

CBP and Trade Automated Interface Requirements

Change Record – Historic

2008-2014



U.S. Customs and
Border Protection



This section of the ACE ABI CATAIR summarizes the changes made to various chapters and other documents contained in the ACE ABI CATAIR. Users will find a Table of Changes in each of the below named documents which will contain the details of the changes made to that document.

The sequence number in the below table is to identify the order in which the revisions were made to various chapters of the ACE ABI CATAIR. Please see the Table of Changes in the various chapters for the latest revision number and date of revision for that document (this information is now also included in the Document(s) Affected column below). Please refer to prior year Change Records for previous changes to the ACE ABI CATAIR.

Sequence Number	Date of Change	Document(s) Affected	Brief Summary of Change(s)
Change Record 2014			
50	Dec. 22, 2014	Entry Summary Create/Update (Revision 37, December 22, 2014)	Updated to include additional ACE Cargo Release input records and clarify ACE Cargo Release Header and Line Level Entity reporting
49	Nov. 3, 2014	Entry Summary Create/Update (Revision 35, November 3, 2014)	Revised to include the Known Importer Indicator



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48	Oct. 23, 2014	ACE Cargo/Manifest/Entry Release Query (Revision 2, October 23, 2014) ACE Batch & Block Control (Revision 7, October 23, 2014)	Initial Release to Production Revised to include the application identifiers for the ACE Cargo/Manifest/Entry Release Query
47	Sept. 20, 2014	Entry Summary Create/Update (Revision 34, September 20, 2014) ACE ABI CATAIR Error Dictionary (Revision 6.16, September 20, 2014) Census Warning Query (Revision 3, September 20, 2014) Appendix G – ACE ABI Condition Codes and Narrative Text (Revision 13, September 20, 2014)	Updated to include information concerning the CBP team number in the outbound AX message. Corrected the explanation for condition 014. Clarified information concerning the length of time for which a query may be submitted. Corrected misspelling in condition X04 narrative text.



Sequence Number	Date of Change	Document(s) Affected	Brief Summary of Change(s)
46	Sept. 7, 2014	Entry Summary Query (Revision 10, September 7, 2014) ACE ABI CATAIR Error Dictionary (Revision 6.14, September 7, 2014) Census Warning Query (Revision 2, September 7, 2014)	Information added to describe functionality provided for NILS filers. Clarified the explanation of complex duty ESV conditions. Clarified information concerning the length of time for which a query may be submitted.
45	July 26, 2014	Entry Summary Create/Update (Revision 32, July 26, 2014) ACE ABI CATAIR Error Dictionary (Revision 6.12, July 26, 2014) Appendix G – ACE ABI Condition Codes and Narrative Text (Revision 11, July 26, 2014)	Revised data element description for Bond Detail (31-record) Revised to include new conditions for sold to and delivered to party validations Revised to include new conditions for sold to and delivered to party validations

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44	July 12, 2014	<p>Entry Summary Create/Update (Revision 31, July 12, 2014)</p> <p>ACE ABI CATAIR Error Dictionary (Revision 6.9, July 12, 2014)</p> <p>ACS to ACE ES Condition Cross Reference (Revision 8.0, July 12, 2014)</p> <p>Appendix G – ACE ABI Condition Codes and Narrative Text (Revision 10, July 12, 2014)</p>	<p>Revised to include information for ACE entry summary validations (informal restrictions, taxes, other fees, and charges restrictions).</p>
43	May 17, 2014	<p>Entry Summary Status Notification (Revision 9, May 15, 2014)</p>	<p>Updated to indicate that the import specialist team number is mandatory – to be provided in all UC messages.</p>
42	April 15, 2014	<p>Entry Summary Create/Update (Revision 30, April 15, 2014)</p> <p>ACE ABI CATAIR Error Dictionary (Revision 6.6, April 15, 2014)</p>	<p>Updated to include new license, permit, and certificate information.</p> <p>Updated to include reason code E01.</p>
41	April 2, 2014	<p>Periodic Monthly Statement – Request Reroute (Revision 2, April 2, 2014)</p> <p>ACE ABI CATAIR Error Dictionary (Revision 6.5, April 2, 2014)</p>	<p>Input QR-record added to output transaction (MQ).</p> <p>Revised to include conditions from the Periodic Monthly Statement – Request Reroute functionality.</p>



Sequence Number	Date of Change	Document(s) Affected	Brief Summary of Change(s)
40	March 10, 2014	Periodic Monthly Statement – Request Reroute (Revision 1, March 10, 2014) Periodic Monthly Statement (Revision 1, March 10, 2014) ABI Batch & Block Control (Revision 5, March 10, 2014)	All documents revised to include Periodic Monthly Statement functionality in ACE.
39	January 27, 2014	Partner Government Agencies (Revision 2, January 27, 2014) Entry Summary Create/Update (Revision 28, January 27, 2014) ACE ABI CATAIR Error Dictionary (Revision 6.3, January 27, 2014) Appendix G – ACE ABI Condition Codes and Narrative Text (Revision 9, January 27, 2014)	All documents revised to include PGA message set updates for the release to ACE production.



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38	January 6, 2014	<p>ACS to ACE ES Condition Cross Reference (Revision 5.0, January 6, 2014)</p> <p>ACE ABI CATAIR Error Dictionary (Revision 3.1, January 6, 2014)</p> <p>Appendix G – ACE ABI Condition Codes and Narrative Text (Revision 6, January 6, 2014)</p>	Revised to include information for ACE entry summary validations (HMF and classification).
Change Record 2013			
37	November 26, 2013	Entry Summary Create/Update (Revision 24, November 26, 2013)	Revised Usage Note (o) to clarify how the Harbor Maintenance Fee (HMF) should be calculated
36	October 5, 2013	<p>ACS to ACE ES Condition Cross Reference (Revision 5.0, October 5, 2013)</p> <p>ACE ABI CATAIR Error Dictionary (Revision 3.1, October 5, 2013)</p> <p>Appendix G – ACE ABI Condition Codes and Narrative Text (Revision 6, October 5, 2013)</p>	Revised to include information for the entry summary validations (HMF and classification)
35	August 5, 2013	Entry Summary Create/Update (Revision 23, August 5, 2013)	Revised Usage Note (o) for reporting Harbor Maintenance Fee (HMF)
34	July 28, 2013	Entry Summary Query (Revision 8, July 28, 2013)	Revised to include the new JD-record and remove ownership restrictions.

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33	July 10, 2013	<p>Entry Summary Create/Update (Revision 22, July 10, 2013)</p> <p>Entry Summary Status Notification (Revision 8, July 10, 2013)</p> <p>ACE ABI CATAIR Error Dictionary (Revision 2.10 , July 10, 2013)</p> <p>ACE ABI CATAIR Appendix Q – Record Layout Key (Revision 3.1, July 10, 2013)</p>	<p>Revised the record layout key, clarified the AD/CVD Quantity description, and corrected Usage Note (e) for entry type 03.</p> <p>Clarified ACE generation of the E2-Record</p> <p>Various condition code updates</p> <p>Removed the cents symbol from the list of valid characters</p>
32	March 19, 2013	<p>Entry Summary Create/Update (Revision 21, March 19, 2013)</p> <p>Entry Summary Query (Revision 8, March 19, 2013)</p>	<p>Added a note and text as to when appropriate to report and use the AD/CVD Quantity</p> <p>Revised identification of the NAFTA Reconciliation Filer Code and Entry Number to read Trade Agreement Reconciliation Filer Code and Entry Number</p>
31	March 5, 2013	<p>Entry Summary Create/Update (Revision 20, March 5, 2013)</p>	<p>Revised identification of the NAFTA Reconciliation Indicator to read Trade Agreement Reconciliation Indicator</p> <p>Added a note and text as to when appropriate to report and use the AD/CVD Value of Goods Amount</p>



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30	February 6, 2013	<p>Entry Summary Create/Update (Revision 19, February 6, 2013)</p> <p>ACE ABI CATAIR Error Dictionary (Revision 2.8, February 6, 2013)</p> <p>Appendix G – ACE ABI Condition Codes and Narrative Text (Revision 5, February 6, 2013)</p>	<p>Added information concerning the new USDA Sugar Certificate</p> <p>Various condition code updates</p> <p>Various condition code updates</p>
29	January 14, 2013	<p>Entry Summary Create/Update (Revision 18, January 14, 2013)</p> <p>ACE ABI CATAIR Error Dictionary (Revision 2.5, January 14, 2013)</p> <p>Appendix G – ACE ABI Condition Codes and Narrative Text (Revision 4, January 14, 2013)</p>	<p>Added text concerning filing entry summaries with Lacey Act data in ACS</p> <p>Added new condition code B63 and made various other updates</p> <p>Added new condition code B63</p>
Change Record 2012			
28	December 2, 2012	Entry Summary Status Notification (Revision 7, December 2, 2012)	Added Disposition Type Code ‘9’ (Liquidation Date Change).
27	November 1, 2012	Entry Summary Create/Update (Revision 17, November 1, 2012)	<p>Added 700 series Category Code (for textiles) to 40-record description</p> <p>Added Panama Trade Promotion Agreement to AE Table 8</p>
26	October 10, 2012	Entry Summary Create/Update (Revision 16, October 10, 2012)	Updated usage notes text to allow for entry type 03 to be filed via RLF and removed “First Sale” filing requirements



Sequence Number	Date of Change	Document(s) Affected	Brief Summary of Change(s)
25	June 28, 2012	AD/CVD Case Information Query (Revision 6, June 28, 2012) ACE ABI Error Dictionary (Revision 2.2, June 28, 2012)	Added Note 2 to Failed Query Condition (Output RX-Record) Revised wording of explanation for error 137
24	June 5, 2012	Entry Summary Create/Update (Revision 15, June 5, 2012) ACS EI to ACE AE Record Layout Cross Reference (Revision 3.4, June 5, 2012) Table of Contents ACE Broker Download (Revision 2, June 5, 2012) In-Bond (Revision 2, June 5, 2012) Appendix T – In-Bond Common Errors	Added Columbia Trade Promotion Agreement to AE Table 8 Corrected ACS 34-record data element sequence information Added Table of Content Entries for ACE Broker Download, In-Bond, and Appendix S. Production Deployment – no changes made concerning filing specifications or requirements Production Deployment – no changes made concerning filing specifications or requirements Production Deployment – no changes made concerning filing specifications or requirements
23	April 23, 2012	ABI Batch & Block Control (Revision 4, April 23, 2012)	Documented the differences between the ACE ABI e-Manifest: Rail and Sea (eMAN) and the ACE ABI Entry Summary, Accounts, and Revenue (ESAR) Control A-, Y-, and Z-Records.

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22	March 20, 2012	<p>Entry Summary Create/Update (Revision 14, March 20, 2012)</p> <p>Entry Summary Query (Revision 7, March 20, 2012)</p> <p>ACE ABI Error Dictionary (Revision 2.1, March 20, 2012)</p> <p>ACS EI to ACE AE Entry Summary Feature Comparison (Revision 2.1, March 20, 2012)</p>	<p>Corrected text in ES Usage Note (h) and added Korea FTA Code to AE Table 8</p> <p>Added text to JZ-Record Note 2 to describe query output limit</p> <p>Various updates made to condition explanations</p> <p>Various updates made to feature comparisons</p>
21	January 3, 2012	Entry Summary Create/Update (Revision 13, January 3, 2012)	Corrected the HMF <i>de minimus</i> value in Entry Summary Filing - Usage Note (o)
Change Record 2011			
20	Dec. 2, 2011	Cargo Release (Certified from an ACE Entry Summary) (Revision 2, December 2, 2011)	Corrected the description of a data element in the H2-output record
19	Nov. 18, 2011	Importer/Consignee Create/Update (Revision 3, November 18, 2011)	Clarification made concerning postal codes of foreign countries.
18	Nov. 8, 2011	Entry Summary Create/Update (Revision 12, November 8, 2011)	Revised the Merchandise Processing Fee tables for the new rate effective October 1, 2011.
17	June 15, 2011	<p>AD/CVD Case Information Query (Revision 5, June 15, 2011)</p> <p>ACE ABI Error Dictionary (Revision 1.3, June 15, 2011)</p>	<p>Revised field designations for 2 data elements in the RC- and RD-Records.</p> <p>Clarified narrative for condition code 222</p>



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16	June 4, 2011	Entry Summary Create/Update (Revision 11, June 4, 2011) Entry Summary Query (Revision 6, June 4, 2011) Entry Summary Status Notification (Revision 6, June 4, 2011) ACS to ACE Entry Summary Condition Cross Reference (Revision 4.1, June 4, 2011) Appendix G - ACE ABI Condition Codes and Narrative Text (Revision 3, June 4, 2011) ACE ABI Error Dictionary (Revision 1.2, June 4, 2011)	Production deployment – no changes made to the chapters and documents as previously posted for the Post Summary Correction and additional enhancements deployment
15	March 16, 2011	Importer/Consignee Create/Update (Revision 2, March 16, 2011)	Corrected output record descriptions
14	January 18, 2011	ABI Batch and Block Control (Revision 3, January 18, 2011) ACS to ACE Transition Topics (Revision 2, January 18, 2011)	Corrected list of application identifier codes for inbound and outbound A-Records Added information concerning entry type 03 and the AD/CVD Case Information Query
Change Record 2010			
13	October 31, 2010	Entry Summary Status Notification (Revision 5, October 31, 2010) Entry Summary Query (Revision 5, October 31, 2010)	Production deployment – no changes made to the chapters as previously posted for the A2.3.2.a deployment
12	Sept. 15, 2010	Entry Summary Create/Update (Revision 10, September 15, 2010)	Deleted reporting specifications for unknown MIDs.



Sequence Number	Date of Change	Document(s) Affected	Brief Summary of Change(s)
11	August 30, 2010	Entry Summary Create/Update (Revision 9, July 29, 2010) Entry Summary Query (Revision 4, July 29, 2010)	Replaced two chapters to incorporate the revised party definitions – primarily the Delivered To Party at the entry summary line level.
10	May 3, 2010	Entry Summary Create/Update (Revision 8, April 29, 2010) Introduction and Getting Started (Revision 2, April 30, 2010) Importer/ Bond Query (Revision 2, May 3, 2010)	Revised to incorporate filing instructions for manufacturer identification numbers when the actual manufacturer is unknown. Added the revised policy for existing ACS ABI entry summary filers to become eligible for ACE entry summary filing. Added new bond activity codes to output K1-record.
9	Feb. 14, 2010	Table of Contents AD/CVD Case Information Query (Revision 4, February 14, 2010) AD/CVD Case Information Query Examples (Revision 3, February 14, 2010) Entry Summary Create/Update (Revision 7, February 14, 2010) Entry Summary AE Record Examples (Revision 3, February 14, 2010) Entry Summary Query (Revision 3, February 14, 2010)	Production deployment – no changes made to the chapters as previously posted for the A2.3.1.a deployment



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		<p>ACS to ACE Entry Summary Condition Cross Reference Examples (Revision 3.1, February 14, 2010)</p> <p>ACS EI to ACE AE Record Layout Cross Reference Examples (Revision 3.3, February 14, 2010)</p> <p>Appendix G - ACE ABI Condition Codes and Narrative Text Examples (Revision 2, February 14, 2010)</p>	
Change Record 2009			
8	April 29, 2009	Entry Summary Status Notification	Revision to the E2-Record clarifying content when an automated request
7	February 26, 2009	<p>Entry Summary Create/Update</p> <p>ABI Batch & Block Control</p>	<p>Under Entry Summary Response – Usage Notes added new section d) How to Respond to an ACE System Failure</p> <p>Inserted designation for output A-Record Application Identifier Code</p>
6	February 4, 2009	<p>Entry Summary Create/Update</p> <p>Entry Summary Status Notification</p> <p>Appendix G - ACE ABI Condition Codes and Narrative Text</p> <p>Table of Contents</p>	<p>Various filing clarifications; the 40-Record and AE Table 11.</p> <p>Revision to the E2-Record concerning Telephone Number.</p> <p>Initial release of this reference tool.</p> <p>Added Appendix G</p>

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Change Record 2008			
5	November 20, 2008	Table of Contents ABI Batch & Block Control	Added ABI Batch & Block Control ACE ABI CATAIR chapter. Initial release of this chapter.
4	November 5, 2008	Table of Contents Entry Summary Create/Update Entry Summary Create/Update Reference Material Entry Summary Status Notification	Revisions to Entry Summary Create/Update page numbers. Added list of additional Entry Summary Create/Update reference material. Revisions to incorporate new reporting requirements based on recent legislation. Introduce “Electronic Signature”. Added entry summary examples for new/revised ACE entry summary reporting requirements. Revised the ACS to ACE Record Layout Cross Reference. Revised the ACS to ACE Entry Summary Condition Cross Reference. Introduce Disposition Type Code 7 – Document Receipt Acknowledgement. Revisions to Record E1 explanatory Note 1 for the option to upload scanned documents for Disposition Type Codes 2 and 3.



Sequence Number	Date of Change	Document(s) Affected	Brief Summary of Change(s)
3	May 30, 2008	Appendix H – Census Warning Messages and Override Codes Cargo Release (Certified from an ACE Entry Summary) Reference Material to Assist in the Development of the ACE Entry Summary Software	New table of contents added. Initial release of this chapter. Initial release of this material.
2	May 19, 2008	Census Warning Override Census Warning Query Entry Summary Create/Update	New table of contents added. New table of contents added. Various wording and text revisions, additional filing clarifications, and revisions to the 47-record to support reporting of the Ultimate Consignee at the Entry Summary line level.
1	May 7, 2008	ACS to ACE Transition Topics Entry Summary Status Notification Importer/Bond Query Importer/Consignee Create/Update	New table of contents added. References to the Cargo Release ACE CATAIR chapter are changed due to the change in the CATAIR chapter title. New table of contents added. Revisions to Record E1 (Disposition Type Code) and associated explanatory notes. New table of contents added. New table of contents added.