

CBP and Trade Automated Interface Requirements

Environmental Protection Agency (EPA)
Supplemental Guidelines
Version 7

November 2015



U.S. Customs and
Border Protection





EPA

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Change Log

Date	Version No.	Description	Page Number	Author
11/17/2015	7	Update Contact list in beginning of CATAIR	6	
		Removed V&E import code limitations.	7	
		Clarify that certain import codes require additional PG records	16, 39	
		LPCO transaction Type for V&E changed from Mandatory to Optional	22, 47	
		Correct Entity name from 'N/A' to 'C' in PG19 for V&E	24, 49	
		Clarify Storage Location and Storage Contact for V&E	26, 49, 51, 52	
		Clarify language around NAIC Bond Number for V&E	31,47, 49	
		Clarify format for EPN in Pesticides filings	59, 69, 84, 92, 106, 118	
		Clarify language for PG21	140, 148	
		Clarify that 2 PG26s are required	78, 101, 126	
		Clarify UOM (packaging level) codes for the PG26 for pesticides	79, 80, 102-104, 127-129	
		Removed TSCA blanket certification Code – TS2, as it will no longer be used	134, 142	
		Change Electronic Image Indicator (PG01) to Conditional for ODS and explain conditions	142, 143	
		Included TSCA negative cert PG line in Pesticides Samples	60, 107	
5/13/2015	6	Updated Length/Class for Telephone Number field in PG21 record, from N to X.	Previous version	
		Added reference to Note 1 on PG20 Record for reporting Certifying Individual in the proper location of the PGA Message Set.	Previous version	
		Updated description of PG22 records to include record's ability to be repeated for multiple document identifiers or conformance declarations.	Previous version	
		Added reference to Note 2 for disclaimer codes in PG01 record.	Previous version	
		Reversed order of Change Log so the most recent revisions appear at the top of the table.	Previous version	



Date	Version No.	Description	Page Number	Author
		Replaced the TSCA example image to correct distortion.	Previous version	
		Added Government Agency Program Code table to Note 1 for PG01 records in Pesticides filings. Previous notes shifted to incorporate order.	Previous version	
		Added Note 2 to TSCA Sample explaining when a Non-Objection Notice would be required to be filed via DIS for used ODS.	Previous version	
		Removed Disclaimer Section	Previous version	
2/13/2015	5	Clarified PG02 record description for V&E to include a description on how to use the PG02 record when reporting at the product/ component level, and what records and data are typically included.	Previous version	
		For V&E, provided Item Type code descriptions for PG02 record.	Previous version	
		Clarified when reporting Test Group Name/Number for V&E in a PG14 record, the Exhaust Engine Family (EFN) and not Evaporative Engine family name is the required LPCO No.	Previous version	
		Identified the correct placement for records pertaining to the Certifying Individual For V&E Message Sets. When Reporting the CI using PG19, 20, 21 set, The placement should be after/under the PG22 record. If reporting CI using a PG55 record then it will follow the parent PG21 record.	Previous version	
		Provided additional guidance on when reporting is required at the component level for commodities imported under V&E Form 3520-21.	Previous version	
		Included the 'Notify Party' data element in the "Pesticides Data Map from Existing EPA Form 3540-1".	Previous version	
		For Pesticides, corrected the header "Location of goods after examination" to "Location of Goods for Examination after importation".	Previous version	
		Clarified that, when a product is comprised of a singular chemical component, all data should be reported at the Product level; but if a product is comprised of multiple chemical components then the components (i.e. Active Ingredients) should be reported at the component level.	Previous version	



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Date	Version No.	Description	Page Number	Author
		For Pesticides, removed LPCO Transaction Type code from the PG14 record.	Previous version	
		Updated Program office Contact Information	Previous version	
		Added TSCA section, and included ODS under TSCA as appropriate.	Previous version	
7/31/2014	4	Updated EPA supplemental documentation location links to CBP.gov	Previous version	EPA
		Added Contact information for Pesticides Program	Previous version	
		Added Section for "Disclaimer for HTS codes marked for EPA"	Previous version	
		Added Descriptive text to the applicable codes for the V&E pilot	Previous version	
		Added Section for "Pesticide"	Previous version	
		Added Appendix A – Vehicles and Engines Reporting Requirements by Import Code	Previous version	
		Updated V&E Data mapping Exhibits to better outline which data elements are captured as Entry data	Previous version	
		Updated Record Descriptions for record identifiers to outline EPA reporting requirements	Previous version	
		Updated Status Column and field Descriptions for ODS and V&E record identifiers; to EPA filing requirements	Previous version	
		Removed duplicated Record Identifier descriptions to shorten overall length of document	Previous version	



General Introduction

This document is intended as a supplemental guide to the CBP Customs and Trade Automated Interface Requirements (CATAIR), PGA Message Set chapter (also referred to as an implementation guide). The PGA CATAIR chapter outlines the overall data relationships and rules for data submission on a CBP system level.

The PGA Message Set chapter/implementation guide and its related Appendix PGA can be found on CBP.gov at:

<http://www.cbp.gov/trade/ace/catair#field-content-tab-group-tab-4>

The CATAIR chapters and appendices can be found on CBP.gov at:

<http://www.cbp.gov/trade/ace/catair>

Other related information for the CBP Document Image System may be found at:

<http://www.cbp.gov/document/forms/dis-xml-schema>

This PGA supplemental guide provides PGA-specific guidance regarding PGA's business requirement for data submission.

REMINDERS: The PGA Message Set does not stand-alone and must be submitted in conjunction with one of the following:

- a) ACE Entry (Cargo Release)
- b) ACE Entry Summary Certified for ACE Release (Cargo Release)

There are times when EPA and CBP reporting may require the same data. In those instances, EPA will not ask for the data to be provided again in the PGA Message Set, if it is already collected by CBP. That is, the PGA Message Set data requirements for EPA will not duplicate those common data elements. Instead, the PGA Message Set is used to provide the additional data elements required for EPA reporting. In some instances, however, EPA's reporting requirements may require more specificity than CBP filing requirements. In those cases, EPA standardized format, provided for by the CATAIR, must be used regardless of how the data was captured by CBP.

EPA Overview

A variety of laws and regulations govern import and export requirements of commodities, including vehicles and engines, fuels, ozone depleting substance, hazardous wastes, pesticides, and chemical substances, which may pose a risk to human health and the environment. The Environmental Protection Agency (EPA) works with the states, tribes, other federal agencies, and foreign governments to ensure compliance with laws governing the import and export of these materials. The EPA Programs which regulate these imports and exports are:

- Office of Transportation and Air Quality - Vehicles and Engines and Fuels
- Office of Air and Radiation - Ozone Depleting Substances and Hazardous Waste



- Office of Pesticide Programs – Pesticides and Devices
- Office of Pollution Protection and Toxics - Toxic and Chemical Substances under the Toxic Substances Control Act (TSCA)

Legal requirements and additional information on import and exports regulated by EPA may be found at: <http://www.epa.gov/compliance/international/importexport.html>

Contact information by Programs:

For questions about Vehicles and Engines, please contact:

EPA Imports Hotline, Compliance Division, Office of Transportation and Air Quality, U.S. EPA (734) 214-4100 or send an email to imports@epa.gov.

For questions about Pesticides, please contact:

Scott Drewes, Information Technology and Resources Management Division, Office of Pesticide Programs, U.S. EPA (703) 347-0107 or drewes.scott@epa.gov; or

Yvette Hellyer, Waste and Chemical Enforcement Division, Office of Civil Enforcement, U.S. EPA (202)564-4033 or helleyr.yvette@epa.gov.

For questions about TSCA, please contact:

Toxic Substances Control Act Hotline is available to answer general questions about TSCA import and export requirements. The TSCA Hotline operates Monday through Friday, from 8:30 a.m. to 5:00 p.m. Eastern time. Call (202) 554-1404 or tsc-hotline@epa.gov.

For questions about ODS, please contact:

David Donaldson, Stratospheric Protection Division, Office of Atmospheric Programs, U.S. EPA (202) 343-9086 or donaldson.david@epa.gov; or

Thomas Land, Stratospheric Protection Division, Office of Atmospheric Programs, U.S. EPA (202) 343-9185 or thomas.land@epa.gov.

Because of the flexibility of the PGA Message Set, the PGA Records and Data Elements that are required may vary both from program to program and within a single program. For a more expansive set of examples of EPA PGA Message Sets, please refer to the document *EPA Message Set Samples for Pilot Programs*.

Vehicles and Engines (V&E)

The Clean Air Act prohibits importation into the U.S. of any motor vehicle, motor vehicle engine, non-road engine, or equipment that does not conform to EPA emission standards and requirements. U.S. EPA emission standards have been in effect for light-duty motor vehicles since 1968, motorcycles since 1978, heavy-duty on-highway engines since 1970, non-road engines since 1996, marine engines since 1997, and recreational vehicles (dirt bikes, ATVs, ORUVs, and snowmobiles) since 2006. These standards apply to new or used vehicles and engines, including imported motor vehicles, heavy-duty engines, non-road engines, and recreational vehicles manufactured either domestically or abroad.

Vehicle and Engine imports are separated into two regulatory categories based on EPA Forms 3520-1 and 3520-21. Form 3520-1 is used for importing light-duty, on-road vehicles and engines such as passenger vehicles, highway motorcycles, and corresponding engines. Form 3520-21 is for heavy-duty highway engines and non-road engines. Both forms have a corresponding PGA Message Set, demonstrated by the following V&E Samples.

Note:

EPA Import Declarations Form 3520-21 covers the importation of non-road, stationary, or heavy-duty highway engines, including engines installed in vehicles or equipment. Form 3520-21 also applies for importation of recreational vehicles, and engines intended for installation in recreational vehicles. Recreational vehicles are generally certified as a complete vehicle. However, EPA regulations allow for recreational vehicle manufacturers meeting certain conditions to certify and import engines that are sold separately (e.g. loose engines). A valid certificate of conformity is required for either of these cases, so the importer/broker will have to supply a valid Engine Family Name before entry is allowed. It is also possible for manufacturers of recreational vehicles to import loose engines that are covered by the certificate for the vehicle rather than a separate certificate for the engine. Form 3520-21 (box 16) accommodates this arrangement with a provision for partially complete engines.

The following are the potential PGA records associated with submitting V&E data regulated by forms 3520-1 and 3520-21.

PG Record	Description
OI	The commercial description of the shipment is provided.
PG01	The PGA line number, Government Agency Code, and Government Agency Program Code are provided. Conditionally, the Disclaimer Code is provided.
PG02	Product Identifier.
PG24	Import & Industry Codes and Remarks.
PG07	Model, Manufacture Date, and Item Identity Numbers.
PG08	Report additional item identity numbers.



PG10	Characteristics of the Product or Component.
PG14	Licenses, Permits, Certificates, or Exemptions issued by EPA.
PG19	Entity Role, Entity Identification, Entity Name, and Entity Address 1.
PG20	Entity Address line 2, Apartment/Suite, City, State, and Zip/Postal Code.
PG21	Individual Name, Telephone Number, Fax Number, and Email address.
PG55	Identifies Entity from the previous PG19, PG20, and PG21 group as having additional roles.
PG22	Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.



V&E Form 3520-1

A shipment containing a Lamborghini Aventador is imported into the United States by a Non-Resident for personal use over a period less than a year. Because this vehicle is intended for on-road use, the Importer files the Entry under EPA-V&E Form 3520-1.

EPA-V&E Data Map from Existing V&E Form 3520-1:

Form Approved OMB 2060-0095

United States Environmental Protection Agency Declaration Form Importation of Motor Vehicles and Motor Vehicle Engines Subject to Federal Air Pollution Regulations <small>U.S. E.P.A., Compliance Division, 2000 Traverwood Drive, Ann Arbor, MI 48105 www.epa.gov/otaq/imports Phone (734) 214-4100 Fax (734) 214-4676</small>			
<p>This form must be submitted to the U.S. Customs and Border Protection (Customs) (42 USC 7522, 7601; 19 CFR 12.73) for each motor vehicle (including motorcycles, disassembled vehicles, kit cars, light-duty vehicle/motorcycle engines) imported into the U.S., except that this form is not required for motor vehicles that are imported by their original manufacturer and are new and are covered by an EPA certificate of conformity and bear an EPA emission control label. One form per shipment may be used, with attachments including all information required to fully describe each vehicle or engine as below. Check the box below indicating the provisions under which you are importing this vehicle or engine. Offroad vehicles/engines and heavy-duty engines must use form 3520-21. Note: Although only imports using codes G, I, K, L, M, 3, and O require specific written authorization from EPA, Customs may request EPA review of importer documentation and eligibility for any import using this form. A nonconforming vehicle that is ineligible for the exemptions or exclusions listed below, must be imported through an independent commercial importer (ICI) under codes A, C, J, or Z. For codes A, C, J, and Z, EPA does not authorize the release to the vehicle owner.</p> <p>Penalties: Any person who knowingly makes any false or fraudulent statement, or omits or conceals a material fact can be fined up to \$250,000 or imprisoned for up to 5 years, or both (18 USC 1001). Any person who improperly imports a motor vehicle (including a motorcycle) or engine may be fined up to \$32,500 per vehicle or engine (42 USC 7524), and may be subject to forfeiture of the entire importation bond, if applicable (40 CFR 85.1513), and the U.S. Customs Service may seize the vehicle or engine (19 CFR 162.21).</p>			
Desc Entry Data ration of Motor Vehicle or Motor Vehicle Engine (Note: Heavy-duty Engines must use form 3520-21)			
1. Port code: 2147	2. Entry date: (mm/dd/yyyy) 04/21/2014	3. Customs entry number: DFG-4567890-1	4. Vehicle Identification Number (VIN), or engine serial number: ZHWUU16M4CLAU PG07
5. Manufacture date (mm/yyyy): 05/2013 PG07	6. Manufacture (make): Lamborghini PG19	7. Model: LP700-4 PG07	
8. ICI imports only, codes A, C, J, Z:		9. EPA Exemption Number, required for codes L, G, I, K, O: 2013-JUNE-LD-NORES-450 PG14	
PG22 Names, Addresses, and Telephone Numbers of Relevant Parties			
<p>Certification: I certify that I have read and understand the purpose of this form, the penalties for falsely declaring information, or for providing misleading information, or for concealing a material fact. The information I have provided is correct, and all required attachments are appended to this form. I authorize EPA Enforcement Officers to conduct inspections or testing permitted by the Clean Air Act. I am the owner, importer, or agent for the owner or importer.</p>			
10. Importer (code B: must be certificate holder or their agent for shipments of new vehicles prior to introduction into commerce; codes A, C, J, Z: must be ICI): Angelo Giovanni 1234 Mapleleaf Avenue San Diego, CA 92169 US PG19 / PG20 / PG21	11. Owner: Angelo Giovanni 1234 Mapleleaf Avenue San Diego, CA 92169 US PG55	12. Storage contact: Angelo Giovanni (555) 123-0123 ANGELO.GIOVANNI@TEST.COM PG55	13. Signature: PG22
		14. Date: 04/21/2014 PG22	
		15. Name, company and phone (type or print): Angelo Giovanni (555) 123-0123 PG21	
U.S. conforming and "identical" vehicles			
<input type="checkbox"/> code B - U.S. certified - unmodified vehicle bearing a U.S. EPA emission control label in engine compartment (or on motorcycle frame) in English.			
<input type="checkbox"/> code F - U.S. certified, catalyst restoration - U.S. certified vehicle as described above, except that the catalyst, oxygen sensors or fuel filler neck restrictor were removed or damaged. The importer attests that the catalyst and oxygen sensors and fuel filler neck restrictor, as applicable, will be re-installed or replaced after importation. If leaded gasoline was used, the importer attests that after importation (1) the fuel tank will be drained and refilled with unleaded gasoline, (2) the catalyst and oxygen sensors, if they were left on the vehicle during use of the leaded gasoline, will be replaced, and (3) the fuel filler neck restrictor will be checked and replaced as necessary. No bond or EPA approval is required.			
<input type="checkbox"/> code EE - identical in all material respects to a U.S. certified version - either 1) Canadian vehicle (proof required e.g. Canadian emission control label, registration or title, or letter from the U.S. or Canadian manufacturer representative on letterhead verifying manufacture for sale in Canada) on EPA list of Canadian "identical" models, or 2) vehicle from any country with letter attached to this form from the manufacturer's U.S. representative on letterhead (not a dealer or mechanic) stating that the vehicle is identical to a U.S. EPA certified version with respect to emissions. The importer attests that vehicle is being imported for purposes other than resale or lease. For import of "identical" Canadian vehicles for resale, use code FF.			
<input type="checkbox"/> code FF - Canadian "identical" models imported for resale or lease - Canadian vehicle as described above appearing on EPA list of Canadian "identical" models, imported for resale or lease. The importer attests that the importer will satisfy applicable labeling, warranty and CAFÉ requirements as specified by EPA.			
EPA exempted vehicles			
<input type="checkbox"/> code M - miscellaneous exemption, either 1) Canadian vehicle as described above (proof required) and the importer is either permanently emigrating to the U.S. or will reside in the U.S. for greater than one year under a worker or student visa, or 2) Canadian vehicle received by U.S. resident through inheritance, or 3) EPA hardship letter based on unforeseen and extraordinary circumstances is attached to this form.			
<input type="checkbox"/> code E - vehicle at least 21 years old (calendar year of manufacture subtracted from year of importation) and in original unmodified configuration is either exempted or excluded from EPA emission requirements, depending on age. Vehicles at least 21 years old with replacement engines are not eligible for this exemption unless they contain equivalent or newer EPA certified engines. Customs may require proof of vehicle age.			

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Port Code, Entry Date, and Entry number: These three data elements are captured by CBP Entry Data and will not be reported in the PGA Message Set.

Vehicle Identification Number (VIN): VINs are captured on the PG07 line in spaces 64 to 76 and identified by the code "AKG" in spaces 61 to 63.

Manufacture Date: Date the vehicle was manufactured is located on the PG07 line in spaces 55 to 60.

Manufacture (Make): The name of the Manufacturer is found on the PG19 line in spaces 26 to 57 identified by the code "MF" in spaces 5 to 7.



 United States Environmental Protection Agency	
Excluded vehicles	
<input type="checkbox"/>	code L - racing vehicle as determined by EPA and may not be registered or licensed for use on or operated on public roads or highways (40 CFR 85.1511(e)). EPA letter of approval must be attached to this form.
<input type="checkbox"/>	code U - 2005 model year (or older) motorcycle, scooter or moped with engine displacement less than 50cc and with rated speed greater than 5000 rpm.
<input type="checkbox"/>	code W - non-chassis-mounted engine to be used in a light-duty vehicle or light-duty truck or motorcycle which is currently covered by an EPA certificate or will be covered by an EPA certificate prior to introduction into commerce.
<input type="checkbox"/>	code Y - unregulated fuel - a vehicle that: (1) for model years earlier than 1991 operates on fuel other than gasoline or diesel fuel, or (2) for 1991- 1996 model years operates on fuel other than gasoline or diesel or methanol fuel, or (3) for 1997 and later model years operates on fuel other than gasoline or diesel or methanol or ethanol or compressed natural gas (CNG) or liquid petroleum gas (LPG), including propane. This exemption does not apply to 2004 and later model year vehicles.
Temporary imports	
<input type="checkbox"/>	code G - imported for repair or alteration in accordance with 40 CFR 85.1511(b)(1). May not be registered or licensed for use on, or operated on public roads or highways, or sold or leased in the U.S. Customs bond required. EPA requests that the vehicle be bonded for at least its full value. EPA letter of approval must be attached to this form.
<input type="checkbox"/>	code I - imported for testing purposes in accordance with 40 CFR 85.1511(b)(2). May not be registered or licensed for use on or operated on public roads or highways (except operation that is integral to the purpose of the testing program) or sold or leased in the U.S. Customs bond required. EPA requests that the vehicle be bonded for at least its full value. EPA letter of approval must be attached to this form.
<input type="checkbox"/>	code K - imported for display (solely for public or business purposes, and not for private purposes or U.S. market sales promotions) in accordance with 40 CFR 85.1511(b)(4). May not be registered or licensed for use on or operated on public roads or highways (except operation that is integral to the purpose of the display) or sold or leased in the U.S. Customs bond required. EPA requests that the vehicle be bonded for at least its full value. EPA letter of approval must be attached to this form.
<input type="checkbox"/>	code N - imported for up to one year by member of the armed forces or personnel of a foreign government on assignment to the U.S., for whom free entry has been authorized in writing by the U.S. Department of State, or a member of the armed forces of a foreign country with official orders for duty in the U.S.
<input checked="" type="checkbox"/>	code O - imported by nonresident for personal use by an individual for a period up to a year. EPA letter of approval must be attached to this form. PG24 Independent commercial importer (ICI) imports
<input type="checkbox"/>	code A - imported by an ICI for modifications in accordance with a valid EPA certificate of conformity issued for the specific make, model, and model year in accordance with 40 CFR 85.1505.
<input type="checkbox"/>	code C - imported by an ICI for modification and testing in accordance with 40 CFR 85.1509. Vehicle must be at least 6 years old.
<input type="checkbox"/>	code J - imported by an ICI for the purpose of pre-certification testing in order to obtain an EPA certificate of conformity. No EPA approval is required. The ICI has 180 days to obtain a certificate or export (40 CFR 85.1511(b)(3)). Customs bond required.
<input type="checkbox"/>	code Z - imported by an ICI for the purpose of modifying to be identical to an original equipment manufacturer (OEM) certified version in accordance with written instructions from the OEM that are specific to the vehicle being imported.
OEM imports	
<input type="checkbox"/>	code H - imported, owned, and controlled directly by an original equipment manufacturer (OEM) on EPA list of OEM certificate holders provided to Customs, for research, development or testing purposes in accordance with 40 CFR 85.1706. This is a temporary exemption without time limit. If the vehicle is subsequently covered by an applicable EPA certificate of conformity, it is released from the restrictions of this exemption.
<input type="checkbox"/>	code Q - imported, owned, and controlled directly by an original equipment manufacturer (OEM) on EPA list of OEM certificate holders provided to Customs, for storage pending receipt of the applicable EPA certificate of conformity, which is pending and imminent. Use of this code is no longer permitted once EPA has issued the applicable certificate of conformity.
U.S. Department of Transportation Requirements	
Note: Importers of vehicles that are primarily manufactured for use on public roads must also file an HS-7 Declaration form to identify the basis for the vehicle's admission under the laws administered by the U.S. Department of Transportation. For more information, see www.nhtsa.dot.gov/cars/rules/import/ .	
Paperwork Reduction Act Notice	
This information is collected to ensure that motor vehicles and engines imported into the U.S. conform with applicable emission requirements. Responses to this collection are mandatory (40 CFR 85.1501 et seq., and Clean Air Act Sections 203 and 208). Information submitted to the Agency under a claim of confidentiality will be safeguarded according to policies set forth in Title 40, Chapter 1, Part 2, Subpart B. The public reporting and recordkeeping burden for this collection of information is estimated to average 30 minutes per response. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.	

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Model: The model name of the Vehicle is located on the PG07 line in spaces 40 to 54.

Certification Statement: The certification statement is represented by the code "EP2" in spaces 21 to 24 on the PG22 line.

Importer: Importer entity information is represented by a PG 19, 20, and 21 set.

Owner: Because the Owner is the same individual as the importer, a PG 55 record is used rather than duplicating a PG19, 20, 21 set.

Signature: The signature of the individual certifying the PGA Data is found on the PG22 line, represented by the code "Y".

Date: Date of certification is located

on the PG22 line in spaces 26 to 33.

Certifying Individual: Because the Certifying individual is the same as the Importer, a PG55 record is used rather than duplicating a PG19, 20, 21 set.

Import Code: The import code is located on a PG24 line in space 9, identified by the code "EP2" in spaces 5 to 7.

EPA Letter of Approval: The EPA Letter of Approval exemption number is located on PG14 line in spaces 9 to 41 and identified by the code "EP9" in spaces 6 to 8.



V&E Form 3520-1 Message Set Sample layout

1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0
O I										LAMBORGHINI										AVENTADOR																													
PG01001EP										AVNE																																							
PG02P																																																	
PG24EP2O																																																	
PG07										LP700-4										052013AKGZHWU16M4CLAU																													
PG141EP92013-JUNE-LD-NORES-450																																																	
PG19MF										LAMBORGHINI																																							
PG19IM																				1234 MAPLELEAF AVENUE																													
PG20										SAN DIEGO										CA US92169																													
PG21IM ANGELO GIOVANNI										5551230123										ANGELO.GIOVANNI@TEST.COM																													
PG55CI DFP																																																	
PG22 942										CI EP2 Y04212014																																							

V&E Form 3520-1 PGA Message Set Description

The following records and CATAIR appendix codes were used to create the V&E Form 3520-1 Message Set Sample. Some data elements are mandatory, while others are conditional; the status column identifies the data element as Mandatory (M), Conditional (C), Optional (O), or Not Applicable (N/A). Mandatory Data Elements are required because either the particular record requires it, or it is required by the specific EPA Program Office.

Because of the flexibility of the PGA Message Set, the PGA Records and Data Elements that are required may vary both from program to program and within a single program. For a more expansive set of examples of EPA PGA Message Sets, please refer to the document *EPA PGA Message Set Samples Pilot Programs*.

Record Identifier OI (Input)

This is a mandatory Partner Government Agency line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message Set. The OI record is a generic description record.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space fills.	
Commercial Description	70X	11-80	M	The commercial description of the product. For example, LAMBORGHINI AVENTADOR.	



Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides EPA with data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, and Document Image System (DIS) qualifier.

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“EPA”	
Government Agency Program Code	3X	11-13	M	“VNE”	
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	O	Optionally required if image of label, letter, or additional information is provided for the Entry. Such information may be provided in an attempt to prevent a Documents Required request later in the process.	1
Confidential Information Indicator	1A	18	N/A		
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	N/A		
Intended Use Description	22X	58-79	N/A		
Disclaimer	1A	80	C	Code declaring filing does not require a PGA Message Set. For Example, A.	2/3

Note 1

If the Electronic Image Submitted code is given, at least one image must be submitted to DIS. The image must be filed at the time of its corresponding Entry/ PGA Message Set.



Note 2

A code indicating the reason the PGA message set is being disclaimed and not provided. Valid codes are:

- A = product is not regulated by this agency
- B = data is not required per agency guidance
- C = data filed through other agency means
- D = data filed through paper

No disclaimer is allowed if the HTS is flagged as 'Must Be' provided.

Note 3

When ordering PG lines within an Entry, disclaimed PG lines, should be placed after all other non-disclaimed PG lines.



Record Identifier PG02 (Product Identifier)

This mandatory PGA input record is used to indicate whether or not the information being provided relates to a product (P) or a component (C) of a product. All subsequent records and reported information will fall under either the Product level or the Component level. When reporting at the Product Level, use any and all records to provide all data elements required for, and specific to, the product as a whole. This will typically include, but is not limited to: Manufacturer, Certifying Individual, Importer, Document Identifier, and Certification. When reporting at the Component Level, use any and all records to provide all data elements required for, and specific to, the component of the product. Do not include any data elements or records that are general to the product as a whole at the Component Level. Records used for reporting at the Component Level typically include, but are not limited to: PG7, PG10, PG14, PG19, PG20, and PG21.

<i>Record Identifier PG02 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“02”	
Item Type	1A	5	M	Code identifying the following records as pertaining to the product or a component. For example, P.	1
Product Code Qualifier	4AN	6-9	N/A		
Product Code Number	19X	10-28	N/A		
Product Code Qualifier	4AN	29-32	N/A		
Product Code Number	19X	33-51	N/A		
Product Code Qualifier	4AN	52-55	N/A		
Product Code Number	19X	56-74	N/A		
Filler	6X	75-80	M	Space fill.	

Note 1

List of Item Type codes applicable to EPA V&E Form 3520-1 Message Sets.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Item Type	P	Product
	C	Component



Record Identifier PG24 (Import Code)

This is a mandatory input record for all EPA V&E PGA Message Sets. For Entries filed under 3520-1, a minimum of one (1) PG24 record is required, identifying an applicable Import Code.

Additional PG24 records are optional and may be included at the discretion of the filer to provide clarifying remarks or regulatory citations for certain types of exemptions.

Import and industry code selection may require additional data elements to be filed; for example, Import code U requires both the model year and a power rating to be provided as part of the PGA message set. Please refer to Appendix A for a list of required data elements by import code, and their corresponding PG record number. For further clarification on import code requirements, please refer to EPA Imports Hotline, Compliance Division, Office of Transportation and Air Quality at (703) 214-4100 or imports@epa.gov.

Record Identifier PG24 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“24”	
Remarks Type Code	3AN	5-7	M	Code identifying vehicle or engine remarks category. For example, EP2.	1
Remarks Code	5AN	8-12	C	The appropriate Import Code. For example, O. Conditional based on Remarks Types Code. Mandatory for EP2.	2
Remarks Text	68X	13-80	C	Conditional based on Remarks Types Code. Mandatory for GEN.	

Note 1

This is a list of Remarks Type Codes applicable when filing a PGA Message Set for V&E Form 3520-1. If EP2 is used, a “Remarks Code” is required. If GEN is used, the “Remarks Text” field is required for further explanation.

Data Element	Code	Description
Remarks Type Codes	EP2	EPA Vehicles and Engines Import Code
	GEN	General Remarks

Note 2

This is a list of Remarks codes applicable when filing a PGA Message Set for V&E Form 3520-1. The import code designated in this field indicates which additional information may be required, such as Test Group or Engine Family Name in a subsequent PG14 record.



<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Import Codes (For use when EP2 is Remarks Type Code)		
Remarks Codes (Categories of Vehicle and Engine Imports – U.S. Conforming and ‘Identical’ Vehicles)	B	U.S. Certified.
	F	U.S. certified catalyst restoration.
	EE	Identical in all material respects to a U.S. certified version.
	FF	Canadian "identical" models imported for resale or lease.
Remarks Codes (Categories of Vehicle and Engine Imports – EPA Exempted Vehicles)	M	Miscellaneous exemption.
	E	Vehicle at least 21 years old.
Remarks Codes (Categories of Vehicle and Engine Imports – Excluded Vehicles)	L	Racing vehicle
	U	2005 model year (or older) motorcycle, scooter or moped with engine displacement less than 50cc and with rated speed greater.
	W	Non-chassis-mounted engine.
	Y	Unregulated fuel.
Remarks Codes (Categories of Vehicle and Engine Imports – Temporary Imports)	G	Imported for repair or alteration.
	I	Imported for testing purposes.
	K	Imported for display.
	N	Imported for up to one year by a member of the armed forces or personnel of a foreign government on assignment to the U.S.
	O	Imported by non-resident for personal use by and individual for a period up to a year.
Remarks Codes (Categories of Vehicle and Engine Imports – Independent Commercial Importer (ICI) Imports)	A	Imported by an ICI for modifications in accordance with a valid EPA certificate of conformity.
	C	Imported by an ICI for modification and testing in accordance with 40 CFR 85.1509 (Vehicle over 6 years old).
	J	Imported by an ICI for the purpose of pre-certification testing in order to obtain an EPA certificate of conformity.
	Z	Imported by an ICI for purpose of modifying to be identical to an original equipment manufacturer certified version.
Remarks Codes (Categories of Vehicle and Engine Imports – OEM Imports)	H	Imported, owned, and controlled directly by an original equipment manufacturer (OEM), research, development or testing purposes.
	Q	Imported, owned, and controlled directly by an original equipment manufacturer (OEM), for storage pending receipt of applicable EPA.



Record Identifier PG07 (Product Details)

This is a mandatory PGA input record that provides EPA with data pertaining to Name, Model, Manufacture Year, and Item Identity Number.

If multiple identical vehicles or engines are being imported, the PG07 record captures the Item Identity Number of **only** the first vehicle or engine. Subsequent Item Identity Numbers can be reported in one or more PG08 record(s).

PG07 may be repeated in a PGA Message Set under the following conditions:

- a) PG07 is used twice in a Message Set, once to describe the product/vehicle (following a PG02P) and once to describe the component/engine (following a PG02C).
- b) PG07 is used in groupings to describe combinations of vehicle/engine using the PG50 and PG51 grouping. For example, multiple tractors and engines where groupings are used to appropriately pair the Engine Serial Number (ENN) with the vehicles Serial Number (SE).

Record Identifier PG07 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“07”	
Trade Name/Brand Name	35X	5-39	N/A		
Model	15X	40-54	M	Commercial Model Number of the vehicle or engine. For example, LP700-4.	
Manufacture Month and Year	6N	55-60	M	Manufacture date of the vehicle or engine. The format for this Manufacture date is 2-digit Month and four-digit Year (MMYYYY) For example, 122013, is December 2013.	
Item Identity Number Qualifier	3AN	61-63	M	Code identifying the type of Item Identity Number being provided. For example, AKG.	1
Item Identity Number	17X	64-80	M	The Item Identity Number. For example, a VIN such as ZHWUU16M4CLAU5577.	

Note 1

List of Item Identity Number Qualifier codes applicable when filing PGA Message Set for V&E Form 3520-1.

Data Element	Code	Description
Item Identity Number Qualifier Codes (Describes the type of identifying number)	ENN	Engine Number
	SE	Serial Number
	AKG	Vehicle Identification Number (VIN)



Record Identifier PG08 (Input) to Report to EPA Individual Item Identity Numbers

This is a conditional PGA input record that is used to report multiple Item Identity Numbers such as Vehicle Identification Numbers (AKG), Engine Serial Numbers (ENN), or Serial Numbers (SE) related to the commodity listed in the preceding PG07. This record must be used in conjunction with the PG07. This record may be repeated.

<i>Record Identifier PG08 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“08”	
Item Identity Number	17X	5-21	M	Additional Item Identity Number. For example, ZHWUU16M1CLAU5578.	
Item Identity Number	17X	22-38	C	Additional Item Identity Number. For example, ZHWUU16M3CLAU5579.	
Item Identity Number	17X	39-55	C	Additional Item Identity Number. For example, ZHWUU16MXCLAU5580.	
Item Identity Number	17X	56-72	C	Additional Item Identity Number. For example, ZHWUU16M1CLAU5581.	
Filler	8X	73-80	M	Space fill.	



Record Identifier PG10 (Input)

This is a conditional PGA input record that provides EPA with additional characteristics of a commodity not reported elsewhere in the PGA Message Set. For Entries filed under 3520-1, with an Import Code of U, A, C, or Z; a minimum of one (1) PG10 record is required. Additional PG10 records are optional and may be included at the discretion of the filer to provide additional characteristics of the product or component.

<i>Record Identifier PG10 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“10”	
Category Type Code	6AN	5-10	N/A		
Category Code	5AN	11-15	N/A		
Commodity Qualifier Code	4X	16-19	M	A code indicating the type of essential or distinctive attribute or quality of an item. For example, V06.	1
Commodity Characteristic Qualifier	4AN	20-23	C	This code specifies the type of characteristic for the item, for example, AM.	2
Commodity Characteristic Description	57X	24-80	C	Free form description of the item, either to supplement the above data elements or in place of the above. Manufacture date of the vehicle or engine. The format for the Manufacture date is 2-digit Month and four-digit Year (MMYYYY) For example, if V05 is used 122013, the manufacture date is December 2013. For Model Year, the format is a four-digit year (YYYY). For Example, if V06 is used with 2014, the model year is 2014.	

Note 1

A list of Commodity Qualifier Codes applicable when filing a PGA Message Set for V&E Form 3520-1.

<i>Data Element</i>	<i>Code</i>	<i>Definition</i>
Commodity Qualifier Codes (Provides context to the description field)	V02	Body Type
	V05*	Manufacture date of the vehicle or engine.
	V06	Model year of the vehicle or engine.



* The V05 Commodity Qualifier Code when used in conjunction with an appropriate Commodity Characteristic Qualifier is used to report the **“location”** of the manufacture date in the Commodity Characteristic Qualifier element, and not the actual date, which is collected on the PG07.

Note 2

A list of all Commodity Characteristic Qualifiers applicable when filing a PGA Message Set for V&E Form 3520-1.

<i>V05: Manufacture Date (Explains the location of the manufacture date)</i>		
<i>Code</i>	<i>Name</i>	<i>Definition</i>
ENG	Engine	The manufacture date is obtained from the engine.
VEH	Vehicle	The manufacture date is obtained from the vehicle.
OTH	Other	The manufacture date is not obtained from the engine or the vehicle. It is obtained from other resources. Importer must put explanation in the Commodity Characteristic Description field.



Record Identifier PG14 (License, Permits, Certificates, or Other)

This is a conditional PGA input record which provides EPA with data pertaining to Licenses, Permits, Certificates or Other (LPCO) issued by EPA and other government entities.

For Entries filed under 3520-1, with an Import Code of A, B, C, F, J, or Z; a minimum of one (1) PG14 record containing the Test Group or Engine Family Name (provided in lieu of an EPA Certificate of Conformity) is required. If the Import Code is G, I, K, L, or O; a minimum of one (1) PG14 record containing the Exemption Number (found within the Importer’s EPA Letter of Approval) for the import is required. For Import Codes G, I, K, and J; an additional PG14 record is required to provide the Bond Policy Number.

See Appendix A for required Reporting Elements listed by Import Code.

<i>Record Identifier PG14 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	O	Code identifying the use type of LPCO information being provided. For example, 1.	1
LPCO Type	3AN	6-8	M	Code identifying the type of LPCO information being provided. For example, EP9.	2, 3
LPCO Number (or Name)	33X	9-41	M	The LPCO information. For example, an exemption number from the Importer’s EPA Letter of Approval, such as 2013-June-LD-NONRES-450.	
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1

List of LPCO Transaction Type codes applicable when filing EPA-V&E Message Set for 3520-1.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
LPCO Transaction Type Codes (Categorizes the duration/type of	1	Single Use
	2	Continuous



the particular LPCO)	3	General
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Note 2

List of LPCO Type codes applicable when filing EPA-V&E Message Set for 3520-1.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
LPCO Type Codes (Describes the type of license, permit, certificate, or other)	EP4	Test Group Name/ Number (From the Certificate of conformity this is the Exhaust Engine Family name (EFN) and not the Evaporative Engine Family name.).
	EP7	EPA Bond Policy Number.
	EP9	EPA Vehicles and Engines Exemption Number.

Note 3

List of Import Codes (PG24 Remarks Codes) mapped to applicable LPCO Type Codes for 3520-1.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>	<i>Required by Import Code:</i>
LPCO Transaction Codes (Describes the type of license, permit, certificate, or other)	EP4	Test Group Name/ Number (From the Certificate of conformity this is the Exhaust Engine Family name (EFN) and not the Evaporative Engine Family name.).	A, B, C, F, J, Z
	EP7	EPA Bond Policy Number.	G, I, K, J
	EP9	EPA Vehicles and Engines Exemption Number.	G, I, K, L, O



Record Identifier PG19 (Entity)

This is a mandatory PGA input record that provides EPA with data pertaining to Entity Role, Entity Identification, Entity Name, and Entity Street Address. This record is mandatory when providing Entity Information. For EPA Form 3520-1, a minimum of four (4) entities must be identified: Importer, Manufacturer, Owner, and Certifying Individual.

For further guidance on the format of Entity Information in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section C (Data Rules and Record Relationships in the PGA Message Set) in the CBP and Trade Automated Interface Requirements publication.

Note

When reporting the Certifying Individual (CI) as an additional role, use a PG55 record. When reporting the Certifying Individual (CI) with no additional roles, the correct placement of the PG19/20/21 for the CI is following the PG22 record.

<i>Record Identifier PG19 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“19”	
Entity Role Code	3AN	5-7	M	Code identifying the role of the entity being provided. For example, IM.	1
Entity Identification Code	3AN	8-10	N/A		
Entity Number	15X	11-25	N/A		
Entity Name	32X	26-57	C	Company name of the entity Role. For example, XZY CORP.	
Entity Address 1	23X	58-80	C	Line 1 of the Street Address for the entity being described. For example, 1234 MAPLELEAF AVENUE. Conditional based on Entity Role Code. Required for Importer and Owner.	

Note 1

List of Entity Role codes applicable when filing EPA-V&E Message Set for 3520-1.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Entity Role Codes	IM	Importer
	DFP	Owner
	MF	Manufacturer
	CI	Certifying Individual



Record Identifier PG20 (Address)

This is a conditional PGA input record that provides additional data pertaining to the Entity identified in the preceding PG19 record; such as Entity Address line 2, Apartment/Suite, City, State, and Zip/Postal Code. A PG20 record is mandatory for these specific entities: Owner and Importer. Additional PG20 records are optional and may be included at the discretion of the filer to provide additional Entity Information for the Manufacturer and Certifying Individual.

Record Identifier PG20 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“20”	
Entity Address 2	32X	5-36	C		
Entity Apartment Number/Suite Number	5X	37-41	C	For example, 102 A.	
Entity City	21X	42-62	M	For example, SAN DIEGO.	
Entity State/Province	3AN	63-65	M	For example, CA.	
Entity Country	2A	66-67	M	For example, US.	
Entity Zip/Postal Code	9X	68-76	M	For example, 92169.	
Filler	4X	77-80	M	Space fill.	



Record Identifier PG21 (Point of Contact)

This is a conditional PGA input record that provides EPA with data about an Individual Point of Contact (POC). A PG21 may stand alone or may be related to an entity in the preceding PG19 or PG20. Included in this record are the Individual Name, Telephone Number, Fax Number, and Email address. A PG21 record is required for these specific entities: Owner, Importer, Storage Location (Contact), and Certifying Individual. Additional PG21 records are optional and may be included at the discretion of the filer. This record may be repeated.

Note

When reporting the Certifying individual (CI) as an additional role, use a PG55 record. When reporting the Certifying Individual (CI) with no additional roles, the correct placement of the PG19/20/21 for the CI is following the PG22 record.

Record Identifier PG21 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“21”	
Individual Qualifier	3AN	5-7	M	Code identifying which entity the Point of Contact is related to. For example, IM.	1
Individual Name	23X	8-30	M	For example, ANGELO GIOVANNI.	
Telephone Number of the Individual	15X	31-45	C	For example, 5551230123. Conditional based on Entity Role Code. Required for Importer, Owner, Certifying Individual, and Storage Location Contact.	
Email Address or Fax Number for the Individual	35X	46-80	C	For example, ANGELO.GIOVANNI@TEST.COM. Conditional – current submission is voluntary pending required reporting via EPA rulemaking.	

Note 1

List of Entity Role codes applicable when filing PGA Message Set for V&E Form 3520-1.

Data Element	Code	Description
Entity Role Codes	IM	Importer
	DFP	Owner
	MF	Manufacturer
	STL	Storage Location (Contact)
	CI	Certifying Individual



Record Identifier PG55 (Additional Roles)

This is a conditional PGA input record used to provide additional roles performed by an Entity or Individual listed in the preceding PG19 or PG21.

For further guidance on the use of the PG55 record in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section D (Data Reporting) in the CBP and Trade Automated Interface Requirements publication.

<i>Record Identifier PG55 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“55”	
Entity Role Code	3AN	5-7	M	Code identifying the additional role being assumed by the entity. For example, CI.	1
Entity Role Code	3AN	8-10	C	Code identifying the additional role being assumed by the entity. For example, DFP.	1
Entity Role Code	3AN	11-13	C	Code identifying the additional role being assumed by the entity. For example, STL.	1
Entity Role Code	3AN	14-16	C	Code identifying the additional role being assumed by the entity. For example, MF.	1
Entity Role Code	3AN	17-19	N/A		
Entity Role Code	3AN	20-22	N/A		
Entity Role Code	3AN	23-25	N/A		
Entity Role Code	3AN	26-28	N/A		
Entity Role Code	3AN	29-31	N/A		
Entity Role Code	3AN	32-34	N/A		
Filler	46X	35-80	M	Space fills.	

Note 1

List of Entity Role codes applicable when filing PGA Message Set for V&E Form 3520-1.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Entity Role Codes	IM	Importer
	DFP	Owner
	MF	Manufacturer
	STL	Storage Location
	CI	Certifying Individual



Record Identifier PG22 (Document Identifier / Certification)

This is a mandatory PGA input record that provides data pertaining to Importers’ Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.

The entity role code position of the PG22 must always be “CI” (Certifying Individual). The certifying individual can be the owner, importer, or an agent for the owner or importer, and is the entity certifying the certification statement found in the Appendix PGA, section “PG22-Declaration Codes”, code “EP2”.

Record Identifier PG22 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“22”	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	N/A		
Document Identifier	7AN	6-12	M	Code identifying the EPA Form being filed. For example, 942.	1
Conformance Declaration	5X	13-17	N/A		
Entity Role Code	3AN	18-20	M	“CI”	
Declaration Code	4AN	21-24	M	Code identifying the certification statement of EPA Form 3520-1. For example, EP2.	2
Declaration Certification	1A	25	M	Designates that the information provided is correct and all required documents have been provided. For example, Y.	
Date of Signature	8N	26-33	M	The format for ‘Date of Signature is 2-digit Month, 2-digit day, and four-digit Year (MMDDYYYY) For example, 04212014, is April, 21 2014. December 2013.	
Invoice Number	17X	34-50	N/A		
Compliance Description	30X	51-80	N/A		

Note 1

List of Document Identifiers applicable when filing PGA Message Set for V&E Form 3520-1.

Data Element	Code	Description
Document Identifiers	942	EPA 3520-1



Note 2

List of Declaration codes applicable when filing PGA Message Set for V&E Form 3520-1.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Declaration Codes	EP2	Certification Statement (EPA 3520-1)



V&E Form 3520-21

A shipment containing Toyota Engines for off-road use is imported into the United States. Because these engines are intended for off-road use, the Import files the Entry under EPA-V&E Form 3520-21.

EPA-V&E Data Map from Existing V&E Form 3520-21:

EPA United States Environmental Protection Agency Engine Declaration Form
 Importation of Engines, Vehicles, and Equipment Subject to Federal Air Pollution Regulations
 U.S. EPA, Certification & Compliance Division, 2000 Traverwood Dr., Ann Arbor, Michigan 48105. (734) 214-4100; imports@epa.gov; www.epa.gov/otaq/imports/

This form must be prepared by the Importer for each imported stationary, nonroad or heavy-duty highway engine, including engines incorporated into vehicles or equipment. Note that references in this form to engines generally include vehicles or equipment if they are subject to equipment-based standards. One form per engine or group of engines in a shipment may be used, with attachments including all information required to fully describe each engine as below. Provide a duplicate form and attachments to the U.S. Customs and Border Protection (CBP) upon request (42 U.S.C. 7522, 7601, 19 CFR 12.73 or 12.74). This form must be retained for five years from the date of entry (19 CFR 163.4). Additional requirements may apply in California. NOTE: While certain imports require specific written authorization from EPA, CBP may request EPA review of Importer documentation and eligibility for any import using this form. For light-duty motor vehicles, highway motorcycles, and the corresponding engines, use form 3520-1. This form does not apply to aircraft engines.

Identify the type of highway, nonroad, or stationary engine, vehicle, or equipment you are importing from the following list of products:

- A. Heavy-duty highway engines (for use in motor vehicles with gross vehicle weight rating above 8500 pounds). See 40 CFR parts 85 and 86.
- B. Locomotives or locomotive engines. See 40 CFR parts 1033 and 1068.
- C. Marine compression-ignition engines. See 40 CFR part 94 or 40 CFR parts 1042 and 1068. This includes propulsion engines and auxiliary engines installed on marine vessels.
- D. Other nonroad compression-ignition engines. See 40 CFR part 89 or 40 CFR parts 1039 and 1068.
- E. Marine spark-ignition engines. See 40 CFR parts 1045 and 1068.
- F. Recreational engines and vehicles, including snowmobiles, off-highway motorcycles, all-terrain vehicles, and offroad utility vehicles that are subject to the provisions of 40 CFR parts 1051 and 1068.
- G. Other nonroad spark-ignition engines at or below 19 kW (at or below 30 kW if total displacement is at or below 1000 cc). See 40 CFR part 90 or 40 CFR parts 1054 and 1068. **PG24**
- H. Stationary compression-ignition engines. See 40 CFR parts 1048 and 1068.
- I. Stationary compression-ignition engines. See 40 CFR part 60, subpart IIII.
- J. Stationary spark-ignition engines. See 40 CFR part 60, subpart JJJJ.

Identify the engine, vehicle, or equipment which you are importing the engine, vehicle, or equipment:

1. U.S. certified engine or engine installed in a certified vehicle, covered by a valid U.S. EPA certificate of conformity and bearing a U.S. EPA label. **PG24** **PG19** **PG14** **PG21**

90.1007 or 1054.69. Exempt from bond? No Yes. NAIC # for bond issuer: 23488. Policy number: 123456. State of issue: GA.

Permanent Exemptions for Nonconforming Engines

- 2. National security. Importing a labeled (where applicable) engine subject to the provisions of 40 CFR 1068.315(a). For certain types of tactical equipment, this exemption may require prior EPA approval.
- 3. Manufacturer-owned engine. Importing a labeled engine by an engine manufacturer holding a current U.S. EPA certificate of conformity, subject to the provisions of 40 CFR 1068.315(b) or 85.1706.
- 4. Replacement engine. Importing a labeled engine by an engine manufacturer holding a current U.S. EPA certificate of conformity subject to the provisions of 40 CFR 1068.315(c) or 85.1714. This exemption does not apply to locomotive engines.
- 5. Extraordinary circumstances/hardship. Importing a labeled engine subject to the provisions of 40 CFR 1068.315(d), 85.1511(c)(2) or 1033.620. An EPA letter of approval must be attached.
- 6. Hardship for small-volume manufacturers. Importing a labeled engine subject to the provisions of 40 CFR 1068.315(e). An EPA letter of approval must be attached to this form.
- 7. Equipment-manufacturer hardship. Importing a labeled engine subject to the provisions of 40 CFR 1068.315(f) or 1033.620. This may also apply to secondary engine manufacturers. An EPA letter of approval must be attached to this form.
- 8. Identical configuration. Importing an engine subject to the provisions of 40 CFR 1068.315(h). Such an engine must be identical in all material respects to a U.S.-certified version as demonstrated by letter from the engine-manufacturer contact on the CBP list, subject to EPA review at CBP's discretion. This exemption does not apply to locomotives or to stationary, highway, or marine compression-ignition engines. The applicable regulations may require that you own the engine for a certain period before and after importation.
- 9. Ancient engine. Importing an engine first manufactured at least 21 years earlier that is still in its original configuration, subject to the provisions of 40 CFR 1068.315(i). This exemption does not apply to locomotives or to stationary, highway, or marine engines.

Temporary Exemptions for Nonconforming Engines

The following temporary exemptions apply for importing nonconforming engines. EPA requests bonding with the U.S. Customs and Border Protection for the full value of the imported products to make sure you comply with applicable requirements.

- 10. Repairs or alterations. Importing an engine for repair or alteration subject to the provisions of 40 CFR 1068.325(a) or 85.1511(b)(1). An EPA letter of approval must be attached.
- 11. Testing. Importing an engine for testing subject to the provisions of 40 CFR 1068.325(b) or 85.1511(b)(2). Appropriate labeling is required for some engines and recommended for all engines. An EPA letter of approval must be attached.
- 12. Display. Importing an engine for display subject to the provisions of 40 CFR 1068.325(c) or 85.1511(b)(4). Appropriate labeling is required for some engines and recommended for all engines. An EPA letter of approval must be attached.
- 13. Export. Importing an engine for eventual export, subject to the provisions of 40 CFR 1068.325(d) or 85.1709. NOTE: The engine and/or shipping container must be labeled or tagged to identify them as solely for export.
- 14. Diplomatic or military. Importing an engine subject to the provisions of 40 CFR 1068.325(e) or 85.1511(d). This exemption is limited to members of the armed forces or personnel of a foreign government on assignment to the U.S. for whom free entry has been authorized in writing by the U.S. Department of State, or for members of the armed forces of a foreign country with official orders for duty in the U.S. This exemption does not apply to locomotive or marine compression-ignition engines. An EPA letter of approval may be required.
- 15. Delegated assembly. Importing a labeled engine for delegated assembly subject to the provisions of 40 CFR 1068.325(f), 85.1713, or 1033.630. This exemption does not apply to handheld spark-ignition engines or engines for recreational vehicles.
- 16. Partially complete engine. Importing an engine not yet in its final configuration covered by a certificate of conformity (or an engine that will be installed in a vehicle covered by a certificate of conformity), subject to the provisions of 40 CFR 1068.325(g). This also applies to an engine covered by a valid exemption. A certificate holder may also import a partially complete engine from its foreign facility to its U.S. facility as described in 40 CFR 1068.325(g).

Industry Code:

Identifies the type of highway, non-road or stationary engine/ vehicle; located on a PG24 line in spaces 8 to 12, and identified by the code "EP3" in Space 5 to 7.

Import Code:

Code identifying the provision under which you are importing the commodity; located on a PG24 line in spaces 8 to 12, and identified by the code "EP2" in space 5 to 7.

Bond Exemption:

Code identifying if the commodity is exempt from bond; located on a PG24 line in spaces 8 to 12, and identified by the code "EP1" in space 5 to 7.



Importation of Engines Excluded from U.S. EPA Emission Standards

17. Engine manufactured before emission standards started to apply. These engines must generally have already been placed into service. See the attached schedule. Note that 40 CFR 1068.360 disallows importation of new engines not certified to current standards if the model year is more than one year before the year of importation.

18. Competition engine. The engine must be used solely for competition, subject to the provisions of 40 CFR 1068.310(a), 85.1511(e), 89.611(e), 90.612(e), or 94.804(c)(2). An EPA letter of approval must be attached.

19. Stationary compression-ignition engine with displacement at or above 30 liters per cylinder or stationary spark-ignition engine above 19 kW that is not designed to run on gasoline or, if rich-burn, on liquefied petroleum gas. These stationary engines are subject to EPA emission standards under 40 CFR Subparts IIII and JJJ, but are not required to be certified. The engine must be used in a stationary manner. See 40 CFR 60.4219, 60.4248 and 1068.310(b). The engine must be labeled as described in 40 CFR 1039.20 or 1048.20, as applicable. NOTE: Stationary engines may be subject to state or local regulations.

20. Underground mining. Engine must be used in underground mining and regulated by the Mining Safety and Health Administration (MSHA). See 40 CFR 89.1 and 1039.5; see also 30 CFR parts 7, 31, 32, 36, 56, 57, 70, and 75. For compression-ignition engines only.

21. Hobby engine. Engine must be used to power a reduced-scale model of a vehicle not capable of transporting a person. See 40 CFR 1068.310(c), 89.1(b)(5), and 90.1(d)(6).

Exemptions for Specific Engine Categories or Other Special Cases

22. Transition Program for Equipment Manufacturers. Importing a piece of equipment, subject to the provisions of 40 CFR 89.102 or 40 CFR 1039.625 and 1039.626 (Category D) or 40 CFR 1054.625 and 1054.626 (Category G, 2011 through 2014). Maximum engine power (only for compression-ignition engines): kW HP (or identify the regulatory power category). Exempt from bond? . If not exempt, NAIC # for bond issuer: _____, policy number: _____, state of issue: _____.

23. Personal-use exemption for small spark-ignition engines. Importing three or fewer nonroad spark-ignition engines at or below 19 kW for purposes other than resale, where the importer has not used this exemption in the previous five years, subject to the provisions of 40 CFR 1054.630.

24. Engine imported by an Independent Commercial Importer recognized by EPA. Only for Categories A and D above.

24a. For modification under an EPA certificate issued for the specific make, model, and model year under 40 CFR 85.1505, 89.605 or 1039.660.

24b. For modification and testing according to 40 CFR 85.1509, 89.609, or 1039.660. NOTE: The imported engine must be at least 6 years old.

24c. For precertification testing to obtain an EPA certificate under 40 CFR 85.1511(b)(3), 89.611(b)(3), or 1039.660. NOTE: CBP bond is required. Specify location of storage (required for 24a and 24b): _____

NOTE: Under 24a and 24b, you may import up to five highway engines and five nonroad engines in a given model year that are certified to standards based on an engine's original production year. You may import any number of engines certified to standards that apply based on the year the engine is modified. See 40 CFR 85.1503 and 89.603.

25. Other exemption. Describe the exemption, attach EPA approval (if applicable), and identify the regulatory cite: _____

Declaration of Stationary, Nonroad or Heavy-duty Highway Engine, Nonroad Vehicle or Equipment, or Stationary Equipment

Port code: 9542	CBP entry no: BOC-1923874-2	Entry date: 02/01/2014	Engine manufacturer, model and serial number of each engine; for certified engines or vehicles, also identify the engine family name: Toyota PG07 EM1001 PG07 MHO-87420 MHO-87421 MHO-87422 MHO-87423 MHO-87424 MHO-87425 MHO-87426 MHO-87427 BEPAV01.UABC-722 PG14 PG07/PG08
Format: 9999	Format: XXX-9999999-9	Format: mm/dd/yyyy	
Identify the engine (or vehicle) build date: 12 month 2010 year <input checked="" type="checkbox"/> on engine <input type="checkbox"/> other (explain) PG07/PG10		Vehicle or equipment manufacturer, model, serial number, and type of equipment (if applicable):	

EPA exemption number, required for codes 10, 11, 12, 18:

Names, Addresses, and Telephone Numbers of Relevant Parties PG22

Certification: I certify that I have read and understand the purpose of this form, the penalties for falsely declaring information, for providing misleading information, or for concealing a material fact. The information I have provided is correct, and all required attachments are appended to this form. I authorize EPA Enforcement Officers to conduct inspections or testing permitted by the Clean Air Act. I am the owner, the importer, or an agent of the owner or importer.

Signature:	Printed name: Jane Smith PG55	Date: 01/27/2014 PG22 Format: mm/dd/yyyy
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Identify the name, address, phone number, and e-mail address for the importer, broker, and owner as applicable:

Importer (required): Jane Smith - Toyota North America 1234 Peachtree St, Atlanta GA 30301, US (706) 234-5678 jane.smith@toyotaamerica.com PG19 /PG20/ PG21	Broker (optional):	Owner (optional):
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NAIC # / State: The Bond Issuer NAIC # and State of Issue are located on a PG19/20 group and Identified by the code "NAI" in spaces 5 to 7 of the PG19.

Bond Policy #: The Bond Policy number is located on a PG14 in spaces 9 to 41, and identified by the code "EP7" in spaces 6 to 8.

Port Code, Entry Date, and Entry number: These three data elements are captured by CBP Entry Data and will not be reported in the PGA Message Set.

Manufacturer: Manufacturer information is located on a PG19 in spaces 26 to 57, and identified by the code "MF" in space 5 to 7.

Model: The model name is located on a PG07 line in spaces 40 to 54.

Engine Serial Number: The first Serial Number is located on a PG07 line in spaces 64 to 80, and identified by the code "ENN" in spaces 61 to 63, while all other serial numbers are located on the consecutive PG08s.

Engine Family Name: The engine family name/ number is located on a PG14 line in spaces 9-41 and identified by the code "EP7" in spaces 6 to 8.



Build Date / Location: The element describing the location of the build date (manufacture date) is located on a PG07 line in spaces 55 to 60 and a PG10 record in spaces 20 to 23.

Certification Statement: The certification statement is represented by the code “EP1” in spaces 21 to 24 on the PG22 line.

Signature: The signature of the individual certifying the PGA Data is found on the PG22 line, represented by the code “Y”.

Printed Name: Printed name (certifying individual) information is represented by a PG 19, 20, and 21 group.

Date: Date certification was signed is located on the PG22 line in spaces 26 to 33.

Importer: Because the Importer is the same as the Certifying Individual, a PG 55 record is used rather than duplicating a PG19, 20, and 21 group.

Record Identifier OI (Input)

This is a mandatory Partner Government Agency line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message Set. The OI record is a generic description record.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space fills.	
Commercial Description	70X	11-80	M	The commercial description of the product. For example, TOYOTA ENGINES.	

Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides EPA with data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, and whether e-documents were sent via Document Image System (DIS).

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“EPA”	
Government Agency Program Code	3X	11-13	M	“VNE”	
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	O	Optionally required if image of label, letter, or additional information is provided for the Entry. Such information may be provided in an attempt to prevent a Documents Required request later in the process.	1
Confidential Information Indicator	1A	18	N/A		
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	N/A		
Intended Use Description	22X	58-79	N/A		
Disclaimer	1A	80	C	Code declaring filing does not require a PGA message set. For Example, A.	2/3

Note 1

If the Electronic Image Submitted code is given, at least one image must be submitted to DIS. The image must be filed before its corresponding Entry/ PGA Message Set.



Note 2

A code indicating the reason the PGA message set is being disclaimed and not provided. Valid codes are:

- A = product is not regulated by this agency
- B = data is not required per agency guidance
- C = data filed through other agency means
- D = data filed through paper

No disclaimer is allowed if the HTS is flagged as 'Must Be' provided.

Note 3

When ordering PG lines within an Entry, disclaimed PG lines, should be placed after all other non-disclaimed PG lines.

Record Identifier PG02 (Product Identifier)

This mandatory PGA input record is used to indicate whether or not the information being provided relates to a product (P) or a component (C) of a product. All subsequent records and reported information will fall under either the Product level or the Component level. When reporting at the Product Level, use any and all records to provide all data elements required for, and specific to, the product as a whole. This will typically include, but is not limited to: Manufacturer, Certifying Individual, Importer, Document Identifier, and Certification. When reporting at the Component Level, use any and all records to provide all data elements required for, and specific to, the component of the product. Do not include any data elements or records that are general to the product as a whole at the Component Level. Records used for reporting at the Component Level typically include, but are not limited to: PG7, PG10, PG14, PG19, PG20, and PG21.

<i>Record Identifier PG02 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“02”	
Item Type	1A	5	M	Code identifying the following records as pertaining to the product or a component. For example, P.	1,2
Product Code Qualifier	4AN	6-9	N/A		
Product Code Number	19X	10-28	N/A		
Product Code Qualifier	4AN	29-32	N/A		
Product Code Number	19X	33-51	N/A		
Product Code Qualifier	4AN	52-55	N/A		
Product Code Number	19X	56-74	N/A		
Filler	6X	75-80	M	Space fill.	

Note 1

List of Item Type codes applicable to EPA V&E Form 3520-21 Message Sets

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Item Type	P	Product
	C	Component



Note 2

For further clarification on reporting engines imported already installed in a vehicle, such as determining if the vehicle/engine combination is certified and you do not need to report the engine as a component within the vehicle, please review published regulations or policies.



Record Identifier PG24 (Import and Industry Codes)

This is a mandatory input record for all EPA Vehicles and Engine PGA message Sets.

For all Entries filed under 3520-21, a minimum of two (2) PG24 records are required: one identifying the applicable Industry Code **and** a second identifying the applicable Import Code. The following conditions require a third PG24:

- Entries filed under 3520-21 with **both** Industry Code G and Import Code 1 require a third PG24 record identifying the Bond Exemption Status (Remarks Type Code = EP1).
- Entries filed under Import Code 22 require a third PG24 record pertaining to Bond Exemption Status (Remarks Type Code =EP1).
- Entries filed under Import Code 25 require a third PG24 record pertaining to the regulation cited (Remarks Type Code =EP4).

Additional PG24 records are optional and may be included at the discretion of the filer to provide clarifying remarks (Remarks Type Code =GEN).

Import and industry code selection may require additional data elements to be filed; for example, Import code 24a requires both the model year and Storage location to be provided as part of the PGA message set. Please refer to Appendix A for a list of required data elements by import code, and their corresponding PG record number. For further clarification on import code requirements, please refer to EPA Imports Hotline, Compliance Division, Office of Transportation and Air Quality at (703) 214-4100 or imports@epa.gov.

Record Identifier PG24 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“24”	
Remarks Type Code	3AN	5-7	M	Code identifying vehicle or engine remarks category, for example, EP2.	1
Remarks Code	5AN	8-12	C	The appropriate Import Code. For example, 1. Conditional based on Remarks Types Code. Mandatory for EP1, EP2, and EP3.	2
Remarks Text	68X	13-80	C	Conditional based on Remarks Types Codes. Mandatory for EP4 and GEN.	

Note 1

List of Remarks Type Codes applicable when filing a PGA Message Set for V&E Form 3520-21
If EP1, EP2, or EP3 is used, a Remarks Code is required. If EP4 or GEN is used, the Remarks Text field is required for further explanation.



<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Remarks Type Codes	EP1	Vehicles and Engines Bond Exemption
	EP2	EPA Vehicles and Engines Import Code
	EP3	EPA Vehicles and Engines Industry Code
	EP4	EPA Vehicles and Engines Regulation Cited for other exemption
	GEN	General Remarks

Note 2

List of Remarks Codes applicable when filing PGA Message Sets for V&E Form 3520-21

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Bond Exemption Status Codes (For use when EP1 is Remarks Type Code)		
Remarks Codes (Bond Exemption Status Codes)	E1Y	The engine is exempt from Bond
	E1N	The engine is not exempt from Bond
Import Codes (For use when EP2 is Remarks Type Code)		
Remarks Codes (Categories of Vehicles and Engine Imports – Permanent exemptions for Nonconforming Engines [1-9])	1	U.S certified engine or engine installed in a certified vehicle
	2	National Security
	3	Manufacturer-owned engine
	4	Replacement engine
	5	Extraordinary circumstances/hardship
	6	Hardship for small volume manufacturers
	7	Equipment-manufacturer hardship
	8	Identical configuration
	9	Ancient engine
Remarks Codes (Categories of Vehicles and Engine Imports – Temporary exemptions for Nonconforming Engines [10-16]; Importation of Engines Excluded from U.S. EPA Emission Standards [17-21]; Exemptions for Specific Engine Categories or Other Special Cases [22-35])	10	Repairs or alterations
	11	Testing
	12	Display
	13	Export
	14	Diplomatic or military
	15	Delegated assembly
	16	Partially complete engine
	17	Engine manufactured before emission standards started to apply
	18	Competition engine
	19	Stationary compression-ignition engine with displacement at or above 30 liters per cylinder or stationary spark-ignition engine above 19kW that is not designed to run on gasoline or, if rich-burn, on liquefied petroleum gas.
	20	Underground mining
	21	Hobby engine
	22	Transition program for equipment manufacturers
	23	Personal-use exemption for small spark-ignition engines
	24	Engine imported by an independent commercial importer recognized by EPA
	25	Other exemption



<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Industry Codes (For use when EP3 is Remarks Type Code)		
Remarks Codes (Categories of Vehicles and Engine Imports)	A	Heavy-duty highway engines (for use in motor vehicles with gross vehicle weight rating above 8500LBS)
	B	Locomotives or locomotive engines
	C	Marine compression-ignition engines
	D	Other non-road compression-ignition engines
	E	Marine spark-ignition engines
	F	Recreational engines and vehicles, including snowmobiles, off-highway motorcycles, all-terrain vehicles, and off-road utility vehicles
	G	Other non-road spark-ignition engines at or below 19kW or below 30kW if total displacement is at or below 1000cc
	H	Other non-road spark-ignition engines above 19kW
	I	Stationary compression-ignition engines
	J	Stationary Spark-ignition engines

Record Identifier PG07 (Product Details)

This is a mandatory PGA input record that provides EPA with data pertaining to Name, Model, Manufacture Year, and Item Identity Number.

If multiple **identical** vehicles or engines are being imported, the PG07 record captures the Item Identity Number of **only** the first vehicle or engine. Subsequent Item Identity Numbers can be reported in a, or multiple, PG08 record(s).

PG07 may be repeated in an EPA Message Set under the following conditions:

- a) PG07 is used twice in a message set, once to describe the product/vehicle (following a PG02P) and once to describe the component/engine (following a PG02C).
- b) PG07 is used in groupings to describe combinations of vehicle/engine pairs using the PG50 and PG51. For example, multiple tractors and engines where groupings are used to appropriately pair the Engine Serial Number (ENN) with the vehicles Serial Number (SE).

Record Identifier PG07 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“07”	
Trade Name/Brand Name	35X	5-39	N/A		
Model	15X	40-54	M	Commercial Model Number of the vehicle or engine. For example, EM1001.	
Manufacture Month and Year	6N	55-60	M	Manufacture date of the vehicle or engine. The format for this Manufacture date is 2-digit Month and four-digit Year (MMYYYY) For example, 122013, is December 2013.	
Item Identity Number Qualifier	3AN	61-63	M	Code identifying the type of Item Identity Number being provided. For example, ENN.	1
Item Identity Number	17X	64-80	M	The Item Identity Number. For example, MHO-874204.	

Note 1

List of Item Identity Number Qualifier codes applicable when filing PGA Message Sets for V&E Form 3520-21.



<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Item Identity Number Qualifier Codes (Describes the type of identifying number)	ENN	Engine Number
	SE	Serial Number
	AKG	Vehicle Identification Number (VIN)



Record Identifier PG08 (Input) to Report to EPA Individual Item Identity Numbers

This is a conditional PGA input record that is used to report multiple Item Identity Numbers such as Vehicle Identification Numbers (AKG), Engine Serial Numbers (ENN), or Serial Numbers (SE) related to the commodity listed in the preceding PG07. This record must be used in conjunction with the PG07. This record may be repeated.

Record Identifier PG08 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“08”	
Item Identity Number	17X	5-21	M	Additional Item Identity Number. For example, MHO-874205.	
Item Identity Number	17X	22-38	C	Additional Item Identity Number. For example, MHO-874206.	
Item Identity Number	17X	39-55	C	Additional Item Identity Number. For example, MHO-874207.	
Item Identity Number	17X	56-72	C	Additional Item Identity Number. For example, MHO-874208.	
Filler	8X	73-80	M	Spaces fill.	



Record Identifier PG10 (Input)

This is a mandatory PGA input record that provides EPA with additional characteristics of a product or component, not reported elsewhere in the PGA Message Set. All Entries filed under V&E Form 3520-21 require a minimum of one (1) PG10 record. If the Entry has an Import Code of 22, an additional PG10 record is required to provide the Engine Power Rating. Additional PG10 records are optional and may be included at the discretion of the filer to provide additional characteristics of the product or component.

Record Identifier PG10 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 10.	
Category Type Code	6AN	5-10	N/A		
Category Code	5AN	11-15	N/A		
Commodity Qualifier Code	4X	16-19	M	A code indicating the type of essential or distinctive attribute or quality of an item. For example, V05.	1
Commodity Characteristic Qualifier	4AN	20-23	M	This code specifies the type of characteristic for the item, for example, ENN.	2
Commodity Characteristic Description	57X	24-80	M	Free form description of the item, either to supplement the above data elements or in place of the above. If V03 is used, the number is a normal number format (No implied decimal places). For example, if V03 is used with 17, the engine power rating is 17 kW. The format for the Manufacture Date is 2-digit Month and four-digit Year (MMYYYY) For example, if V05 is used with 122013, the Manufacture Date is December 2013. For Model Year, the format is a four-digit year (YYYY). For example, if V06 is used with 2014, the Model Year is 2014.	

Note 1

List of Commodity Qualifier Codes applicable when filing a PGA Message Set for V&E Form 3520-21,

	Code	Definition
Commodity Qualifier Codes	V03	Engine power rating (kW or HP).
	V05*	Manufacture date of the vehicle or engine.



	<i>Code</i>	<i>Definition</i>
	V06	Model year of the vehicle or engine.

* The V05 Commodity Qualifier Code when used in conjunction with an appropriate Commodity Characteristic Qualifier is used to report the **“location”** of the manufacture date in the Commodity Characteristic Qualifier element, and not the actual date, which is collected on the PG07.

Note 2

A list of all Commodity Characteristic Qualifiers applicable when filing a PGA Message Set for V&E Form 3520-1.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Engine Power Rating (For use when V03 is Commodity Qualifier)		
Commodity Characteristic Qualifier (For V03 provides the unit of power)	KW	Maximum engine power value, in kilowatts.
	HP	Maximum engine power value, in horsepower.
Manufacture Date (For use when V05 is Commodity Qualifier)		
Commodity Characteristic Qualifier (For V05 describes location of manufacture date)	ENG	The manufacture date is obtained from the engine.
	VEH	The manufacture date is obtained from the vehicle.
	OTH	The manufacture date is not obtained from the engine or the vehicle. It is obtained from other resources. Importer must put explanation in the Commodity Characteristic Description field.



Record Identifier PG14 (License, Permits, Certificates, or Other)

This is a conditional PGA input record which provides EPA with data pertaining to Licenses, Permits, Certificates or Other (LPCO) issued by EPA and other government entities.

For Entries filed under V&E Form 3520-21, with an Import Code of 2, 5, 6, 7, 10, 11, 12, 14 or 18: a minimum of one (1) PG14 record containing the Exemption Number (found on the Importer’s EPA Letter of Approval) is required. If the Import Code is 1, 3, 4, 16, or 24a: a minimum of one (1) PG14 record containing the Test Group or Engine Family Name (provided in lieu of an EPA Certificate of Conformity) is required. For a commodity filed with **both** an Import Code of 1 and an Industry Code of G, an additional PG14 record is conditionally required to provide an NAIC Bond Number. For a commodity filed with an Import Code of 22, a PG14 containing the NAIC Bond Number is conditionally required. For Import Code 24c, a minimum of one (1) PG14 record containing the CBP Bond Number is required.

See Appendix A for required reporting elements listed by Import Code.

Record Identifier PG14 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	O	Code identifying the use type of LPCO information being provided. For example, 3.	1
LPCO Type	3AN	6-8	M	Code identifying the type of LPCO information being provided. For example, EP4.	2, 3
LPCO Number (or Name)	33X	9-41	M	The LPCO information. For example, 8EPAV01.0ABC-772.	
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1

List of LPCO Transaction Type Codes applicable when filing a PGA Message Set for V&E Form 3520-21.

Data Element	Code	Description
LPCO Transaction Type Codes	1	Single Use



<i>Data Element</i>	<i>Code</i>	<i>Description</i>
(Categorizes the duration/type of the particular LPCO)	2	Continuous
	3	General

Note 2

List of LPCO Type Codes applicable when filing a PGA Message Set for V&E Form 3520-21.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
LPCO Type Codes (Describes the type of license, permit, certificate, or other)	EP4	Test Group Name/ Number (From the Certificate of conformity this is the Exhaust Engine Family name (EFN) and not the Evaporative Engine Family name).
	EP7	Bond Policy Number.
	EP9	EPA Vehicles and Engines Exemption Number.

Note 3

List of Import Codes (PG24 Remarks Codes) mapped to applicable LPCO Type Codes for 3520-21.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>	<i>Required by Import Code:</i>
LPCO Transaction Codes (Describes the type of license, permit, certificate, or other)	EP4	Test Group Name/ Number (From the Certificate of conformity this is the Exhaust Engine Family name (EFN) and not the Evaporative Engine Family name.)	1, 3, 4, 16, 24a
	EP7	Bond Policy Number	24c, 1 + Industry G, 22
	EP9	EPA Vehicles and Engines Exemption Number	2, 5, 6, 7, 10, 11, 12, 14, 18



Record Identifier PG19 (Entity)

This is a mandatory PGA input record that provides EPA with data pertaining to Entity Role, Entity Identification, Entity Name, and Entity Street Address. For EPA Form 3520-21, a minimum of three (3) entities must be identified: Importer, Manufacturer and Certifying Individual. Additional entities, such as Storage Location and NAIC Bond Issuer are conditionally required based on import code. See Appendix A for a list of required records by import code. Additional PG19 records are optional and may be included at the discretion of the filer.

For further guidance on the format of Entity Information in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section C (Data Rules and Record Relationships in the PGA Message Set) in the CBP and Trade Automated Interface Requirements publication.

Note

When reporting the Certifying individual (CI) as an additional role, use a PG55 record. When reporting the Certifying Individual (CI) with no additional roles, the correct placement of the PG19/20/21 for the CI is following the PG22 record.

Record Identifier PG19 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“19”	
Entity Role Code	3AN	5-7	M	Code identifying the role of the entity being provided. For example, CI.	1
Entity Identification Code	3AN	8-10	N/A		
Entity Number	15X	11-25	C	Identification number assigned to an entity. For example, 98102.	
Entity Name	32X	26-57	C	Company name of the entity Role. For example, XYZ CORP.	
Entity Address 1	23X	58-80	C	Line 1 of the Street Address for the entity being described. For example, 1234 PEACHTREE STREET. Conditional based on Entity Role Code Mandatory for Importer and Storage Location.	

Note 1

List of Entity Role codes applicable when filing a PGA Message Set for V&E Form 3520-21

Data Element	Code	Description
Entity Role Codes	IM	Importer
	DFP	Owner
	STL	Storage Location



<i>Data Element</i>	<i>Code</i>	<i>Description</i>
	CI	Certifying Individual
	MF	Manufacturer
	CB	Broker
	NAI	NAIC Bond Issuer

Record Identifier PG20 (Address)

This is a conditional PGA input record that provides additional data pertaining to the Entity identified in the preceding PG19 record; such as Entity Address line 2, Apartment/Suite, City, State, and Zip/Postal Code. A PG20 record is mandatory for these specific entities: Importer and Certifying Individual. Additional entities, such as Storage Location and NAIC Bond Issuer are conditionally required based on import code. See Appendix A for a list of required records by import code. Additional PG19 records are optional and may be included at the discretion of the filer.

For further guidance on the format of Entity Information in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section C (Data Rules and Record Relationships in the PGA Message Set) in the CBP and Trade Automated Interface Requirements publication.

<i>Record Identifier PG20 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“20”	
Entity Address 2	32X	5-36	C		
Entity Apartment Number/Suite Number	5X	37-41	C	For example, 102 A.	
Entity City	21X	42-62	C	For example, ATLANTA. Conditional based on Entity Role Code. Mandatory for Importer.	
Entity State/Province	3AN	63-65	M	For example, GA.	
Entity Country	2A	66-67	C	For example, US. Conditional based on Entity Role Code. Mandatory for Importer and Storage Location.	
Entity Zip/Postal Code	9X	68-76	C	For example, 30301. Conditional based on Entity Role Code. Mandatory for Importer and Storage Location.	
Filler	4X	77-80	M	Space fill.	



Record Identifier PG21 (Point of Contact)

This is a conditional PGA input record that provides EPA with data about an Individual Point of Contact (POC). A PG21 may stand alone or may be related to an entity in the preceding PG19 or PG20. Included in this record are the Individual Name, Telephone Number, Fax Number, and Email Address. A PG21 record is required for these specific entities: Importer and Certifying Individual. Additional entities, such as Storage Location (Contact) may be conditionally required based on import code. See Appendix A for a list of required records by import code. Additional PG19 records are optional and may be included at the discretion of the filer.

For further guidance on the format of Entity Information in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section C (Data Rules and Record Relationships in the PGA Message Set) in the CBP and Trade Automated Interface Requirements publication.

Note

When reporting the Certifying individual (CI) as an additional role, use a PG55 record. When reporting the Certifying Individual (CI) with no additional roles, the correct placement of the PG19/20/21 for the CI is following the PG22 record.

<i>Record Identifier PG21 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“21”	
Individual Qualifier	3AN	5-7	M	Code identifying which entity the Point of Contact is related to. For example, IM is the POC for the Importer.	1
Individual Name	23X	8-30	M	For example, JANE SMITH.	
Telephone Number of the Individual	15X	31-45	M	For example, 7062345678.	
Email Address or Fax Number for the Individual	35X	46-80	C	For example, JANE.SMITH@TEST.COM. Conditional based on Entity Role Code. Mandatory for Importer.	

Note 1

List of Entity Role codes applicable when filing a PGA Message Set for V&E form 3520-21.

Data Element	Code	Description
Entity Role Codes	IM	Importer
	DFP	Owner
	STL	Storage Location
	CI	Certifying Individual
	MF	Manufacturer



	CB	Broker
	NAI	NAIC Bond Issuer



Record Identifier PG55 (Additional Roles)

This is a conditional PGA input record used to provide additional roles performed by an Entity or Individual listed in the preceding PG19.

For further guidance on the use of the PG55 record in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section D (Data Reporting) in the CBP and Trade Automated Interface Requirements publication.

<i>Record Identifier PG55 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“55”	
Entity Role Code	3AN	5-7	M	Code identifying the additional role being assumed by the entity. For example, IM.	1
Entity Role Code	3AN	8-10	C	Code identifying the additional role being assumed by the entity. For example, DFP.	1
Entity Role Code	3AN	11-13	C	Code identifying the additional role being assumed by the entity. For example, MF.	1
Entity Role Code	3AN	14-16	N/A		
Entity Role Code	3AN	17-19	N/A		
Entity Role Code	3AN	20-22	N/A		
Entity Role Code	3AN	23-25	N/A		
Entity Role Code	3AN	26-28	N/A		
Entity Role Code	3AN	29-31	N/A		
Entity Role Code	3AN	32-34	N/A		
Filler	46X	35-80	M	Space fills.	

Note 1

List of Entity Role codes applicable when filing a PGA Message Set for V&E Form 3520-21.

Data Element	Code	Description
Entity Role Codes	IM	Importer
	DFP	Owner
	STL	Storage Location
	CI	Certifying Individual
	MF	Manufacturer
	CB	Broker
	NAI	NAIC Bond Issuer



Record Identifier PG22 (Document Identifier / Certification)

This is a mandatory PGA input record that provides data pertaining to Importers’ Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.

The entity role code position of the PG22 must always be “CI” (Certifying Individual). The certifying individual can be the owner, importer, or an agent for the owner or importer, and is the entity certifying the certification statement found in the Appendix PGA, section “PG22-Declaration Codes”, code “EP1”.

Record Identifier PG22 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“22”	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	N/A		
Document Identifier	7AN	6-12	M	Code identifying the EPA Form the PGA Message Set is being filed in place of. For example, 943.	1
Conformance Declaration	5X	13-17	N/A		
Entity Role Code	3AN	18-20	M	“CI”	
Declaration Code	4AN	21-24	M	Code identifying the certification statement signed by the Certifying Individual. For example, EP1.	2
Declaration Certification	1A	25	M	Designates that the information provided is correct and all required documents have been provided. For example, Y.	
Date of Signature	8N	26-33	M	The format for ‘Date of Signature is 2-digit Month, 2-digit day, and four-digit Year (MMDDYYYY) For example, 04212014, is April, 21 2014.	
Invoice Number	17X	34-50	N/A		
Compliance Description	30X	51-80	N/A		

Note 1

List of Document Identifiers applicable when filing a PGA Message Set for V&E Form 3520-21.

Data Element	Code	Description
Document Identifiers	943	EPA 3520-21



Note 2

List of Declaration codes applicable when filing a PGA Message Set for V&E form 3520-21.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Declaration Codes	EP1	Certification Statement (EPA 3520-21)

Pesticides

The Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) provides the U.S. Environmental Protection Agency (EPA) with the authority to oversee the distribution, sale, and use of pesticides and pesticide devices. In accordance with the implementation of regulations in 40 CFR Parts 150-189, pesticides must be registered with EPA, unless they are exempt from registration under FIFRA. Imported pesticides must also be registered, except those that are exempt from regulation under FIFRA or that meet certain conditions specified in 40 CFR 152.30. All pesticides must be distributed with their label.

U.S. Customs and Border Protection (CBP) Regulations implement the import notification requirements for pesticides and devices under FIFRA section 17 (c). The provisions in 19 CFR 12.110-117 apply to registered pesticides, unregistered pesticides, and pesticide devices. The regulations require an importer, desiring to import pesticides or devices into the United States, to submit EPA Form 3540-1, Notice of Arrival of Pesticides and Devices (NOA), to EPA prior to the arrival of the shipment in the United States. On the NOA, importers must provide the registration status of the pesticide, the active ingredients and their percent composition, the quantity of the pesticide chemical, and additional required data. The PGA Message Set has been designed to allow importers to provide the required data elements electronically, expediting the filing process. An image of the Pesticide Label must be submitted to the Document Image System prior to filing the PGA Message Set.

The following are the potential PGA records associated with submitting Pesticide Data regulated by EPA Form 3540-1:

PG Record	Description
OI	The commercial description of the shipment is provided.
PG01	PGA Line Number, Government Agency Code, Government Agency Program Code, Electronic Image qualifier, and Intended Use Code.
PG02	The item type (Product or Component).
PG07	Trade/Brand name of the shipment.
PG14	LPCO Type information (EPA Registration Numbers (includes section 3, 5, 18, 24(c) and distributor products).
PG19	Entity Role identification and Address line 1.
PG20	Supplemental Entity information (Conditional; Address line 2).
PG21	Entity's individual point of contact, phone number and email.
PG55	Additional roles of the entity identified in the previous PG19 / 20 / 21 records.
PG22	Document Identifier, Declaration Code, and Declaration Certification are provided.
PG24	Remarks Type and Remarks for pesticides.



PG Record	Description
PG26	Unit Size and Quantity of imported pesticide, additional packaging levels.
PG29	Total Net Weight of the imported pesticide.
PG04	Percent Composition by Active Ingredient.

Registered Pesticides Sample

A shipment of Off Mosquito Repellent is imported into the United States. This product contains four active ingredients.

EPA-Pesticides Data Map from Existing EPA Form 3540-1:

		United States Environmental Protection Agency Washington, DC 20460		Send Completed Form to Appropriate Regional Office Listed in the Instructions for this Form.		OMB Control No. 2070-0020 Approval Expires 11/30/2016	
Note: Read Instructions before completing form.							
Part I: To Be Completed by Importer of Record or Licensed Customs Broker							
1. Name and Complete Address of Licensed Customs Broker John Smith - Super Customs Brokerage Company 500 East 17th St. APT 100 New York, NY 10012 US Phone: (202) 234-5678; Email: john.smith@superbrokerage.com PG19/20/21				2. Name and Complete Address of Importer of Record JANE SMITH - BUG SPRAY IMPORT COMPANY 345 NEWBERRY STREET APT 203 BOSTON, MA 02105 US Phone:6172345678; Email: jane.smith@bugsprayimport.com PG19/20/21			
<input type="checkbox"/> Return Form to this Address				<input checked="" type="checkbox"/> Return Form to this Address PG55			
3. Name and Complete Address of Shipper John Reyes - Superior Shipping Company 432 Port Blvd Lapu-Lapu City PG323402486 Phone: +63 324115800; Email: john.reyes@superiorshipping.ph PG19/20/21				4. EPA Registration Number 69340-8 PG14		5. EPA Producer Establishment No. 01234CHN02 PG19	
6. Brand Name of Product Off Mosquito Repellent PG07							
7. Active Ingredients and Percentage of Each PG04 Deet - 15.3757%, IR3535 - 35%, Picaridin - 5.5%, bt moCry 1F - 2.1%							
8. Unit Size PG26 10 Oz		9. Quantity PG26 1000 Bottles		10. Total Net Weight PG29 284.53kg		11. Country of Origin China Entry Data	
12. Port of Entry Newark, NY Entry Data				13. Name and Complete Address of Carrier Jay Smith - Maritime Industrial Shippers 500 E 17th St. APT 500 New York, NY 10012 US Phone:(202) 345-6789; Email: jay.smith@maritimeshippers.com PG19/20/21			
14. Entry Number SP3-34563756 Entry Data		15. Anticipated Entry Date 4/11/2014 Entry Data					
17. Location of Goods for Examination after Importation Famous Storage and Warehouse 12345 Jersey AVE Newark, NJ 07310 US PG19/20/21				16. I assert that information constituting Confidential Business Information is shown in the above blocks numbered: (Note: Blocks 4, 5, 6, 7 are not entitled to CBI treatment--see Instructions) N/A PG01			
18. To be Completed for Pesticides without an EPA Registration Number (The following information is optional, but may expedite processing of the Notice of Arrival. Check all that apply and provide additional information as indicated)							
<input type="checkbox"/> 18a. A pesticide transferred between registered establishments operated by the same producer. Domestic Producer Establishment Number: _____ <input type="checkbox"/> 18b. A pesticide transferred between registered establishments not operated by the same producer. Domestic Producer Establishment Number _____ EPA Registration Number of Product being Formulated, if applicable: _____ <input type="checkbox"/> 18c. A pesticide distributed under an Experimental Use Permit. Experimental Use Permit Number: _____ <input type="checkbox"/> 18d. A pesticide distributed for research and development purposes without an Experimental Use Permit. <input type="checkbox"/> 18e. A pesticide transferred solely for export. Domestic Producer Establishment Number: _____ <input type="checkbox"/> 18f. A pesticide distributed under an emergency exemption. Emergency Exemption Number: _____ PG01/24 <input type="checkbox"/> 18g. A pesticide transferred for the purposes of disposal. <input type="checkbox"/> 18h. Other. Provide an explanation including the intended use and a description of why the product is being imported into the United States in the Remarks (block 19).							

Customs Broker: The customs broker entity information is provided within a PG19/20/21 record set.

Importer of Record: The importer entity information is provided within a PG19/20/21 record set.

Notify Party: The return form to this address party is provided within a PG55 record.

Shipper: The shipper entity information is provided within a PG19/20/21 record set.

Brand Name: The brand name of the product is provided within a PG07 record.

EPA Registration Number: Provided within



a PG14 record with an “EP8” transaction type.

EPA Producer Establishment Number: Provided within a PG19 record with an “EPN” entity role type. The format should be 6 numbers, 3 letters, then 3 more numbers without dashes. i.e. NNNNNNAAANNN.

Active Ingredients: Provided in separate PG04 records immediately after a PG02 that identifies the component (“C”) by its CAS number.

Unit Size: Provided in a PG26. Packaging Qualifier in position 5 identifies the level based on relative quantity (1-6, where 1 is the largest quantity in the shipment). Positions 8-9 are reserved for Unit of Measure.

Quantity: Provided in a PG26.

Total Net Weight: Provided in a PG29. Positions 5-6 are reserved for Unit of Measure.

OMB Control No. 2070-0020-Approval Expires 11/30/2016

19. Remarks (Provide additional information here. It is recommended that a copy of the label affixed to the product be submitted with the NOA.)			
N/A		PG24	
Certification			
I certify that the statements I have made on this form and all attachments thereto are true, accurate, and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law			
		PG22	
20. Printed Name of Importer of Record or Licensed Customs Broker	Telephone Number	21. Signature of Importer of Record or Licensed Customs Broker	Date Signed
Jane Smith	(617) 234-5678		
PG21	PG21	PG22	PG22
Part II: To Be Completed by U.S. Environmental Protection Agency			
Action to be taken by U.S. Customs and Border Protection			
<input type="checkbox"/> Release Shipment	<input type="checkbox"/> Detain for Inspection	<input type="checkbox"/> Release shipment to consignee under bond. Shipment must be held intact pending inspection.	
<input type="checkbox"/> Other (Specify)			
Remarks			
Signature and Title of EPA Official			Date
Part III: To Be Completed by U.S. Customs and Border Protection			
The information shown in Part I was compared with the entry papers for this shipment and no discrepancies were noted. The shipment was handled as instructed by EPA in Part II. Any deviations should be brought to the attention of EPA before releasing shipment and should also be noted in "Remarks."			
Remarks			
Signature of District Director of Customs			Date
Paperwork Reduction Act Notice: The estimated average time to read the instructions and complete this form is 26 minutes. Send comments regarding the burden estimate or any other aspect of this collection of information to: Director, Collection Strategies Division, Office of Environmental Information (OEI), U.S. Environmental Protection Agency (Mail Code 2822), 1200 Pennsylvania Avenue, NW, Washington, DC 20460. Include OMB number 2070-0020 in any correspondence.			

Port Code, Entry Date, Country of Origin, and Entry number: These four data elements are captured by CBP Entry data and will not be reported in the PGA Message Set.

Carrier: The carrier entity information is provided within a PG19/20/21 record set.

Location of Goods for Examination after importation: The storage location entity information is provided within a PG19/20/21 record set.

CBI Indicator: Location 14 of the PG01 record is reserved for indicating the presence of CBI.



BEFORE the PGA Message Set is submitted, an image of the Pesticide Label must be submitted to the Document Image System.

Because of the flexibility of the PGA Message Set, the PGA Records and Data Elements that are required may vary both from program to program and within a single program. For a more expansive set of examples of EPA PGA Message Sets, please refer to the document *EPA PGA Message Set Samples Pilot Programs*.



Record Identifier OI (Input)

This is a mandatory PGA line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message Set.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space filled.	
Commercial Description	70X	11-80	M	Commercial Description of the product. For example, OFF MOSQUITO REPELLENT.	



Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides EPA with data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, Electronic Image qualifier, and Intended Use Code.

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“EPA”	
Government Agency Program Code	3X	11-13	M	“PS1”	1
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	M	Identifying whether an image has been submitted via DIS. For example, Y.	2
Confidential Information Indicator	1A	18	C	Identifying whether confidential information was included in the filing. For example, Y.	3
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	N/A		
Intended Use Description	22X	58-79	N/A		
Disclaimer	1A	80	C	Code declaring filing does not require a PGA Message Set. For Example, A.	4/5

Note 1

List of Government Agency Program Codes applicable when filing a PGA Message Set for Pesticides Form 3540-1.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Government Agency Program Codes	PS1	Registered Pesticides
	PS2	Pesticides – Devices
	PS3	Pesticides – Other



Note 2

At least one image, pesticides label, must be submitted to DIS. The image must be filed before its corresponding Entry/ PGA Message Set.

EPA must be selected as an additional Agency to CBP when submitting the DIS image; otherwise the DIS image will not be associated with the appropriate EPA PGA message set.

Note 3

The confidential information indicator is a singular code of ‘Y’ indicating that all applicable data elements, except those which cannot be declared Confidential Business Information (CBI) by EPA regulation, are considered CBI. If a “Y” is indicated, comments should be provided in a PG24 line.

<i>Non applicable data elements</i>	<i>PGA Record Location</i>
EPA Registration Number	PG14
Producer Establishment Number	PG19
Brand Name of Product	PG07
Major Active Ingredients and Percentage of Each	PG02 / PG04 group

Note 4

A code indicating the reason the PGA message set is being disclaimed and not provided. Valid codes are:

- A = product is not regulated by this agency
- B = data is not required per agency guidance
- C = data filed through other agency means
- D = data filed through paper

No disclaimer is allowed if the HTS is flagged as 'Must Be' provided.

Note 5

When ordering PG lines within an Entry, disclaimed PG lines, should be placed after all other non-disclaimed PG lines.

Record Identifier PG02 (Product Identifier)

This mandatory PGA input record which is used to indicate whether the information being provided relates to a product (P) or a component (C) of a product. All Registered Pesticide Message Sets require one (1) PG02 record identifying the product.

Record Identifier PG02 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“02”	
Item Type	1A	5	M	Identifies the following records as pertaining to the product or a component of the product. For example, P.	1
Product Code Qualifier	4AN	6-9	O	Product Code Qualifier identifying the type of product code number. For example, CAS.	2
Product Code Number	19X	10-28	O	Product Code number identified by the Product Code Qualifier. For example, 94271-03-1.	3
Product Code Qualifier	4AN	29-32	N/A		
Product Code Number	19X	33-51	N/A		
Product Code Qualifier	4AN	52-55	N/A		
Product Code Number	19X	56-74	N/A		
Filler	6X	75-80	M	Space fill.	

Note 1

List of Item Type codes applicable to EPA-Registered Pesticide Message Sets.

Data Element	Code	Description
Item Type	P	Product
	C	Component

Note 2

List of LPCO Type codes applicable to EPA-Pesticide Message Sets.

Data Element	Code	Description
Product Code Qualifier (Describes the type of Product code)	CAS	Chemical Abstract Service Number
	PC	Pesticides Control Number



Note 3

If the product is comprised of a singular chemical component, then all reported data should be at the Product level. If the product is comprised of multiple chemical components, then reported data for the components of the product should be provided at the Component level. If an EPA Registration Number was provided, or the product is a device, the product codes may be left blank. To expedite review of the NOA, it is recommended that each active ingredient be listed to ensure accuracy of information.



Record Identifier PG07 (Product Details)

This is a mandatory PGA input record that provides EPA with data pertaining to the Trade or Brand Name of the product. When filing a PGA Message Set for a Registered Pesticide, one (1) PG07 record containing the Trade/Brand name is required.

<i>Record Identifier PG07 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“07”	
Trade Name/Brand Name	35X	5-39	M	Free-form description of the product Trade/Brand name. For example, OFF MOSQUITO REPELLENT.	
Model	15X	40-54	N/A		
Manufacture Month and Year	6N	55-60	N/A		
Item Identity Number Qualifier	3AN	61-63	N/A		
Item Identity Number	17X	64-80	N/A		



Record Identifier PG14 (License, Permits, Certificates, or Other)

This is a mandatory PGA input record which provides EPA with data pertaining to License, Permits, Certificates, or Other (LPCO). When filing a PGA Message Set for a Registered Pesticide, one (1) PG14 record containing the EPA registration number is required.

<i>Record Identifier PG14 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	N/A		
LPCO Type	3AN	6-8	M	Code identifying the type of LPCO. For example, EP8.	1
LPCO Number (or Name)	33X	9-41	M	LPCO number. For example, 69340-8.	
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1

List of LPCO Transaction codes applicable to EPA-Registered Pesticide Message Sets.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
LPCO Transaction Codes (Describes the type of license, permit, certificate, or other)	EP8	EPA Registration Number (includes FIFRA section 3, 5, 18, 24(c) and distributor products).

Record Identifier PG19 (Entity)

This is a mandatory PGA input record that provides EPA with data pertaining to Entity Role, Entity Identification, Entity Name, and Entity Street Address. This record is mandatory when providing Entity Information. For Registered Pesticides, a minimum of six (6) entities must be identified: Agent/Broker, Importer/Consignee, Shipper, EPA Producer Establishment No., Carrier, and Location of Goods.

For further guidance on the format of Entity Information in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section C (Data Rules and Record Relationships in the PGA Message Set) in the ACE ABI CATAIR publication.

Record Identifier PG19 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“19”	
Entity Role Code	3AN	5-7	M	Code identifying the Role of the Entity. For example, CB.	1
Entity Identification Code	3AN	8-10	N/A		
Entity Number	15X	11-25	C	ID number assigned to an Entity. For example, 012345CHN002.	2
Entity Name	32X	26-57	C	Entity name, such as a company. For Example, SUPER CUSTOMS BROKERAGE COMPANY.	3
Entity Address 1	23X	58-80	C	Address of Entity. For example, 500 EAST 17TH STREET.	3

Note 1

List of Entity Role codes applicable to EPA-Registered Pesticide Message Sets.

Data Element	Code	Description
Entity Role Codes	IM	Importer
	OV	Carrier
	DEQ	Shipper
	EPN*	EPA Producer Establishment Number
	LG	Location of Goods
	CB	Customs Broker

*When providing the EPA Producer Establishment Number all dashes and other special characters must be removed, i.e. 1234-CHN-002 should be 1234CHN002.

Note 2

Entity Number field is required when the Entity Role code is ‘EPN’. The format should be 6 numbers, 3 letters, then 3 more numbers without dashes. i.e. NNNNNNAAANNN.



Note 3

Entity Name and Entity Address 1 fields are required with all Entity Role codes except 'EPN'.



Record Identifier PG20 (Address)

This is a conditional PGA input record that provides EPA with additional data pertaining to the Entity identified in the preceding PG19 record; such as Entity Address line 2, Apartment/Suite, City, State, and Zip/Postal Code. A PG20 record cannot stand on its own and must always follow a PG19. A PG20 record is mandatory for these specific entities: Importer, Carrier, Shipper, Location of Goods, and Broker.

Record Identifier PG20 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“20”	
Entity Address 2	32X	5-36	C	For example, VEHICLE DEPARTMENT.	
Entity Apartment Number/Suite Number	5X	37-41	C	For example, 100.	
Entity City	21X	42-62	M	For example, NEW YORK.	
Entity State/Province	3AN	63-65	M	For example, NY.	
Entity Country	2A	66-67	M	For example, US.	
Entity Zip/Postal Code	9X	68-76	M	For example, 10012.	
Filler	4X	77-80	M	Space fill.	

Record Identifier PG21 (Point of Contact)

This is a conditional PGA input record that provides EPA with data about an Individual Point of Contact (POC) related to the Entity (the party) in the preceding PG19 record. Included in this record are the Individual Name, Telephone Number, Fax Number, and Email address. A PG21 record is required for these specific entities: Importer, Carrier, Shipper, and Broker. Additional PG21 records are optional, and may be included at the discretion of the filer to provide information for additional Entities.

For further guidance on the format of Entity Information in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section C (Data Rules and Record Relationships in the PGA Message Set) in the ACE ABI CATAIR publication.

<i>Record Identifier PG21 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“21”	
Individual Qualifier	3AN	5-7	M	Code identifying the Role of the Entity POC. For example, CB.	1
Individual Name	23X	8-30	M	Entity Point of contact name. For example, JOHN SMITH.	
Telephone Number of the Individual	15X	31-45	M	Entity Point of contact phone number. For example, 2022345678.	
Email Address or Fax Number for the Individual	35X	46-80	M	Entity Point of contact email. For example, JOHN.SMITH@SUPERBROKERAGE.COM.	

Note 1

List of Entity Role codes applicable to EPA-Registered Pesticide Message Sets.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Entity Role Codes	IM	Importer
	OV	Carrier
	DEQ	Shipper
	LG	Location of Goods
	CB	Customs Broker

Record Identifier PG55 (Additional Roles)

This is a mandatory PGA input record used to provide additional roles performed by an Entity or individual previously listed in a PG19. When filing a PGA Message Set for a Registered Pesticide, a minimum of one (1) PG55 record is mandatory to capture the Notifying Party and Certifying Individual. Additional PG55 records are optional and may be included at the discretion of the filer to provide additional Entity Roles.

For further guidance on the use of the PG55 record in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section D (Data Reporting) in the ACE ABI CATAIR publication.

<i>Record Identifier PG55 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“55”	1
Entity Role Code	3AN	5-7	M	Code identifying the Role of the Entity. For example, NP.	1
Entity Role Code	3AN	8-10	M	Code identifying the Role of the Entity. For example, CI.	1
Entity Role Code	3AN	11-13	C	Code identifying the Role of the Entity. For example, DEQ.	1
Entity Role Code	3AN	14-16	C	Code identifying the Role of the Entity. For example, IM.	1
Entity Role Code	3AN	17-19	C	Code identifying the Role of the Entity. For example, LG.	1
Entity Role Code	3AN	20-22	C	Code identifying the Role of the Entity. For example, CB.	1
Entity Role Code	3AN	23-25	C	Code identifying the Role of the Entity. For example, OV.	1
Entity Role Code	3AN	26-28	N/A		
Entity Role Code	3AN	29-31	N/A		
Entity Role Code	3AN	32-34	N/A		
Filler	46X	35-80	M	Spaces fill.	

Note 1

List of Entity Role codes applicable to EPA-Registered Pesticide Message Sets.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Entity Role Codes	IM	Importer
	OV	Carrier
	DEQ	Shipper
	LG	Location of Goods
	CI	Certifying Individual



<i>Data Element</i>	<i>Code</i>	<i>Description</i>
	CB	Customs Broker
	NP	Notify Party

Record Identifier PG22 (Document Identifier / Certification)

This is a mandatory PGA input record that provides EPA with data pertaining to Importers' Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.

The entity role code position of the PG22 must always be "CI" (Certifying Individual). The certifying individual can be the importer of record or licensed customs broker, and is the entity certifying the certification statement found in the Appendix PGA, section "PG22-Declaration Codes", code "EP3".

Record Identifier PG22 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	"PG"	
Record Type	2N	3-4	M	"22"	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	N/A		
Document Identifier	7AN	6-12	M	"944" This is the only acceptable document identifier for EPA Pesticides.	1
Conformance Declaration	5X	13-17	N/A		
Entity Role Code	3AN	18-20	M	"CI"	
Declaration Code	4AN	21-24	M	"EP3"	2
Declaration Certification	1A	25	M	Designates that the information provided is correct and all required documents have been provided. For example, Y.	
Date of Signature	8N	26-33	M	The format for 'Date of Signature is 2-digit Month, 2-digit day, and four-digit Year (MMDDYYYY) For example, 02112014, is February, 11 2014.	
Invoice Number	17X	34-50	N/A		
Compliance Description	30X	51-80	N/A		

Note 1

List of Document Identifiers applicable to EPA-Registered Pesticide Message Sets.

Data Element	Code	Description
Document Identifiers	944	EPA 3540-1

Note 2

EP3 is the only acceptable declaration code for EPA Pesticides. It specifically refers to the certification statement from EPA Form 3540-1.



<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Declaration Codes	EP3	Certification Statement (EPA 3540-1)

Note 3

The format for Date of Signature is MMDDYYYY. Do not include any special characters or spaces.



Record Identifier PG24 (Remarks)

This is an optional PGA input record that provides EPA with data pertaining to the Notice of Arrival (NOA) Form for Registered Pesticides.

<i>Record Identifier PG24 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“24”	
Remarks Type Code	3AN	5-7	O	Pesticides remark type code. For example, GEN.	1
Remarks Code	5AN	8-12	N/A		
Remarks Text	68X	13-80	O	Free-form remarks text field. For example, PESTICIDES REMARK.	

Note 1

List of Remarks Type Codes applicable to EPA-Pesticide Message Sets.
If EP5 or GEN is used, the “Remarks Text” field is required for further explanation.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Remarks Type Codes	EP5	EPA Notice of Arrival of Pesticides and Devices
	GEN	General Remarks

Record Identifier PG26 (Packaging Qualifier)

This is a mandatory PGA input record that provides EPA with data pertaining to Packaging Qualifier, Quantity, Unit of Measure, Package Identifier, Packaging Method, Package Material, and Packaging Filler. This record can be repeated up to six (6) times, once for each unique packaging level. For EPA a minimum of two PG26 records are required. The first record is used to describe the largest (outermost) container and the number of containers at this packaging level. The second record is used to describe the contents of the next largest container. If needed, qualifiers 3-6 are used in a similar manner (largest to smallest container). The final record must describe the actual amount of the product in the smallest container.

Record Identifier PG26 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“26”	
Packaging Qualifier	1N	5	M	Code identifying the level of packaging. For example, 1.	1
Quantity	12N	6-17	M	“Quantity of the packaging level, For example, 000000100000.	2
Unit of Measure (Packaging Level)	5X	18-22	M	Type of packaging / packaging level. For example, BO.	3
Package Identifier	25X	23 - 47	N/A		
Packaging Method	3AN	48-50	N/A		
Package Material	15X	51-65	N/A		
Package Filler	15X	66-80	N/A		

Note 1

This code identifies the level of packaging for the product. Outermost (largest=1) packages to the innermost (smallest=6) packages. There can be up to 6 levels of packaging.

When using multiple PG26 records to report different package sizes of the same product, there is a mandated order for packaging qualifier, i.e. packaging levels must go from largest to smallest, and the appearance of any ‘Packaging Qualifier’ number level requires all levels under it to be represented. For instance, level 3 can’t be present unless levels 1 and 2 are present.

Note 2

There are two implied decimal points when writing the quantity. In this example, 1,000 bottles are represented as 000000100000, with the six leading zeroes as “fill” and two decimal places following the value.

Note 3

The below table provides a few examples of the potential Unit of Measure codes applicable to the EPA-Pesticides Message set and is not representative of all the codes applicable to EPA.

<i>Code</i>	<i>Description</i>
AE	Aerosol
TY	Tank, Cylindrical
VL	Bulk Liquid
BX	Box
CA	Can, Rectangular
CX	Can, Cylindrical
TU	Tube
TK	Tank, rectangular
CON	Container
M3	Cubic meters volume
GAL	Gallons
L	Liters
KG	Kilogram
BO	Bottle, Non-protected, Cylindrical
BQ	Bottle, Protected, Cylindrical
TU	Tube

For a list of all valid Unit of Measure codes please refer to the ACS ABI CATAIR Appendix B (Shipping/Packaging Unit Codes). Alternatively, the FDA/APHIS Unit of measure codes listed in the Appendix PGA are also valid for use with EPA PGA message sets.

**Record Identifier PG29 (Gross/Net Unit of Measure)**

This is a mandatory PGA input record that provides EPA with data pertaining to the Net or Gross Unit of Measure (UOM) of the commodity. This can be provided at the PGA Line level and/or the Individual Unit level.

Record Identifier PG29 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“29”	
Unit of Measure (PGA line - net)	3AN	5-7	M	Unit of Measure of the Product. For example, OZ.	1
Commodity Net Quantity (PGA line - net)	12N	8-19	M	Net quantity of the product. For example, 000000028453.	2
Unit of Measure (PGA line - gross)	3AN	20-22	N/A		
Commodity Gross Quantity (PGA line - gross)	12N	23-34	N/A		
Unit of Measure (Individual Unit - net)	3AN	35-37	N/A		
Commodity Net Quantity (Individual Unit - net)	12N	38-49	N/A		
Unit of Measure (Individual Unit - gross)	3AN	50-52	N/A		
Commodity Gross Quantity (Individual Unit - gross)	12N	53-64	N/A		
Filler	16X	65-80	N/A		

Note 1

The below table provides a few examples of the potential Unit of Measure codes applicable to the EPA-Pesticides Message set and is not representative of all the codes applicable to EPA.

Code	Description
G	Gram
KG	Kilogram
MG	Milligram
OZ	Ounces
OTL	Quarts



<i>Code</i>	<i>Description</i>
ML	Milliliters
GAL	Gallons

For a list of all valid Unit of Measure codes please refer to the ACS ABI CATAIR Appendix B (Shipping/Packaging Unit Codes). Alternatively, the FDA/APHIS Unit of measure codes listed in the Appendix PGA are also valid for use with EPA PGA message sets.

Note 2

There are two implied decimal points when writing the Commodity Net Quantity. As in this example, 10,000 Oz is represented as 000001000000, with five leading zeroes as “fill” and two decimal places following the value.



Record Identifier PG04 (Gross/Net Unit of Measure)

This is an optional PGA input record that provides EPA with data pertaining to Constituent Active Ingredient Qualifier, Name of the Constituent Element, Quantity of Constituent Element, Unit of Measure, and Percent of Constituent Element. This record can be repeated.

For further guidance on the format of reporting component information in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section D – Product and Component in the ACE ABI CATAIR publication.

<i>Record Identifier PG04 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“04”	
Constituent Active Ingredient Qualifier	1A	5	C	Code identifying whether component identified in this record is an Active Ingredient. For example, Y.	
Name of the Constituent Element	51X	6-56	M	The specific name of the ingredient or product/component of an ingredient. For example, DEET.	
Quantity of Constituent Element	12N	57-68	N/A		
Unit of Measure (Constituent Element)	5AN	69-73	N/A		
Percent of Constituent Element	7N	74-80	M	“Percent of component in relations to the product. For example, 0153757.	1

Note 1

There are four implied decimal points when writing the Percent of Constituent Element. As in this example, 15.3757% is represented as 0153757, with one leading zero as “fill”.

Examples of Percentages:

1000000	=	100%
0990000	=	99%
0090000	=	9%
0009000	=	.9%
0000900	=	.09%
0000090	=	.009%
0000009	=	.0009%



TSCA PGA line for Pesticides filings

All Registered pesticide filings must also have a negative TSCA CERT. This is accomplished by filing a TSCA PGA line following the Pesticides PGA line. The following are among the PGA records that may be used when filing negative TSCA certifications:

Note: Please see the Toxic Substance Control Act (TSCA), including Ozone Depleting Substances (ODS) section on page 131 for further information.

PG Record	Description
OI	Commercial description of the shipment
PG01	Government Agency and Program office
PG02	Product/Component level identifier
PG22	Certifying Individual, Positive TSCA certification, and Declaration code
PG21	Certifying individual point of contact, phone number and email



Pesticides - Devices Sample

A shipment of Victor Rat Power Killer is imported into the United States. This product does not have an EPA Registration Number.

EPA-Pesticides Data Map from Existing EPA Form 3540-1:

		United States Environmental Protection Agency Washington, DC 20460		Send Completed Form to Appropriate Regional Office Listed in the Instructions for this Form.		OMB Control No. 2070-0020 Approval Expires 11/30/2016	
Note: Read Instructions before completing form.							
Part I: To Be Completed by Importer of Record or Licensed Customs Broker							
1. Name and Complete Address of Licensed Customs Broker John Smith - Super Customs Brokerage Company 500 East 17th St. APT 100 New York, NY 10012 US Phone - 2022345678 Email - john.smith@superbrokerage.com PG19/20/21				2. Name and Complete Address of Importer of Record Jane Smith - Bug Spray Import Company 345 Newberry St. APT 203 Boston, MA 02105, US Phone - 6172345678 Email - jane.smith@bugsprayimport.com PG19/20/21			
<input type="checkbox"/> Return Form to this Address				<input checked="" type="checkbox"/> Return Form to this Address PG55			
3. Name and Complete Address of Shipper John Reyes - Superior Shipping Company 432 Port Blvd. Lapu-Lapu City 323402486 Philippines PG19/20/21				4. EPA Registration Number DEVICE PG 01		5. EPA Producer Establishment No. 012345CHN002 PG19	
				6. Brand Name of Product Victor Rat Power Killer PG07			
7. Active Ingredients and Percentage of Each N/A							
8. Unit Size PG26 112.5 G		9. Quantity PG26 1000 Boxes		10. Total Net Weight PG29 112.50kg		11. Country of Origin China Entry Data	
12. Port of Entry 4601 Entry Data				13. Name and Complete Address of Carrier Jay Smith - Maritime Industrial Shippers 600 Port Rd Newark, NJ 20034 US Phone - 4101123456 Email - jay.smith@maritimeshippers.com PG19/20/21			
14. Entry Number 988-0871234 Entry Data		15. Anticipated Entry Date 5/22/2014 Entry Data					
17. Location of Goods for Examination after Importation Famous Storage and Warehouse 12345 Jersey Ave. Newark, NJ 07310 US PG19/20/21				16. I assert that information constituting Confidential Business Information is shown in the above blocks numbered: (Note: Blocks 4, 5, 6, 7 are not entitled to CBI treatment-see Instructions.) PG01			
18. To be Completed for Pesticides without an EPA Registration Number (The following information is optional, but may expedite processing of the Notice of Arrival. Check all that apply and provide additional information as indicated)							
<input type="checkbox"/> 18a. A pesticide transferred between registered establishments operated by the same producer. Domestic Producer Establishment Number: _____							
<input type="checkbox"/> 18b. A pesticide transferred between registered establishments not operated by the same producer. Domestic Producer Establishment Number _____ EPA Registration Number of Product being Formulated, if applicable: _____							
<input type="checkbox"/> 18c. A pesticide distributed under an Experimental Use Permit. Experimental Use Permit Number: _____							
<input type="checkbox"/> 18d. A pesticide distributed for research and development purposes without an Experimental Use Permit.							
<input type="checkbox"/> 18e. A pesticide transferred solely for export. Domestic Producer Establishment Number: _____							
<input type="checkbox"/> 18f. A pesticide distributed under an emergency exemption. Emergency Exemption Number: _____ PG01/24							
<input type="checkbox"/> 18g. A pesticide transferred for the purposes of disposal.							
<input type="checkbox"/> 18h. Other. Provide an explanation including the intended use and a description of why the product is being imported into the United States in the Remarks (block 19).							

Customs Broker: The customs broker entity information is provided within a PG19/20/21 record set.

Importer of Record: The importer entity information is provided within a PG19/20/21 record set.

Notify Party: The return form to this address party is provided within a PG55 record.

Shipper: The shipper entity information is provided within a PG19/20/21 record set.

Brand Name: The brand name of the product is provided within a PG07 record.

EPA Registration Number/Device: Device is indicated within the PG01 record by a “PS2” Government Agency Program Code.

EPA Producer Establishment Number: Provided within a PG19 record with an “EPN” entity role type. The format should be 6 numbers, 3 letters, then 3 more numbers without dashes. i.e. NNNNNNAAANN.



Unit Size: Provided in a PG26. Packaging Qualifier in position 5 identifies the level based on relative quantity (1-6, where 1 is the largest quantity in the shipment).

19. Remarks (Provide additional information here. It is recommended that a copy of the label affixed to the product be submitted with the NOA.)			
PG24			
Certification I certify that the statements I have made on this form and all attachments thereto are true, accurate, and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law			
20. Printed Name of Importer of Record or Licensed Customs Broker	Telephone Number	21. Signature of Importer of Record or Licensed Customs Broker	Date Signed
Jane Smith PG19/21	6172345678	PG22	PG22
Part II: To Be Completed by U.S. Environmental Protection Agency			
Action to be taken by U.S. Customs and Border Protection			
<input type="checkbox"/> Release Shipment <input type="checkbox"/> Detain for Inspection <input type="checkbox"/> Release shipment to consignee under bond. Shipment must be held intact pending inspection.			
<input type="checkbox"/> Other (Specify)			
Remarks			
Signature and Title of EPA Official			Date
Part III: To Be Completed by U.S. Customs and Border Protection			
The information shown in Part I was compared with the entry papers for this shipment and no discrepancies were noted. The shipment was handled as instructed by EPA in Part II. Any deviations should be brought to the attention of EPA before releasing shipment and should also be noted in "Remarks."			
Remarks			
Signature of District Director of Customs			Date
Paperwork Reduction Act Notice: The estimated average time to read the instructions and complete this form is 26 minutes. Send comments regarding the burden estimate or any other aspect of this collection of information to: Director, Collection Strategies Division, Office of Environmental Information (OEI), U.S. Environmental Protection Agency (Mail Code 2822), 1200 Pennsylvania Avenue, NW, Washington, DC 20460. Include OMB number 2070-0020 in any correspondence.			

Quantity: Provided in a PG26.

Total Net Weight: Provided in a PG29.

Port Code, Entry Date, Country of Origin, and Entry number: These four data elements are captured by CBP Entry data and will not be reported in the PGA Message Set.

Carrier: The carrier entity information is provided within a PG19/20/21 record set.

Location of Goods for Examination after importation: The storage location entity information is provided within a PG19/20/21 record set.

CBI Indicator: Location 14 of the PG01 record is

reserved for indicating the presence of CBI.

Box 18: This optional supplemental information is provided within a PG24 record.

Remarks: Remarks are provided within a PG24 record with a "GEN" qualifier in positions 5-7.

Certification Statement: The certification statement is represented by the code "EP3" in spaces 21 to 23 on the PG22 line.

Signature: The signature of the individual certifying the PGA Data is found on the PG22 line, represented by the code "Y".



Record Identifier OI (Input)

This is a mandatory PGA line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message Set.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space filled.	
Commercial Description	70X	11-80	M	The commercial Description of the product. For example, RODENT CONTROL DEVICE.	



Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides EPA with data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, Electronic Image qualifier, and Intended Use Code.

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“EPA”	
Government Agency Program Code	3X	11-13	M	“PS2”	1
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	M	Code declaring an image has been submitted via DIS. For example, Y.	2
Confidential Information Indicator	1A	18	C	Code declaring confidential information was included in the filing. For example, Y.	3
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	N/A		
Intended Use Description	22X	58-79	N/A		
Disclaimer	1A	80	C	Code declaring filing does not require a PGA Message Set. For Example, A.	4/5

Note 1

List of Government Agency Program Codes applicable when filing a PGA Message Set for Pesticides Form 3540-1.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Government Agency Program Codes	PS1	Registered Pesticides
	PS2	Pesticides – Devices
	PS3	Pesticides – Other



Note 2

At least one image, pesticides label, must be submitted to DIS. The image must be filed before its corresponding Entry/ PGA Message Set.

EPA must be selected as an additional Agency to CBP when submitting the DIS image; otherwise the DIS image will not be associated with the appropriate EPA PGA message set.

Note 3

The confidential information indicator is a singular code of ‘Y’ indicating that all applicable data elements, except those which cannot be declared Confidential Business Information (CBI) by EPA regulation, are considered CBI. If a “Y” is indicated, comments should be provided in a PG24 line.

<i>Non applicable data elements</i>	<i>PGA Record Location</i>
EPA Registration Number	PG14
Producer Establishment Number	PG19
Brand Name of Product	PG07
Major Active Ingredients and Percentage of Each	PG02 / PG04 group

Note 4

A code indicating the reason the PGA message set is being disclaimed and not provided. Valid codes are:

- A = product is not regulated by this agency
- B = data is not required per agency guidance
- C = data filed through other agency means
- D = data filed through paper

No disclaimer is allowed if the HTS is flagged as 'Must Be' provided.

Note 5

When ordering PG lines within an Entry, disclaimed PG lines, should be placed after all other non-disclaimed PG lines.



Record Identifier PG02 (Product Identifier)

This mandatory PGA input record which is used to indicate whether the information being provided relates to a product (P) or a component (C) of a product. All Pesticide Device Message Sets require one (1) PG02 record identifying the product.

<i>Record Identifier PG02 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“02”	
Item Type	1A	5	M	Identifies the following records as pertaining to the product or a component of the product. For example, P.	1
Product Code Qualifier	4AN	6-9	N/A		
Product Code Number	19X	10-28	N/A		
Product Code Qualifier	4AN	29-32	N/A		
Product Code Number	19X	33-51	N/A		
Product Code Qualifier	4AN	52-55	N/A		
Product Code Number	19X	56-74	N/A		
Filler	6X	75-80	M	Space fill.	

Note 1

List of Item Type codes applicable to EPA Pesticide-Devices Message Sets.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Item Type	P	Product
	C	Component



Record Identifier PG07 (Product Details)

This is a Mandatory PGA input record that provides EPA with data pertaining to the Trade or Brand Name of the product. When filing a PGA Message Set for Pesticide - Devices, one (1) PG07 record containing the Trade/Brand name is required.

<i>Record Identifier PG07 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“07”	
Trade Name/Brand Name	35X	5-39	M	Free-form description of the product Trade/Brand name. For example, VICTOR RAT POWER KILLER.	
Model	15X	40-54	N/A		
Manufacture Month and Year	6N	55-60	N/A		
Item Identity Number Qualifier	3AN	61-63	N/A		
Item Identity Number	17X	64-80	N/A		

Record Identifier PG19 (Entity)

This is a mandatory PGA input record that provides EPA with data pertaining to Entity Role, Entity Identification, Entity Name, and Entity Street Address. This record is mandatory when providing Entity Information. For Pesticide - Devices, a minimum of six (6) entities must be identified: Agent/Broker, Importer/Consignee, Shipper, EPA Producer Establishment No., Carrier, and Location of Goods.

For further guidance on the format of Entity Information in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section C (Data Rules and Record Relationships in the PGA Message Set) in the ACE ABI CATAIR publication.

<i>Record Identifier PG19 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“19”	
Entity Role Code	3AN	5-7	M	Code identifying the Role of an Entity. For example, EPN.	1
Entity Identification Code	3AN	8-10	N/A		
Entity Number	15X	11-25	C	ID number assigned to an Entity. For example, 012345CHN002.	2
Entity Name	32X	26-57	C	Entity name, such as a company. For example, SUPER CUSTOMS BROKERAGE COMPANY.	3
Entity Address 1	23X	58-80	C	Address of Entity. For example, 500 EAST 17TH STREET.	3

Note 1

List of Entity Role codes applicable to EPA Pesticide Device Message Sets.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Entity Role Codes	IM	Importer
	OV	Carrier
	DEQ	Shipper
	EPN*	EPA Producer Establishment Number
	LG	Location of Goods
	CB	Customs Broker

*When providing the EPA Producer Establishment Number all dashes and other special characters must be removed, i.e. 1234-CHN-002 should be 1234CHN002.

Note 2

Entity Number field is required when the Entity Role code is ‘EPN’. The format should be 6 numbers, 3 letters, then 3 more numbers without dashes. i.e. NNNNNNAAANN.



Note 3

Entity Name and Entity Address 1 fields are required with all Entity Role codes except 'EPN'.



Record Identifier PG20 (Address)

This is a conditional PGA input record that provides EPA with additional data pertaining to the Entity identified in the preceding PG19 record; such as: Entity Address line 2, Apartment/Suite, City, State, and Zip/Postal Code. A PG20 record cannot stand on its own, and must always follow a PG19. A PG20 record is mandatory for these specific entities: Importer, Carrier, Shipper, Location of Goods, and Broker.

Record Identifier PG20 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“20”	
Entity Address 2	32X	5-36	C	For example, PESTICIDES DEPARTMENT.	
Entity Apartment Number/Suite Number	5X	37-41	C	For example, 100.	
Entity City	21X	42-62	M	For example, NEW YORK	
Entity State/Province	3AN	63-65	M	For example, NY.	
Entity Country	2A	66-67	M	For example, US.	
Entity Zip/Postal Code	9X	68-76	M	For example, 10012.	
Filler	4X	77-80	M	Space fill.	

Record Identifier PG21 (Point of Contact)

This is a mandatory PGA input record that provides EPA with data about an Individual Point of Contact (POC) related to the Entity (the party) in the preceding PG19 record. Included in this record are the Individual Name, Telephone Number, Fax Number, and Email address. A PG21 record is required for these specific entities: Importer, Carrier, Shipper, and Broker. Additional PG21 records are optional, and may be included at the discretion of the filer to provide information for additional Entities.

For further guidance on the format of Entity Information in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section C (Data Rules and Record Relationships in the PGA Message Set) in the ACE ABI CATAIR publication.

<i>Record Identifier PG21 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“21”	
Individual Qualifier	3AN	5-7	M	Code identifying the Role of the Entity POC. For example, CB.	1
Individual Name	23X	8-30	M	Entity Point of contact name. For example, JOHN SMITH.	
Telephone Number of the Individual	15X	31-45	M	Entity Point of contact phone number. For example, 2022345678.	
Email Address or Fax Number for the Individual	35X	46-80	M	Entity Point of contact email. For example, JOHN.SMITH@SUPERBROKERAGE.COM.	

Note 1

List of Entity Role codes applicable to EPA Pesticide-Devices Message Sets.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Entity Role Codes	IM	Importer
	OV	Carrier
	DEQ	Shipper
	LG	Location of Goods
	CB	Customs Broker



Record Identifier PG55 (Additional Roles)

This is a mandatory PGA input record used to provide additional roles performed by an Entity or individual previously listed in a PG19. When filing a PGA Message Set for a Pesticide Device, a minimum of one (1) PG55 record is required to capture the Notifying Party and Certifying Individual. Additional PG55 records are optional and may be included at the discretion of the filer to provide additional Entity Roles.

For further guidance on the use of the PG55 record in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section D (Data Reporting) in the ACE ABI CATAIR publication.

<i>Record Identifier PG55 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“55”	1
Entity Role Code	3AN	5-7	M	Code identifying the Role of the Entity. For example, NP.	1
Entity Role Code	3AN	8-10	M	Code identifying the Role of the Entity. For example, CI.	1
Entity Role Code	3AN	11-13	C	Code identifying the Role of the Entity. For example, DEQ.	1
Entity Role Code	3AN	14-16	C	Code identifying the Role of the Entity. For example, IM.	1
Entity Role Code	3AN	17-19	C	Code identifying the Role of the Entity. For example, LG.	1
Entity Role Code	3AN	20-22	C	Code identifying the Role of the Entity. For example, CB.	1
Entity Role Code	3AN	23-25	C	Code identifying the Role of the Entity. For example, OV.	1
Entity Role Code	3AN	26-28	N/A		
Entity Role Code	3AN	29-31	N/A		
Entity Role Code	3AN	32-34	N/A		
Filler	46X	35-80	M	Spaces fill.	

Note 1

List of Entity Role codes applicable to EPA Pesticide-Devices Message Sets.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Entity Role Codes	IM	Importer
	OV	Carrier
	DEQ	Shipper
	LG	Location of Goods
	CI	Certifying Individual



<i>Data Element</i>	<i>Code</i>	<i>Description</i>
	CB	Customs Broker
	NP	Notify Party



Record Identifier PG22 (Document Identifier / Certification)

This is a mandatory PGA input record that provides EPA with data pertaining to Importers' Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.

The entity role code position of the PG22 must always be "CI" (Certifying Individual). The certifying individual can be the importer of record or licensed customs broker, and is the entity certifying the certification statement found in the Appendix PGA, section "PG22-Declaration Codes", code "EP3".

Record Identifier PG22 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	"PG"	
Record Type	2N	3-4	M	"22"	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	N/A		
Document Identifier	7AN	6-12	M	"944" This is the only acceptable document identifier for EPA Pesticides.	1
Conformance Declaration	5X	13-17	N/A		
Entity Role Code	3AN	18-20	M	"CI"	
Declaration Code	4AN	21-24	M	"EP3" This is the only acceptable declaration code for EPA Pesticides. It specifically refers to the certification statement from EPA Form 3540-1.	2
Declaration Certification	1A	25	M	Designates that the information provided is correct and all required documents have been provided. For example, Y.	
Date of Signature	8N	26-33	M	The format for 'Date of Signature is 2-digit Month, 2-digit day, and four-digit Year (MMDDYYYY) For example, 02112014, is February, 11 2014.	
Invoice Number	17X	34-50	N/A		
Compliance Description	30X	51-80	N/A		

Note 1

List of Document Identifiers applicable to EPA Pesticide-Devices Message Sets.

Data Element	Code	Description
Document Identifiers	944	EPA 3540-1



Note 2

List of Declaration codes applicable to EPA Pesticide-Devices Message Sets.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Declaration Codes	EP3	Certification Statement (EPA 3540-1)



Record Identifier PG24 (Remarks)

This is an optional PGA input record that provides EPA with data pertaining to the Notice of Arrival (NOA) Form for Pesticide Devices.

<i>Record Identifier PG24 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“24”	
Remarks Type Code	3AN	5-7	O	Pesticides remark type code. For example, GEN.	1
Remarks Code	5AN	8-12	N/A		
Remarks Text	68X	13-80	O	Free-form remarks text field. For example, PESTICIDES REMARK.	

Note 1

List of Remarks Type Codes applicable to EPA Pesticide Device Message Sets
 If EP5 or GEN is used, the “Remarks Text” field is required for further explanation.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Remarks Type Codes	EP5	EPA Notice of Arrival of Pesticides and Devices
	GEN	General Remarks

Record Identifier PG26 (Packaging Qualifier)

This is a mandatory PGA input record that provides EPA with data pertaining to Packaging Qualifier, Quantity, Unit of Measure, Package Identifier, Packaging Method, Package Material, and Packaging Filler. This record can be repeated up to six (6) times, once for each unique packaging level. For EPA a minimum of two PG26 records are required. The first record is used to describe the largest (outermost) container and the number of containers at this packaging level. The second record is used to describe the contents of the next largest container. If needed, qualifiers 3-6 are used in a similar manner (largest to smallest container). The final record must describe the actual amount of the product in the smallest container.

Record Identifier PG26 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“26”	
Packaging Qualifier	1N	5	M	Code identifying the level of packaging. For example, 2.	1
Quantity	12N	6-17	M	“Quantity of the packaging level, For example, 000000011250.	2
Unit of Measure (Packaging Level)	5X	18-22	M	Type of packaging / packaging level. For example, G.	3
Package Identifier	25X	23 - 47	N/A		
Packaging Method	3AN	48-50	N/A		
Package Material	15X	51-65	N/A		
Package Filler	15X	66-80	N/A		

Note 1

This code identifies the level of packaging for the product. Outermost (largest=1) packages to the innermost (smallest=6) packages. There can be up to 6 levels of packaging.

When using multiple PG26 records to report different package sizes of the same product, there is a mandated order for packaging qualifier, i.e. packaging levels must go from largest to smallest, and the appearance of any ‘Packaging Qualifier’ number level requires all levels under it to be represented. For instance, level 3 can’t be present unless levels 1 and 2 are present.

Note 2

There are two implied decimal points when writing the quantity. In this example, 112.50 grams are represented as 000000011250, with the six leading zeroes as “fill” and two decimal places following the value.

Note 3

The below table provides a few examples of the potential Unit of Measure codes applicable to the EPA-Pesticides Message set and is not representative of all the codes applicable to EPA.

<i>Code</i>	<i>Description</i>
AE	Aerosol
TY	Tank, Cylindrical
VL	Bulk Liquid
BX	Box
CA	Can, Rectangular
CX	Can, Cylindrical
TU	Tube
TK	Tank, rectangular
CON	Container
M3	Cubic meters volume
GAL	Gallons
L	Liters
KG	Kilogram
BO	Bottle, Non-protected, Cylindrical
BQ	Bottle, Protected, Cylindrical
TU	Tube

For a list of all valid Unit of Measure codes please refer to the ACS ABI CATAIR Appendix B (Shipping/Packaging Unit Codes). Alternatively, the FDA/APHIS Unit of measure codes listed in the Appendix PGA are also valid for use with EPA PGA message sets.

**Record Identifier PG29 (Gross/Net Unit of Measure)**

This is a mandatory PGA input record that provides EPA with data pertaining to the Net or Gross Unit of Measure (UOM) of the commodity. This can be provided at the PGA Line level and/or the Individual Unit level.

Record Identifier PG29 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“29”	
Unit of Measure (PGA line - net)	3AN	5-7	M	Unit of Measure of the Product. For example, KG.	1
Commodity Net Quantity (PGA line - net)	12N	8-19	M	Net quantity of the product. For example, 000000011250.	2
Unit of Measure (PGA line - gross)	3AN	20-22	N/A		
Commodity Gross Quantity (PGA line - gross)	12N	23-34	N/A		
Unit of Measure (Individual Unit - net)	3AN	35-37	N/A		
Commodity Net Quantity (Individual Unit - net)	12N	38-49	N/A		
Unit of Measure (Individual Unit - gross)	3AN	50-52	N/A		
Commodity Gross Quantity (Individual Unit - gross)	12N	53-64	N/A		
Filler	16X	65-80	N/A		

Note 1

The below table provides a few examples of the potential Unit of Measure codes applicable to the EPA-Pesticides Message set and is not representative of all the codes applicable to EPA.

Code	Description
G	Gram
KG	Kilogram
MG	Milligram
OZ	Ounces
OTL	Quarts



<i>Code</i>	<i>Description</i>
ML	Milliliters
GAL	Gallons

For a list of all valid Unit of Measure codes please refer to the ACS ABI CATAIR Appendix B (Shipping/Packaging Unit Codes). Alternatively, the FDA/APHIS Unit of measure codes listed in the Appendix PGA are also valid for use with EPA PGA message sets.

Note 2

There are two implied decimal points when writing the Commodity Net Quantity. As in this example, 112.50 kg is represented as 000000011250, with the seven leading zeroes as “fill” and two decimal places following the value.



Pesticides - Other Sample

A shipment of L-Glutathione is imported into the United States for Research and development, without an experimental use permit. This product does not have an EPA registration number.

EPA-Pesticides Data Map from Existing EPA Form 3540-1:

		United States Environmental Protection Agency Washington, DC 20460		Send Completed Form to Appropriate Regional Office Listed in the Instructions for this Form.		OMB Control No. 2010-0020 Approval Expires 11/30/2016	
Note: Read Instructions before completing form.							
Part I: To Be Completed by Importer of Record or Licensed Customs Broker							
1. Name and Complete Address of Licensed Customs Broker John Smith - Super Customs Brokerage Company 500 East 17th Street APT 100 New York, NY 10012 US Phone: (202) 234-5678 Email: john.smith@superbrokerage.com PG19/20/21				2. Name and Complete Address of Importer of Record Jane Smith - Bug Spray Import Company 345 Newberry Street APT 203 Boston, MA 02105 US Phone: 6172345678 Email: jane.smith@bugsprayimport.com PG19/20/21			
<input type="checkbox"/> Return Form to this Address				<input checked="" type="checkbox"/> Return Form to this Address			
3. Name and Complete Address of Shipper John Reyes - Superior Shipping Company 432 Port Blvd Lapu-Lapu City Philippines323402486 Phone: +63 324115800 Email: john.reyes@superiorshipping.ph PG19/20/21				4. EPA Registration Number Other PG01		5. EPA Producer Establishment No. 01234CHN002 PG19	
				5. Brand Name of Product L-Glutathione PG07			
7. Active Ingredients and Percentage of Each L-Glutathione - 100% PG02/04							
8. Unit Size .75 Kg PG26		9. Quantity 2 bottles PG26		10. Total Net Weight 1.5 Kg PG29		11. Country of Origin China Entry Data	
12. Port of Entry 6544 Entry Data				13. Name and Complete Address of Carrier Jay Smith - Maritime Industrial Shippers 500 E 17th Street APT 500 New York, NY 10012 US Phone: 202-345-6789 Email: jay.smith@maritimeshippers.com PG19/20/21			
14. Entry Number 345-24567865 Entry Data		15. Anticipated Entry Date 5/11/2014 Entry Data					
17. Location of Goods for Examination after Importation Famous Storage and Warehouse 12345 Jersey AVE Newark, NJ 07310 US PG19/20/21				16. I assert that information constituting Confidential Business Information is shown in the above blocks numbered: (Note: Blocks 4, 5, 6, 7 are not entitled to CBI treatment-see Instructions) N/A PG01			
18. To be Completed for Pesticides without an EPA Registration Number (The following information is optional, but may expedite processing of the Notice of Arrival. Check all that apply and provide additional information as indicated):							
<input type="checkbox"/> 18a. A pesticide transferred between registered establishments operated by the same producer. Domestic Producer Establishment Number: _____							
<input type="checkbox"/> 18b. A pesticide transferred between registered establishments not operated by the same producer. Domestic Producer Establishment Number: _____ EPA Registration Number of Product being Formulated, if applicable: _____							
<input checked="" type="checkbox"/> 18c. A pesticide distributed under an Experimental Use Permit. PG24							
<input type="checkbox"/> 18d. A pesticide transferred solely for export. Domestic Producer Establishment Number: _____							
<input type="checkbox"/> 18f. A pesticide distributed under an emergency exemption. Emergency Exemption Number: _____							
<input type="checkbox"/> 18g. A pesticide transferred for the purposes of disposal.							
<input type="checkbox"/> 18h. Other. Provide an explanation including the intended use and a description of why the product is being imported into the United States in the Remarks (block 19).							

Customs Broker: The customs broker entity information is provided within a PG19/20/21 record set.

Importer of Record: The importer entity information is provided within a PG19/20/21 record set.

Notify Party: The return form to this address party is provided within a PG55 record.

Shipper: The shipper entity information is provided within a PG19/20/21 record set.

Brand Name: The brand name of the product is provided within a PG07 record.

EPA Registration Number\Other: Other is indicated within the PG01 record by a "PS3" Government Agency Program Code.

EPA Form 3540-1 (Rev. 11/13. Previous versions are obsolete.)



EPA Producer Establishment Number: Provided within a PG19 record with an “EPN” entity role type. The format should be 6 numbers, 3 letters, then 3 more numbers without dashes. i.e. NNNNNNAAANN.

Active Ingredients: Provided in separate PG04 records immediately after a PG02 that identifies the component (“C”) by its CAS number.

Unit Size: Provided in a PG26. Packaging Qualifier in position 5 identifies the level based on relative quantity (1-6, where 1 is the largest quantity in the shipment). Positions 8-9 are reserved for Unit of Measure.

Quantity: Provided in a PG26.

Total Net Weight: Provided in a PG29. Positions 5-6 are reserved for Unit of Measure.

OMB Control No. 2070-0020-Approval Expires 11/30/2016

Port Code, Entry Date, Country of Origin, and Entry number: These four data elements are captured by CBP Entry data and will not be reported in the PGA Message Set.

Carrier: The carrier entity information is provided within a PG19/20/21 record set.

Location of Goods for Examination after importation: The storage location entity information is provided within a PG19/20/21 record set.

CBI Indicator: Location 14 of the PG01 record is reserved for indicating

19. Remarks (Provide additional information here. It is recommended that a copy of the label affixed to the product be submitted with the NOA.)			
N/A		PG24	
Certification			
I certify that the statements I have made on this form and all attachments thereto are true, accurate, and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law			
		PG22	
20. Printed Name of Importer of Record or Licensed Customs Broker	Telephone Number	21. Signature of Importer of Record or Licensed Customs Broker	Date Signed
Jane Smith	(617) 234-5678		
	PG21	PG22	PG22
Part II: To Be Completed by U.S. Environmental Protection Agency			
Action to be taken by U.S. Customs and Border Protection			
<input type="checkbox"/> Release Shipment	<input type="checkbox"/> Detain for inspection	<input type="checkbox"/> Release shipment to consignee under bond. Shipment must be held intact pending inspection.	
<input type="checkbox"/> Other (Specify)			
Remarks			
Signature and Title of EPA Official			Date
Part III: To Be Completed by U.S. Customs and Border Protection			
The information shown in Part I was compared with the entry papers for this shipment and no discrepancies were noted. The shipment was handled as instructed by EPA in Part II. Any deviations should be brought to the attention of EPA before releasing shipment and should also be noted in "Remarks."			
Remarks			
Signature of District Director of Customs			Date
Paperwork Reduction Act Notice: The estimated average time to read the instructions and complete this form is 26 minutes. Send comments regarding the burden estimate or any other aspect of this collection of information to: Director, Collection Strategies Division, Office of Environmental Information (OEI), U.S. Environmental Protection Agency (Mail Code 2822), 1200 Pennsylvania Avenue, NW, Washington, DC 20460. Include OMB number 2070-0020 in any correspondence.			

the presence of CBI.

Box 18: This optional supplemental information is provided within a PG24 record.



BEFORE the PGA Message Set is submitted, an image of the Pesticide Label must be submitted to the Document Image System.

Because of the flexibility of the PGA Message Set, the PGA Records and Data Elements that are required may vary both from program to program and within a single program. For a more expansive set of examples of EPA PGA Message Sets, please refer to the document *EPA PGA Message Set Samples Pilot Programs*.



Record Identifier OI (Input)

This is a mandatory PGA line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message Set.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space filled.	
Commercial Description	70X	11-80	M	Commercial Description of the product. For example, L-GLUTATHIONE.	



Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides EPA with data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, Electronic Image qualifier, and Intended Use Code.

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“EPA”	
Government Agency Program Code	3X	11-13	M	“PS3”	1
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	M	Identifying whether an image has been submitted via DIS. For example, Y.	2
Confidential Information Indicator	1A	18	C	Identifying whether confidential information was included in the filing. For example, Y.	3
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	C	The code indicating the intended use base code of the product followed by the intended use sub code. For example, 130.299. (For valid codes, refer to CATAIR Appendix R Intended Use Codes.)	
Intended Use Description	22X	58-79	N/A		
Disclaimer	1A	80	C	Code declaring filing does not require a PGA Message Set. For Example, A.	4/5

Note 1

List of Government Agency Program Codes applicable when filing a PGA Message Set for Pesticides Form 3540-1.



<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Government Agency Program Codes	PS1	Registered Pesticides
	PS2	Pesticides – Devices
	PS3	Pesticides – Other

Note 2

At least one image, pesticides label, must be submitted to DIS. The image must be filed before its corresponding Entry/ PGA Message Set.

EPA must be selected as an additional Agency to CBP when submitting the DIS image; otherwise the DIS image will not be associated with the appropriate EPA PGA message set.

Note 3

The confidential information indicator is a singular code of ‘Y’ indicating that all applicable data elements, except those which cannot be declared CBI by EPA regulation, are considered Confidential Business Information (CBI). If a “Y” is indicated, comments should be provided in a PG24 line.

<i>Non applicable data elements</i>	<i>PGA Record Location</i>
EPA Registration Number	PG14
Producer Establishment Number	PG19
Brand Name of Product	PG07
Major Active Ingredients and Percentage of Each	PG02 / PG04 group

Note 4

A code indicating the reason the PGA message set is being disclaimed and not provided. Valid codes are:

- A = product is not regulated by this agency
- B = data is not required per agency guidance
- C = data filed through other agency means
- D = data filed through paper

No disclaimer is allowed if the HTS is flagged as 'Must Be' provided.

Note 5

When ordering PG lines within an Entry, disclaimed PG lines, should be placed after all other non-disclaimed PG lines.



Record Identifier PG02 (Product Identifier)

This mandatory PGA input record which is used to indicate whether or not the information being provided relates to a product (P) or a component (C) of a product. All Pesticides - Other Message Sets require one (1) PG02 record designating the product.

<i>Record Identifier PG02 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“02”	
Item Type	1A	5	M	Identifies the following records as pertaining to the product or a component of the product. For example, P.	1
Product Code Qualifier	4AN	6-9	C	Product Code Qualifier identifying the type of product code number. For example, CAS.	2
Product Code Number	19X	10-28	C	“Product Code number identified by the Product Code Qualifier. For example, 17-18-8.	3
Product Code Qualifier	4AN	29-32	N/A		
Product Code Number	19X	33-51	N/A		
Product Code Qualifier	4AN	52-55	N/A		
Product Code Number	19X	56-74	N/A		
Filler	6X	75-80	M	Space fill.	

Note 1

List of Item Type codes applicable to EPA-Registered Pesticide Message Sets

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Item Type	P	Product
	C	Component

Note 2

List of LPCO Transaction Type codes applicable to EPA-Pesticide Message Sets

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Product Code Qualifier	CAS	Chemical Abstract Service Number
	PC	Pesticides Control Number



Note 3

If the Product is comprised of a singular chemical component, then all reported data should be at the product level. If the product is comprised of multiple chemical components than reported data for the components of the product should be provided at the Component level. If an EPA Registration Number was provided, or the product is a device, the product codes may be left blank. To expedite review of the NOA, it is recommended that each active ingredient be listed to ensure accuracy of information.

**Record Identifier PG24 (Remarks)**

This is an optional PGA input record that provides EPA with data pertaining to the Notice of Arrival (NOA) for Pesticides form.

<i>Record Identifier PG24 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“24”	
Remarks Type Code	3AN	5-7	O	Pesticides remark type code. For example, GEN.	1
Remarks Code	5AN	8-12	O	Remarks Code providing additional information for unregistered pesticides. For Example, RD.	
Remarks Text	68X	13-80	O	Free-form remarks text field. For example, PESTICIDES REMARK.	2

Note 1

List of Remarks Type Codes applicable to EPA-Pesticide Message Sets

If GEN is used, the “Remarks Text” field is required for further explanation. If EP5 is used, the “Remarks Code” field is required, and the “Remarks Text Field” is for further explanation.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Remarks Type Codes	EP5	EPA Notice of Arrival of Pesticides and Devices
	GEN	General Remarks

Note

List of Remarks Codes applicable to EPA-Pesticide Message Sets

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Remarks Codes	EUP	Experimental Use Permit
	RD	Research and Development (experimental use permit not required)
	EEX	Emergency Exemption
	REX	Re-export (import for export)
	DSP	Disposal
	TR1	Transfer between Registered Establishments. operated by same producer
	TR2	Transfer between Registered Establishments operated by different producers
OTR	Other, provide remarks including the intended use and description of why product is being imported	



Record Identifier PG04 (Gross/Net Unit of Measure)

This is an optional PGA input record that provides EPA with data pertaining to Constituent Active Ingredient Qualifier, Name of the Constituent Element, Quantity of Constituent Element, Unit of Measure, and Percent of Constituent Element. This record can be repeated.

For further guidance on the format of reporting component information in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section D – Product and Component in the ACE ABI CATAIR publication.

<i>Record Identifier PG04 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“04”	
Constituent Active Ingredient Qualifier	1A	5	C	Code identifying whether component identified in this record is an Active Ingredient. For example, Y.	
Name of the Constituent Element	51X	6-56	C	The specific name of the ingredient or product/component of an ingredient. For example, DEET.	
Quantity of Constituent Element	12N	57-68	N/A		
Unit of Measure (Constituent Element)	5AN	69-73	N/A		
Percent of Constituent Element	7N	74-80	M	“Percent of component in relations to the product. For example, 1000000.	1

Note 1

There are four implied decimal points when writing the Percent of Constituent Element. As in this example, 100% is represented as 1000000.

Examples of Percentages:

1000000 = 100%
 0990000 = 99%
 0090000 = 9%
 0009000 = .9%
 0000900 = .09%
 0000090 = .009%
 0000009 = .0009%



Record Identifier PG07 (Product Details)

This is a Mandatory PGA input record that provides EPA with data pertaining to the Trade or Brand Name of the product. When filing a PGA Message Set for Pesticide - Other, one (1) PG07 record containing the Trade/Brand name is required.

<i>Record Identifier PG07 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“07”	
Trade Name/Brand Name	35X	5-39	M	Free-form description of the product Trade/Brand name. For example, L-Glutathione.	
Model	15X	40-54	N/A		
Manufacture Month and Year	6N	55-60	N/A		
Item Identity Number Qualifier	3AN	61-63	N/A		
Item Identity Number	17X	64-80	N/A		



Record Identifier PG14 (License, Permits, Certificates, or Other)

This is an Optional PGA input record which provides EPA with data pertaining to License, Permits, Certificates, or Other. When filing a PGA Message Set for Pesticides - Other, it is **not** required to provide “Other” as in the paper form.

<i>Record Identifier PG14 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“14”	
LPCO Transaction Type	1N	5	O		
LPCO Type	3AN	6-8	O	Code identifying the type of LPCO. For example, EP6.	1
LPCO Number (or Name)	33X	9-41	O	LPCO number. For example, 12-20 (Emergency exception number).	
LPCO Date Qualifier	1N	42	N/A		
LPCO Date	8N	43-50	N/A		
LPCO Quantity	16N	51-66	N/A		
LPCO Unit of Measure	5AN	67-71	N/A		
Exemption Code	9X	72-80	N/A		

Note 1

List of LPCO Transaction codes applicable to EPA-Registered Pesticide Message Sets

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
LPCO Transaction Codes (Describes the type of license, permit, certificate, or other)	EP8	EPA Registration Number (includes FIFRA section 3, 5, 18, 24(c) and distributor products).

Record Identifier PG19 (Entity)

This is a mandatory PGA input record that provides EPA with data pertaining to Entity Role, Entity Identification, Entity Name, and Entity Street Address. This record is mandatory when providing Entity Information. For Registered Pesticides, a minimum of six (6) entities must be identified: Agent/Broker, Importer/Consignee, Shipper, EPA Producer Establishment No., Carrier, Location of Goods, and Certifying Individual.

For further guidance on the format of Entity Information in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section C (Data Rules and Record Relationships in the PGA Message Set) in the ACE ABI CATAIR publication.

<i>Record Identifier PG19 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“19”	
Entity Role Code	3AN	5-7	M	Code identifying the Role of the Entity. For example, CB.	1
Entity Identification Code	3AN	8-10	N/A		
Entity Number	15X	11-25	C	ID number assigned to an Entity. For example, 012345CHN002.	2
Entity Name	32X	26-57	C	Entity name such as a company. For Example, SUPER CUSTOMS BROKERAGE COMPANY.	3
Entity Address 1	23X	58-80	C	Address of Entity. For example 500 EAST 17TH STREET.	3

Note 1

List of Entity Role codes applicable to EPA-Registered Pesticide Message Sets

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Entity Role Codes	IM	Importer
	OV	Carrier
	DEQ	Shipper
	EPN*	EPA Producer Establishment Number
	LG	Location of Goods
	CB	Customs Broker

*When providing the EPA Producer Establishment Number all dashes and other special characters must be removed, i.e. 1234-CHN-002 should be 1234CHN002.

Note 2

Entity Number field is required when the Entity Role code is ‘EPN’. The format should be 6 numbers, 3 letters, then 3 more numbers without dashes. i.e. NNNNNNAAANNN.



Note 3

Entity Name and Entity Address 1 fields are required with all Entity Role codes except 'EPN'



Record Identifier PG20 (Address)

This is a conditional PGA input record that provides EPA with additional data pertaining to the Entity identified in the preceding PG19 record; such as Entity Address line 2, Apartment/Suite, City, State, and Zip/Postal Code. A PG20 record cannot stand on its own and must always follow a PG19. A PG20 record is mandatory for these specific entities: Importer, Carrier, Shipper, Location of Goods, and Broker.

Record Identifier PG20 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“20”	
Entity Address 2	32X	5-36	C	For example, PESTICIDE DEPARTMENT.	
Entity Apartment Number/Suite Number	5X	37-41	C	For example, 100.	
Entity City	21X	42-62	M	For example, NEW YORK	
Entity State/Province	3AN	63-65	M	For example, NY.	
Entity Country	2A	66-67	M	For example, US.	
Entity Zip/Postal Code	9X	68-76	M	For example, 10012.	
Filler	4X	77-80	M	Space fill.	

Record Identifier PG21 (Point of Contact)

This is a conditional PGA input record that provides EPA with data about an Individual Point of Contact (POC) related to the Entity (the party) in the preceding PG19 record. Included in this record are the Individual Name, Telephone Number, Fax Number, and Email address. A PG21 record is required for these specific entities: Importer, Carrier, Shipper, and Broker. Additional PG21 records are optional and may be included at the discretion of the filer to provide additional Entity Information for additional Entities.

For further guidance on the format of Entity Information in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section C (Data Rules and Record Relationships in the PGA Message Set) in the ACE ABI CATAIR publication.

<i>Record Identifier PG21 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“21”	
Individual Qualifier	3AN	5-7	M	Code identifying the Role of the Entity POC. For example, CB.	1
Individual Name	23X	8-30	M	Entity Point of contact name. For example, JOHN SMITH.	
Telephone Number of the Individual	15X	31-45	M	Entity Point of contact phone number. For example, 2022345678.	
Email Address or Fax Number for the Individual	35X	46-80	M	Entity Point of contact email. For example, JOHN.SMITH@SUPERBROKERAGE.COM.	

Note 1

List of Entity Role codes applicable to EPA-Registered Pesticide Message Sets

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Entity Role Codes	IM	Importer
	OV	Carrier
	DEQ	Shipper
	LG	Location of Goods
	CB	Customs Broker

Record Identifier PG55 (Additional Roles)

This is a Mandatory PGA input record used to provide additional roles performed by an Entity or individual previously listed in a PG19. When filing a PGA Message Set for a Registered Pesticide, a minimum of one (1) PG55 record is mandatory to capture the Notifying party and Certifying individual. Additional PG55 records are optional and may be included at the discretion of the filer to provide additional Entity roles.

For further guidance on the use of the PG55 record in the PGA Message Set, please refer to the PGA Message Set Usage Notes, Section D (Data Reporting) in the ACE ABI CATAIR publication.

<i>Record Identifier PG55 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“55”	1
Entity Role Code	3AN	5-7	C	Code identifying the Role of the Entity. For example, NP.	1
Entity Role Code	3AN	8-10	C	Code identifying the Role of the Entity. For example, CI.	1
Entity Role Code	3AN	11-13	C	Code identifying the Role of the Entity. For example, DEQ.	1
Entity Role Code	3AN	14-16	C	Code identifying the Role of the Entity. For example, IM.	1
Entity Role Code	3AN	17-19	C	Code identifying the Role of the Entity. For example, LG.	1
Entity Role Code	3AN	20-22	C	Code identifying the Role of the Entity. For example, CB.	1
Entity Role Code	3AN	23-25	C	Code identifying the Role of the Entity. For example, OV.	1
Entity Role Code	3AN	26-28	N/A		
Entity Role Code	3AN	29-31	N/A		
Entity Role Code	3AN	32-34	N/A		
Filler	46X	35-80	M	Spaces fill.	

Note 1

List of Entity Role codes applicable to EPA-Registered Pesticide Message Sets

Data Element	Code	Description
Entity Role Codes	IM	Importer
	OV	Carrier
	DEQ	Shipper
	LG	Location of Goods
	CI	Certifying Individual



<i>Data Element</i>	<i>Code</i>	<i>Description</i>
	CB	Customs Broker
	NP	Notify Party



Record Identifier PG22 (Document Identifier / Certification)

This is a Mandatory PGA input record that provides EPA with data pertaining to Importers Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.

The entity role code position of the PG22 must always be “CI” (Certifying Individual). The certifying individual can be the importer of record or licensed customs broker, and is the entity certifying the certification statement found in the Appendix PGA, section “PG22-Declaration Codes”, code “EP3”.

<i>Record Identifier PG22 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“22”	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	N/A		
Document Identifier	7AN	6-12	M	“944” This is the only acceptable document identifier for EPA Pesticides.	1
Conformance Declaration	5X	13-17	N/A		
Entity Role Code	3AN	18-20	M	“CI”	
Declaration Code	4AN	21-24	C	“EP3” This is the only acceptable declaration code for EPA Pesticides. It specifically refers to the certification statement from EPA Form 3540-1.	2
Declaration Certification	1A	25	C	Designates that the information provided is correct and all required documents have been provided. For example, Y.	
Date of Signature	8N	26-33	M	The format for ‘Date of Signature is 2-digit Month, 2-digit day, and four-digit Year (MMDDYYYY) For example, 02112014, is February, 11 2014.	
Invoice Number	17X	34-50	N/A		
Compliance Description	30X	51-80	N/A		

Note 1

List of Document Identifiers applicable to EPA-Registered Pesticide Message Sets

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Document Identifiers	944	EPA 3540-1



Note 2

List of Declaration codes applicable to EPA-Registered Pesticide Message Sets

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Declaration Codes	EP3	Certification Statement (EPA 3540-1)

Record Identifier PG26 (Packaging Qualifier)

This is a mandatory PGA input record that provides EPA with data pertaining to Packaging Qualifier, Quantity, Unit of Measure, Package Identifier, Packaging Method, Package Material, and Packaging Filler. This record can be repeated up to six (6) times, once for each unique packaging level. For EPA a minimum of two PG26 records are required. The first record is used to describe the largest (outermost) container and the number of containers at this packaging level. The second record is used to describe the contents of the next largest container. If needed, qualifiers 3-6 are used in a similar manner (largest to smallest container). The final record must describe the actual amount of the product in the smallest container.

Record Identifier PG26 (Input)					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“26”	
Packaging Qualifier	1N	5	M	Code identifying the level of packaging. For example, 2.	1
Quantity	12N	6-17	M	“Quantity of the packaging level, For example, 000000000075.	2
Unit of Measure (Packaging Level)	5X	18-22	M	Type of packaging / packaging level. For example, KG.	3
Package Identifier	25X	23 - 47	N/A		
Packaging Method	3AN	48-50	N/A		
Package Material	15X	51-65	N/A		
Package Filler	15X	66-80	N/A		

Note 1

This code identifies the level of packaging for the product. Outermost (largest=1) packages to the innermost (smallest=6) packages. There can be up to 6 levels of packaging.

When using multiple PG26 records to report different package sizes of the same product, there is a mandated order for packaging qualifier, i.e. packaging levels must go from largest to smallest, and the appearance of any ‘Packaging Qualifier’ number level requires all levels under it to be represented. For instance, level 3 can’t be present unless levels 1 and 2 are present.

Note 2

There are two implied decimal points when writing the quantity. In this example, .75 Kg are represented as 000000000075, with the ten leading zeroes as “fill”. The quantity of .75 has two implied decimal places, so it is actually .75 represented by the 000000000075 value in the field.

Note 3

The below table provides a few examples of the potential Unit of Measure codes applicable to the EPA-Pesticides Message set and is not representative of all the codes applicable to EPA.

<i>Code</i>	<i>Description</i>
AE	Aerosol
TY	Tank, Cylindrical
VL	Bulk Liquid
BX	Box
CA	Can, Rectangular
CX	Can, Cylindrical
TU	Tube
TK	Tank, rectangular
CON	Container
M3	Cubic meters volume
GAL	Gallons
L	Liters
KG	Kilogram
BO	Bottle, Non-protected, Cylindrical
BQ	Bottle, Protected, Cylindrical
TU	Tube

For a list of all valid Unit of Measure codes please refer to the ACS ABI CATAIR Appendix B (Shipping/Packaging Unit Codes). Alternatively, the FDA/APHIS Unit of measure codes listed in the Appendix PGA are also valid for use with EPA PGA message sets.



Record Identifier PG29 (Gross/Net Unit of Measure)

This is a mandatory PGA input record that provides EPA with data pertaining to the net or gross unit of measure of the commodity. This can be provided at the overall PGA Line Number and/or the Individual Unit level.

<i>Record Identifier PG29 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“29”	
Unit of Measure (PGA line - net)	3AN	5-7	M	Unit of Measure of the Product. For example, KG.	1
Commodity Net Quantity (PGA line - net)	12N	8-19	M	Net quantity of the product. For example, 00000000150.	2
Unit of Measure (PGA line - gross)	3AN	20-22	N/A		
Commodity Gross Quantity (PGA line - gross)	12N	23-34	N/A		
Unit of Measure (Individual Unit - net)	3AN	35-37	N/A		
Commodity Net Quantity (Individual Unit - net)	12N	38-49	N/A		
Unit of Measure (Individual Unit - gross)	3AN	50-52	N/A		
Commodity Gross Quantity (Individual Unit - gross)	12N	53-64	N/A		
Filler	16X	65-80	N/A		

Note 1

The below table provides a few examples of the potential Unit of Measure codes applicable to the EPA-Pesticides Message set and is not representative of all the codes applicable to EPA.

<i>Code</i>	<i>Description</i>
G	Gram
KG	Kilogram
MG	Milligram
OZ	Ounces
OTL	Quarts



<i>Code</i>	<i>Description</i>
ML	Milliliters
GAL	Gallons

For a list of all valid Unit of Measure codes please refer to the ACS ABI CATAIR Appendix B (Shipping/Packaging Unit Codes). Alternatively, the FDA/APHIS Unit of measure codes listed in the Appendix PGA are also valid for use with EPA PGA message sets.

Note 2

There are two implied decimal points when writing the commodity net quantity. As in this example, 1.5 kg is represented as 00000000150, with the nine leading zeroes as “fill”. The quantity of 1.50 kg has two implied decimal places, so it is represented by the 150 value in the field.



TSCA PGA line for Pesticides filings

All Pesticide other filings must also have a negative TSCA CERT. This is accomplished by filing a TSCA PGA line following the Pesticides PGA line. The following are among the PGA records that may be used when filing negative TSCA certifications:

Note: Please see the Toxic Substance Control Act (TSCA), including Ozone Depleting Substances (ODS) section on page 131 for further information.

PG Record	Description
OI	Commercial description of the shipment
PG01	Government Agency and Program office
PG02	Product/Component level identifier
PG22	Certifying Individual, Positive TSCA certification, and Declaration code
PG21	Certifying individual point of contact, phone number and email

Toxic Substance Control Act (TSCA), including Ozone Depleting Substances (ODS)

Under the Toxic Substances Control Act (TSCA), EPA has the authority to regulate the manufacture (including import), processing, use, distribution in commerce, and disposal of chemical substances and mixtures that present, or will present, an unreasonable risk to human health or the environment. Importers of chemicals in bulk or as part of mixtures are required to certify that their imported chemicals or mixtures are either in compliance with TSCA or not subject to TSCA. Please note that HTS codes that have been flagged are an initial indication that it is neither precise nor fully determinative of obligations for TSCA certification. The filer is responsible for knowing when to certify.

The construction of an EPA PGA Message Set for TSCA certification can be used to declare the positive or negative TSCA certification statement. The certification statements are as follows:

- **Positive** - I certify that all chemical substances in this shipment comply with all applicable rules or orders under TSCA and that I am not offering a chemical substance for entry in violation of TSCA or any applicable rule or order under TSCA.
- **Negative** - I certify that all chemicals in this shipment are not subject to TSCA.

The following are among the PGA records that may implicate filing of positive or negative TSCA certifications:

PG Record	Description
OI	Commercial description of the shipment
PG01	Government Agency and Program office
PG02	Product/Component level identifier
PG22	Certifying Individual, Positive TSCA certification, and Declaration code
PG21	Certifying individual point of contact, phone number and email

TSCA Sample

A shipment of Aluminum Chloride is imported into the United States. It is regulated by TSCA and is listed in the TSCA Chemical Inventory, and the filer submits a positive TSCA certification PGA Message Set.

TSCA Message Set Sample Layout



Record Identifier OI (Input)

This is a mandatory PGA line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message Set.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space filled.	
Commercial Description	70X	11-80	M	The commercial description of the product. For example, ALUMINUM CHLORIDE.	



Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, and Disclaimer.

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“EPA”	
Government Agency Program Code	3X	11-13	M	Code designating the Government Agency Program that is applicable. For Example, TS1.	1
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	O		
Confidential Information Indicator	1A	18	N/A		
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	N/A		
Intended Use Description	22X	58-79	N/A		
Disclaimer	1A	80	C	Code declaring filing does not require a PGA Message Set. For Example, A.	3/4

Note 1

List of applicable Government Agency Program codes for TSCA PGA Message Sets:

<i>Government Agency Program Code</i>	<i>Description</i>
TS1	Toxic Substance Control Act
ODS	Ozone Depleting Substances



Note 2

A code indicating the reason the PGA message set is being disclaimed and not provided. Valid codes are:

- A = product is not regulated by this agency
- B = data is not required per agency guidance
- C = data filed through other agency means
- D = data filed through paper

No disclaimer is allowed if the HTS is flagged as 'Must Be' provided.

Note 4

When ordering PG lines within an Entry, disclaimed PG lines, should be placed after all other non-disclaimed PG lines.



Record Identifier PG02 (Product Identifier)

This mandatory PGA input record is used to indicate whether or not the information being provided relates to a product (P) or a component (C) of a product.

<i>Record Identifier PG02 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“02”	
Item Type	1A	5	M	Code identifying the following records as pertaining to the product or a component. For example, P.	
Product Code Qualifier	4AN	6-9	N/A		
Product Code Number	19X	10-28	N/A		
Product Code Qualifier	4AN	29-32	N/A		
Product Code Number	19X	33-51	N/A		
Product Code Qualifier	4AN	52-55	N/A		
Product Code Number	19X	56-74	N/A		
Filler	6X	75-80	M	Space fill.	



Record Identifier PG22 (Document Identifier / Certification)

This is a mandatory PGA input record that provides data pertaining to Importers' Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.

<i>Record Identifier PG22 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	"PG"	
Record Type	2N	3-4	M	"22"	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	N/A		
Document Identifier	7AN	6-12	N/A		
Conformance Declaration	5X	13-17	N/A		
Entity Role Code	3AN	18-20	M	"CI"	1
Declaration Code	4AN	21-24	M	Code identifying TSCA positive or negative certification. For example, EP4.	2
Declaration Certification	1A	25	M	Designates that the information provided is correct and all required documents have been provided. For example, Y.	
Date of Signature	8N	26-33	N/A		
Invoice Number	17X	34-50	N/A		
Compliance Description	30X	51-80	N/A		

Note 1

List of Entity Role codes applicable to EPA-TSCA Message Sets:

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Entity Role Codes	CI	Certifying Individual

Note 2

List of Declaration codes applicable to EPA-TSCA Message Sets:

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
TSCA Certification	EP4 (Positive Certification)	I certify that all chemical substances in this shipment comply with all applicable rules or orders under TSCA and that I am not offering a chemical substance for entry in violation of TSCA



<i>Data Element</i>	<i>Code</i>	<i>Description</i>
		or any applicable rule or order under TSCA.
	EP5 (Negative Certification)	I certify that all chemicals in this shipment are not subject to TSCA.



Record Identifier PG21 (Point of Contact)

This is a conditional PGA input record that provides EPA with data about an Individual Point of Contact (POC) related to the Entity (the party) in the preceding PG19 record. Included in this record are the Individual Name, Telephone Number, Fax Number, and Email address.

<i>Record Identifier PG21 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“21”	
Individual Qualifier	3AN	5-7	M	Code identifying which entity the Point of Contact is related to. For example, CI.	1
Individual Name	23X	8-30	M	For example, JANE SIMMONS.	
Telephone Number of the Individual	15X	31-45	M	For example, 2025551212.	
Email Address or Fax Number for the Individual	35X	46-80	M	For example, JSIMMONS@ELEMENTALIMPORTER S.COM.	

Note 1

The only Entity Role code that is applicable to EPA-TSCA Message Sets is for Certifying Individual. That is, an individual who is an authorized official of the importer or of an agent of the importer who makes the certification required under 19 CFR 12.121.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Entity Role Codes	CI	Certifying Individual



Record Identifier OI (Input)

This is a mandatory PGA line item description input record that provides the description of the item. This record precedes the Record Identifiers for the PGA Message Set.

<i>Record Identifier OI (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“OI”	
Filler	8AN	3-10	M	Space filled.	
Commercial Description	70X	11-80	M	The commercial description of the product. For example, MONOCHLORODIFLUOROMETHAN.	



Record Identifier PG01 (PGA Identifier)

This is a mandatory PGA input record that provides data pertaining to the PGA Line Number, Government Agency Code, Government Agency Program Code, and Disclaimer.

<i>Record Identifier PG01 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“01”	
PGA Line Number	3N	5-7	M	“001”	
Government Agency Code	3AN	8-10	M	“EPA”	
Government Agency Program Code	3X	11-13	M	Code designating the Government Agency Program that is applicable. For Example, ODS.	1
Government Agency Processing Code	3AN	14-16	N/A		
Electronic Image Submitted	1A	17	C	Identifying whether an image has been submitted via DIS. For example, Y.	2
Confidential Information Indicator	1A	18	N/A		
Globally Unique Product Identification Code Qualifier	4AN	19-22	N/A		
Globally Unique Product Identification Code	19X	23-41	N/A		
Intended Use Code	16X	42-57	N/A		
Intended Use Description	22X	58-79	N/A		
Disclaimer	1A	80	C	Code declaring filing does not require a PGA Message Set. For Example, A.	3

Note 1

List of applicable Government Agency Program codes for TSCA PGA Message Sets:

<i>Government Agency Program Code</i>	<i>Description</i>
TS1	Toxic Substance Control Act
ODS	Ozone Depleting Substances



Note 2

An image of the EPA-issued Non Objection Notice is conditionally required if the ODS has been previously used (i.e. not virgin). For additional information on HCFCs, please refer to: <http://www3.epa.gov/ozone/title6/imports/usedclasstwo.html>. For information on all other ODS, please refer to <http://www3.epa.gov/ozone/title6/imports/usedclassone.html>.

Note 3

A code indicating the reason the PGA message set is being disclaimed and not provided. Valid codes are:

- A = product is not regulated by this agency
- B = data is not required per agency guidance
- C = data filed through other agency means
- D = data filed through paper

No disclaimer is allowed if the HTS is flagged as 'Must Be' provided.

When ordering PG lines within an Entry, disclaimed PG lines, should be placed after all other non-disclaimed PG lines.



Record Identifier PG02 (Product Identifier)

This mandatory PGA input record is used to indicate whether or not the information being provided relates to a product (P) or a component (C) of a product.

<i>Record Identifier PG02 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“02”	
Item Type	1A	5	M	Code identifying the following records as pertaining to the product or a component. For example, P.	
Product Code Qualifier	4AN	6-9	N/A		
Product Code Number	19X	10-28	N/A		
Product Code Qualifier	4AN	29-32	N/A		
Product Code Number	19X	33-51	N/A		
Product Code Qualifier	4AN	52-55	N/A		
Product Code Number	19X	56-74	N/A		
Filler	6X	75-80	M	Space fill.	

Record Identifier PG22 (Document Identifier / Certification)

This is a mandatory PGA input record that provides data pertaining to Importers' Substantiating Documents, Document Identifiers, Conformance Declarations, and Declaration Certifications.

<i>Record Identifier PG22 (Input)</i>					
Data Element	Length/Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	"PG"	
Record Type	2N	3-4	M	"22"	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	N/A		
Document Identifier	7AN	6-12	N/A		
Conformance Declaration	5X	13-17	N/A		
Entity Role Code	3AN	18-20	M	"CI"	1
Declaration Code	4AN	21-24	M	Code identifying TSCA positive or negative certification. For example, EP4.	2
Declaration Certification	1A	25	M	Designates that the information provided is correct and all required documents have been provided. For example, Y.	
Date of Signature	8N	26-33	N/A		
Invoice Number	17X	34-50	N/A		
Compliance Description	30X	51-80	N/A		

Note 1

List of Entity Role codes applicable to EPA-TSCA Message Sets:

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Entity Role Codes	CI	Certifying Individual

Note 2

List of Declaration codes applicable to EPA-TSCA Message Sets:

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
TSCA Certification	EP4 (Positive Certification)	I certify that all chemical substances in this shipment comply with all applicable rules or orders under TSCA and that I am not offering a chemical substance for entry in violation of TSCA



<i>Data Element</i>	<i>Code</i>	<i>Description</i>
		or any applicable rule or order under TSCA.
	EP5 (Negative Certification)	I certify that all chemicals in this shipment are not subject to TSCA.



Record Identifier PG21 (Point of Contact)

This is a conditional PGA input record that provides EPA with data about an Individual Point of Contact (POC) related to the Entity (the party) in the preceding PG19 record. Included in this record are the Individual Name, Telephone Number, Fax Number, and Email address.

<i>Record Identifier PG21 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	“PG”	
Record Type	2N	3-4	M	“21”	
Individual Qualifier	3AN	5-7	M	Code identifying which entity the Point of Contact is related to. For example, CI.	1
Individual Name	23X	8-30	M	For example, JANE SIMMONS.	
Telephone Number of the Individual	15X	31-45	M	For example, 2025551212.	
Email Address or Fax Number for the Individual	35X	46-80	M	For example, JSIMMONS@ELEMENTALIMPORTER S.COM.	

Note 1

The only Entity Role code that is applicable to EPA-TSCA Message Sets is for Certifying Individual. That is, an individual who is an authorized official of the importer or of an agent of the importer who makes the certification required under 19 CFR 12.121.

<i>Data Element</i>	<i>Code</i>	<i>Description</i>
Entity Role Codes	CI	Certifying Individual

Appendix A

Vehicles and Engines Reporting Requirements by Import Code

<i>Import Codes (EPA Form 3520-1)</i>		
Import Code	Vehicles and Engines Required Data element	Applicable PGA Record
B	Test Group Name/ Engine Family Number	PG14
F	Test Group Name/ Engine Family Number	PG14
EE	Canadian emissions control label or letter from OEM or Registration/Title	PG01 (DIS filing – Electronic Image Indicator)
	Certification of not importing for lease or resale	PG24
FF	Certification that labeling, warranty and CAFÉ requirements will be met	PG24
	Canadian emissions control label, letter from OEM, or Registration or title	PG01 (DIS filing – Electronic Image Indicator)
M	Explanation of exemption <ul style="list-style-type: none"> • Canadian vehicle received by US resident • Importer is either permanently emigrating to the US or will reside for greater than one year with a student or work visa 	PG24
	Explanation of exemption <ul style="list-style-type: none"> • Importer has an EPA hardship letter 	PG01 (DIS filing – Electronic Image Indicator)
E	N/A	N/A
L	Exemption Number	PG14
U	Model year and Power rating	PG10
W	Test Group Name/ Engine Family Number	PG14
	Or statement if Test Group Name/ Engine Family Number is pending	PG24
Y	Model Year and Fuel type	PG10
G	Exemption number and CBP bond number	(2) PG14
I	Exemption number and CBP bond number	(2) PG14
K	Exemption number and CBP bond number	(2) PG14
N	Letter from Department of State (DIS filing)	PG01 (DIS filing – Electronic Image Indicator)
O	Exemption number	PG14



<i>Import Codes (EPA Form 3520-1)</i>		
A	Test Group Name/ Engine Family Number	PG14
	Model year	PG10
C	Model year	PG10
J	CBP bond number	PG10
Z	Model year	PG10
H	N/A	N/A
Q	N/A	N/A
<i>Import Codes (EPA Form 3520-21)</i>		
Import Code	Vehicles and Engines Required Data Element	Applicable PGA Record
1	Test Group Name/ Engine Family Number	PG14
	<i>When combined with Industry code G:</i>	
	Model year	PG10
	Bond exemption status	PG24
	Bond Policy number	PG14
2	Engine Label (DIS filing)	PG01 (DIS filing – Electronic Image Indicator)
3	Engine Label (DIS filing)	PG01 (DIS filing – Electronic Image Indicator)
	Test Group Name/ Engine Family Number	PG14
4	Engine Label (DIS filing)	PG01 (DIS filing – Electronic Image Indicator)
	Test Group Name/ Engine Family Number	PG14
5	Engine Label (DIS filing)	Engine Label (DIS filing)
	Test Group Name/ Engine Family Number	PG14
6	Engine Label (DIS filing)	PG01 (DIS filing – Electronic Image Indicator)
	Test Group Name/ Engine Family Number	PG14
7	Engine Label (DIS filing)	PG01 (DIS filing – Electronic Image Indicator)
	Test Group Name/ Engine Family Number	PG14
8	Letter from Engine Manufacturer (DIS filing)	PG01 (DIS filing – Electronic Image Indicator)
9	Model year	PG10
10	Exemption Number (EPA Letter of Approval)	PG14



<i>Import Codes (EPA Form 3520-1)</i>		
11	Engine Label (DIS filing)	PG01 (DIS filing – Electronic Image Indicator)
	Exemption number (EPA Letter of Approval)	PG14
12	Engine Label (DIS filing)	PG01 (DIS filing – Electronic Image Indicator)
	Exemption number (EPA Letter of Approval)	PG14
13	Engine Label	PG01 (DIS filing – Electronic Image Indicator)
14	Letter from Department of State (DIS filing)	PG01 (DIS filing – Electronic Image Indicator)
	Exemption number	PG14
15	Engine Label	PG01 (DIS filing – Electronic Image Indicator)
16	Test Group Name/ Engine Family Number	PG14
17	Model year	PG10
18	Exemption number	PG14
19	Power rating and Model year	PG10
20	N/A	N/A
21	Explanation of exemption	PG24
22	Model year and Power Rating	PG10
	Bond exemption status	PG24
	NAIC bond issuer number	PG19
	Policy number	PG14
	State of issue	PG20
23	Power rating	PG10
24a	Model year	PG10
	Storage location	PG19, PG20, PG21 Series
24b	Model year	PG10
	Storage location	PG19, PG20, PG21 Series
24c	CBP Bond number	PG14
25	Regulatory Citation for other exemption	PG24