

CBP and Trade Automated Interface Requirements

ACE Cargo Release/SE Status Notification

August 2015



U.S. Customs and
Border Protection



This chapter provides records pertaining to ACE Cargo Release (formerly known as Simplified Entry) Status Notifications.

PROCESSING RESULTS [SO-5](#)

A description of the processing results reported in the ACE Cargo Release Status Notification message.

STATUS RECORDS USAGE MAP..... [SO-7](#)

RECORD DESCRIPTIONS

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This is a mandatory output header record used in the cargo release processing status message sent to the filer.

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This is a conditional output record used to report in-bond information in the cargo release processing status message sent to the filer.

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Record Identifier SO71 (Output) [SO-23](#)

This is a conditional cargo release processing results output record that provides data pertaining to PGA processing of data.

Record Identifier SO72 (Output) [SO-26](#)

This is a conditional output record that provides information to trade from PGAs.

Table of Changes

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
10	July 27, 2015	<ul style="list-style-type: none"> a. Record Layouts b. Usage Map 	<p>Added new data element and associated codes to record SO70, related to type of PGA hold on the entry</p> <p>In SO70, from Note 1, removed code 13, changed Entry Level Status Code 8 to “MOVE TO SECURE HLDNG FCLTY”; from Note 4, removed status code 27 (associated with code 02), removed status reason codes: 35, 36, 37 (associated with code 11), removed status reason code 99 (associated with code 13)</p> <p>In the SO70, changed the length of PGA line from 4 to 3 (to match what is in the PGA Message Set CATAIR).</p> <p>Updated length/class/position numbering in SO71</p> <p>In the SO71, changed the length/class of PGA Reference Identification Number) from N to X.</p> <p>Removed SO60 from Bill of Lading grouping.</p>
9	May 20, 2015	<ul style="list-style-type: none"> c. Table of Content d. Usage Map e. Record Layouts 	<p>Added new record SO71 to accommodate PGA processing data.</p> <p>Added new record SO72 to accommodate information from PGAs.</p>
8	April 2, 2014	<ul style="list-style-type: none"> a. Record Layouts 	<p>Added disposition code 79 to SO60 record.</p>
7	November 15, 2013	<ul style="list-style-type: none"> a. Table of Content b. Usage Map c. Record Layouts 	<p>Added new record SO70 to accommodate PGA data disposition.</p>
6	August 20, 2013	<ul style="list-style-type: none"> a. Table of Content b. Usage Map c. Record Layouts 	<p>Changed record identifiers from SE## to SO##.</p> <p>Added a new record SO42 for in-bond data.</p> <p>Added split shipment release code and elected entry date data elements to SE91 record.</p> <p>Added manifested quantity data element to SE94 record.</p> <p>Added Split shipment indicator, Carrier Code, Voyage/Flight/Trip Manifest Number, Date of Arrival, Port of Entry data elements to SE95 record.</p> <p>Added qualifiers to the SE92 record to report the reason and comments provided by CBP for the rejection of a correction / cancellation request.</p>

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			Added disposition codes to the SE96 record to support correction / cancellation requests.
5	May 25, 2012	a. Usage Map b. Record Layouts	Added disposition code 90 to SE96 record. Updated the usage map to correctly reflect the SE95 record.
4	March 7, 2012	a. Record Layouts	Modified data element names in the SE93 record. Modified disposition codes in the SE95 record.
3	February 29, 2012	a. Record Layouts	Added document list codes to SE96 record.
2	February 10, 2012	a. Table of Content b. Usage Map c. Record Layouts	Modified SE91 record to allow for possible future expansion of the Entry Filer Code and/or Entry Number. Added a new record SE92 for reference data; renamed the remaining records to allow for this. Modified the SE94 record to report bill information in a similar manner as the SE15 input record. Modified the SE96 record to report required document code.
1	January 18, 2012	a. Table of Content a. Record Layouts	Initial Draft

ACE Cargo Release Status Notification

The ACE Cargo Release Status Notification chapter contains sections pertaining to status notification messages generated in response to ACE Cargo Release (SE) cargo release processing.

Processing Results

The processing results notify an automated ACE Cargo Release filer of the release status of an SE and whether an AMS bill of lading has been matched to the SE, e.g. "On File" or "Not On File". Problems typically occur if the bill number submitted with the SE data is incorrect, not on file, or is qualified with a bill type (House or Simple) that does not match what in AMS.

Input: There are no ACE Cargo Release Status Notification input records.

Output: Record Identifiers SO10, SO30, SO40, and SO50 are the mandatory output records.

The application identifier on Record Identifier B is SO. For additional information on Record Identifier B, refer to the Application Control chapter of this publication.

General Rules

Unused fields, which are defined as numeric, should not be zero-filled. These fields should be space filled or data errors may result.

Data should not be placed in fields defined as filler. If data is present in filler fields, an error message may be system generated.

When transmitting data, all letters must be upper case. While some applications may not return specific error messages when detecting lower-case letters, CBP cannot guarantee the consistency of processing results for data transmitted in lower case.

Data Elements

The data element descriptors are defined as:

Code	Description
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A	The data element is alphabetic only.
AN	The data element is alphanumeric only.
C	The data element is special characters.
N	The data element is numeric only.
X	The data element is alphanumeric or special characters.

All data elements are in fixed-length format. If all positions are not filled, the formatting rules are:

Code	Description
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A	Left justify and Space fill.
AN	Left justify and Space fill.
C	Left justify and Space fill.
N	Right justify and zero-fill.

X Left justify and Space fill.

Note: If there is no data to insert in a field, Space fill.

OUTPUT STATUS RECORD USAGE MAPS

The following tables illustrate how the automated interface expects repeating groups to be structured within an output status message.

Designation: M = Reporting Mandatory; C = Reporting Conditional; O = Reporting Optional

Record ID	Name	Req. Des.	Max Use	Loop Repeat
	Block Control Grouping	M		1
	Transaction Control Headers (A,B)	M		
	ACE Cargo Release Status Header Grouping	M		1
SO10	ACE Cargo Release Status Header	M	1	
SO20	Reference Information	C	99	
SO30	Line Item Information	C	999	
	Bill of Lading Information Grouping	C		999
SO40	Bill of Lading Information	M	2	
SO42	In-bond Information	C	99	
SO50	Bill Match Disposition	M	1	
SO60	Cargo Release Processing Disposition	C	999	
	PGA Processing Grouping	C		999
SO70	PGA Processing Disposition	M	1	
SO71	Additional PGA Processing Disposition	C	99	
SO72	Comments to Trade from PGA	C	99	
	Transaction Control Trailers (Y,Z)	M		

Record Identifier SO10 (Output)

This is a mandatory cargo release processing results output record that provides data pertaining to the district/port of entry, entry filer code, entry number, entry type, importer of record number, carrier code, vessel name, voyage/flight/trip manifest number, date of arrival, split shipment release code.

Record Identifier SO10 (Output)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	4AN	1-4	M	Must always equal SO10.	
District/Port of Entry	4N	5-8	C	District/port of entry.	
Entry Filer Code	3AN	9-11	M	A unique code assigned by CBP to all active entry document preparers.	
Filler	2X	12-13	M	Space fill. Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number.	
Entry Number	8AN	14-21	M	The number assigned to the entry.	
Filler	1X	22	M	Space fill.	
Entry Type Code	2N	23-24	M	A code representing the entry type.	
Importer of Record Number	12X	25-36	M	A code identifying the importer of record.	1
Carrier Code	4AN	37-40	C	A code identifying the carrier.	
Vessel Name	20AN	41-60	C	Importing vessel name.	
Voyage/Flight/Trip Manifest Number	5X	61-65	C	The voyage/flight/trip number of the importing carrier.	2
Date of Arrival	6N	66-71	C	A numeric date in MMDDYY (month, day, year) format representing the date of arrival.	
Split Shipment Release Code	1AN	72	O	Split Shipment release code selected by the filer.	
Filler	8X	73-80	M	Space fill.	

Note 1

Valid formats for the Importer of Record Number are:

NN-NNNNNNNXX	Internal Revenue Service (IRS) Number
YYDDPP-NNNN	CBP Assigned Number
NNN-NN-NNNN	Social Security Number

In these codes, *N* = number, *X* = alphanumeric, *YY* = the last two digits of the calendar year when the number is assigned, and *DDPP* = the district/port code where the number is assigned.

Note 2

Valid flight number formats are NNN, NNNN, NNNA or NNNNA. In these codes, *N* = numeric and *A* = alphabetic.

Valid Ocean and Rail voyage/trip formats are 5AN (alpha-numeric)

Record Identifier SO20 (Output)

This is a conditional output record that provides reference data.

<i>Record Identifier SO20 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	4AN	1-4	M	Must always equal SO20.	
Reference Identifier Qualifier	3AN	5-7	M	Code that defines the Reference Identifier.	1
Reference Identifier	50X	8-57	M	Reference data.	2
Filler	23X	58-80	M	Space fill	

Note 1

Valid qualifier codes are:

Code Description

CR Filer-defined Reference Number

RSN Reason Code provided by CBP for the rejection of a correction / cancellation request

CMT Comments provided by CBP for the rejection of a correction / cancellation request

CR is an optional filer-defined field that will be returned in the response messages.

Note 2

Valid Reason Codes are:

Code	Description
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- | | |
|-----------|--|
| 01 | Provided replacement entry is in cancelled status. |
| 02 | Provided replacement entry is in open status. |
| 03 | Provided replacement in-bond is in deleted status. |
| 04 | Requested document is not in DIS. |
| 05 | Additional information required via DIS. |
| 06 | Unable to verify CBP disposition for full bill quantity on original entry. |
| 07 | Provided replacement FTZ Admission is in deleted status. |
| 08 | Provided replacement FTZ Admission is in open status. |
| 09 | Original entry is on hold. Hold must be resolved prior to correction request. |
| 10 | Original entry is on hold. Hold must be resolved prior to cancellation request. |
| 11 | Original entry is on PGA hold. Hold must be resolved by PGA prior to correction request. |
| 12 | Original entry is on PGA hold. Hold must be resolved by PGA prior to cancellation request. |
| 13 | Other (See comments). |
| 14 | Original entry and replacement entry must contain identical bill number. |
| 15 | Original entry and replacement in-bond must contain identical bill number. |
| 16 | Provided replacement entry is not on file. |
| 17 | Provided replacement FTZ Admission is not on file. |

Record Identifier SO30 (Output)

This is a conditional cargo release processing results output record that provides data pertaining to the country of origin and tariff number. This record is repeated as often as necessary.

Record Identifier SO30 (Output)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	4AN	1-4	M	Must always equal SO30.	
Line Item Identifier	3N	5-7	M	This number is the same as the Line Item Number transmitted on the input Record SE40.	
Country of Origin	2A	8-9	M	The International Organization for Standardization (ISO) country code representing the country of origin. Valid ISO codes are listed in Appendix B of this publication.	
HTS Number	10AN	10-19	M	A code located in the <i>Harmonized Tariff Schedule of the United States Annotated</i> (HTS) representing the tariff number.	
Filler	61X	20-80	M	Space fill.	

Record Identifier SO40 (Output)

This is a conditional cargo release processing results output record that provides data pertaining to the in-bond number, and, as appropriate, master bill number, house bill number, sub-house bill number, [entered] quantity, unit of measure of bill quantity and manifested quantity of the bill of lading.

Record Identifier SO40 (Output)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	4AN	1-4	M	Must always equal SO40.	
Bill Type Indicator	1A	5	M	Code identifying the type of Bill of Lading Number. Valid codes are: R = Regular / Simple Bill of Lading M = Master Bill of Lading H = House Bill of Lading S = Sub-House Bill of Lading (<i>future use</i>) T = Express Carrier Tracking Number (<i>Air only</i>)	
Issuer Code of Bill of Lading Number	4AN	6-9	C	A code representing the party who issued the bill of lading. Space fill for Air mode of transportation.	
Bill of Lading Number	50X	10-59	M	The bill of lading number as listed on the manifest. If the number is less than 50 positions, it is left justified. Do not include spaces, hyphens, slashes or other special characters.	
Quantity	8N	60-67	C	The entered quantity associated with the bill of lading number being reported. It is the smallest exterior packaging unit.	1
Unit of Measure	5X	68-72	C	The unit of measure corresponding to the bill of lading quantity.	
Manifested Quantity	8X	73-80	C	The manifested quantity associated with the bill of lading number being reported. It is the smallest exterior packaging unit.	

Note 1

Air shipments only:

If the bill is split, quantity represents boarded quantity. If bill is not split, quantity represents entered and released.

Record Identifier SO42 (Output)

This is a conditional cargo release processing results output record that provides data pertaining to the in-bond number(s) associated with the bill(s) on the reported on the entry.

Record Identifier SO42 (Output)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	4AN	1-4	M	Must always equal SO42.	
In-bond Number	12AN	5-16	M	The number identifying the in-bond movement.	
In-bond Entry Type	2N	17-18	M	The code representing the type of in-bond movement.	
U.S. Port of In-bond Departure	4N	19-22	M	In-bond port of departure in Schedule D code.	
U.S. Port of In-bond Arrival	4N	23-26	M	In-bond port of arrival in Schedule D code.	
Date of In-bond Departure	6N	27-32	M	A numeric date in MMDDYY (month, day, year) format representing the date of departure of the in-bond.	
Date of In-bond Arrival	6N	33-38	C	A numeric date in MMDDYY (month, day, year) format representing the date of arrival of the in-bond.	
In-Bond Quantity	8N	39-46	C	This field is used when the in-bond quantity is less than the full bill quantity of the Bill of Lading. If not provided, the in-bond quantity will automatically be assumed to be full Bill quantity.	
Filler	34X	47-80	M	Space fill.	

Record Identifier SO50 (Output)

This is a conditional cargo release processing results output record that provides data pertaining to the bill match disposition, date / time, disposition code, narrative message, Split Indicator, carrier code, Voyage/flight/trip manifest number, date of arrival, and District/Port of arrival. This record is repeated once for the bill number identified in the SO40 record.

Record Identifier SO50 (Output)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	4AN	1-4	M	Must always equal SO50.	
Disposition Date	6N	5-10	M	A numeric date in MMDDYY (month, day, year) format representing the disposition action date.	
Disposition Time	4N	11-14	M	The military time in HHMM (hour, minute) format representing the time of the disposition action.	
Disposition Code	2AN	15-16	M	A code representing the disposition action.	1
Narrative Message	40X	17-56	M	The narrative message associated with the disposition code.	1
Split Indicator	1A	57	M	Indicates if shipment is split (Y/N)	
Carrier Code	4AN	58-61	C	A code identifying the carrier.	2
Voyage/Flight/Trip Manifest Number	5X	62-66	C	The voyage/flight/trip number of the importing carrier.	2
Date of Arrival	6N	67-72	C	A numeric date in MMDDYY (month, day, year) format representing the date of arrival.	2
District/Port of Arrival	4N	73-76	C	District/port of arrival.	2
Filler	4X	77-80	M	Space fill.	

Note 1

Valid Disposition Codes and their narrative messages are:

Code	Description
91	NO BILL MATCH
92	ACAS BILL ON FILE
93	BILL ON FILE
94	BILL DEPARTED
95	BILL ARRIVED
51	MANIFEST HOLD CBP
52	MANIFEST HOLD AGRICULTURE
53	CBP HOLD
54	CBP MANIFEST HOLD REMOVED
55	AGRICULTURE MANIFEST HOLD REMOVED
56	CBP HOLD REMOVED
57	SPLIT BILL DOES NOT QUALIFY FOR RELEASE
58	QTY IS MORE THAN MANIFESTED BILL QTY

Note 2

This field is populated only for split or partial shipments.

Record Identifier SO60 (Output)

This is a conditional cargo release processing results output record that provides data pertaining to the disposition action date, time, and code; and narrative message, release date, release origin, and document type. This record is repeated as often as necessary.

Record Identifier SO60 (Output)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	4AN	1-4	M	Must always equal SO60.	
Disposition Action Date	6N	5-10	M	A numeric date in MMDDYY (month, day, year) format representing the disposition action date.	
Disposition Action Time	4N	11-14	M	The military time in HHMM (hour, minute) format representing the time of the disposition action.	
Disposition Action Code	2AN	15-16	M	A code representing the disposition action.	1
Narrative Message	40X	17-56	M	The narrative message associated with the disposition code.	1
Release Date	6N	57-62	C	A numeric date in MMDDYY (month, day, year) format representing the release date. This data element is only returned if the Disposition Action Code is 22 or 98.	
Release Origin	2N	63-64	C	A code representing the action or date ACE has used to determine the current release date. This data element is only returned if the Disposition Action Code is 22 or 98.	2
Document Type	3AN	65-67	C	A code representing a required document.	3
Filler	13AN	68-80	M	Space fill.	

Note 1

Valid Disposition Codes and their narrative messages are:

Code	Description
03	PENDING INTENSIVE EXAM
21	ENTRY DELETED BY CBP*
22	RELEASE DATE UPDATE
23	ENTRY CANCELLED
24	ENTRY CANCELLATION UNSET
25	ENTRY WILL BE CANCELLED IN 7 DAYS
26	NO BILL MATCH AFTER 30 DAYS
31	CST APPROVAL REQUIRED* Commodity Specialist Team Approval Required
35	NO CBPF-7501 REQUIRED FOR ENT SUMMARY*
71	AMS AIR CARRIER/CFS NOTIFIED*
72	INCOMPLETE AMS AIR WAYBILL*
73	AMS FLIGHT NOT DEPARTED/ARRIVED*

Note 1 - Continued

Code	Description
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79	ENTRY NOT PERMITTED AT REPORTED PORT
80	IN-BOND NUMBER NOT ON FILE
81	IN-BOND PORT DISCREPANCY
82	SPLIT SHIPMENT RELEASE CODE REQUIRED
83	SPLIT SHIPMENT RELEASE PENDING**
84	DOC REQUIRED FOR CORRECTION REQUEST
85	DOC REQUIRED FOR CANCELLATION REQUEST
86	CORRECTION REQUEST REJECTED
87	CANCELLATION REQUEST REJECTED
88	ENTRY REPLACED
89	ENTRY SUMMARY PORT DISCREPANCY
90	UNDER CBP REVIEW
96	DOCUMENT REQUIRED
97	ADMISSIBLE
98	RELEASED
99	RELEASE SUSPENDED
01	ONE USG***

* Not used in ACE Cargo Release; reserved for future use.

** Code 83 is used when a Hold All split shipment release code is selected by the filer, where the entry is to be released after all the parts of shipment (full bill quantity) depart.

***A status issued once all of the reporting PGA's have issued a "May Proceed," and CBP has conditionally released the goods.

Note 2

Valid Release Origin codes are:

Code	Description
01	Selectivity Processing Date
02	Estimated Date of Arrival
03	Actual Arrival Date
04	Paperless Master In-Bond Arrival Date
05	Intensive Exam Completed
06	Override to General Exam
07	CBP Manifest Hold Removed
08	Other Agency Review Completed
09	Release Date Update
99	Release Date Removed

Note 3

Valid Document Type codes are:

Code	Description
01	Packing List
02	Invoice
03	Other
04	ID (passport, D/L, etc.)
05	CBPF3299 (Declaration for Free Entry of Unaccompanied Articles)
06	CBPF4455 (Certificate of Registration)
07	CBPF4457 (Certificate of Registration for Personal Effects Taken Abroad)
08	CBPF3229 (Certificate of Origin)
09	Certificates (vehicle title, etc.)
10	Permits
11	Ingredient list
12	APHIS Notice of Arrival
13	APHIS Animal Health Certificate
14	APHIS Preclearance 203
15	APTL CITES Certificate
16	APTL Foreign Certificate
17	APTL Import Permit
18	APTL Meat Certificate
19	APTL Phytosanitary Certificate
20	APTL Transit Permit
21	EPA Vehicles/Engine Exemption
22	EPA Form 3520-1
23	EPA Form 3520-21
24	EPA Form 3540-1
25	EPA Pesticide Label
26	EPA TSCA Certification
27	FSIS 9010-1 Return of Exported Products to the United States
28	FSIS 9060-5 U.S. Export Certificate
29	FSIS 9540-4 Shipper Notification - Importation of Undenatured Inedible Meat Product
30	FSIS 9540-5 Notification of Intent for Importation of Meat, Poultry, or Egg Product Samples for Laboratory Examination, Research, Evaluative Testing, or Trade Show Exhibition
31	NOAA Form 370
32	Export Manifest
33	Photo or Image

Record Identifier SO70 (Output)

This is a conditional cargo release processing results output record that provides data pertaining to the review date, time, code, and a narrative message of PGA data. This record is repeated as often as necessary.

This record provides information to trade about a PGAs review of the data at the Entry, Entry Line or PGA Line level.

<i>Record Identifier SO70 (Output)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	4AN	1-4	M	Must always equal SO70.	
Government Agency Code	3AN	5-7	M	A code indicating the Partner Government Agency. Valid codes can be found in Appendix V (Government Agency Codes) in the ACE ABI CATAIR Appendices.	
Government Agency Program Code	3AN	8-10	M	A code indicating an agency's program for which the PGA data set is related. Refer to Appendix PGA for valid codes.	
Status Action Date	6N	11-16	C	A numeric date in MMDDYY (month, day, year) format representing the status action date.	
Status Action Time	4N	17-20	C	The military time in HHMM (hour, minute) format representing the time of the status action.	
Entry Level Status code	2AN	21-22	C	A code representing the status of a PGAs review, at the Entry Level.	1
Entry Level Status message	28X	23-50	C	The narrative message associated with the Entry Review Status code	
Entry Line Level Status Code	2AN	51-52	C	A code representing the status of a PGAs review at the Entry Line level.	2
PGA Line Level Status Code	2AN	53-54	C	A code representing the status of a PGAs review at the PGA Line level.	3
Status Reason Code	2AN	55-56	C	A code representing the reason for a PGAs status review	4
Beginning CBP line	3N	57-59	C	The beginning CBP line number	
Beginning PGA Line	3N	60-62	C	The beginning PGA line number	
Range indicator	4AN	63-66	C	If there is a range, THRU is in this field	
Ending CBP line	3AN	67-69	C	The ending CBP line item	
Ending PGA Line	3N	70-72	C	The ending PGA line number	
Document Type Code	3AN	73-75	C	A code representing a required document.	5
PGA Entry Hold Type	1X	76	C	A code indicating the type of PGA hold on the entry	6
Filler	4X	77-80	M	Space fill	

Note 1

Valid Entry Level Status Codes are:

Code	Description
01	DATA UNDER PGA REVIEW
02	HOLD INTACT
04	DATA REJECTED PER PGA REVIEW
06	DO NOT DEVAN
07	MAY PROCEED
08	MOVE TO SECURE HLDNG FCLTY
10	DOCUMENTS REQUIRED
11	INTENSIVE - EXAM/SAMPLE

Note 2

Valid Entry Line Level Status Codes are:

Code	Description
01	DATA UNDER PGA REVIEW
02	HOLD INTACT
04	DATA REJECTED PER PGA REVIEW
07	MAY PROCEED

Note 3

Valid PGA Line Level Status Codes are:

Code	Description
01	DATA UNDER PGA REVIEW
04	DATA REJECTED PER PGA REVIEW
07	MAY PROCEED

If on a single Entry, any PGA line with Prior Notice (PN) data is rejected by FDA, then trade will only receive the information related to the PN reject(s). Trade will not receive PN confirmation numbers for PGA lines in this situation that may have been accepted. Trade must cancel and resubmit the entry with the updated PN data.

Note 4

If PGA Entry Level, PGA Entry Line Level or PGA Line Level Status Code is:	Then a Valid Status Reason Code can be:	Description
02	11	HOLD INTACT
02	12	EXAM/SAMPLE
02	25	ADDITIONAL VERIFICATION NEEDED
02	15	DATA INACCURATE - CONTACT PGA
04	14	DATA REJECTED PER PGA REVIEW
06	21	EXAM DO NOT DEVAN
07	22	MAY PROCEED
07	23	RELEASED
07	24	RELEASED WITH COMMENTS
08	28	PN REFUSED INACCURATE PN
08	29	PN REFUSED UNTIMELY PN
08	30	PN REFUSED PN NOT SUBMITTED
08	31	PN REG HOLD MANUF NOT RGSTRD
10	90	ADDITIONAL INFORMATION NEEDED
11	34	EXAM

Note 5

Valid Document Type codes are listed in the SO60, Note 3

Note 6

If a PGA requires an intensive-exam sample or document required status, the following indicators may be provided to trade:

Code Description

- 1** Intensive or document required set by CBP on behalf of the agency listed in positions 5-7
- 2** Intensive or document required set directly by agency listed in positions 5-7

Record Identifier SO71 (Output)

This is a conditional cargo release processing results output record that provides data pertaining to PGA processing of data (e.g., rejections, refusals). If this record is used, an SO70 must be provided. Multiple of these records may accompany a single SO70 record.

NOTE: Any status related to FDA Prior Notice that was filed using the PE CATAIR (standalone) transaction, will be returned on the PO.

Record Identifier SO71 (Output)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	4AN	1-4	M	Must always equal SO71.	
PGA Reference Identification Number Qualifier	2AN	5-6	C	A code representing the type of number being provided from the PGA, in response to data submitted by trade	1
PGA Reference Identification Number	12X	7-18	C	The number being provided by the PGA, in response to data submitted by trade	2
PGA Reference Identification Number Receipt Date	6N	19-24	C	A numeric date in MMDDYY (month, day, year) format representing the date that the PGA confirmation was received.	3
PGA Reference Identification Number Receipt Time	6N	25-30	C	The military time in HHMMSS (hour, minute, second) format representing the time that the PGA confirmation was received.	3
PGA Line Sub Reason Code	3AN	31-33	C	Code identifying PGA review reason.	4
PGA Line Sub Reason Code	3AN	34-36	C	Code identifying PGA review reason.	
PGA Line Sub Reason Code	3AN	37-39	C	Code identifying PGA review reason.	
PGA Line Sub Reason Code	3AN	40-42	C	Code identifying PGA review reason.	
PGA Line Sub Reason Code	3AN	43-45	C	Code identifying PGA review reason.	
PGA Line Sub Reason Code	3AN	46-48	C	Code identifying PGA review reason.	
PGA Line Sub Reason Code	3AN	49-51	C	Code identifying PGA review reason.	
PGA Line Sub Reason Code	3AN	52-54	C	Code identifying PGA review reason.	
PGA Line Sub Reason Code	3AN	55-57	C	Code identifying PGA review reason.	
PGA Line Sub Reason Code	3AN	58-60	C	Code identifying PGA review reason.	
Filler	20X	61-80	M	Space Fill.	

Note 1

Additional codes will be added by PGAs as they are known. Valid qualifier codes are:

Code	Description
1	Prior Notice Confirmation Number
2	Permit Number

Note 2

For FDA, this field would be used to provide the prior notice confirmation number, which is the validation and certification from FDA that the prior notice data was received for the merchandise. This should be retained by the filer.

Note 3

For FDA, the prior notice receipt date and time are returned when FDA generates a prior notice received message to the filer for the merchandise. This information should be retained with the confirmation number.

Note 4

There can be multiple sub reason codes for a given PGA line.

The following are valid PGA line sub reason codes:

If the Status Reason code is:	then a valid Sub Reason Code is:	Description
11	100	DETAINED
11	102	REFUSED
11	103	PARTIAL RELEASE AND REFUSE
11	144	PARTIAL RELEASE
11	145	PARTIAL REFUSE
11	104	PGA INSPECTION NEEDED
12	105	EXAM
12	106	EXAM NOTIFY
14	107	FOREIGN ULTIMATE CONSIGNEE
14	108	MISSING REGISTRATION
14	109	REGISTRATION NOT ON FILE
14	110	MISMATCH IN REGISTRATION
14	111	CANCELLED MANUFACTURER FACILITY REGISTRATION
14	112	MANUFACTURER FACILITY REGISTRATION INVALIDATED BY FDA
14	113	INVALID QUANTITY FORMAT
14	114	INVALID ID FOR MANUFACTURER
14	115	INVALID ID FOR SHIPPER
14	116	INVALID ID FOR IMPORTER
14	117	INVALID ID FOR ULTIMATE CONSIGNEE
14	118	INVALID STATE/ZIP FOR US MANUFATURER
14	119	INVALID COUNTRY OF PRODUCTION
14	120	INVALID COUNTRY OF SHIPPING
14	122	INVALID EXEMPTION (FME) QUALIFIER
14	123	INVALID PNC NUMBER
14	124	EXPIRED PNC NUMBER
14	125	CANCELLED PNC NUMBER
14	126	INVALID FILER
14	127	INVALID OWNER ENTITY ID

14	128	INVALID SUBMITTER ENTITY ID
14	129	INVALID TRANSMITTER ENTITY ID
14	130	INVALID DELIVER TO PARTY ENTITY ID
14	131	MISSING BILL OF LADING OR AIR WAY BILL
25	132	DOCUMENTATION NEEDED
28	133	INACCURATE MANUFACTURER
28	134	INACCURATE ULTIMATE CONSIGNEE
28	135	INACCURATE SHIPPER
28	136	INACCURATE IMPORTER
28	137	INACURRATE FDA PRODUCT CODE
28	138	INACCURATE BILL OF LADING OR AIRWAY BILL
28	139	INACCURATE PRIOR NOTICE- OTHER- CONTACT THE FDA DFDT

Record Identifier SO72 (Output)

This is a conditional output record that provides information to trade from PGAs. If this record is used, an SO71 may be provided. This record can be repeated as often as necessary. If this record is used, an SO70 must be provided. Multiple of these records may accompany a single SO70 record.

<i>Record Identifier SO72 (Output)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	4AN	1-4	M	Must always equal SO72	
Comments to Trade from PGA	76X	5-80	M	A narrative message from trade to the PGAs regarding a particular PGA line.	