

US Customs and Border Protection (CBP)  
COAC Webinar – March 12, 2015

**CPSC PGA Message Set  
& Certificate Registry Proposed  
Alpha Pilot Overview**



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# What is a Certificate?

- A certification that a consumer product or other substance regulated by the Commission:
  - Has been tested:
    - *Non-children's products* – a test of each product or a reasonable testing program
    - *Children's products* – testing conducted by a third party conformity assessment body (laboratory)
  - Complies with all applicable safety rules, bans, standards, and regulations.





# Required Certificate Types

## General Conformity Certificate (GCC)

- Non-children's products only
- Based on a test of each product or a reasonable testing program (14(a)(1) of the CPSA)
- Must comply with 16 C.F.R. part 1110

## Children's Product Certificate (CPC)

- Products intended primarily for children 12 years of age and younger
- Based on testing conducted by a CPSC-accepted third party laboratory (14(a)(2) of the CPSA)
- Requires periodic and material change testing
- Must comply with 16 C.F.R. part 1110



# Why Electronic Filing?

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- Expedite clearance
- Identify high risk cargo for inspection
- Conduct post-importation enforcement
- Generate reports to assist with:
  - Risk assessment
  - Setting agency priorities and goals
- Meet objectives of EO 13659, Streamlining the Export/Import Process for America's Businesses.



# What is the e-Filing Alpha Pilot?

- A two-pronged approach to electronic data collection of importer certificate data
  - Full Certificate PGA Message Set or
  - Reference Certificate PGA Message Set with CPSC Certificate Registry
- A way to test implementation of e-filing in proposed 1110 rule
  - Electronic submission of certificates at entry
  - Using data elements from proposed 1110.11.





# Full Certificate PGA Message Set

- A way to file product certificates at time of entry filing
  - Full set of certificate data filed via the PGA Message Set with each entry line

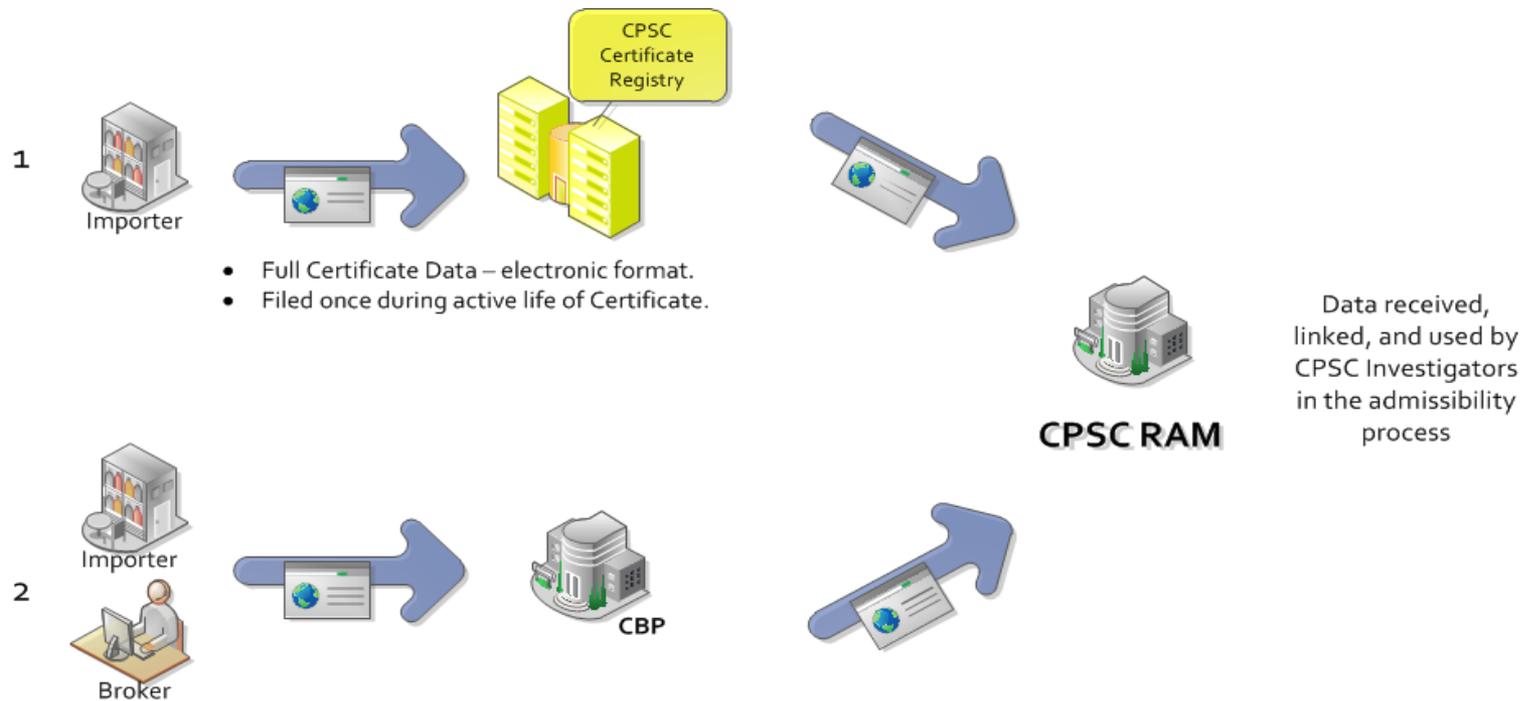


Data received and used by CPSC Investigators in the admissibility process



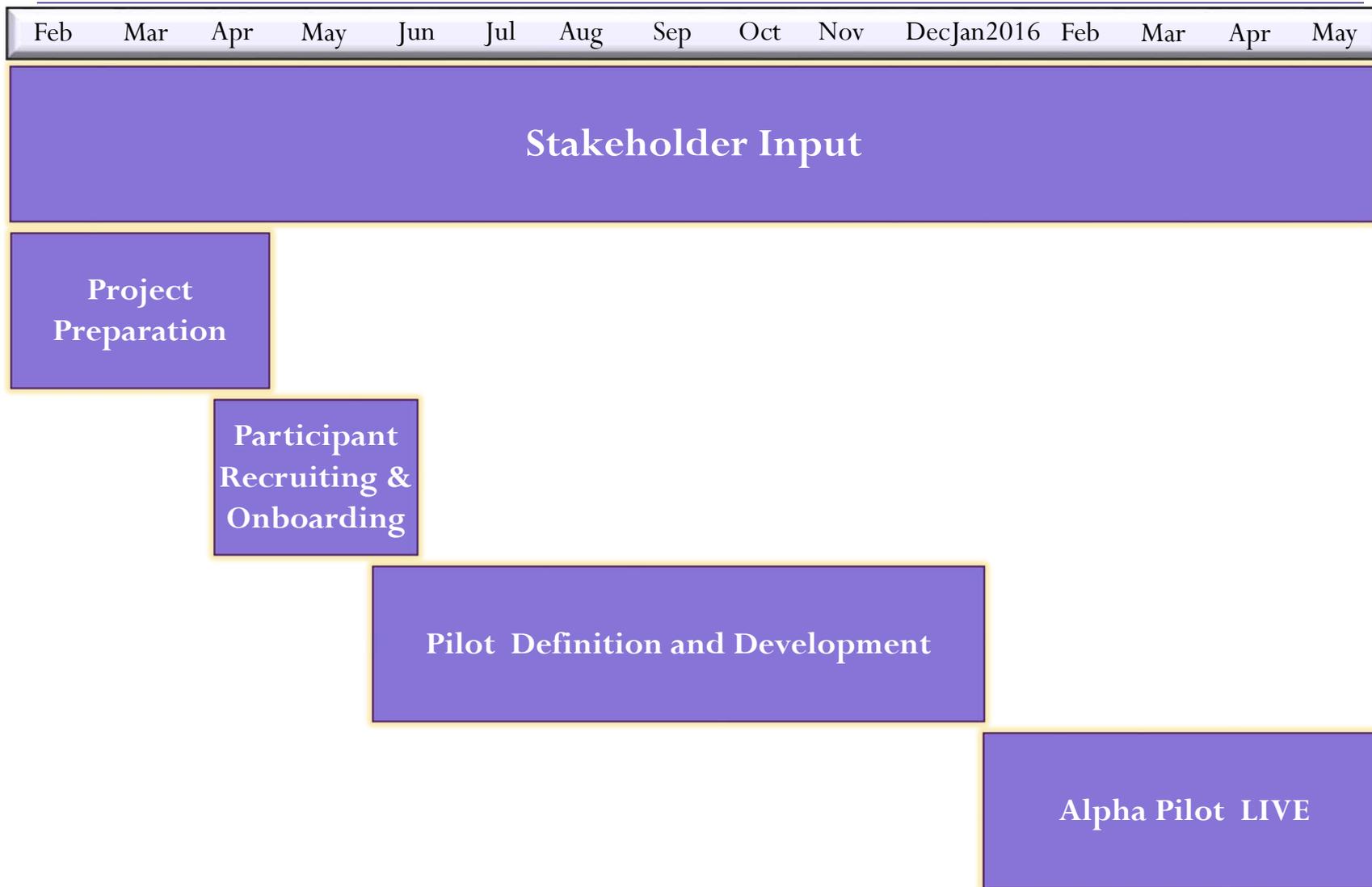
# Reference Certificate PGA Message Set

- A way to pre-file a product certificate in the CPSC Certificate Registry in advance of entry filing
  - Limits (but does not eliminate) the amount of data required to be filed via the PGA Message Set with each entry line





# Projected Alpha Pilot Timeline





# Alpha Pilot Goals

- ✓ Inform the 1110 Rulemaking
- ✓ Test the certificate data e-filing concept for Regulated Products
  - ✓ Full Certificate PGA Message Set
  - ✓ Reference Certificate PGA Message Set (with CPSC Certificate Registry)
- ✓ Identify and analyze the impact on industry, the government, and consumers
  - ✓ Advancing the “single” window environment
  - ✓ Impact on identification of noncompliant trade





# Next Steps

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- Stakeholder engagement:
  - COAC
  - TSN
  - Others as identified
- Recruit volunteers for the Pilot
- Develop technical requirements for alpha pilot
  - HTS mapping
  - CATAIR





# Project Key Risks

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- Industry participation
- CBP Dependency
- Industry participant's development time





## Q & A

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- [www.cpsc.gov/cpc](http://www.cpsc.gov/cpc)
- [www.cpsc.gov/gcc](http://www.cpsc.gov/gcc)
- [www.cpsc.gov/certify](http://www.cpsc.gov/certify)
- [www.cpsc.gov/en/Business--Manufacturing/Testing-Certification/](http://www.cpsc.gov/en/Business--Manufacturing/Testing-Certification/)
- [www.cpsc.gov/testing](http://www.cpsc.gov/testing) (3rd party testing)
- [www.cpsc.gov/en/Business--Manufacturing/Testing-Certification/Third-Party-Testing/](http://www.cpsc.gov/en/Business--Manufacturing/Testing-Certification/Third-Party-Testing/)