

US Customs and Border Protection (CBP)  
COAC Webinar – March 26, 2015

**CPSC PGA Message Set  
& Certificate Registry Proposed  
Alpha Pilot Overview**



This presentation was prepared by CPSC staff, has not been reviewed or approved by, and may not reflect the views of, the Commission.



# Welcome

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- This is the second in a series of three public CBP and CPSC Webinars
- The first webinar (March 12):
  - Reviewed certificate requirements for regulated products:
    - CPC – children’s products
    - GCC – other regulated products
  - Introduced the CPSC Alpha Pilot

WEBiNAR





# Review: the e-Filing Alpha Pilot

- A two-pronged approach to electronic data collection of importer certificate data
  - Full Certificate PGA Message Set or
  - Reference Certificate PGA Message Set with CPSC Certificate Registry
- A way to test implementation of e-filing in proposed 1110 rule
  - Electronic submission of certificates at entry
  - Using data elements from proposed 1110.11.





# March 12 Webinar Questions

- There were 76 questions asked during the March 12 webinar
- Key themes of these questions include:
  - When is a certificate required?
  - Practical issues with filing electronic certificates
  - How will the certificate registry work?
  - Alpha Pilot timeline



*The first webinar can be viewed here:*

<http://dhs.adobeconnect.com/p6kuisnvqqn/>



# Full Certificate PGA Message Set

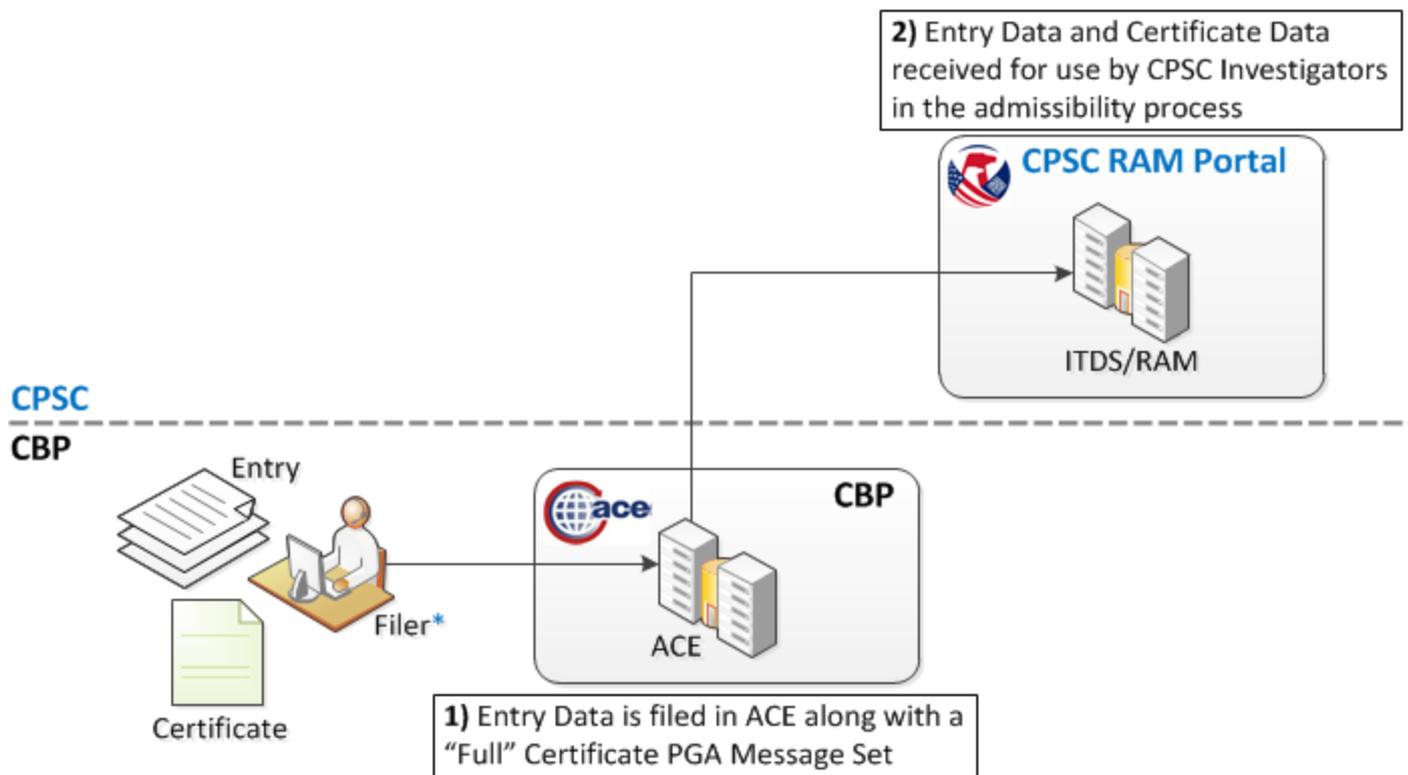
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- A way to file product certificates at time of entry filing
  - Full set of certificate data filed via the PGA Message Set with each entry line





# Full Certificate PGA Message Set



\* The term "Filer" is used generically to include anyone that may file data with CBP or CPSC (Broker or Importer)



# Reference Certificate PGA Message Set

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- A way to pre-file a product certificate in the CPSC Certificate Registry in advance of entry filing
  - Limits (but does not eliminate) the amount of data required to be filed via the PGA Message Set with each entry line

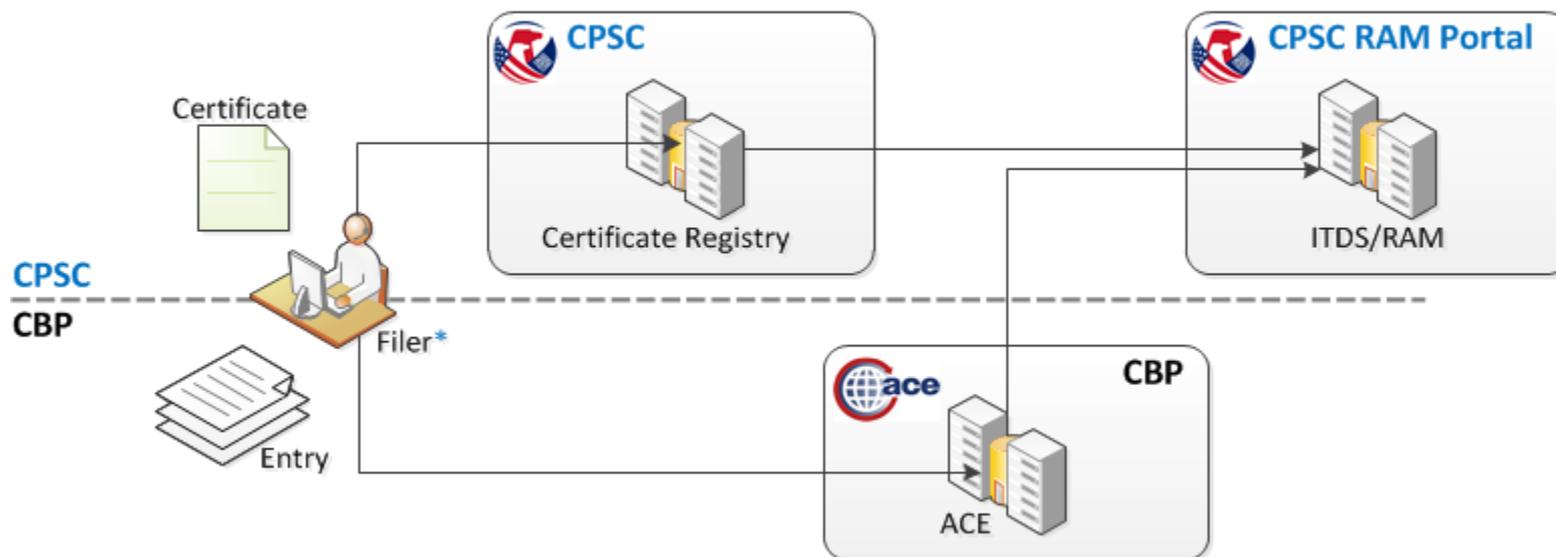




# Reference Certificate PGA Message Set

**1)** The Filer inputs certificate information directly into the Certificate Registry in advance of filing entry;  
*This information does not need to be re-entered as long as it remains valid*

**3)** Entry Data received and linked to Certificate Data for use by CPSC Investigators in the admissibility process



**2)** Entry Data is filed in ACE along with a shorter "Reference" Certificate PGA Message Set

\* The term "Filer" is used generically to include anyone that may file data with CBP or CPSC (Broker or Importer)



# Projected Alpha Pilot Timeline

2015 Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec 2016 Jan Feb Mar Apr May Jun

Stakeholder Input

Project Preparation

Participant Recruiting & Onboarding

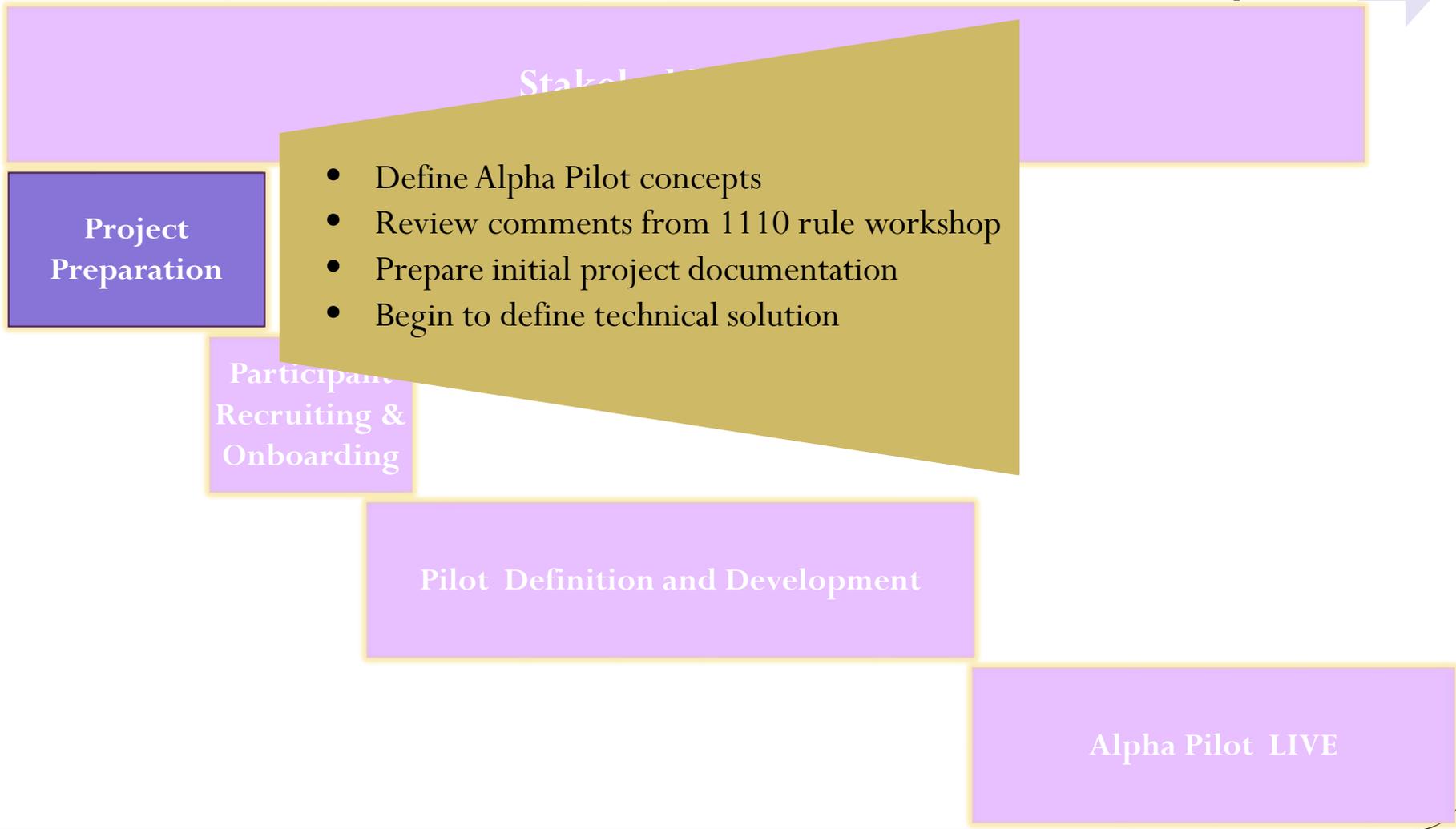
Pilot Definition and Development

Alpha Pilot LIVE



# Projected Alpha Pilot Timeline

2015 Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec 2016 Jan Feb Mar Apr May Jun





# Projected Alpha Pilot Timeline

2015 Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec 2016 Jan Feb Mar Apr May Jun

Stakeholder Input

Project Preparation

Participant Recruiting & Onboarding

- Federal Register Notice
- COAC meetings
- TSN presentation
- Select and onboard participants

Pilot Definition and

Alpha Pilot LIVE



# Projected Alpha Pilot Timeline

2015 Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec 2016 Jan Feb Mar Apr May Jun

Stakeholder Input

Project Preparation

Part  
Recru  
Onboa

- Alpha Pilot solution discussions with participants
- Finalize Alpha Pilot details
- Develop technical solution (CPSC, CBP and Alpha Pilot participants)
- Unit and Integration testing

Pilot Definition and Development

Alpha Pilot LIVE



# Projected Alpha Pilot Timeline

2015 Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec 2016 Jan Feb Mar Apr May Jun

Stakeholder Input

Project Preparation

Participant Recruiting & Onboarding

Pilot Definition and Development

- Go-live of Full PGA Message Set
- Go-live of CPSC Certificate Registry
- Approximately 3-6 months
- Alpha Pilot

Alpha Pilot LIVE



# COAC Working Group Feedback

- Identify issues or problems, as well as any proposed solutions, that the trade foresees in implementing the concept for the alpha pilot:
  - How should we deal with multiple certificates for one product or one line entry?
  - How should we handle importing from an FTZ?
  - How should we handle entries with HTS codes that may or may not require a certificate?
  - Should the registry assign certificate identifiers, or would firms prefer to use their own, or should the system be flexible enough to allow either approach?
  - How far in advance do certificates need to be filed before filing an entry in ACE?





# COAC Working Group Feedback

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- What else should CPSC be thinking about as we move forward with the PGA and Registry concept for the pilot as laid out for you?
- What kinds of issues will CPSC face in normalizing data entry across multiple products?
- What expectations and requirements do importers that will use a registry concept have for the application?
- With regard to the Trusted Trader program, staff is interested in stakeholder ideas, but it is unlikely that we can incorporate Trusted Trader ideas into an alpha pilot, where we are testing the proposed rule. Such input could be considered down the road, if we start planning for a beta pilot.
- How are importers meeting their “accompany” obligation now and what issues will they face in filing the certificates electronically?



## Q & A

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- [Existing and proposed 16 C.F.R. part 1110](#)
- [Testing and certification resources](#)
  - Links to CPSC-accepted testing laboratories, guidance on testing and certification requirements (including certificates), and other resources
- [CPSC Business education page](#)
  - Guide to complying with CPSC regulations
- [COAC Webinar May 12, 2015](#)
- [CPSC E-Filing Workshop September 18, 2014](#)



# Contact CBP

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