Software Developers Session

National Marine Fisheries Service (NMFS)
Partner Government Agency (PGA)
Message Set

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March 27, 2015

The NMFS ITDS Objectives

1. Transition from paper to electronic import and export filing via a "single window"
2. Utilize Electronic Data Interchange (EDI) via the CBP Automated Broker Interface (ABI) and the PGA Message Set to collect data necessary to facilitate an electronic (automated) authorization decision for product/shipment release
3. Utilize the CBP Document Imaging System (DIS) CBP to collect the NMFS required international forms and eliminate the collection of all paper forms *(NMFS lists approximately 20 forms that may be collected through DIS. Some are used frequently and some only occasionally.)*
4. Utilize Interoperability Web Services (IWS) to conduct system to system automated communications necessary to facilitate the automation of import and export authorizations *(NMFS does not have direct "hold authority")*
5. Utilize Secure File Transfer Protocol (SFTP) for NMFS to receive necessary Entry, Message Set and DIS data from CBP on NMFS related filings from CBP.
6. Utilize an electronic NMFS trade permitting system to facilitate timely permit acquisitions and an electronically updated data base for automated permit validation *(All NMFS regulated international trade will be covered by a single international trade permit.)*

There are three NMFS Message Set Programs

- Highly Migratory Species
- Antarctic Marine Living Resources (AMLR)
- NOAA 370 Tuna Tracking and Verification Program (TTVP) – Dolphin Protection (This is an import only program)

These three programs "cover" approximately 80 HTS products. All other marine products imported and exported (over 700 HTS codes) do not require an NMFS international trade permit nor the submission of additional filing information (message set or digital imaged documents) beyond that which Customs or Census may otherwise already require.

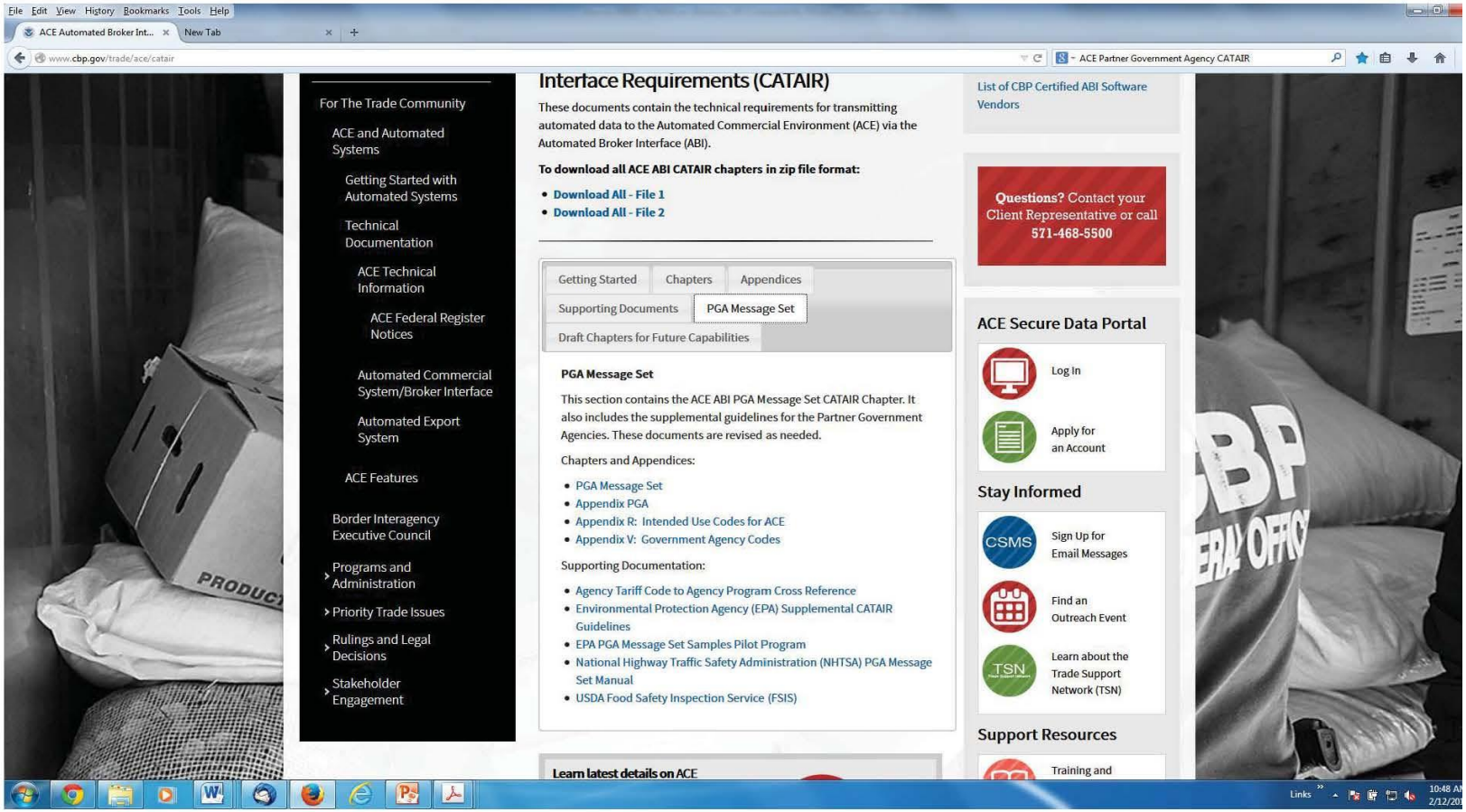
The Presidential Task Force on Combating Illegal Fishing and Seafood may recommend additional commodities for monitoring, for which the NMFS PGA message set would apply. *(Presidential Memorandum -- Comprehensive Framework to Combat Illegal, Unreported, and Unregulated Fishing and Seafood Fraud – July 17,2014)*

Primary Products Covered by the three Programs

- Tuna – Both the HMS and the NOAA 370 Programs cover tuna
- Swordfish – HMS Program
- Toothfish - Antarctic Marine Living Resources Program

Most of the shipments that require the filing of the NMFS "Message Set" and supplemental documents through "DIS" include the three products above. The 370 program is also used to screen for yellow fin tuna imports embargoed if originating from or harvested by 9 specific countries and a 370 submission is also required for imports on approximately 45 products that, if from a high seas driftnet listed country (currently only 1 country, Italy),to certify that such product was not harvested by use of high seas driftnets.

http://www.cbp.gov/trade/ace/catair



CBP.gov Web Site for PGA Message Set CATAIR and Appendices

NOAA HMS Program; Requirements Continued

PG-OI	Commercial Description of Shipment (product)	*Such as "Fresh Swordfish"*
PG-01	Partner Government Agency Code (PGA)	*NM (code used for National Marine Fisheries)*
PG-01	*Government Agency Program Code*	*HMS (Highly Migratory Species Program code)*
PG-01	Electronic Image Submitted	*"Y" indicates an image will be included (blank for shark fins only – no image required)*
PG-01	Confidential Information Indicator	*"Y" indicates the info is confidential*
PG-06	Source Type Code	*Country role; e.g. "Harvesting Country"*
PG-06	*Country Code*	*A two letter code from Appendix B that shows from what Country's territorial waters the harvest took place or if it was on the high seas in international waters, the code "ZZ" is used.*
PG-06	Geographic location *(filer must provide the ocean area or areas where the product was harvested)*	*Ocean Geographic Area Codes Use valid codes for the ocean area of catch example; North Atlantic from appendix PGA.*
PG-06	Processing Type Code	*The fishing vessel's harvesting gear type (see code list)*
PG-14	Licenses, Certificates, Permits or Other (LCPO)	*The license type per Appendix PGA is "NM3" provide the NMFS HMS permit number for the importer of record–* (NMFS HMS International Trade Permit will change with new rule 2015)
PG-22	Importers Signed Document	*"Y" indicates that the importer has a copy of the required document (none required for shark fins)*
PG-22	Document Identifier (will be repeated multiple time for multiple documents)	*Include the code from the Appendix PGA for the specific form- document images submitted via the Document Imaging System. At least one of the Catch, Statistical or Re-export documents is required for all imports, exports or re-exports of HMS products except for shark fins. These documents must be submitted at the time of the filing to CBP via the Document Imaging System/DIS.*
PG-22	Compliance Description (will be repeated multiple time for multiple documents)	*Enter the unique/specific number that appears on every document submitted via the DIS. This is the specific "serialized" number assigned to the individual reporting form for that specific harvest.* (The unique number is entered in positions 51 through 80 and must correspond to the document identifier for each PG Record 22 in positions 6-12) *(There are 30 characters to utilize in space 51 through 80.)*
PG-31	Commodity Harvesting Vessel Character Type Code	*Flag(Country) of the harvest vessel (two digit ISO code from appendix B)*

1	2	3	4	5	6	7	8	9	10	1	2	3	4	5	6	7	8	9	20	1	2	3	4	5	6	7	8	9	30	1	2	3	4	5	6	7	8	9	40	1	2	3	4	5	6	7	8	9	50	1	2	3	4	5	6	7	8	9	60	1	2	3	4	5	6	7	8	9	70	1	2	3
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PG Record elements:

PG 01 – This is Fresh Swordfish, subject to NMFS regulation under the HMS program. An electronic image is required, information is confidential and the use is for human consumption.

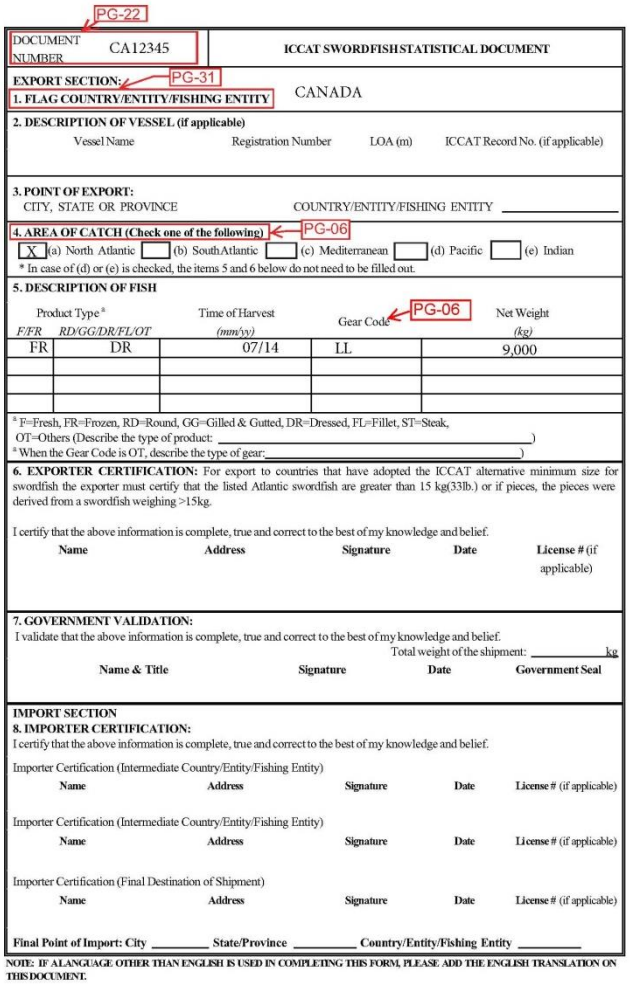
PG 06- This fish was harvested in Canadian waters in the North Atlantic Ocean using longline fishing gear.

PG 14- There is a requirement for a dealer permit issued by NMFS. The permit number must be provided.

PG 17- common name is Fresh Swordfish.

PG 22- The image referenced in PG-01 is an ICCAT Swordfish Document (code 878) and the actual number of that document is required.

PG 31- Vessel Country (VCR) (flag) is Canadian (CA).



PG-22

DOCUMENT NUMBER CA12345 | ICCAT SWORDFISH STATISTICAL DOCUMENT

EXPORT SECTION: PG-31

1. FLAG COUNTRY/ENTITY/FISHING ENTITY CANADA

2. DESCRIPTION OF VESSEL (if applicable)

Vessel Name | Registration Number | LOA (m) | ICCAT Record No. (if applicable)

3. POINT OF EXPORT:

CITY, STATE OR PROVINCE | COUNTRY/ENTITY/FISHING ENTITY _____

4. AREA OF CATCH (Check one of the following) PG-06

[X] (a) North Atlantic [ ] (b) South Atlantic [ ] (c) Mediterranean [ ] (d) Pacific [ ] (e) Indian

* In case of (d) or (e) is checked, the items 5 and 6 below do not need to be filled out.

5. DESCRIPTION OF FISH

Product Type[a] F/FR	RD/GG/DR/FL/OT	Time of Harvest (mm/yy)	Gear Code PG-06	Net Weight (kg)
FR	DR	07/14	LL	9,000

[a] F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Gutted, DR=Dressed, FL=Fillet, ST=Steak, OT=Others (Describe the type of product: _____)

[a] When the Gear Code is OT, describe the type of gear: _____)

6. EXPORTER CERTIFICATION: For export to countries that have adopted the ICCAT alternative minimum size for swordfish the exporter must certify that the listed Atlantic swordfish are greater than 15 kg(33lb.) or if pieces, the pieces were derived from a swordfish weighing >15kg.

I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name | Address | Signature | Date | License # (if applicable)

7. GOVERNMENT VALIDATION:

I validate that the above information is complete, true and correct to the best of my knowledge and belief.

Total weight of the shipment: _____ kg

Name & Title | Signature | Date | Government Seal

IMPORT SECTION

8. IMPORTER CERTIFICATION:

I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Importer Certification (Intermediate Country/Entity/Fishing Entity)

Name | Address | Signature | Date | License # (if applicable)

Importer Certification (Intermediate Country/Entity/Fishing Entity)

Name | Address | Signature | Date | License # (if applicable)

Importer Certification (Final Destination of Shipment)

Name | Address | Signature | Date | License # (if applicable)

Final Point of Import: City _____ State/Province _____ Country/Entity/Fishing Entity _____

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

Toothfish Program; Requirements Overview

NMFS Antarctic Marine Living Resources (AMLR) Program Requirements (Primarily Fresh or Frozen Toothfish Imports)		
General NMFS Requirements and Restrictions	Consult CBP resources to determine which products/Harmonized Tariff Schedule (HTS) codes are regulated by the National Marine Fisheries Service. See also the Federal Register, United States Code (USC), and Code of Federal Regulations (CFR.)	
	An NMFS AMLR Permit is Required for all toothfish imports	Permits can be obtained at www.xxxx.gov
	All **Fresh** toothfish must be accompanied by Dissostichus Catch Documents (DCD)	(almost all fresh product is air shipped)
	All **Frozen** toothfish **requires NMFS Pre-Approval**	
Document Images Required	DCDs for all fresh toothfish	
Mandatory PG-Records	PG-OI	Commercial Description of Shipment (product)
	PG-01	Partner Government Agency (PGA)
	PG-02	Designation of items as “product” or “component”
Conditional PG-Records required by NMFS	PG-06	Ocean Area of Catch
	PG-14	Permit & Authorizing Document Data (toothfish pre-approval number)
	PG-17	Species Description
	PG-31	Harvest Vessel Flag Country (Vessel Name & Length Overall if required)

1	2	3	4	5	6	7	8	9	10	1	2	3	4	5	6	7	8	9	20	1	2	3	4	5	6	7	8	9	30	1	2	3	4	5	6	7	8	9	40	1	2	3	4	5	6	7	8	9	50	1	2	3	4	5	6	7	8	9	60	1	2	3	4	5	6	7	8	9	70	1	2	3	4	5	6	7
O	I	X	X	X	X	X	X	X	X	F	R	O	Z	E	N		T	O	O	T	H	F	I	S	H																																																			
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P	G	1	7	F	R	O	Z	E	N		T	O	O	T	H	F	I	S	H																																																			X	X	X	X	X	X	X

PG Record elements:

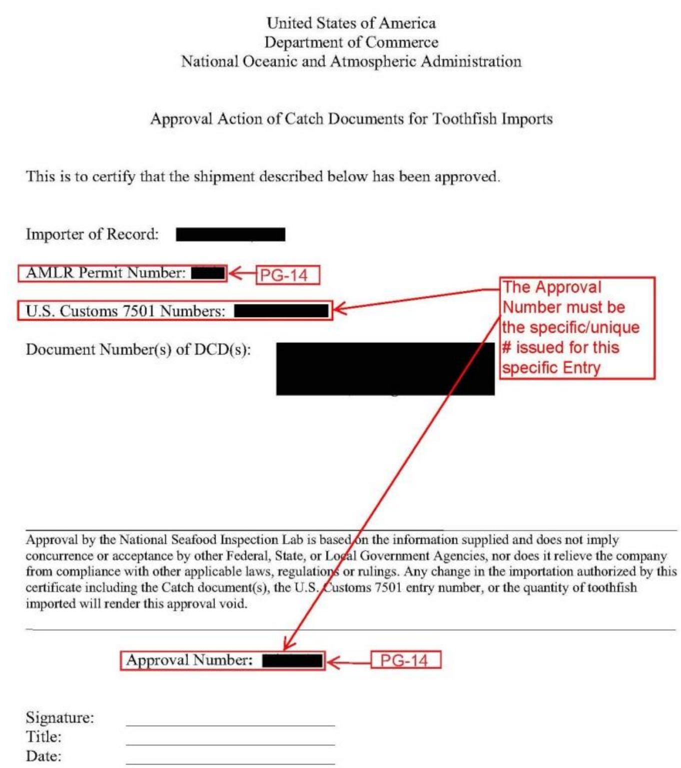
PG 01- This product/shipment is frozen toothfish. Information is confidential. Intended use is for human consumption.

PG 02- The toothfish is the product and not a component thereof.

PG 14- There is a requirement for an AMLR (international trade) dealer permit issued by NMFS. The permit number must be provided with the expiration date.

PG 14- A Pre-Approval must be issued for this product. Enter the specific/unique pre-approval number issued by NMFS for this specific entry/shipment.

PG 17- the common name is frozen toothfish.


United States of America
Department of Commerce
National Oceanic and Atmospheric Administration

Approval Action of Catch Documents for Toothfish Imports

This is to certify that the shipment described below has been approved.

Importer of Record: [redacted]

AMLR Permit Number: [redacted] ← PG-14

U.S. Customs 7501 Numbers: [redacted]

Document Number(s) of DCD(s): [redacted]

The Approval Number must be the specific/unique # issued for this specific Entry

Approval by the National Seafood Inspection Lab is based on the information supplied and does not imply concurrence or acceptance by other Federal, State, or Local Government Agencies, nor does it relieve the company from compliance with other applicable laws, regulations or rulings. Any change in the importation authorized by this certificate including the Catch document(s), the U.S. Customs 7501 entry number, or the quantity of toothfish imported will render this approval void.

Approval Number: [redacted] ← PG-14

Signature:
Title:
Date:

NOAA 370 Program; Requirements Overview

NMFS Tuna Tracking and Verification Program (TTVP / NOAA 370)Import Requirements (Processed or Frozen Tuna Imports; includes all tuna *except* fresh tuna)		
General NMFS Requirements and Restrictions	Consult CBP resources to determine which products/Harmonized Tariff Schedule (HTS) codes are regulated by the National Marine Fisheries Service. See also the Federal Register, United States Code (USC), and Code of Federal Regulations (CFR.) (370 program is not required/applicable to fresh tuna)	
	NMFS International Trade Permit (ITP) Required (*future – pending rule making*)	
	Note: For <u>all</u> Bluefin tuna and all frozen Big Eye tuna, see <u>also</u> NMFS HMS program for additional requirements	
Document Images Required	Images of NOAA 370 Fisheries Certificate(s) of Origin, including <u>all/multiple</u> copies of the 370 as necessary to document the harvest of <u>all</u> products in the shipment per section 4 of the Certificate.	
	All supplemental certifications (statements) attesting to the circumstances pertaining to the harvest per section 5 of the Certificate(s)	Potential supplemental/conditional certifications include; • High Seas Driftnet • Captain's • Observer's • International Dolphin Conservation Program(IDCP) Member Nation Statement
Mandatory PG Records	PG-OI	Commercial Description of Shipment (product)
	PG-01	Partner Government Agency (PGA)
	PG-02	Designation of items as "product" or "component"
Conditional PG-Records required by NMFS	PG-06	Ocean Area of Catch and Gear Type
	PG-14	Permit Information (future requirement)
	PG-17	Species Description
	PG-22	Document Identifier (NMFS form names and unique document numbers) for all imaged documents
	PG-31	Harvest Vessel Flag Country (Vessel Name & Length Overall if required)

1	2	3	4	5	6	7	8	9	10	1	2	3	4	5	6	7	8	9	20	1	2	3	4	5	6	7	8	9	30	1	2	3	4	5	6	7	8	9	40	1	2	3	4	5	6	7	8	9	50	1	2	3	4	5	6	7	8	9	60	1	2	3	4	5	6	7	8	9	70	1	2
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PG Record elements:

PG 01- This product/shipment is canned tuna, the form is a 370, an image is attached and information is confidential. The product is intended for human consumption.

PG 06 – The product was harvested on the high seas in the Western Pacific ocean. Purse Seine gear was used to harvest the tuna.

PG 14- There will be a requirement for a Fisheries International Trade Permit issued by NMFS. The permit number must be provided with the expiration date.

PG 17- the specific tuna is skip jack and other species and the common name is canned light meat tuna.

PG-22- A NOAA, NMFS Form 370 (code 877)must accompany this shipment and box 5B2 is checked on the form therefore a Captains Statement must also be attached via DIS.

PG-22- A Captains Statement has also been included via DIS.

PG-31-Vessel Country (VCR) (flag) is Japan (JP).

NOAA Form 370
OMB#: 0648-0335
APPROVAL EXPIRES: October 31, 2013

U.S. DEPARTMENT OF COMMERCE

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION

NATIONAL MARINE FISHERIES SERVICE

Fisheries Certificate of Origin

1. Customs Entry Identification
XX1-12345678
Customs Entry Number (11 digits)
February 4, 2015
Date of Entry

2. Exporter (Name and Address)
Sample Company
Thialand
Telephone Number:

3. Importer (Name and Address)
Importer Company Name
Florida
Telephone Number:

4. DESCRIPTION OF FISH

PG-01 PG-06 PG-31

U.S. Tariff Schedule Number Species Description, and Product Form	Weight (kg.)	Ocean Area	Fishing Gear	Vessel Flag	Trip Dates Begin-End	Vessel Name
Skip Jack and other Species - Light meat tuna	20,000	WP	PS	Japan	from 29-11-2014	All N 2 Go Fish
					to 15-1-2015	

5. DOLPHIN SAFE STATUS - check the statement that applies.

	A.	The tuna or tuna products described herein are not certified to be dolphin safe and contain no marks or labels that indicate otherwise.
X	B.	The tuna or tuna products described herein are certified to be dolphin safe:
	(1)	Tuna not harvested with a purse seine net, and not harvested in any fishery that has been identified by the Assistant Administrator as causing a regular and significant mortality or serious injury to dolphins.
X	(2)	Tuna harvested using a purse seine net outside the Eastern Tropical Pacific Ocean (ETP), with valid documentation by the captain of the vessel certifying that no purse seine net was intentionally deployed on or to encircle dolphins during the fishing trip. **Captain's statement attached.** PG-22
	(3)	Tuna harvested by purse seine vessel outside the ETP in a fishery in which there is a regular and significant association occurring between marine mammals and tuna, with valid documentation by an authorized observer and the captain of the vessel, certifying that no purse seine net was intentionally deployed on or to encircle dolphins during the fishing trip and no dolphins were killed or seriously injured in the sets in which the tuna were caught. **Observer's and captain's statements attached.**
	(4)	Tuna harvested in the ETP by a purse seine vessel having a carrying capacity of 400 short tons (362.8mt) or less.
	(5)	Tuna harvested in the ETP by a purse seine vessel of more than 400 short tons (362.8mt) carrying capacity with valid documentation signed by a representative of the appropriate IDCP-member nation certifying that: (1) there was an IDCP-approved observer on board the vessel during the entire trip; (2) no purse seine net was intentionally deployed on or to encircle dolphins during the fishing trip and no dolphins were killed or seriously injured in the sets in which the tuna were caught; (3) listing the numbers for the associated Tuna Tracking Forms which contain the captain's and observer's certifications. **IDCP Member Nation Certification attached.**

6. EXPORTER CERTIFICATION - I certify that the above information is complete, true, and correct to the best of my knowledge and belief.

Exporter Name (Print or Type) Signature and Date:

7. HIGH SEAS DRIFTNET CERTIFICATION - For fish or fish products harvested by, or exported from, a designated large-scale driftnet nation. I attest that the fish or fish products described herein were not harvested by a large-scale driftnet on the high seas.

Name and title of Government Representative (Print or Type) Signature and Date:

8. IMPORTER/PROCESSOR ENDORSEMENT

(Name and Address) Signature and Date:

(Name and Address) Signature and Date:

(Name and Address) Signature and Date:

Questions and Comments, Contact:

Dale Jones

National Marine Fisheries Service, Office of Science and Technology

US Department of Commerce, National Oceanic and Atmospheric Administration

dale.jones@noaa.gov

301-427-8143