



Summary of ACE/ITDS Pilot Standard Operating Procedures (SOP): National Marine Fisheries Service (NMFS)

Objective: NMFS is developing a message set and processes to support the electronic submission of data for NMFS’s needs. In order to test the developed message set and processes, CBP and NMFS will engage, together with the trade community, in a pilot to test the new system as it is used by both CBP and NMFS operations in real time with actual ACE filings in the production environment. Eventually, additional messages will be folded into the piloting process as those messages are delivered and tested by NMFS.

Scope: Transition from Paper Forms to Document Imaging System (DIS) and PGA Message Set

Form	Form Description	Collected Using the PGA Message Set	Using DIS	CFR Citation for applicable regulations
370 Program - all tuna or tuna products <u>except</u> fresh tuna				
Fisheries Certificate of Origin (NOAA Form 370)	certificate that must accompany the import of tuna product except fresh tuna. Information on the location and circumstances of the harvest of the tuna contained in the shipment.	Yes	Yes	50 CFR 216.24 (f)(2) and 50 CFR 216.93 (d)(2)
Captain’s Statement	supplemental certifications (attachments) attesting to the circumstances pertaining to the harvest per section 5 of the 370 form	No	Yes	50 CFR 216.24 (f)(2) and 50 CFR 216.93 (d)(2)
Observer’s Statement	supplemental certifications (attachments) attesting to the circumstances pertaining to the harvest per section 5 of the 370 form	No	Yes	50 CFR 216.24 (f)(2) and 50 CFR 216.93 (d)(2)
International Dolphin Conservation Program (IDCP) Member Nation Certification	supplemental certifications (attachments) attesting to the circumstances pertaining to the harvest per section 5 of the 370 form	No	Yes	50 CFR Part 216.24(f)
HMS Program -all; Atlantic bluefin tuna, Pacific bluefin tuna, Southern bluefin tuna, Swordfish, FrozenBigeye tuna and Shark fin				
Highly Migratory Species	NMFS Issued Trade Permit required for all species covered via the NMFS HMS Program	Yes	No	Atlantic Tunas Convention Act of 1975, 16 U.S.C. 971 et seq.; Tuna Conventions Act of 1950, 16

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International Trade Permit				U.S.C. 951 et seq.; 50 CFR 300.182(a)
CCSBT Catch Monitoring (Southern Bluefin Tuna)	Must accompany the import of all products. Utilized post release to confirm lawful harvest of product and to fulfill post import reporting requirements by the US to assure compliance with US international agreements.	Yes	Yes	50 CFR 300.184(b); 50 CFR
CCSBT Re-export/Export After Landing of Domestic Product (Southern Bluefin Tuna)	Must accompany shipment of designated product at time of import if re-exported previously from another country or if being exported from the US. Utilized post release to confirm lawful harvest of product and to fulfill post import reporting requirements by the US.	Yes	Yes	50 CFR 300.184(b); 50 CFR
IATTC Bigeye Tuna Statistical Document	Must accompany the import of all designated product. Utilized post release to confirm lawful harvest of product and to fulfill post import reporting requirements by the US to assure compliance with US international agreements.	Yes	Yes	Atlantic Tunas Convention Act of 1975, 16 U.S.C. 971 et seq.; 50 CFR 300.184(a); 50 CFR 300.185(c)
IATTC Bigeye Tuna Re-export Certificate	Must accompany shipment of designated product at time of import if re-exported previously from another country or if being exported from the US. Utilized post release to confirm lawful harvest of product and to fulfill post import reporting requirements by the US.	Yes	Yes	Atlantic Tunas Convention Act of 1975, 16 U.S.C. 971 et seq.; 50 CFR 300.184(a); 50 CFR 300.185(c)
ICCAT Bigeye Tuna Statistical Document	Must accompany the import of all designated product. Utilized post release to confirm lawful harvest of product and to fulfill post import reporting requirements by the US to assure compliance with US international agreements.	Yes	Yes	Atlantic Tunas Convention Act of 1975, 16 U.S.C. 971 et seq.; 50 CFR 300.184(c); 50 CFR 300.185(a)(2)
ICCAT Bigeye Tuna Re-export Certificate	Must accompany shipment of designated product at time of import if re-exported previously from another country or if being exported from the US. Utilized post release to confirm lawful harvest of product and to fulfill post import reporting requirements by the US.	Yes	Yes	Atlantic Tunas Convention Act of 1975, 16 U.S.C. 971 et seq.; 50 CFR 300.184(a); 50 CFR 300.185(c)

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ICCAT Bluefin Tuna Catch Document	Must accompany the import of all designated product. Utilized post release to confirm lawful harvest of product and to fulfill post import reporting requirements by the US to assure compliance with US international agreements.	Yes	Yes	Atlantic Tunas Convention Act of 1975, 16 U.S.C. 971 et seq.; 50 CFR 300.184(a); 50 CFR 300.185(c)
ICCAT Bluefin Tuna Re-export Certificate	Must accompany shipment of designated product at time of import if re-exported previously from another country or if being exported from the US. Utilized post release to confirm lawful harvest of product and to fulfill post import reporting requirements by the US.	Yes	Yes	Atlantic Tunas Convention Act of 1975, 16 U.S.C. 971 et seq.; 50 CFR 300.184(a); 50 CFR 300.185(c)
ICCAT Swordfish Statistical Document	Must accompany the import of all designated product. Utilized post release to confirm lawful harvest of product and to fulfill post import reporting requirements by the US to assure compliance with US international agreements.	Yes	Yes	Atlantic Tunas Convention Act of 1975, 16 U.S.C. 971 et seq.; 50 CFR 300.184(a); 50 CFR 300.185(c)
ICCAT Swordfish Re-export Certificate	Must accompany shipment of designated product at time of import if re-exported previously from another country or if being exported from the US. Utilized post release to confirm lawful harvest of product and to fulfill post import reporting requirements by the US	Yes	Yes	Atlantic Tunas Convention Act of 1975, 16 U.S.C. 971 et seq.; 50 CFR 300.184(a); 50 CFR 300.185(c)
IOTC Bigeye Tuna Statistical Document	Must accompany the import of all designated product. Utilized post release to confirm lawful harvest of product and to fulfill post import reporting requirements by the US to assure compliance with US international agreements.	Yes	Yes	50 CFR 300.184(c); 50 CFR 300.185(a)(2)
IOTC Bigeye Tuna Re-export Certificate	Must accompany shipment of designated product at time of import if re-exported previously from another country or if being exported from the US. Utilized post release to confirm lawful harvest of product and to fulfill post import reporting requirements by the US			50 CFR 300.184(c); 50 CFR 300.185(a)(2)
AMR Program – Antarctic Marine Living Resources - Patagonian and Antarctic toothfish				
Antarctic Marine Living Resources (AMLR) Dealer Permit	NMFS Issued Trade Permit required for all species covered via the NMFS AMR Program (Primarily Toothfish & Antarctic Krill)	Yes	No	Antarctic Marine Living Resources Convention Act of 1984, 16 U.S.C. 2431 et seq. ; 50 CFR 300.107(c)(6) and (7)
Toothfish Import Approval Form	Single use authorization issued by NMFS to an importer prior to and for a single specific import/entry and for a specific weight of frozen toothfish. This authorization is require or all	Yes	No	Antarctic Marine Living Resources Convention Act of

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	frozen toothfish. A specific/unique number is assigned to the authorization.			1984, 16 U.S.C. 2431 et seq .; 50 CFR 300.107(c)(6) and (7)
Dissostichus Catch Document(DCD)	Must accompany/be listed on the reporting form for fresh toothfish. Accompanies imports of fresh toothfish.	Yes	Yes	Antarctic Marine Living Resources Convention Act of 1984, 16 U.S.C. 2431 et seq .; 50 CFR 300.107(c)(6) and (7)
Reporting form for Catch Documents Accompanying Fresh, Air-Shipped shipments of toothfish	Form accompanying fresh toothfish imports which lists all catch documents associated with the specific shipment	Yes	Yes	Antarctic Marine Living Resources Convention Act of 1984, 16 U.S.C. 2431 et seq .; 50 CFR 300.107(c)(6) and (7)
Dissostichus Re-Export Document	For toothfish product that has either been re-exported to the US from a country other than the harvesting country or for any re-exports from the United States	Yes	Yes	Antarctic Marine Living Resources Convention Act of 1984, 16 U.S.C. 2431 et seq .; 50 CFR 300.107(c)(6) and (7)

Ports (Phases 1, 2, 3, & 4): NMFS’s deployment of additional ports and filers in phases 2, 3 and 4 will depend on the results of phase 1, the readiness of those firms who have replied to the NMFS and the preparedness of to conduct electronic filings through ACE.

For Technical Specifications click on the following link:

<http://www.cbp.gov/trade/automated/systems>



U.S. Customs and Border Protection

