



# CBP and Trade Automated Interface Requirements

AD/CVD Case Information Query

March 1, 2023



U.S. Customs and  
Border Protection



# AD/CVD

## Case Information Query

*This chapter provides record layouts and processing information for ABI filers to query the ACE Antidumping/Countervailing Duty (AD/CVD) Case Management system for detailed information concerning AD/CVD cases.*

***The reader should be advised that any decisions, policies, and solutions set forth in this DRAFT technical document are subject to change at any time without prior notice. This DRAFT technical document is not FINAL and does not represent the totality of revision/changes that may be implemented with Entry Summary Accounts Revenue (ESAR) functionality. Until such time that CBP issues a FINAL version of this technical guide, the attached DRAFT technical document is NOT considered approved, endorsed, or sanctioned by Customs and Border Protection and the Department of Homeland Security.***

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## Table of Changes

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
9	March 1, 2023	Current Case Status (Output RA-Record)	Add Case status of IL- Inactive - Lost Separate Rate
8	September 1, 2022	Manufacturer Details (Output RC-Record)	Amended description details and added Note 1 to describe multiple Manufacturer Names being returned in the existing field.  A CSMS message will be issued to announce an actual deployment date in the Certification and Production environments.
7	July 18, 2022	1.0 AD/CVD Case General Information (Output RA-Record)	1.0 Related Case Number 1.1: Changed the length class from 10AN to 7AN or 10AN 1.2: Changed the description of the Related Case Number from “The 10-digit AD/CVD case related to the Case Number in record positions 3-11. If the Case Number is an antidumping case, the related case will be a countervailing one, if one exists (and vice versa).” to “The <b>7-digit or 10-digit</b> AD/CVD case related to the Case Number in record positions 3-11. If the Case Number is an antidumping case, the related case will be a countervailing one, if one exists (and vice versa). <b>On Oct 5, 2019, the DOC stopped entering related cases at the 10-digit level. All related cases entered into the database by DOC after Oct 5, 2019 will be 7 digits.</b> ”
6	June 28, 2012	Failed Query Condition (Output RX- Record)	Added note 2 as a reference to the failed query condition codes and associated narrative text
5	June 15, 2011	Output Record Descriptions	(A) RC-Record - revised Manufacturer Identification Code Designation to conditional (C) (B) RD-Record - revised Foreign Exporter Identification Code Designation to conditional (C)



Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
4	February 14, 2010	All	Production deployment – no changes made to the chapter
3	October 21, 2009	Output Record Descriptions	(A) RG-Record - revised text in the Description column to display examples as all upper case (B) RH-Record - revised text in the Description column to display examples as all upper case
2	August, 26, 2009	(A) Output Record Structure Map (B) Deposit Rate Details (RF-Record)	(A) Designate the Deposit Rate Details (RF-Record) as conditional (B) Add an explanatory note for the Ad Valorem and Specific Deposit Rates
1	June 18, 2009	Initial Version	

## Antidumping/Countervailing Duty (AD/CVD) Case Information Query - Introduction

An Automated Commercial Environment (ACE) Automated Broker Interface (ABI) filer has the capability to query specific antidumping or countervailing duty case information as contained in the ACE AD/CVD Case Management system. In addition, ABI filers also have the capability to query AD/CVD case information by country, tariff number, foreign manufacturer, foreign exporter, and in conjunction with these, the case status or the date (only within the last 7 days) since a change was made to case information. Information returned in the AD/CVD query output will include data required by ABI filers to facilitate successful filing of antidumping or countervailing duty entry summaries in ACE.

ACE Portal account holders may also use the ACE Portal to research additional extensive AD/CVD case information. ACE Portal application information can be found on the CBP website at:

[www.cbp.gov/xp/cgov/trade/automated/modernization/](http://www.cbp.gov/xp/cgov/trade/automated/modernization/)

**Input:** Record Identifiers Q1 and Q2 are conditional input records that provide two types of AD/CVD query criteria:

- The Q1-Record provides the criteria for a specific AD/CVD case number query (for one or more case numbers). When an AD/CVD case is found to match the input criteria, case information will be returned to the filer in the output RA- thru RJ-Records.
- The Q2-Record provides the query criteria for a specific country, tariff, manufacturer, foreign exporter, case status or date since case information was last updated. When an AD/CVD case is found to match the input criteria, case information will be returned to the filer in the output RA- thru RJ-Records.

Only a **SINGLE** type of AD/CVD query, however, can be made at a time in a single Block Control envelope (B-, Y-Record). Furthermore, only a **SINGLE** AD/CVD query Block Control envelope (B-, Y-Record) can be submitted in the Batch Control envelope (A-, Z-Record).

Please note: The Q2-Record permits querying by data elements which may be less than the maximum length allowed for the data element. Data must be left justified in the allotted field for such data elements. For example, a filer may query the AD/CVD case management file by HTS number, either by 8-digits or 10-digits. If querying by 8-digits, this data must be left justified in the appropriate query data field.

The application identifier on the input transmission batch and block control records is AD. For additional information concerning these records, refer to the “*ABI Batch & Block Control*” chapter of the ACE ABI CATAIR.

**Output:** An output response will unconditionally be returned for every Q1- and Q2-Record. The output response shall return AD/CVD case information regarding the case number(s) that have met the search criteria –or– return a condition that describes why the query did not produce results.

Record Identifiers RA through RJ are conditionally returned and contain general and detailed antidumping or countervailing case information.

Record Identifier RX is conditionally returned and contains information regarding a scenario in which a query failure has occurred. The Condition Code and Narrative text convey to the Filer/Transmitter a specific failure reason (e.g., an invalid query request) or an indication that there were no AD/CVD cases that met the query request criteria.

The application identifier on the output transmission batch and block control records is AC. For additional information concerning these records, refer to the “*ABI Batch & Block Control*” chapter of the ACE ABI CATAIR.

**Record Layout Key:** A new description of the contents of each column of the record layouts has been developed for ACE. Please refer to ACE ABI CATAIR Appendix Q for a description of this new record layout key.

# Input Record Descriptions

## Input Record Structure Map

Input Structure Map Submission Notes:

- Spaces must be transmitted in all data elements marked 'filler'.
- Transmit ONLY uppercase alphabetic data.
- Transmit ONLY displayable characters found on a standard keyboard. Do not transmit low-values, carriage return characters, or other non-standard characters.

Only a **SINGLE** type of AD/CVD query can be made at a time in a single Block Control envelope (B-, Y-Record). Furthermore, only a **SINGLE** AD/CVD query Block Control envelope (B-, Y-Record) can be submitted in the Batch Control envelope (A-, Z-Record). This is designed to limit the amount of output information generated in the response. The Q1-Record may be submitted more than one time (if information for more than five AD/CVD cases is required). The Q2-Record, however, may be submitted one time only in a block and, therefore, in a batch. The following table illustrates how the automated interface allows repeating groups to be structured in an AD/CVD Case Information Query.

Control ID	Name	Designation	Loop Repeat
	AD/CVD Case Information Query Grouping	M	1
	AD/CVD Case Number Query Grouping	C	>1
<a href="#">Q1</a>	AD/CVD Case Number Query Request	M	
<a href="#">Q2</a>	AD/CVD Case Criteria Query Request	C	1

Designation: **M** = Reporting Mandatory; **C** = Reporting Conditional; **O** = Reporting Optional





## AD/CVD Case Number Query Request (Input Q1-Record)

The Q1-Record provides the query criteria for a specific AD/CVD Case Number (up to five Case Numbers are allowed for each Q1-Record). The successful response shall include AD/CVD case details as shown in output RA- thru RJ-Records. A failed response shall be shown in the Failed Query RX-Record. Repeat the Q1-Record as needed. When used, Q1-Records cannot be co-mingled with a Q2-Record in a Block Control envelope (B-, Y-Records).

<b>Record Identifier Q1 (Input)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Must always equal Q1.	
Filler	1S	3-3	M	Space fill.	
Case Number (1)	7AN	4-10	M	The code used to identify an AD/CVD case as assigned by the Department of Commerce. The Case Number may be entered as seven or ten digits. If entering only seven digits, left justify. Do not include dashes.	1
Case Number (1) Suffix	3AN	11-13	C		
Filler	1S	14-14	M	Space fill.	
Case Number (2)	7AN or 7S	15-21	C	An additional AD/CVD Case Number.	
Case Number (2) Suffix	3AN or 3S	22-24	C	Space fill if not used.	
Filler	1S	25-25	M	Space fill.	
Case Number (3)	7AN or 7S	26-32	C	An additional AD/CVD Case Number.	
Case Number (3) Suffix	3AN or 3S	33-35	C	Space fill if not used.	
Filler	1S	36-36	M	Space fill.	
Case Number (4)	7AN or 7S	37-43	C	An additional AD/CVD Case Number.	
Case Number (4) Suffix	3AN or 3S	44-46	C	Space fill if not used.	
Filler	1S	47-47	M	Space fill.	
Case Number (5)	7AN or 7S	48-54	C	An additional AD/CVD Case Number.	
Case Number (5) Suffix	3AN or 3S	55-57	C	Space fill if not used.	
Filler	23S	58-80	M	Space fill.	



**Note 1**

If only one Case Number is to be queried, then it must appear in the data field titled Case Number (1). If two Case Numbers are to be queried, then they must appear in the data fields Case Number (1) and Case Number (2). This pattern continues for all Case Number fields in this record.

When only seven (7) digits are entered for a Case Number query in any of the five Case Number fields, all company cases at the 10-digit Case Number level for which the principle Case Number (seven digits) matches are provided on output.



## AD/CVD Case Criteria Query Request (Input Q2-Record)

The Q2-Record provides the query criteria to locate AD/CVD cases based upon the applicable company case status, country, tariff number, manufacturer, foreign exporter, and/or the date since the case information was last updated (within the last 7 days). At least one of these criteria must be used in the case criteria query request in addition to the company case status.

The response shall include only those AD/CVD cases which meet all conditions specified in the Q2-Record. Multiple query criteria entered in this record will be treated as an **AND** logical operation. For example, if a country code and tariff number are entered, then both must exist as characteristics of an AD/CVD case. When used, a **SINGLE** Q2-Record only is allowed in the Block Control envelope (B-, Y-Records).

Please note: The Q2-Record permits querying by data elements which may be less than the maximum length allowed for the data element. Data must be left justified in the allotted field for such data elements. For example, a filer may query the AD/CVD case management file by HTS number, either by 8-digits or 10-digits. If querying by 8-digits, this data must be left justified in the appropriate query data field.

<b>Record Identifier Q2 (Input)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Must always equal Q2.	
Filler	1S	3-3	M	Space fill.	
Company Case Status	1A	4-4	M	An indication of the status of the AD/CVD case.  A = Active I = Inactive B = Both	1
Filler	1S	5-5	M	Space fill.	
Country Code	2A or 2S	6-7	C	The 2 character alphabetic ISO country code of the AD/CVD case.  Space fill if Country Code criteria not used and all countries are to be searched.	
Filler	1S	8-8	M	Space fill.	
HTS Number	10N or 8N/2S or 10S	9-18	C	A valid 8- or 10-digit tariff number located in the Harmonized Tariff Schedule of the United States (the current commodity classification system in use in the United States). Left justify if entering only 8 digits.  Space fill if HTS Number criteria not used.	2, 4
Filler	1S	19-19	M	Space fill.	



<b>Record Identifier Q2 (Input)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
TSUSA Number	7N or 5N/2S or 7S	20-26	C	A valid 5- or 7-digit tariff number located in the Tariff Schedule of the United States Annotated (TSUSA) (the commodity classification system in use in the United States prior to January 1, 1989). Left justify if entering only 5 digits.  Space fill if TSUSA Number criteria not used.	3, 4
Filler	1S	27-27	M	Space fill.	
Manufacturer Identification Code	15AN or 15S	28-42	C	A Manufacturer Identification Code (MID) for a foreign manufacturer as assigned to one or more AD/CVD cases. Left justify if entering less than 15 digits.  Space fill if Manufacturer Identification Code criteria not used and all MIDs are to be searched.	
Filler	1S	43-43	M	Space fill.	
Foreign Exporter Identification Code	15AN or 15S	44-58	C	An identification code for a foreign exporter as assigned to one or more AD/CVD cases. Left justify if entering less than 15 digits.  Space fill if Foreign Exporter Identification Code criteria not used and all foreign exporters are to be searched.	
Filler	1S	59-59	M	Space fill.	
Date Since Last Update	6D or 6S	60-65	C	A date (MMDDYY) used to limit query output response to only those cases for which the date since any data element contained in the output data records has changed.	5
Filler	15S	66-80	M	Space fill.	



### Note 1

To ensure acceptable response time at least one other query criteria must be specified in addition to the Company Case Status.

### Note 2

If the HTS Number is entered as an eight digit number, those AD/CVD cases which contain the same identical 8 digits or those AD/CVD cases with 10 digit HTS numbers for which the first 8 digits match satisfy the query criteria.

If the HTS Number is entered as a ten digit number, only those AD/CVD cases which contain the same identical 10-digit HTS Number satisfy the query criteria.

### Note 3

If the TSUSA Number is entered as a five digit number, those AD/CVD cases which contain the same identical 5 digits or those AD/CVD cases with 7 digit TSUSA Numbers for which the first 5 digits match satisfy the query criteria.

If the TSUSA Number is entered as a seven digit number, only those AD/CVD cases which contain the same identical 7-digit TSUSA Number satisfy the query criteria.

### Note 4

If querying by tariff number; this may be done by either (but not both) using the HTS Number or the TSUSA Number. For example, if querying by a 10-digit HTS Number, the 7-digit TSUSA Number data field must be spaces.

### Note 5

The Date Since Last Update, when used, must be within 7 days of the date of transmission of the query. For example, if querying for AD/CVD cases updated during the last week and the transmission date for the query is April 10, 2009 (041009), then the Date Since Last Update may be no earlier than April 4, 2009 (040409). The output will include **COMPLETE** case information for cases updated on April 4<sup>th</sup> thru and including April 10<sup>th</sup> which also meet other Q2-Record criteria as well.

# Output Record Descriptions

## Output Record Structure Map

The following table illustrates how repeating groups are structured and returned in an AD/CVD query response by ACE.

Control ID	Name	Designation	Loop Repeat
<b>AD/CVD Query Response Grouping</b>			
	<b>AD/CVD Case Information Query Response</b>	<b>C</b>	<b>&gt; 1</b>
	AD/CVD Case Number Query Response	C	>1
<a href="#"><u>RA</u></a>	AD/CVD Case General Information	M	1
<a href="#"><u>RB</u></a>	Official Name of the AD/CVD Case	C	>1
<a href="#"><u>RC</u></a>	Manufacturer Details	C	2
<a href="#"><u>RD</u></a>	Foreign Exporter Details	C	2
<a href="#"><u>RE</u></a>	Department of Commerce Contact Information	M	1
<a href="#"><u>RF</u></a>	Deposit Rate Details	C	>1
<a href="#"><u>RG</u></a>	Case Events	M	>1
<a href="#"><u>RH</u></a>	Bond/Cash Details	C	>1
<a href="#"><u>RI</u></a>	Tariff Details	C	>1
<a href="#"><u>RJ</u></a>	Entry Summary Liquidation Suspension Details	C	>1
	<b>Failed Query Condition Grouping</b>	<b>C</b>	<b>&gt; 1</b>
<a href="#"><u>RX</u></a>	Failed Query Condition	M	> 1

Designation: **M** = Reporting Mandatory; **C** = Reporting Conditional; **O** = Reporting Optional

## AD/CVD Case General Information (Output RA-Record)

The RA-Record returns basic information concerning the AD/CVD case. This record is returned for every case which meets the input query criteria.

<b>Record Identifier RA (Output)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Always equals RA.	
Case Number	10AN	3-12	M	The 10-digit number assigned by the Import Administration (Department of Commerce) to this antidumping or countervailing duty case.  First digit = 'A' or 'C' for antidumping or countervailing duty Digits 2-4 = numeric ISO country code Digits 5-7 = numeric commodity code assigned to this case Digits 8-10 = alphanumeric company code assigned to this case	
Filler	1S	13-13	M	Space fill.	
Related Case Number	10AN	14-23	C	The 7-digit or 10-digit AD/CVD case related to the Case Number in record positions 3-11. If the Case Number is an antidumping case, the related case will be a countervailing one, if one exists (and vice versa). On Oct 5, 2019, the DOC stopped entering related cases at the 10-digit level. All related cases entered into the database by DOC after Oct 5, 2019, will be 7 digits.	
Filler	1S	24-24	M	Space fill.	
Short Description	30X	25-54	M	A brief description or the commonly used title of the case. Please note this is not the official case name. See output RB-Record for the Official Name of the AD/CVD Case.	
Filler	1S	55-55	M	Space fill.	
Country Code	2A	56-57	M	The 2 character alphabetic ISO country code assigned to the AD/CVD case.	
Filler	1S	58-58	M	Space fill.	
Company Case Status	2A	59-60	M	The current status of the AD/CVD case.  AC = Active IC = Inactive – Company Revoked ID = Inactive – Deactivated	



<b>Record Identifier RA (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
				IF = Inactive – Final Exclusion IL = Inactive - Lost Separate Rate IO = Inactive – Order Revoked IT = Inactive – Termination IX = Inactive – Company Deactivated	





<b>Record Identifier RA (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Filler	1S	61-61	M	Space fill.	
Company Case Status Effective Date	6D	62-67	M	The effective date on which the latest Company Case Status was established (MMDDYY).	
Filler	13S	68-80	M	Space fill.	

## Official Name of the AD/CVD Case (Output RB-Record)

The RB-Record returns information concerning the official name of the AD/CVD case. Multiple RB-Records, each containing up to 65 characters of the official case name, may be returned. The RB-Record may occur up to 5 times – the official case name will not exceed a total of 320 characters.

<b>Record Identifier RB (Output)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Always equals RB.	
Case Number	10AN	3-12	M	The 10 digit number assigned by the Import Administration (Department of Commerce) to this antidumping or countervailing duty case.	
Record Sequence	1AN	13-13	M	The sequence in which the RB-Records are returned.  1 = first RB-Record with the first 65 characters of the Official Case Name  2 = second RB-Record with the next 65 characters of the Official Case Name  3, 4, or 5 = identifies subsequent RB-Records containing additional characters of the Official Case Name	
Filler	1S	14-14	M	Space fill.	
Official Case Name	65AN	15-79	M	65 characters of the official name for this AD/CVD case.	
Filler	1S	80-80	M	Space fill.	

## Manufacturer Details (Output RC-Record)

The RC-Record returns information concerning the foreign manufacturer(s) associated with the AD/CVD case. This record is conditional; if any foreign manufacturers are associated with this AD/CVD case, two RC-Records which contain up to 100 characters identifying the foreign manufacturer name(s) will be returned.

<b>Record Identifier RC (Output)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Always equals RC.	
Case Number	10AN	3-12	M	The 10-digit number assigned by the Import Administration (Department of Commerce) to this antidumping or countervailing duty case.	
Record Sequence	1AN	13-13	M	The sequence in which the RC-Records are returned.  1 = first RC-Record with the first 50 characters of the Manufacturer Name  2 = second RC-Record with the last 50 characters of the Manufacturer Name	
Manufacturer Identification Code	15AN	14-28	C	The Manufacturer Identification Code (MID) of the foreign manufacturer associated with this case. The MID adheres to CBP Directive 3500-13 dated November 24, 1986.	
Filler	1S	29-29	M	Space fill.	
Manufacturer Name	50AN	30-79	M	50 characters of the firm name(s) of the foreign manufacturer(s) associated with this case.	1
Filler	1S	80-80	M	Space fill.	

### Note 1

A maximum of 100 characters, across 2 RC-records, of Manufacturer Name(s) will be returned. If there are multiple names found, they will be concatenated together along with ';' between them. If the length of all names is greater than 100 characters, the first 84 characters will be returned along with the note "-SEE UI FOR MORE". Use the ACE Portal as described in the Introduction to view complete Manufacturer Name information.

## Foreign Exporter Details (Output RD-Record)

The RD-Record returns information concerning the foreign exporter associated with the AD/CVD case. This record is conditional; if a foreign exporter is associated with this AD/CVD case, two RD-Records which contain up to 100 characters identifying the foreign exporter firm name will be returned.

<b>Record Identifier RD (Output)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Always equals RD.	
Case Number	10AN	3-12	M	The 10 digit number assigned by the Import Administration (Department of Commerce) to this antidumping or countervailing duty case.	
Record Sequence	1AN	13-13	M	The sequence in which the RD-Records are returned.  1 = first RD-Record with the first 50 characters of the Foreign Exporter Name  2 = second RD-Record with the last 50 characters of the Foreign Exporter Name	
Foreign Exporter Identification Code	15AN	14-28	C	The Manufacturer Identification Code (MID) of the foreign exporter associated with this case. The MID adheres to CBP Directive 3500-13 dated November 24, 1986.	
Filler	1S	29-29	M	Space fill.	
Foreign Exporter Name	50AN	30-79	M	50 characters of the firm name of the foreign exporter associated with this case.	
Filler	1S	80-80	M	Space fill.	

## Department of Commerce Contact Information (Output RE-Record)

The RE-Record returns information concerning Department of Commerce, Import Administration contact information associated with the AD/CVD case. This record is returned for every case which meets the input query criteria.

<b>Record Identifier RE (Output)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Always equals RE.	
Case Number	10AN	3-12	M	The 10 digit number assigned by the Import Administration (Department of Commerce) to this antidumping or countervailing duty case.	
Contact Office	20AN	13-32	M	The office within the Import Administration responsible for this AD/CVD case.	
Contact Name	20AN	33-52	M	The name of unit within the Import Administration office responsible for this AD/CVD case.	
Contact Telephone Number 1	10N	53-62	M	The 10 digit telephone number for the above contact name/office. Does not contain dashes.	
Contact Telephone Number 1 Extension	4N or 4S	63-66	C	A 4 digit extension for the Contact Telephone Number 1.  Space fill if not used.	
Contact Telephone Number 2	10N	67-76	M	An alternate 10 digit telephone number for the above contact name/office. Does not contain dashes.	
Contact Telephone Number 2 Extension	4N or 4S	77-80	C	A 4 digit extension for the Contact Telephone Number 2.  Space fill if not used.	

## Deposit Rate Details (Output RF-Record)

The RF-Record returns information concerning all deposit rates which have existed for the AD/CVD case. The RF-Record(s) (if more than one deposit rate exists for the AD/CVD case) will be returned in Deposit Rate Effective Date sequence from the most current date to the earliest.

<b>Record Identifier RF (Output)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Always equals RF.	
Case Number	10AN	3-12	M	The 10 digit number assigned by the Import Administration (Department of Commerce) to this antidumping or countervailing duty case.	
Filler	1S	13-13	M	Space fill.	
Deposit Rate Effective Date	6D	14-19	M	The effective date (MMDDYY) that this deposit rate was established .	
Filler	1S	20-20	M	Space fill.	
Ad Valorem Deposit Rate	6N or 6S	21-26	C	The ad valorem deposit rate for the AD/CVD case. Contains 2 implied decimal positions.  Space fill if Ad Valorem Deposit Rate does not apply.	1
Filler	1S	27-27	M	Space fill.	
Specific Deposit Rate	8N or 8S	28-35	C	The specific rate (amount in dollars and cents) of deposit for the AD/CVD case. Contains 2 implied decimal positions.  Space fill if a Specific Deposit Rate does not apply.	2
Filler	1S	36-36	M	Space fill.	
Unit of Measure	3AN	37-39	C	The unit of measure code prescribed by the AD/CVD case and for which the Specific Deposit Rate applies.  <i>See Table 1 – AD/CVD Unit of Measure Codes &amp; Descriptions</i> for a list of unit of measure codes which may be returned.	
Filler	1S	40-40	M	Space fill.	

<b>Record Identifier RF (Output)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Other Unit of Measure	25AN or 25S	41-65	C	When the Unit of Measure is identified as "OTH" (other), the description of the unit of measure which applies to the AD/CVD case.  Space fill if not used.	
Filler	1S	66-66	M	Space fill.	
Rate Added Date	6D	67-72	M	The date (MMDDYY) that this deposit rate was added to the AD/CVD case.	
Filler	1S	73-73	M	Space fill.	
Rate Inactivated Date	6D	74-79	C	The date (MMDDYY), if applicable, that this deposit rate was inactivated for this AD/CVD case.	
Filler	1S	80-80	M	Space fill.	

**Note 1**

The Ad Valorem Deposit Rate is expressed as a percentage and will contain 2 implied decimal positions. Examples:

- If the rate is 10.17%, the value returned in this field will be 001017.
- If the rate is 25%, the value returned in this field will be 002500.
- If the rate is 200%, the value returned in this field will be 020000.

**Note 2**

The Specific Deposit Rate is expressed in dollars and cents and contains 2 implied decimal positions. For example:

- If the rate is \$110.25 per unit, the value returned in this field will be 00011025.

## Case Events (Output RG-Record)

The RG-Record returns information concerning all recorded events which have occurred for the AD/CVD case. The RG-Record(s) (if more than one event exists for the AD/CVD case) will be returned in Event Effective Date sequence from the most current date to the earliest. At least one RG-Record is returned for every case which meets the input query criteria.

<b>Record Identifier RG (Output)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Always equals RG.	
Case Number	10AN	3-12	M	The 10 digit number assigned by the Import Administration (Department of Commerce) to this antidumping or countervailing duty case.	
Event Effective Date	6D	13-18	M	The effective date (MMDDYY) that this Event occurred.	
Event	30X	19-48	M	The textual title of the Event. For example: “INITIATION “ “PRELIM DOC” “COMPANY REVOKED”	
Determination	10X or 10S	49-58	C	The determination findings as a result of this Event. For example:  “AFFIRM” “NEGATIVE”  Space fill if a Determination does not apply.	
Federal Register Citation	9AN or 9S	59-67	C	The Federal Register citation volume and page. For example: 22FR12345.  Space fill if a Federal Register Citation does not apply to this Event.	
Filler	1S	68-68	M	Space fill.	
Event Added Date	6D	69-74	M	The date (MMDDYY) that this Event was added to the AD/CVD case.	
Event Inactivated Date	6D or 6S	75-80	C	The date (MMDDYY), if applicable, that this Event was inactivated for this AD/CVD case.	



## Bond/Cash Details (Output RH-Record)

The RH-Record returns information concerning all bond/cash determinations which have occurred for the AD/CVD case. The RH-Record(s) (if more than one bond/cash determination exists for the AD/CVD case) will be returned in Bond/Cash Effective Date sequence from the most current date to the earliest. This record is conditional and will be returned only if one (or more) bond/cash determinations have been made for the case.

<b>Record Identifier RH (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Always equals RH.	
Case Number	10AN	3-12	M	The 10 digit number assigned by the Import Administration (Department of Commerce) to this antidumping or countervailing duty case.	
Filler	1S	13-13	M	Space fill.	
Bond/Cash Effective Date	6D	14-19	M	The effective date (MMDDYY) that this Bond/Cash Indicator was established.	
Filler	1S	20-20	M	Space fill.	
Bond/Cash Indicator	10X	21-30	M	The Bond/Cash Indicator as follows:  “BOND OR CA” = Bond or Cash “CASH ONLY” = Cash Only “N/A” = Not Applicable	
Filler	1S	31-31	M	Space fill.	
Bond/Cash Indicator Added Date	6D	32-37	M	The date (MMDDYY) that this Bond/Cash Indicator was added to the AD/CVD case.	
Filler	1S	38-38	M	Space fill.	
Bond/Cash Indicator Inactivated Date	6D or 6S	39-44	C	The date (MMDDYY), if applicable, that this Bond/Cash Indicator was inactivated for this AD/CVD case.	
Filler	36S	45-80	M	Space fill.	

## Tariff Details (Output RI-Record)

The RI-Record returns information concerning the Harmonized Tariff Schedule (HTS) or the Tariff Schedule of the United States Annotated (TSUSA) tariff numbers associated with the AD/CVD case. Each Tariff Details RI-Record contains up to 3 tariff numbers with corresponding date information. The RI-Record will be repeated for all HTS or TSUSA tariff numbers associated with the AD/CVD case. This record is returned for every AD/CVD case which meets the input query criteria and for which HTS or TSUSA numbers have been entered for the case.

<b>Record Identifier RI (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Always equals RI.	
Case Number	10AN	3-12	M	The 10 digit number assigned by the Import Administration (Department of Commerce) to this antidumping or countervailing duty case.	
Filler	1S	13-13	M	Space fill.	
Tariff Number 1	10AN or 7AN & 3S	14-23	M	An HTS or TSUSA number associated with this AD/CVD case.	
Added Date	6D	24-29	M	The date (MMDDYY) that Tariff Number 1 was added to the AD/CVD case.	
Inactivated Date	6D or 6S	30-35	C	The date (MMDDYY) that Tariff Number 1 was inactivated for the AD/CVD case.  Space fill if Tariff Number 1 is active.	
Tariff Number 2	10AN or 7AN & 3S	36-45	C	An additional Tariff Number associated with the AD/CVD case.  Space fill if not used.	
Added Date	6D or 6S	46-51	C	The date (MMDDYY) that Tariff Number 2 was added to the AD/CVD case.	
Inactivated Date	6D or 6S	52-57	C	The date (MMDDYY) that Tariff Number 2 was inactivated for the AD/CVD case.  Space fill if Tariff Number 2 is active.	



<b>Record Identifier RI (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Tariff Number 3	10AN or 7AN & 3S	58-67	C	An additional Tariff Number associated with the AD/CVD case.  Space fill if not used.	
Added Date	6D or 6S	68-73	C	The date (MMDDYY) that Tariff Number 3 was added to the AD/CVD case.	
Inactivated Date	6D or 6S	74-79	C	The date (MMDDYY) that Tariff Number 3 was inactivated for the AD/CVD case.  Space fill if Tariff Number 3 is active.	
Filler	1S	80-80	M	Space fill.	

## Entry Summary Liquidation Suspension Details (Output RJ-Record)

The RJ-Record returns information concerning all liquidation suspension actions which have occurred for the AD/CVD case. The RJ-Record(s) (if more than one suspension action exists for the AD/CVD case) will be returned in Suspension Action Effective Date sequence from the most current date to the earliest. This record is conditional and will be returned only if suspension action(s) have occurred for this case.

<b>Record Identifier RJ (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Always equals RJ.	
Case Number	10AN	3-12	M	The 10 digit number assigned by the Import Administration (Department of Commerce) to this antidumping or countervailing duty case.	
Filler	1S	13-13	M	Space fill.	
Suspension Action Effective Date	6D	14-19	M	The effective date (MMDDYY) that this suspension action was established.	
Filler	1S	20-20	M	Space fill.	
Suspension Action	5AN	21-25	M	The entry summary suspension action identified as:  START = Start the action to suspend liquidation of entry summaries for this case.  STOP = Liquidation of entry summaries may occur for those affected by this case (field will be left justified).	
Filler	1S	26-26	M	Space fill.	
Suspension Action Added Date	6D	27-32	M	The date (MMDDYY) that this Suspension Action was added to the AD/CVD case.	
Filler	1S	33-33	M	Space fill.	
Suspension Action Inactivated Date	6D	34-39	C	The date (MMDDYY), if applicable, that this Suspension Action was inactivated for this AD/CVD case.  Space fill if not inactivated.	
Filler	41S	40-80	M	Space fill.	

## Failed Query Condition (Output RX-Record)

The RX-Record conveys to the Filer/Transmitter a single, discreet condition regarding a failed query request. Included is information that identifies the input grouping in which the condition arose and the reason (condition code and narrative text) for the failure.

<b>Record Identifier RX (Output)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Always equals RX.	
Reference Data Type Code	3AN	3-5	M	An indication as to the type of reference information returned.	1
Filler	1S	6-6	M	Space fill.	
Occurrence Position	6N	7-12	M	The relative position of the query request within the submission.	1
Filler	1S	13-13	M	Space fill.	
Reference ID Constant	7X	14-20	M	Always 'REF ID:'.	
Filler	1S	21-21	M	Space fill.	
Reference Data Text	13X	22-34	M	Identifying data extracted from the submitted input that corresponds to the Reference Data Type Code.	1
Filler	2S	35-36	M	Space fill.	
Condition Code	3AN	37-39	M	Code that identifies the condition regarding the query.	2
Filler	1S	40-40	M	Space fill.	
Narrative Text	40X	41-80	M	Text description that corresponds to the Condition Code.	2

**Note 1**

The following table describes the types of RX-Records that may be returned in a response.

Reference Data Type Code	Description / Usage	Returned 'Reference Data Text' Content (Position 22-34)						
Q1C	<p><b>Description:</b> Condition found on Q1-Record (not related to a specific AD/CVD case number).</p> <p><b>Usage:</b> Occurrence Position = the relative sequence of the <b>Q1-Record</b> within the filing.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>22-34</td> <td>Space fill.</td> </tr> </tbody> </table>	Position	Description / Source	22-34	Space fill.		
Position	Description / Source							
22-34	Space fill.							
Q1E	<p><b>Description:</b> Condition found on the Q1-Record (related to a specific AD/CVD case number query).</p> <p><b>Usage:</b> Occurrence Position = the relative sequence of the Entry Number within <u>ALL</u> <b>Q1-Records</b> within the filing.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>22-31</td> <td>AD/CVD Case Number (Q1-Record)</td> </tr> <tr> <td>32-34</td> <td>Space fill.</td> </tr> </tbody> </table>	Position	Description / Source	22-31	AD/CVD Case Number (Q1-Record)	32-34	Space fill.
Position	Description / Source							
22-31	AD/CVD Case Number (Q1-Record)							
32-34	Space fill.							
Q2C	<p><b>Description:</b> Condition found on the Q2-Record.</p> <p><b>Usage:</b> Occurrence Position = the relative sequence of the <b>Q2-Record</b> within the filing.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>22-34</td> <td>Space fill.</td> </tr> </tbody> </table>	Position	Description / Source	22-34	Space fill.		
Position	Description / Source							
22-34	Space fill.							

**Note 2**

Please refer to the ACE ABI CATAIR “Appendix G -- ACE ABI Condition Codes and Narrative Text - Reference Information” for information concerning the condition codes and associated narrative text. Please note that Appendix G is a spreadsheet with several tabs for the various ACE transactions - please refer to the fifth tab of the spreadsheet titled “AD-CVD Case Query (AC-AD)”.

**Table 1 – AD/CVD Unit of Measure Codes & Descriptions**

UOM Code	Unit of Measure Description
BBL	Barrels
C	Celsius
CAR	Carat
CC	Cubic Centimeter
CG	Centigrams
CGM	Content Gram
CKG	Content Kilogram
CM	Centimeters
CM2	Square Centimeters
CM3	Cubic Centimeters
CTN	Content Ton
CU	Cubic
CUR	Curie
CY	Clean Yield
CYG	Clean Yield Gram
CYK	Clean Yield Kilogram
D	Denier
DEG	Degree
DOZ	Dozen
DPC	Dozen Pieces
DPR	Dozen Pairs
DS	Doses
FBM	Fiber M
FIB	Fibers
G	Gram
GBQ	Giqabecquerel
GCM	Gross containers
GKG	Gold content grams
GR	Gross
GRL	Gross Lines
GVW	Gross Vehicle Weight
HUN	Hundreds
HZ	Hertz
JWL	Number of Jewels
K	1,000
KCL	Kilocalories
KG	1,000 Grams
KHZ	Kilohertz
KM	1,000 Meters
KM2	1,000 Square Meters
KM3	1,000 Cubic Meters

UOM Code	Unit of Measure Description
KN	Kilonewtons
KPA	Kilopascal
KSB	1,000 Standard Brick
KVA	Kilovolt - Amperes
KVR	Kilovolt - Amperes Reactive
KW	Kilowatts
KWH	Kilowatt-Hours
L	Liter
LBS	Pounds
LIN	Linear
LNM	Linear Meters
M	Meters
M2	Square Meters
M3	Cubic Meters
MBQ	Megabecquerel
MC	Millicurie
MG	Milligram
MHZ	Megahertz
ML	Milliliter
MM	Millimeters
MPA	Megapascal
MT	Metric Ton
NO	Number
ODE	Ozone Depletion Equivalent
OTH	Other
PCS	Pieces
PF	Proof
PFG	Proof Gallon
PFL	Proof Liter
PK	Pack
PRS	Pairs
RBA	Running Bales
RPM	Revolutions Per Minute
SBE	Standard Brick Equivalent
SME	Square Meters Equivalent
SQ	Square
SQM	Square Meters
THS	Thousand Units
V	Volts
W	Watts
WG	Wine Gallon
WL	Wine Liter