

# APHIS READINESS UPDATE

## Automated Commercial Environment



US Department of Agriculture  
Animal and Plant Health Inspection Service

- Trade Responsibilities
- Information Submissions
- Impact
- APHIS Piloting
- Lessons Learned
- Questions



 Trade Responsibilities

 Information Submissions

 Impact

 APHIS Piloting

 Lessons Learned

 Questions



- ✓ Many of the operational processes will remain the same
- ✓ Obtain necessary required document(s) (ie; import permit, health certifications, producer/manufacturer statements, etc)

**Note:**

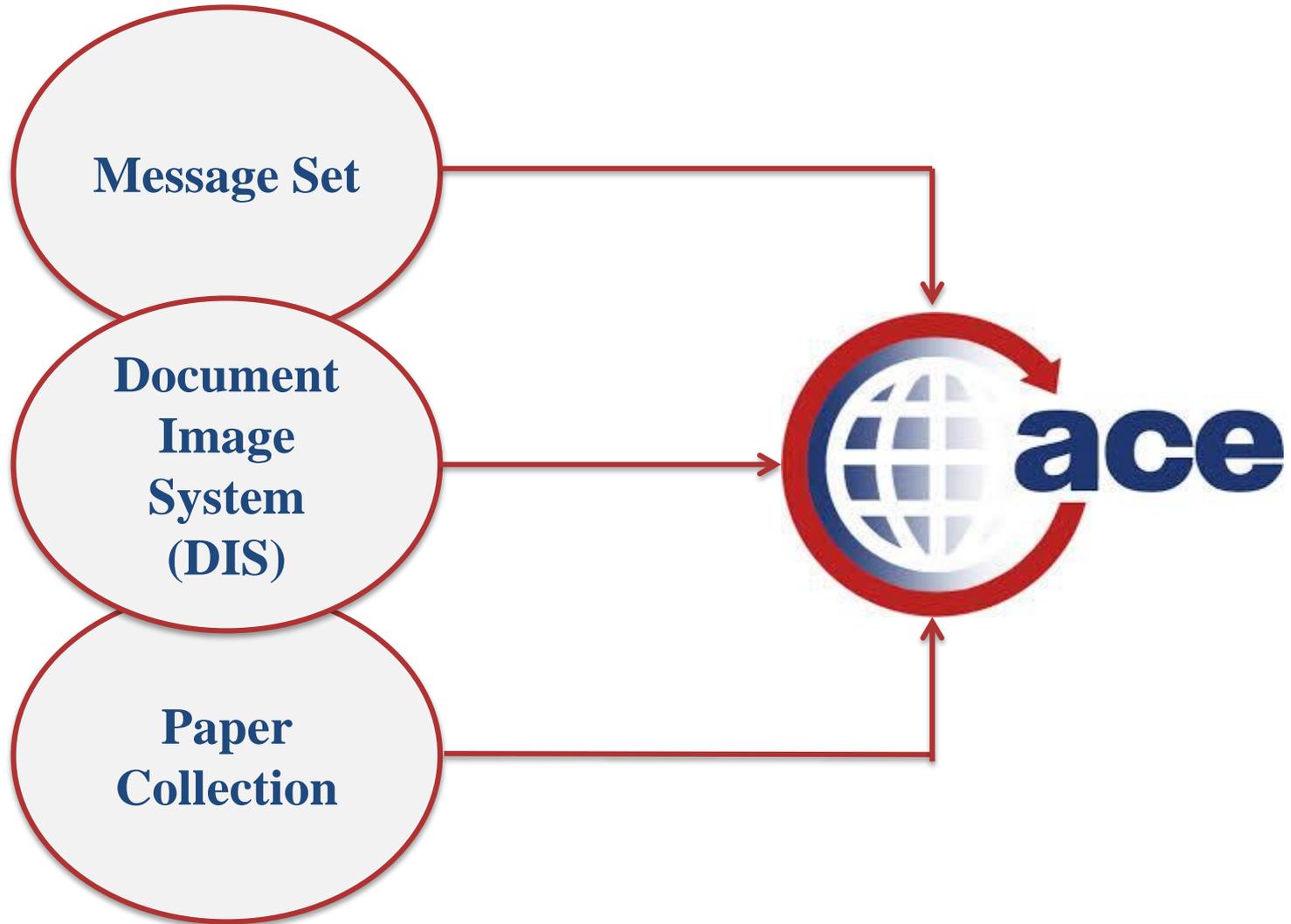
Your commodity may be regulated by other federal, state, and local agencies (FSIS, FDA, CDC, EPA, US Fish and Wildlife, State Department of Agriculture, etc.)

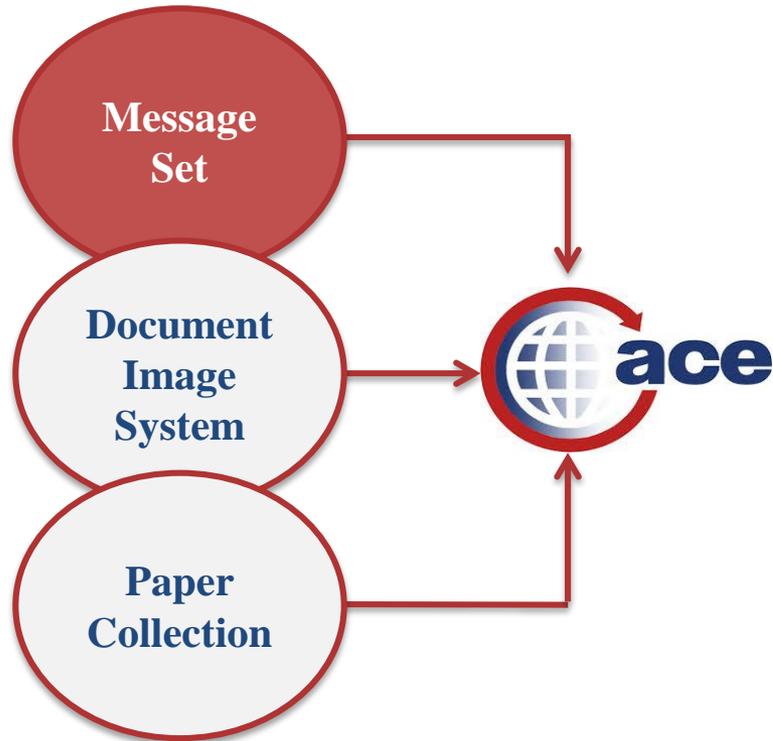
- ✓ Properly describe/manifest commodities
- ✓ Provide required information to broker/filer in a timely manner
- ✓ Ensure required paper documents are properly filled out and endorsed (as required), and arrive with shipment

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# Information Submission Methods





## General information:

- Previously admissibility decision making was performed manually by review of various submitted paper forms
- In the world of electronic entry information from many of these paper forms must now be provided in an electronic format known as the PGA Message Set
- **VS 16-6a: U.S. Veterinary Permit for Importation and Transportation of Controlled Materials and Organisms and Vectors**
- **APHIS 2006: US Veterinary Biological Product Permit**

- APHIS Program Code: APH
- Government Agency Program Code: AVS
- Country of export: ISO Country Code
- 10 digit Harmonized Tariff Schedule Code
- Category Code
  - AP0300 Animal Products
- Category Type Code
- Permit Number
- Health Certificate Identification
- Permitted Destination Address
- Total Amount Imported



# APHIS PGA Message Set Master Document

**Please Note:** the data elements presented are not intended to be an exhaustive list and do not necessarily include every required data element.

We strongly encourage you to use the **APHIS PGA MESSAGE SET ADAPTED DATA ELEMENT RECORD LAYOUT Master Document** and its appendix to build your ACE interfacing software.

The ADAPTED DATA ELEMENT RECORD LAYOUT Master Document and the appendix have been developed to include current and future needs. New commodities are constantly being developed and traded. APHIS wants to ensure current software development encompasses future requirements.

[https://www.aphis.usda.gov/aphis/ourfocus/importexport/trade/ct\\_itds](https://www.aphis.usda.gov/aphis/ourfocus/importexport/trade/ct_itds)



# APHIS 2006

# VS 16-6a

**PG13** **United States**  
**Department of Agriculture**

**UNITED STATES VETERINARY BIOLOGICAL PRODUCT PERMIT**

**SALE AND DISTRIBUTION**

Note: This is the APHIS Assigned Entity Number --- **PG19 NO. 123X**

**PG13** Issued at Washington, D.C. on  
**PG14** Expires: Not Applicable

This permit is issued pursuant to the terms of the Act of Congress approved March 4, 1913 (37 Stat. 822), governing the preparation, sale, harvest, exchange, shipment, and importation of veterinary biological products. So far as the jurisdiction of the U.S. Department of Agriculture is concerned.

**Company Name**  
**PG19/20** Address  
City State Zipcode

is authorized to import

**Canine Heartworm Antigen Test Kit** **OL PG17 PG10**

Product Number: 501800 **PG02**

prepared by  
**ABC Biotech**  
Address  
**Country: France PG06**  
into the United States through the port of  
**Any Port PG30**

Importation shall be made subject to the following special conditions:  
The producer/permittee agrees to submit to periodic reinspections of the production facility under terms to be specified in a Cooperative Agreement between the parties. The permittee agrees to be responsible for all costs associated with these inspections.

See attached restriction(s).

This permit may be revoked if the permittee violates or fails to comply with said Act, the regulations made thereunder, or the conditions specified herein.

**March 24, 2014 PG14** **Signature**  
Date Director, Center for Veterinary Biologics  
Animal and Plant Health Inspection Service

APHIS FORM 2006 (APR 2013) **PG14**

**PG01, 13, 14** U.S. DEPARTMENT OF AGRICULTURE  
AND PLANT HEALTH INSPECTION SERVICE  
VETERINARY SERVICES  
RIVERDALE, MARYLAND 20737

**UNITED STATES VETERINARY PERMIT FOR IMPORTATION  
AND TRANSPORTATION OF CONTROLLED MATERIALS AND  
ORGANISMS AND VECTORS**

**PERMIT NUMBER**  
C-Unassigned (DRAFT) **PG14**  
Commercial  
The current Duration period selected for this permit is: 1 year

**DATE ISSUED** Unknown (DRAFT) **DATE EXPIRES** Unknown (DRAFT) **PG14**

**NAME AND ADDRESS OF SHIPPER(S)**  
Name of Company  
Some Str  
Some City 00000  
AUSTRALIA

**NAME AND ADDRESS OF PERMITTEE INCLUDING ZIP CODE AND TELEPHONE NUMBER**  
John Doe  
Name of Company  
4700 River Road  
Riverdale, Maryland 20737  
301-555-5555 **PG19 and 20**

**U.S. PORT OF ARRIVAL**  
AS APPLICABLE

**MODE OF TRANSPORTATION** AIR

IS ISSUED UNDER AUTHORITY CONTAINED IN 9 CFR CHAPTER 1, PARTS 92.0-92.9 AND ALL THE AUTHORIZED VETERINARIAN OR OTHER PERMITTEES SHALL BE USED ONLY IN ACCORDANCE WITH THE RESTRICTIONS AND PRECAUTIONS SPECIFIED BELOW (ALTERATIONS OR ADDITIONS TO THIS PERMIT ARE UNAUTHORIZED BY USDA, APHIS, VS).

**PG01, 02, 10, and 17**

**RESTRICTIONS AND PRECAUTIONS FOR TRANSPORTING AND HANDLING MATERIALS AND ALL DERIVATIVES**

THE PERMIT IS ISSUED UNDER AUTHORITY CONTAINED IN 9 CFR CHAPTER 1, PARTS 92.0-92.9 AND ALL THE AUTHORIZED VETERINARIAN OR OTHER PERMITTEES SHALL BE USED ONLY IN ACCORDANCE WITH THE RESTRICTIONS AND PRECAUTIONS SPECIFIED BELOW (ALTERATIONS OR ADDITIONS TO THIS PERMIT ARE UNAUTHORIZED BY USDA, APHIS, VS).

• Adequate safety precautions shall be maintained during shipment and handling to prevent dissemination of disease.

• With the use of this permit I, John Doe, permittee, acknowledge that the regulated material(s) will be introduced into the United States in accordance with the terms and conditions as are specified in the permit. The Permittee is the legal importer of regulated article(s) and is responsible for complying with the permit conditions. The Permittee must be at least 18 years of age and have and maintain an address in the United States that is specified on the permit; or if another legal entity, maintain an address or business office in the United States with a designated individual for service of process; and serve as the contact for the purpose of communications associated with the import of the regulated article(s). \*Note: Import requirements are subject to change at any time during the duration of this permit.

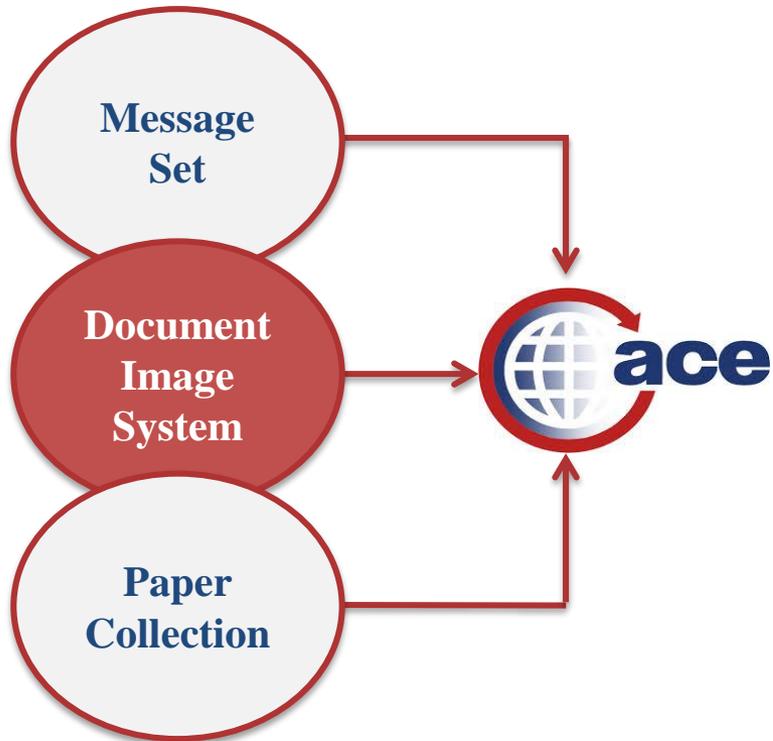
• \*\*\*Each shipment must be accompanied by an ORIGINAL certificate endorsed by a full-time, salaried veterinarian of the agency responsible for animal health of the GOVERNMENT OF XXXXXXXXX certifying that the exported material:  
1) contains [species and material type] as the only animal origin material; 2) was produced only in [name of country] which has not had an indigenous case of BSE; and 3) was not commingled with any animal origin material not authorized by this permit.  
[This certification must CLEARLY correspond to the shipment by means of an invoice number or shipping marks or lot number or other identification method. An English translation must be provided.]

*continued on subsequent page(s)....*

TO EXPEDITE CLEARANCES AT THE PORT OF ENTRY, BILL OF LADING, AIRBILL OR OTHER DOCUMENTS ACCOMPANYING THE SHIPMENT SHALL BEAR THE PERMIT NUMBER

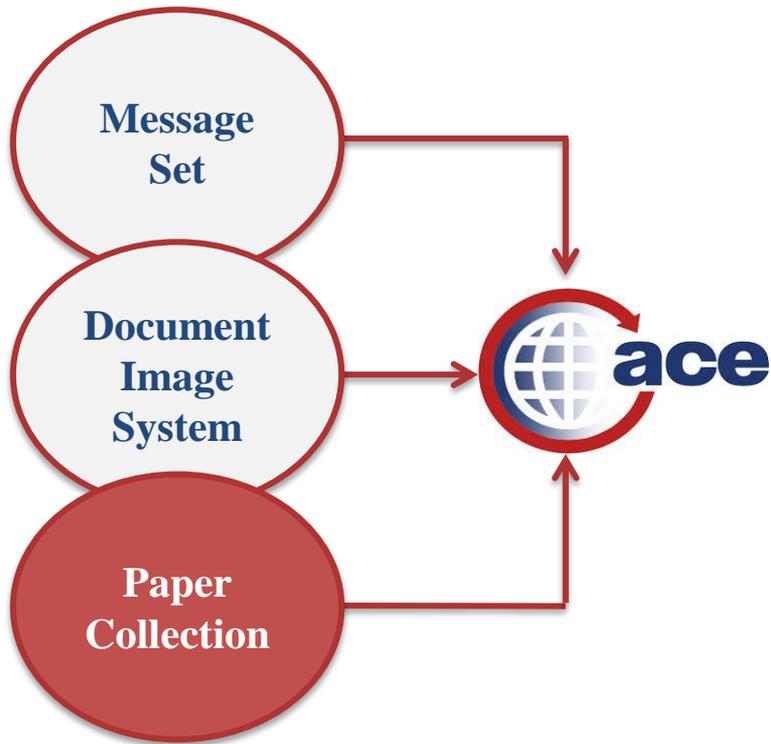
SIGNATURE	TITLE	NO. USES
	National Import Export Services	

VS FORM 16-6A (MAR 95) Replaces VS Form 10-3A and 10-28 which are obsolete Page 1 of 2



## Supporting documents:

- **Producer Manufacturers Statement(s)**
- **Proof of Origin**
- **Ingredient List(s)**
- **Label**
- **Etc.**



**All Government-to-Government certifications such-as:**

- Health certificates/zoo-sanitary certificates

# Certificate Numbers

**中华人民共和国出入境检验检疫**  
**ENTRY-EXIT INSPECTION AND QUARANTINE**  
**OF THE PEOPLE'S REPUBLIC OF CHINA** 共 1 页 Page 1 of 1

**兽医 (卫生) 证书** 编号 No. 340000123456789  
**VETERINARY (HEALTH) CERTIFICATE** PG14

发货人名称及地址  
 Name and Address of Consignor: **BIG FEATHER LIMITED COMPANY LUAN, CHINA** PG19/20

收货人名称及地址  
 Name and Address of Consignee: **BIG FEATHER SLEEP COMPANY NEW YORK, NEW YORK, USA** PG19/20

品名  
 Description of Goods: **WASHED WHITE DUCK FEATHERS 4-6 CM / 50% WASHED WHITE DUCK DOWN / 75% WASHED WHITE DUCK DOWN / WASHED WHITE DUCK FEATHERS 2-4 CM**

报检重量  
 Weight Declared: **\*\*16779KGS/\*\*160KGS \*\*275KGS/\*\*480KGS** 产地 **ANHUI, CHINA** 标记及号码 **OI, PG02, PG10, PG17**

包装种类及数量  
 Number and Type of Packages: **\*\*210BALES/\*\*2BALES/ \*\*11BALES/\*\*6BALES** PG14, PG26 PG06, PG32

集装箱号  
 Container No.: \*\*\*

铅封号  
 Seal No.: \*\*\*

加工厂名称、地址及编号 (如果适用)  
 Name, Address and approval No. of the approved Establishment (if applicable): \*\*\*

启运地  
 Place of Dispatch: **SHANGHAI, CHINA PG32** 到达国家及地点 **NEWARK, U.S.A. PG32**

运输工具  
 Means of Conveyance: **BY VESSEL** 发货日期 **JAN., 2015**

官方兽医证明如下:  
 I, THE UNDERSIGNED OFFICIAL VETERINARIAN, CERTIFY THAT:  
 1) THIS LOT OF PRODUCTS WAS ORIGINATED FROM A SAFE AND NON-EPIZOOTIC AREA.  
 2) THIS LOT OF PRODUCTS WAS WASHED WITH DETERGENT AND CLEANED WITH WATER FOR ABOUT 60 MINUTES.  
 3) THIS LOT OF PRODUCTS WAS DRIED AND STERILIZED IN A STEAM-DRYER AT A STEAM-TEMPERATURE OF 120 DEGREES CENTIGRADE FOR AT LEAST 40 MINUTES.  
 4) THIS LOT OF PRODUCTS IS DRY, CLEAN, AND FREE OF MANURE, BLOOD, AND SKIN.  
 5) THE DOWN AND FEATHER WERE TAKEN FROM THE SLAUGHTERED ANIMALS.  
 \*\*\*\*\*

官方兽医 Official Veterinarian **CHENG RUPING** 签 名 Signature

签证地点 Place of Issue: **LUAN, CHINA** 签证日期 Date of Issue: **22 JAN., 2015**

PG 13 PG14

**CỤC THỦ Y**  
 DEPARTMENT OF ANIMAL HEALTH  
**CƠ QUẢN LÝ VÙNG VI**  
 REGIONAL ANIMAL HEALTH OFFICE  
 52/1 Hoang Van Thu St., Tan Binh Dist., HCM City - VN  
 Telephone: (848)3948 3036 - Fax (848) 3948 3031  
**PG13/14**

**NƯỚC CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM**  
 THE SOCIALIST REPUBLIC OF VIET NAM

**Mẫu: 17**  
 Form:

**GIẤY CHỨNG NHẬN KIỂM DỊCH SẢN PHẨM ĐỘNG VẬT**  
 HEALTH CERTIFICATE FOR PRODUCTS OF ANIMAL **PG13/14**

Số: **00012345**.../CN-KDSPĐVXX **PG14**  
 Number

Tên, địa chỉ người xuất hàng: **PET FOOD COMPANY**  
 Name and address of exporter: **123 INDUSTRIAL PARK, LITTLE VILLAGE, BIG DISTRICT, SMALL PROVINCE, VIET NAM**

Tel: **84-0000 000 000** Fax: **84-0000 000 000** Email:

Tên, địa chỉ người nhận hàng: **PET CHEW COMPANY, LLC** **PG19/20**  
 Name and address of consignee: **1234 MAIN STREET, NEW YORK, NEW YORK 10003, USA**

Loại sản phẩm: **PET CHEWS.** **OI, PG02, PG17**  
 Type of products:

Xuất xứ hàng hóa: **VIETNAM.** **PG06, PG32**  
 Origin of products:

Quy cách đóng gói: **CARTON**

Type of package:

Số kiện hàng: **1,608 CARTONS** **PG26** Khối lượng: **7,702.08 KGS** **PG14, PG26**  
 Number of package: Net weight:

Tên, địa chỉ nhà máy sản xuất, chế biến hàng: **PET FOOD COMPANY** **PG19/20**  
 Name and address of the processing establishment: **123 INDUSTRIAL PARK, LITTLE VILLAGE, BIG DISTRICT, SMALL PROVINCE, VIET NAM**

Phương tiện vận chuyển: **BY AIRPLANE.**  
 Means of transport:

**CHỨNG NHẬN KIỂM DỊCH**  
 HEALTH CERTIFICATE

Tôi, bác sĩ thú y ký tên dưới đây chứng nhận số sản phẩm động vật trên đáp ứng được các yêu cầu sau:  
 I, the undersigned official Veterinarian certify that the products described above satisfy the following requirement:  
 The product/ Sản phẩm:

- The products contain avian meat and gelatin as the only animal origin material  
 - Bao gồm thịt gia cầm và gelatin là những nguyên liệu chỉ có nguồn gốc từ động vật
- The products were produced only in Vietnam  
 - Chỉ được sản xuất tại Việt Nam.
- The products were heated to a minimum temperature of 80°C for at least 30 minutes.  
 - Được làm nóng ở nhiệt độ thấp nhất là 80°C trong khoảng thời gian ít nhất 30 phút. **PG06**
- The products were produced and handled in a manner to ensure that such commodities were not commingled with any animal origin material not authorized by this permit including ruminant meat-and-bone meal, greaves, APHIS-defined SRMs, and mechanically separated meat derived from the skull and vertebral column of bovines 30 months of age or older from APHIS-defined controlled risk regions or bovine over 12 months of age from APHIS-defined undermined risk regions [BSE regions described below].

# EXAMPLE 1 - HAM

- APHIS Program Code: **APH**
- Government Agency Program Code: **AVS**
- 10 digit HTS Code: **0203:XX.XX.XX**
- Category Code: **AP0300 Animal Products**
- Category Type Code: **301-**  
  - Meat and poultry: Meat and Meat Products**
- Health Certificate Identification:
  - LOCATION OF ISSUER OF LPCO : (ISO Country Code)
  - LPCO TRANSACTION TYPE: **1** (Single Use)
  - LPCO TYPE: **A02** (Health Certificate),
  - LPCO NUMBER: (health cert.#)
  - LPCO DATE QUALIFIER: **3** (date issued or signed)
  - LPCO DATE: Health Actual Date

- APHIS Program Code: **APH**
- Government Agency Program Code: **AVS**
- 10 digit HTS Code: **2309.XX.XX.XX**
- Category Code: **AP0300 Animal Products**
- Category Type Code: **305 –**  
**Animal Consumption Products**
- Permit Number
  - LPCO TRANSACTION TYPE: 1 = Single Use
  - LPCO TYPE: **A24** = VS form16-6a
  - LPCO NUMBER: (16-6a Permit#)
- Health Certificate Identification (as noted in Example 1)

- APHIS Program Code: **APH**
- Government Agency Program Code: **AVS**
- 10 digit HTS Code: **3002.10.02.30**
- Category Code: **AP0300 Animal Products**
- Category Type Code: **309 –**  
**Animal Products for Technical Use**
- Permit Number (as noted in Example 2)
- Health Certificate Identification (as noted in Example 1)
- Quarantine Destination Address

- APHIS Program Code: **APH**
- Government Agency Program Code: **AVS**
- 10 digit HTS Code: **3002.30.00.00**
- Category Code: **AP0300 Animal Products**
- Category Type Code: **307 – Veterinary Biologics**
- LPCO Type: **A06 = APHIS 2006**
  - Establishment Number
  - Product true name
  - Product code
  - Quarantine site address
  - Manufacturing site address

- This is not an exhaustive list and does not necessarily include every required data element.
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- The **ADAPTED DATA ELEMENT RECORD LAYOUT Master Document** has been developed to include current and future needs. New commodities are constantly being developed and traded. APHIS has worked to ensure current software development encompasses future requirements.

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# What changes will industry see?

Impact	Procedure	Programming	Support
<p>For trade/industry, much of the information previously submitted on paper is required electronically and could change business processes</p>	<p>Customs brokers (including Express Couriers) must operate in the new “Single Window” environment using ACE</p>	<p>Software vendors must adhere to CBP and APHIS guidelines and ensure their systems encompass the entire PG Message Set Master List of Data Elements*</p>	<p>CBP &amp; APHIS are working with trade to ensure understanding of ACE system requirements</p>

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- ✓ Opportunity to troubleshoot your own processes
- ✓ Provide PGAs your input, suggestions for improvements
- ✓ Ensure import/filer is trained via initial experience
- ✓ Complete testing in advance of full implementation
- ✓ Work directly with PGA and CBP officials



## PPQ

Vegetables, Fruit, Plants, Cut Flowers, etc.

## VS Live Animals

Livestock, Avians, Embryos, Equids, Semen, Hatching Eggs, etc.

## VS Animal Products

Animal Products, Animal By-Products, Organisms & Vectors, Veterinary Biologics, etc.

- ✓ Software containing the APHIS Message Set must be built and certified by CBP.
- ✓ You will need to contact your CBP client representative to ensure that you are fully vetted for submission of entry and entry summaries in ACE.
- ✓ If those two steps have been successfully completed, you will then need to have your CBP client representative register you in the ACE CERT environment.



- ✓ You will then need to submit data through CERT to ensure that all coding and transmission issues have been identified and mitigated.
- ✓ Contact APHIS before submitting to CERT so that appropriate personnel are available to assist
- ✓ For the APHIS CORE programs, we need to know the commodities you most often import and the port locations





- ✓ Ensure all participants are using current message set
- ✓ Engage CBP client representative(s) and the PGA
- ✓ Add complexities to test system capacity (e.g. multi-commodity/agency filings)
- ✓ Anticipate filer rush late June 2016 when ACE filings for APHIS Core are required for entry.
- ✓ Some areas delaying shipment release
  - Input error
  - Importer failed to file declaration info listed HTS code
  - Server issues (vendor, PGA, or CBP)

- ✓ Test your system fully!
- ✓ Pilot early and pilot often!
- ✓ Test large data inputs

## Continuing outreach and education!

- Look for upcoming APHIS webinars and related events
- See previous APHIS webinars:  
<http://www.cbp.gov/trade/trade-community/border-interagency-executive-council-biec/biec-webinars>
- APHIS can tailor event to industry/filer needs!



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**QUESTION?**  
**COMMENT?**  
**CONCERN?**

**We Want to Hear it!**  
**[ace.itds@aphis.usda.gov](mailto:ace.itds@aphis.usda.gov)**

