2-11: PGA Message Set –Model Year, Test Group/Engine Family Name and Expiration Date

PG10	V06	2014
PG143EP4	9EPAV01.0ABC	

2.7 Block 9: Importer Contact Information

The Information found in Block 9 of Form 3520-1, is the name and complete address of the importer of the shipment (Exhibit 2-12). The information is represented in the PGA Message Set by a set of PG lines - PG19, PG20, and PG21 (Exhibit 2-13). The PG19 line captures the company name, spaces 26 to 57, and the first line of the address, spaces 58 to 80, while a PG20 contains the additional address fields (City - spaces 42 to 62, State - space 63 to 65, Country - spaces 66 to 67, and Postal Code - spaces 68 to 76). The PG21 captures the Company's point of contact information (Name - spaces 8 to 30, Phone Number - spaces 31 to 45, and Email - spaces 46 to 80). The phone number and email address of the company's point of contact information is not currently required by Block 9 of Form 3520-1, yet a phone number is required for the person who signs the form, i.e. the Certifying Individual (see Block 14: Name, Company and Phone).

The 'IM' code found in spaces 5 and 6 of the PG 19 and 21 defines the PG 19, 20, 21 set as pertaining to the Importer of Record. All the contact information in the spaces following the 'IM' code relates to the Importer of Record.

Exhibit 2-12: Block 9 – Importer Contact Information

9. Importer (code B; must be certificate holder or their agent for shipments of new vehicles prior to introduction into commerce; codes A, C, J, Z; must be 10): Jane Smith, Toyota N.A. 12345 Peachtree Street Atlanta Ga. 30301, US	10. Owner: John Tyler, Toyota N.A. 12345 Peachtree Street Atlanta Ga. 30301, US	11. Storage location: Jones Smith, Toyota 201-456-7890; jones.smith@toyotastorage.com	12. Signature:
			13. Date: 01/27/2014
			14. Name, company and phone (type or print): Jane Smith, Toyota North America (706) 234-5678

Exhibit 2-13: PGA Message Set – Importer Contact Information

PG19IM	TOYOTA NORTH AMERICA	1234 PEACHTREE STREET
PG20	ATLANTA	GA US30301
PG21IM JANE SMITH	7062345678	JANE.SMITH@TOYOTAAMERICA.COM

2.8 Blocks 10: Owner

The information located in Block 10 of Form 3520-1, is the name and complete address of the owner of the shipment (Exhibit 2-14). This information is represented in the PGA Message Set by a PG19, PG20, and PG21 set (Exhibit 2-15). The format for these lines is the same as used for Importer of Record. The Entity Code, 'DFP', is found in spaces 5 to 7 of lines PG19 and PG21. The Entity Code 'DFP' indicates all the information on these lines pertains to the owner of the shipment.

Exhibit 2-14: Block 10 - Owner Contact Information

9. Importer (code B; must be certificate holder or their agent for shipments of new vehicles prior to introduction into commerce; codes A, C, J, Z; must be 10): Jane Smith, Toyota N.A. 12345 Peachtree Street Atlanta Ga. 30301, US	10. Owner: John Tyler, Toyota N.A. 12345 Peachtree Street Atlanta Ga. 30301, US	11. Storage location: Jones Smith, Toyota 201-456-7890; jones.smith@toyotastorage.com	12. Signature:
			13. Date: 01/27/2014
			14. Name, company and phone (type or print): Jane Smith, Toyota North America (706) 234-5678

Exhibit 2-15: PGA Message Set – Owner Contact Information

PG19DFP	TOYOTA NORTH AMERICA	1234 PEACHTREE STREET
PG20	ATLANTA	GA US30301
PG21DFPJOHN TYLER	7062345678	JOHN.TYLER@TOYOTAMERICA.COM

2.9 Block 11: Storage Location Contact

Block 11 of Form 3520-1 is the Storage Location contact information (Exhibit 2-16). For the PGA Message Set, only a point of contact and their contact information is required. This information is again represented in the PGA Message Set by a set of PG19 and PG21 lines and follows the same format as before (Exhibit 2-17).

‘STL’ is the Entity Code for the Storage Location of goods after importation. All information found on these lines relates to the Storage Location.

Exhibit 2-16: Block 11 – Storage location

9. Importer (code B; must be certificate holder or their agent for shipments of new vehicles prior to introduction into commerce; codes A, C, J, Z; must be IC): Jane Smith, Toyota N.A. 12345 Peachtree Street Atlanta Ga. 30301, US	10. Owner: John Tyler, Toyota N.A. 12345 Peachtree Street Atlanta Ga. 30301, US	11. Storage location: Jones Smith, Toyota 201-456-7890; jones.smith@toyotastorage.com	12. Signature: _____ 13. Date: 01/27/2014 14. Name, company and phone type and prefix: Jane Smith, Toyota North America (706) 234-5678
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Exhibit 2-17: PGA Message Set – Storage Location

PG19STL	TOYOTA STORAGE	
PG21STLJONES SMITH	2014567890	JONES.SMITH@TOYOTASTORAGE.COM

2.10 Certification Statement

The Certification Statement in Form 3520-1 (Exhibit 2-18), is represented on a PG22 line within the PGA Message Set (Exhibit 2-19).

The Code ‘942’ in spaces 6 to 8 of the PG22 line identifies this PG22 record as pertaining to the V&E Form 3520-1. The Entity code ‘CI’ in spaces 18 and 19 indicates that the Certifying Individual signed the Certification Statement.

Note: the Certifying Individual on the paper form is the individual signing the document. The ‘EP2’ code in spaces 21 to 24 represents the actual Certification Statement for the Form 3520-1.

Exhibit 2-18: Certification Statement

B. ICI imports only, codes A, C, J, Z - EPA certificate no., model year and expiration date of applicable certificate:	Model Year 2014; 9EPAV01.0ABC
Names, Addresses, and Telephone Numbers of Relevant Parties Certification: I certify that I have read and understand the purpose of this form, the penalties for falsely declaring information, or for providing misleading information, or for concealing a material fact. The information I have provided is correct, and all required attachments are appended to this form. I authorize EPA Enforcement Officers to conduct inspections or testing permitted by the Clean Air Act. I am the owner, importer, or agent for the owner or importer.	

Exhibit 2-19: PGA Message Set – Certification Statement

PG22 942	CI	EP2 Y01272011
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2.11 Block 12/13: Signature and Date

Block 12 and 13 of Form 3520-1 hold the signature of the importer or agent who is signing the Certification Statement for this document, and the date the document was signed (Exhibit 2-20). Within the PGA Message Set, the signature is found in space 25 on a PG22 line, and the date is found on the same PG22 line but in spaces 26 to 33. Note that this is not an electronic signature, but a representation of the signature by the code 'Y,' indicating yes, the individual certifies the content of the submission (Exhibit 2-21).

The PGA form code '942' in spaces 6 to 8 of the PG22 line identifies this PG22 line as pertaining to the V&E Form 3520-1. The 'CI' in spaces 18 and 19 represents the Certifying Individual, and the 'EP2' code represents the Certification Statement for Form 3520-1. The date of the certification is represented in spaces 26 to 33 in the format MMDDYYYY.

Exhibit 2-20: Block 12/13 – Signature and Date

9. Importer (code B: must be certificate holder or their agent for shipments of new vehicles prior to introduction into commerce; codes A, C, J, Z: must be IO): Jane Smith, Toyota N.A. 12345 Peachtree Street Atlanta Ga. 30301, US	10. Owner: John Tyler, Toyota N.A. 12345 Peachtree Street Atlanta Ga. 30301, US	11. Storage location: Jones Smith, Toyota 201-456-7890; jones.smith@toyotastorage.com	12. Signature: 13. Date: 01/27/2014 14. Name, company and phone (type or print): Jane Smith, Toyota North America (706) 234-5678
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Exhibit 2-21: PGA Message Set – Signature and Date

PG22 942	CI EP2	Y01272014
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2.12 Block 14: Name, Company and Phone

Block 14 of Form 3520-1 holds the name, company, and phone number of the individual who signed the Certification Statement (Exhibit 2-22). In the PGA Message Set, this information is normally represented by a PG line - PG19, 20, and 21 set for the Entity, as is found for the Importer of Record (Exhibit 2-13). In an order to reduce redundancy, if an individual or entity has multiple roles, the additional role(s) is captured by a PG55 record immediately following the PG19, 20, 21 set it relates to (Exhibit 2-23).

It is important to note that, while in this sample, the Importer and Certifying Individual are the same individual, for other entries the person who is certifying the filing may not be the Importer.

The 'CI' code in spaces 5 to 6 of the PG55 record identifies the entity information found in the preceding PG19, 20, 21 set as also pertaining to the Certifying Individual.

Exhibit 2-22: Block 14 – Name, Company and Phone

9. Importer (code B: must be certificate holder or their agent for shipments of new vehicles prior to introduction into commerce; codes A, C, J, Z: must be IO): Jane Smith, Toyota N.A. 12345 Peachtree Street Atlanta Ga. 30301, US	10. Owner: John Tyler, Toyota N.A. 12345 Peachtree Street Atlanta Ga. 30301, US	11. Storage location: Jones Smith, Toyota 201-456-7890; jones.smith@toyotastorage.com	12. Signature: 13. Date: 01/27/2014 14. Name, company and phone (type or print): Jane Smith, Toyota North America (706) 234-5678
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Exhibit 2-23: PGA Message Set – Name, Company and Phone

PG19IM	TOYOTA NORTH AMERICA	1234 PEACHTREE STREET
PG20	ATLANTA	GA US30301
PG21IM JANE SMITH	7062345678	JANE.SMITH@TOYOTAAMERICA.COM
PG55	CI	

2.13 Import Code

The final section to Form 3520-1 is the Import Code (Exhibit 2-24). Depending on the vehicles or engines being imported, a different code will be applicable. In this example, the Import code is 'A' (Exhibit 2-25). In the PGA Message Set, the Import Code is located in space 8 on a PG24 line (Exhibit 2-26). A PG24 line must be placed immediately below the PG02 record in order to avoid undesired grouping within other PG records.

The code 'EP2' in spaces 5 to 7 of the PG24 line indicates an Import Code follows. The Import Code that follows is found in space 8 of the PG24 line. In this example the code is 'A'.

Exhibit 2-24: Import Codes

U.S. conforming and "identical" vehicles

- ☐ **code B - U.S. certified** - unmodified vehicle bearing a U.S. EPA emission control label in engine compartment (or on motorcycle frame) in English.
- ☐ **code F - U.S. certified, catalyst restoration** - U.S. certified vehicle as described above, except that the catalyst, oxygen sensors or fuel filler neck restrictor were removed or damaged. The importer attests that the catalyst and oxygen sensors and fuel filler neck restrictor, as applicable, will be re-installed or replaced after importation. If leaded gasoline was used, the importer attests that after importation (1) the fuel tank will be drained and refilled with unleaded gasoline, (2) the catalyst and oxygen sensors, if they were left on the vehicle during use of the leaded gasoline, will be replaced, and (3) the fuel filler neck restrictor will be checked and replaced as necessary. No bond or EPA approval is required.
- ☐ **code EE - identical in all material respects to a U.S. certified version** - either 1) **Canadian** vehicle (proof required e.g. Canadian emission control label, registration or title, or letter from the U.S. or Canadian manufacturer representative on letterhead verifying manufacture for sale in Canada) on EPA list of Canadian "identical" models, or 2) **vehicle from any country** with letter attached to this form from the manufacturer's U.S. representative on letterhead (not a dealer or mechanic) stating that the vehicle is identical to a U.S. EPA certified version with respect to emissions. The importer attests that vehicle is being imported for purposes other than resale or lease. For import of "identical" Canadian vehicles for resale, use **code FF**.
- ☐ **code FF - Canadian "identical" models imported for resale or lease** - Canadian vehicle as described above appearing on [EPA list of Canadian "identical" models](#), imported for resale or lease. The importer attests that the importer will satisfy applicable labeling, warranty and CAFE requirements as specified by EPA.

EPA exempted vehicles

- ☐ **code M - miscellaneous exemption**, either 1) **Canadian** vehicle as described above (proof required) and the importer is either permanently emigrating to the U.S. or will reside in the U.S. for greater than one year under a worker or student visa, or 2) Canadian vehicle received by U.S. resident through inheritance, or 3) EPA hardship letter based on unforeseen and extraordinary circumstances is attached to this form.
- ☐ **code E - vehicle at least 21 years old** (calendar year of manufacture subtracted from year of importation) and in original unmodified configuration is either exempted or excluded from EPA emission requirements, depending on age. Vehicles at least 21 years old with replacement engines are not eligible for this exemption unless they contain equivalent or newer EPA certified engines. Customs may require proof of vehicle age.

Excluded vehicles

- ☐ **code L - racing vehicle** as determined by EPA and may not be registered or licensed for use on or operated on public roads or highways ([40 CFR 85.1511\(e\)](#)). **EPA letter of approval must be attached to this form.**
- ☐ **code U - 2005 model year (or older) motorcycle, scooter or moped** with engine displacement less than 50cc and with rated speed greater than 5000 rpm.
- ☐ **code W - non-chassis-mounted engine** to be used in a light-duty vehicle or light-duty truck or motorcycle which is currently covered by an EPA certificate or will be covered by an EPA certificate prior to introduction into commerce.
- ☐ **code Y - unregulated fuel** - a vehicle that: (1) for model years earlier than 1991 operates on fuel other than gasoline or diesel fuel, or (2) for 1991- 1996 model years operates on fuel other than gasoline or diesel or methanol fuel, or (3) for 1997 and later model years operates on fuel other than gasoline or diesel or methanol or ethanol or compressed natural gas (CNG) or liquid petroleum gas (LPG), including propane. This exemption does not apply to 2004 and later model year vehicles.

Temporary imports

- ☐ **code G** - imported for **repair or alteration** in accordance with [40 CFR 85.1511\(b\)\(1\)](#). May not be registered or licensed for use on, or operated on public roads or highways, or sold or leased in the U.S. **Customs bond required.** EPA requests that the vehicle be bonded for at least its full value. EPA letter of approval must be attached to this form.
- ☐ **code I** - imported for **testing** purposes in accordance with [40 CFR 85.1511\(b\)\(2\)](#). May not be registered or licensed for use on or operated on public roads or highways (except operation that is integral to the purpose of the testing program) or sold or leased in the U.S. **Customs bond required.** EPA requests that the vehicle be bonded for at least its full value. EPA letter of approval must be attached to this form.
- ☐ **code K** - imported for **display** (solely for public or business purposes, and not for private purposes or U.S. market sales promotions) in accordance with [40 CFR 85.1511\(b\)\(4\)](#). May not be registered or licensed for use on or operated on public roads or highways (except operation that is integral to the purpose of the display) or sold or leased in the U.S. **Customs bond required.** EPA requests that the vehicle be bonded for at least its full value. EPA letter of approval must be attached to this form.
- ☐ **code N** - imported for up to one year by member of the armed forces or personnel of a foreign government on assignment to the U.S., for whom free entry has been authorized in writing by the U.S. Department of State, or a member of the armed forces of a foreign country with official orders for duty in the U.S.
- ☐ **code O** - imported by nonresident for personal use by an individual for a period up to a year. EPA letter of approval must be attached to this form.

Independent commercial importer (ICI) imports

- ☒ **code A** - imported by an ICI for modifications in accordance with a valid EPA certificate of conformity issued for the specific make, model, and model year in accordance with [40 CFR 85.1505](#).
- ☐ **code C** - imported by an ICI for modification and testing in accordance with [40 CFR 85.1509](#). Vehicle must be at least 6 years old.
- ☐ **code J** - imported by an ICI for the purpose of pre-certification testing in order to obtain an EPA certificate of conformity. No EPA approval is required. The ICI has 180 days to obtain a certificate or export ([40 CFR 85.1511\(b\)\(3\)](#)). **Customs bond required.**
- ☐ **code Z** - imported by an ICI for the purpose of modifying to be identical to an original equipment manufacturer (OEM) certified version in accordance with written instructions from the OEM that are specific to the vehicle being imported.

OEM imports

- ☐ **code H** - imported, owned, and controlled directly by an original equipment manufacturer (OEM) on EPA list of OEM certificate holders provided to Customs, for research, development or testing purposes in accordance with [40 CFR 85.1706](#). This is a temporary exemption without time limit. If the vehicle is subsequently covered by an applicable EPA certificate of conformity, it is released from the restrictions of this exemption.
- ☐ **code Q** - imported, owned, and controlled directly by an original equipment manufacturer (OEM) on EPA list of OEM certificate holders provided to Customs, for storage pending receipt of the applicable EPA certificate of conformity, which is pending and imminent. Use of this code is no longer permitted once EPA has issued the applicable certificate of conformity.

Exhibit 2-25: Import Code – Code ‘A’

Independent commercial importer (ICI) imports	
<input checked="" type="checkbox"/> code A - imported by an ICI for modifications in accordance with a valid EPA certificate of conformity issued for the specific make, model, and model year in accordance with 40 CFR 85.1505.	
<input type="checkbox"/> code C - imported by an ICI for modification and testing in accordance with 40 CFR 85.1509. Vehicle must be at least 6 years old.	
<input type="checkbox"/> code J - imported by an ICI for the purpose of pre-certification testing in order to obtain an EPA certificate of conformity. No EPA approval is required. The ICI has 180 days to obtain a certificate or export (40 CFR 85.1511(b)(3)). Customs bond required.	
<input type="checkbox"/> code Z - imported by an ICI for the purpose of modifying to be identical to an original equipment manufacturer (OEM) certified version in accordance with written instructions from the OEM that are specific to the vehicle being imported.	

Exhibit 2-26: PGA Message Set – Import Code

PG02P		
PG24EP2	A	
PG07	PRIUS	122013AKGJTDBBADU5B0865DK0

Appendix A. Form 3520-1 to PGA Message set Data Elements

Exhibit 2-27: Mapping between Form 3520-1 and PGA Message Set

Form 3520-1 Block #	Data Elements	Location in PGA Message Set
1	Port Code	Not Included
2	Entry Date	Not Included
3	Customs Entry number	Not Included
4	Vehicle Identification Number	PG7/ PG8
5	Manufacture Date	PG07
6	Manufacturer (Make)	PG19
7	Model	PG07
8	Test Group/Engine Family Name/Certification number	PG14
8	Model Year	PG10
8	Certificate Expiration Date	Not Included
9	Importer Company	PG 19/PG55
9	Importer - Address 1	PG19/PG55
9	Importer - Address 2	PG20/PG55
9	Importer - Apt / Suite Number	PG20/PG55
9	Importer - City	PG 20/PG55
9	Importer - Country	PG20/PG55
9	Importer - State	PG20/PG55
9	Importer - Zip	PG20/PG55
9	Importer Name	PG 21/PG55
Not Included	Importer Phone Number	PG21/PG55
Not Included	Importer Email	PG21/PG55
10	Owner Company	PG19/PG55
10	Owner - Address 1	PG19/PG55
10	Owner - Address 2	PG20/PG55
10	Owner - Apt / Suite Number	PG20/PG55
10	Owner – City	PG20/PG55
10	Owner - Country	PG20/PG55
10	Owner – State	PG20/PG55
10	Owner – Zip	PG20/PG55
Not Included	Owner Email	PG21/PG55
10	Owner Name	PG21/PG55
Not Included	Owner Phone	PG21/PG55
11	Storage location - Company	PG19/PG55
11	Storage location - point of contact	PG21/PG55
Not Included	Storage location - Email	PG21/PG55
Not Included	Storage location - Phone Number	PG21/PG55
12	Signature	PG22
13	Date Certification statement signed	PG22
14	Certifying Individual Company	PG 19
14	Certifying Individual - Name	PG 21
14	Certifying Individual - Phone Number	PG21
Not Included	Certifying Individual - Email	PG21
Cert.	Certification Statement (3520-1)	PG22

Form 3520-1 Block #	Data Elements	Location in PGA Message Set
Statement		
Import Code	Import Code	PG24

Appendix B. PGA Message Set codes by PG line Number

Exhibit 2-28: PGA Message Set Codes Relevant to V&E Form 3520-1

Data Element	Code	Description
PG01		
Government Agency Code	EPA	Environmental Protection Agency
Government Agency Program Code	VNE	Vehicles & Engines
Confidential information indicator	Y	Included
Electronic Image transmitted	Y	Yes
PG02		
Item Type	P	Product
	C	Component
PG07		
Item Identity Number Qualifier	ENN	Engine Number
	MN	Model Number
	SE	Serial Number
	RN	Registered Number
	AKG	Vehicle Identification Number (VIN)
PG10		
Commodity Qualifier Code	V02	Body type - passenger/Van/SUV/ Bus (1 ton and under)
	V00	Body type - Truck/Van/SUV/ Bus (Over 1 ton)
	V04	DDTC significant military equipment
	V01	Drive Side
	V03	Engine Power rating
	V05	Manufacture date of the vehicle or engine
	V06	Model year of the vehicle or engine
	ENG	Engine
	VEH	Vehicle

Data Element	Code	Description
	OTH	Other
	KW	Kilowatts
	HP	Horsepower
PG14		
LPCO Transaction Type Codes	1	Single Use
	2	Continuous
	3	General
LPCO Transaction Codes	EP4	Test group name/ number
	EP7	EPA Bond Policy Number
	EP8	EPA Registration Number
	EP9	EPA Vehicles and Engines Exemption Number
LPCO Date Qualifier	1	Expiration Date
	2	Effective Date
	3	Date Issued or Signed
	4	Date Application Received
Exemption Codes	H	EPA 3520-1 Imported, owned, and controlled directly by and original equipment manufacturer (OEM)
	M	EPA 3520-1 Miscellaneous exemption
PG19		
Entity Role Codes	IM	Importer
	DFP	Owner
	DEQ	Shipper
	STL	Storage Location
	CI	Certifying Individual
	NAI	NAIC Bond Issuer
	UC	Ultimate Consignee
Entity Identification code	340	EPA-assigned
PG21		
Entity Role Codes	Same as PG19	Same as PG 19
PG22		

Data Element	Code	Description
Document Identifiers (PGA Form Number)	942	EPA 3520-1
	943	EPA 3520-21
Entity Role Codes	Same as PG19	Same as PG 19
Declaration Code	EP1	Certification Statement (EPA 3520-21)
	EP2	Certification Statement (EPA 3520-1)
PG24		
Remarks Type Codes	EP1	Vehicles and Engines Bond Exemption
	EP2	EPA Vehicles and Engines Import Code
	EP3	EPA Vehicles and Engines Industry Code
	EP4	EPA Vehicles and Engines Regulation Cited for other exemption
	GEN	General Remarks
Remarks Codes	E1Y	Exempt from Bond
	E1N	Not Exempt from Bond
Import Codes		
U.S. conforming and "Identical" vehicles	B	U.S. Certified
	F	U.S. certified, catalyst restoration
	EE	Identical in all material respects to a U.S. certified version
	FF	Canadian "identical" models imported for resale or lease
EPA exempted vehicles	M	Miscellaneous exemption
	E	Vehicle at least 21 years old
Excluded vehicles	L	Racing vehicle
	U	2005 model year (or older) motorcycle, scooter or moped with engine displacement less than 50cc and with rated speed greater than 5000 rpm
	W	Non-chassis-mounted engine
	Y	Unregulated fuel
Temporary imports	G	Imported for repair or alteration
	I	Imported for testing purposes
	K	Imported for display
	N	Imported for up to one year by a member of the armed forces or personnel of a foreign government on assignment to the U.S.

Data Element	Code	Description
	O	Imported by nonresident for personal use by an individual for a period up to a year
	A	Imported by an ICI for modifications in accordance with a valid EPA certificate of conformity
	C	Imported by an ICI for modification and testing in accordance with 40 CFR 85.1509 (Vehicle over 6 years old)
	J	Imported by an ICI for the purpose of pre-certification testing in order to obtain an EPA certificate of conformity
	Z	Imported by an ICI for purpose of modifying to be identical to an original equipment manufacturer certified version
OEM imports	H	Imported, owned, and controlled directly by an original equipment manufacturer (OEM), research, development or testing purposes
	Q	Imported, owned, and controlled directly by an original equipment manufacturer (OEM), for storage pending receipt of applicable EPA certificate of conformity
PG50		
N/A		PG50 records contain no codes
PG51		
N/A		PG51 records contain no codes
PG55		
Entity Role Codes	Same as PG19	Same as the Entity role codes located in the PG19