Automated Export System
Commodity Filing Response Messages

Appendix A

July 2022

U.S. Customs and Border Protection
Commodity Filing Response Messages

A Commodity Filing response may contain one or more of the following conditions. Each condition is noted in a single ES1 output record. The output ES1 record conveys to the filer/transmitter a single, discreet condition regarding an input validation or the final disposition of the AES action. Included in each response description is:

Narrative Text. This is the exact text that is returned in the ES1 record.

Severity. The severity of the condition is returned as the Severity Indicator.

Proprietary Record ID/Data Elements. This section lists the proprietary record(s) and data element(s) that have caused or contributed to the identified condition.

X.12 Segment ID/Data Elements. When applicable, this section lists the X.12 segment(s) and data element(s) that have caused or contributed to the identified condition.

Reason. This section states the reason that the condition was identified.

Resolution. This section prescribes a resolution to the problem, if any. Additional information may be presented here as well.

FAILURE TO RESPOND TO FATAL CONDITION MESSAGES OR OTHERWISE TRANSMIT CORRECTIONS TO AES CONSTITUTES A VIOLATION OF THE PROVISIONS OF THE FOREIGN TRADE REGULATIONS.

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Note: Table A contains a concise listing of all response codes and returned narrative text.
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Batch and Block Conditions

Response Code: 001
Narrative Text: BATCH CONTROL MISSING – Z RECORD
Severity: FATAL
Proprietary Record ID/Data Elements: Input Z Record
X.12 Segment ID/Data Elements: N/A
Reason: The proprietary Z record that indicates the conclusion of the batch is missing.
Resolution: A syntactically correct batch begins with an A record and ends with a Z record. Verify, correct and retransmit.

Response Code: 002
Narrative Text: HEADER FILER ID MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Filer ID
X.12 Segment ID/Data Elements: ISA06
Reason: The Filer ID reported in the A record contains non-numeric values.
Resolution: The Filer ID must be reported as 9 numeric digits. Verify the Filer ID, correct the A record and retransmit.

Response Code: 003
Narrative Text: TRAILER FILER ID MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input Z Record/Filer ID
X.12 Segment ID/Data Elements: ISA06
Reason: The Filer ID reported in the Z record contains non-numeric values.
Resolution: The Filer ID must be reported as 9 numeric digits. Verify the Filer ID, correct the Z record and retransmit.

Response Code: 004
Narrative Text: FILER/TRANSMITTER NOT AUTHORIZED TO SEND
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Transmitter ID, Filer ID, Communication Password
X.12 Segment ID/Data Elements: ISA02; ISA04; ISA06
Reason: The filer/transmitter combination was not recognized by AES.
Resolution: The combination of Transmitter ID, Filer ID, and Communication Password reported on the A record defines the pre-established AES transmitter/filer. The Transmitter/Filer combination must be authorized to file in AES. Check for errors, correct if appropriate and retransmit. Otherwise, contact your client representative for assistance.

Response Code: 005
Narrative Text: HEADER APPLICATION ID MUST BE XP
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Application Identifier
X.12 Segment ID/Data Elements: N/A
Reason: The Application Identifier on the A record was not reported as ‘XP’.
Resolution: The Application Identifier must be reported as ‘XP’ on the A record. Verify the Application Identifier, correct the A record and retransmit.
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Response Code: 006
Narrative Text: TRAILER APPLICATION ID MUST BE XP
Severity: FATAL
Proprietary Record ID/Data Elements: Input Z Record/Application Identifier
X.12 Segment ID/Data Elements: N/A
Reason: The Application Identifier on the Z record was not reported as XP.
Resolution: The Application Identifier must be reported as XP on the Z record. Verify the Application Identifier, correct the Z record and retransmit.

Response Code: 007
Narrative Text: HEADER FILER ID TYPE MUST BE E or D
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Filer ID Type
X.12 Segment ID/Data Elements: ISA05 where: 01 → D, 24 → E
Reason: The Filer ID Type on the A record was not reported as E or D.
Resolution: The Filer ID Type on the A record must be an EIN or DUNS. Verify the Filer ID Type, correct the A record and retransmit. If you are using X12, use the values as specified in the X12 601 Implementation Guide.

Response Code: 008
Narrative Text: TRAILER FILER ID TYPE MUST BE E or D
Severity: FATAL
Proprietary Record ID/Data Elements: Input Z Record/Filer ID Type
X.12 Segment ID/Data Elements: ISA05 where: 01 → D, 24 → E
Reason: The Filer ID Type on the Z record was not reported as E or D.
Resolution: The Filer ID Type on the Z record must be an EIN or DUNS. Verify the Filer ID Type, correct the Z record and retransmit.

Response Code: 009
Narrative Text: FILER ID TYPE / PROFILE CONFLICT
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Filer ID Type
X.12 Segment ID/Data Elements: Will not occur in X.12
Reason: The Filer ID Type does not match exactly the Filer ID Type of the pre-established transmitter/filer profile found for the filer.
Resolution: The A record Filer ID Type must match exactly the Filer ID Type of the pre-established Filer/Transmitter profile defined in AES. Verify the Filer ID Type, correct the A record and retransmit if appropriate. Otherwise contact your client representative for assistance.

Response Code: 010
Narrative Text: DEC IND MUST BE Y OR N
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Data Entry Center Indicator
X.12 Segment ID/Data Elements: BA107 where: NO → N, YES → Y
Reason: The Data Entry Center Indicator reported on the A record was not Y (Yes) or N (No).
Resolution: The A record Data Entry Center Indicator must be Y if the filer is claiming DEC privileges for the shipments enclosed in the batch or N if the filer is NOT claiming DEC privileges for the shipments enclosed in the batch. Verify the Data Entry Center Indicator, correct the A record and retransmit. For further assistance, contact your client representative.
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Response Code: 011
Narrative Text: DEC CLAIM NOT ALLOWED BY FILER
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Data Entry Center Indicator, Transmitter ID, Filer ID
X.12 Segment ID/Data Elements: ISA02; ISA06; BA107 where: NO → N, YES → Y
Reason: The filer is not a known/approved Data Entry Center.
Resolution: A Data Entry Center is an AES filer authorized by Census to transmit an EEI. A DEC claim can only be made by those filers that have a signed agreement with Census to participate as a DEC. The Data Entry Center Indicator must be reported as N (No) if the filer/transmitter is not a known DEC. Verify the Data Entry Center Indicator, correct and retransmit if appropriate. If further information is need, contact your client representative.

Response Code: 012
Narrative Text: FILER HAS BEEN INACTIVATED
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Transmitter ID, Filer ID, Communication Password
X.12 Segment ID/Data Elements: ISA02; ISA04; ISA06
Reason: The pre-established filer/transmitter profile is marked as ‘inactive’.
Resolution: Verify the transmitter/filer information, correct and retransmit if appropriate. Otherwise, contact your client representative for assistance.

Response Code: 013
Narrative Text: HEADER TRANSMITTER DATE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Transmitter Date
X.12 Segment ID/Data Elements: ISA09
Reason: The A record Transmitter Date was not a known date.
Resolution: The A record Transmitter Date must be a known date formatted as YYYYMMDD. This date does not have to be the current system date or within any specific date range. It is intended only as an aid in identifying responses to commodity shipment filings. Verify the Transmitter Date, correct the A record and retransmit.

Response Code: 014
Narrative Text: TRAILER TRANSMITTER DATE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input Z Record/Transmitter Date
X.12 Segment ID/Data Elements: ISA09
Reason: The Z record Transmitter Date was not a known date.
Resolution: The Z record Transmitter Date must be a known date formatted as YYYYMMDD. This date does not have to be the current system date or within any specific date range. It is intended only as an aid in identifying responses to commodity shipment filings. Verify the Transmitter Date, correct the Z record and retransmit.

Response Code: 015
Narrative Text: A –Z RECORD NO MATCH: FILER ID
Severity: FATAL
Proprietary Record ID/Data Elements: Input A and Input Z Record/Filer ID
X.12 Segment ID/Data Elements: N/A
Reason: The Filer ID reported in the input A record does not match exactly the Filer ID reported in the input Z record.
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Resolution: The Filer ID reported in the input A record must match exactly the Filer ID reported in the input Z record. Verify the Filer ID, correct and retransmit.

Response Code: 016
Narrative Text: A-Z RECORD NO MATCH: FILER ID TYPE
Severity: FATAL
Proprietary Record ID/Data Elements: Input A and Input Z Record/Filer ID TYPE
X.12 Segment ID/Data Elements: N/A
Reason: The Filer ID Type reported in the input A record does not match exactly the Filer ID Type reported in the input Z record.
Resolution: The Filer ID Type reported in the input A record must match exactly the Filer ID Type reported in the input Z record. Verify the Filer ID Type, correct and retransmit.

Response Code: 017
Narrative Text: A-Z RECORD NO MATCH: APPLICATION ID
Severity: FATAL
Proprietary Record ID/Data Elements: Input A and Input Z Record/Application ID
X.12 Segment ID/Data Elements: N/A
Reason: The Application ID reported in the input A record does not match exactly the Application ID reported in the input Z record.
Resolution: The Application ID reported in the input A record must match exactly the Application ID reported in the input Z record. Verify the Application ID, correct and retransmit.

Response Code: 018
Narrative Text: A-Z RECORD NO MATCH: TRANSMITTER DATE
Severity: FATAL
Proprietary Record ID/Data Elements: Input A and Input Z Record/Transmitter Date
X.12 Segment ID/Data Elements: N/A
Reason: The Transmitter Date reported in the input A record does not match exactly the Transmitter Date reported in the input Z record.
Resolution: The Transmitter Date reported in the input A record must match exactly the Transmitter Date reported in the input Z record. Verify the Transmitter Date, correct and retransmit.

Response Code: 019
Narrative Text: A-Z RECORD NO MATCH: BATCH CONTROL NBR
Severity: FATAL
Proprietary Record ID/Data Elements: Input A and Input Z Record/Batch Control Number
X.12 Segment ID/Data Elements: N/A
Reason: The Batch Control Number reported in the input A record does not match exactly the Batch Control Number reported in the input Z record.
Resolution: The Batch Control Number reported in the input A record must match exactly the Batch Control Number reported in the input Z record. Verify the Batch Control Number, correct and retransmit.

Response Code: 020
Narrative Text: A-Z RECORD NO MATCH: TRANSMITTER ID
Severity: FATAL
Proprietary Record ID/Data Elements: Input A and Input Z Record/Transmitter ID
X.12 Segment ID/Data Elements: N/A
Reason: The Transmitter ID reported in the input A record does not match exactly the Transmitter ID reported in the input Z record.
Resolution: The Transmitter ID reported in the input A record must match exactly the Transmitter ID reported in the input Z record. Verify the Transmitter ID, correct and retransmit.
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Response Code: 021
Narrative Text: > 999 BLOCKS SUBMITTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input A and Input Z Records, Input B and Y Records
X.12 Segment ID/Data Elements: BA1 loop
Reason: The block (A-Z envelope) contained more than 999 batches (B-Y envelopes).
Resolution: A syntactically correct proprietary block begins with a B record and ends with a Y record. The B and Y records describe the USPPI for the enclosed commodity shipment transactions. No more than 999 blocks (B-Y envelopes) may be included in a single batch (A-Z envelope). Break the transmission into smaller batches and retransmit.

Response Code: 022
Narrative Text: BLOCK CONTROL MISSING – B RECORD
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record
X.12 Segment ID/Data Elements: N1 segment; when: N101 = EX
Reason: The proprietary B record that indicates the beginning of a block is missing.
Resolution: A syntactically correct block begins with a B record and ends with a Y record. The B record is mandatory for all commodity filings. Verify the B record, correct and retransmit.

Response Code: 023
Narrative Text: BLOCK CONTROL MISSING – Y RECORD
Severity: FATAL
Proprietary Record ID/Data Elements: Input Y Record
X.12 Segment ID/Data Elements: N1 segment; when: N101 = EX
Reason: The proprietary Y record that indicates the end of a block is missing.
Resolution: A syntactically correct block begins with a B record and ends with a Y record. The Y record is mandatory for all commodity filings. Verify the Y record, correct and retransmit.

Response Code: 024
Narrative Text: HEADER USPPI ID TYPE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID TYPE
X.12 Segment ID/Data Elements: N103 where: 1 → D, 24 → E, ZZ → T; when: N101 = EX
Reason: The USPPI ID Type is missing from the B record.
Resolution: Verify the USPPI ID Type, correct the B record and retransmit.

Response Code: 025
Narrative Text: TRAILER USPPI ID TYPE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input Y Record/USPPI ID TYPE
X.12 Segment ID/Data Elements: N103; when: N101 = EX
Reason: The USPPI ID Type is missing from the Y record.
Resolution: Verify the USPPI ID Type, correct the Y record and retransmit.

Response Code: 026
Narrative Text: HEADER USPPI ID TYP MUST BE E, D, or T
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID TYPE
X.12 Segment ID/Data Elements: N103 where: 1 → D, 24 → E, ZZ → T; when: N101 = EX
Reason: The USPPI ID Type reported on the B record is not E, D, or T.
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Resolution: The B record USPPI ID Type must be EIN, DUNS, or T for Foreign Entity. Verify the USPPI ID Type, correct the B record and retransmit.

Response Code: 027
Narrative Text: TRAILER USPPI ID TYP MUST BE E, D, or T
Severity: FATAL
Proprietary Record ID/Data Elements: Input Y Record/USPPI ID TYPE
X.12 Segment ID/Data Elements: N103 where: 1 → D, 24 → E, ZZ → T; when: N101 = EX
Reason: The USPPI ID Type reported on the Y record is not E, D, or T.
Resolution: The Y record USPPI ID Type must be EIN, DUNS, or T for Foreign Entity. Verify the USPPI ID Type, correct the Y record and retransmit.

Response Code: 028
Narrative Text: HEADER USPPI ID MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/ USPPI ID
X.12 Segment ID/Data Elements: N104; when: N101 = EX
Reason: The USPPI ID is missing from the B record.
Resolution: The identifier of the party (USPPI ID) filing the enclosed commodity shipment information within the block must be reported on the B record. Verify the USPPI ID, correct the B record and retransmit.

Response Code: 029
Narrative Text: TRAILER USPPI ID MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input Y Record/USPPI ID, USPPI ID TYPE
X.12 Segment ID/Data Elements: N104; when: N101 = EX
Reason: The USPPI ID reported on the B record contains non-numeric values.
Resolution: When the USPPI ID Type is DUNS, positions 1-11 of the USPPI ID must be numeric. When the USPPI ID Type is EIN, positions 1-9 of the USPPI ID must be numeric. Verify the USPPI ID, correct the B record and retransmit.

Response Code: 030
Narrative Text: TRAILER USPPI ID MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input Y Record/USPPI ID, USPPI ID TYPE
X.12 Segment ID/Data Elements: N104; when: N101 = EX
Reason: The USPPI ID reported on the Y record contains non-numeric values.
Resolution: When the USPPI ID Type is DUNS, positions 1-11 of the USPPI ID must be numeric. When the USPPI ID Type is EIN, positions 1-9 of the USPPI ID must be numeric. Verify USPPI ID, correct the Y record and retransmit.
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Response Code: 032
Narrative Text: HEADER USPPI ID INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID
X.12 Segment ID/Data Elements: N104; when: N101 = EX
Reason: The USPPI ID reported on the B record is ineligible.
Resolution: The first nine positions of the USPPI ID must not contain an ineligible value identified in AES. Verify the USPPI ID, correct the B record and retransmit. For further information, contact your client representative.

Response Code: 033
Narrative Text: TRAILER USPPI ID INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input Y Record/USPPI ID
X.12 Segment ID/Data Elements: N104; when: N101 = EX
Reason: The USPPI ID reported on the Y record is ineligible.
Resolution: The first nine positions of the USPPI ID must not contain an ineligible value identified in AES. Verify the USPPI ID, correct the Y record and retransmit. For further information, contact your client representative.

Response Code: 034
Narrative Text: HEADER USPPI EIN LOCATION INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID, USPPI ID TYPE
X.12 Segment ID/Data Elements: N103 where: 24 → E; N104; when: N101 = EX
Reason: The USPPI ID Type has been specified as EIN, yet position 10-11 of the USPPI ID on the B record contains other than numeric or non-space alphanumeric values.
Resolution: When the input B record USPPI ID Type is an EIN, the 10-11 position of the USPPI ID are used at the discretion of the filer to signify a ‘location’ of the USPPI. If used, the value must be numeric or non-space alphanumeric with no leading or trailing spaces. If this location feature is not used, positions 10-11 must be zero filled. Verify the USPPI ID, correct the B record and retransmit.

Response Code: 035
Narrative Text: TRAILER USPPI EIN LOCATION INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input Y Record/USPPI ID, USPPI ID TYPE
X.12 Segment ID/Data Elements: N103 where: 24 → E; N104; when: N101 = EX
Reason: The USPPI ID Type has been specified as EIN, yet position 10-11 of the USPPI ID on the Y record contains other than numeric or non-space alphanumeric values.
Resolution: When the input Y record USPPI ID Type is an EIN, the 10-11 position of the USPPI ID are used at the discretion of the filer to signify a ‘location’ of the USPPI. If used, the value must be numeric or non-space alphanumeric with no leading or trailing spaces. If this location feature is not used, positions 10-11 must be zero filled. Verify the USPPI ID, correct the Y record and retransmit.
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Response Code: 036
Narrative Text: HEADER USPPI LOCATN NOT ALLWED FOR TYPE
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID, USPPI ID TYPE
X.12 Segment ID/Data Elements: N103 where: 1 → D; N104; when: N101 = EX
Reason: The USPPI ID Type has been specified as DUNS, yet position 10-11 of the USPPI ID on the B record contains other than zeroes.
Resolution: When the input B record USPPI ID Type is DUNS, the 10-11 position of the USPPI must be zero filled. Verify the USPPI ID, correct the B record and retransmit.

Response Code: 037
Narrative Text: TRAILER USPPI LOCATN NOT ALLWED FOR TYPE
Severity: FATAL
Proprietary Record ID/Data Elements: Input Y Record/USPPI ID, USPPI ID TYPE
X.12 Segment ID/Data Elements: N103 where: 1 → D; N104; when: N101 = EX
Reason: The USPPI ID Type has been specified as DUNS, yet position 10-11 of the USPPI ID on the Y record contains other than zeroes.
Resolution: When the input Y record USPPI ID Type is SNN or DUNS, the 10-11 position of the USPPI ID must be zero filled. Verify the USPPI ID, correct the Y record and retransmit.

Response Code: 038
Narrative Text: HEADER USPPI CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID, USPPI ID TYPE
X.12 Segment ID/Data Elements: N103 where: ZZ → T; N104; when: N101 = EX
Reason: The USPPI ID on the B record contains leading spaces.
Resolution: When the input B record USPPI ID Type is T for Foreign Entity, the USPPI ID cannot contain leading spaces. Verify the USPPI ID, correct the B record and retransmit.

Response Code: 039
Narrative Text: TRAILER USPPI CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input Y Record/USPPI ID, USPPI ID TYPE
X.12 Segment ID/Data Elements: N103 where: ZZ → T; N104; when: N101 = EX
Reason: The USPPI ID on the Y record contains leading spaces.
Resolution: When the input Y record USPPI ID Type is T for Foreign Entity, the USPPI ID cannot contain leading spaces. Verify the USPPI ID, correct the Y record and retransmit.

Response Code: 040
Narrative Text: HEADER USPPI NAME MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI NAME
X.12 Segment ID/Data Elements: N102; when: N101 = EX
Reason: The name of the USPPI for the enclosed commodity shipment information is missing on the B record.
Resolution: The USPPI Name must be present on the B record (i.e., not spaces). Verify the USPPI Name, correct the B record and retransmit.
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Response Code: 041
Narrative Text: TRAILER USPPI NAME MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input Y Record/USPPI NAME
X.12 Segment ID/Data Elements: N102; when: N101 = EX
Reason: The name of the USPPI for the enclosed commodity shipment information is missing on the Y record.
Resolution: The USPPI Name must be present on the Y record (i.e., not spaces). Verify the USPPI Name, correct the Y record and retransmit.

Response Code: 042
Narrative Text: HEADER USPPI NAME CONTAINS LEADING SPCS
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI NAME
X.12 Segment ID/Data Elements: N102; when: N101 = EX
Reason: The USPPI Name on the input B record contains leading spaces.
Resolution: The USPPI Name on the input B record cannot contain leading spaces. Verify the USPPI Name, correct the B record and retransmit.

Response Code: 043
Narrative Text: TRAILER USPPI NAME CONTAINS LEADING SPCS
Severity: FATAL
Proprietary Record ID/Data Elements: Input Y Record/USPPI NAME
X.12 Segment ID/Data Elements: N102; when: N101 = EX
Reason: The USPPI Name on the input Y record contains leading spaces.
Resolution: The USPPI Name on the input Y record cannot contain leading spaces. Verify the USPPI Name, correct the Y record and retransmit.

Response Code: 044
Narrative Text: HEADER USPPI NAME INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI NAME
X.12 Segment ID/Data Elements: N102; when: N101 = EX
Reason: The USPPI Name contains an ineligible value.
Resolution: The USPPI Name on the B record must not contain an ineligible value identified in AES. Verify the USPPI Name, correct the B record and retransmit.

Response Code: 045
Narrative Text: TRAILER USPPI NAME INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input Y Record/USPPI NAME
X.12 Segment ID/Data Elements: N102; when: N101 = EX
Reason: The USPPI Name contains an ineligible value.
Resolution: The USPPI Name on the Y record must not contain an ineligible value identified in AES. Verify the USPPI Name, correct the Y record and retransmit.
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Response Code: 046
Narrative Text: HEADER USPPI ID CANNOT = FLR ID FOR DEC
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Filer ID, Data Entry Center Indicator; Input B Record/USPPI ID
X.12 Segment ID/Data Elements: ISA06; BA107 = YES; N104; when: N101 = EX
Reason: The Data Entry Center Indicator is set to Yes and the Filer ID on the input A record is the same as the USPPI ID on the input B record.
Resolution: If the input A record Filer ID is a known/approved Data Entry Center and the filer is making a DEC claim (Data Entry Center Indicator = Y), the USPPI ID on the input B record must be different from the A record’s Filer ID. Verify the Filer ID, the Data Entry Center Indicator and the USPPI ID, correct appropriately and retransmit.

Response Code: 047
Narrative Text: TRAILER USPPI ID CANNOT = FLR ID FOR DEC
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Filer ID, Data Entry Center Indicator; Input Y Record/USPPI ID
X.12 Segment ID/Data Elements: ISA06; BA107 = YES; N104; when: N101 = EX
Reason: The Data Entry Center Indicator is set to Yes and the Filer ID on the input A record is the same as the USPPI ID on the input Y record.
Resolution: If the A record Filer ID is a known/approved Data Entry Center and the filer is making a DEC claim (Data Entry Center Indicator = Y), the USPPI ID on the input Y record must be different from the A record’s Filer ID. Verify the Filer ID, the Data Entry Center Indicator and the USPPI ID, correct appropriately and retransmit.

Response Code: 048
Narrative Text: B – Y RECORD NO MATCH: USPPI ID
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record, Input Y Record/USPPI ID
X.12 Segment ID/Data Elements: N/A
Reason: The USPPI ID reported on the input Y record does not match the USPPI ID reported on the input B record.
Resolution: The Y record USPPI ID must match exactly to the equivalent value in the proceeding B record. Verify the USPPI ID on the B and Y records, correct appropriately and retransmit.

Response Code: 049
Narrative Text: B – Y RECORD NO MATCH: USPPI ID TYPE
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record, Input Y Record/ USPPI ID TYPE
X.12 Segment ID/Data Elements: N/A
Reason: The USPPI ID Type reported on the input Y record does not match the USPPI ID Type reported on the proceeding input B record.
Resolution: The Y record USPPI ID Type must match exactly to the equivalent value in the proceeding B record. Verify the USPPI ID Type on the B and Y records, correct appropriately and retransmit.
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Response Code: 05A
Narrative Text: FILER ID TYPE ‘S’ NO LONGER ACCEPTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Filer ID Type
X.12 Segment ID/Data Elements: ISA05 where: 01 → D, 05 → S, 06 → E
Reason: The Filer ID Type on the A record was an SSN. SSN’s are no longer being accepted after December 3, 2009.
Resolution: The Filer ID Type on the A record must be an EIN or DUNS. Verify the Filer ID Type, correct the A record and retransmit.

Response Code: 050
Narrative Text: B – Y RECORD NO MATCH: USPPI NAME
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record, Input Y Record/ USPPI NAME
X.12 Segment ID/Data Elements: N/A
Reason: The USPPI Name reported on the input Y record does not match the USPPI Name reported on the proceeding input B record.
Resolution: The Y record USPPI Name must match exactly to the equivalent value in the proceeding B record. Verify the USPPI Name on the B and Y records, correct appropriately and retransmit.

Response Code: 051
Narrative Text: > 999 TRANSACTIONS SUBMITTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/Input Y Record/Input SC1 Records
X.12 Segment ID/Data Elements: BA1 loops
Reason: The block (B-Y envelope) contained more than 999 commodity shipment transactions.
Resolution: A syntactically correct commodity shipment transaction begins with an SC1 record. There is not specific record type that ends a commodity shipment transaction. The SC1 record and the records that follow conditionally describe a shipment, the parties of interest to the transaction, transportation data references, and details of the commodities. No more than 999 commodity shipment transactions can be reported within a block (B-Y envelope). Break the batch into smaller blocks and retransmit.

Response Code: 052
Narrative Text: MISSING SC1 RECORD
Severity: FATAL
Proprietary Record ID/Data Elements: B-Y Block Envelope/Input SC1
X.12 Segment ID/Data Elements: BA1 loop
Reason: An ‘unrecognizable’ commodity shipment transaction was encountered.
Resolution: An ‘unrecognized’ commodity shipment transaction occurs when a) NO records are found between a B and a Y record, or b) when the record that follows the B record is NOT an SC1, yet prior to the Y record, an SC1 is found. When an ‘unrecognizable’ shipment transaction is encountered, a system generated SC1 record will immediately follow the B record, the ES1 condition record will follow the system generated SC1 record and the shipment transaction will be rejected. An ‘unrecognized’ shipment transaction condition results in only that ‘shipment’ being ignored. Any subsequent SC1 grouping in the block shall be edited for validity (i.e., the disposition of a single shipment transaction has no effect on any subsequent shipment transaction filed within the same block). Verify, correct and retransmit.

Response Code: 053   <Reserved for internal use only>
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Response Code: 054  <Reserved for internal use only>

Response Code: 055
Narrative Text:  USPPI ID/TYPE:  -USPPI ID and ID Type -
Severity:  INFORMATIONAL
Proprietary Record ID/Data Elements:  Input B Record/USPPI ID, USPPI ID Type
X.12 Segment ID/Data Elements:  N104; when:  N101 = EX
Reason:  The USPPI ID and ID Type are returned because of a fatal condition encountered on the Batch Control Header (A record) or Batch Control Trailer (Z record).
Resolution:  Informational only.

Response Code: 056
Narrative Text:  SHIPMENT REF NBR:  -Shipment Reference Number -
Severity:  INFORMATIONAL
Proprietary Record ID/Data Elements:  Input SC1 Record/ Shipment Reference Number
X.12 Segment ID/Data Elements:  BA105
Reason:  The Shipment Reference Number is returned because of a fatal condition encountered on the Batch Control Header (A record), Batch Control Trailer (Z record), Block Control Header (B record), or Block Control Trailer (Y record).
Resolution:  Informational only.

Response Code: 057
Narrative Text:  HEADER FILER ID MUST BE NUMERIC OR APID
Severity:  FATAL
Proprietary Record ID/Data Elements:  Input A Record/Filer ID, Filer ID Type
X.12 Segment ID/Data Elements:  ISA06, ISA05 where:  01 → D
Reason:  The Filer ID reported in the A record contains non-numeric values and is NOT a legitimate APID (AES Party Identifier).
Resolution:  The Filer ID must be reported as 9 numeric digits when Filer ID Type reported as E or D. The Filer ID must be reported as a legitimate 9-position AES Party ID (APID) when Filer ID Type reported as A. Verify the Filer ID, correct the A record and retransmit.

Response Code: 058
Narrative Text:  TRAILER FILER ID MUST BE NUMERIC OR APID
Severity:  FATAL
Proprietary Record ID/Data Elements:  Input Z Record/Filer ID, Filer ID Type
X.12 Segment ID/Data Elements:  ISA06, ISA05 where:  01 → D
Reason:  The Filer ID reported in the Z record contains non-numeric values and is NOT a legitimate APID (AES Party Identifier).
Resolution:  The Filer ID must be reported as 9 numeric digits when Filer ID Type reported as E or D. The Filer ID must be reported as a legitimate 9-position AES Party ID (APID) when Filer ID Type reported as A. Verify the Filer ID, correct the Z record and retransmit.

Response Code: 059
Narrative Text:  HEADER USPPI ID (APID) UNKNOWN
Severity:  FATAL
Proprietary Record ID/Data Elements:  Input B Record/USPPI ID Type
X.12 Segment ID/Data Elements:  N104; when:  N101 = EX; N103 where:  94 → A
Reason:  The USPPI ID Type is A, yet the USPPI ID is not a legitimate APID (AES Party Identifier).
Resolution:  The USPPI ID must be reported as a legitimate APID when USPPI ID Type reported as A. Verify the USPPI ID, correct the B record and retransmit.
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Response Code: 060
Narrative Text: TRAILER USPPI ID (APID) UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input Y Record/USPPI ID, USPPI ID Type
X.12 Segment ID/Data Elements: N104; when: N101 = EX; N103 where: 94 → A
Reason: The USPPI ID Type is A, yet the USPPI ID is not a legitimate APID (AES Party Identifier).
Resolution: The USPPI ID must be reported as a legitimate APID when USPPI ID Type reported as A. Verify the USPPI ID, correct the Y record and retransmit.

Shipment Transaction Conditions

Response Code: 06A
Narrative Text: OPT 3(AEI) FILING CURRENTLY NOT ACTIVE
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Shipmen Filing Action Request indicator, Filing Option Indicator
X.12 Segment ID/Data Elements: BA102; YNQ01; YNQ02 where: RZ→ 2, RX→4
Reason: Filing option 3 (AEI) has not been activated for processing.
Resolution: If the Shipment Filing Action Request Indicator is not X for cancel, then the SC1 record must contain a known Filing Option Indicator. The known Filing Option Indicators are 2 for PreDeparture or 4 for Post departure. Verify the filing Option Indicator on the SC1 record, correct and retransmit. If you need further assistance, contact your client representative.

Response Code: 06B
Narrative Text: FILING TYPE REQUIRED WHEN FILING OPT 3.
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/AEI Filing Type
X.12 Segment ID/Data Elements: YNQ01
Reason: Filing option 3 (AEI) requires AEI Filing Type.
Resolution: If the Shipment Filing Action Request Indicator is 3, filing type is required to be ‘P’ for partial, or ‘F’ for Full shipment filing. Verify the AEI filing type is accurate and present whe filing Option 3 preDeparture shipments on the SC1 record, correct and retransmit. If you need further assistance, contact your client representative.

Response Code: 06C
Narrative Text: FILNG OPT IND NOT ALLOWD TO CHG
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Shipmen Filing Action Request indicator, Filing Option Indicator
X.12 Segment ID/Data Elements: BA102; YNQ01; YNQ02 where: RZ→ 2, RX→4
Reason: Attempting to change Filing option indicator.
Resolution: Filing option indicator is not allowed to change value. Set the filing option indicator back to the value the shipment was accepted under, correct and retransmit. If you need further assistance, contact your client representative.

Response Code: 06D
Narrative Text: AEI F FILNG PREV RECVD;P FILNG NOT VALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/AEI Filing Type
X.12 Segment ID/Data Elements: YNQ01
Reason: Filing type must be ‘F’.
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Resolution: A previous FULL filing was filed for this shipment. Partial filings are not allowed after a full filing has been received. Filing type must be ‘F’, correct and retransmit. If you need further assistance, contact your client representative.

Response Code: 06E
Narrative Text: DDTC RECORD NOT ALLOWED – OPTION 3 (AEI).
Severity: FATAL
Proprietary Record ID/Data Elements: Input ODT Record
X.12 Segment ID/Data Elements: X108;X115;X105;X113;X110
Reason: ODT record type not allowed on AEI filing option 3.
Resolution: Remove ODT record from AES EEI filing.

Response Code: 06F
Narrative Text: SCHED B/HTS NBR NOT VALID FOR AEI FILNG
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: L1301
Reason: The Schedule B/HTS Number has been flagged as not being allowed for AEI Option 3 filings.
Resolution: Check to make sure you have the correct Schedule B/HTS Number, if the number is correct; submit the filing under correct filing option.

Response Code: 06G
Narrative Text: ‘S’ LIC TYPE CODE NOT ALLOWD - AEI
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code
X.12 Segment ID/Data Elements: X107
Reason: ‘S’ class license codes, license codes prefixed with an ‘S’, are not allowed to file AEI option 3.
Resolution: Shipment will have to be filed under another filing option.

Response Code: 06H
Narrative Text: AEI NOT APPROVED FOR USPPI
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID; SC1 Record/Filing Option Indicator
X.12 Segment ID/Data Elements: N104; when: N101 = EX; YNQ01 RZ → 2
Reason: The USPPI is not approved for AEI filing privileges.
Resolution: The USPPI must be approved for AEI filing privilege and an ‘Approved AEI Status’ must be set in AES by Census before the filer can begin reporting AEI shipments.
Change the Filing Option Indicator on the SC1 record to 2 (PreDeparture) if appropriate and retransmit. For further assistance, contact your client representative.
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Response Code: 061
Narrative Text: RECORD OUT OF SEQUENCE
Severity: FATAL
Proprietary Record ID/Data Elements: Input Proprietary Records
X.12 Segment ID/Data Elements: BA1 loop
Reason: An unexpected record was encountered.
Resolution: Input proprietary records received from the trade are validated to ensure their structure and sequence is in conformance as that prescribed by the AESTIR. The AESTIR prescribes that record groups are submitted in the following order: Shipment Header Grouping, Shipment Transportation Detail, Shipment Party Grouping, and Commodity Line Item Grouping. Within each grouping, the AESTIR prescribes a further order by individual Record ID. An input record is considered as ‘out of sequence’ when its position within a shipment commodity transaction violates any of these prescribed orders. Verify, correct as appropriate and retransmit.

Response Code: 062
Narrative Text: UNKNOWN RECORD ID FOUND IN GROUPING
Severity: FATAL
Proprietary Record ID/Data Elements: Input Proprietary Records/Record Identifier
X.12 Segment ID/Data Elements: N/A
Reason: An unknown record type was encountered.
Resolution: Unknown proprietary input records are not allowed. Verify, correct and retransmit.

Response Code: 063
Narrative Text: RQSTD SHPMNT ACTN MUST BE A, C, R, OR X
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Shipmen Filing Action Request Indicator
X.12 Segment ID/Data Elements: BA102 where: 1 → A, 2 → C, RX → R, C → X
Reason: The AES action requested for this commodity shipment transaction is not an A, C, R, or X.
Resolution: The Shipment Filing Action Request Indicators on the SC1 record must be Add, Replace, Change, or X for Cancel. Verify the Shipment Filing Action Request Indicator on the SC1 record, correct and retransmit. If necessary refer to the filing guidelines to determine which action applies to this commodity shipment transaction.

Response Code: 064
Narrative Text: SHIPMENT REFERENCE NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Shipmen Filing Action Request Indicator
X.12 Segment ID/Data Elements: BA105
Reason: The Shipment Reference Number on the SC1 record is missing.
Resolution: The unique identifier of the shipment known as the Shipment Reference Number (SRN) must be reported on the input SC1 record. The filer of the export shipment provides this unique SRN that allows for the identification of the shipment in their system. The reuse of the SRN is prohibited. Verify the SRN on the SC1 record, correct and retransmit.

Response Code: 065
Narrative Text: SHIPMENT REFERENCE NBR CONTAINS SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Shipmen Filing Action Request Indicator
X.12 Segment ID/Data Elements: BA105
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**Reason:** The Shipment Reference Number (SRN) reported on the input SC1 record contains spaces.

**Resolution:** If the SC1 Shipment Filing Action Request Indicator is an Add, then the SRN must be left justified and cannot contain embedded spaces. Verify the SRN on the SC1 record, correct and retransmit.

**Response Code: 066**

**Narrative Text:** FILING OPT IND MUST BE 2, 3 OR 4.

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input SC1 Record/Shipment Filing Action Request indicator, Filing Option Indicator

**X.12 Segment ID/Data Elements:** BA102; YNQ01; YNQ02 where: RZ-> 2, RX->3, RX->4

**Reason:** The Filing Option Indicator on the input SC1 record was not reported as 2, 3, or 4.

**Resolution:** If the Shipment Filing Action Request Indicator is not X for cancel, then the SC1 record must contain a known Filing Option Indicator. The known Filing Option Indicators are 2 and 3(AEI) for PreDeparture or 4 for Post departure. Verify the filing Option Indicator on the SC1 record, correct and retransmit. If you need further assistance, contact your client representative.

**Response Code: 067**

**Narrative Text:** LICENSE VALUE MUST BE REPORTED & GT ZERO

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input CL1 License Value Amount

**X.12 Segment ID/Data Elements:** X114

**Reason:** The license value amount reported is ‘zero’ and the license code reported requires the license value amount to be reported.

**Resolution:** The license code reported requires the license value to be provided and must be greater than zero. For further assistance, contact your client representative. Verify, correct and retransmit.

**Response Code: 068**

**Narrative Text:** SHIPMENT NOT ON FILE; ACTION NOT ALLOWED

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input SC1 Record/ Shipment Reference Number, Shipment Filing Action Request Indicator

**X.12 Segment ID/Data Elements:** BA102 where: 2 → C, RX → R; BA105

**Reason:** The shipment is not on file in AES, therefore the requested action is not allowed.

**Resolution:** When the Shipment Filing Action Request Indicator is Change, Replace or X for Cancel, an export shipment must exist on file that matches the reported Shipment Reference Number for the filer. Verify the Shipment Filing Action Indicator and the Shipment Reference Number, correct and retransmit.

**Response Code: 069**

**Narrative Text:** SHIPMENT CANCELLED; ACTION NOT ALLOWED

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input SC1 Record/ Shipment Reference Number, Shipment Filing Action Request Indicator

**X.12 Segment ID/Data Elements:** BA102; BA105

**Reason:** The shipment was previously cancelled, therefore the requested action is not allowed.

**Resolution:** An export shipment cannot have been previously cancelled by the filer. If the Shipment Reference Number was previously reported on an export shipment that was subsequently cancelled, that Shipment Reference Number may not be reused. Verify the
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Shipment Request Filing Action Indicator and the Shipment Reference Number on the SC1 record, correct and retransmit.

Response Code: 070
Narrative Text: PARTY TYPE MUST BE E, F, C, OR I
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/ShipmenFiling Action Request Indicator; Input N01 Record/Party Type
X.12 Segment ID/Data Elements: BA102 where: 1 → A, RX → R, 2 → C; N101 where: EX → E, FW → F, CN → C, IC → I
Reason: The Party Type on the input N01 record was not reported as E, F, C, or I.
Resolution: When the Shipment Filing Action Request Indicator is an Add, Change or Replace, each and every N01 party header record must contain a known Party Type. The known Party Types include the USPPI (E), the Forwarding Agent (F), the Ultimate Consignee (C), or the Intermediate Consignee (I). Verify the Party Type on the input N01 record, correct and retransmit.

Response Code: 071
Narrative Text: DUPLICATE PARTY TYPE ENCOUNTERED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/ShipmenFiling Action Request Indicator; Input N01 Record/Party Type
X.12 Segment ID/Data Elements: BA102 where: 1 → A, 2 → C, RX → R; N101
Reason: More than one of the same Party Type was reported on the input N01 record.
Resolution: One and only one of each party type may be reported on the input N01 record. When the Shipment Filing Action Request Indicator is an Add, Change or Replace, each and every N01 party header record must contain a known Party Type. The known Party Types include the USPPI (E), the Forwarding Agent (F), the Ultimate Consignee (C), or the Intermediate Consignee (I). The shipment party grouping can be reported up to four times within a single commodity shipment transaction grouping, but there can be only one of each party type. Verify the Party Type, correct and retransmit.

Response Code: 072
Narrative Text: SHIPMENT CANNOT BE CORRECTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/ShipmenFiling Action Request Indicator
X.12 Segment ID/Data Elements: BA102
Reason: The export shipment cannot be corrected.
Resolution: The shipment cannot be changed or replaced. Contact CBP port of export with your ITN information for further instructions on how to resolve the issue.

Response Code: 073
Narrative Text: SHIPMENT CANNOT BE CANCELLED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/ShipmenFiling Action Request Indicator
X.12 Segment ID/Data Elements: BA102 where: C→ X
Reason: The export shipment cannot be cancelled.
Resolution: The commodity shipment cannot be cancelled if a CBP action is pending. Contact your client representative for further information.
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Response Code: 074
Narrative Text: EXTRANEOUS DATA CANNOT FOLLOW FOR DELETE
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1Record/Line Item Filing Action Request Indicator
X.12 Segment ID/Data Elements: Will not occur in X.12
Reason: The Line Item Filing Action Request Indicator on the input CL1 record was reported as Delete and extraneous records were included.
Resolution: When the Line Item Filing Action Request Indicator on the input CL1 record is reported as Delete, no line item commodity detail (CL2 record), no DDTC license detail (ODT record) or no used vehicle detail (EV1 record) is allowed to follow the line item detail (CL1 record). Verify, correct and retransmit.

Response Code: 075
Narrative Text: SRN ON FILE; ACTION NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Shipment Filing Action Request Indicator, Shipment Reference Number (SRN)
X.12 Segment ID/Data Elements: BA102 where: 1 → A; BA105
Reason: The SRN is already on file in AES.
Resolution: When the Shipment Filing Action Request Indicator is an Add, the export shipment cannot exist on file in AES that matches the reported SRN for the filer. The reuse of the SRN is prohibited. Verify the action requested and the SRN on the SC1 record, correct and retransmit.

Response Code: 076
Narrative Text: USPPI CHANGED POST DEPARTURE
Severity: COMPLIANCE ALERT
Proprietary Record ID/Data Elements: Input A Record/Data Entry Center Indicator; Input B Record/USPPI ID; Input SC1 Record/Shipment Filing Action Request Indicator, Estimated Date of Export
X.12 Segment ID/Data Elements: BA102 where: 2 → C, RX → R; BA107 where: NO → N; N104; when N101 = EX
Reason: The Shipment Filing Action Request Indicator was reported as Change or Replace on the input SC1 record and a change to the USPPI was detected. While AES will allow a change to the USPPI information post departure, every effort should be made to ensure the correct USPPI information is reported prior to departure.
Resolution: No action required. Review filing practices; take steps to ensure any necessary changes to the USPPI information is reported prior to departure if possible.

Response Code: 077
Narrative Text: COMMODITY LINE ITEM MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Shipment Filing Action Request Indicator
X.12 Segment ID/Data Elements: BA012; L13 loop
Reason: No commodity line items were included in the shipment transaction.
Resolution: When the Shipment Filing Action Request Indicator is an Add or Replace, at least one commodity line item grouping must be included in the transaction. Verify, correct and retransmit.
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Response Code: 078
Narrative Text: LINE ACTION MUST BE ADD
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Shipmenet Filing Action Request Indicator; CL1 Record/Line Item Filing Action Request Indicator
X.12 Segment ID/Data Elements: BA102; L1314
Reason: The Line Item Filing Action Request Indicator was not Add.
Resolution: When the Shipment Filing Action Request Indicator is an Add or Replace on the SC1 record each and every incoming CL1 record must have a Line Item Filing Action Request Indicator of Add. Verify the shipment and line filing action requested on the SC1 and CL1, correct and retransmit.

Response Code: 079
Narrative Text: LICENSE VALUE CONTAINS NON-NUMERICS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 License Value Amount
X.12 Segment ID/Data Elements: X114
Reason: The license value reported in CL1 record contains non-numeric or spaces.
Resolution: The license value amount must conditionally be declared on the EEI as numeric. Round to whole dollars, right justify and zero fill unused positions. Zero fill if not required. For further assistance, contact your client representative. Verify, correct and retransmit.

Response Code: 080
Narrative Text: LINE NBR NOT IN ASCENDING SEQUENCE
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Line Number
X.12 Segment ID/Data Elements: L1307
Reason: Line Number was not in ascending order.
Resolution: With the exception of the first line, each and every incoming CL1 record Line Number must be greater than the preceding Line Number (i.e., line numbers must always be reported in an ascending order). Verify the Line Number reported on the CL1 record, correct and retransmit.

Response Code: 081
Narrative Text: OPT 4 PRIVILEGE NOT YET ACTIVE FOR USPPI
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID; SC1 Record/Filing Option Indicator, Estimated Date of Export
X.12 Segment ID/Data Elements: N104; when: N101 = EX; YNQ01 where: RX → 4, RZ → 2
Reason: The Estimated Date of Export is earlier than the profile’s filing status date.
Resolution: The USPPI must be approved for Post departure filing privilege and an ‘Approved Opt 4 Status’ must be set in AES by Census before the filer can begin reporting Post departure shipments.
Change the Filing Option Indicator on the SC1 record to 2 (PreDeparture) if appropriate and retransmit. For further assistance, contact your client representative.

Response Code: 082
Narrative Text: USPPI NOT OPT 4 ELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID; SC1 Record/Filing Option Indicator
X.12 Segment ID/Data Elements: N104; when: N101 = EX; YNQ01 where: RZ → 2
Reason: The USPPI is not approved for Option 4 filing privilege.
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Resolution: The USPPI must be approved for Post departure filing privilege. Change the Filing Option Indicator on the SC1 record to 2 (PreDeparture) if appropriate and retransmit. For further assistance, contact your client representative.

Response Code: 083
Narrative Text: USPPI’S OPT 4 PRIVILEGE DENIED
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID; SC1 Record/Filing Option Indicator
X.12 Segment ID/Data Elements: N104; when: N101 = EX; YNQ01 where: RZ → 2
Reason: The USPPI is not approved for Post departure filing.
Resolution: The USPPI’s Post departure filing privilege is denied. Change the Filing Option Indicator on the SC1 record to 2 (PreDeparture) if appropriate and retransmit. For further assistance, contact your client representative.

Response Code: 084
Narrative Text: USPPI’S OPT 4 PRIVILEGE REVOKED
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID; SC1 Record/Filing Option Indicator
X.12 Segment ID/Data Elements: N104; when: N101 = EX; YNQ01 where: RZ → 2
Reason: The USPPI is not approved for Post departure filing.
Resolution: The USPPI’s Post departure filing privilege is revoked. Change the Filing Option Indicator on the SC1 record to 2 (PreDeparture) if appropriate and retransmit. For further assistance, contact your client representative.

Response Code: 085
Narrative Text: RQSTD LINE ACTION MUST BE A, C, OR D
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Line Item Filing Action Request Indicator
X.12 Segment ID/Data Elements: L1314 where: 1 → A, 2 → C, 3 → D
Reason: The requested line item action was not an add, change or delete.
Resolution: The Line Item Filing Action Request Indicator on the CL1 record must be Add, Change, or Delete. Verify the Line Item Filing Action Request Indicator, correct the CL1 record, and retransmit.

Response Code: 086
Narrative Text: LINE NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Line Number
X.12 Segment ID/Data Elements: L1307
Reason: The Line Number is missing from the CL1 record.
Resolution: Each and every incoming CL1 record must have a unique Line Number. Verify the Line Number on the CL1 record, correct and retransmit.

Response Code: 087
Narrative Text: LINE NBR MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Line Number
X.12 Segment ID/Data Elements: L1307
Reason: The Line Number on the CL1 record contained a non-numeric value.
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Resolution: Each and every incoming CL1 record must have a unique Line Number. The Line Number must be numeric. Verify the Line Number on the CL1 record, correct and retransmit.

Response Code: 088
Narrative Text: LINE ON FILE; ACTION NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Shipmen Filing Action Request Indicator; Input CL1 Record/Line Number, Line Item Filing Action Request Indicator
X.12 Segment ID/Data Elements: BA102 where: 2 → C; L1314 where: 1 → A; L1307
Reason: The reported Line Number for the shipment already exists on the file, therefore the Add action was not allowed for the line item.
Resolution: When the Shipment Filing Action Request Indicator on the SC1 record is Change and the Line Item Filing Action Request Indicator on the CL1 record is Add, an export shipment commodity cannot exist on the file that matches the reported Line Number for the shipment. Verify, correct and retransmit.

Response Code: 089
Narrative Text: LINE NOT ON FILE; ACTION NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Shipmen Filing Action Request Indicator; Input CL1 Record/Line Number, Line Item Filing Action Request Indicator
X.12 Segment ID/Data Elements: BA102 where: 2 → C; L1314 where: 2 → C; L1307
Reason: The reported Line Number for the shipment was not found on file, therefore the Change action was not allowed.
Resolution: When the Shipment Filing Action Request Indicator on the SC1 record is a Change and the Line Item Filing Action Request Indicator on the CL1 record is a Change, an export shipment must exist on file that matches the reported Line Number for the shipment. Verify, correct and retransmit.

Response Code: 090
Narrative Text: LINE CANNOT BE CORRECTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Line Number, Line Item Filing Action Request Indicator
X.12 Segment ID/Data Elements: L1307; L1314 where: 2 → C
Reason: The line item cannot be corrected, pending CBP action.
Resolution: For further assistance, contact your client representative.

Response Code: 091
Narrative Text: LINE CANNOT BE DELETED
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Line Number, Line Item Filing Action Request Indicator
X.12 Segment ID/Data Elements: L1307; L1314 where: 3 → D
Reason: The line item cannot be deleted, pending CBP action.
Resolution: For further assistance, contact your client representative.

Response Code: 092
Narrative Text: ACTION WOULD DELETE LAST LINE
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Shipmen Filing Action Request Indicator; Input CL1 Record/Line Number, Line Item Filing Action Request Indicator
X.12 Segment ID/Data Elements: BA102; L1307; L1314
APPENDIX A – Commodity Filing Response Messages

Reason: The requested action would delete the last line of the commodity shipment.
Resolution: The filer is not allowed to delete ALL of the lines from the commodity shipment using the SC1 change action. The combined line level actions (any combination with a line ‘delete’ request) must leave the shipment with, at least, one line. Verify the action requested, correct and retransmit.

Response Code: 093
Narrative Text: EXTRANEOUS DATA CANNOT FOLLOW FOR CANCEL
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Shipmen Filing Action Request Indicator
X.12 Segment ID/Data Elements: Will not occur in X.12
Reason: The requested action was X for Cancel and the SC1 was followed by other than another SC1 or a Y record.
Resolution: When the Shipment Filing Action Request Indicator reported on the SC1 record is X for Cancel, subsequent records are not allowed to follow the shipment header (SC1) record for the commodity shipment transaction. Only an SC1 record or a Y record can follow. Verify, correct and retransmit.

Response Code: 094
Narrative Text: > 999 TRANSPORATION DETAILS SUBMITTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC3 Records
X.12 Segment ID/Data Elements: VID segment
Reason: More than 999 SC3 records were encountered in the transaction.
Resolution: No more than 999 SC3 records can be reported in a transaction. For further assistance, contact your client representative.

Response Code: 095
Narrative Text: > 999 LINE ITEM GROUPINGS SUBMITTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Records
X.12 Segment ID/Data Elements: L13 loop
Reason: More than 999 CL1 records were encountered in the transaction.
Resolution: No more than 999 CL1 records can be reported in a commodity line item grouping. For further assistance, contact your client representative.

Response Code: 096
Narrative Text: > 999 USED VEHICLES DETAILS SUBMITTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Records
X.12 Segment ID/Data Elements: VEH segment
Reason: More than 999 EV1 records were encountered in the transaction.
Resolution: No more than 999 EV1 records can be reported in a commodity line item grouping. For further assistance, contact your client representative.

Response Code: 097
Narrative Text: >999 LINE ITEMS FOUND – NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Shipmen Filing Action Request Indicator; Input CL1 Record/Line Item Filing Action Request Indicator
APPENDIX A – Commodity Filing Response Messages

X.12 Segment ID/Data Elements: L13 loop
Reason: The requested Add action would have added more than 999 line items for the commodity shipment.
Resolution: No more than 999 commodity line items can be recorded in AES for a commodity shipment. The commodity shipment transaction is rejected if the number of existing commodity lines + submitted ‘add’ commodity lines exceed 999.

Response Codes 098 – 099 Reserved

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Response Code: 100
Narrative Text: EST DATE OF EXPORT MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export
X.12 Segment ID/Data Elements: DTM01; DTM02
Reason: The Estimated Date of Departure is missing from the SC1 record.
Resolution: A filer’s estimated date of export (i.e., departure) from the departure port must be declared on an EEI. Estimated the date of departure if the actual date is not known at the time of filing, correct the SC1 record and retransmit.

Response Code: 101
Narrative Text: ESTIMATED DATE OF EXPORT UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export
X.12 Segment ID/Data Elements: DTM01; DTM02
Reason: The reported Estimated Date of Export was not a valid date.
Resolution: The Estimated Date of Departure reported on the SC1 record must be a valid date reported in YYYYMMDD format. Verify the Estimated Date of Departure, correct the SC1 record and retransmit.

Response Code: 102
Narrative Text: EST DATE OF EXPORT MUST BE GT 5/31/95
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export
X.12 Segment ID/Data Elements: DTM01; DTM02
Reason: The Estimated Date of Export reported was earlier than June 1, 1995.
Resolution: AES cannot accept exportations dated earlier than the start date of June 1, 1995. Verify the Estimated Date of Export, correct the SC1 record if appropriate and retransmit. Otherwise, contact your client representative for assistance.

Response Code: 103
Narrative Text: EST DATE OF EXPORT > 120 DAYS IN FUTURE
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export
X.12 Segment ID/Data Elements: DTM01; DTM02
Reason: The Estimated Date of Departure reported was more than 120 days in the future.
APPENDIX A – Commodity Filing Response Messages

Resolution: AES cannot accept an estimated date of departure more than 120 days before the anticipated departure of the shipment. Verify the estimated date of departure, correct the SC1 record if appropriate and retransmit. Otherwise, contact your client representative for assistance.

Response Code: 104
Narrative Text: MODE OF TRANSPORTATION MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code
X.12 Segment ID/Data Elements: BA103
Reason: The Mode of Transportation Code (MOT) is missing from the SC1 record.
Resolution: The method of transportation by which the cargo is exported must be declared on an EEI. See ‘Appendix T, Mode of Transportation Codes’ for a list of acceptable methods of transportation codes used in AES. Verify the mode of transportation, select the appropriate Mode of Transportation Code from the list in Appendix T, correct the SC1 record and retransmit.

Response Code: 105
Narrative Text: MODE OF TRANSPORTATION UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code
X.12 Segment ID/Data Elements: BA103
Reason: The Mode of Transportation Code reported on the SC1 record was not an acceptable value in AES.
Resolution: The Mode of Transportation Code reported on the SC1 record must be one recognized in AES and listed in the ‘Appendix T, Mode of Transportation Codes’. Verify the mode of transportation, select the appropriate Mode of Transportation Code from the list in Appendix T, correct the SC1 record and retransmit.

Response Code: 106
Narrative Text: COUNTRY OF ULT DESTINATION MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code
X.12 Segment ID/Data Elements: BA104
Reason: The Country of Ultimate Destination is missing from the SC1 record.
Resolution: The country of ultimate destination is the one in which the goods are to be consumed, used in a manufacturing process, etc. and must be declared on an EEI. ‘Appendix C, ISO Country Codes’, provides the list of acceptable ISO country codes to be reported in AES. Verify the country of ultimate destination, select the appropriate ISO code from Appendix C, correct the SC1 record and retransmit.

Response Code: 107
Narrative Text: COUNTRY OF ULT DESTINATION UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code
X.12 Segment ID/Data Elements: BA104
Reason: The Country of Ultimate Destination reported on the SC1 record is not an acceptable value in AES.
Resolution: The Country of Ultimate Destination Code must be a valid ISO country code listed in the ‘Appendix C, ISO Country Codes’. Verify the country of ultimate destination, select the appropriate ISO code, correct the SC1 record and retransmit.
APPENDIX A – Commodity Filing Response Messages

Response Code: 108
Narrative Text: PR TO US SHIPMENT NOT ALLOWED FOR MOT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code, Method of Transportation Code
X.12 Segment ID/Data Elements: BA103; BA104
Reason: The Mode of Transportation Code reported on the SC1 record is not allowed for shipments from Puerto Rico to the United States.
Resolution: Only Mode of Transportation Codes for vessel, air or mail shipments are allowed for a shipment from Puerto Rico to the United States. Verify the method of transportation; select the appropriate Mode of Transportation Code from Appendix T. Verify the country of ultimate destination and select the appropriate ISO Code from Appendix C. Correct and retransmit. For further assistance, contact your client representative.

Response Code: 109
Narrative Text: STATE OF ORIGIN MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/US State of Origin Code
X.12 Segment ID/Data Elements: BA108
Reason: The U.S. State of Origin Code is missing from the SC1 record.
Resolution: The U.S. state, territory or possession from which the goods began its journey to the departure port must be declared on an EEI. The U.S. Postal Service State Code is reported as the U.S. State of Origin Code. Verify the U.S. State of Origin, select the appropriate state code from the USPS, correct the SC1 record and retransmit.

Response Code: 110
Narrative Text: STATE OF ORIGIN UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/US State of Origin Code
X.12 Segment ID/Data Elements: BA108
Reason: The U.S. State of Origin Code reported on the SC1 record is not an acceptable valid in AES.
Resolution: The U.S. State of Origin Code must be a valid two-character U.S. state, territory, or possession code (i.e., any USPS code). Verify the US state of origin, select the appropriate USPS code, correct the SC1 record and retransmit.

Response Code: 111
Narrative Text: ST OF ORIGIN MUST BE ‘PR’
Severity: FATAL
X.12 Segment ID/Data Elements: BA108; BA104
Reason: The U.S. State of Origin Code reported on the SC1 record was not ‘PR’ for this shipment with Country of Ultimate Destination Code ‘US’.
Resolution: Only the U.S. State of Origin Code for Puerto Rico (PR) can be reported as the state code for a shipment with ultimate country of destination the United States. Verify the country of ultimate destination and the US State of Origin Code, correct the SC1 record and retransmit. For further assistance, contact your client representative.

Response Code: 112
Narrative Text: ST OF ORIGIN MUST BE A US ST CD
Severity: FATAL
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**X.12 Segment ID/Data Elements**: BA108; BA104
**Reason**: The U.S. State of Origin Code reported on the SC1 record is not a US State Code.
**Resolution**: When the Country of Ultimate Destination Code is Puerto Rico (PR) or the Virgin Islands (VI), the US State of Origin Code must be a US state code. Verify the Country of Ultimate Destination and the US State of Origin Code, correct the SC1 record and retransmit.

Response Code: 113
**Narrative Text**: ST OF ORIGIN MUST BE A US ST CD OR ‘PR’
**Severity**: FATAL
**X.12 Segment ID/Data Elements**: BA108; BA104
**Reason**: The US State of Origin Code is not a US state code or Puerto Rico.
**Resolution**: When the Country of Ultimate Destination is the Virgin Islands (VI), the US state of origin code must be any valid two-character US state or Puerto Rico. The state of origin code cannot be ‘VI’. Verify the Country of Ultimate Destination and the US State of Origin Code, correct the SC1 record and retransmit.

Response Code: 114
**Narrative Text**: HAZMAT INDICATOR MISSSING
**Severity**: FATAL
**Proprietary Record ID/Data Elements**: Input SC1 Record/Hazardous Material Indicator
**X.12 Segment ID/Data Elements**: YNQ01; YNQ02
**Reason**: The Hazard Material Indicator is missing from the SC1 record.
**Resolution**: A declaration must be made on an EEI as to whether the cargo is considered as hazardous or not hazardous. Verify the Hazard Material Indicator for the goods, correct the SC1 record and retransmit.

Response Code: 115
**Narrative Text**: HAZMAT INDICATOR MUST BE Y OR N
**Severity**: FATAL
**Proprietary Record ID/Data Elements**: Input SC1 Record/Hazardous Material Indicator
**X.12 Segment ID/Data Elements**: YNQ01; YNQ02
**Reason**: The Hazardous Material Indicator reported on the SC1 record is not ‘Y’ or ‘N’.
**Resolution**: The Hazardous Material Indicator must be reported on the SC1 record as either Yes or No. Verify the Hazardous Material Indicator, correct the SC1 record and retransmit.

Response Code: 116
**Narrative Text**: RELATED COMPANY INDICATOR MISSSING
**Severity**: FATAL
**Proprietary Record ID/Data Elements**: Input SC1 Record/Related Company Indicator
**X.12 Segment ID/Data Elements**: BA101
**Reason**: The Related Company Indicator on the SC1 record is missing.
**Resolution**: A declaration must be made on an EEI as to whether the USPPI and foreign ultimate consignee are ‘related’ . For an explanation of related/non-related transactions, see the Foreign Trade Statistical Regulations, 30.7(v). Verify whether the parties to the transaction are related, correct the SC1 record and retransmit.

Response Code: 117
**Narrative Text**: RELATED COMPANY INDICATOR MUST BE Y OR N
APPENDIX A – Commodity Filing Response Messages

Severity: FATAL

Proprietary Record ID/Data Elements: Input SC1 Record/Related Company Indicator

X.12 Segment ID/Data Elements: BA101

Reason: The Related Party Indicator reported on the SC1 record is not ‘Y’ or ‘N’.

Resolution: The Related Party Indicator reported on the SC1 record must be either Yes to indicate the parties are related or No to indicate the parties are not related.

Verify the related party indicator, correct the SC1 record and retransmit.

Response Code: 118

Narrative Text: CARRIER CODE MISSING

Severity: FATAL

Proprietary Record ID/Data Elements: Input SC1 Record/Carrier ID (SCAC/IATA), Mode of Transportation Code

X.12 Segment ID/Data Elements: BA110; BA103

Reason: The Carrier ID (SCAC/IATA) is missing from the SC1 record.

Resolution: An industry assigned transport carrier identification must be declared on an EEI for the major methods of transportation (i.e., vessel, air, rail, truck) or an explicit disclaimer that the carrier is not known. Verify the Mode of Transportation Code and the Carrier ID (SCAC/IATA), correct the SC1 record and retransmit. For Vessel, Rail or Truck shipments, if the SCAC is not known at the time of filing, reported the Carrier ID (SCAC/IATA) as ‘UNKN’. If ‘UNKN’ is reported, it is the filer’s responsibility to correct the EEI with the true Carrier ID (SCAC/IATA) once it becomes known. For Air shipments, if the IATA is not known at the time of filing, report one of the acceptable ‘unknown’ codes as follows: *F or 99F (Unknown foreign air), *U or 99U (Unknown US air), or *C or 99C (Unknown CA air). Correct the EEI when the Carrier ID (SCAC/IATA) becomes known. Report ** or 99O for fly-away aircraft reported under Chapter 88.

Response Code: 119

Narrative Text: CARRIER CODE NOT ALLOWED FOR MOT

Severity: FATAL

Proprietary Record ID/Data Elements: Input SC1 Record/Carrier ID (SCAC/IATA), Mode of Transportation Code

X.12 Segment ID/Data Elements: BA110; BA103

Reason: A Carrier ID (SCAC/IATA) is reported in the SC1 record when the Mode of Transportation Code indicates a carrier code is not allowed to be reported.

Resolution: A Carrier ID (SCAC/IATA) must be reported for modes of transportation vessel, air, rail and truck. A Carrier ID (SCAC/IATA) is not allowed to be reported for Modes of Transportation other than a vessel, rail, truck, or air shipment (i.e., mail, fixed, etc.). Verify the Mode of Transportation and the Carrier ID (SCAC/IATA). If the mode of transportation is mail, fixed or other, then a Carrier ID is not allowed, report spaces. Correct the SC1 record and retransmit.

Response Code: 120

Narrative Text: CARRIER UNKNOWN

Severity: FATAL

Proprietary Record ID/Data Elements: Input SC1 Record/Carrier ID (SCAC/IATA), Mode of Transportation Code

X.12 Segment ID/Data Elements: BA110; BA103

Reason: The Carrier ID (SCAC/IATA) reported on the SC1 record is not a known value in AES.

Resolution: For vessel, rail or truck shipments the carrier must be identified with an active SCAC code issued by the National Motor Freight Traffic Association (NMFTA). For air shipments, the carrier must be identified with an active IATA code issued by the International Air Transport Association. If the ‘code’ as known at the time of filing is not valid in AES and a valid ‘code’
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cannot be obtained from the carrier, as a last resort, report the carrier as ‘UNKN’ for vessel, rail or truck. For an unknown air carriers, report one of the acceptable ‘unknown’ codes as follows: *F or 99F (Unknown foreign air), *U or 99U (Unknown US air), or *C or 99C (Unknown CA air). Report ** or 99O for fly-away aircraft reported under Chapter 88. Verify the Mode of Transportation Code and the Carrier ID (SCAC/IATA), correct the record and retransmit.

Response Code: 121
Narrative Text: CARRIER CODE MUST BE 2-CHAR OR 3-LETTER
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Carrier ID (SCAC/IATA), Mode of Transportation Code
X.12 Segment ID/Data Elements: BA110; BA103
Reason: The Carrier ID (SCAC/IATA) reported is not a 2-character or 3-character code.
Resolution: For air shipments, either the 2-character or 3-letter IATA designation code must be reported. Do not report a 1-character or 4-character code when the Mode of Transportation is Air. Verify the Carrier ID (SCAC/IATA), correct the SC1 record and retransmit.

Response Code: 122
Narrative Text: CARRIER CODE IS OUTDATED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Carrier ID (SCAC/IATA), Mode of Transportation Code, Estimated Date of Export
X.12 Segment ID/Data Elements: BA110; BA103; DTM02
Reason: The Carrier ID (SCAC/IATA) reported is not an active code in AES at the Estimated Date of Export.
Resolution: For vessel, rail or truck shipments the carrier must be identified with an active SCAC code issued by the National Motor Freight Traffic Association (NMFTA). For air shipments, the carrier must be identified with an active IATA code issued by the International Air Transport Association. The Carrier ID (SCAC/IATA) must be active at the time of export. Verify the Carrier ID (SCAC/IATA) and the Estimated Date of Export, correct the SC1 record and retransmit.

Response Code: 123
Narrative Text: CONVEYANCE NAME MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Conveyance Name/Carrier Name, Mode of Transportation Code
X.12 Segment ID/Data Elements: BA112; BA103
Reason: The Conveyance Name/Carrier Name is missing from the SC1 record when the Mode of Transportation is one that requires the Conveyance Name/Carrier Name to be reported.
Resolution: The name of the transport conveyance must be declared on an EEI for the major transportation modes (i.e., vessel, air, rail, truck). For Vessel shipments, declare the name of the vessel; for Rail, Truck or Air shipments declare the carrier name. Verify the Mode of Transportation and the Conveyance Name/Carrier Name, correct the SC1 record and retransmit.

Response Code: 124
Narrative Text: CONVEYANCE NAME CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Conveyance Name/Carrier Name, Mode of Transportation Code
X.12 Segment ID/Data Elements: BA112; BA103
Reason: The Conveyance Name/Carrier Name reported contains leading spaces.
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Resolution: The Conveyance Name/Carrier Name cannot contain leading spaces (i.e., it must be left justified). Verify the Conveyance Name/Carrier Name, correct the SC1 record and retransmit.

Response Code: 125
Narrative Text: CONVEYANCE NAME INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Conveyance Name/Carrier Name, Mode of Transportation Code
X.12 Segment ID/Data Elements: BA112; BA103
Reason: The Conveyance Name/Carrier Name contains an ineligible value.
Resolution: The Conveyance Name/Carrier Name cannot contain an ineligible value identified in AES including any generic identifier (e.g., ‘VESSEL’, ‘TRUCK’, UNKNOWN etc.). Verify the Conveyance Name/Carrier Name, correct the SC1 record and retransmit.

Response Code: 126
Narrative Text: CONVEYANCE NAME NOT ALLOWED FOR MOT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Conveyance Name/Carrier Name, Mode of Transportation Code
X.12 Segment ID/Data Elements: BA112; BA103
Reason: A Conveyance Name/Carrier name is reported and the Mode of Transportation reported does not allow one to be reported.
Resolution: A Conveyance Name/Carrier Name is not allowed to be reported for modes of transportation other than vessel, air, rail or truck. Verify the Mode of Transportation Code and the Conveyance Name/Carrier Name, correct the SC1 record and retransmit.

Response Code: 127
Narrative Text: PORT OF EXPORT MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Port of Exportation Code
X.12 Segment ID/Data Elements: P501 = L; P502; P503
Reason: The Port of Exportation Code is missing from the SC1 record.
Resolution: The domestic port from which the merchandise is last laden for departure from the US or Puerto Rico must be declared on an EEI. ‘Appendix D, Export Port Codes’, provides the list of CBP port codes to be reported in AES. Verify the Port of Exportation Code, correct the SC1 record and retransmit.

Response Code: 128
Narrative Text: PORT OF EXPORT UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Port of Exportation Code
X.12 Segment ID/Data Elements: P501 = L; P502; P503
Reason: The Port of Exportation Code reported is an unknown value in AES.
Resolution: The Port of Exportation Code must be valid in AES. ‘Appendix D, Export Port Codes’, provides the list of CBP port codes to be reported in AES. Verify the CBP Port of Exportation Code, correct the SC1 record and retransmit.
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Response Code: 129
Narrative Text: MAIL PORT OF EXPORT NOT ALLOWED FOR MOT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Port of Exportation Code, Mode of Transportation Code
X.12 Segment ID/Data Elements: P501 = L; P502; P503; BA103
Reason: The Port of Exportation Code is reported as ‘8000’ and the Mode of Transportation reported is not Mail.
Resolution: Only a mail shipment can claim Port of Exportation Code ‘8000’. Verify the Mode of Transportation Code and the Port of Exportation Code combination, correct and retransmit.

Response Code: 130
Narrative Text: PORT OF EXPORT MUST BE A VESSEL PORT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Port of Exportation Code, Mode of Transportation Code
X.12 Segment ID/Data Elements: P501 = L; P502; P503; BA103
Reason: The Mode of Transportation Code is reported as Vessel and the Port of Exportation Code is not for a vessel port.
Resolution: The Port of Exportation Code declared must accommodate the transport method used to export the merchandise. ‘Appendix D, Export Port Codes’, indicates that the port reported is not valid for vessel shipments (Vessel = N). Verify the Mode of Transportation Code and the Port of Exportation Code combination, correct and retransmit.

Response Code: 131
Narrative Text: PORT OF EXPORT MUST BE A RAIL PORT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Port of Exportation Code, Mode of Transportation Code
X.12 Segment ID/Data Elements: P501 = L; P502; P503; BA103
Reason: The Mode of Transportation Code is reported as Rail and the Port of Exportation Code is not for a rail port.
Resolution: The Port of Exportation Code declared must accommodate the transport method used to export the merchandise. ‘Appendix D, Export Port Codes’, indicates that the port reported is not valid for rail shipments (Rail = N). Verify the Mode of Transportation Code and the Port of Exportation Code combination, correct and retransmit.

Response Code: 132
Narrative Text: PORT OF EXPORT MUST BE A ROAD PORT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Port of Exportation Code, Mode of Transportation Code
X.12 Segment ID/Data Elements: P501 = L; P502; P503; BA103
Reason: The Mode of Transportation Code is reported as Road and the Port of Exportation Code is not for a road port.
Resolution: The Port of Exportation Code declared must accommodate the transport method used to export the merchandise. ‘Appendix D, Export Port Codes’, indicates that the port reported is not valid for road shipments (ROAD = N). Verify the Mode of Transportation Code and the Port of Exportation Code combination, correct and retransmit.
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Response Code: 133
Narrative Text: PORT OF EXPORT MUST BE AN AIR PORT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Port of Exportation Code, Mode of Transportation Code
X.12 Segment ID/Data Elements: P501 = L; P502; P503; BA103
Reason: The Mode of Transportation Code is reported as Air and the Port of Exportation Code is not an airport.
Resolution: The Port of Exportation Code declared must accommodate the transport method used to export the merchandise. ‘Appendix D, Export Port Codes’, indicates that the port reported is not valid for air shipments (AIR = N). Verify the Mode of Transportation Code and the Port of Exportation Code combination, correct and retransmit.

Response Code: 134
Narrative Text: PORT OF EXPORT MUST BE A FIXED PORT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Port of Exportation Code, Mode of Transportation Code
X.12 Segment ID/Data Elements: P501 = L; P502; P503; BA103
Reason: The Mode of Transportation Code is reported as Fixed and the Port of Exportation Code is not one that supports Fixed Transport.
Resolution: The Port of Exportation Code declared must accommodate the transport method used to export the merchandise. ‘Appendix D, Export Port Codes’, indicates that the port reported is not valid for fixed transport shipments (Fixed Transport = N). Verify the Mode of Transportation Code and the Port of Exportation Code combination, correct and retransmit.

Response Code: 135
Narrative Text: US TO PR REQUIRES US PORT OF EXPORT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code, Port of Exportation Code
X.12 Segment ID/Data Elements: P501 = L; P502; P503; BA104
Reason: The Country of Ultimate Destination is declared as Puerto Rico and the Port of Exportation Code is not a non-Puerto Rican port in the US.
Resolution: A Port of Exportation Code must be declared on shipments from the United States to Puerto Rico and cannot be a Puerto Rican port. ‘Appendix D, Export Port Codes’ indicates the port codes acceptable in AES. Verify the Country of Ultimate Destination Code and the Port of Exportation Code combination, correct and retransmit.

Response Code: 136
Narrative Text: PR TO US REQUIRES PR PORT OF EXPORT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code, Port of Exportation Code
X.12 Segment ID/Data Elements: P501 = L; P502; P503; BA104
Reason: The Country of Ultimate Destination is declared as the United States and the Port of Exportation Code is not a Puerto Rican port.
Resolution: A Port of Exportation Code must be declared on shipments from Puerto Rico to the United States and it must be a Puerto Rican port. ‘Appendix D, Export Port Codes’ includes the CBP port codes located in Puerto Rico (‘49xx’). Verify the Country of Ultimate Destination Code and the Port of Exportation Code combination, correct and retransmit.
Response Code: 137
Narrative Text: PORT OF EXPORT NOT ALLOWED FOR MOT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination, Port of Exportation Code, Mode of Transportation Code
X.12 Segment ID/Data Elements: P501 = L; P502; P503; BA104
Reason: For this shipment from Puerto Rico to the United States, the Port of Exportation Code declared does not accommodate the method of transport reported.
Resolution: For a shipment from Puerto Rico to the United States, only vessel, air, passenger (hand-carried) and mail transport modes are allowed and the port code reported must support the MOT claimed. Verify the Country of Ultimate Destination, the Port of Exportation Code, and the Mode of Transportation Code combination, correct and retransmit.

Response Code: 138
Narrative Text: PORT OF UNLADING MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code, Port of Unlading Code, Mode of Transportation Code
X.12 Segment ID/Data Elements: P501 = D; P502; P503; BA104
Reason: The Mode of Transportation Code reported indicates a Vessel shipment and the Port of Unlading Code is missing. Or the Country of Ultimate Destination Code is Puerto Rico or the US the Port of Unlading Code is missing.
Resolution: The Port of Unlading Code represents the foreign port and country where the merchandise will be unladen from the exporting carrier. A foreign Port of Unlading must be declared on an EEI for all Vessel shipments to a foreign country. ‘Schedule K, Classification of Foreign Ports by Geographic Trade Area and Country’ presents the port of unloading codes accepted in AES. ‘Appendix Z, Additional Information Sources’ provides the Web site for Army Corps of Engineers responsible for Schedule K. For shipments between the United States and Puerto Rico, a domestic port must conditionally be declared as the Port of Unlading Code on an EEI. See the detailed reporting guidelines in Part II. Verify the Country of Ultimate Destination, the Mode of Transportation Code, and the Port of Unlading Code combination, correct and retransmit.

Response Code: 139
Narrative Text: PORT OF UNLADING CANNOT BE USED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Port of Unlading Code
X.12 Segment ID/Data Elements: P501 = D; P502; P503
Reason: The Port of Unlading code reported was one that cannot be used.
Resolution: Port of Unloading Codes ‘92001’, ‘92002’, ‘92003’, or ‘92004’ are reserved military foreign port codes and can not be used. Verify the Port of Unloading Code, correct and retransmit. For further assistance, contact your client representative. Port of Unloading Codes ‘99990’, ‘99910’, ‘99920’, ‘99930’, ‘99940’ and ‘99950’ are the only port codes that can be used when filing International Waters (EIC = “IW”).
APPENDIX A – Commodity Filing Response Messages

Response Code: 140
Narrative Text: PORT OF UNLADING UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Port of Unlading Code
X.12 Segment ID/Data Elements: P501 = D; P502; P503
Reason: The Port of Unlading Code reported is not a known value in AES.
Resolution: ‘Schedule K, Classification of Foreign Ports by Geographic Trade Area and Country’ presents the Port of Unloading Codes accepted in AES. ‘Appendix Z, Additional Information Sources’ provides the Web site for Army Corps of Engineers responsible for Schedule K. Verify the Port of Unloading Code, correct and retransmit.

Response Code: 141
Narrative Text: PORT OF UNLADING NOT ALLOWED FOR MOT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code, Port of Unloading Code, Mode of Transportation Code
X.12 Segment ID/Data Elements: P501 = D; P502; P503; BA103; BA104
Reason: The Port of Unloading Code is not spaces for the Mode of Transportation Code reported.
Resolution: For shipments from the US or Puerto Rico to a foreign country, the Port of Unloading Code is required for Vessel shipments only. For shipments between the US and Puerto Rico, the Port of Unloading is required for Vessel and Air shipments only. Verify the Country of Ultimate Destination, the Port of Unloading Code, the Mode of Transportation combination, correct and retransmit.

Response Code: 142
Narrative Text: PR TO US REQUIRES US PORT OF UNLADING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code, Port of Unloading Code, Mode of Transportation Code
X.12 Segment ID/Data Elements: P501 = D; P502; P503; BA103; BA104
Reason: The Port of Unloading Code reported was not a US port.
Resolution: For shipments from the Puerto Rico to the US, the Port of Unloading Code is required when the Mode of Transportation Code is for Vessel and Air shipments. For Vessel and Air shipments from Puerto Rico to the US, a non-Puerto Rican CBP port code is required as the Port of Unloading Code. See the reporting guidelines detailed in Part II for further assistance. Verify the Port of Unloading Code, correct and retransmit.

Response Code: 143
Narrative Text: PORT OF UNLADING MUST BE A VESSEL PORT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/ Country of Ultimate Destination, Port of Unloading Code, Mode of Transportation Code
X.12 Segment ID/Data Elements: P501 = D; P502; P503; BA103; BA104
Reason: The Port of Unloading Code does not support Vessel as a mode of transport.
Resolution: For Vessel shipments between the United States and Puerto Rico, the Port of Unloading code must be one that accommodates Vessel as a mode of transportation. Verify the Country of Ultimate Destination, the Mode of Transportation Code, and the Port of Unloading Code combination. See the reporting guidelines detailed in Part II for further assistance. Correct and retransmit.
APPENDIX A – Commodity Filing Response Messages

Response Code: 144
Narrative Text: PORT OF UNLADING MUST BE AN AIR PORT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/ Country of Ultimate Destination, Port of Unloading Code, Mode of Transportation Code
X.12 Segment ID/Data Elements: P501 = D; P502; P503; BA103; BA104
Reason: The Port of Unloading Code does not support Air as a mode of transport.
Resolution: For Air shipments between the United States and Puerto Rico, the Port of Unloading code must be one that accommodates Air as a mode of transportation. Verify the Country of Ultimate Destination, the Mode of Transportation Code, and the Port of Unloading Code combination. See the reporting guidelines detailed in Part II for further assistance. Correct and retransmit.

Response Code: 145
Narrative Text: SANCTIONED COUNTRY: <Country Name>
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/ Country of Ultimate Destination
X.12 Segment ID/Data Elements: BA104
Reason: The Country of Ultimate Destination Code reported is sanctioned.
Resolution: Verify the Country of Ultimate Destination Code, correct if appropriate and retransmit. For further assistance, contact your client representative.

Response Code: 146
Narrative Text: US TO PR REQUIRES PR PORT OF UNLADING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/ Country of Ultimate Destination, Port of Unloading Code, Mode of Transportation Code
X.12 Segment ID/Data Elements: P501 = D; P502; P503; BA103; BA104
Reason: The Port of Unloading Code reported was not a Puerto Rican port.
Resolution: For shipments from the US to Puerto Rico, the Port of Unloading Code is required when the Mode of Transportation Code is for Vessel or Air shipments. For Vessel and Air shipments from the US to Puerto Rico, a Puerto Rican CBP port code is required as the Port of Unloading Code. See the reporting guidelines detailed in Part II for further assistance. Verify the Port of Unloading Code, correct and retransmit.

Response Code: 147
Narrative Text: ROUTED EXPORT INDICATOR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC2 Record/Routed Export Transaction Indicator
X.12 Segment ID/Data Elements: M1204
Reason: The Route Export Transaction Indicator is missing from the SC2 record.
Resolution: A declaration must be made on an EEI as to whether the foreign principle party in interest has authorized a U.S. forwarding agent or other agent to facilitate the export. Verify whether or not this is a route export transaction, correct the SC2 record and retransmit. For further assistance, contact your client representative.
APPENDIX A – Commodity Filing Response Messages

Response Code: 148
Narrative Text: ROUTED EXPORT INDICATOR MUST BE Y OR N
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC2 Record/Routed Export Transaction Indicator
X.12 Segment ID/Data Elements: M1204
Reason: The Route Export Transaction Indicator reported on the SC2 record was not ‘Y’ or ‘N’.
Resolution: A declaration must be made on an EEI as to whether the foreign principle party in interest has authorized a U.S. forwarding agent or other agent to facilitate the export. The acceptable values are Yes, this is a routed export transaction or No, this is not a routed export transaction. Verify whether or not this is a route export transaction, correct the SC2 record and retransmit. For further assistance, contact your client representative.

Response Code: 149
Narrative Text: INBOND CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC2 Record/Inbond Code
X.12 Segment ID/Data Elements: M1201
Reason: The Inbond Code is missing from the SC2 record.
Resolution: A declaration must be made on an EEI as to whether the shipment is being ‘transported under bond’. The inbond code for merchandise not shipped under bond must be reported as ‘70’. Other inbond codes are accepted in AES for informational purposes but do not closeout the inbond movement. Verify whether or not the shipment is an inbond movement, select the appropriate Inbond Code, correct the SC2 record and retransmit. For further assistance, contact your client representative.

Response Code: 15A
Narrative Text: FTZ MUST BE 9 CHARACTERS ALPHANUMERIC
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: Input SC2 Record/Foreign Trade Zone Identifier
X.12 Segment ID/Data Elements: M1203
Reason: The Foreign Trade Zone Indicator reported on the SC2 record does not have the required format of 3 numeric characters which is a valid zone followed by 6 alphanumeric characters.
Resolution: The Foreign Trade Zone Indicator must be specified in the required format. The first 3 positions must be numeric and represent the general purpose zone. The next 3 positions are alphanumeric and represent the subzone. The last 3 positions are alphanumeric and represent the site. The Foreign Trade Zone Indicator must be left justified with no trailing spaces. Insert zeros when there is no sub zone or site. Report leading zero(s) when the general purpose zone is less than 3 numerics, and when the subzone or site is 1 alphanumeric. Verify the Foreign Trade Zone Indicator, correct the SC2 record and retransmit.

Response Code: 15B
Narrative Text: FTZ CANNOT HAVE TRAILING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC2 Record/Foreign Trade Zone Identifier
X.12 Segment ID/Data Elements: M1203
Reason: The Foreign Trade Zone Indicator reported on the SC2 record contains trailing spaces.
Resolution: The Foreign Trade Zone Indicator must be specified in the required format. The first 3 positions must be numeric and represent the general purpose zone. The next 3 positions are alphanumeric and represent the subzone. The last 3 positions are alphanumeric and represent the site. The Foreign Trade Zone Indicator must be left justified with no trailing spaces. Verify the Foreign Trade Zone Indicator, correct the SC2 record and retransmit.
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Response Code: 150
Narrative Text: INBOND CODE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC2 Record/Inbond Code
X.12 Segment ID/Data Elements: M1201
Reason: The Inbond Code reported on the SC2 record was an unacceptable value in AES.
Resolution: A declaration must be made on an EEI as to whether the shipment is being ‘transported under bond’. AES accepts the following codes: 70-Merchandise NOT shipped inbond, 36- Warehouse withdrawal for Immediate Export (IE), 37-Warehouse withdrawal for Transport and Export (T&E), 67-Foreign Trade Zone withdrawal for Immediate Export (IE), 68-Foreign Trade Zone withdrawal for Transport and Export (T&E). Verify the Inbond Code, correct the SC2 record and retransmit.

Response Code: 151
Narrative Text: ENTRY NBR CONTAINS LEADING/EMBED SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC2 Record/Entry Number
X.12 Segment ID/Data Elements: M1202
Reason: The Entry Number reported contained leading or embedded spaces.
Resolution: An import entry number must be declared on an EEI when the shipment is declared as being ‘transported under bond’ or an FTZ, deferred duty/NAFTA claim is being made. The entry number must be left justified and cannot contain leading or embedded spaces. Verify the Entry Number, correct the SC2 record and retransmit.

Response Code: 152
Narrative Text: ENTRY NBR MUST BE AT LEAST 9 CHAR
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC2 Record/Entry Number
X.12 Segment ID/Data Elements: M1202
Reason: The Entry Number reported on the SC2 record was less than 9 characters.
Resolution: An import entry number must be declared on an EEI when the shipment is declared as being ‘transported under bond’ or an FTZ, deferred duty/NAFTA claim is being made. The import Entry Number must be at least 9 characters, left justified. Verify the import Entry Number, correct the SC2 record and retransmit. For further assistance, contact your client representative.

Response Code: 153
Narrative Text: ENTRY NBR INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC2 Record/Entry Number
X.12 Segment ID/Data Elements: M1202
Reason: The import Entry Number reported on the SC2 record contained an ineligible value.
Resolution: The import Entry Number must be at least 11 characters, left justified and cannot contain an ineligible value identified in AES. Verify the import Entry Number, correct the SC2 record and retransmit. For further assistance, contact your client representative.

Response Code: 154
Narrative Text: ENTRY NBR MISSING FOR INBOND MOVEMENT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC2 Record/Entry Number, Inbond Code; Input CL1 Record/ Export Information Code
X.12 Segment ID/Data Elements: M1201; M1202; L1313
Reason: The Inbond Code reported requires that an import entry number be reported and the Entry Number is missing from the SC2 record.
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Resolution: An import entry number must be declared for a warehouse (Inbond Code= 36 or 37) or FTZ (Inbond Code=67 or 68) type of cargo movement. An import entry number is also required when the Export Information Code is ‘ZD’ indicating an FTZ, duty deferred/NAFTA claim, and the Inbond Code is declared as ‘70’. Verify the Inbond Code, Entry Number and Export Information Code combination, correct the SC2 record and retransmit.

Response Code: 155
Narrative Text: FTZ CONTAINS LEADING/EMBEDDED SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC2 Record/Foreign Trade Zone Identifier
X.12 Segment ID/Data Elements: M1203
Reason: The Foreign Trade Zone Indicator reported on the SC2 record contains leading or embedded spaces.
Resolution: The Foreign Trade Zone Indicator cannot contain leading or embedded spaces. The Foreign Trade Zone Indicator must be left justified with no trailing zeros. Verify the Foreign Trade Zone Indicator, correct the SC2 record and retransmit.

Response Code: 156
Narrative Text: FTZ MISSING FOR INBOND MOVEMENT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC2 Record/Inbond Code, Foreign Trade Zone Identifier
X.12 Segment ID/Data Elements: M1201; M1203
Reason: The Inbond Code reported requires that the Foreign Trade Zone Indicator be reported and it is missing.
Resolution: A Foreign Trade Zone number must be declared for an FTZ type cargo movement (i.e., Inbond Code 67 or 68) and for a NAFTA duty deferred shipment with Inbond Code ‘70’. Verify the Inbond Code and the Foreign Trade Zone Indicator combination, correct and retransmit.

Response Code: 157
Narrative Text: FTZ NOT ALLOWED – NOT INBOND FROM FTZ
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC2 Record/Foreign Trade Zone Identifier, Inbond Code
X.12 Segment ID/Data Elements: M1201; M1203
Reason: The Inbond Code reported does not allow a Foreign Trade Zone Indicator to be declared.
Resolution: The Foreign Trade Zone Indicator cannot be reported when the Inbond Code is declared as ‘36’ or ‘37’. Verify the Inbond Code and Foreign Trade Zone Indicator combination, correct and retransmit.

Response Code: 158
Narrative Text: EQUIP NBR CONTAINS LEADING/EMBEDDED SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC3 Record/Equipment Number
X.12 Segment ID/Data Elements: VID02; VID03
Reason: The Equipment Number declared on the SC3 record contained leading or embedded spaces.
Resolution: One or more cargo equipment or container number(s) may optionally be declared on an EEI for the major modes of transportation (i.e., vessel, air, rail, truck). When reported, the equipment/container number must be left justified and cannot contain leading or embedded spaces. Verify the Equipment Number, correct the SC3 record and retransmit.

Response Code: 159
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Narrative Text: EQUIPMENT NBR INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC3 Record/Equipment Number
X.12 Segment ID/Data Elements: VID02; VID03
Reason: The Equipment Number reported on the SC3 record contained an ineligible value.
Resolution: One or more cargo equipment or container number(s) may optionally be declared on an EEI for the major modes of transportation (i.e., vessel, air, rail, truck). When reported, the equipment/container number must be left justified, cannot contain leading or embedded spaces, and cannot contain other ineligible value identified in AES. The Equipment Number cannot be reported as ‘12345678901234’, UNKNOWN or variations thereof. Verify the Equipment Number, correct the SC3 record and retransmit.

Response Code: 160
Narrative Text: DUPLICATE EQUIPMENT NBR ENCOUNTERED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC3 Record/Equipment Number
X.12 Segment ID/Data Elements: VID02; VID03
Reason: The Equipment Number reported on the SC3 record is on another SC3 record reported in the grouping.
Resolution: Each reported Equipment Number must be unique for the shipment. Verify the Equipment Number, correct and retransmit. For further assistance, contact your client representative.

Response Code: 161
Narrative Text: EQUIPMENT NBR NOT ALLOWED FOR MOT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input SC3 Record/Equipment Number
X.12 Segment ID/Data Elements: VID02; VID03; BA103
Reason: The Mode of Transportation Code declared does not allow an Equipment Number to be reported.
Resolution: One or more Equipment Numbers may be reported for vessel, air, rail or truck shipments. An Equipment Number cannot be reported for a shipment on any other transport mode and must be reported as spaces. Verify the Mode of Transportation Code and the Equipment Number, correct the SC3 record and retransmit.

Response Code: 162
Narrative Text: SEAL NBR CONTAINS LEADING/EMBED SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC3 Record/Seal Number
X.12 Segment ID/Data Elements: VID04
Reason: The Seal Number reported on the SC3 record contained leading or embedded spaces.
Resolution: One or more CBP container seal number(s) may optionally be declared on an EEI for the major modes of transportation (i.e., vessel, air, rail, truck). When reported, the equipment/container number must be left justified and cannot contain leading or embedded spaces. Verify the Seal Number, correct the SC3 record and retransmit.

Response Code: 163
Narrative Text: SEAL NBR INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC3 Record/Seal Number
X.12 Segment ID/Data Elements: VID04
Reason: The Seal Number declared on the SC3 record contained an ineligible value.
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**Resolution:** One or more CBP container seal number(s) may optionally be declared on an EEI for the major modes of transportation (i.e., vessel, air, rail, truck). When reported, the seal number must be left justified, cannot contain leading or embedded spaces, and cannot contain an ineligible value identified in AES. The Seal Number cannot be reported as ‘123456789012345’, UNKNOWN or variations thereof. Verify the Seal Number, correct the SC3 record and retransmit.

**Response Code: 164**
**Narrative Text:** DUPLICATE SEAL NBR ENCOUNTERED
**Severity:** FATAL
**Proprietary Record ID/Data Elements:** Input SC3 Record/Seal Number
**X.12 Segment ID/Data Elements:** VID04
**Reason:** The Seal Number reported on the SC3 record is on another SC3 record reported in the grouping.
**Resolution:** One or more CBP container seal number(s) may optionally be declared on an EEI for the major modes of transportation (i.e., vessel, air, rail, truck). Each reported Seal Number must be unique for the shipment. Verify the Seal Number, correct the SC3 record and retransmit.

**Response Code: 165**
**Narrative Text:** SEAL NBR NOT ALLOWED FOR MOT
**Severity:** FATAL
**Proprietary Record ID/Data Elements:** Input SC1 Record/Mode of Transportation Code; Input SC3 Record/Seal Number
**X.12 Segment ID/Data Elements:** VID04; BA103
**Reason:** The Mode of Transportation Code declared does not allow a Seal Number to be reported.
**Resolution:** One or more CBP container seal number(s) may optionally be declared on an EEI for the major modes of transportation (i.e., vessel, air, rail, truck). A Seal Number cannot be reported for a shipment on any other transport mode. Verify the Mode of Transportation and the Seal Number combination, correct and retransmit.

**Response Code: 166**
**Narrative Text:** TRANS REF NBR MISSING
**Severity:** FATAL
**Proprietary Record ID/Data Elements:** Input SC1 Record/Mode of Transportation Code; Input SC3 Record/Transportation Reference Number
**X.12 Segment ID/Data Elements:** REF01; REF02; BA103
**Reason:** The Mode of Transportation is declared as Vessel and the Transportation Reference Number is missing.
**Resolution:** A number referencing the transportation booking number must be declared on a vessel shipment. Verify the booking number to be reported as the Transportation Reference Number on the SC3 record, correct and retransmit.

**Response Code: 167**
**Narrative Text:** TRANS REF NBR CONTAINS LEADING/EMBED SP
**Severity:** FATAL
**Proprietary Record ID/Data Elements:** Input SC1 Record/Mode of Transportation Code; Input SC3 Record/Transportation Reference Number
**X.12 Segment ID/Data Elements:** REF01; REF02; BA103
**Reason:** The Transportation Reference Number declared on the SC3 record contains leading or embedded spaces and the Mode of Transportation is one that does not allow leading or embedded spaces.
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Resolution: The Transportation Reference Number declared for a vessel, rail or truck shipment cannot contain leading or embedded spaces. Verify the Mode of Transportation Code and the Transportation Reference Number combination, correct and retransmit.

Response Code: 168
Narrative Text: TRANS REF NBR INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input SC3 Record/Transportation Reference Number
X.12 Segment ID/Data Elements: REF01; REF02; BA103
Reason: The Transportation Reference Number declared on the SC3 record contains an ineligible value.
Resolution: A Transportation Reference Number cannot contain certain ineligible value identified in AES. The Transportation Reference Number cannot be reported as ‘UNKNOWN’ or variations thereof. Verify the Transportation Reference Number, correct the SC3 record and retransmit.

Response Code: 169
Narrative Text: > 1 TRANS REF NBR ENCOUNTERED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC3 Record/Transportation Reference Number
X.12 Segment ID/Data Elements: REF01; REF02
Reason: More than one Transportation Reference Number was encountered for this shipment.
Resolution: A single Transportation Reference Number is allowed per shipment. Verify, correct and retransmit. For further assistance, contact your client representative.

Response Code: 170
Narrative Text: AIR WAYBILL FORMAT: NNN-NNNNNNN
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input SC3 Record/Transportation Reference Number
X.12 Segment ID/Data Elements: REF01; REF02; BA103
Reason: The Transportation Reference Number reported for this Air shipment was not in the correct format.
Resolution: A number identifying the carrier’s master air waybill may be declared on an air shipment. When reported, a master air waybill number must be reported in the following format: ‘NNN-NNNNNNNNN’ (where ‘N’ = a numeric value) followed by 18 spaces. Verify the Transportation Reference Number, correct the SC3 record and retransmit.

Response Code: 171
Narrative Text: TRANS REF NBR NOT ALLOWED FOR MOT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input SC3 Record/Transportation Reference Number
X.12 Segment ID/Data Elements: REF01; REF02; BA103
Reason: The Mode of Transportation Code declared for this shipment is one that does not allow the reporting of a Transportation Reference Number.
Resolution: A Transportation Reference Number identifying the carrier’s transportation instrument may be declared on an EEI for the major transportation modes (i.e., vessel, air, rail, truck). A Transportation Reference Number cannot be reported for a shipment using any other mode of transport (i.e., mail, fixed or other). Verify the Mode of Transportation Code and the Transportation Reference Number combination, correct and retransmit.
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Response Code: 172
Narrative Text: AT LEAST 1 CONDITIONAL ELEMENT REQUIRED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC3 Record/Equipment Number, Seal Number, Transportation Reference Number
X.12 Segment ID/Data Elements: VID02; VID03; VID04; REF01; REF02
Reason: The SC3 record was encountered but does not contain at least one of the conditional data elements.
Resolution: If the SC3 record is transmitted, then at least one of the three conditional elements is required in each SC3 record (i.e., Equipment Number, Seal Number, Transportation Reference Number). Verify, correct and retransmit.

Response Code: 173
Narrative Text: COUNTRY OF ULT DESTINATION OUTDATED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code, Estimated Date of Export
X.12 Segment ID/Data Elements: BA104; DTM01; DTM02
Reason: The Country of Ultimate Destination is not an active country code in AES.
Resolution: The Country of Ultimate Destination Code must be an active (i.e., not expired) ISO country code listed in the ‘Appendix C, ISO Country Codes’. Verify the Country of Ultimate Destination Code and the Estimated Date of Export, correct the SC1 record and retransmit.

Response Code: 174
Narrative Text: UNKNOWN CARRIER NOT ALLOWED FOR MOT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Carrier ID (SCAC/IATA), Mode of Transportation Code
X.12 Segment ID/Data Elements: BA103; BA110
Reason: The Carrier ID (SCAC/IATA) is reported as an unknown Mexican truck when the Mode of Transportation Code is not Truck.
Resolution: Carrier ‘code’ *M or 99M can only be reported to identify an unknown Mexican trucking company when the Mode of Transportation is reported as Truck. Verify the Mode of Transportation and the Carrier ID (SCAC/IATA). Correct appropriately and retransmit.

Response Code: 175
Narrative Text: FLY-AWAY MUST BE MOT = 40
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Carrier ID (SCAC/IATA), Mode of Transportation Code
X.12 Segment ID/Data Elements: BA103; BA110
Reason: The Carrier ID (SCAC/IATA) is reported as a fly-away aircraft when the Mode of Transportation is other than non-containerized Air (MOT 40).
Resolution: Carrier ‘code’ ** or 99O can only be reported to identify an aircraft being exported under it’s own power (fly-away) with a Mode of Transportation for non-containerized air (MOT = 40). Verify the Mode of Transportation and the Carrier ID (SCAC/IATA). Correct appropriately and retransmit.
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Response Code: 176
Narrative Text: DDTC LIC NOW EXHAUSED: <License Type/Number>
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: Input CL2 Record/Export License Number/ CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103
Reason: The DDTC S05 License has been fully decremented with the acceptance of this shipment.
Resolution: Informational, no response necessary at this time.

Response Code: 177
Narrative Text: ORIG TO BE LODGD W/CBP: <License Type/Number>
Severity: INACTIVE
Proprietary Record ID/Data Elements: Input CL2 Record/Export License Number/ CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103
Reason: The DDTC S05 License has been accepted and the filer is instructed to present the original license to CBP prior to export.
Resolution: Informational. Paper documents to be provided to CBP.

Response Code: 178
Narrative Text: ONLY S94 LCNS TO BE PRVD TO CBP: <License Type/Number>
Severity: INACTIVE
Proprietary Record ID/Data Elements: Input CL2 Record/Export License Number/ CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103
Reason: The license has been accepted. Filers are instructed to present the original license to CBP prior to export for DDTC S94 licenses only. All other DDTC licenses are not required to be presented to CBP.
Resolution: Informational. Paper documents to be provided to CBP.

Response Codes: 179-225 <RESERVED>

Party Reporting Conditions

Response Code: 226
Narrative Text: USPPI PARTY MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01, N02, and N03 Records
X.12 Segment ID/Data Elements: N1 loop; for N101 = EX
Reason: The Party Type of E for the USPPI is not reported.
Resolution: Complete USPPI information must be declared on every EEI. Verify the USPPI and add the N01, N02, and N03 records and retransmit.

Response Code: 227
Narrative Text: FORWARDING AGENT PARTY MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Filer ID; Input N01, N02, and N03 Records
X.12 Segment ID/Data Elements: N1 loop; for N101 = FW
Reason: The Party Type of F for Forwarding agent is not reported.
Resolution: The Filer ID Type on the batch control header (A record) does not match the USPPI ID Type on the block control header (B record) indicating a Forwarding agent is involved in the shipment. Forwarding agent information must be declared on an EEI filed by a forwarding agent.
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on behalf of a USPPI (for a non-DEC claimed shipment). Verify the parties involved in the transaction, correct and retransmit.

Response Code: 228
Narrative Text: ULTIMATE CONSIGNEE PARTY MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01, N02, and N03 Records
X.12 Segment ID/Data Elements: N1 loop; for N101 = CN
Reason: The Party Type of C for Ultimate Consignee is not reported.
Resolution: An Ultimate Consignee must be declared for every EEI. Verify the Ultimate Consignee information, correct and retransmit.

Response Code: 229
Narrative Text: SOLD EN ROUTE NOT APPLICABLE FOR PARTY
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01/Party Type, To Be Sold en Route Indicator
X.12 Segment ID/Data Elements: Will not occur in X.12
Reason: The Party Type was declared as E for USPPI, F for Forwarding Agent or I for Intermediate Consignee and the To Be Sold en Route Indicator is not spaces.
Resolution: The ‘to be sold en route’ claim cannot be made in an EEI for the USPPI, forwarding agent, or intermediate consignee. The To Be Sold en Route Indicator is reserved solely for Ultimate Consignee reporting. Verify the Party Type and the To Be Sold en Route Indicator combination, correct appropriately and retransmit.

Response Code: 230
Narrative Text: IRS ID TYPE NOT APPLICABLE FOR PARTY
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01/Party Type, N03/USPPI IRS ID Type
X.12 Segment ID/Data Elements: N101; BA111 is ‘E’
Reason: The Party Type is not E for USPPI and there is an IRS ID Type reported.
Resolution: The USPPI IRS ID Type cannot be reported in an EEI for the forwarding agent, ultimate consignee or intermediate consignee. The data element’s use is reserved solely for USPPI reporting. Verify the Party Type and USPPI IRS ID Type combination, correct and retransmit.

Response Code: 231
Narrative Text: IRS ID NBR NOT APPLICABLE FOR PARTY
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01/Party Type, N03/USPPI IRS Number
X.12 Segment ID/Data Elements: Will not occur in X.12
Reason: The Party Type is not E for USPPI and there is an USPPI IRS Number reported.
Resolution: The USPPI IRS Number cannot be reported in an EEI for the forwarding agent, ultimate consignee or intermediate consignee. The data element’s use is reserved solely for USPPI reporting. Verify the Party Type and USPPI IRS Number combination, correct and retransmit.

Response Code: 232
Narrative Text: USPPI MUST MATCH BLOCK USPPI
Severity: FATAL
Proprietary Record ID/Data Elements: Input B record/USPPI ID, USPPI ID Type, USPPI Name; Input N01/Party ID, Party ID Type, Party Name
X.12 Segment ID/Data Elements: Will not occur in X.12
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**Reason:** The Party Type was declared as ‘E’ (USPPI) and the USPPI identified on the input N01 record does no match the USPPI identified on the input B record for this shipment.

**Resolution:** The Party ID, Party ID TYPE, and Party Name declared for the USPPI must match exactly the equivalent values reported on the block control header (B record) on an EEI. Verify the USPPI, correct and retransmit.

**Response Code: 233**

**Narrative Text:** USPPI CONTACT LAST NAME MISSING

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input N01 Record/Contact Last Name

**X.12 Segment ID/Data Elements:** N201 positions 14 – 20; when N101 = EX

**Reason:** The Party Type was declared as ‘E’ (USPPI) and the USPPI Contact Last Name is missing from the N01 record.

**Resolution:** A USPPI Contact Last Name must be declared on an EEI. Verify the USPPI contact’s last name, correct the N01 record and retransmit.

**Response Code: 234**

**Narrative Text:** USPPI CONTACT LAST NM CNTNS LEAD SPACES

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input N01 Record/Contact Last Name

**X.12 Segment ID/Data Elements:** N201 positions 14 – 20; when N101 = EX

**Reason:** The Party Type was declared as ‘E’ (USPPI) and the USPPI Contact Last Name contains leading spaces.

**Resolution:** A USPPI Contact Last Name must be declared on an EEI. The USPPI Contact Last Name cannot contain leading spaces (i.e., Contact Last Name must be left justified). Verify the USPPI contact’s last name, correct the N01 record and retransmit.

**Response Code: 235**

**Narrative Text:** USPPI CONTACT LAST NM INELIGIBLE

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input N01 Record/Contact Last Name

**X.12 Segment ID/Data Elements:** N201 positions 14 – 20; when N101 = EX

**Reason:** The Party Type was declared as ‘E’ (USPPI) and the USPPI Contact Last Name contains an ineligible value in AES.

**Resolution:** A USPPI Contact Last Name must be declared on an EEI. The USPPI Contact Last Name cannot contain an ineligible value identified in AES. Verify the USPPI contact’s last name, correct the N01 record and retransmit.

**Response Code: 236**

**Narrative Text:** USPPI CONTACT 1ST NM CNTNS LEAD SPACES

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input N01 Record/Contact First Name

**X.12 Segment ID/Data Elements:** N201 positions 1 – 13; when N101 = EX

**Reason:** The Party Type was declared as ‘E’ (USPPI) and the USPPI Contact First Name contains leading spaces.

**Resolution:** A USPPI contact First Name may be declared on an EEI. When reported, the Contact First Name for the USPPI cannot contain leading spaces (i.e., Contact First Name must be left justified). Verify the USPPI contact’s first name, correct the N01 record and retransmit.

**Response Code: 237**

**Narrative Text:** USPPI CONTACT 1ST NM INELIGIBLE

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input N01 Record/Contact First Name

**X.12 Segment ID/Data Elements:** N201 positions 1 – 13; when N101 = EX
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Reason: The Party Type was declared as ‘E’ (USPPI) and the Contact First Name for the USPPI contains an ineligible value in AES.
Resolution: A USPPI Contact First Name may be declared on an EEI. When reported, a USPPI Contact First Name cannot contain an ineligible value identified in AES. Verify the USPPI contact’s first name, correct the N01 record and retransmit.

Response Code: 238
Narrative Text: USPPI ADDR LINE 1 MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N02 Record/Address Line 1
X.12 Segment ID/Data Elements: N301; when N101 = EX
Reason: The Party Type was declared as ‘E’ (USPPI) and the primary address line of the USPPI is missing.
Resolution: The primary address line of the USPPI must be declared in an EEI. Verify the USPPI address, add Address Line 1 on the N02 record and retransmit.

Response Code: 239
Narrative Text: USPPI ADDR LINE 1 CONTAINS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N02 Record/Address Line 1
X.12 Segment ID/Data Elements: N301; when N101 = EX
Reason: The Party Type was declared as ‘E’ (USPPI) and the USPPI Address Line 1 contains leading spaces.
Resolution: The primary address line of the USPPI must be declared in an EEI. The USPPI primary address line cannot contain leading spaces (i.e., Address Line 1 must be left justified). Verify the USPPI Address Line 1, correct the N02 record and retransmit.

Response Code: 240
Narrative Text: USPPI ADDR LINE 1 INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N02 Record/Address Line 1
X.12 Segment ID/Data Elements: N301; when N101 = EX
Reason: The Party Type was declared as ‘E’ (USPPI) and the USPPI Address Line 1 contains variations of ‘P.O. Box or UNKNOWN, UNK, UNKN’.
Resolution: The primary address line of the USPPI must be declared in an EEI. The USPPI Address Line 1 cannot contain an ineligible value identified in AES. Verify the USPPI Address Line 1, correct the N02 record and retransmit.

Response Code: 241
Narrative Text: USPPI ADDR LINE 2 CONTAINS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N02 Record/Address Line 2
X.12 Segment ID/Data Elements: N302; when N101 = EX
Reason: The Party Type was declared as ‘E’ (USPPI) and the USPPI Address Line 2 contains leading spaces.
Resolution: An additional address line for the USPPI may be declared in an EEI. When reported, the USPPI secondary address line cannot contain leading spaces (i.e., Address Line 2 must be left justified). Verify the USPPI Address Line 2, correct the N02 record and retransmit.

Response Code: 242
Narrative Text: USPPI ADDR LINE 2 INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N02 Record/Address Line 2
X.12 Segment ID/Data Elements: N302; when N101 = EX
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**Reason:** The Party Type was declared as ‘E’ (USPPI) and the USPPI Address Line 2 contains variations of ‘P.O. Box or UNKNOWN, UNK, UNKN’.

**Resolution:** An additional address line for the USPPI may be declared in an EEI. When reported, the USPPI Address Line 2 cannot contain an ineligible value identified in AES. Verify the USPPI Address Line 2, correct the N02 record and retransmit.

**Response Code: 243**

**Narrative Text:** USPPI CONTACT PHONE # MISSING

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input N02 Record/Contact Phone Number

**X.12 Segment ID/Data Elements:** N202; when N101 = EX

**Reason:** The Party Type was declared as ‘E’ (USPPI) and the Contact Phone Number is missing from the N02 record.

**Resolution:** The phone number of the USPPI contact must be declared in an EEI. Verify the combination of Party Type on the N01 record and the USPPI Contact Phone Number on the N02 record, correct and retransmit.

**Response Code: 244**

**Narrative Text:** USPPI CONTACT PHONE # FORMAT: NNNNNNNNNN

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input N02 Record/Contact Phone Number

**X.12 Segment ID/Data Elements:** N202; when N101 = EX

**Reason:** The Party Type was declared as ‘E’ (USPPI) and the USPPI contact’s phone number is not properly formatted in AES.

**Resolution:** The USPPI contact phone number must be declared on an EEI. The USPPI Contact Phone Number must be ten numeric digits followed by three spaces (Format: NNNNNNNNNxxx where N= area code + 7-digit number and x= 3spaces). Verify the USPPI Contact Phone Number, correct the N02 record and retransmit.

**Response Code: 245**

**Narrative Text:** USPPI CONTACT PHONE # INELIGIBLE

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input N02 Record/Contact Phone Number

**X.12 Segment ID/Data Elements:** N202; when N101 = EX

**Reason:** The Party Type was declared as ‘E’ (USPPI) and the USPPI contact’s phone number contains an ineligible value in AES.

**Resolution:** The USPPI contact phone number must be declared on an EEI. The USPPI Contact Phone Number cannot contain an ineligible value identified in AES. Verify the USPPI Contact Phone Number, correct the N02 record and retransmit. For further assistance, contact your client representative.

**Response Code: 246**

**Narrative Text:** USPPI CITY MISSING

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input N03 Record/City

**X.12 Segment ID/Data Elements:** N401; when N101 = EX

**Reason:** The Party Type was declared as ‘E’ (USPPI) and the USPPI city is missing.

**Resolution:** The city that the USPPI resides in must be declared in an EEI. Verify the USPPI City, correct the N03 record and retransmit.
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Response Code: 247
Narrative Text: USPPI CITY CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/City
X.12 Segment ID/Data Elements: N401; when N101 = EX
Reason: The Party Type was declared as ‘E’ (USPPI) and the USPPI city contains leading spaces.
Resolution: The city that the USPPI resides in must be declared in an EEI. The USPPI City cannot contain leading spaces (i.e., ‘City’ must be left justified). Verify the USPPI City, correct the N03 record and retransmit.

Response Code: 248
Narrative Text: USPPI CITY INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/City
X.12 Segment ID/Data Elements: N401; when N101 = EX
Reason: The Party Type was declared as ‘E’ (USPPI) and the USPPI city contains an ineligible value in AES.
Resolution: The city that the USPPI resides in must be declared in an EEI. The USPPI City cannot contain an ineligible value identified in AES. Verify the USPPI City, correct the N03 record and retransmit.

Response Code: 249
Narrative Text: USPPI COUNTRY MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/Country Code
X.12 Segment ID/Data Elements: N404; when N101 = EX
Reason: The Party Type was declared as ‘E’ (USPPI) and the USPPI country is missing from the N03 record.
Resolution: The country in which the USPPI resides must be declared in an EEI. The USPPI country must be the United States, ISO Country Code = US. Verify the USPPI Country Code, correct the N03 record and retransmit.

Response Code: 25A
Narrative Text: USPPI ID TYPE ‘S’ NO LONGER ACCEPTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party ID, Party ID TYPE
X.12 Segment ID/Data Elements: N103 where: 1 → D, 34 → S, 24 → E, ZZ → T, 94 → A; when: N101 = EX
Reason: The USPPI ID Type reported on the N01 record is not E, D, or T.
Resolution: The N01 record USPPI ID Type must be EIN, DUNS, or T (Foreign Entity). Verify the USPPI ID Type, correct the N01 record and retransmit.

Response Code: 250
Narrative Text: USPPI COUNTRY MUST BE US
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/Country Code
X.12 Segment ID/Data Elements: N404; when N101 = EX
Reason: The USPPI Country is not reported as US.
Resolution: The country in which the USPPI resides must be declared on an EEI. The USPPI country must be the United States, ISO Country Code = US. Verify the USPPI Country Code, correct the N03 record and retransmit. For further assistance, contact your client representative.
APPENDIX A – Commodity Filing Response Messages

Response Code: 251
Narrative Text: USPPI STATE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/State Code
X.12 Segment ID/Data Elements: N402; when N101 = EX
Reason: The Party Type was declared as ‘E’ (USPPI) and the USPPI state is missing.
Resolution: The U.S. state, possession or territory where the USPPI resides must be declared in an EEI. Verify the USPPI State Code, correct the N03 record and retransmit.

Response Code: 252
Narrative Text: USPPI STATE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/State Code
X.12 Segment ID/Data Elements: N402; when N101 = EX
Reason: The Party Type was declared as ‘E’ (USPPI) and the USPPI state is not a valid USPS State Code.
Resolution: The U.S. state, possession or territory where the USPPI resides must be declared in an EEI. Verify the USPPI State Code, correct the N03 record and retransmit.

Response Code: 253
Narrative Text: USPPI POSTAL CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/Postal Code
X.12 Segment ID/Data Elements: N403; when N101 = EX
Reason: The Party Type was declared as ‘E’ (USPPI) and the USPPI Postal Code is missing.
Resolution: The postal code (i.e., zip code) where the USPPI resides must be declared in an EEI. Verify the USPPI Postal Code, correct the N03 record and retransmit.

Response Code: 254
Narrative Text: USPPI POSTAL CODE MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/Postal Code
X.12 Segment ID/Data Elements: N403; when N101 = EX
Reason: The Party Type was declared as ‘E’ (USPPI) and the USPPI Postal Code contains a string not reported as 5 numeric digits followed by spaces or as 9 numeric digits.
Resolution: The postal code (i.e., zip code) where the USPPI resides must be declared in an EEI as either 5 numeric digits followed by 4 spaces or 9 numeric digits. Verify the USPPI Postal Code, correct the N03 record and retransmit.

Response Code: 255
Narrative Text: LIC VALUE AMT CANNOT EXCEED $999,999,999
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record License Value Amount
X.12 Segment ID/Data Elements: X114
Reason: The license value Amount reported is greater than $999,999,999.
Resolution: The license value amount cannot exceed the maximum threshold of $999,999,999 for any one commodity line item. The license value amount must be reported in whole US dollars only. Verify the license value Amount, correct and retransmit. For further assistance, contact your client representative.
Response Code: 256
Narrative Text: USPPI POSTAL CODE NOT VALID FOR STATE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/ State Code, Postal Code
X.12 Segment ID/Data Elements: N402; N403; when N101 = EX
Reason: The Party Type was declared as ‘E’ (USPPI) and the Postal Code does not match the State Code declared in the USPPI address.
Resolution: The postal code (i.e., zip code) where the USPPI resides must be declared in an EEI as either 5 numeric digits followed by 4 spaces or 9 numeric digits. The reported USPPI state code must match the state associated to the postal code. Verify the State Code and Postal Code combination, correct the N03 record and retransmit.

Response Code: 257
Narrative Text: USPPI IRS ID TYPE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID Type; Input N03 Record/USPPI IRS ID Type
X.12 Segment ID/Data Elements: BA111; when N103 = 1
Reason: The USPPI ID Type is reported as DUNS on the block control header (B record) and the USPPI IRS ID Type is missing on the N03 record.
Resolution: An IRS Number for the USPPI must be reported on an EEI. If the USPPI ID Type is DUNS, a corresponding IRS number for the USPPI must be declared on an EEI. Verify the USPPI ID Type and USPPI IRS ID Type combination, correct and retransmit.

Response Code: 258
Narrative Text: USPPI IRS ID TYPE MUST BE E
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/USPPI IRS ID Type
X.12 Segment ID/Data Elements: Will not occur in X.12
Reason: The USPPI IRS ID Type declared on the N03 record is not an EIN.
Resolution: An IRS ID Type cannot be reported if the USPPI ID Type is not DUNS or EIN. Verify the USPPI IRS ID Type, correct the N03 record and retransmit.

Response Code: 259
Narrative Text: USPPI IRS ID TYPE NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID Type; Input N03 Record/USPPI IRS ID Type
X.12 Segment ID/Data Elements: BA111
Reason: The USPPI ID Type is not declared as DUNS and an USPPI IRS ID Type is reported.
Resolution: An IRS ID Type cannot be reported if the USPPI ID Type is not DUNS. Verify the USPPI ID Type and USPPI IRS ID Type combination, correct and retransmit.

Response Code: 26A
Narrative Text: FWRD AGT ID TYPE ‘S’ NO LONGER ACCEPTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party ID, Party ID Type
X.12 Segment ID/Data Elements: N104; N103; when N101 = FW
Reason: The Party Type is reported as Forwarding Agent and the Party ID Type is not DUNS or EIN.
Resolution: The type of forwarding agent ID must be a DUNS or EIN. Verify the Party ID and Party ID Type, correct the N01 record and retransmit.
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Response Code: 26B
Narrative Text: USPPI IRS ID TYPE 'S' NO LONGER ACCEPTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/USPPI IRS ID Type
X.12 Segment ID/Data Elements: BA111
Reason: The USPPI IRS ID Type reported on the N03 record is not an EIN.
Resolution: The N03 record USPPI IRS ID Type must be EIN. Verify the USPPI IRS ID Type, correct the N03 record and retransmit.

Response Code: 260
Narrative Text: USPPI IRS NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID Type; Input N03 Record/USPPI IRS Number
X.12 Segment ID/Data Elements: BA109; when N103 = 1 and N101 = EX
Reason: The USPPI ID Type is declared as DUNS and the USPPI IRS Number is missing.
Resolution: If the USPPI ID Type is DUNS, a corresponding IRS Number for the USPPI must be declared on the EEI. Verify the USPPI ID Type and USPPI IRS Number combination, correct the N03 record and retransmit.

Response Code: 261
Narrative Text: USPPI IRS NBR MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/USPPI IRS Number, USPPI ID Type
X.12 Segment ID/Data Elements: Will not occur in X.12
Reason: The USPPI IRS Number contains a non-numeric value.
Resolution: If the USPPI ID Type is DUNS, a corresponding IRS Number for the USPPI must be declared on the EEI. The USPPI IRS Number must be a 9-digit number. Verify the USPPI IRS Number, correct the N03 record and retransmit.

Response Code: 262
Narrative Text: USPPI IRS NBR INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/USPPI IRS Number, USPPI ID Type
X.12 Segment ID/Data Elements: BA109; when N103 = 1 and N101 = EX
Reason: The USPPI IRS Number contains an ineligible value in AES.
Resolution: If the USPPI ID Type is DUNS, a corresponding IRS Number for the USPPI must be declared on the EEI. An IRS number cannot contain an ineligible value identified in AES. Verify the USPPI IRS Number, correct and retransmit. For further assistance, contact your client representative.

Response Code: 263
Narrative Text: USPPI IRS NBR NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input B Record/USPPI ID Type; Input N03 Record/USPPI IRS Number
X.12 Segment ID/Data Elements: BA 109; when N103 = 24, 34 or ZZ, and N101 = EX
Reason: The USPPI ID Type is not DUNS and an USPPI IRS Number is declared.
Resolution: If the USPPI ID Type is EIN or T for Foreign Entity, the USPPI IRS Number cannot be reported. The USPPI IRS Number is only allowed when the USPPI ID Type is DUNS. Verify the USPPI ID Type and USPPI IRS Number combination, correct and retransmit.
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Response Code: 264
Narrative Text: FWRD AGT ID TYPE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party ID Type, Party Type
X.12 Segment ID/Data Elements: N103; when N101 = FW
Reason: The Party Type is reported as Forwarding Agent and the Party ID Type is missing.
Resolution: The type of forwarding agent number must be declared on an EEI when complete forwarding agent is reported for the shipment. A Party ID cannot be reported without a Party ID Type. Verify the Party Type and Party ID Type combination, correct the N01 record and retransmit.

Response Code: 265
Narrative Text: FWRD AGT ID TYPE MUST BE E OR D
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party ID, Party ID Type
X.12 Segment ID/Data Elements: N104; N103; when N101 = FW
Reason: The Party Type is reported as Forwarding Agent and the Party ID Type is not DUNS or EIN.
Resolution: The type of forwarding agent ID must be a DUNS or EIN. Verify the Party ID and Party ID Type, correct the N01 record and retransmit.

Response Code: 266
Narrative Text: FWRD AGT PARTY ID MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party ID, Party ID Type
X.12 Segment ID/Data Elements: N104; when N101 = FW
Reason: The Party Type is declared as Forwarding Agent and the Party ID for the forwarding agent is missing.
Resolution: A number identifying the forwarding agent must be declared on an EEI when complete forwarding agent reported. Verify the forwarding agent’s Party ID, correct the N01 record and retransmit.

Response Code: 267
Narrative Text: FWRD AGT PARTY ID MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party ID, Party ID Type
X.12 Segment ID/Data Elements: N104; when N101 = FW
Reason: The Party Type is declared as Forwarding Agent and the Party ID for the forwarding agent contains a non-numeric value.
Resolution: When reported, if the Party ID Type for the forwarding agent is EIN, positions 1-9 MUST be numeric. Positions 10 and 11 are used at the discretion of the filer to signify a ‘location’ and may be specified as alphanumeric. If this location feature is not used, positions 10-11 must be zeros. If the Party ID Type is DUNS, positions 1-9 must be numeric and positions 10-11 must be zeros. Verify the forwarding agent’s Party ID and Party ID Type combination, correct and retransmit.

Response Code: 268
Narrative Text: FWRD AGT PARTY ID INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party ID
X.12 Segment ID/Data Elements: N104; when N101 = FW
Reason: The Party Type is declared as Forwarding Agent and the Party ID for the forwarding agent contains an ineligible value in AES.
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**Resolution:** A forwarding agent ID cannot contain an ineligible value identified in AES. Verify the forwarding agent’s Party ID, correct the N01 record and retransmit. For further assistance, contact your client representative.

**Response Code:** 269  
**Narrative Text:** FWRD AGT EIN LOCATION INVALID  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input N01 Record/Party ID, Party ID Type  
**X.12 Segment ID/Data Elements:** N104; when N103 not equal 24 and N101 = FW  
**Reason:** The Forwarding Agent ID Type has been specified as EIN, yet position 10-11 of the Forwarding Agent ID on the N01 record contains other than numeric or non-space alpha numeric values.  
**Resolution:** When reported, only an EIN forwarder ID can specify a ‘location’ in positions 10-11. If the Party ID Type for the forwarding agent is EIN, positions 1-9 MUST be numeric. Positions 10 and 11 are used at the discretion of the filer to signify a ‘location’ and may be specified as alphanumeric. If this location feature is not used with the EIN then positions 10-11 must be zeros.

**Response Code:** 270  
**Narrative Text:** FWRD AGT LOCATION NOT ALLOWED FOR TYPE  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input N01 Record/Party ID, Party ID Type  
**X.12 Segment ID/Data Elements:** N104; when N103 not equal 24 and N101 = FW  
**Reason:** The Forwarding Agent ID Type has been specified as DUNS, yet position 10-11 of the Forwarding Agent ID on the N01 record contains other than zeroes.  
**Resolution:** When reported for the forwarding agent, if the Party ID Type is DUNS, positions 1-9 must be numeric and positions 10-11 must be zeros. Verify the forwarding agent’s Party ID and Party ID Type combination, correct and retransmit.

**Response Code:** 271  
**Narrative Text:** FWRD AGT PARTY NAME MISSING  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input N01 Record/Party Name  
**X.12 Segment ID/Data Elements:** N102; when N101 = FW  
**Reason:** The Party Type is Forwarding Agent and the Party Name is missing from the N01 record.  
**Resolution:** The forwarding agent business name must be declared in an EEI when complete forwarding agent reported if one was employed in the business transaction. Verify the forwarding agent’s Party Name, correct the N01 record and retransmit.

**Response Code:** 272  
**Narrative Text:** FWRD AGT PARTY NAME CONTAINS LEAD SPACES  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input N01 Record/Party Name  
**X.12 Segment ID/Data Elements:** N102; when N101 = FW  
**Reason:** The Party Type is Forwarding Agent and the Party Name contains leading spaces.  
**Resolution:** The forwarding agent business name must be declared in an EEI when one has been employed in the business transaction. The Party Name cannot contain leading spaces (i.e., the Party Name must be left justified). Verify the forwarding agent’s Party Name, correct and retransmit.
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Response Code: 273
Narrative Text: FWRD AGT PARTY NAME INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Name
X.12 Segment ID/Data Elements: N102; when N101 = FW
Reason: The Party Type is Forwarding Agent and the Party Name contains an ineligible value in AES.
Resolution: The forwarding agent business name must be declared in an EEI when one has been employed in the business transaction. The forwarding agent name cannot contain an ineligible value identified in AES. Verify the forwarding agent’s Party Name, correct the N01 record and retransmit. For further assistance, contact your client representative.

Response Code: 274
Narrative Text: FWRD AGT CNTCT LAST NM CNTNS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Contact Last Name
X.12 Segment ID/Data Elements: N201 positions 14 – 20; when N101 = FW
Reason: The Party Type is Forwarding Agent and the Contact Last Name contains leading spaces.
Resolution: A forwarding agent contact last name may be declared on an EEI when complete forwarding agent reported. When reported, a forwarding agent contact last name cannot contain leading spaces (i.e., Contact Last Name must be left justified). Verify the forwarding agent’s Contact Last Name, correct the N01 record and retransmit.

Response Code: 275
Narrative Text: FWRD AGT CONTACT LAST NM INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Contact Last Name
X.12 Segment ID/Data Elements: N201 positions 14 – 20; when N101 = FW
Reason: The Party Type is Forwarding Agent and the Contact Last Name contains an ineligible value in AES.
Resolution: A forwarding agent contact last name may be declared on an EEI when complete forwarding agent reported. When reported, a forwarding agent contact last name cannot contain an ineligible value identified in AES. Verify the forwarding agent’s Contact Last Name, correct the N01 record and retransmit. For further assistance, contact your client representative.

Response Code: 276
Narrative Text: FWRD AGT 1ST NM REPORTED W/O LAST NAME
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Contact First Name, Contact Last Name
X.12 Segment ID/Data Elements: N201; when N101 = FW
Reason: The Party Type is Forwarding Agent, the Contact First Name is reported without the contact’s last name.
Resolution: A forwarding agent’s contact first name cannot be reported without a contact last name. Verify the Contact Last and First name, correct the N01 record and retransmit.

Response Code: 277
Narrative Text: FWRD AGT CNTCT 1ST NM CNTNS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Contact First Name
X.12 Segment ID/Data Elements: N201 positions 1 – 13; when N101 = FW
Reason: The Party Type is Forwarding Agent and the Contact First Name contains leading spaces.
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Resolution: When reported, a forwarding agent contact first name cannot contain leading spaces (i.e., the Contact First Name must be left justified). Verify the forwarding agent’s Contact First Name, correct the N01 record and retransmit.

Response Code: 278
Narrative Text: FWRD AGT CNTCT 1ST NM INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Contact First Name
X.12 Segment ID/Data Elements: N201 positions 1 – 13; when N101 = FW
Reason: The Party Type is Forwarding Agent and the contact first name contains an ineligible value in AES.
Resolution: When reported, the forwarding agent contact first name cannot contain an ineligible value identified in AES. Verify the forwarding agent's Contact First Name, correct the N01 record and retransmit.

Response Code: 279
Narrative Text: FWRD AGT ADDR LINE 1 MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N02 Record/Address Line 1
X.12 Segment ID/Data Elements: N301; when N101 = FW
Reason: The Party Type is Forwarding Agent and the primary address line is missing from the N02 record.
Resolution: The address of the forwarding agent must be declared in an EEI when complete forwarding agent reported. Verify the forwarding agent’s Address Line 1, correct the N02 record and retransmit.

Response Code: 280
Narrative Text: FWRD AGT ADDR LINE 1 CONTAINS LEAD SPCES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N02 Record/Address Line 1
X.12 Segment ID/Data Elements: N301; when N101 = FW
Reason: The Party Type is Forwarding Agent and the primary address line contains leading spaces.
Resolution: The address of the forwarding agent must be declared in an EEI when complete forwarding agent reported. The primary address line cannot contain leading spaces (i.e., the Address Line 1 must be left justified). Verify the forwarding agent’s Address Line 1, correct the N02 record and retransmit.

Response Code: 281
Narrative Text: FWRD AGT ADDR LINE 1 INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N02 Record/Address Line 1
X.12 Segment ID/Data Elements: N301; when N101 = FW
Reason: The Party Type is Forwarding Agent and the primary address line contains an ineligible value in AES.
Resolution: The forwarding agent primary address line cannot contain an ineligible value identified in AES. Verify the forwarding agent’s Address Line 1, correct the N02 record and retransmit.

Response Code: 282
Narrative Text: FWRD AGT ADDR LINE 2 CONTAINS LEAD SPCES
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Severity: FATAL
Proprietary Record ID/Data Elements: Input N02 Record/Address Line 2
X.12 Segment ID/Data Elements: N302; when N101 = FW
Reason: The Party Type is Forwarding Agent and the secondary address line contains leading spaces.
Resolution: The forwarding agent secondary address line cannot contain leading spaces (i.e., the Address Line 2 must be left justified). Verify the forwarding agent’s Address Line 2, correct the N02 record and retransmit.

Response Code: 283
Narrative Text: FWRD AGT ADDR LINE 2 INELIGIBLE

Severity: FATAL
Proprietary Record ID/Data Elements: Input N02 Record/Address Line 2
X.12 Segment ID/Data Elements: N302; when N101 = FW
Reason: The Party Type is Forwarding Agent and the secondary address line contains an ineligible value in AES.
Resolution: The forwarding agent secondary address line cannot contain an ineligible value identified in AES. Verify the forwarding agent’s Address Line 2, correct the N02 record and retransmit.

Response Code: 284
Narrative Text: FWRD AGT CONTACT PHONE # MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N02 Record/Contact Phone Number
X.12 Segment ID/Data Elements: N202; when N101 = FW
Reason: The Party Type is Forwarding Agent and the Phone Number is missing from the N02 record.
Resolution: The phone number of the forwarding agent contact must be declared in an EEI when complete forwarding agent reported. Verify the forwarding agent Contact Phone Number, correct the N02 record and retransmit.

Response Code: 285
Narrative Text: FWRD AGT CONTACT PH# FORMAT: NNNNNNNNNNN
Severity: FATAL
Proprietary Record ID/Data Elements: Input N02 Record/Contact Phone Number
X.12 Segment ID/Data Elements: N202; when N101 = FW
Reason: The Party Type is Forwarding Agent and the Contact Phone Number is not formatted correctly in AES.
Resolution: The Forwarding Agent Contact Phone Number must be declared on an EEI when complete forwarding agent reported. The Forwarding Agent contact phone number must be ten numeric digits followed by three spaces (Format: NNNNNNNNNxx where N=area code + 7-digit number and x=3 spaces). Verify the forwarding agent Contact Phone Number, correct the N02 record and retransmit. Verify the forwarding agent Contact Phone Number, correct the N02 record and retransmit.

Response Code: 286
Narrative Text: FWRD AGT CONTACT PHONE # INELIGIBLE
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Severity: FATAL
Proprietary Record ID/Data Elements: Input N02 Record/Contact Phone Number
X.12 Segment ID/Data Elements: N202; when N101 = FW
Reason: The Party Type is Forwarding Agent and the Contact Phone Number contains an ineligible value in AES.
Resolution: The Forwarding Agent Contact Phone Number must be declared on an EEI when complete forwarding agent reported. The phone number of the forwarding agent contact cannot contain an ineligible value identified in AES. Verify the forwarding agent Contact Phone Number, correct the N02 record and retransmit.

Response Code: 287
Narrative Text: FWRD AGT CITY MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/City
X.12 Segment ID/Data Elements: N401; when N101 = FW
Reason: The Party Type is Forwarding Agent and the City declared for the address is missing from the N03 record.
Resolution: The City that the forwarding agent resides in must be declared in an EEI when complete forwarding agent reported. Verify the forwarding agent’s City, correct the N03 record and retransmit.

Response Code: 288
Narrative Text: FWRD AGT CITY CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/City
X.12 Segment ID/Data Elements: N401; when N101 = FW
Reason: The Party Type is Forwarding Agent and the City declared in the address contains leading spaces.
Resolution: The forwarding agent City cannot contain leading spaces (i.e., the City must be left justified). Verify the forwarding agent’s City, correct the N03 record and retransmit.

Response Code: 289
Narrative Text: FWRD AGT CITY INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/City
X.12 Segment ID/Data Elements: N401; when N101 = FW
Reason: The Party Type is Forwarding Agent and the City declared in the address contains an ineligible value in AES.
Resolution: The forwarding agent city cannot contain an ineligible value identified in AES. Verify the forwarding agent’s City, correct the N03 record and retransmit.

Response Code: 290
Narrative Text: FWRD AGT COUNTRY MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/Country Code
X.12 Segment ID/Data Elements: N404; when N101 = FW
Reason: The Party Type is Forwarding Agent and the Country Code is missing from the address declared on the N03 record.
Resolution: The country in which the forwarding agent resides must be declared in an EEI when complete forwarding agent reported. The Forwarding Agent Country must be declared as the ISO code US for the United States. Verify the forwarding agent’s ISO country code as US, correct the N03 record and retransmit.

Response Code: 291
Narrative Text: FWRD AGT COUNTRY MUST BE US
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Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/Country Code
X.12 Segment ID/Data Elements: N404; when N101 = FW
Reason: The Party Type is Forwarding Agent and the Country Code is not reported as US.
Resolution: The forwarding agent country must be the US. Verify the forwarding agent’s ISO country code as US, correct the N03 record and retransmit.

Response Code: 292
Narrative Text: FWRD AGT STATE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/State Code
X.12 Segment ID/Data Elements: N402; when N101 = FW
Reason: The Party Type is Forwarding Agent and the State Code is missing from the address reported on the N03 record.
Resolution: The US state, possession or territory where the forwarding agent resides must be declared in an EEI when complete forwarding agent reported. Verify the forwarding agent’s State Code, correct the N03 record and retransmit.

Response Code: 293
Narrative Text: FWRD AGT STATE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/State Code
X.12 Segment ID/Data Elements: N402; when N101 = FW
Reason: The Party Type is Forwarding Agent and the State Code reported on the N03 record in not a known value in AES.
Resolution: The US state, possession or territory where the forwarding agent resides must be declared in an EEI when complete forwarding agent reported. The State Code must be a valid two-character USPS State, territory or possession code. Verify the forwarding agent State Code, correct the N03 record and retransmit.

Response Code: 294
Narrative Text: FWRD AGT POSTAL CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/Postal Code
X.12 Segment ID/Data Elements: N403; when N101 = FW
Reason: The Party Type is Forwarding Agent and the Postal Code is missing from the address declared on the N03 record.
Resolution: The postal code (i.e., zip code) where the forwarding agent resides must be declared in an EEI when complete forwarding agent reported. Verify the forwarding agent’s 5-digit or 9-digit (zip+4) Postal Code, correct the N03 record and retransmit.

Response Code: 295
Narrative Text: FWRD AGT POSTAL CODE MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/Postal Code
X.12 Segment ID/Data Elements: N403; when N101 = FW
Reason: The Party Type is Forwarding Agent and the Postal Code reported in the address contains a string not reported as 5 numeric digits followed by spaces or as 9 numeric digits.
Resolution: The postal code (i.e., zip code) where the forwarding agent resides must be declared in an EEI. The forwarding agent postal code must be reported as 5 numeric digits followed by 4 spaces or 9 numeric digits (zip + 4). Verify the forwarding agent’s 5-digit or 9-digit (zip+4) postal code, correct the N03 record and retransmit.

Response Code: 296
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Narrative Text: ORIGINAL ITN NOT FOUND
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC2 Record/Original ITN
X.12 Segment ID/Data Elements: REF/REF01 ORIGINAL_ITN when Party Type = ‘PV’
Reason: The Original ITN is specified on the SC2 but cannot be found in Export Shipments on file.
Resolution: The ITN associated with a previously filed shipment that is replaced or divided and for which additional shipment(s) must be filed. The original ITN field can be used in certain scenarios, such as, but not limited to, shipments sold en route or cargo split by the carrier where the succeeding parts of the shipment are not exported within the timeframes specified in § 30.28. It must match the ITN of the original shipment. Correct the SC2 and re-transmit.

Response Code: 297
Narrative Text: FWRD AGT POSTAL CODE NOT VALID FOR STATE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/Postal Code, State Code
X.12 Segment ID/Data Elements: N402; N403; when N101 = FW
Reason: The Party Type is Forwarding Agent and the Postal Code does not match the State Code declared in the forwarding agent address.
Resolution: The forwarding agent Postal Code must match the State Code associated to the USPS postal code reported in the forwarding agent address. Verify the forwarding agent’s 5-digit or 9-digit (zip+4) Postal Code and State Code combination, correct the N03 record and retransmit.

Response Code: 298
Narrative Text: FWRD AGT NOT PREVIOUSLY REPORTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type
X.12 Segment ID/Data Elements: N1 loop; when N101 = FW
Reason: The Party Type is Forwarding Agent and only the Party ID and Party ID Type is declared. An existing forwarding agent established by the filer is not found that matches the Party ID and Party ID Type reported.
Resolution: A forwarding agent identified by the same Party ID and Party ID Type must have previously been completely reported by the filer when ‘Party ID only’ reporting. Verify the forwarding agent Party ID, Party ID Type, correct appropriately which may require the reporting of complete N01 – N03 records. Retransmit.

Response Code: 299
Narrative Text: FWRD AGT NAME NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type, Party Name
X.12 Segment ID/Data Elements: N102; when N101 = FW
Reason: The Party Type is Forwarding Agent and only the Party ID and Party ID Type is declared to identify a previously established forwarding agent. The Party Name is not reported as spaces.
Resolution: The party name cannot be reported in an EEI for the forwarding agent in a ‘Party ID only’ declaration. Verify, correct and retransmit.
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Response Code: 300
Narrative Text: FWRD AGT CNTCT LAST NAME NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type, Contact Last Name
X.12 Segment ID/Data Elements: N201 positions 14 – 20; when N101 = FW
Reason: The Party Type is Forwarding Agent and only the Party ID and Party ID Type is declared to identify a previously established forwarding agent. The Contact Last Name is not reported as spaces.
Resolution: The Contact Last Name cannot be reported in an EEI for the forwarding agent in a ‘Party ID only’ declaration. Verify, correct and retransmit.

Response Code: 301
Narrative Text: FWRD AGT CNTCT 1ST NAME NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type, Contact First Name
X.12 Segment ID/Data Elements: N201 positions 1 – 13; when N101 = FW
Reason: The Party Type is Forwarding Agent and only the Party ID and Party ID Type is declared to identify a previously established forwarding agent. The Contact First Name is not reported as spaces.
Resolution: The Contact First Name cannot be reported in an EEI for the forwarding agent in a ‘Party ID only’ declaration. Verify, correct and retransmit.

Response Code: 302
Narrative Text: TO BE SOLD EN ROUTE IND MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, To Be Sold en Route Indicator
X.12 Segment ID/Data Elements: N105; when N101 = CN
Reason: The Party Type is identified as Ultimate Consignee and the To be Sold en Route Indicator is missing.
Resolution: The Ultimate Consignee information must be declared on an EEI including the To Be Sold en Route Indicator. Verify the Ultimate Consignee, correct appropriately and retransmit. For further assistance, contact your client representative.

Response Code: 303
Narrative Text: TO BE SOLD EN ROUTE IND MUST BE Y OR N
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, To Be Sold en Route Indicator
X.12 Segment ID/Data Elements: N105; when N101 = CN
Reason: The Party Type is identified as Ultimate Consignee and the To be Sold en Route Indicator is not reported as Yes or No.
Resolution: The Ultimate Consignee information must be declared on an EEI including a valid To Be Sold en Route Indicator. If the Ultimate Consignee is known and reported, set the To Be Sold en Route Indicator to No, to indicate that the cargo is not to be sold en route. If the cargo is to be sold en route and the ultimate consignee is not known or reported for the shipment, then set the To Be Sold en Route to Yes. Verify the Ultimate Consignee and the To Be Sold en Route Indicator, correct and retransmit.

Response Code: 304
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Narrative Text: ULT CONS ID TYPE MUST BE E, D, OR SPCE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01/Party Type, Party ID Type
X.12 Segment ID/Data Elements: N103; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the Party ID Type is not declared as DUNS or EIN and is not spaces.
Resolution: The Ultimate Consignee must be declared on every EEI. The Party ID and Party ID Type are not required as the foreign consignee party may not be identified by any number. In which case the Party ID Type must be space filled. When a Party ID Type is reported, the Consignee Party ID Type must be a DUNS or EIN number. Verify the Ultimate Consignee Party ID Type, correct and retransmit.

Response Code: 305
Narrative Text: ULT CONS PARTY ID MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01/Party Type, Party ID, Party ID Type
X.12 Segment ID/Data Elements: N103; N104; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the Party ID Type is declared as DUNS, and the Party ID contains non-numeric value.
Resolution: An Ultimate Consignee must be declared on every EEI. A number identifying the Ultimate Consignee may be declared on an EEI. When reported with a Party ID Type of Duns, positions 1-9 must be numeric. Positions 10-11 must be zeros. When reported as Party ID Type EIN, positions 1-9 must be numeric, positions 10-11 can be numeric or alphanumeric or zeros. Verify the Consignee Party ID and Party ID Type, correct and retransmit.

Response Code: 306
Narrative Text: ULT CONS PARTY ID INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01/Party Type, Party ID, Party ID Type
X.12 Segment ID/Data Elements: N104; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the Party ID declared contains an ineligible value in AES.
Resolution: An Ultimate Consignee must be declared on every EEI. A number identifying the Ultimate Consignee may be declared on an EEI. When reported, the Ultimate Consignee Party ID cannot contain an ineligible value identified in AES. Verify the Ultimate Consignee Party ID, correct and retransmit.

Response Code: 307
Narrative Text: ULT CONS EIN LOCATION INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01/Party Type, Party ID, Party ID Type
X.12 Segment ID/Data Elements: N103; N104; when N101 = CN
Reason: The Ultimate Consignee ID Type has been specified as EIN, yet position 10-11 of the Ultimate Consignee ID on the N01 record contains other than numeric or non-space alpha numeric values.
Resolution: An Ultimate Consignee must be declared on every EEI. A number identifying the Ultimate Consignee may be declared on an EEI. When reported, if the Ultimate Consignee Party ID Type is EIN then positions 9-10 must be numeric. Positions 10-11 may be used at the sole discretion of the filer to signify a ‘location’ of the party and, if used, may be specified as alphanumeric. If this ‘location’ feature is not used, positions 10-11 must be zeros.
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Response Code: 308
Narrative Text: ULT CONS ID REPORTED W/O TYPE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01/ Party ID, Party ID Type, Party Type,
X.12 Segment ID/Data Elements: N103; N104; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the Party ID is reported without the
Party ID Type (i.e., Party ID Type reported as spaces).
Resolution: An Ultimate Consignee must be declared on every EEI. A number identifying the
Ultimate Consignee may be declared on an EEI. When a Party ID is declared, the Party ID Type
that identifies the type of number must be declared. Verify the Ultimate Consignee Party ID and
Party ID Type, correct and retransmit.

Response Code: 309
Narrative Text: ULT CONS PARTY ID MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID, Party ID Type
X.12 Segment ID/Data Elements: N103; N104, when N101 = CN
Reason: The Party Type is C for Ultimate Consignee, the Party ID Type is declared but the Party
ID is missing.
Resolution: An Ultimate Consignee must be declared on every EEI. A number identifying the
Ultimate Consignee may be declared on an EEI. When the Party ID Type is reported as DUNS or
EIN, the Party ID cannot be reported as spaces. Verify the Party Type, Party ID Type and Party
ID, correct and retransmit.

Response Code: 310
Narrative Text: ULT CONS LOCATION NOT ALLOWED FOR TYPE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID, Party ID Type
X.12 Segment ID/Data Elements: N103; N104; when N101 = CN
Reason: The Ultimate Consignee ID Type has been specified as SNN or DUNS, yet position 10-
11 of the Ultimate Consignee ID on the N01 record contains other than zeroes.
Resolution: An Ultimate Consignee must be declared on every EEI. A number identifying the
Ultimate Consignee may be declared on an EEI. When reported, if the Party ID Type is DUNS,
positions 10-11 of the Party ID must be zeros. Verify the Party Type, Party ID Type and Party ID,
correct and retransmit.

Response Code: 311
Narrative Text: ULT CONS PARTY NAME MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party Name
X.12 Segment ID/Data Elements: N102; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee, and the ultimate consignee Party Name is
missing (i.e., reported as spaces).
Resolution: An Ultimate Consignee business name must be declared on every EEI when complete
ultimate consignee reported. Verify the Party Type and Party Name, correct and retransmit.

Response Code: 312
Narrative Text: ULT CONS NAME CANNOT BE ‘SOLD EN ROUTE’
Severity: FATAL
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Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party Name, To Be Sold en Route Indicator
X.12 Segment ID/Data Elements: N102; N105; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Party Name is reported as ‘TO BE SOLD EN ROUTE’.
Resolution: An Ultimate Consignee business name must be declared on every EEI when complete ultimate consignee reported. The ultimate consignee business name cannot be reported as ‘SOLD EN ROUTE’. Verify the Party Type, Party Name and To Be Sold en Route Indicator, correct and retransmit.

Response Code: 313
Narrative Text: ULT CONS PARTY NAME CONTAINS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party Name
X.12 Segment ID/Data Elements: N102; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Party Name contains leading spaces.
Resolution: An Ultimate Consignee business name must be declared on every EEI when complete ultimate consignee reported. The ultimate consignee Party Name cannot contain leading spaces (i.e., the Party Name must be left justified). Verify the Party Name, correct and retransmit.

Response Code: 314
Narrative Text: ULT CONS PARTY NAME INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party Name
X.12 Segment ID/Data Elements: N102; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the Party Name contains an ineligible value in AES.
Resolution: An Ultimate Consignee business name must be declared on every EEI when complete ultimate consignee reported. The ultimate consignee Party Name cannot contain an ineligible value identified in AES. Verify the ultimate consignee Party Name, correct and retransmit.

Response Code: 315
Narrative Text: ULT CONS CNTCT LAST NM CNTNS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Contact Last Name
X.12 Segment ID/Data Elements: N201 position 14 – 20; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Contact Last Name contains leading spaces.
Resolution: An Ultimate Consignee Contact Last Name may be declared on an EEI when complete ultimate consignee reported. The ultimate consignee Contact Last Name cannot contain leading spaces (i.e., the Contact Last Name must be left justified). Verify the ultimate consignee Contact Last Name, correct and retransmit.

Response Code: 316
Narrative Text: ULT CONS CONTACT LAST NM INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Contact Last Name
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X.12 Segment ID/Data Elements: N201 position 14 – 20; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Contact Last Name contains an ineligible value in AES.
Resolution: An Ultimate Consignee Contact Last Name may be declared on an EEI when complete ultimate consignee reported. The ultimate consignee Contact Last Name cannot contain an ineligible value identified in AES. Verify the ultimate consignee Contact Last Name, correct and retransmit.

Response Code: 317
Narrative Text: ULT CONS CNTCT 1ST NM CNTNS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Contact First Name
X.12 Segment ID/Data Elements: N201 position 1 – 13; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Contact First Name contains leading spaces.
Resolution: An Ultimate Consignee Contact First Name may be declared on an EEI when complete ultimate consignee reported. The ultimate consignee Contact First Name cannot contain leading spaces (i.e., the Contact First Name must be left justified). Verify the ultimate consignee Contact First Name, correct and retransmit.

Response Code: 318
Narrative Text: ULT CONS 1ST NAME REPORTED W/O LAST NAME
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Contact Last Name, Contact First Name
X.12 Segment ID/Data Elements: N201; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Contact First Name is reported without the Contact Last Name.
Resolution: An Ultimate Consignee Contact First Name may be declared on an EEI when complete ultimate consignee reported. The ultimate consignee Contact First Name cannot be reported without the Contact Last Name. Verify the ultimate consignee Contact First Name, Contact Last Name, correct and retransmit.

Response Code: 319
Narrative Text: ULT CONS CONTACT 1ST NM INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Contact First Name
X.12 Segment ID/Data Elements: N201 position 1 – 13; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Contact First Name contains an ineligible value in AES.
Resolution: An Ultimate Consignee Contact First Name may be declared on an EEI when complete ultimate consignee reported. The ultimate consignee Contact First Name cannot contain an ineligible value identified in AES. Verify the ultimate consignee Contact First Name, correct and retransmit.

Response Code: 320
Narrative Text: ULT CONS ADDR LINE 1 MISSING
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Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Address Line 1
X.12 Segment ID/Data Elements: N301; when N101 = CN

Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Address Line 1 is missing (i.e. reported as spaces).
Resolution: The address of the ultimate consignee must be declared on an EEI when complete ultimate consignee reported. Verify the ultimate consignee Address Line 1, correct and retransmit.

Response Code: 321
Narrative Text: ULT CONS ADDR LINE 1 CONTAINS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Address Line 1
X.12 Segment ID/Data Elements: N301; when N101 = CN

Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Address Line 1 contains leading spaces.
Resolution: The address of the ultimate consignee must be declared on an EEI when complete ultimate consignee reported. The Address Line 1 cannot contain leading spaces (i.e., must be left justified). Verify the ultimate consignee Address Line 1, correct and retransmit.

Response Code: 322
Narrative Text: ULT CONS ADDR LINE 1 INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Address Line 1
X.12 Segment ID/Data Elements: N301; when N101 = CN

Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Address Line 1 contains an ineligible value in AES.
Resolution: The address of the ultimate consignee must be declared on an EEI when complete ultimate consignee reported. The Address Line 1 cannot contain an ineligible value identified in AES. Verify the ultimate consignee Address Line 1, correct and retransmit.

Response Code: 323
Narrative Text: ULT CONS ADDR LINE 2 CONTAINS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Address Line 2
X.12 Segment ID/Data Elements: N302; when N101 = CN

Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Address Line 2 contains leading spaces.
Resolution: The address of the ultimate consignee must be declared on an EEI when complete ultimate consignee reported. When reported, the Address Line 2 cannot contain leading spaces (i.e., must be left justified). Verify the ultimate consignee Address Line 2, correct and retransmit.

Response Code: 324
Narrative Text: ULT CONS ADDR LINE 2 INELIGIBLE
Severity: FATAL
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Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Address Line 2
X.12 Segment ID/Data Elements: N302; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Address Line 2 contains an ineligible value in AES.
Resolution: The address of the ultimate consignee must be declared on an EEI when complete ultimate consignee reported. When reported, the Address Line 2 cannot contain an ineligible value identified in AES. Verify the ultimate consignee Address Line 2, correct and retransmit.

Response Code: 325
Narrative Text: ULT CONS CONTACT PH# MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Contact Phone Number
X.12 Segment ID/Data Elements: N202; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Contact Phone Number contains non-numeric.
Resolution: The Contact Phone Number of the ultimate consignee contact may be declared in an EEI when complete ultimate consignee reported. When reported, the ultimate consignee Contact Phone Number must be a minimum of eight numerals but may be up to 13 numerals. Report only the foreign country code + foreign city code (if required) + local number. Do not include the International Access Code. Space fill any remaining positions. Verify the ultimate consignee Contact Phone Number, correct and retransmit.

Response Code: 326
Narrative Text: ULT CONS CONTACT PHONE # INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Contact Phone Number
X.12 Segment ID/Data Elements: N202; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Contact Phone Number contains an ineligible value in AES.
Resolution: The Contact Phone Number of the ultimate consignee contact may be declared in an EEI when complete ultimate consignee reported. When reported, the ultimate consignee Contact Phone Number must be a minimum of eight numerals but may be up to 13 numerals. Report only the foreign country code + foreign city code (if required) + local number. Do not include the International Access Code. Space fill any remaining positions. The Contact Phone Number cannot contain an ineligible value identified in AES. Verify, correct and retransmit. For further assistance, contact your client representative.

Response Code: 327
Narrative Text: ULT CONS CITY MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/City
X.12 Segment ID/Data Elements: N401; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee City is missing (i.e., reported as spaces).
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Resolution: The City that the ultimate consignee resides in must be declared in an EEI when complete ultimate consignee reported. Verify the ultimate consignee City, correct and retransmit.

Response Code: 328
 Narrative Text: ULT CONS CITY CONTAINS LEADING SPACES
 Severity: FATAL
 Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/City
 X.12 Segment ID/Data Elements: N401; when N101 = CN
 Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee City contains leading spaces.
 Resolution: The City that the ultimate consignee resides in must be declared in an EEI when complete ultimate consignee reported. The ultimate consignee City cannot contain leading space (i.e., must be left justified). Verify the ultimate consignee City, correct and retransmit.

Response Code: 329
 Narrative Text: ULT CONS CITY INELIGIBLE
 Severity: FATAL
 Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/City
 X.12 Segment ID/Data Elements: N401; when N101 = CN
 Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee City contains an ineligible value in AES.
 Resolution: The City that the ultimate consignee resides in must be declared in an EEI when complete ultimate consignee reported. The ultimate consignee City cannot contain an ineligible value identified in AES. Verify the ultimate consignee City, correct and retransmit.

Response Code: 330
 Narrative Text: ULT CONS COUNTRY MISSING
 Severity: FATAL
 Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code
 X.12 Segment ID/Data Elements: N404; when N101 = CN
 Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Country is missing from the N03 record (i.e., reported as spaces).
 Resolution: The Country that the ultimate consignee resides in must be declared in an EEI when complete ultimate consignee reported. Verify the ultimate consignee Country, correct and retransmit.

Response Code: 331
 Narrative Text: ULT CONS COUNTRY UNKNOWN
 Severity: FATAL
 Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code
 X.12 Segment ID/Data Elements: N404; when N101 = CN
 Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Country reported on the N03 record is not an ISO Country Code found in AES.
 Resolution: The Country that the ultimate consignee resides in must be declared in an EEI when complete ultimate consignee reported. The ultimate consignee Country must be a valid ISO Country Code found in Appendix C, ‘ISO Country Codes’. Verify the ultimate consignee Country Code, correct and retransmit.

Response Code: 332
 Narrative Text: ULT CONS COUNTRY OUTDATED
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Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export; Input N01 Record/Party Type; Input N03 Record/Country Code
X.12 Segment ID/Data Elements: DTM01; DTM02; N404; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Country Code reported is not active in AES.
Resolution: The Country that the ultimate consignee resides in must be declared in an EEI when complete ultimate consignee reported. The ultimate consignee Country must be an active (i.e., not expired) ISO Country Code for the export period reported. See ‘Appendix C, ISO Country Codes’. Verify the Estimated Date of Export and the Country Code. For further assistance, contact your client representative. Correct the Country Code and retransmit.

Response Code: 333
Narrative Text: ULT CONS STATE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/State Code, Country Code
X.12 Segment ID/Data Elements: N402; N404; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee. The Country Code is the US and the ultimate consignee State Code is not a valid two-character US state, territory or possession code. Or the Country Code is MX and the ultimate consignee State Code is not a valid two-character Mexican State Code.
Resolution: The state that the ultimate consignee resides in must be declared in an EEI when complete ultimate consignee reported. If the US is reported as the Country Code where the consignee resides, the state must be a valid US State, territory or possession. If the Country Code is MX, the state must be a valid two-character Mexican state code. Verify the ultimate consignee State Code and Country Code, correct and retransmit.

Response Code: 334
Narrative Text: ULT CONS STATE MUST BE A US STATE CD
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/State Code
X.12 Segment ID/Data Elements: N402; N404; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee, the Country Code is the US and the ultimate consignee State Code is not a valid US State, territory or possession.
Resolution: The state that the ultimate consignee resides in must be declared in an EEI when complete ultimate consignee reported. If the US is reported as the Country Code where the consignee resides, the state must be a valid US State, territory or possession. Verify the ultimate consignee State Code, correct and retransmit.

Response Code: 335
Narrative Text: ULT CONS STATE MUST BE A MX STATE CD
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/State Code
X.12 Segment ID/Data Elements: N402; N404; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee, the Country Code is MX and the ultimate consignee State Code is not a valid two-character Mexican State Code.
Resolution: The state that the ultimate consignee resides in must be declared in an EEI when complete ultimate consignee reported. If MX is reported as the Country Code where the ultimate consignee resides, a valid Mexican State Code must be reported. See ‘Appendix I, Mexican State Codes’. For further assistance, contact your client representative. Verify, correct and retransmit.

Response Code: 336
Narrative Text: ULT CONS STATE CANNOT BE REPORTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/State Code
X.12 Segment ID/Data Elements: N402; N404; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee, the Country Code is not the US or MX and the State Code is not spaces.
Resolution: The Ultimate Consignee State Code can only be reported when the Country is the US or MX. Verify the ultimate consignee Country Code and State Code, correct and retransmit.

Response Code: 337
Narrative Text: ULT CONS POSTAL CODE CNTNS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code, Postal Code
X.12 Segment ID/Data Elements: N403; N404; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the Postal Code contains leading spaces.
Resolution: The Postal Code (i.e., zip code) where the ultimate consignee resides may be declared in an EEI when complete ultimate consignee reported. The ultimate consignee Postal Code cannot contain leading space (i.e., must be left justified). Verify the ultimate consignee Postal Code, correct and retransmit.

Response Code: 338
Narrative Text: ULT CONS POSTAL CODE MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code, Postal Code
X.12 Segment ID/Data Elements: N403; N404; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the Postal Code is not reported as a string of 5 numeric digits followed by 4 spaces or 9 numeric digits.
Resolution: The Postal Code (i.e., zip code) where the ultimate consignee resides may be declared in an EEI when complete ultimate consignee reported. The ultimate consignee Postal Code must be reported as 5-numeric digits followed by 4 spaces or 9 numeric digits. Verify the ultimate consignee Postal Code, correct and retransmit.

Response Code: 339
Narrative Text: ULT CONS TYPE IS MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Ultimate Consignee Type
Reason: The Party Type is Ultimate Consignee and the Ultimate Consignee Type is missing (i.e. reported as spaces). Report one of the following Ultimate Consignee types.
   D—Direct Consumer
   G—Government Entity
   O—Other/Unknown
   R—Reseller
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**Resolution:** Report the appropriate Ultimate Consignee Type for the Ultimate Consignee. For further assistance, contact your client representative. Verify, correct and retransmit.

**Response Code: 340**

**Narrative Text:** ULT CONS POSTAL CODE NOT VALID FOR STATE

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type; Input N03 Record/State Code, Postal Code

**X.12 Segment ID/Data Elements:** N402; N403; when N101 = CN

**Reason:** The Party Type is C for Ultimate Consignee and the reported ultimate consignee Postal Code is not valid for the State Code reported.

**Resolution:** The Postal Code (i.e. zip code) where the ultimate consignee resides may be declared in an EEI when complete ultimate consignee reported. When reported, the ultimate consignee Postal Code must be reported as 5-numeric digits followed by 4 spaces or 9 numeric digits and must be valid for the State Code reported. For further assistance, contact your client representative. Verify the ultimate consignee Postal Code, correct and retransmit.

**Response Code: 341**

**Narrative Text:** ULT CONS MUST BE REPORTED WITHIN 4 DAYS

**Severity:** WARNING

**Proprietary Record ID/Data Elements:** Input SC1 Record/Estimated Date of Export; Input N01 Record/Party Type, To Be Sold en Route Indicator

**X.12 Segment ID/Data Elements:** DTM01; DTM02; N1 segment; for N101 = CN

**Reason:** The Party Type is C for Ultimate Consignee and the To Be Sold en Route Indicator is reported as Yes.

**Resolution:** Incomplete ultimate consignee information may be declared on an EEI when a ‘To Be Sold en Route’ declaration has been made. However, the actual ultimate consignee must be declared within 4 days of the EEI transmission and approval by the AES. Verify, correct and retransmit.

**Response Code: 342**

**Narrative Text:** ULT CONS ID TYPE NOT ALLWD: SOLD EN RTE

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type, To Be Sold en Route

**X.12 Segment ID/Data Elements:** N103; N104; N105; when N101 = CN

**Reason:** The Party Type is C for Ultimate Consignee, the To Be Sold en Route Indicator is declared as Yes and the Party ID Type is reported.

**Resolution:** Incomplete ultimate consignee information may be declared on an EEI when a ‘To Be Sold en Route’ declaration has been made. The Ultimate Consignee Party ID and Party ID Type cannot be reported on an EEI when a ‘To Be Sold en Route’ declaration is made. Verify, correct and retransmit.

**Response Code: 343**

**Narrative Text:** ULT CONS ID NOT ALLWD: SOLD EN RTE

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type, To Be Sold en Route Indicator

**X.12 Segment ID/Data Elements:** N103; N104; N105; when N101 = CN

**Reason:** The Party Type is C for Ultimate Consignee, the To Be Sold en Route Indicator is declared as Yes and the Party ID is reported.
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Resolution: Incomplete ultimate consignee information may be declared on an EEI when a ‘To Be Sold en Route’ declaration has been made. The Ultimate Consignee Party ID and Party ID Type cannot be reported on an EEI when a ‘To Be Sold en Route’ declaration is made. Verify, correct and retransmit.

Response Code: 344

Narrative Text: ULT CONS PRTY NM MUST BE ‘SOLD EN ROUTE’
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party Name, To Be Sold en Route
X.12 Segment ID/Data Elements: N102; N105; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee, the To Be Sold en Route Indicator is declared as Yes and the Party Name is not reported as ‘SOLD EN ROUTE’.
Resolution: ‘SOLD EN ROUTE’ must be reported as the Party Name on an EEI when a ‘To Be Sold en Route’ declaration has been made. Verify Party Name and To Be Sold en Route Indicator, correct and retransmit.

Response Code: 345

Narrative Text: ULT CONS LST NAME NOT ALLWD: SOLD EN RTE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Contact Last Name, To Be Sold en Route Indicator
X.12 Segment ID/Data Elements: N105; N201 positions 14-20; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee, the To Be Sold en Route Indicator is declared as Yes and the ultimate consignee Contact Last Name is not spaces.
Resolution: An ultimate consignee Contact Last Name cannot be reported on an EEI when a ‘sold en route’ declaration is made. Verify, correct and retransmit.

Response Code: 346

Narrative Text: ULT CONS 1ST NAME NOT ALLWD: SOLD EN RTE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Contact First Name, To Be Sold en Route Indicator
X.12 Segment ID/Data Elements: N105; N201 positions 1-13; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee, the To Be Sold en Route Indicator is declared as Yes and the ultimate consignee Contact First Name is not spaces.
Resolution: An ultimate consignee Contact First Name cannot be reported on an EEI when a ‘sold en route’ declaration is made. Verify, correct and retransmit.

Response Code: 347

Narrative Text: ULT CONS ADDR 1 NOT ALLWD: SOLD EN RTE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, To Be Sold en Route Indicator; Input N02 Record/Address Line 1
X.12 Segment ID/Data Elements: N105; N301; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee, the To Be Sold en Route Indicator is declared as Yes and the ultimate consignee Address Line 1 is not spaces.
Resolution: An ultimate consignee Address Line 1 cannot be reported on an EEI when a ‘sold en route’ declaration is made. Verify, correct and retransmit.
Response Code: 348  
**Narrative Text:** ULT CONS ADDR 2 NOT ALLWD: SOLD EN RTE  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type, To Be Sold en Route Indicator; Input N02 Record/Address Line 2  
**X.12 Segment ID/Data Elements:** N105; N302; when N101 = CN  
**Reason:** The Party Type is C for Ultimate Consignee, the To Be Sold en Route Indicator is declared as Yes and the ultimate consignee Address Line 2 is not spaces.  
**Resolution:** An ultimate consignee Address Line 2 cannot be reported on an EEI when a ‘sold en route’ declaration is made. Verify, correct and retransmit.

Response Code: 349  
**Narrative Text:** ULT CONS PHONE# NOT ALLWD: SOLD EN RTE  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type, To Be Sold en Route Indicator; Input N02 Record/Contact Phone Number  
**X.12 Segment ID/Data Elements:** N105; N202; when N101 = CN  
**Reason:** The Party Type is C for Ultimate Consignee, the To Be Sold en Route Indicator is declared as Yes and the ultimate consignee Phone Number is not spaces.  
**Resolution:** An ultimate consignee Phone Number cannot be reported on an EEI when a ‘sold en route’ declaration is made. Verify, correct and retransmit.

Response Code: 350  
**Narrative Text:** SOLD EN RTE PORT CITY MISSING  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type, To Be Sold en Route Indicator; Input N03 Record/City  
**X.12 Segment ID/Data Elements:** N105; N401; when N101 = CN  
**Reason:** The Party Type is C for Ultimate Consignee and the first port of call City is missing (i.e., reported as spaces).  
**Resolution:** The City of the first port of call must be declared in an EEI when a ‘to be sold en route’ declaration is made. Verify, correct and retransmit.

Response Code: 351  
**Narrative Text:** SOLD EN RTE PORT CITY CNTNS LEAD SPCS  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type, To Be Sold en Route Indicator; Input N03 Record/City  
**X.12 Segment ID/Data Elements:** N105; N401; when N101 = CN  
**Reason:** The Party Type is C for Ultimate Consignee and the first port of call City contains leading spaces.
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**Resolution:** The City of the first port of call must be declared in an EEI when a ‘to be sold en route’ declaration is made. The first port of call City cannot contain leading spaces (i.e., must be left justified). Verify, correct and retransmit

**Response Code: 352**
**Narrative Text:** SOLD EN RTE PORT CITY INELIGIBLE
**Severity:** FATAL
**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type, To Be Sold en Route Indicator; Input N03 Record/City
**X.12 Segment ID/Data Elements:** N105; N401; when N101 = CN
**Reason:** The Party Type is C for Ultimate Consignee and the first port of call City contains an ineligible value in AES.
**Resolution:** The City of the first port of call must be declared in an EEI when a ‘to be sold en route’ declaration is made. The first port of call City cannot contain an ineligible value identified in AES. Verify, correct and retransmit.

**Response Code: 353**
**Narrative Text:** SOLD EN RTE PORT COUNTRY MISSING
**Severity:** FATAL
**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type, To Be Sold en Route Indicator; Input N03 Record/Country Code
**X.12 Segment ID/Data Elements:** N105; N404; when N101 = CN
**Reason:** The Party Type is C for Ultimate Consignee and the Country Code of the first port of call is reported missing (i.e., reported as spaces).
**Resolution:** The Country Code of the first port of call must be declared in an EEI when a ‘to be sold en route’ declaration is made. Verify, correct and retransmit.

**Response Code: 354**
**Narrative Text:** SOLD EN RTE PORT COUNTRY UNKNOWN
**Severity:** FATAL
**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type, To Be Sold en Route Indicator; Input N03/Country Code
**X.12 Segment ID/Data Elements:** N105; N404; when N101 = CN
**Reason:** The Party Type is C for Ultimate Consignee and the Country Code of the first port of call is an ISO country code known in AES.
**Resolution:** The Country Code of the first port of call must be declared in an EEI when a ‘to be sold en route’ declaration is made. See ‘Appendix C, ISO Country Codes’ to determine the appropriate country code. Verify, correct and retransmit.

**Response Code: 355**
**Narrative Text:** ULT CONS STATE CD NOT ALLWD: SOLD EN RTE
**Severity:** FATAL
**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type, To Be Sold en Route Indicator; Input N03/State Code
**X.12 Segment ID/Data Elements:** N105; N402; when N101 = CN
**Reason:** The Party Type is C for Ultimate Consignee, the To Be Sold en Route Indicator is declared as Yes and the ultimate consignee State Code is not spaces.
**Resolution:** The foreign state where the ultimate consignee resides cannot be reported on an EEI when a ‘sold en route’ declaration is made. Verify, correct and retransmit.
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Response Code: 356
Narrative Text: ULT CONS POSTAL CD NOT ALLWD: SOLD EN RTE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, To Be Sold en Route Indicator; Input N03/Postal Code
X.12 Segment ID/Data Elements: N105; N403; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee, the To Be Sold en Route Indicator is declared as Yes and the ultimate consignee Postal Code is not spaces.
Resolution: The postal code (i.e., zip code) where the ultimate consignee resides cannot be reported on an EEI when a ‘sold en route’ declaration is made. Verify, correct and retransmit.

Response Code: 357
Narrative Text: ULT CONS ID TYPE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type
X.12 Segment ID/Data Elements: N103; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Party ID Type is missing (i.e., reported as spaces).
Resolution: The type of ultimate consignee number must be declared on an EEI when ‘party ID only’ reporting. Verify the Party ID Type reported for the ultimate consignee, correct and retransmit.

Response Code: 358
Narrative Text: ULT CONS ID TYPE MUST BE E OR D
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type
X.12 Segment ID/Data Elements: N103; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Party ID Type is not DUNS or EIN.
Resolution: The type of ultimate consignee number must be declared on an EEI when ‘party ID only’ reporting. The Party ID Type for the ultimate consignee must be a DUNS or EIN number. Verify, correct and retransmit.

Response Code: 359
Narrative Text: ULT CONS NOT PREVIOUSLY REPORTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type, Party ID
X.12 Segment ID/Data Elements: N103; N104; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee and the ultimate consignee Party ID Type and Party ID is not one previously established in AES.
Resolution: An existing ultimate consignee established by the filer must match the Party ID and Party ID Type previously reported by the filer when ‘party ID only’ reporting. Verify the Party ID and Party ID Type, correct and retransmit. For further assistance, contact your client representative.
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Response Code: 360
Narrative Text: ULT CONS NAME NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type, Party ID, Party Name
X.12 Segment ID/Data Elements: N102; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee, the Party ID Type and Party ID are reported and the ultimate consignee Party Name is not spaces.
Resolution: The Party Name cannot be reported in an EEI for the ultimate consignee in a ‘party ID only’ declaration. Verify, correct and retransmit.

Response Code: 361
Narrative Text: ULT CONS CNTCT LAST NAME NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type, Party ID, Contact Last Name
X.12 Segment ID/Data Elements: Will not occur in X.12
Reason: The Party Type is C for Ultimate Consignee, the Party ID Type and Party ID are reported and the ultimate consignee Contact Last Name is not spaces.
Resolution: The Contact Last Name cannot be reported in an EEI for the ultimate consignee in a ‘party ID only’ declaration. Verify, correct and retransmit

Response Code: 362
Narrative Text: ULT CONS CNTCT 1ST NAME NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type, Party ID, Contact First Name
X.12 Segment ID/Data Elements: N201 positions 1 – 13; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee, the Party ID Type and Party ID are reported and the ultimate consignee Contact First Name is not spaces.
Resolution: The Contact First Name cannot be reported in an EEI for the ultimate consignee in a ‘party ID only’ declaration. Verify, correct and retransmit.

Response Code: 363
Narrative Text: SOLD EN ROUTE CANNOT BE DECLARED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type, Party ID, To Be Sold en Route Indicator
X.12 Segment ID/Data Elements: N105; when N101 = CN
Reason: The Party Type is C for Ultimate Consignee, the Party ID Type and Party ID are reported and the To Be Sold en Route Indicator is declared as Yes.
Resolution: The ‘to be sold en route’ indication must be reported as No in an EEI for the ultimate consignee in a ‘party ID only’ declaration. Verify, correct and retransmit.

Response Code: 364
Narrative Text: INT CONS ID TYPE MUST BE E, D, OR SPCE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type
X.12 Segment ID/Data Elements: N103; N104; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Party ID Type is not DUNS, EIN or spaces.
Resolution: An intermediate consignee may be declared on an EEI. The Party ID and Party ID Type are not required as the foreign consignee may not be identified by any known number. In
which case the Party ID Type can be reported as spaces. However, report a number if one is known. When reported, the type of intermediate consignee ID must be a DUNS or EIN. Verify, correct and retransmit.

Response Code: 365
Narrative Text: INT CONS PARTY ID MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID
X.12 Segment ID/Data Elements: N104; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Party ID Type is declared and the Party ID contains non-numeric value.
Resolution: When reported, the type of intermediate consignee Party ID must be a DUNS or EIN. Positions 1-9 of the Party ID must be. Verify the Party ID, correct and retransmit.

Response Code: 366
Narrative Text: INT CONS PARTY ID INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID
X.12 Segment ID/Data Elements: N104; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Party ID contains an ineligible value in AES.
Resolution: When reported, the intermediate consignee Party ID must not contain an ineligible value identified in AES. Verify the intermediate consignee Party ID, correct and retransmit.

Response Code: 367
Narrative Text: INT CONS EIN LOCATION INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type, Party ID
X.12 Segment ID/Data Elements: N103; N104; when N101 = IC
Reason: The Intermediate Consignee ID Type has been specified as EIN, yet position 10-11 of the Intermediate Consignee ID on the N01 record contains other than numeric or non-space alpha numeric values.
Resolution: When the intermediate consignee Party ID is EIN, positions 1-9 must be numeric. Positions 10 and 11 are used at the discretion of the filer to signify a ‘location’ of the party and, if used, may be specified as alphanumeric. If this feature is not used, positions 10 and 11 must be zeros. Verify, correct and retransmit.

Response Code: 368
Narrative Text: INT CONS PARTY ID TYPE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID, Party ID Type
X.12 Segment ID/Data Elements: N103; N104; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Party ID Type is missing (i.e., reported as spaces).
Resolution: An intermediate consignee Party ID cannot be reported without a Party ID Type declared. Verify the Party ID and Party ID Type, correct and retransmit.

Response Code: 369
Narrative Text: INT CONS PARTY ID MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID
X.12 Segment ID/Data Elements: N104; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee, the Party ID Type is reported as DUNS or EIN and the Party ID is missing (i.e., reported as spaces).
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**Resolution:** When the Party ID Type is declared as DUNS or EIN, the Party ID cannot be reported as spaces. Verify the Party ID Type, report the Party ID for the intermediate consignee and retransmit.

**Response Code: 370**

**Narrative Text:** INT CONS LOCATION NOT ALLOWED FOR TYPE  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type, Party ID Type, Party ID  
**X.12 Segment ID/Data Elements:** N104; N103; when N101 = IC  
**Reason:** The Intermediate Consignee ID Type has been specified as SNN or DUNS, yet position 10-11 of the Intermediate Consignee ID on the N01 record contains other than zeroes.  
**Resolution:** When the intermediate consignee Party ID Type is DUNS, positions 1-9 must be numeric. Positions 10 and 11 must be zeros. Verify, correct and retransmit.

**Response Code: 371**

**Narrative Text:** ULT CONS TYPE IS UNKNOWN  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input N03 Ultimate Consignee Type  
**X.12 Segment ID/Data Elements:** When N101 = CN; N106 where: XT→D, QW→G, B2→O, DU→R  
**Reason:** The Party Type is Ultimate Consignee and the reported Ultimate Consignee Type is not known to AES. Report one of the following Ultimate Consignee types.  
- D – Direct Consumer  
- G – Government Entity  
- O – Other/Unknown  
- R – Reseller  
**Resolution:** Report the appropriate Ultimate Consignee Type for the Ultimate Consignee. For further assistance, contact your client representative. Verify, correct and retransmit.

**Response Code: 372**

**Narrative Text:** INT CONS PARTY NAME MISSING  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type, Party Name  
**X.12 Segment ID/Data Elements:** N102; when N101 = IC  
**Reason:** The Party Type is I for Intermediate Consignee and the Party Name is missing (i.e., reported a spaces).  
**Resolution:** The intermediate consignee Party Name must be declared in an EEI when complete intermediate consignee reported. Verify the Party Name for the intermediate consignee, correct and retransmit.

**Response Code: 373**

**Narrative Text:** INT CONS PARTY NAME CONTAINS LEAD SPACES  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input N01 Record/Party Type, Party Name  
**X.12 Segment ID/Data Elements:** N102; when N101 = IC  
**Reason:** The Party Type is I for Intermediate Consignee and the Party Name contains leading spaces.  
**Resolution:** The intermediate consignee Party Name must be declared in an EEI when complete intermediate consignee reported. The Party Name cannot contain leading spaces (i.e., must be left justified). Verify the Party Name for the intermediate consignee, correct and retransmit.

**Response Code: 374**
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Narrative Text: INT CONS PARTY NAME INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party Name
X.12 Segment ID/Data Elements: N104; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Party Name contains an ineligible value in AES.
Resolution: The intermediate consignee Party Name must be declared in an EEI when complete intermediate consignee reported. The Party Name cannot contain an ineligible value identified in AES. Verify the Party Name of the intermediate consignee, correct and retransmit.

Response Code: 375
Narrative Text: INT CONS CNTCT LAST NM CNTNS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Contact Last Name
X.12 Segment ID/Data Elements: N201 positions 14 – 20; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Contact Last Name contains leading spaces.
Resolution: The intermediate consignee Contact Last Name may be declared in an EEI when complete intermediate consignee reported. When reported, an intermediate consignee Contact Last Name cannot contain leading spaces (i.e., must be left justified). Verify the Contact Last Name of the intermediate consignee, correct and retransmit.

Response Code: 376
Narrative Text: INT CONS CNTACT LAST NM INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Contact Last Name
X.12 Segment ID/Data Elements: N201 positions 14 – 20; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Contact Last Name contains an ineligible value in AES.
Resolution: The intermediate consignee Contact Last Name may be declared in an EEI when complete intermediate consignee reported. When reported, an intermediate consignee Contact Last Name cannot contain an ineligible value identified in AES. Verify the Contact Last Name of the intermediate consignee, correct and retransmit.

Response Code: 377
Narrative Text: INT CONS 1ST NAME REPORTED W/O LAST NAME
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Contact Last Name, Contact First Name
X.12 Segment ID/Data Elements: N201 positions 1 – 13; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Contact First Name is reported and the Contact Last Name is reported as spaces.
Resolution: The intermediate consignee Contact Last and First Name may be declared in an EEI when complete intermediate consignee reported. The intermediate consignee Contact First Name cannot be reported without the Contact Last Name. Verify the intermediate Contact First Name and Contact Last Name, correct and retransmit.

Response Code: 378
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Narrative Text: INT CONS CONTACT 1ST NM INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Contact First Name
X.12 Segment ID/Data Elements: N201 positions 1 – 13; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Contact First Name contains an ineligible value in AES.
Resolution: The intermediate consignee Contact First Name cannot contain an ineligible value identified in AES. Verify, correct and retransmit.

Response Code: 379
Narrative Text: INT CONS CNTCT 1ST NM CNTNS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Contact First Name
X.12 Segment ID/Data Elements: N201 positions 1 – 13; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Contact First Name contains leading spaces.
Resolution: The intermediate consignee Contact First Name cannot leading spaces (i.e., must be left justified. Verify, correct and retransmit.

Response Code: 380
Narrative Text: INT CONS ADDR LINE 1 MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Address Line 1
X.12 Segment ID/Data Elements: N301; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and Address Line 1 is missing. (i.e., reported as spaces).
Resolution: The address of the intermediate consignee must be declared in an EEI when complete intermediate consignee reported. Verify the Address Line 1 for the intermediate consignee, correct and retransmit.

Response Code: 381
Narrative Text: INT CONS ADDR LINE 1 CONTAINS LEAD SPCES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Address Line 1
X.12 Segment ID/Data Elements: N301; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and Address Line 1 contains leading spaces.
Resolution: The address of the intermediate consignee must be declared in an EEI when complete intermediate consignee reported. When reported, the intermediate consignee Address Line 1 cannot contain leading spaces (i.e., must be left justified). Verify the Address Line 1, correct and retransmit.

Response Code: 382
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Narrative Text: INT CONS ADDR LINE 1 INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Address Line 1
X.12 Segment ID/Data Elements: N301; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and Address Line 1 contains an ineligible value in AES.
Resolution: The address of the intermediate consignee must be declared in an EEI when complete intermediate consignee reported. When reported, the intermediate consignee Address Line 1 cannot contain an ineligible value identified in AES. Verify the Address Line 1, correct and retransmit.

Response Code: 383
Narrative Text: INT CONS ADDR LINE 2 CONTAINS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Address Line 2
X.12 Segment ID/Data Elements: N302; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and Address Line 2 contains leading spaces.
Resolution: The address of the intermediate consignee must be declared in an EEI when complete intermediate consignee reported. When reported, the intermediate consignee Address Line 2 cannot contain leading spaces (i.e., must be left justified). Verify the Address Line 2, correct and retransmit.

Response Code: 384
Narrative Text: INT CONS CONTACT PH# CNTNS LEAD SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Contact Phone Number
X.12 Segment ID/Data Elements: N202; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Contact Phone Number contains leading spaces.
Resolution: The phone number of the intermediate consignee contact may be declared in an EEI when complete intermediate consignee reported. When reported, an intermediate consignee Contact Phone Number must be between eight and thirteen numeric digits (followed by spaces). Verify the Contact Phone Number reported for the intermediate consigee, correct and retransmit.

Response Code: 385
Narrative Text: INT CONS ADDR LINE 2 INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Address Line 2
X.12 Segment ID/Data Elements: N302; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and Address Line 2 contains an ineligible value in AES.
Resolution: The address of the intermediate consignee must be declared in an EEI when complete intermediate consignee reported. When reported, the intermediate consignee Address Line 2 cannot contain an ineligible value identified in AES. Verify the Address Line 2, correct and retransmit.

Response Code: 386
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Narrative Text: INT CONS CONTACT PH# MUST BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Contact Phone Number
X.12 Segment ID/Data Elements: N202; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Contact Phone Number is reported not numeric.
Resolution: The phone number of the intermediate consignee contact may be declared in an EEI when complete intermediate consignee reported. When reported, an intermediate consignee Contact Phone Number must be 8 to 13 numeric digits followed by spaces. Verify the Contact Phone Number, correct and retransmit.

Response Code: 387
Narrative Text: INT CONS CONTACT PH# INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Contact Phone Number
X.12 Segment ID/Data Elements: N202; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Contact Phone Number contains an ineligible value in AES.
Resolution: The phone number of the intermediate consignee contact may be declared in an EEI when complete intermediate consignee reported. When reported, an intermediate Contact Phone Number cannot contain an ineligible value identified in AES. Verify the Contact Phone Number, correct and retransmit.

Response Code: 388
Narrative Text: INT CONS CITY MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/City
X.12 Segment ID/Data Elements: N401; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the City is missing (i.e., reported as spaces).
Resolution: The City that the intermediate consignee resides in must be declared in an EEI when complete intermediate consignee reported. Verify the intermediate consignee City, correct and retransmit.

Response Code: 389
Narrative Text: INT CONS CITY CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/City
X.12 Segment ID/Data Elements: N401; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the City reported contains leading spaces.
Resolution: The City that the intermediate consignee resides in must be declared in an EEI when complete intermediate consignee reported. When reported, the City cannot contain leading spaces (i.e., must be left justified). Verify the intermediate consignee City, correct and retransmit.

Response Code: 390
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Narrative Text: INT CONS CITY INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/City
X.12 Segment ID/Data Elements: N401; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the City reported contains an ineligible value in AES.
Resolution: The city that the intermediate consignee resides in must be declared in an EEI when complete intermediate consignee reported. When reported, the City cannot contain an ineligible value identified in AES. Verify the intermediate consignee City, correct and retransmit.

Response Code: 391
Narrative Text: INT CONS COUNTRY MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code
X.12 Segment ID/Data Elements: N404; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Country Code is missing (i.e., reported as spaces).
Resolution: The country that the intermediate consignee resides in must be declared in an EEI when complete intermediate consignee reported. Verify the Country Code of the intermediate consignee, correct and retransmit.

Response Code: 392
Narrative Text: INT CONS COUNTRY UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code
X.12 Segment ID/Data Elements: N404; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Country Code is not a valid ISO country code in AES.
Resolution: The country that the intermediate consignee resides in must be declared in an EEI when complete intermediate consignee reported. See ‘Appendix C, ISO Country Codes’ to determine the valid code, correct and retransmit.

Response Code: 393
Narrative Text: INT CONS COUNTRY OUTDATED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export; Input N01 Record/Party Type; Input N03 Record/Country Code
X.12 Segment ID/Data Elements: N404; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Country Code is an expired ISO country code in AES.
Resolution: The country that the intermediate consignee resides in must be declared in an EEI when complete intermediate consignee reported. The ISO country code reported must be active (i.e., not expired) in AES at the time of export. Verify the Country Code, correct and retransmit. For further assistance, contact your client representative.

Response Code: 394
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Narrative Text: INT CONS STATE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/State Code
X.12 Segment ID/Data Elements: N402; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the State Code is either reported as spaces or it is not a known value in AES.
Resolution: The state where the intermediate consignee resides may be declared in an EEI when complete ultimate consignee reported. If the US is reported as the country where the intermediate consignee resides, the state must be a valid US state, territory or possession. Verify, correct and retransmit.

Response Code: 395
Narrative Text: INT CONS STATE MUST BE A US STATE CD
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code, State Code
X.12 Segment ID/Data Elements: N402; N404; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee, the Country Code is declared as US and the State Code is not a valid US state, territory or possession code.
Resolution: The state where the intermediate consignee resides may be declared in an EEI when complete ultimate consignee reported. If the US is reported as the country where the intermediate consignee resides, the state must be a valid US state, territory or possession. Verify, correct and retransmit.

Response Code: 396
Narrative Text: INT CONS STATE CANNOT BE REPORTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code, State Code
X.12 Segment ID/Data Elements: N402; N404; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee, the Country Code is not the US, and the State Code is not reported as spaces.
Resolution: A State Code is not allowed to be reported when the Country Code of the intermediate consignee is not the US. Verify the Country Code and State Code combination, correct and retransmit.

Response Code: 397
Narrative Text: INT CONS POSTAL CODE CONTAINS LEAD SPCS
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code, Postal Code
X.12 Segment ID/Data Elements: N403; N404; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee, the Country Code is US and the Postal Code contains leading spaces.
Resolution: The postal code (i.e., zip code) where the intermediate consignee resides may be declared in an EEI when complete intermediate consignee reported. When reported, the Postal Code cannot contain leading spaces (i.e., must be left justified). Verify the Postal Code, correct and retransmit.

Response Code: 398
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Narrative Text: INT CONS POSTAL CODE BE NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code, Postal Code
X.12 Segment ID/Data Elements: N403; N404; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee, the Country Code is US and the Postal Code contains non-numerics.
Resolution: The postal code (i.e., zip code) where the intermediate consignee resides may be declared in an EEI when complete intermediate consignee reported. When reported, the Postal Code must be 5 numeric digits followed by 4 spaces or 9 numeric digits. Verify the Postal Code, correct and retransmit.

Response Code: 399
Narrative Text: IS THE ESTIMATED DATE OF EXPORT CORRECT?
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1/ESTIMATED DATE OF EXPORT
X.12 Segment ID/Data Elements: DTM02
Reason: The Estimated Date of Export reported does not match the current year.
Resolution: Verify the Estimated Date of Export reported to ensure the year is correct. Any reporting errors must be corrected and retransmitted.

Response Code: 400
Narrative Text: INT CONS POSTAL CODE NOT VALID FOR STATE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code, State Code, Postal Code
X.12 Segment ID/Data Elements: N402; N403; N404; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee, the Country Code is US and the Postal Code reported does not match the State Code reported.
Resolution: When reported, the Postal Code must be 5 numeric digits followed by 4 spaces or 9 numeric digits and must match the State Code. Verify the State Code and Postal Code combination, correct and retransmit.

Response Code: 401 <Currently unassigned>
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Response Code: 402
Narrative Text: INT CONS ID TYPE MUST BE E OR D
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type
X.12 Segment ID/Data Elements: N103; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Party ID Type is not DUNS or EIN.
Resolution: The type of intermediate consignee number must be declared in an EEI when ‘party ID only’ reporting. The Party ID Type may be reported as DUNS or EIN. Verify the Party ID Type for the intermediate consignee, correct and retransmit.

Response Code: 403
Narrative Text: INT CONS NOT PREVIOUSLY REPORTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID Type, Party ID
X.12 Segment ID/Data Elements: N103; N104; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee, the Party ID Type and Party ID are reported but the intermediate consignee has not previously been established in AES.
Resolution: An intermediate consignee identified by the same Party ID and Party ID Type must have been previously reported by the filer when ‘party ID only’ reporting. Verify, correct and retransmit.

Response Code: 404
Narrative Text: INT CONS NAME NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party Name
X.12 Segment ID/Data Elements: N102; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Party Name is not reported as spaces.
Resolution: The Party Name cannot be reported in an EEI for the intermediate consignee in a ‘party ID only’ declaration. Verify, correct and retransmit.

Response Code: 405
Narrative Text: INT CONS CNTCT LAST NAME NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Contact Last Name
X.12 Segment ID/Data Elements: Will not occur in X.12
Reason: The Party Type is I for Intermediate Consignee and the Contact Last Name is not reported as spaces.
Resolution: The Contact Last Name cannot be reported in an EEI for the intermediate consignee in a ‘party ID only’ declaration. Verify, correct and retransmit.
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Response Code: 406
Narrative Text: INT CONS CNTCT 1ST NAME NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Contact First Name
X.12 Segment ID/Data Elements: N201, positions 1-13; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee and the Contact First Name is not reported as spaces.
Resolution: The Contact First Name cannot be reported in an EEI for the intermediate consignee in a ‘party ID only’ declaration. Verify, correct and retransmit.

Response Code: 407
Narrative Text: FRWD AGT DATA ON FILE INCOMPLETE; RESEND
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID, Party ID Type; N02 Record; N03 Record
X.12 Segment ID/Data Elements: N1 loop; when N101 = FW
Reason: The Party ID is F for Forwarding Agent and the forwarding agent previously established in AES contains missing or invalid data.
Resolution: The forwarding agent is allowed to report an EEI using the ‘Party ID only’ reporting feature once a filer profile has been established in AES with the first transmission. However, when the Forwarding Agent profile was originally established in AES, certain data fields were missing or invalid. Re-send a complete N01-N03 record grouping to re-establish the forwarding agent. For further assistance, contact your client representative.

Response Code: 408
Narrative Text: FWRD AGT PARTY ID REPORTED W/O TYPE
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type, Party ID, Party ID Type
X.12 Segment ID/Data Elements: N103; when N101 = FW
Reason: The Party Type is F for Forwarding Agent, a DEC claim has been made, and the complete N01-N03 set has been submitted. The Party ID is reported and the Party ID Type is reported as spaces.
Resolution: The type of forwarding agent number must be declared on an EEI when a DEC claim has been made and the Party ID has been specified. The Party ID Type cannot be spaces. Verify the Party ID Type, correct and retransmit.

Response Code: 409
Narrative Text: FWRD AGT ADDR 2 REPORTED W/O ADDR 1
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N02 Record/Address Line 1, Address Line 2
X.12 Segment ID/Data Elements: N300; N302; when N101 = FW
Reason: The Party Type is F for Forwarding Agent and Address Line 2 is reported without Address Line 1 (i.e., Address Line 1 is spaces).
Resolution: The forwarding agent Address Line 2 cannot be reported without Address Line 1. Verify the forwarding agent Address Line 1 and Address Line 2, correct and retransmit.
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Response Code: 410
Narrative Text: ULT CONS POSTAL CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code, State Code, Postal Code
X.12 Segment ID/Data Elements: N403; when N101 = IC
Reason: The Party Type is C for Ultimate Consignee, the Country Code is US, the State Code is reported but the Postal Code is missing (i.e., reported as spaces).
Resolution: The Postal Code for the Ultimate Consignee must be declared when complete ultimate consignee reporting. Verify the Postal Code, correct and retransmit.

Response Code: 411
Narrative Text: INT CONS POSTAL CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code, State Code, Postal Code
X.12 Segment ID/Data Elements: N403; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee, the Country Code is US and the State Code is reported but the Postal Code is missing (i.e., reported as spaces).
Resolution: The Postal Code for the intermediate consignee must be reported when complete intermediate consignee reporting. Verify the Postal Code, correct and retransmit.

Response Code: 412
Narrative Text: INT CONS STATE MUST BE A MX STATE CD
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party Type; Input N03 Record/Country Code, State Code
X.12 Segment ID/Data Elements: N404; when N101 = IC
Reason: The Party Type is I for Intermediate Consignee, the Country Code is MX and the intermediate consignee State Code is present, yet is not a valid two-character Mexican State Code.
Resolution: The state where the intermediate Consignee resides may be declared in an EEI when complete intermediate consignee reported. If MX (Mexico) is reported as the country where the intermediate consignee resides, no state code is required. However, if one is reported, the state must be a valid MX state. Verify the Mexican state code, correct and retransmit.

Response Code: 413
Narrative Text: USPPI POSTAL CODE NOT IN EFFECT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimate Date of Export; Input N01 Record/Party Type; Input N03 Record/State Code, Postal Code
X.12 Segment ID/Data Elements: DTM02; N403; when N101=EX
Reason: The postal code declared on the USPPI’s address is not valid (not within the effective period for the postal code) compared to the reported estimated date of export.
Resolution: From time to time, the USPS establishes new postal codes or removes other previously acceptable postal codes from their files. AES compares the estimated date of export to the effective period for the postal code declared for the USPPI. If the postal code is not within the effective period compared to the date of export, this fatal condition results. Consult the USPS for a valid postal code, correct and retransmit.
APPENDIX A – Commodity Filing Response Messages

Response Code: 414
Narrative Text: USPPI POSTAL CODE NOT IN EFFECT
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/Estimate Date of Export; Input N01 Record/Party Type; Input N03 Record/State Code, Postal Code
X.12 Segment ID/Data Elements: DTM02; N403; when N101=EX
Reason: The filer is correcting or replacing a EEI already established in AES. However, the postal code declared on the USPPI’s address was not in effect at the date of export.
Resolution: From time to time, the USPS establishes new postal codes or removes other previously acceptable postal codes from their files. AES compares the estimated date of export to the effective period for the postal code declared for the USPPI. In this case, the postal code reported was not in effect at the declared date of export. Consult the USPS for a valid postal code, correct and retransmit. For further assistance, contact your client representative.

Response Code: 415
Narrative Text: FWRD AGT POSTAL CODE NOT IN EFFECT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimate Date of Export; Input N01 Record/Party Type; Input N03 Record/State Code, Postal Code
X.12 Segment ID/Data Elements: DTM02; N403; when N101=FW
Reason: The postal code declared on the forwarding agent’s address is not valid (not within the effective period for the postal code) compared to the reported estimated date of export.
Resolution: From time to time, the USPS establishes new postal codes or removes other previously acceptable postal codes from their files. AES compares the estimated date of export to the effective period for the postal code declared for the forwarding agent. If the postal code is not within the effective period compared to the date of export, this fatal condition results. Consult the USPS for a valid postal code, correct and retransmit.

Response Code: 416
Narrative Text: FWRD AGT POSTAL CODE NOT IN EFFECT
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/Estimate Date of Export; Input N01 Record/Party Type; Input N03 Record/State Code, Postal Code
X.12 Segment ID/Data Elements: DTM02; N403; when N101=FW
Reason: The filer is correcting or replacing a EEI already established in AES. However, the postal code declared on the forwarding agent’s address was not in effect at the date of export.
Resolution: From time to time, the USPS establishes new postal codes or removes other previously acceptable postal codes from their files. AES compares the estimated date of export to the effective period for the postal code declared for the forwarding agent. In this case, the postal code reported was not in effect at the declared date of export. Consult the USPS for a valid postal code, correct and retransmit. For further assistance, contact your client representative.
APPENDIX A – Commodity Filing Response Messages

Response Code: 417
Narrative Text: ULT CONS POSTAL CODE NOT IN EFFECT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimate Date of Export; Input N01 Record/Party Type; Input N03 Record/State Code, Postal Code
X.12 Segment ID/Data Elements: DTM02; N403; when N101=CN
Reason: The postal code declared on the ultimate consignee’s address is not valid (not within the effective period for the postal code) compared to the reported estimated date of export.
Resolution: From time to time, the USPS establishes new postal codes or removes other previously acceptable postal codes from their files. AES compares the estimated date of export to the effective period for the postal code declared for the ultimate consignee. If the postal code is not within the effective period compared to the date of export, this fatal condition results. Consult the USPS for a valid postal code, correct and retransmit.

Response Code: 418
Narrative Text: ULT CONS POSTAL CODE NOT IN EFFECT
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/Estimate Date of Export; Input N01 Record/Party Type; Input N03 Record/State Code, Postal Code
X.12 Segment ID/Data Elements: DTM02; N403; when N101=CN
Reason: The filer is correcting or replacing a EEI already established in AES. However, the postal code declared on the ultimate consignee’s address was not in effect at the date of export.
Resolution: From time to time, the USPS establishes new postal codes or removes other previously acceptable postal codes from their files. AES compares the estimated date of export to the effective period for the postal code declared for the ultimate consignee. In this case, the postal code reported was not in effect at the declared date of export. Consult the USPS for a valid postal code, correct and retransmit. For further assistance, contact your client representative.

Response Code: 419
Narrative Text: INT CONS POSTAL CODE NOT IN EFFECT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimate Date of Export; Input N01 Record/Party Type; Input N03 Record/State Code, Postal Code
X.12 Segment ID/Data Elements: DTM02; N403; when N101=IC
Reason: The postal code declared on the intermediate consignee’s address is not valid (not within the effective period for the postal code) compared to the reported estimated date of export.
Resolution: From time to time, the USPS establishes new postal codes or removes other previously acceptable postal codes from their files. AES compares the estimated date of export to the effective period for the postal code declared for the ultimate consignee. If the postal code is not within the effective period compared to the date of export, this fatal condition results. Consult the USPS for a valid postal code, correct and retransmit.

Response Code: 420
Narrative Text: INT CONS POSTAL CODE NOT IN EFFECT
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/Estimate Date of Export; Input N01 Record/Party Type; Input N03 Record/State Code, Postal Code
X.12 Segment ID/Data Elements: DTM02; N403; when N101=IC
Reason: The filer is correcting or replacing a EEI already established in AES. However, the postal code declared on the intermediate consignee’s address was not in effect at the date of export.
Resolution: From time to time, the USPS establishes new postal codes or removes other previously acceptable postal codes from their files. AES compares the estimated date of export to the effective period for the postal code declared for the USPPI. If the postal code is not within the effective period compared to the date of export, this fatal condition results. Consult the USPS for a valid postal code, correct and retransmit.
APPENDIX A – Commodity Filing Response Messages

the effective period for the postal code declared for the intermediate consignee. In this case, the postal code reported was not in effect at the declared date of export. Consult the USPS for a valid postal code, correct and retransmit. For further assistance, contact your client representative.

Response Code: 421
Narrative Text: ULT CONS DATA ON FILE INCOMPLETE; RESEND
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party ID, Party ID Type, Party Type
Input N02 Record; Input N03
X.12 Segment ID/Data Elements: N1; N2; N3; N4 when N101= CN
Reason: The Ultimate Consignee data associated to the reported Party ID, Party ID Type and Party Type has been marked as incomplete because of missing or invalid party information on file.
Resolution: A complete ultimate consignee party grouping is required to declare or correct the previously established ultimate consignee. The AESTIR Commodity Shipment Filing Usage Notes h) Party Reporting / Ultimate Consignee page 1a-53 provides details on reporting full Ultimate Consignee information.

Response Code: 422
Narrative Text: INT CONS DATA ON FILE INCOMPLETE; RESEND
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party ID, Party ID Type, Party Type;
Input N02 Record; Input N03
X.12 Segment ID/Data Elements: N1; N2; N3; N4 when N101=IC
Reason: The Intermediate Consignee data associated to the reported Party ID, Party ID Type and Party Type has been marked as incomplete because of missing or invalid party information on file.
Resolution: A complete intermediate consignee party grouping is required to declare or correct the previously established ultimate consignee. The AESTIR Commodity Shipment Filing Usage Notes h) Party Reporting / Intermediate Consignee page 1a-57 provides details on reporting full Intermediate Consignee information.

Response Code: 423
Narrative Text: USPPI IRS ID MUST BE NUMERIC OR APID
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/USPPI IRS Number, USPPI ID Type
X.12 Segment ID/Data Elements: BA109; when N103 = 1 and N101 = EX
Reason: The USPPI IRS ID reported in the N03 record contains non-numeric values and is NOT a legitimate AES Party Identifier (APID)
Resolution: The USPPI IRS ID must be reported as a legitimate 9-position AES Party ID (APID) when USPPI IRS ID Type reported as A. Verify the USPPI IRS ID, correct the N03 record and retransmit.

Response Code: 424
Narrative Text: USPPI IRS ID (APID) UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input N03 Record/USPPI IRS Number, USPPI ID Type
X.12 Segment ID/Data Elements: BA109; when N103 = 1 and N101 = EX
Reason: The USPPI IRS ID Type is reported as an APID (AES Party Identifier), yet the USPPI IRS ID reported in the N03 record contains an illegitimate APID.
Resolution: The USPPI IRS ID Type must be reported as a legitimate 9-position AES Party ID (APID) when the USPPI IRS ID Type is reported as A. Verify the USPPI IRS ID, correct the N03 record and retransmit.
APPENDIX A – Commodity Filing Response Messages

Response Code: 425
Narrative Text: FWRD AGT PARTY ID MUST BE NUMERIC OR APID
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party ID, Party ID Type
X.12 Segment ID/Data Elements: N104; when N101 = FW
Reason: The Party ID reported in the N01 record contains non-numeric values and is not a legitimate APID (AES Party Identifier).
Resolution: The Party ID must be reported as 9 numeric digits when Party ID Type reported as E or D. The Party ID must be reported as a legitimate 9-position AES Party Identifier (APID) when Party ID Type reported as A. Verify the Party ID, correct the N01 record and retransmit.

Response Code: 426
Narrative Text: FWRD AGT PARTY ID (APID) UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input N01 Record/Party ID Type
X.12 Segment ID/Data Elements: N104; when N101 = FW
Reason: The Party ID Type is reported as an APID (AES Party Identifier), yet the Party ID reported in the N01 record contains an illegitimate APID.
Resolution: The Party ID must be reported as a legitimate 9-position AES Party ID (APID) when Party ID Type is reported as A. Verify the Party ID, correct the N01 record and retransmit.

Response Codes 427 – 499  <Reserved>

Commodity Line Item Reporting Conditions

Response Code: 5C1
Narrative Text: DDTC LICENSE SUSPENDED FOR COUNTRY
Severity: FATAL
Proprietary Record ID/Data Elements: SC1 Country of Ultimate Destination Code
X.12 Segment ID/Data Elements: BA104
Reason: The country in question has been requested to be put on an internal edit processing table and this country is currently not allowed DDTC license shipments.
Resolution: DDTC shipments not allowed to this country at the current time.

Response Code: 500
Narrative Text: LINE ITEM COMMODITY DETAIL MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1/Shipmen Filing Action Request Indicator; Input CL1-CL2 Record Grouping
X.12 Segment ID/Data Elements: N/A
Reason: The Line Filing Action Request Indicator on the CL1 record is Add or Change and the Line Item Commodity Detail CL2 record is missing.
Resolution: The commodity line item grouping specifies a single commodity as reported for the shipment. There must be one Line Item Commodity Detail CL2 record transmitted when the Line Action Request Indicator is Add or Change. Verify, correct and retransmit. For further assistance, contact your client representative.
APPENDIX A – Commodity Filing Response Messages

Response Code: 501
Narrative Text: EXPORT INFO CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code
X.12 Segment ID/Data Elements: L1313
Reason: The Export Information Code is missing (i.e., reported as spaces).
Resolution: An Export Information Code must be declared on every EEI. Verify the Export Information Code, correct and retransmit.

Response Code: 502
Narrative Text: EXPORT INFO CODE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code
X.12 Segment ID/Data Elements: L1313
Reason: The Export Information Code declared on the CL1 record is not a known value in AES.

Response Code: 503
Narrative Text: LICENSE CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code
X.12 Segment ID/Data Elements: X107
Reason: The License Code is missing (i.e., reported as spaces).
Resolution: The License Code/License Exemption Code must be reported on every EEI. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. Verify the License Code/License Exemption Code, correct and retransmit.

Response Code: 504
Narrative Text: LICENSE CODE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code
X.12 Segment ID/Data Elements: X107
Reason: The License Code reported is not a known value in AES.
Resolution: The License Code/License Exemption Code must be reported on every EEI. Valid License Codes reportable in AES are contained in ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. Verify the License Code/License Exemption Code, correct and retransmit.

Response Code: 505
Narrative Text: VALUE OF GOODS CONTAINS NON-NUMERIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Value of Goods
X.12 Segment ID/Data Elements: Will not occur in X.12
Reason: The Value of Goods reported in the CL2 record contains non-numerics or spaces.
APPENDIX A – Commodity Filing Response Messages

Response Code: 506
Narrative Text: SHPING WGT CONTAINS NON-NUMERICS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Shipping Weight
X.12 Segment ID/Data Elements: Will not occur in X.12
Reason: The Shipping Weight reported in the CL2 record contains non-numeric or spaces.
Resolution: The Shipping Weight must conditionally be declared on an EEI as numeric. When reported, the gross weight must be kilograms. Right justify and zero fill unused positions. Zero fill if not required. Verify, correct and retransmit.

Response Code: 507
Narrative Text: QUANTITY (1) CONTAINS NON-NUMERICS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Quantity (1)
X.12 Segment ID/Data Elements: Will not occur in X.12
Reason: The Quantity (1) reported in the CL2 record contains non-numeric or spaces.
Resolution: The Quantity (1) must conditionally be declared on an EEI. When reported, the Quantity (1) must be whole numbers, right justified and zero fill unused positions. Zero fill if not required. Verify, correct and retransmit

Response Code: 508
Narrative Text: QUANTITY (2) CONTAINS NON-NUMERICS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Quantity (2)
X.12 Segment ID/Data Elements: Will not occur in X.12
Reason: The Quantity (2) reported in the CL2 record contains non-numeric or spaces.
Resolution: The Quantity (2) must conditionally be declared on an EEI. When reported, the Quantity (2) must be whole numbers, right justified and zero fill unused positions. Zero fill if not required. Verify, correct and retransmit

Response Code: 509
Narrative Text: DDTC QUANTITY CONTAINS NON-NUMERICS
Severity: FATAL
Proprietary Record ID/Data Elements: Input ODT Record/DDTC Quantity
X.12 Segment ID/Data Elements: X110
Reason: The DDTC Quantity reported on the ODT record contains non-numeric or spaces.
Resolution: The DDTC Quantity must conditionally be reported on an EEI. When reported, the DDTC Quantity must be numeric, right justified and zero filled. Verify, correct and retransmit.

Response Code: 510
Narrative Text: EIC CANNOT BE CLAIMED WITH LICENSE CODE
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code, License Code/License Exemption Code
X.12 Segment ID/Data Elements: L1313; X107
Reason: The Export Information Code is not one associated with the License Code/License Exemption reported.
Resolution: The Export Information Code must be compatible with the reported License Code/License Exemption. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’ to determine the appropriate Export Information Code/License Code/License Exemption Code combination. Verify, correct and retransmit. For further assistance, contact your client representative.
APPENDIX A – Commodity Filing Response Messages

Response Code: 511
Narrative Text: MOT NOT ALLOWED FOR LICENSE CODE
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL1 Record/License Code/License Exemption Code
X.12 Segment ID/Data Elements: BA103; X107
Reason: The Mode of Transportation reported on the SC1 record is not one associated with the License Code/License Code Exemption Code reported.
Resolution: The Mode of Transportation Code must be compatible with the reported License Code/License Exemption. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’ to determine the appropriate Mode of Transportation Code/License Code/License Exemption Code combination. Verify, correct and retransmit. For further assistance, contact your client representative.

Response Code: 512
Narrative Text: ECCN MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export Control Classification Number (ECCN)
X.12 Segment ID/Data Elements: X116
Reason: The License Code/License Exemption Code declared is one that requires the Export Control Classification Code to be reported and the ECCN is missing (i.e., reported as spaces).
Resolution: The License Code/License Exemption Code conditionally requires the reporting of an ECCN. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’ to determine the License Code/License Exemption Code requirement related to ECCN. Verify, correct and retransmit. For further assistance, contact your client representative.

Response Code: 513
Narrative Text: ECCN CANNOT BE REPORTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export Control Classification Number (ECCN)
X.12 Segment ID/Data Elements: X116
Reason: The License Code/License Exemption Code declared is one that does not allow the Export Control Classification Code to be reported and the ECCN is reported not spaces.
Resolution: The License Code/License Exemption Code conditionally disallows the reporting of an ECCN. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’ to determine the License Code/License Exemption Code requirement related to ECCN. Verify, correct and retransmit. For further assistance, contact your client representative.

Response Code: 514
Narrative Text: EAR99 ECCN REQUIRED FOR LICENSE CODE
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export Control Classification Number (ECCN)
X.12 Segment ID/Data Elements: X116
Reason: The License Code/License Exemption Code declared is one that requires the Export Control Classification Code to be reported as ‘EAR99’ and the ECCN is reported not reported as ‘EAR99’.
Resolution: The License Code/License Exemption Code conditionally requires the reporting of ‘EAR99’ as the ECCN. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’ to determine the License Code/License Exemption Code requirement
related to ECCN. Verify, correct and retransmit. For further assistance, contact your client representative.

Response Code: 515
Narrative Text: ECCN MUST BE FORMATTED NANNN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Export Control Classification Number (ECCN)
X.12 Segment ID/Data Elements: X116
Reason: The Export Control Classification Number is not properly formatted.
Resolution: When reported as other than EAR99, the Export Control Classification Number must be formatted as NANNN where N = numeric and A = Alpha. Verify the ECCN, correct and retransmit.

Response Code: 516
Narrative Text: COMMODITY DESCRIPTION MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Commodity Description
X.12 Segment ID/Data Elements: L1312
Reason: The Commodity Description is missing (i.e., reported as spaces).
Resolution: The Commodity Description must conditionally be declared on every EEI. Verify the Commodity Description, correct and retransmit.

Response Code: 517
Narrative Text: COMMODITY DESC CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Commodity Description
X.12 Segment ID/Data Elements: L1312
Reason: The Commodity Description contains leading spaces.
Resolution: The Commodity Description must be conditionally reported on every EEI and cannot contain leading spaces (i.e., must be left justified). Verify the Commodity Description, correct and retransmit.

Response Code: 518
Narrative Text: COMMODITY DESCRIPTION INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Commodity Description
X.12 Segment ID/Data Elements: L1312
Reason: The Commodity Description contains an ineligible value in AES,
Resolution: The Commodity Description must be conditionally reported on every EEI and cannot contain an ineligible value identified in AES, including certain specific descriptions (i.e., FAK, Freight of All Kinds, etc.). Verify the Commodity Description, correct and retransmit. For further assistance, contact your client representative.

Response Code: 519
Narrative Text: SHP WGT EXCEEDS MAX VESSEL THRESHOLD
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL2 Record/Shipping Weight
X.12 Segment ID/Data Elements: BA103; L1310; L1311
Reason: The Mode of Transportation is declared as Vessel and the Shipping Weight reported for the shipment exceeds the maximum threshold allowed for a vessel shipment.
Resolution: The maximum Shipping Weight allowed for a vessel shipment is 200,000,000 kilograms. Check for possible input keying errors, correct and retransmit. For further assistance, contact your client representative.

Response Code: 520
Narrative Text: SHP WGT EXCEEDS MAX TRUCK THRESHOLD
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL2 Record/Shipping Weight
X.12 Segment ID/Data Elements: BA103; L1310; L1311
Reason: The Mode of Transportation is declared as Truck and the Shipping Weight reported for the shipment exceeds the maximum threshold allowed for a truck shipment.
Resolution: The maximum Shipping Weight allowed for a truck shipment is 25,000,000 kilograms. Check for possible input keying errors, correct and retransmit. For further assistance, contact your client representative.

Response Code: 521
Narrative Text: SHP WGT EXCEEDS MAX RAIL THRESHOLD
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL2 Record/Shipping Weight
X.12 Segment ID/Data Elements: BA103; L1310; L1311
Reason: The Mode of Transportation is declared as Rail and the Shipping Weight reported for the shipment exceeds the maximum threshold allowed for a rail shipment.
Resolution: The maximum Shipping Weight allowed for a rail shipment is 30,000,000 kilograms. Check for possible input keying errors, correct and retransmit. For further assistance, contact your client representative.

Response Code: 522
Narrative Text: SHG WGT EXCEEDS MAX AIR THRESHOLD
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL2 Record/Shipping Weight
X.12 Segment ID/Data Elements: BA103; L1310; L1311
Reason: The Mode of Transportation is declared as AIR and the Shipping Weight reported for the shipment exceeds the maximum threshold allowed for an air shipment.
Resolution: The maximum Shipping Weight allowed for an air shipment is 150,000 kilograms (for other than fly-away aircraft). Check for possible input keying errors, correct and retransmit. For further assistance, contact your client representative.

Response Code: 523
Narrative Text: SCHED B/HTS NBR NOT ALLOWED FOR HH GDS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code; Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: L1301; L1302; L1313
Reason: The Export Information Code is HH for household goods and the Schedule B/HTS Number is not spaces.
Resolution: The Schedule B/HTS Number is not allowed to be reported when the Export Information Code is HH identifying the shipment as household goods. Verify the Export Information Code and Schedule B/HTS Number combination, correct and retransmit.
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Response Code: 524
Narrative Text: 1ST UOM CODE NOT ALLOWED FOR HH GDS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code; Input CL2 Record/Unit Of Measure (1)
X.12 Segment ID/Data Elements: L1303; L1313
Reason: The Export Information Code is HH for household goods and the Unit of Measure (1) is not spaces.
Resolution: The Unit of Measure (1) is not allowed to be reported when the Export Information Code is HH identifying the shipment as household goods. Verify the Export Information Code and Unit of Measure (1) combination, correct and retransmit.

Response Code: 525
Narrative Text: 2ND UOM CODE NOT ALLOWED FOR HH GDS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code; Input CL2 Record/ Unit of Measure (2)
X.12 Segment ID/Data Elements: L1303; L1313
Reason: The Export Information Code is HH for household goods and the Unit of Measure (2) is not spaces.
Resolution: The Unit of Measure (2) is not allowed to be reported when the Export Information Code is HH identifying the shipment as household goods. Verify the Export Information Code and Unit of Measure (2) combination, correct and retransmit.

Response Code: 526
Narrative Text: QUANTITY 1 NOT ALLOWED FOR HH GDS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code; Input CL2 Record/Quantity (1)
X.12 Segment ID/Data Elements: L1304; L1313
Reason: The Export Information Code is HH for household goods and the Quantity (1) is not zeros.
Resolution: The Quantity (1) is not allowed to be reported when the Export Information Code is HH identifying the shipment as household goods. Verify the Export Information Code and Quantity (1) combination, correct and retransmit.

Response Code: 527
Narrative Text: QUANTITY 2 NOT ALLOWED FOR HH GDS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code; Input CL2 Record/Quantity (2)
X.12 Segment ID/Data Elements: L1309; L1313
Reason: The Export Information Code is HH for household goods and the Quantity (2) is not zeros.
Resolution: The Quantity (2) is not allowed to be reported when the Export Information Code is HH identifying the shipment as household goods. Verify the Export Information Code and Quantity (2) combination, correct and retransmit.
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Response Code: 528
Narrative Text: VALUE OF GDS MUST BE GT ZERO
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code, License Code/License Exemption Code; Input CL2 Record/Value of Goods
X.12 Segment ID/Data Elements: L1305; L1306; L1313
Reason: The Value of Goods is reported as ‘zero’ and the Export Information Code is declared as ‘HH’ (Household Goods) or the Value of Goods is reported as ‘zero’ and the License Code/License Exemption Code is not ‘S05’.
Resolution: The U.S. dollar value must be declared on an EEI commodity line when the Export Information Code is ‘HH’ (Household Goods). The U.S. dollar value must be declared on an EEI commodity line when the License code/License Exemption Code is other than ‘S05’ (Permanent Export of Munitions). Technical documentation reported under ‘S05’ may be declared as zero. Verify the Export Information Code and/or the License Code/License Exemption Code and the Value of Goods, correct and retransmit. For further assistance, contact your client representative.

Response Code: 529
Narrative Text: SHPING WGT MUST BE GT ZERO FOR HH GDS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code; Input CL2 Record/Shipping Weight
X.12 Segment ID/Data Elements: L1310; L1311; L1313
Reason: The Export Information Code is HH for household goods and the Shipping Weight is missing (i.e., reported as zeros).
Resolution: The Shipping Weight must be reported regardless of Mode of Transportation when the Export Information Code is HH identifying the shipment as household goods. Verify the Export Information Code and the Shipping Weight, correct and retransmit. For further assistance, contact your client representative.

Response Code: 530
Narrative Text: VALUE OF GDS CANNOT EXCEED $499,999,999
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Value of Goods
X.12 Segment ID/Data Elements: L1301; L1302; L1310; L1311
Reason: The Schedule B/HTS Number is not one found in Chapter 88 and the Value of Goods is $500,000,000 or greater.
Resolution: The Value of Goods cannot be greater than $499,999,999 for any one commodity line item unless the Schedule B/HTS Number is in Chapter 88. The Value of Goods must be reported in whole US dollars only. Verify the Value of Goods, correct and retransmit. For further assistance, contact your client representative.

Response Code: 531
Narrative Text: FOR/DOM ORIGIN IND NOT ALLOWED FOR HH GDS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code, Domestic/Foreign Indicator
X.12 Segment ID/Data Elements: X109; L1313
Reason: The Export Information Code is HH for household goods and the Domestic/Foreign Indicator is not space.
Resolution: The Domestic/Foreign Indicator is not allowed to be reported when the Export Information Code is HH for household goods. Verify, correct and retransmit.
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Response Code: 532
Narrative Text: FLY-AWAY AIRCRAFT NOT ALLOWED FOR HH GDS
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Carrier ID (SCAC/IATA); Input CL1 Record/Export Information Code; Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: BA110; L1313
Reason: The Export Information Code is HH for household goods and the Carrier ID (SCAC/IATA) identifies the shipment as a fly-away aircraft.
Resolution: The Export Information Code HH for household goods is not allowed to be reported when the Carrier ID (SCAC/IATA) is ‘***’ or ‘99O’ for fly-away aircraft. Verify, correct and retransmit.

Response Code: 533
Narrative Text: FOR/DOM ORIGIN IND MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Domestic/Foreign Indicator
X.12 Segment ID/Data Elements: X109
Reason: The Domestic/Foreign Indicator is missing (i.e., reported as spaces).
Resolution: The Domestic/Foreign Indicator must conditionally be reported on an EEI. Verify the origin of the goods, correct and retransmit. For further assistance, contact your client representative.

Response Code: 534
Narrative Text: FOR/DOM ORIGIN IND MUST BE F OR D
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Domestic/Foreign Indicator
X.12 Segment ID/Data Elements: X109
Reason: The Domestic/Foreign Indicator is declared as other than Foreign or Domestic.
Resolution: The Domestic/Foreign Indicator must conditionally be reported on an EEI as either Foreign origin or Domestic origin. Verify the origin of the goods, correct and retransmit. For further assistance, contact your client representative.

Response Code: 535
Narrative Text: NAFTA DEFERRED DUTY REQS ENTRY NBR
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code; Input SC2 Record/Entry Number
X.12 Segment ID/Data Elements: M1202; L1313
Reason: The Export Information Code is reported as ZD and the Entry Number is missing (i.e., reported as spaces).
Resolution: The Entry Number must be declared when the NAFTA Deferred Duty claim is made using Export Information Code ZD. Verify, correct and retransmit.

Response Code: 536
Narrative Text: NAFTA DEFERRED DUTY REQS FTZ NBR
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code; Input SC2 Record/Foreign Trade Zone Identifier
X.12 Segment ID/Data Elements: M1203; L1313
Reason: The Export Information Code is reported as ZD and the Foreign Trade Zone Identifier is missing (i.e., reported as spaces).
Resolution: The Foreign Trade Zone Indicator must be declared when the NAFTA Deferred Duty claim is made using Export Information Code ZD. Verify, correct and retransmit.
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Response Code: 537
Narrative Text: NAFTA DEFERRED DUTY REQS CNTRY CA OR MX
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code; Input SC1 Record/Country of Ultimate Destination Code
X.12 Segment ID/Data Elements: BA104; L1313
Reason: The Export Information Code is reported as ZD and the Country of Ultimate Destination Code is not CA (Canada) or MX (Mexico).
Resolution: The NAFTA Deferred Duty claim made using Export Information Code ZD can only be declared when the Country of Ultimate Destination is Canada or Mexico. Verify the Export Information Code and Country of Ultimate Destination Code combination, correct and retransmit.

Response Code: 538
Narrative Text: SHPING WGT MUST BE GT ZERO FOR MOT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL2 Record/Shipping Weight
X.12 Segment ID/Data Elements: BA103; L1310; L1311
Reason: The Mode of Transportation Code is one that identifies a Vessel, Rail, Truck or Air shipment and the Shipping Weight is missing (i.e., reported as zeros).
Resolution: When the Mode of Transportation is Vessel, Rail, Truck or Air, a Shipping Weight must be declared. Verify the Mode of Transportation and Shipping Weight, correct and retransmit.

Response Code: 539
Narrative Text: SHPING WGT MUST BE ZERO FOR MOT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL1 Record/Export Information Code; Input CL2 Record/Shipping Weight
X.12 Segment ID/Data Elements: BA103; L1310; L1311
Reason: The Mode of Transportation Code is not Vessel, Rail, Truck or Air and the Shipping Weight is not reported as zeros.
Resolution: When the Mode of Transportation is other than Vessel, Rail, Truck or Air, and the Export Information Code is not HH for household good, the Shipping Weight is not allowed to be reported (i.e., must be zeros). Verify the Mode of Transportation and Shipping Weight, correct and retransmit.

Response Code: 540
Narrative Text: VALUE OF GDS CANNOT EXCEED $999,999,999
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Value of Goods
X.12 Segment ID/Data Elements: L1301; L1302; L1305; L1306
Reason: The Schedule B/HTS Number is in Chapter 88 and the Value of Goods reported is greater than $999,999,999.
Resolution: The Value of Goods cannot exceed the maximum threshold of $999,999,999 for any one commodity line item regardless of the Schedule B/HTS Number. The Value of Goods must be reported in whole US dollars only. Verify the Value of Goods, correct and retransmit. For further assistance, contact your client representative.
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Response Code: 541
Narrative Text: DDTC DATA NOT ALLOWED FOR NON-DDTC CLAIM
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record
X.12 Segment ID/Data Elements: X1 segment
Reason: The License Code/License Exemption Code declared does not indicate a State Department shipment.
Resolution: An ODT record can only be filed when the License Code/License Code Exemption reported on the CL1 record is SAG, SCA, S00, S05, S61, S73, S85, or S94. Verify the License Code/License Exemption Code, correct and retransmit. For further assistance, contact your client representative or the licensing agency. The Department of State/Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 542
Narrative Text: LICENSABLE SHPMT NOT PERMITD/OPT 4 USSPI
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Filing Option Indicator; Input CL1 Record/License Code/License Exemption Code
X.12 Segment ID/Data Elements: YNQ01; YNQ02; X107
Reason: The Filing Option Indicator is declared as 4 and the shipment requires an export license or license exemption to export.
Resolution: An Post Departure filer is not permitted to file licensable shipments. Verify the Filing Option Indicator and the License Code/License Exemption Code combination, correct and retransmit. For further assistance, contact your client representative.

Response Code: 543
Narrative Text: LICENSABLE SHPMT NOT PERMITD/DEC FILER
Severity: FATAL
Proprietary Record ID/Data Elements: Input A Record/Data Entry Center Indicator; Input CL1 Record/License Code/License Exemption Code
X.12 Segment ID/Data Elements: BA107; X107
Reason: The Data Entry Center Indicator on the A record is declared as Yes and the shipment requires an export license or license exemption to export.
Resolution: A Data Entry Center is not permitted to file licensable shipments. Verify, correct and retransmit. For further assistance, contact your client representative.

Response Code: 544
Narrative Text: BIS LICENSE NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code declared on the CL1 record indicates a Bureau of Industry and Security (BIS) license number is required and the license number is missing.
Resolution: The License Number must be reported when a BIS licensable shipment claim is made. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. Verify the BIS license number, correct and retransmit. For further assistance, contact the licensing agency. The Department of Commerce/Bureau of Industry and Security/Office of Technology Evaluation can be reached at 202-482-4933.
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Response Code: 545
Narrative Text: BIS LICENSE NBR UNKNOWN OR INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code declared on the CL1 record indicates a Bureau of Industry and Security (BIS) license number is required and the license number reported is not a known valid value in AES.
Resolution: The License Number must be reported for a BIS licensable shipment. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. The BIS License Number must be one that is valid in AES. Verify the BIS license number, correct and retransmit. For further assistance, contact the licensing agency. The Department of Commerce/Bureau of Industry and Security/Office of Technology Evaluation can be reached at 202-482-4933.

Response Code: 546
Narrative Text: BIS LICENSE NO LONGER ACTIVE
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export; Input CL1 Record/License Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107; DTM01; DTM02
Reason: The License Code/License Exemption Code declared on the CL1 record indicates a Bureau of Industry and Security (BIS) license number is required and the license number reported is not active in AES at the time of export.
Resolution: The License Number must be reported for a BIS licensable shipment. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. The BIS License Number must be one that is active in AES at the time of export. Verify the BIS license and date of export, correct and retransmit. For further assistance, contact the licensing agency. The Department of Commerce/Bureau of Industry and Security/Office of Technology Evaluation can be reached at 202-482-4933.

Response Code: 547
Narrative Text: BIS EXEMPTION CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code
X.12 Segment ID/Data Elements: X107
Reason: The Bureau of Industry and Security (BIS) exemption code is missing (i.e., reported as spaces).
Resolution: Every EEI must conditionally have a License Code/License Exemption Code declared that identifies the type of license, exemption, permit or other authorization to export even if no license is required. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. Verify the BIS code, correct and retransmit. For further assistance, contact the licensing agency. The Department of Commerce/Bureau of Industry and Security/Office of Technology Evaluation can be reached at 202-482-4933.
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Response Code: 548
Narrative Text: BIS EXEMPTION CODE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code
X.12 Segment ID/Data Elements: X107
Reason: The Bureau of Industry and Security (BIS) exemption code declared is not a known value in AES.
Resolution: Every EEI must conditionally have a License Code/License Exemption Code declared that identifies the type of license, exemption, permit or other authorization to export even if no license is required. The License Code/License Exemption Code must be valid in AES. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. Verify the BIS exemption code, correct and retransmit. For further assistance, contact the licensing agency. The Department of Commerce/Bureau of Industry and Security/Office of Technology Evaluation can be reached at 202-482-4933.

Response Code: 549
Narrative Text: BIS LICENSE NBR NOT VALID FOR LICENSE CODE
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The BIS License Number reported on the CL1 record is not a valid BIS License Number for the reported BIS License Code.
Resolution: The BIS License Number must be reported for a BIS licensable shipment. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. The BIS License Number must be one that is valid for the reported BIS License Code. Verify the BIS License Number and Code, correct and retransmit. For further assistance, contact the licensing agency. The Department of Commerce/Bureau of Industry and Security/Office of Technology Evaluation can be reached at 202-482-4933.

EPA
Response Code: 55A
Narrative Text: EPA CONSENT NUMBER MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/PGA Text EPA Consent Number
X.12 Segment ID/Data Elements: MAN segment/MAN03 EPA Consent Number
Reason: The required EPA Consent Number is missing in the PGA record.
Resolution: The EPA Consent Number must be specified in the required format with no leading, trailing or embedded spaces. Correct the PGA record and retransmit.

Response Code: 55C
Narrative Text: EPA CONSENT NUMBER NOT FOUND
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/PGA Text EPA Consent Number
X.12 Segment ID/Data Elements: MAN segment/MAN03 EPA Consent Number
Reason: The EPA Consent Number reported was not a valid EPA Consent Number in the EPA Reference file.
Resolution: The EPA Consent Number reported must be a valid EPA Consent Number in the EPA Reference file.
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Response Code: 55D
Narrative Text: RCRA HW MANIFEST TRACKING NUMBER MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/ PGA Text RCRA HW Manifest Tracking Number
X.12 Segment ID/Data Elements: MAN segment/ MAN03 RCRA HW Manifest Tracking Number
Reason: The required RCRA HW Manifest Tracking Number is missing in the PGA record.
Resolution: The RCRA HW Manifest Tracking Number must be specified in the required format (first 9 characters must be numeric and the last 3 characters must be alphabetic) with no leading, trailing or embedded spaces. Correct the PGA record and retransmit.

Response Code: 55E
Narrative Text: RCRA HW MANIFEST TRCKNG # INVALID FORMAT
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/ PGA Text RCRA HW Manifest Tracking Number
X.12 Segment ID/Data Elements: MAN segment/ MAN03 RCRA HW Manifest Tracking Number
Reason: The required RCRA HW Manifest Tracking Number is not in the required format in the PGA record.
Resolution: The RCRA HW Manifest Tracking Number must be specified in the required format (first 9 characters must be numeric and the last 3 characters must be alphabetic) with no leading, trailing or embedded spaces. Correct the PGA record and retransmit.

Response Code: 55F
Narrative Text: ULT DEST & EPA CNSNT COUNTRIES NOT SAME
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code and Input PGA Record/PGA Text EPA Consent Number
X.12 Segment ID/Data Elements: BA1 segment/ Country of Ultimate Destination Code and MAN03 EPA Consent Number
Reason: The Country of Ultimate Destination entered in the SC1 record in the filing is not the same as the Final Destination Country specified for the EPA Consent Number entered in the PGA record.
Resolution: Specify an EPA Consent Number in the PGA record which has the Final Destination Country same as the Country of Ultimate Destination entered in the SC1 record for the shipment and retransmit.
Response Code: 55G
Narrative Text: EST DEP DTE NOT IN EPA CONSENT DTE RANGE
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export and Input PGA Record/PGA Text EPA Consent Number
X.12 Segment ID/Data Elements: DTM segment/ Estimated Date Of Export and MAN03 EPA Consent Number
Reason: The Estimated Date Of Export entered in the SC1 record in the filing is not within the date range of First Allowed Departure Date and Last Allowed Departure Date specified for the EPA Consent Number entered in the PGA record.
Resolution: Specify an EPA Consent Number in the PGA record which has the First Allowed Departure Date and the Last Allowed Departure Date valid for the Estimated Date Of Export reported in the SC1 Record of the filing and retransmit.

Response Code: 55H
Narrative Text: PGA ID IS REQUIRED
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/PGA ID
X.12 Segment ID/Data Elements: MAN segment/MAN02
Reason: A valid PGA ID must be entered. It cannot be spaces.
Resolution: Enter a valid value specified in the AES Proprietary/X12 formats and retransmit.

Response Code: 55I
Narrative Text: PGA ID IS INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/PGA ID
X.12 Segment ID/Data Elements: MAN segment/MAN02
Reason: A valid PGA ID must be entered. It cannot be spaces.
Resolution: Enter a valid value specified in the AES Proprietary/X12 formats and retransmit.

Response Code: 55J
Narrative Text: ONLY ONE PGA RECORD PER LINE PER AGENCY
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/PGA ID
X.12 Segment ID/Data Elements: MAN segment/MAN02
Reason: Only one PGA record can be specified per commodity line per agency.
Resolution: Check the PGA record and remove the duplicate PGA record type and resubmit the transaction. If multiple PGA licenses are used, report them on different commodity lines.

Response Code: 55K
Narrative Text: VALID EPA NET UOM & QUANTITY REQUIRED
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/PGA ID
X.12 Segment ID/Data Elements: MAN segment/MAN03
Reason: EPA Net Quantity Units of Measurement (KG or L) and the quantity is required to be reported in the PGA/EP1 line when the UOM is ‘X’ or UOM1 is ‘NO’ and UOM2 is not ‘KG’.
Resolution: Check the PGA record and enter a valid EPA Net UOM and Quantity and resubmit the transaction.
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Response Code: 55L
Narrative Text: ADDITIONAL PGA INFO IS REQUIRED
Severity: INFORMATIONAL DURING PILOT
Proprietary Record ID/Data Elements: Input CL1 Record/Additional PGA Indicator
X.12 Segment ID/Data Elements: X101
Reason: Additional PGA information is required based on the ‘Y’ indicator provided.
Resolution: When CL1 Record/Additional PGA Indicator is ‘Y’, at least one PGA information required. Verify and retransmit with either PGA information on the PGA record or set ‘N’ for the Additional PGA Indicator.

Response Code: 55M
Narrative Text: ADDITIONAL PGA INFO IS NOT ALLOWED
Severity: INFORMATIONAL DURING PILOT
Proprietary Record ID/Data Elements: Input CL1 Record/Additional PGA Indicator
X.12 Segment ID/Data Elements: X101
Reason: No additional PGA information is required based on the ‘N’ indicator provided.
Resolution: When CL1 Record/Additional PGA Indicator is ‘N’, PGA information is not allowed. Verify and retransmit with PGA information or set ‘Y’ for Additional PGA Indicator.

Response Code: 550
Narrative Text: NRC LICENSE NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code declared indicates a Nuclear Regulatory Commission (NRC) license type that requires a license number to export and the NRC Export License Number is missing.
Resolution: See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. Verify the NRC license number, correct and retransmit. For further assistance, contact the licensing agency. The Nuclear Regulatory Commission can be reached at 301-415-2342.

Response Code: 551
Narrative Text: NRC LIC NBR CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The Nuclear Regulatory Commission license number declared contains leading spaces.
Resolution: The NRC license number cannot contain leading spaces (i.e., left justify and leave unused spaces blank). Verify the NRC license number, correct and retransmit. For further assistance, contact the licensing agency. The Nuclear Regulatory Commission can be reached at 301-415-2342.
APPENDIX A – Commodity Filing Response Messages

Response Code: 552
Narrative Text: NRC LIC NBR INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record-License Code-License Exemption Code; Input CL2 Record-Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The Nuclear Regulatory Commission license number declared contains an ineligible value in AES.
Resolution: The NRC license number cannot contain an ineligible value identified in AES. Verify the NRC license number, correct and retransmit. For further assistance, contact the licensing agency. The Nuclear Regulatory Commission can be reached at 301-415-2342.

Response Code: 553
Narrative Text: OFAC LICENSE NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record-License Code-License Exemption Code; Input CL2 Record-Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code-License Exemption Code declared indicates an Office of Foreign Assets Control license type that requires a license number to export and the OFAC License Number is missing.
Resolution: See ‘Appendix F. License and License Exemption Type Codes and Reporting Guidelines’. Verify the OFAC license number, correct and retransmit. For further assistance, contact the licensing agency. The Department of the Treasury/Office of Foreign Assets Control can be reached at 202-622-2520.

Response Code: 554
Narrative Text: OFAC LIC NBR CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record-License Code-License Exemption Code; Input CL2 Record-Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The Office of Foreign Assets Control (OFAC) license number declared contains leading spaces.
Resolution: The OFAC license number cannot contain leading spaces (i.e., left justify and leave unused spaces blank). Verify the OFAC license number, correct and retransmit. For further assistance, contact the licensing agency. The Department of the Treasury/Office of Foreign Assets Control can be reached at 202-622-2520.

Response Code: 555
Narrative Text: OFAC LIC NBR INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record-License Code-License Exemption Code; Input CL2 Record-Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The Office of Foreign Assets Control (OFAC) license number declared contains an ineligible value in AES.
Resolution: The OFAC license number cannot contain an ineligible value identified in AES. Verify OFAC license number, correct and retransmit. For further assistance, contact the licensing agency. The Department of the Treasury/Office of Foreign Assets Control can be reached at 202-622-2520.
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agency. The Department of the Treasury/Office of Foreign Assets Control can be reached at 202-622-2520.
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Response Code: 556
Narrative Text: OPA LICENSE NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: An Other Partnership Agency (OPA) license type code is declared and the license number or other authorization symbol is missing.
Resolution: See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. Verify the OPA requirement, correct and retransmit. For further assistance, contact the licensing agency.

Response Code: 557
Narrative Text: OPA LIC NBR CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: An Other Partnership Agency (OPA) license type code is declared and the license number or other authorization symbol contains leading spaces.
Resolution: The OPA license number or authorization symbol cannot contain leading spaces (i.e., left justify and leave unused spaces blank). Verify the OPA license number or authorization symbol, correct and retransmit. For further assistance, contact the licensing agency.

Response Code: 558
Narrative Text: OPA LIC NBR INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: An Other Partnership Agency (OPA) license type code is declared and the license number or other authorization symbol contains an ineligible value in AES.
Resolution: The OPA license number or authorization symbol cannot contain an ineligible value identified in AES. Verify the OPA license number or authorization symbol, correct and retransmit. For further assistance, contact the licensing agency.

Response Code: 559
Narrative Text: DDTC DATA REQUIRED FOR DDTC CLAIM
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#; Input ODT Record
X.12 Segment ID/Data Elements: X1 segment
Reason: The License Code/License Exemption Code declared is one that requires the ODT record to be filed and the ODT record is missing.
Resolution: The ODT record contains specific Directorate of Defense Trade Controls (DDTC) munitions license information regarding a reported commodity contained in the shipment. When the License Code/License Exemption Code is reported as SAG, SCA, S00, S05, S61, S73, S85, or S94, the ODT record must be transmitted. Verify, correct and retransmit. For further assistance, contact your client representative or the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 560
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Narrative Text: DDTC LICENSE NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code declared is one that requires a Department of State/Directorate of Defense Trade Controls (DDTC) license number and the DDTC license number is missing for the CL1 record.
Resolution: DDTC License Codes S05, S61, S73, S85, and S94 require the DDTC license number to be reported on the CL2 record. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. For further assistance, contact your client representative or the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 561
Narrative Text: DDTC LICENSE NBR UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code declared is one that requires a Department of State/Directorate of Defense Trade Controls (DDTC) license number and the DDTC license number reported is one unknown in AES.
Resolution: The DDTC license number reported on the CL2 record must be a known value in AES. Verify, correct and retransmit. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 562
Narrative Text: DDTC LIC NBR NOT ALLOWED FOR EXEMPTION
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#; Input ODT Record/DDTC ITAR Exemption Number
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code declared as SAG, SCA, or S00 and a Department of State/Directorate of Defense Trade Controls (DDTC) license number is reported.  
Resolution: When the DDTC License Code/License Exemption Code is declared as SAG, SCA or S00, an exemption from the requirement for a license number applies. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 563
Narrative Text: DDTC ITAR EXEMPTION NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC ITAR Exemption Number
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code declared as SAG, SCA, S00, SAU or SGB and a Department of State/Directorate of Defense Trade Controls (DDTC) exemption number is missing.
Resolution: When the DDTC License Code/License Exemption Code is declared as SAG, SCA, S00, SAU or SGB an exemption number must be reported. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’.
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Exemption Type Codes and Reporting Guidelines’. See ‘Appendix O, DDTC ITAR Exemption Codes for a list of these codes. For further assistance, contact your client representative or the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 564
Narrative Text: DDTC ITAR EXEMPTION NBR UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC ITAR Exemption Number
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code declared as SAG, SCA, S00, SAU or SGB and a Department of State/Directorate of Defense Trade Controls (DDTC) exemption number is reported but is an unknown value in AES.
Resolution: When the DDTC License Code/License Exemption Code is declared as SAG, SCA, S00, SAU or SGB, an exemption number must be reported. See ‘Appendix O, DDTC ITAR Exemption Codes for a list of valid codes and their reporting formats. For further assistance, contact your client representative or the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 565
Narrative Text: DDTC EXEMPTION NBR NOT ALLOWED FOR LIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC ITAR Exemption Number
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code declared is one that requires a Department of State/Directorate of Defense Trade Controls (DDTC) license number and an exemption number is reported.
Resolution: When the DDTC License Code/License Exemption Code is declared as S05, S61, S73, S85 or S94, an exemption number cannot be reported. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. For further assistance, contact your client representative or the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 566
Narrative Text: CNTY MUST BE KIMBERLEY PROCESS PRTCPNT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code; Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: BA104; L1302
Reason: Public Law 108-19 Clean Diamond Trade Act prohibits the export of any rough diamond to any country that is not a participant in the Kimberley Process Certification Scheme.
Resolution: Verify the Schedule B/HTS Number. If the shipment is correctly classified as ‘rough diamond’, then verify the Country of Ultimate Destination. The complete list of participating countries is included in Federal Register/Vol. 69, No. 151/August 6, 2004. Correct and retransmit if appropriate. For further assistance, contact your client representative.

Response Code: 567
Narrative Text: CNTY MUST BE CA FOR CANADIAN ITAR EXMPTN
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Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code; Input CL1 Record/License Code/License Exemption Code; Input N03 Record/Country Code; Input ODT Record/DDTC ITAR Exemption Number
X.12 Segment ID/Data Elements: BA104; X102; X103; X107; N404
Reason: The License Code/License Exemption Code reported is SCA and the Country of Ultimate Destination or Party Address Country Code of the Intermediate or Ultimate Consignee is not declared as Canada.
Resolution: The Canadian ITAR exemption requires that the Country of Ultimate Destination, Ultimate Consignee or Intermediate Consignee is Canada. Verify the License Code/License Exemption and the Ultimate Country of Destination combination, correct appropriately and retransmit. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 568
Narrative Text: DSP-94 MUST BE FORMATTED AA-A-AAA
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption is reported is S94 and the Export License Number/CFR Citation/Authorization Symbol is not properly formatted.
Resolution: The License Code/License Exemption Code S94 requires that the reported Export License Number be formatted as ‘AA-A-AAA’ where the A = alphanumeric character, include the dashes, left justify and leave unused positions blank. Verify the License Code/License Exemption and the Export License Number, correct and retransmit. For further assistance, contact your client representative or the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 569
Narrative Text: FRGN MIL SALE MUST BE DOMESTIC ORIGIN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/ Export Information Code, Foreign/Domestic Origin Indicator, License Code/License Exemption Code
X.12 Segment ID/Data Elements: L1313; X107; X109
Reason: The Export Information Code is declared as FS, the License Code/License Exemption Code is declared as S94, and the Domestic/Foreign Origin Indicator is not D for domestic origin.
Resolution: When the License Code/License Exemption Code is declared as DSP-94 for Foreign Military Sales, the origin of the goods must be domestic. Verify the Export Information Code, License Code/License Exemption Code and the Domestic/Foreign Origin Indicator combination, correct and retransmit. For further assistance, contact your client representative or the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.
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Response Code: 57A
Narrative Text: NO RGSTRTN REQD; ITAR USED: < DDTC ITAR Exemption Number>
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: Input ODT Record/DDTC Registration Number /DDTC ITAR Exemption Number
X.12 Segment ID/Data Elements: X102; X103; X108
Reason: Registration Number is missing in the ODT record. When DDTC ITAR Exemption Numbers 22 CFR 123.17(a thru g) are claimed Registration Number is not required.
Resolution: Informational, no response necessary at this time.

Response Code: 57B
Narrative Text: S94 LICENSE NUMBER UNKNOWN OR INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption reported is S94 and the Export License Number/CFR Citation/Authorization Symbol is not a known value in AES.
Resolution: The License Code/License Exemption Code S94 requires that the reported Export License Number must be valid in AES at the time of export. Verify the License Code/License Exemption and the Export License Number, correct and retransmit. For further assistance, contact your client representative or the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700 or email at dsca.ner.dscas-spp.mbx.fms-exports@mail.mil.

Response Code: 570
Narrative Text: DDTC REGISTRANT MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC Registration Number
X.12 Segment ID/Data Elements: X107; X108
Reason: The License Code/License Exemption Code declared is one that requires a DDTC Registration Number and the DDTC Registration Number is missing from the ODT record.
Resolution: When the License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), the registration identifier assigned by DDTC must be reported on the ODT record. Verify, correct and retransmit. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 571
Narrative Text: DDTC REGISTRANT UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC Registration Number
X.12 Segment ID/Data Elements: X107; X108
Reason: The Registration Number reported is not a known value in AES.
Resolution: When the License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), the registration identifier assigned by DDTC must be reported on the ODT record. The Registration Number must valid in AES at the time of export.
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For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 572
Narrative Text: DDTC REGISTRANT EXPired
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export; Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC Registration Number
X.12 Segment ID/Data Elements: DTM01; DTM02; X107; X108
Reason: The Registration Number reported is not active at the time of export (i.e., DDTC Registration Number is expired).
Resolution: When the License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), the registration identifier assigned by DDTC must be reported on the ODT record. The Registration Number must be a known value in AES and cannot be expired at the time of export. The Registration Number expiration month and year are compared to the Estimated Date of Export. Verify, correct and retransmit. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 573
Narrative Text: DDTC LICENSE NOT ISSUED TO REGISTRANT
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC Registration Number
X.12 Segment ID/Data Elements: X107; X108
Reason: The License Code/License Exemption Code indicates a DDTC license claim and the DDTC Registration Number does not match the Export License Number reported.
Resolution: When the License Code/License Exemption Code indicates a DDTC license claim (S05, S61, S73, or S85), the registration identifier assigned by DDTC must match the Export License Number. Verify the DDTC license code and registration number, correct and retransmit. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 574
Narrative Text: DDTC SME IND MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC Significant Military Equipment (SME) Indicator
X.12 Segment ID/Data Elements: X107; X115
Reason: A DDTC license claim is declared and the Significant Military Equipment (SME) Indicator is missing (i.e., reported as spaces).
Resolution: When the License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), the Significant Military Equipment (SME) Indicator must be reported. Verify, correct and retransmit. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 575
Narrative Text: DDTC SME IND MUST BE Y OR N
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC Significant Military Equipment (SME) Indicator
X.12 Segment ID/Data Elements: X107; X115
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Reason: A DDTC license claim is declared and the Significant Military Equipment (SME) Indicator is reported as other than Y for yes or N for no.
Resolution: When the License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), the Significant Military Equipment (SME) Indicator must be reported as either Y or N. Verify whether or not the articles being reported warrant special export controls because of their capacity for substantial military utility of capability (see 22 CFR 121.1 for SME articles). For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700. Correct and retransmit.

Response Code: 576
Narrative Text: DDTC ELIG PARTY IND MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC Eligible Party Certification Indicator
X.12 Segment ID/Data Elements: X106; X107
Reason: A DDTC license claim is declared and the DDTC Eligible Party Indicator is missing (i.e., reported as spaces).
Resolution: When the License Code/License Exemption Code indicates a DDTC license claim SCA, S00, SAU or SGB, the DDTC Eligible Party Indicator is required (see 22 CFR 120.1 (c)). For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700. Correct and retransmit.

Response Code: 577
Narrative Text: DDTC ELIG PARTY IND MUST BE Y OR N
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC Eligible Party Certification Indicator
X.12 Segment ID/Data Elements: X106; X107
Reason: A DDTC license claim is declared and the DDTC Eligible Party Indicator is not reported as Y for yes and N for no.
Resolution: When the License Code/License Exemption Code indicates a DDTC license claim SCA or S00, the DDTC Eligible Party Indicator is required (see 22 CFR 120.1 (c)). Valid values are Y for yes or N for no. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700. Correct and retransmit.

Response Code: 578
Narrative Text: DDTC ELIG PARTY IND NOT ALLOWED
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC Eligible Party Certification Indicator
X.12 Segment ID/Data Elements: X106; X107
Reason: The DDTC license claim is not SCA or S00 and the DDTC Eligible Party Indicator is reported as other than spaces.
Resolution: The DDTC Eligible Party Indicator is required (see 22 CFR 120.1 (c)) when the License Code/License Exemption Code is reported as SCA or S00. The DDTC Eligible Party Indicator is not allowed to be reported with any other DDTC license claim. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700. Correct and retransmit.

Response Code: 579
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Narrative Text: DDTC USML CATEGORY MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC USML Category Code
X.12 Segment ID/Data Elements: X105; X107
Reason: The License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), and the USML Category Code is missing (i.e., reported as spaces).
Resolution: When the License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), the USML Category Code must be reported. See ‘Appendix L - DDTC USML Category Codes’ to determine the category code to report. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700. Correct and retransmit.

Response Code: 580
Narrative Text: DDTC USML CATEGORY UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC USML Category Code
X.12 Segment ID/Data Elements: X105; X107
Reason: The License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), and the USML Category Code is a known value in AES.
Resolution: When the License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), the USML Category Code must be reported. See ‘Appendix L - DDTC USML Category Codes’ to determine the category code to report. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700. Correct and retransmit.

Response Code: 581
Narrative Text: DDTC UOM MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC Unit of Measure Code
X.12 Segment ID/Data Elements: X107; X113
Reason: The License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), and the DDTC Unit of Measure is missing (i.e., reported as spaces).
Resolution: When the License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), the DDTC Unit of Measure must be reported. See ‘Appendix W - DDTC Unit of Measure Codes’ to determine the proper code to report. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700. Correct and retransmit.

Response Code: 582
Narrative Text: DDTC UOM UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC Unit of Measure Code
X.12 Segment ID/Data Elements: X107; X113
Reason: The License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), and the DDTC Unit of Measure reported is not a known value in AES.
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**Resolution:** When the License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), the DDTC Unit of Measure must be reported. See ‘Appendix W- DDTC Unit of Measure Codes’ to determine the proper code to report. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700. Correct and retransmit.

**Response Code: 583**

**Narrative Text:** DDTC QUANTITY MUST BE GT ZERO  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input CL1 Record/License Code/License Exemption Code; Input ODT Record/DDTC Quantity  
**X.12 Segment ID/Data Elements:** X107; X110  
**Reason:** The License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), and the DDTC Quantity is missing (i.e., reported as zeros).  
**Resolution:** When the License Code/License Exemption Code indicates a DDTC license claim (SAG, SCA, S00, S05, S61, S73, S85, or S94), the DDTC Quantity must be reported and must be greater than zero. Report the DDTC Quantity in the unit of measure required (see ‘Appendix W- DDTC Unit of Measure Codes’). For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700. Correct and retransmit.

**Response Code: 584**

**Narrative Text:** S05 LIC NOT DECREMNTD - DISAPPROVED  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input CL1 Record/License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#  
**X.12 Segment ID/Data Elements:** X102; X103; X107  
**Reason:** The License Code/License Exemption Code indicates a DDTC S05 license claim. The Export License Number was not approved.  
**Resolution:** The DSP-05 license has not been decremented. The Export License Number reported is not approved. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

**Response Code: 585**

**Narrative Text:** S05 LIC NOT DECREMNTD - SUSPENDED  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input CL1 Record/License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#  
**X.12 Segment ID/Data Elements:** X102; X103; X107  
**Reason:** The License Code/License Exemption Code indicates a DDTC S05 license claim. The Export License Number declared is suspended.  
**Resolution:** The DSP-05 license has not been decremented. The Export License Number reported is suspended. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700. Verify, correct and retransmit.

**Response Code: 586**

**Narrative Text:** S05 LIC NOT DECREMNTD - RETURNED  
**Severity:** FATAL  
**Proprietary Record ID/Data Elements:** Input CL1 Record/License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#  
**X.12 Segment ID/Data Elements:** X102; X103; X107
Reason: The License Code/License Exemption Code indicates a DDTC S05 license claim. The Export License Number was returned without action.
Resolution: The DSP-05 license has not been decremented. The Export License Number declared is returned without action. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 587
Narrative Text: S05 LIC NOT DECREMNTD - REVOKED
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code indicates a DDTC S05 license claim. The Export License Number was revoked.
Resolution: The DSP-05 license has not been decremented. The Export License Number reported is revoked. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.
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Response Code: 588
Narrative Text: S05 LIC NOT DECREMNTD - WITHDRAWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code indicates a DDTC S05 license claim. The Export License Number is withdrawn.
Resolution: The DSP-05 license has not been decremented. The Export License Number reported is withdrawn. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 589
Narrative Text: S05 LIC NOT DECREMNTD - EXPIRED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export; Input CL1 Record/License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: DTM01; DTM02; X102; X103; X107
Reason: The License Code/License Exemption Code indicates a DDTC S05 license claim. The Export License Number is expired.
Resolution: The DSP-05 license has not been decremented. The Export License Number reported is expired. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 590
Narrative Text: LICENSE VALUE EXCDS AVAIL FOR LIC
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Value of Goods, License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: L1305; L1306; X102; X103; X107:X114
Reason: The License Code/License Exemption Code indicates a DDTC S05 license claim. The License Value reported for the shipment exceeds the value remaining on the license.
Resolution: The DSP-05 license has not been decremented. The License Value reported for the shipment exceeds the export value remaining on the license reported. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 591
Narrative Text: AVAIL S05 LIC VALUE=$ <Available Balance>
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: Input CL1 Record/Value of Goods, License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: L1305; L1306; X102; X103; X107
Reason: The License Code/License Exemption Code indicates a DDTC S05 license claim. The available value remaining on the license is $<available balance>.
Resolution: For your information, the value remaining on the export license is $<available value>. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 592
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Narrative Text: HH GDS NOT PERMITD/OPT 4 USPPI
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Filing Option Indicator; Input CL1 Record/Export Information Code
X.12 Segment ID/Data Elements: BA102; L1313
Reason: The Export Information Code indicates HH for household goods and the Filing Option Indicator indicates an Post Departure filing.
Resolution: Shipments of household goods cannot be reported as Post Departure. Verify the Export Information Code and Filing Option Indicator combination, correct and retransmit.

Response Code: 593
Narrative Text: DECREMENTED VALUE > VALUE OF GOODS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/ Value of Goods, License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: L1305; L1306; X102; X103; X107:X114
Reason: The decremented value reported in the License Value exceeds the Value of Goods.
Resolution: Verify the License Value and Value of Goods declared, correct the shipment and resubmit. The License has not been decremented. For further assistance, contact the licensing agency.
Note that the Value of Goods should include any freight, insurance and other charges to bring the goods to the U.S. Port of Export. Thus, the license value should never exceed the Value of Goods, and normally is less than the Value of Goods.

Response Code: 594
Narrative Text: S05 LIC NOT DECREMNTD - EXHAUSTED
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/ Value of Goods, License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: L1305; L1306; X102; X103; X107
Reason: The License Code/License Exemption Code indicates a DDTC S05 license claim. The license is exhausted.
Resolution: The DSP-05 license has not been decremented. The Export License Number reported is exhausted. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 595
Narrative Text: VEHICLE INFO REQ FOR SCHED B/HTS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number; Input EV1 Record
X.12 Segment ID/Data Elements: L1301; L1302; VEH segment
Reason: The Schedule B/HTS Number reported identifies a used vehicle shipment. At least one EV1 record must be included and the EV1 record is missing.
Resolution: When the Schedule B/HTS Number is one that identifies a used vehicle shipment, the used vehicle details must be reported in the EV1 record. Verify the Schedule B/HTS classification and include the Used Vehicle Detail Record (EV1) if appropriate. Retransmit.

Response Code: 596
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Narrative Text: VEHICLE INFO NOT ALLOWED FOR SCHED B/HTS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number; Input EV1 Record
X.12 Segment ID/Data Elements: L1301; L1302; VEH segment
Reason: The Schedule B/HTS Number reported does not identify a used vehicle shipment. The EV1 record is not allowed to be included on a non-used vehicle shipment.
Resolution: The Used Vehicle Detail Record (EV1) cannot be included when the Schedule B/HTS Number is not one that identifies the commodity as a used vehicle export. Verify the Schedule B/HTS Number, correct and retransmit. For further assistance, contact your client representative.

Response Code: 597
Narrative Text: VEHICLE INFO NOT ALLOWED FOR HH GDS
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code; Input CL2 Record/Schedule B/HTS Number; Input EV1 Record
X.12 Segment ID/Data Elements: L1301; L1302; L1313; VEH segment
Reason: The Export Information Code is declared as HH and the Used Vehicle Detail Record (EV1) is included for the shipment.
Resolution: A used vehicle cannot be reported as household goods under Export Information Code HH. Personal used vehicles may be reported under Export Information Code HV in which case an EV1 record is required. See ‘Appendix E, Commodity Filing Export Information Codes and Reporting Guidelines’. Verify, correct and retransmit. For further assistance, contact your client representative.

Response Code: 598
Narrative Text: VIN/PIN NBR ALREADY REPORTED FOR LINE
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02
Reason: The Vehicle Identification Number (VIN)/Product ID declared is one that is already on file in AES for the line.
Resolution: The Vehicle Identification Number (VIN)/Product ID must be unique. Verify, correct and retransmit.

Response Code: 599
Narrative Text: VEHICLE ID QUALIFIER MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/Vehicle IDQualifier
X.12 Segment ID/Data Elements: VEH05
Reason: The Vehicle ID Qualifier is missing (i.e., reported as spaces).
Resolution: The Vehicle ID Qualifier identifies the type of used vehicle number reported and must be declared on an EEI. Verify, correct and retransmit.

Response Code: 600
Narrative Text: VEHICLE ID QUALIFIER MUST BE V OR P
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/Vehicle ID Qualifier
X.12 Segment ID/Data Elements: VEH05
Reason: The Vehicle ID Qualifier is missing (i.e., reported as spaces).
Resolution: The Vehicle ID Qualifier identifies the type of used vehicle number, either the Vehicle Identification Number (VIN) or the Product Identification Number (PIN), reported on the EEI. The Vehicle ID Qualifiers are either V for VIN or P for PIN. Verify, correct and retransmit.

Response Code: 601
Narrative Text: NBR OF VEHICLES REPORTED MUST MATCH QTY
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Quantity (1); Input EV1 Record
X.12 Segment ID/Data Elements: L1301; L1302; L1303; L1304; VEH02
Reason: The Schedule B/HTS Number identifies used vehicles, the Unit of Measure is ‘NO’ for number of vehicles and the Quantity (1) reported does not match the number of reported VIN/PIN numbers.
Resolution: When the Schedule B/HTS Number identifies used vehicles and the Unit of Measure is ‘NO’ for number of vehicles included in the shipment, then one EV1 record must be reported for each of the used vehicle (i.e., there must be one EV1 record with unique VIN/PIN for each used vehicle included in the reported Quantity (1) for the commodity line). Verify, correct and retransmit. For further assistance, contact your client representative.

Response Code: 602
Narrative Text: VIN NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/ Vehicle ID Qualifier, Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier declares V for the Vehicle Identification Number (VIN) and the VIN is missing (i.e., reported as spaces).
Resolution: When the Vehicle ID Qualifier indicates that a Vehicle Identification Number (VIN) is included for the commodity line, the VIN must be reported. Verify, correct and retransmit.

Response Code: 603
Narrative Text: VIN NBR CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/ Vehicle ID Qualifier, Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates V for the Vehicle Identification Number (VIN) and the VIN contains leading spaces.
Resolution: The Vehicle Identification Number (VIN) cannot contain leading spaces. Verify the VIN, correct and retransmit.

Response Code: 604
Narrative Text: VIN NBR INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/ Vehicle ID Qualifier, Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates V for the Vehicle Identification Number (VIN) and the VIN contains an ineligible value identified in AES.
Resolution: The Vehicle Identification Number (VIN) cannot contain an ineligible value identified in AES. Verify the VIN, correct and retransmit. For further assistance, contact your client representative.
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Response Code: 605
Narrative Text: VIN CHECK DIGIT FAILURE
Severity: VERIFY
Proprietary Record ID/Data Elements: Input EV1 Record/ Vehicle ID Qualifier; Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates V for the Vehicle Identification Number (VIN) and the VIN check digit failed.
Resolution: Verify the Check Digit of the reported Vehicle Identification Number (VIN). Correct if appropriate and retransmit. For further assistance, contact your client representative.

Response Code: 606
Narrative Text: VIN CONTAINS INVALID CHARACTERS
Severity: VERIFY
Proprietary Record ID/Data Elements: Input EV1 Record/ Vehicle ID Qualifier; Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates V for the Vehicle Identification Number (VIN) and the VIN contains invalid characters.
Resolution: Verify the reported Vehicle Identification Number (VIN). Correct if appropriate and retransmit. For further assistance, contact your client representative.

Response Code: 607
Narrative Text: VIN PREFIX UNKNOWN
Severity: VERIFY
Proprietary Record ID/Data Elements: Input EV1 Record/ Vehicle ID Qualifier; Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates V for the Vehicle Identification Number (VIN) and the VIN prefix is an unknown value in AES.
Resolution: Verify the Prefix of the reported Vehicle Identification Number (VIN). Correct if appropriate and retransmit. For further assistance, contact your client representative.

Response Code: 608
Narrative Text: VIN CONTAINS INVALID WORLD MAKE ID
Severity: VERIFY
Proprietary Record ID/Data Elements: Input EV1 Record/ Vehicle ID Qualifier; Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates V for the Vehicle Identification Number (VIN) and the VIN contains an invalid World Make ID.
Resolution: Verify the World Make ID of the reported Vehicle Identification Number (VIN). Correct if appropriate and retransmit. For further assistance, contact your client representative.

Response Code: 609
Narrative Text: VIN TOO SHORT
Severity: VERIFY
Proprietary Record ID/Data Elements: Input EV1 Record/ Vehicle ID Qualifier; Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates V for the Vehicle Identification Number (VIN) and the VIN is too short as reported.
Resolution: Verify the length of the reported Vehicle Identification Number (VIN). Correct if appropriate and retransmit. For further assistance, contact your client representative.
APPENDIX A – Commodity Filing Response Messages

Response Code: 610
Narrative Text: VIN IS TOO LONG
Severity: VERIFY
Proprietary Record ID/Data Elements: Input EV1 Record/Vehicle ID Qualifier; Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates V for the Vehicle Identification Number (VIN) and the VIN is too long as reported.
Resolution: Verify the length of the reported Vehicle Identification Number (VIN). Correct if appropriate and retransmit. For further assistance, contact your client representative.

Response Code: 611
Narrative Text: VIN PRODUCTION SEQUENCE TOO LOW
Severity: VERIFY
Proprietary Record ID/Data Elements: Input EV1 Record/Vehicle ID Qualifier; Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates V for the Vehicle Identification Number (VIN) and the VIN production sequence is too low.
Resolution: Verify the Production Sequence of the reported Vehicle Identification Number (VIN). Correct if appropriate and retransmit. For further assistance, contact your client representative.

Response Code: 612
Narrative Text: VIN PRODUCTION SEQUENCE TOO HIGH
Severity: VERIFY
Proprietary Record ID/Data Elements: Input EV1 Record/Vehicle ID Qualifier; Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates V for the Vehicle Identification Number (VIN) and the VIN production sequence is too high.
Resolution: Verify the Production Sequence of the reported Vehicle Identification Number (VIN). Correct if appropriate and retransmit. For further assistance, contact your client representative.

Response Code: 613
Narrative Text: VIN CONTAINS INVALID YEAR
Severity: VERIFY
Proprietary Record ID/Data Elements: Input EV1 Record/Vehicle ID Qualifier; Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates V for the Vehicle Identification Number (VIN) and the VIN contains an invalid year.
Resolution: Verify the Year of the reported Vehicle Identification Number (VIN). Correct if appropriate and retransmit. For further assistance, contact your client representative.

Response Code: 614
Narrative Text: PIN NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/Vehicle ID Qualifier; Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates P for the Product Identification Number (PIN) and the PIN is missing.
APPENDIX A – Commodity Filing Response Messages

Resolution: The Product Identification Number must be reported. Verify the Product Identification Number (PIN). Correct the PIN and retransmit. For further assistance, contact your client representative.

Response Code: 615
Narrative Text: PIN NBR CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/Vehicle ID Qualifier; Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates P for the Product Identification Number (PIN) and the PIN contains leading spaces.
Resolution: The Product Identification Number (PIN) cannot contain leading spaces. Correct the PIN and retransmit. For further assistance, contact your client representative.

Response Code: 616
Narrative Text: PIN NBR INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/Vehicle ID Qualifier; Vehicle Identification Number (VIN)/Product ID
X.12 Segment ID/Data Elements: VEH02; VEH05
Reason: The Vehicle ID Qualifier indicates P for the Product Identification Number (PIN) and the PIN contains an ineligible value in AES.
Resolution: The Product Identification Number (PIN) cannot contain an ineligible value identified in AES. Correct the PIN and retransmit. For further assistance, contact your client representative.

Response Code: 617
Narrative Text: VEH TITLE STATE CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/Vehicle ID Qualifier; Vehicle Title State Code
X.12 Segment ID/Data Elements: VEH05; VEH10
Reason: The Vehicle ID Qualifier is reported a V or P and the Vehicle Title State Code is missing (i.e., reported as spaces).
Resolution: The Vehicle Title State Code must be included on an EEI when the Vehicle ID Qualifier is reported as V. The Vehicle Title State Code must conditionally be reported when the Vehicle ID Qualifier is reported as P. Verify the State Code of the Motor Vehicle Administration that issued the title, correct and retransmit.

Response Code: 618
Narrative Text: VEH TITLE STATE CODE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/Vehicle ID Qualifier; Vehicle Title State Code
X.12 Segment ID/Data Elements: VEH05; VEH10
Reason: The Vehicle ID Qualifier is reported a V or P and the Vehicle Title State Code is an unknown value in AES.
Resolution: The Vehicle Title State Code must be included on an EEI when the Vehicle ID Qualifier is reported as V. The Vehicle Title State Code must conditionally be reported when the Vehicle ID Qualifier is reported as P. The State Code of the Motor Vehicle Administration that issued the title must be reported as a valid USPS State Code. Verify, correct and retransmit.
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Response Code: 619
Narrative Text: VEH TITLE NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/Vehicle ID Qualifier; Vehicle Title State Code, Vehicle Title Number
X.12 Segment ID/Data Elements: VEH05; VEH09; VEH10
Reason: The Vehicle ID Qualifier is reported a V or P, the Vehicle Title State Code is not the US and the Vehicle Title Number is missing.
Resolution: Vehicle Title Number must be declared on an EEI when the Vehicle ID Qualifier is reported as V and the Vehicle Title State Code is not US (i.e., not a diplomatic vehicle claim). The Vehicle Title State Code must conditionally be reported when the Vehicle ID Qualifier is reported as P. Verify the vehicle title number, correct and retransmit.

Response Code: 620
Narrative Text: VEH TITLE NBR CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/ Vehicle ID Qualifier; Vehicle Title State Code, Vehicle Title Number
X.12 Segment ID/Data Elements: VEH05; VEH09; VEH10
Reason: The Vehicle ID Qualifier is reported a V or P, the Vehicle Title State Code is not the US and the Vehicle Title Number declared contains leading spaces.
Resolution: Vehicle Title Number must be declared on an EEI when the Vehicle ID Qualifier is reported as V and the Vehicle Title State Code is not US (i.e., not a diplomatic vehicle claim). The Vehicle Title State Code must conditionally be reported when the Vehicle ID Qualifier is reported as P. The Vehicle Title Number cannot contain leading spaces (i.e., must be left justified). Verify the vehicle title number, correct and retransmit.

Response Code: 621
Narrative Text: VEH TITLE NBR INELIGIBLE
Severity: FATAL
Proprietary Record ID/Data Elements: Input EV1 Record/ Vehicle ID Qualifier; Vehicle Title State Code, Vehicle Title Number
X.12 Segment ID/Data Elements: VEH05; VEH09; VEH10
Reason: The Vehicle ID Qualifier is reported a V or P, the Vehicle Title State Code is not the US and the Vehicle Title Number declared contains an ineligible value in AES.
Resolution: Vehicle Title Number must be declared on an EEI when the Vehicle ID Qualifier is reported as V and the Vehicle Title State Code is not US (i.e., not a diplomatic vehicle claim). The Vehicle Title State Code must conditionally be reported when the Vehicle ID Qualifier is reported as P. The Vehicle Title Number cannot contain an ineligible value identified in AES. Verify the vehicle title number, correct and retransmit. For further assistance, contact your client representative.

Response Code: 622
Narrative Text: SCHED B/HTS NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code, Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: L1301; L1302; L1313
Reason: The Export Information Code is not HH for household goods and the Schedule B/HTS Number is missing (i.e., reported as spaces).
Resolution: A Schedule B/HTS Number must be declared on an EEI with the exception of household goods reported with Export Information Code HH. Verify the Schedule B/HTS Number, correct and retransmit.
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Response Code: 623
Narrative Text: SCHED B/HTS NBR UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code, Input CL2
Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: L1301; L1302; L1313
Reason: The Export Information Code is not HH for household goods and the Schedule B/HTS
Number declared is not a known value in AES.
Resolution: A valid Schedule B/HTS Number must be declared on an EEI when adding or
replacing a commodity line item unless the Export Information Code is HH for household goods.
Verify the Schedule B/HTS Number, correct and retransmit.

Response Code: 624
Narrative Text: SCHED B/HTS NBR OUTDATED – CANNOT BE USED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export; Input CL2
Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: DTM01; DTM02; L1301; L1302
Reason: The Schedule B/HTS Number declared is no longer valid in AES.
Resolution: The Schedule B/HTS Number declared on an EEI cannot be outdated (i.e., expired).
Compare the Estimated Date of Export to the Begin/End date of the Schedule B/HTS Number
reported, correct and retransmit.

Response Code: 625
Narrative Text: SCHED B/HTS NBR OUTDATED-IN GRACE PERIOD
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export; Input CL2
Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: DTM01; DTM02; L1301; L1302
Reason: The Schedule B/HTS Number declared is no longer active/valid in AES.
Resolution: The Schedule B/HTS Number declared on an EEI cannot be outdated (i.e., expired).
AES allows a 30-day grace period during which time an outdated Schedule B/HTS Number
continues to be accepted. This gives the filer notice to update their Schedule B/HTS Number file
before AES issues a fatal error against the outdated number. Compare the Estimated Date of
Export to the Begin/End date of the Schedule B/HTS Number reported, correct and retransmit.
For further assistance, contact your client representative.

Response Code: 626
Narrative Text: 1ST UOM CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Unit of
Measure (1)
X.12 Segment ID/Data Elements: L1301; L1302; L1303
Reason: The Schedule B/HTS Number reported requires a Unit of Measure (1) to be reported and
Unit of Measure (1) is missing.
Resolution: The Schedule B/HTS Number reported requires a Unit of Measure (1) to be reported.
Verify the first unit of measure code required by the particular Schedule B/HTS Number declared
for the shipment, correct and retransmit.
Response Code: 627
Narrative Text: 1ST UOM CODE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Unit of Measure (1)
X.12 Segment ID/Data Elements: L1301; L1302; L1303
Reason: The Schedule B/HTS Number reported requires a Unit of Measure (1) to be reported and Unit of Measure (1) is declared as an unknown value in AES.
Resolution: The Unit of Measure (1), based on the Schedule B/HTS Number reported, must be a valid code contained in ‘Appendix K – Units of Measure Codes’. Verify the first unit of measure code required to describe the Unit of Measure (1) for the particular Schedule B/HTS Number, correct and retransmit.

Response Code: 628
Narrative Text: 1ST UOM CODE / SCHED B/HTS MISMATCH
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Unit of Measure (1)
X.12 Segment ID/Data Elements: L1301; L1302; L1303
Reason: The Unit of Measure (1) reported does not match the Unit of Measure (1) required for the Schedule B/HTS Number reported.
Resolution: The Unit of Measure (1) must match exactly the Unit of Measure (1) prescribed by the Schedule B/HTS Number reported. See ‘Appendix K – Units of Measure Codes’. Verify the Unit of Measure (1) required for the particular Schedule B/HTS Number, correct and retransmit. For further assistance, contact your client representative.

Response Code: 629
Narrative Text: SCHED B/HTS REQUIRES 1ST UOM: <UOM Code>
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Unit of Measure (1)
X.12 Segment ID/Data Elements: L1301; L1302; L1303
Reason: The Unit of Measure (1) reported does not match the Unit of Measure (1) required for the Schedule B/HTS Number reported.
Resolution: The Unit of Measure (1) must match exactly the Unit of Measure (1) prescribed by the Schedule B/HTS Number reported as stated in the message. Report the Schedule B/HTS Number Unit of Measure (1) in terms of <UOM code>, correct and retransmit. For further assistance, contact your client representative.

Response Code: 630
Narrative Text: 2ND UOM CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Unit of Measure (2)
X.12 Segment ID/Data Elements: L1301; L1302; L1308
Reason: The Schedule B/HTS Number reported requires a Unit of Measure (2) to be reported and Unit of Measure (2) is missing.
Resolution: The Schedule B/HTS Number reported requires a Unit of Measure (2) to be reported. Verify the second unit of measure code required by the particular Schedule B/HTS Number declared for the shipment, correct and retransmit.

Response Code: 631
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Narrative Text: 2ND UOM CODE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Unit of Measure (2)
X.12 Segment ID/Data Elements: L1301; L1302; L1308
Reason: The Schedule B/HTS Number reported requires a Unit of Measure (2) to be reported and Unit of Measure (2) reported is an unknown value in AES.
Resolution: The Unit of Measure (2), based on the Schedule B/HTS Number reported, must be a valid code contained in ‘Appendix K – Units of Measure Codes’. Verify the second unit of measure code required to describe the Unit of Measure (2) for the particular Schedule B/HTS Number, correct and retransmit.

Response Code: 632
Narrative Text: 2ND UOM CODE / SCHED B/HTS MISMATCH
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Unit of Measure (2)
X.12 Segment ID/Data Elements: L1301; L1302; L1308
Reason: The Unit of Measure (2) reported does not match the Unit of Measure (2) required for the Schedule B/HTS Number reported.
Resolution: The Unit of Measure (2) must match exactly the Unit of Measure (2) prescribed by the Schedule B/HTS Number reported. See ‘Appendix K – Units of Measure Codes’. Verify the Unit of Measure (2) required for the particular Schedule B/HTS Number, correct and retransmit. For further assistance, contact your client representative.

Response Code: 633
Narrative Text: SCHED B/HTS REQUIRES 2ND UOM: <UOM Code>
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Unit of Measure (2)
X.12 Segment ID/Data Elements: L1301; L1302; L1308
Reason: The Unit of Measure (2) reported does not match the Unit of Measure (2) required for the Schedule B/HTS Number reported.
Resolution: The Unit of Measure (2) must match exactly the Unit of Measure (2) prescribed by the Schedule B/HTS Number reported as stated in the message. Report the Schedule B/HTS Number Unit of Measure (2) in terms of <UOM code>, correct and retransmit. For further assistance, contact your client representative.

Response Code: 634
Narrative Text: VALUE OF GDS EXCEEDS $249,999,999
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Value of Goods
X.12 Segment ID/Data Elements: L1301; L1302; L1305; L1306
Reason: The Value of Goods reported for the individual Schedule B/HTS Number exceeds the maximum value normally allowed for this data element.
Resolution: Normally, the maximum Value of Goods allowed for an individual Schedule B/HTS Number should not exceed $250,000,000. A value this large often indicates a keying error on the part of the filer. The Value of Goods should be reported in whole US dollars only. Verify the Value of Goods, correct if appropriate and retransmit. For further assistance, contact your client representative.
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Response Code: 635
Narrative Text: US GDS RTRND REQUIRES US AS DESTINATN
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination, Input CL1 Record/Export Information Code; Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: L1301; L1302; BA104
Reason: The Schedule B/HTS Number reported is one that requires that the Country of Ultimate Destination be declared as the US (i.e., US goods returned).
Resolution: US goods returned under Schedule B/HTS Numbers 0000.00.0001 through 0000.00.0009 must have the Country of Ultimate Destination Code reported as the US. Verify, correct and retransmit.

Response Code: 636
Narrative Text: INVALID SCHED B/HTS NBR FOR TIB CLAIM
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code; Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: L1301; L1302; L1313
Reason: The Export Information Code reported as IR and the Schedule B/HTS Number declared is not 9801.10.0000.
Resolution: For shipments of merchandise imported under a Temporary Import Bond (TIB) to be repaired or altered in the US, the Schedule B/HTS Number must be reported as 9801.10.0000 and the Export Information Code is reported as IR. Verify, correct and retransmit.

Response Code: 63A
Narrative Text: USED VEH NOT ALLOWED - OPTION 3 (AEI)
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Filing Option Indicator
X.12 Segment ID/Data Elements: YNQ01; YNQ02;
Reason: The Filing Option Indicator is declared as AEI (Advanced Export Information Program), Option 3. Used vehicles are not allowed on AEI filings.
Resolution: AEI cannot be used to report a used vehicle shipment. Used vehicle shipments must be filed option 2. For further assistance, contact your client representative.

Response Code: 637
Narrative Text: USED VEH NOT ALLOWED – OPT 4
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Filing Option Indicator, Country of Ultimate Destination; Input CL2 Record/Schedule B/HTS Number; Input EV1 Record
X.12 Segment ID/Data Elements: YNQ01; YNQ02; BA104; L1301; L1302
Reason: The Filing Option Indicator is declared as Post Departure, the Schedule B/HTS Number indicates a used vehicle.
Resolution: Post Departure cannot be used to report a used vehicle. Used vehicle shipments must be filed 72 hours prior to export. Verify, correct and retransmit. For further assistance, contact your client representative.

Response Code: 638
Narrative Text: USED VEH NOT ALLOWED FOR LIC CODE
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/ License Code/License Code Exemption; Input CL2 Record/Schedule B/HTS Number; Input EV1 Record
X.12 Segment ID/Data Elements: L1301; L1302; X107; VEH segment
Reason: The License Code/License Exemption Code reported is reported as C48.
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Resolution: A used vehicle shipment cannot be declared with a License Code/License Exemption Code of C48 (covers encryption items). Verify the License Code/License Exemption Code, correct and retransmit.

Response Code: 639
Narrative Text: USED VEH NOT ALLOWED FOR MOT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL2 Record/Schedule B/HTS Number; Input EV1 Record
X.12 Segment ID/Data Elements: L1301; L1302; BA103; VEH segment
Reason: The Schedule B/HTS Number declared requires the reporting of a used vehicle and the Mode of Transportation Code reported is not compatible with used vehicle shipment.
Resolution: When the Schedule B/HTS Number requires used vehicle reporting; the Mode of Transportation Code declared for the shipment cannot be reported as 33 (Pedestrian), 50 (Mail), 60 (Passenger, hand-carried) or 70 (Fixed transport). Verify, correct and retransmit.

Response Code: 640
Narrative Text: SCHED B/HTS MUST BE USED VEH FOR EI CD
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code; Input CL2 Record/Schedule B/HTS Number; Input EV1 Record
X.12 Segment ID/Data Elements: L1301; L1302; L1313; VEH segment
Reason: The Export Information Code declared is HV and the Schedule B/HTS Number is not one for used vehicle reporting.
Resolution: When the Export Information Code is declared as HV for personal vehicle, a Schedule B/HTS Number for a used vehicle and the Used Vehicle Detail Record (EV1) is required. See ‘Appendix E, Commodity Filing Export Information Codes and Reporting Guidelines’. Verify, correct and retransmit.

Response Code: 641
Narrative Text: QUANTITY 1 MUST BE GT ZERO
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Quantity (1)
X.12 Segment ID/Data Elements: L1301; L1302; L1304
Reason: The Schedule B/HTS Number requires Quantity (1) to be reported and Quantity (1) is missing (i.e., reported as zero).
Resolution: When the Schedule B/HTS Number requires a Quantity (1) the reported Quantity (1) must be greater than zero. Verify, correct and retransmit.

Response Code: 642
Narrative Text: QUANTITY 1 CANNOT EXCEED 299,999,999
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Quantity (1)
X.12 Segment ID/Data Elements: L1304
Reason: The reported Quantity (1) exceeds the maximum allowed for the data element.
Resolution: The maximum quantity reported for any single commodity line item cannot exceed 299,999,999. Quantities this large are very unlikely and may indicate a keying error. Quantities are to be reported in whole units only. Verify the Quantity (1) reported, correct if appropriate and retransmit. Otherwise, contact your client representative for assistance.
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Response Code: 643
Narrative Text: QUANTITY 2 MUST BE GT ZERO
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Quantity (2)
X.12 Segment ID/Data Elements: L1301; L1302; L1309
Reason: The Schedule B/HTS Number requires Quantity (2) to be reported and Quantity (2) is missing (i.e., reported as zero).
Resolution: When the Schedule B/HTS Number requires a Quantity (2) the reported Quantity (2) must be greater than zero. Verify, correct and retransmit.

Response Code: 644
Narrative Text: QUANTITY 2 CANNOT EXCEED 99,999,999
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Quantity (2)
X.12 Segment ID/Data Elements: L1309
Reason: The reported Quantity (2) exceeds the maximum allowed for the data element.
Resolution: The maximum quantity reported for any single commodity line item cannot exceed 99,999,999. Quantities this large are very unlikely and may indicate a keying error. Quantities are to be reported in whole units only. Verify the Quantity (2) reported, correct if appropriate and retransmit. Otherwise, contact your client representative for assistance.

Response Code: 645
Narrative Text: QUANTITY 2 NOT REQUIRED BY SCHED B/HTS
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Quantity (2)
X.12 Segment ID/Data Elements: L1301; L1302; L1309
Reason: The Schedule B/HTS Number declared does not require Quantity (2) and Quantity (2) is not reported as zeros.
Resolution: The Schedule B/HTS Number reported does not require a second unit of quantity to be reported. Quantity (2) should be zero filled when not required. Verify, correct and retransmit.

Response Code: 646
Narrative Text: INELIG SCHED B/HTS NBR FOR FLY-AWAY AIR
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Carrier ID (SCAC/IATA); Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: L1301; L1302; BA110
Reason: The Carrier ID (SCAC/IATA) declared is ** or 99O indicating a fly-away aircraft and the Schedule B/HTS Number is not 8802.
Resolution: The Schedule B/HTS Number must be from Chapter 8802 when the Carrier ID (SCAC/IATA) reported is ** or 99O indicating a fly-away aircraft. Verify, correct and retransmit.

Response Code: 647
Narrative Text: LINE NBR CANNOT BE ZERO
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Line Number
X.12 Segment ID/Data Elements: L1307
Reason: The Line Number must be greater than zero.
Resolution: Verify the Line Number. Correct and retransmit.
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Response Code: 648
Narrative Text: MOT NOT ALLOWED FOR HH GDS
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL1 Record/Export Information Code
X.12 Segment ID/Data Elements: BA103; L1313
Reason: The Mode of Transportation is declared as ‘Fixed Transport’ and the Export Information Code is declared as ‘HH’ for household goods.

Response Code: 649
Narrative Text: QUANTITY 1 CANNOT EXCEED SHPING WGT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation; Input CL2 Record/Quantity 1, Unit of Measure 1, Shipping Weight
X.12 Segment ID/Data Elements: BA103; L1304; L1303; L1310; L1311
Reason: Shipping weight is reported in kilograms for the major modes of transport. When the Unit of Measure 1 required is KG (kilograms), the first net quantity cannot exceed the shipping weight.
Resolution: Verify the Unit of Measure 1, the Quantity 1 reported and the Shipping Weight. Correct and retransmit.

Response Code: 650
Narrative Text: QUANTITY 2 CANNOT EXCEED SHPING WGT
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation; Input CL2 Record/Quantity 2, Unit of Measure 2, Shipping Weight
X.12 Segment ID/Data Elements: BA103; L1309; L1308; L1310; L1311
Reason: Shipping weight is reported in kilograms for the major modes of transport. When the Unit of Measure 2 required is KG (kilograms), the second net quantity cannot exceed the shipping weight.
Resolution: Verify the Unit of Measure 2, the Quantity 2 reported and the shipping weight. Correct and retransmit.

Response Code: 651
Narrative Text: VEHICLE INFO REQ FOR EI CD
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/Export Information Code; Input CL2 Record/Schedule B/HTS Number; Input EV1 Record
X.12 Segment ID/Data Elements: L1301; L1302 L1313; VEH segment
Reason: The Export Information Code is declared as HV and the Used Vehicle Detail Record (EV1) is not included for the shipment.
Resolution: A used vehicle must be reported under Export Information Code HV. See ‘Appendix E, Commodity Filing Export Information Codes and Reporting Guidelines’. Verify, correct and retransmit. For further assistance, contact your client representative.
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Response Code: 652
Narrative Text: INVALID SCHED B/HTS FOR PGA <PGA ID>
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: L1302
Reason: The Schedule B/HTS Number declared on the CL2 record for this PGA is not allowed to be reported for this PGA.
Resolution: The Schedule B/HTS number must be on the allowed list for the PGA. Verify, correct and retransmit.

Response Code: 64A
Narrative Text: <PGA ID> PGA REQUIRED FOR HTS
Severity: INFORMATIONAL (only during pilot)
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: L1302
Reason: The Schedule B/HTS Number declared on the CL2 record for this PGA is required to be reported for this PGA.
Resolution: The Schedule B/HTS number requires PGA to be reported. Verify, correct and retransmit.

Response Code: 653
Narrative Text: DDTC LICENSE DISAPPROVED: <License Code>
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code indicates a DDTC S61, S73, or S85 license claim. The Export License Number was not approved.
Resolution: The Export License Number reported is not approved. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 654
Narrative Text: DDTC LICENSE SUSPENDED: <License Code>
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code indicates a DDTC S61, S73, or S85 license claim. The Export License Number declared is suspended.
Resolution: The Export License Number reported is suspended. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 655
Narrative Text: DDTC LICENSE RETURNED: <License Code>
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code/License Exemption Code indicates a DDTC S61, S73, or S85 license claim. The Export License Number was returned without action.
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Resolution: The Export License Number declared is returned without action. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 656
Narrative Text: DDTC LICENSE REVOKED: <License Code>
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record-License Code-License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code-License Exemption Code indicates a DDTC S61, S73, or S85 license claim. The Export License Number was revoked.
Resolution: The Export License Number reported is revoked. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 657
Narrative Text: DDTC LICENSE WITHDRAWN: <License Code>
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record-License Code-License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103; X107
Reason: The License Code-License Exemption Code indicates a DDTC S61, S73, or S85 license claim. The Export License Number is withdrawn.
Resolution: The Export License Number reported is withdrawn. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 658
Narrative Text: DDTC LICENSE EXPIRED: <License Code>
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export; Input CL1 Record-License Code-License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: DTM01; DTM02; X102; X103; X107
Reason: The License Code-License Exemption Code indicates a DDTC S61, S73, or S85 license claim. The Export License Number is expired.
Resolution: The Export License Number reported is expired. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 659
Narrative Text: ECCN MUST BE ‘EAR99’ OR BLANK
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record-License Code-License Exemption Code; Input CL2 Record/Schedule B/HTS Number; Export Control Classification Number (ECCN)
X.12 Segment ID/Data Elements: X107; X116; L1301; L1302
Reason: The License Code-License Exemption Code is declared as ‘T12’ and the ECCN is not reported as ‘EAR99’ or as spaces.
Resolution: For shipments of rough diamonds the License Code-License Exemption Code of ‘T12’ requires that the ECCN be either ‘EAR99’ or else left blank. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’ for details. Verify the Schedule.
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B/HTS Number, the License Code/License Exemption Code and the ECCN reported, correct appropriately and retransmit.

Response Code: 660
   Narrative Text: ‘T12’ REQUIRED FOR ROUGH DIAMONDS
   Severity: FATAL
   Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Schedule B/HTS Number
   X.12 Segment ID/Data Elements: X107; L1301; L1302
   Reason: The Schedule B/HTS number reported was for rough diamonds (7102.10, 7102.21, or 7102.31) and the License Code declared was not ‘T12’.
   Resolution: Shipments of rough diamonds reported under Schedule B/HTS number 7102.10, 7102.21, or 7102.31, must be declared under OFAC License Type ‘T12’. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’ for details. Verify the Schedule B/HTS Number and the License Code/License Exemption Code reported, correct appropriately and retransmit.

Response Code: 661
   Narrative Text: SCHED B/HTS NBR NOT ALLOWED FOR LIC CODE
   Severity: FATAL
   Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Schedule B/HTS Number
   X.12 Segment ID/Data Elements: L1301; L1302; X107
   Reason: The License Code/License Exemption Code was reported as ‘T12’ and the Schedule B/HTS Number declared was not 7102.10, 7102.21 or 7102.31 (rough diamonds).
   Resolution: License Code/License Exemption Code ‘T12’ can only be reported for exports (reexports) of rough diamonds under Schedule B/HTS Numbers 7102.10, 7102.21 or 7102.31. Verify the Schedule B/HTS Number and the License Code/License Exemption Code reported, correct appropriately and retransmit.

Response Code: 662
   Narrative Text: KPC NBR MUST BE AT LEAST 4 NUMERICS
   Severity: FATAL
   Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Schedule B/HTS Number; Export License Number/CFR Citation/Authorization Symbol/KPC#
   X.12 Segment ID/Data Elements: L1302; L1302; X102; X103
   Reason: The Kimberley Process Certificate Number (KPC#) declared was less than four (4) numeric digits.
   Resolution: For shipments of rough diamonds (7102.10, 7102.21 or 7102.31) exported under OFAC License Type Code ‘T12’, the Kimberley Process Certificate Number must be reported. The KPC# must be at least four (4) numeric digits. Verify the KPC# reported, correct appropriately and retransmit.

Response Code: 663
   Narrative Text: KPC NUMBER CONTAINS NON-NUMERIC
   Severity: FATAL
   Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Schedule B/HTS Number; Export License Number/CFR Citation/Authorization Symbol/KPC#
   X.12 Segment ID/Data Elements: L1302; L1302; X102; X103
   Reason: The Kimberley Process Certificate Number (KPC#) declared contain non-numeric characters.
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Resolution: For shipments of rough diamonds (7102.10, 7102.21 or 7102.31) exported under OFAC License Type Code ‘T12’, the Kimberley Process Certificate Number must be reported. The KPC# must be numeric. Exclude the 2-digit U.S. ISO country code. Verify the KPC# reported, correct appropriately and retransmit.

Response Code: 664
Narrative Text: KPC NUMBER CONTAINS LEADING SPACES
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Schedule B/HTS Number; Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: L1302; L1302; X102; X103
Reason: The Kimberley Process Certificate Number (KPC#) declared contained leading spaces.
Resolution: For shipments of rough diamonds (7102.10, 7102.21 or 7102.31) exported under OFAC License Type Code ‘T12’, the Kimberley Process Certificate Number must be reported. The KPC# must be at least four (4) numeric, must exclude the 2-digit U.S. ISO country code and the number must be left justified. Leave unused spaces blank. Verify the KPC# reported, correct appropriately and retransmit.

Response Code: 665
Narrative Text: KPC NUMBER MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Schedule B/HTS Number; Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: L1302; L1302; X102; X103
Reason: The Kimberley Process Certificate Number (KPC#) is the unique identifying number of the KPC issued by the United States Kimberley Process Authority that must accompany any export (reexport) shipment of rough diamonds. The KPC# was not declared for this shipment.
Resolution: For shipments of rough diamonds (7102.10, 7102.21 or 7102.31) exported under OFAC License Type Code ‘T12’, the Kimberley Process Certificate Number must be reported. The KPC# must be at least four (4) numeric, must exclude the 2-digit U.S. ISO country code and the number must be left justified. Leave unused spaces blank. Verify that the shipment is in fact rough diamonds classified correctly as one of the specified Schedule B/HTS numbers (7102.10, 7102.21, 7102.31) reported under OFAC License Type Code ‘T12’. Declare the KPC# or otherwise correct as appropriate and retransmit. Contact your client representative for further assistance.

Response Code: 666
Narrative Text: ECCN MUST BE FROM APPROVED LIST
Severity: FATAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export Control Classification Number (ECCN)
X.12 Segment ID/Data Elements: X107; X116
Reason: The Export Control Classification Number (ECCN) reported is not on the list of codes allowed for the License Code/License Exemption declared.
Resolution: See “Appendix F, License and License Exemption Type Codes and Reporting Guidelines,” for a list of approved ECCN codes for the License Code/License Exemption. For further assistance, contact the licensing agency. The Department of Commerce/Bureau of Industry and Security/Office of Technology Evaluation can be reached at 202-482-4933.

Response Code: 667
Narrative Text: COUNTRY NOT ALLOWED FOR LICENSE TYPE
Severity: FATAL
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Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination; Input CL2 Record/License Code/License Exemption/Approved Community Member Number

X.12 Segment ID/Data Elements: BA104; X107 or X102

Reasons: One of the following:
1. If the License Code/License Exemption Code is declared as BIS C51, the Country of Ultimate Destination is not CU (Cuba). If the License Code/License Exemption Code is declared as BIS C52, the Country of Ultimate Destination is not LY (Libya).
2. If the License Code is declared as SGB or SAU (UK & AU Defense Trade Treaty License Exemptions), the Country of Ultimate Destination entered in the SC1 record or X102 is not GB (U.K.) in the case of SGB or AU (Australia) in the case of SAU.

Resolution: See “Appendix F, License and License Exemption Type Codes and Reporting Guidelines.” Verify the BIS/DDTC exemption code and the country of ultimate destination, correct and retransmit. For further assistance, contact the licensing agency. The Department of Commerce/Bureau of Industry and Security/Office of Technology Evaluation can be reached at 202-482-4933. For DDTC, The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 668  < Not currently active >

Narrative Text: EAR99 CANNOT BE REPORTED
Severity: FATAL

Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination; Input CL1 Record/License Code/License Exemption

X.12 Segment ID/Data Elements: BA104; X107

Reason: The License Code/License Exemption Code declared is one that requires the Export Control Classification Code to be reported yet the ECCN has been reported as ‘EAR99’.

Resolution: The License Code/License Exemption Code conditionally requires the reporting of an ECCN, however, ‘EAR99’ is not allowed to be reported. See “Appendix F, License and License Exemption Type Codes and Reporting Guidelines,” to determine the License Code/License Exemption Code requirement related to ECCN. Verify, correct and retransmit. For further assistance, contact your client representative.

Response Code: 669

Narrative Text: LICENSE CODE CANNOT BE USED FOR ADD
Severity: FATAL

Proprietary Record ID/Data Elements: Input CL1 Record/License Code

X.12 Segment ID/Data Elements: X107

Reason: The License Code specified has been ‘retired’ and is no longer being accepted on any new EEI line item (i.e., when the AES action requested for this commodity shipment transaction is an A or when the AES action requested is a C or R and a new line is being added to the existing EEI). In all likelihood, another License Code has been established that replaces this ‘retired’ code and should be used instead for any new EEI.

Resolution: See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’ for a further discussion (e.g., ‘C39’).

Response Code: 670

Narrative Text: LICENSE CODE CORRECTION MISMATCH
Severity: FATAL

Proprietary Record ID/Data Elements: Input CL1 Record/License Code

X.12 Segment ID/Data Elements: X107

Reason: The ‘retired’ License Code claimed does NOT match the License Code previously established for the EEI line item. The License Code specified can be used ONLY to correct a previously established EEI line item with the SAME License Code.

Resolution: See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’ for a further discussion (e.g., ‘C39’).
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Response Codes 671

**Narrative Text:** KPC NBR NOT REQ FOR CNTY CODE

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input CL1 Record/License Code/License Exemption Code; Input SC1Record/Country of Ultimate Destination Code

**X.12 Segment ID/Data Elements:** X107; BA104

**Reason:** The License Code/License Exemption Code is declared as T12 and the Country of Ultimate Destination Code is reported as either Puerto Rico (PR) or the Virgin Islands of the U.S. (VI).

**Resolution:** The License Code/License Exemption Code of T12 for shipments of rough diamonds does not require a KPC Number when the Country of Ultimate Destination is PR or VI. Correct appropriately and retransmit. For further assistance, contact your client representative.

Response Codes 672

**Narrative Text:** GB/AU ACID NBR UNKNOWN OR INVALID

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#/Approved Community Member Number

**X.12 Segment ID/Data Elements:** X102; X103

**Reason:** The Approved Community Member Number declared on the CL2 record or X102 element must meet the following requirements when filing shipments under the UK & AU Defense Treaty Exemptions.

1. Must have an active Approved Community Member Number.
2. Must not be spaces.

**Resolution:** The Approved Community Member No. must be reported when declaring a UK & AU Defense Trade Treaty Exemption for a DDTC shipment. See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’. Verify the Approved Community Member Number, correct and retransmit. For further assistance, contact the licensing agency. The Department of State/Directorate of Defense Trade Controls/Licensing Division can be reached at 202-663-2700.

Response Code: 673

**Narrative Text:** COMMERCE DEPARTMENT LICENSE NOT OBTAINED

**Severity:** INFORMATIONAL

**Proprietary Record ID/Data Elements:** Input CL1 Record/License Code/License Exemption Code

**X.12 Segment ID/Data Elements:** X102; X103

**Reason:** The filer selected License Type VDC indicating the export of a licensable good without a valid Commerce Department license. This License Code may be used only when:

- A party believes a violation of the Export Administration Regulations has occurred;
- A Voluntary Self-Disclosure (VSD) has been submitted to both the Bureau of Industry and Security and U.S. Census Bureau; and
- The AES does not allow the filer to enter or correct Electronic Export Information (EEI) with the original license type.

While a VSD may serve as a mitigating factor, it does not exempt the parties from any enforcement action.

**Resolution:** See “Appendix F, License and License Exemption Type Codes and Reporting Guidelines” for further guidance.
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Response Code: 674
Narrative Text: STATE DEPARTMENT LICENSE NOT OBTAINED
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code
X.12 Segment ID/Data Elements: X102; X103
Reason: The filer selected License Type VDS indicating the export of a licensable good without a valid State Department license. This License Code may be used only when:
- A party believes a violation of the International Traffic in Arms Regulations has occurred;
- A Voluntary Disclosure has been submitted to both the State Department and U.S. Census Bureau; and
- The AES does not allow the filer to enter or correct Electronic Export Information (EEI) with the original license type.
While a Voluntary Disclosure may serve as a mitigating factor, it does not exempt the parties from any enforcement action.
Resolution: See “Appendix F, License and License Exemption Type Codes and Reporting Guidelines” for further guidance.

Response Code: 675
Narrative Text: OTHER AGENCY LICENSE NOT OBTAINED
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code
X.12 Segment ID/Data Elements: X102; X103
Reason: The filer selected License Type VDO indicating the export of a controlled good without a valid license, permit, or authorization prior to export. This License Code may be used only when:
- A party believes a violation of the export regulations of a federal government agency has occurred;
- A disclosure of the suspected violation has been submitted to the U.S. Census Bureau and the other agency involved, if applicable; and
- The AES does not allow the filer to enter or correct Electronic Export Information (EEI) with the original license type.
VDO may not be used for shipments involving violations of the International Traffic in Arms Regulations or Export Administration Regulations. While a disclosure may serve as a mitigating factor, it does not exempt the parties from any enforcement action.
Resolution: See “Appendix F, License and License Exemption Type Codes and Reporting Guidelines” for further guidance.
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Response Code: 676
Narrative Text: PRIOR DATE OF EXPORT REQUIRED
Severity: FATAL
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export; Input CL1 Record/License Code/License Exemption Code
X.12 Segment ID/Data Elements: DTM02; X102; X103
Reason: The reported Date of Export is the current or future date. The selected License Type may be used only when:
- A party believes a violation of the export regulations of federal government agency has occurred;
- A disclosure of the suspected violation has been submitted to the U.S. Census Bureau and the other federal agency involved, if applicable; and
- The AES does not allow the filer to correct previously reported Electronic Export Information (EEI) or submit new EEI.
As a result, the Date of Export must be prior to the current date.
Resolution: Verify the Date of Export, correct the SC1 record and retransmit.

FWS
Response Code: 677
Narrative Text: eDECs NUMBER UNKNOWN OR INVALID
Severity: INFORMATIONAL (only during pilot)
Proprietary Record ID/Data Elements: Input PGA Record/eDECs Confirmation Number
X.12 Segment ID/Data Elements: MAN03 (position 1-14)
Reason: The eDECs number declared on the PGA record is not a known valid value in AES.
Resolution: The eDECs number reported must be one that is valid in AES and eDecs number cannot be reused. Verify the eDECs number, correct and retransmit. If no eDecs Number, contact FWS.

Response Code: 678
Narrative Text: TAXONOMIC SERIAL NO. UNKNOWN OR INVALID
Severity: INFORMATIONAL (only during pilot)
Proprietary Record ID/Data Elements: Input PGA Record/Taxonomic Serial Number (TSN)
X.12 Segment ID/Data Elements: MAN03 (position 15-33)
Reason: The Taxonomic Serial number declared on the PGA record is not a known valid value in AES.
Resolution: The Taxonomic Serial number reported must be one that is valid in AES. Verify the Taxonomic Serial number (TSN), correct and retransmit.

Response Code: 679
Narrative Text: FWS PURPOSE CODE UNKNOWN OR INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/FWS Purpose Code
X.12 Segment ID/Data Elements: MAN03 (position 34)
Reason: The FWS Purpose Code declared on the PGA record is not a known valid value in AES.
Resolution: The FWS Purpose Code reported must be one that is valid in AES. Verify the FWS Purpose Code, correct and retransmit.
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Response Code: 680
Narrative Text: FWS DESCRIPTION CODE UNKNOWN OR INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/FWS Description Code
X.12 Segment ID/Data Elements: MAN03 (position 35-37)
Reason: The FWS Description Code declared on the PGA record is not a known valid value in AES.
Resolution: The FWS Description Code reported must be one that is valid in AES. Verify the FWS Description Code, correct and retransmit.

Response Code: 681
Narrative Text: INVALID COUNTRY CODE
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/ISO Country Code
X.12 Segment ID/Data Elements: MAN03 (position 38-39)
Reason: The ISO country code declared on the PGA record is not an acceptable value in AES.
Resolution: The ISO country code must be a valid ISO country code listed in Appendix C, ISO Country Codes*. Verify the country code, select the appropriate ISO code, correct and retransmit.

Response Code: 682
Narrative Text: FWS SOURCE CODE UNKNOWN OR INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/FWS Source Code
X.12 Segment ID/Data Elements: MAN03 (position 40)
Reason: The FWS Source Code declared on the PGA record is not a known valid value in AES.
Resolution: The FWS Source Code reported must be one that is valid in AES. Verify the FWS Purpose Code, correct and retransmit.

Response Code: 683
Narrative Text: EXEMPTION CODE UNKNOWN OR INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/Exemption Code
X.12 Segment ID/Data Elements: MAN03 (position 41-43)
Reason: The reported Exemption Code declared on the PGA record is not a known valid value in AES.
Resolution: The Exemption code reported must be one that is valid in AES. Verify the exemption code, correct and retransmit.

Response Code: 684
Narrative Text: FWS CATEGORY CODE UNKNOWN OR INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/FWS Wildlife Category Code
X.12 Segment ID/Data Elements: MAN03 (position 44-46)
Reason: The FWS Wildlife Category Code declared on the PGA record is not a known valid value in AES.
Resolution: The FWS Wildlife Category Code reported must be one that is valid in AES. Verify the FWS Wildlife Category Code, correct and retransmit.
APPENDIX A – Commodity Filing Response Messages

Response Code: 685
Narrative Text: STATE UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/State Code
X.12 Segment ID/Data Elements: MAN03 (position 47-48)
Reason: The State code declared is not a valid US State Code.
Resolution: The state declared must be a valid US State Code. Verify the State Code, correct and retransmit.

Response Code: 686
Narrative Text: eDecs # REQUIRED
Severity: Informational during Pilot
Proprietary Record ID/Data Elements: Input PGA record/eDecs Confirmation #
X.12 Segment ID/Data Elements: MAN03 (position 1-14)
Reason: eDecs confirmation number was not reported for the commodity. An eDecs # is required for the commodity reported based on the HTS code.
Resolution: Verify that the commodity code reported is correct. Report the shipment with the correct eDecs confirmation number and retransmit. If no eDecs Number, contact FWS.

Response Code: 687
Narrative Text: eDecs # MAY BE REQUIRED
Severity: Verification
Proprietary Record ID/Data Elements: Input PGA record/eDecs Confirmation #
X.12 Segment ID/Data Elements: MAN03 (position 1-14)
Reason: eDecs confirmation number was not reported for the commodity. An eDecs # is required for the commodity reported based on the HTS code.
Resolution: No action required if the information submitted is correct and no eDec # is required. If no eDecs Number, contact FWS.

Response Code: 688
Narrative Text: TSN # REQUIRED
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/Taxonomic Serial #
X.12 Segment ID/Data Elements: MAN03 (position 15-33)
Reason: Taxonomic Serial number is required for the commodity instead of eDecs #.
Resolution: Verify that the commodity code reported is correct. Report the Taxonomic Serial number and retransmit.

Response Code: 689
Narrative Text: FW8 IS REQUIRED
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/FW8 record
X.12 Segment ID/Data Elements: MAN03
Reason: When line action is Add/Change and an FW7 record is specified, FW8 record is required.
Resolution: Verify that the filing includes an FW7 and FW8 record for an Add/Change and retransmit.
APPENDIX A – Commodity Filing Response Messages

Response Code: 68A
Narrative Text: TAXONOMIC SERIAL NO. MISSING
Severity: INFORMATIONAL (only during pilot)
Proprietary Record ID/Data Elements: Input PGA Record/Taxonomic Serial Number (TSN)
X.12 Segment ID/Data Elements: MAN03 (position 15-33)
Reason: The Taxonomic Serial number is missing on the PGA record.
Resolution: The Taxonomic Serial number must be reported and must be one that is valid in AES; correct and retransmit.

Response Code: 68B
Narrative Text: FWS PURPOSE CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/FWS Purpose Code
X.12 Segment ID/Data Elements: MAN03 (position 34)
Reason: The FWS Purpose Code is missing on the PGA record.
Resolution: The FWS Purpose Code must be reported and one that is valid in AES. Verify the FWS Purpose Code, correct and retransmit.

Response Code: 68C
Narrative Text: FWS DESCRIPTION CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/FWS Description Code
X.12 Segment ID/Data Elements: MAN03 (position 35-37)
Reason: The FWS Description Code is missing on the PGA record.
Resolution: The FWS Description Code must be reported and one that is valid in AES. Verify the FWS Description Code, correct and retransmit.

Response Code: 68D
Narrative Text: COUNTRY CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/ISO Country Code
X.12 Segment ID/Data Elements: MAN03 (position 38-39)
Reason: The ISO country code is missing on the PGA record.
Resolution: The ISO country code must be reported and valid ISO country code. Verify the country code, correct and retransmit.

Response Code: 68E
Narrative Text: FWS SOURCE CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/FWS Source Code
X.12 Segment ID/Data Elements: MAN03 (position 40)
Reason: The FWS Source Code is missing on the PGA record.
Resolution: The FWS Source Code must be reported and one that is valid in AES. Verify the FWS Purpose Code, correct and retransmit.
APPENDIX A – Commodity Filing Response Messages

Response Code: 68F
Narrative Text: EXEMPTION CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/Exemption Code
X.12 Segment ID/Data Elements: MAN03 (position 41-43)
Reason: The Exemption Code is missing on the PGA record.
Resolution: The Exemption code must be reported and one that is valid in AES. Verify the exemption code, correct and retransmit.

Response Code: 68G
Narrative Text: FWS CATEGORY CODE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/FWS Wildlife Category Code
X.12 Segment ID/Data Elements: MAN03 (position 44-46)
Reason: The FWS Wildlife Category Code is missing on the PGA record.
Resolution: The FWS Wildlife Category Code must be reported and one that is valid in AES. Verify the FWS Wildlife Category Code, correct and retransmit.

Response Code: 68H
Narrative Text: STATE MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/State Code
X.12 Segment ID/Data Elements: MAN03 (position 47-48)
Reason: The State code is missing on the PGA record.
Resolution: The state declared must be reported and a valid US State Code. Verify the State Code, correct and retransmit.

Response Code: 68I
Narrative Text: DESCRIPTION MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/Commercial Description
X.12 Segment ID/Data Elements: MAN03 (position 1-48) & MAN05 (1-22)
Reason: The Commercial Description is missing on the PGA record.
Resolution: The Commercial Description must be reported, correct and retransmit.
APPENDIX A – Commodity Filing Response Messages

**Response Code: 690**

**Narrative Text:** NET EXCEEDS QUANTITY AUTHORIZED BY DEA

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input PGA Record/Quantity

**X.12 Segment ID/Data Elements:** MAN03 (Position 5-18)

**Reason:** The Quantity exceeds the amount DEA's permit/transaction allowed.

**Resolution:** The Quantity declared on PGA record must not exceed what was reported on DEA Permit. Verify the Quantity, correct and retransmit.

**Response Code: 691**

**Narrative Text:** INVALID UOM REPORTED

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input PGA Record/Unit of Measure

**X.12 Segment ID/Data Elements:** MAN03 (Position 19-21)

**Reason:** The UOM must be reported in G, MG, KG, MCG to AES.

**Resolution:** The Unit of Measure declared on PGA record must be reported in G, MG, KG, MCG to AES. Verify the Unit of Measure, correct and retransmit.

**Response Code: 692**

**Narrative Text:** TRANSACTION TYPE INVALID

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input PGA Record/Transaction Type

**X.12 Segment ID/Data Elements:** MAN03 (Positions 22)

**Reason:** The Transaction Type must be reported as Export or Transient.

**Resolution:** The Transaction Type declared on PGA record must be reported as Export or Transient. Verify the Transaction Type, correct and retransmit.

**Response Code: 693**

**Narrative Text:** DEA PERMIT #/TRANSACTION ID # INVALID

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input PGA Record/Permit #/Transaction ID #

**X.12 Segment ID/Data Elements:** MAN03 (Positions 23–35)

**Reason:** The Permit Number or Transaction ID Number declared on the PGA record is not a known valid number or has been expired in AES.

**Resolution:** The Permit Number or Transaction ID Number reported must be one that is valid in AES. Verify Permit Number or Transaction ID Number matches the assigned number given by Registrant, correct and retransmit.

**Response Code: 694**

**Narrative Text:** ENTITY NO. INVALID

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input PGA Record/Entity #

**X.12 Segment ID/Data Elements:** MAN03 (Positions 30–38)

**Reason:** The Entity Number declared on the PGA record is not a known valid number in AES. DEA assigns identification numbers to companies that are not registrants.

**Resolution:** The Entity Number reported must be one that is valid in AES. The entity number is ????? number given to you by DEA and listed in your DEA permit. Verify Entity Number, correct and retransmit.
### APPENDIX A – Commodity Filing Response Messages

**Response Code: 695**

<table>
<thead>
<tr>
<th>Narrative Text:</th>
<th>PERMIT #/TRANSACTION # MAY BE REQUIRED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Severity:</td>
<td>INFORMATIONAL</td>
</tr>
<tr>
<td>Proprietary Record ID/Data Elements:</td>
<td>Input PGA/ Permit #</td>
</tr>
<tr>
<td>X.12 Segment ID/Data Elements:</td>
<td>MAN03 (Position 23-35)</td>
</tr>
</tbody>
</table>

**Reason:** DEA permit was not reported for the commodity. A DEA permit may be required for the commodity reported based on the HTS code.

**Resolution:** Verify that the commodity code reported is correct and DEA permit is not required. If no DEA permit is required, then no action is necessary. Otherwise, correct the shipment with the DEA permit.

**Response Code: 696**

<table>
<thead>
<tr>
<th>Narrative Text:</th>
<th>DEA PERMIT #/TRANSACTION ID # REQUIRED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Severity:</td>
<td>FATAL</td>
</tr>
<tr>
<td>Proprietary Record ID/Data Elements:</td>
<td>Input PGA/ Permit #</td>
</tr>
<tr>
<td>X.12 Segment ID/Data Elements:</td>
<td>MAN03 (Position 23-35)</td>
</tr>
</tbody>
</table>

**Reason:** DEA Permit/Transaction ID was not reported for the commodity. A DEA permit/Transaction ID is required for the commodity reported based on the HTS code.

**Resolution:** Verify that the commodity code reported is correct. Report shipment with the correct DEA permit/Transaction ID information.

**Response Code: 697**

<table>
<thead>
<tr>
<th>Narrative Text:</th>
<th>PERMIT #/TRANSACTION ID # ALREADY USED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Severity:</td>
<td>FATAL</td>
</tr>
<tr>
<td>Proprietary Record ID/Data Elements:</td>
<td>Input PGA Record/Permit #</td>
</tr>
<tr>
<td>X.12 Segment ID/Data Elements:</td>
<td>MAN03 (Position 23-35)</td>
</tr>
</tbody>
</table>

**Reason:** DEA permit/Transaction ID declared has already been used.

**Resolution:** The DEA permit/Transaction ID can only be used in a single shipment. Verify the DEA permit/Transaction ID, correct and retransmit.

**Response Code: 698**

<table>
<thead>
<tr>
<th>Narrative Text:</th>
<th>DRUG CODE UNKNOWN OR INVALID</th>
</tr>
</thead>
<tbody>
<tr>
<td>Severity:</td>
<td>FATAL</td>
</tr>
<tr>
<td>Proprietary Record ID/Data Elements:</td>
<td>Input PGA Record/Drug Code</td>
</tr>
<tr>
<td>X.12 Segment ID/Data Elements:</td>
<td>MAN03 (Position 1-4)</td>
</tr>
</tbody>
</table>

**Reason:** The Drug Code declared on the PGA record is not a known valid value in AES

**Resolution:** The DEA issued Drug Code reported must be one that is valid in AES. Verify the Drug Code, correct and retransmit.

**Response Code: 69A**

<table>
<thead>
<tr>
<th>Narrative Text:</th>
<th>EXP DATE &gt; PERMIT/TRANS EXPIRATION DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Severity:</td>
<td>FATAL</td>
</tr>
<tr>
<td>Proprietary Record ID/Data Elements:</td>
<td>Input SC1/Estimated date of export/PGA Record/Permit Number</td>
</tr>
<tr>
<td>X.12 Segment ID/Data Elements:</td>
<td>DTM02/MAN03 (Position 23-29)</td>
</tr>
</tbody>
</table>

**Reason:** The expiration date on the permit/Transaction cannot be greater than the estimated date of export.

**Resolution:** The Permit number/Transaction ID must be a known value in AES and cannot be expired at the time of export. Verify the permit number/transaction id number, correct and retransmit.
APPENDIX A – Commodity Filing Response Messages

**AMS**

**Response Code: 64B**

**Narrative Text:** AMS EXPORT CERTIFICATE NBR INVALID FORMAT

**Severity:** INFORMATIONAL (only during pilot)

**Proprietary Record ID/Data Elements:** Input PGA record/AMS Export Certificate Number

**X.12 Segment ID/Data Elements:** MAN03 (Position 1-13)

**Reason:** The correct format for AMS Export Certificate number is ‘X’ or ‘C’, followed by 7 or 12 digits without any hyphens (i.e. ‘C1234567’ or ‘C123456789022’).

**Resolution:** For AMS Export Certificate number, enter the AMS Export Certificate number in correct format and retransmit.

**Response Code: 64C**

**Narrative Text:** AMS EXPORT CERTIFICATE NBR MISSING

**Severity:** INFORMATIONAL (only during pilot)

**Proprietary Record ID/Data Elements:** Input PGA record/AMS Export Certificate Number

**X.12 Segment ID/Data Elements:** MAN03 (Position 1-13)

**Reason:** The AMS Export Certificate number missing.

**Resolution:** Report the entry number to USDA-AMS for review. Product may be released, as current regulatory authority does not require the importer to provide the Export Certificate number to USDA or CBP. Correct and retransmit.
APPENDIX A – Commodity Filing Response Messages

**TTB**

**Response Code: 65A**
- **Narrative Text:** DATE CANNOT BE > EXPORT DATE
- **Severity:** INFORMATIONAL DURING PILOT
- **Proprietary Record ID/Data Elements:** Input PGA record/Date; Input SC1 record/Estimated Date of Export.
- **X.12 Segment ID/Data Elements:** MAN03 (16-23)
  - **Reason:** This date cannot be greater than the estimated date of export.
  - **Resolution:** The date declared cannot be greater than estimated date of export. Verify the date, correct and retransmit.

**Response Code: 65B**
- **Narrative Text:** DATE UNKNOWN
- **Severity:** INFORMATIONAL DURING PILOT
- **Proprietary Record ID/Data Elements:** Input PGA Record/Date
- **X.12 Segment ID/Data Elements:** MAN03 (position 16-23)
  - **Reason:** The date was not a known date.
  - **Resolution:** The date specified on the PGA record must be a known date formatted as YYYYMMDD. Verify the date, correct and retransmit.

**Response Code: 65C**
- **Narrative Text:** UNKNOWN FORMAT FOR TTB
- **Severity:** INFORMATIONAL DURING PILOT
- **Proprietary Record ID/Data Elements:** Input PGA Record/TTB Permit#, Brewers Notice, EIN
- **X.12 Segment ID/Data Elements:** MAN03 (position 1-15)
  - **Reason:** The TTB Permit, Brewers Notice or EIN does not have the required format.
  - **Resolution:** The TTB Permit, Brewers Notice or EIN declared does not have the required format. Correct formats are: Left justified: XX-XXX-XX-XXXXX or XX-XX-XXXXX or XXX-XX-XXXXX or XX-XXXXXXXXX. Verify, correct and retransmit.

**Response Code: 65D**
- **Narrative Text:** SERIAL NUMBER REQUIRED
- **Severity:** INFORMATIONAL DURING PILOT
- **Proprietary Record ID/Data Elements:** Input PGA Record/Serial Number
- **X.12 Segment ID/Data Elements:** MAN03 (position 24-34)
  - **Reason:** The Serial number is missing on the PGA record.
  - **Resolution:** The Serial number must be entered on the PGA record.
  - **Correct format** - Left Justified: XXXXXXXXXXXX. Verify the Serial number, correct and retransmit.

**Response Code: 65E**
- **Narrative Text:** TTB DISCLAIMER INVALID
- **Severity:** INFORMATIONAL DURING PILOT
- **Proprietary Record ID/Data Elements:** Input PGA Record/TTB Disclaimer
- **X.12 Segment ID/Data Elements:** MAN03 (35)
  - **Reason:** The TTB disclaimer must be ‘1’ or space fill if not used.
  - **Resolution:** The TTB disclaimer valid values ‘1’ or space fill if not used. Verify the TTB disclaimer, correct and retransmit.
APPENDIX A – Commodity Filing Response Messages

Response Code: 65F

<table>
<thead>
<tr>
<th>Narrative Text:</th>
<th>TTB DISCLAIMER DECLARED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Severity:</td>
<td>INFORMATIONAL DURING PILOT</td>
</tr>
<tr>
<td>Proprietary Record ID/Data Elements:</td>
<td>Input PGA Record/TTB Disclaimer</td>
</tr>
<tr>
<td>X.12 Segment ID/Data Elements:</td>
<td>MAN03 (35)</td>
</tr>
<tr>
<td>Reason:</td>
<td>TTB disclaimer declared.</td>
</tr>
<tr>
<td>Resolution:</td>
<td>When TTB disclaimer is declared, other fields on the TTB PGA record must be blank. Verify the TTB disclaimer, correct and retransmit.</td>
</tr>
</tbody>
</table>

Response Code: 65G

<table>
<thead>
<tr>
<th>Narrative Text:</th>
<th>ONLY DISCLAIMER OR TTB PGA ALLOWED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Severity:</td>
<td>INFORMATIONAL DURING PILOT</td>
</tr>
<tr>
<td>Proprietary Record ID/Data Elements:</td>
<td>Input PGA Record/TTB Disclaimer</td>
</tr>
<tr>
<td>X.12 Segment ID/Data Elements:</td>
<td>MAN03 (35)</td>
</tr>
<tr>
<td>Reason:</td>
<td>TTB disclaimer declared and only one TTB PGA record allowed.</td>
</tr>
<tr>
<td>Resolution:</td>
<td>When TTB disclaimer is declared, other fields on the TTB PGA record must be blank. When TTB disclaimer is claimed, only one TTB PGA record can be reported for a given commodity. Verify the TTB disclaimer, correct and retransmit.</td>
</tr>
</tbody>
</table>
APPENDIX A – Commodity Filing Response Messages

AESTIR Part III, Appendix A

Response Code: 66A
- **Narrative Text:** EITHER FFL # OR EXEMPTION CODE ALLOWED
- **Severity:** INFORMATIONAL DURING PILOT
- **Proprietary Record ID/Data Elements:** Input PGA Record/FFL Number/FFL Exemption Code
- **X.12 Segment ID/Data Elements:** MAN03 (position 1-21)
- **Reason:** Either FFL number or FFL Exemption Code can be specified, but not both.
- **Resolution:** Either FFL number or FFL Exemption Code can be specified, but not both. Verify the FFL # or Exemption code, correct and retransmit.

Response Code: 66B
- **Narrative Text:** EITHER PERMIT # OR EXEMPTN CD ALLOWED
- **Severity:** INFORMATIONAL DURING PILOT
- **Proprietary Record ID/Data Elements:** Input PGA Record/Permit #/Permit Exemption Code
- **X.12 Segment ID/Data Elements:** MAN03 (position 22-33)
- **Reason:** Either Permit number or Permit Exemption Code can be specified, but not both.
- **Resolution:** Either Permit number or Permit Exemption Code can be specified, but not both. Verify the Permit # or Permit Exemption Code, correct and retransmit.

Response Code: 66C
- **Narrative Text:** FFL NUMBER UNKNOWN OR INVALID
- **Severity:** INFORMATIONAL DURING PILOT
- **Proprietary Record ID/Data Elements:** Input PGA Record/FFL Number
- **X.12 Segment ID/Data Elements:** MAN03 (position 1-20)
- **Reason:** The FFL Number declared on the PGA record is not a known valid value in AES.
- **Resolution:** The FFL Number reported must be one that is valid in AES. Verify the Permit number, correct and retransmit.

Response Code: 66E
- **Narrative Text:** FFL EXEMPTION CODE INVALID
- **Severity:** INFORMATIONAL DURING PILOT
- **Proprietary Record ID/Data Elements:** Input PGA Record/FFL Exemption Code
- **X.12 Segment ID/Data Elements:** MAN03 (position 21)
- **Reason:** The FFL Exemption code declared on the PGA record is not an acceptable value in AES.
- **Resolution:** The FFL Exemption code must be 1 or 2. Select the appropriate FFL Exemption code, correct and retransmit.

Response Code: 66F
- **Narrative Text:** FFL NUMBER EXPIRED
- **Severity:** INFORMATIONAL DURING PILOT
- **Proprietary Record ID/Data Elements:** Input PGA Record/FFL Number
- **X.12 Segment ID/Data Elements:** MAN03 (position 1-20)
- **Reason:** The FFL Number declared on the PGA record is expired in AES.
- **Resolution:** The FFL Number must be a known value in AES and cannot be expired at the time of export. Verify the FFL number, correct and retransmit.
APPENDIX A – Commodity Filing Response Messages

Response Code: 66G
Narrative Text: FFL # NOT A MATCH WITH PERMIT NUMBER
Severity: INFORMATIONAL DURING PILOT
Proprietary Record ID/Data Elements: Input PGA Record/FFL Number/Permit Number
X.12 Segment ID/Data Elements: MAN03 (position 1-20 & 22-32)
Reason: The FFL Number does not match the Permit Number declared on the PGA record.
Resolution: The FFL Number and Permit number has to match up AES. Verify the FFL number and Permit number, correct and retransmit.

Response Code: 66H
Narrative Text: PERMIT NUMBER UNKNOWN OR INVALID
Severity: INFORMATIONAL DURING PILOT
Proprietary Record ID/Data Elements: Input PGA Record/FFL Number
X.12 Segment ID/Data Elements: MAN03 (position 22-32)
Reason: The Permit number declared on the PGA record is not a known valid value in AES.
Resolution: The Permit number reported must be one that is valid in AES. Verify the Permit number, correct and retransmit.

Response Code: 66I
Narrative Text: PERMIT NUMBER EXPIRED
Severity: INFORMATIONAL DURING PILOT
Proprietary Record ID/Data Elements: Input PGA Record/FFL Number
X.12 Segment ID/Data Elements: MAN03 (position 22-32)
Reason: The Permit number declared on the PGA record is expired in AES.
Resolution: The Permit number must be a known value in AES and cannot be expired at the time of export. Verify the Permit number, correct and retransmit.

Response Code: 66J
Narrative Text: PERMIT NUMBER ALREADY USED
Severity: INFORMATIONAL DURING PILOT
Proprietary Record ID/Data Elements: Input PGA Record/FFL Number
X.12 Segment ID/Data Elements: MAN03 (position 22-32)
Reason: The Permit number declared on the PGA record can only be used once in AES.
Resolution: The Permit number can only be used once in AES. Verify the Permit number, correct and retransmit.

Response Code: 66K
Narrative Text: PERMIT EXEMPTION CODE INVALID
Severity: INFORMATIONAL DURING PILOT
Proprietary Record ID/Data Elements: Input PGA Record/Permit Exemption Code
X.12 Segment ID/Data Elements: MAN03 (position 33)
Reason: The Permit Exemption Code declared on the PGA record is not an acceptable value in AES.
Resolution: The Permit Exemption code must be 1 or 2. Select the appropriate Permit Exemption code, correct and retransmit.
APPENDIX A – Commodity Filing Response Messages

Response Code: 66L
Narrative Text: CATEGORY CODE INVALID
Severity: INFORMATIONAL DURING PILOT
Proprietary Record ID/Data Elements: Input PGA Record/Permit Quantity
X.12 Segment ID/Data Elements: MAN03 (position 44-47)
Reason: The Category Code declared on the PGA record is not a known value in AES.
Resolution: The Category code must be a valid code for exports. Verify, correct and retransmit.

Response Code: 66M
Narrative Text: QUANTITY MUST BE SPECIFIED
Severity: INFORMATIONAL DURING PILOT
Proprietary Record ID/Data Elements: Input PGA Record/Quantity
X.12 Segment ID/Data Elements: MAN03 (position 34-42)
Reason: The quantity is missing on the PGA record.
Resolution: The quantity must be specified on the PGA record, must be whole numbers, right justified and zero fill. Verify, correct and retransmit.

Response Code: 66N
Narrative Text: QUANTITY MUST NOT EXCEED PERMIT
Severity: INFORMATIONAL DURING PILOT
Proprietary Record ID/Data Elements: Input PGA Record/Quantity
X.12 Segment ID/Data Elements: MAN03 (position 34-42)
Reason: The quantity declared exceeds the Permit.
Resolution: The quantity must not exceed the Permit. Verify, correct and retransmit.

Response Code: 66O
Narrative Text: QUANTITY MUST MATCH THE PERMIT
Severity: INFORMATIONAL DURING PILOT
Proprietary Record ID/Data Elements: Input PGA Record/Quantity
X.12 Segment ID/Data Elements: MAN03 (position 34-42)
Reason: For each Permit declared, the cumulative quantity (across this single Filing) must exactly match the Permit.
Resolution: The cumulative quantity (across this single Filing) must exactly match the Permit. Verify, correct and retransmit.

Response Code: 66P
Narrative Text: QUANTITY CONTAINS NON-NUMERICS
Severity: INFORMATIONAL DURING PILOT
Proprietary Record ID/Data Elements: Input PGA Record/Quantity
X.12 Segment ID/Data Elements: MAN03 (position 34-42)
Reason: The quantity declared contains non-numerics or spaces.
Resolution: The quantity must be whole numbers, right justified and zero fill. Verify, correct and retransmit.
**APPENDIX A – Commodity Filing Response Messages**

**Response Code: 67A**

**Narrative Text:** PRODUCT DESCRIPTION MISSING

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input PGA record/Description

**X.12 Segment ID/Data Elements:** MAN03 (Position 1-48)

**Reason:** The Description of product declared for HMS and AMR is missing from record.

**Resolution:** The description of product must be entered for AMR (Antarctic Marine Living Resources) or HMS (Highly Migratory Species). Verify the Product Description, correct and retransmit.

**Response Code: 67B**

**Narrative Text:** AGENCY PROGRAM CODE INVALID

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input PGA record/Government Agency Program Code

**X.12 Segment ID/Data Elements:** MAN05 (Position 23-25)

**Reason:** The Government Agency Program Code declared is not a valid value in AES.

**Resolution:** Only AMR (Antarctic Marine Living Resources) or HMS (Highly Migratory Species) can only be declared. Verify the Government Agency Program Code, correct and retransmit. Verify the Agency Program Code, correct and retransmit.

**Response Code: 67C**

**Narrative Text:** PROCESSING TYPE CODE UNKNOWN OR INVALID

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input PGA record/Processing Type Code

**X.12 Segment ID/Data Elements:** MAN03 (Position 1-3)

**Reason:** The Processing Type Code declared is not a valid code based in Code List.

**Resolution:** Only for HMS (Highly Migratory Species) can be declared. Verify the Processing Type Code, correct and retransmit.

**Response Code: 67D**

**Narrative Text:** PERMIT NBR. UNKNOWN OR INVALID

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input PGA record/Permit Number

**X.12 Segment ID/Data Elements:** MAN03 (Position 1-14)

**Reason:** The Permit Number declared on PGA record is unknown or not a valid number.

**Resolution:** Both HMS (Highly Migratory Species) and AMR (Antarctic Marine Life Resources) programs require exporters to have an International Fisheries Trade Permit that will need to be validated. Verify the Permit Number, correct and retransmit.

**Response Code: 67E**

**Narrative Text:** DOCUMENT IDENTIFIER INVALID/MISSING

**Severity:** FATAL

**Proprietary Record ID/Data Elements:** Input PGA record/Document Identifier

**X.12 Segment ID/Data Elements:** MAN03 (Position 4-6)

**Reason:** The Document Identifier declared is unknown or missing.

**Resolution:** Verify the Document Identifier is valid for exports, correct and retransmit.
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Response Code: 67F
Narrative Text: DOCUMENT NBR. MISSING
Severity: VERIFY
Proprietary Record ID/Data Elements: Input PGA record/Document Number
X.12 Segment ID/Data Elements: MAN03 (Position 14-43)
Reason: The Document Image Indicator is ‘Y’ and the Document Number is missing on the PGA record.
Resolution: Enter the Document Number and retransmit.

Response Code: 67G
Narrative Text: DOCUMENT IMAGE INDICATOR UNKNOWN
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/Document Image Indicators
X.12 Segment ID/Data Elements: MAN03 (Position 44)
Reason: The Document Image Indicator area declared must be entered in the PGA record as ‘Y’ (Yes) or ‘N’ (No).
Resolution: Verify the Document Image Indicator, correct and retransmit.

Response Code: 67H
Narrative Text: SOURCE OF COUNTRY CODE INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/Source-Country
X.12 Segment ID/Data Elements: MAN03 (Position 45)
Reason: The Source Country Code area declared must be one that is valid in AES.
Resolution: Only for HMS (Highly Migratory Species) can be declared. Verify the Source of Country Code, correct and retransmit.

Response Code: 67I
Narrative Text: ONLY NM7 & NM9 REQUIRED FOR AMR PROGRAM
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/NM7/NM9
X.12 Segment ID/Data Elements: MAN03/MAN04/MAN05
Reason: For AMR (Antarctic Marine Living Resources) program, NM7 & NM9 must be reported in the PGA record.
Resolution: For AMR (Antarctic Marine Living Resources) program, NM7 record should always be followed by an NM9 record. Verify, correct and retransmit.

Response Code: 67J
Narrative Text: RE-EXPORT NBR MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/NM9/Re-Export Number
X.12 Segment ID/Data Elements: MAN05 (Position 13-24)
Reason: The Re-Export number is missing on the PGA record.
Resolution: For AMR (Antarctic Marine Living Resources) program, the Re-Export Number must be specified on the PGA record. Verify, correct and retransmit.
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Response Code: 67K
Narrative Text: CMTY HRVSTNG VSSL CNTRY RGISTRY CD INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/Source-Country
X.12 Segment ID/Data Elements: MAN05 (Positions 4-5)
Reason: The Commodity Harvesting Vessel Country of Registry Code declared is not known in AES.
Resolution: The Commodity Harvesting Vessel Country of Registry Code area declared must be one that is valid in AES. Verify the Commodity Harvesting vessel Country of Registry, correct and retransmit.

Response Code: 67L
Narrative Text: OCEAN GEOGRAPHIC AREA CODE INVALID
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/Geographic Location
X.12 Segment ID/Data Elements: MAN05 (Position 6-8)
Reason: The Ocean Geographic area declared is not a known value in AES.
Resolution: The Ocean Geographic area declared must be one that is valid in AES. Verify the Ocean Geographic area, correct and retransmit.

Response Code: 67M
Narrative Text: PERMIT NUMBER EXPIRED
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/Permit Number
X.12 Segment ID/Data Elements: MAN03 (Position 1-14)
Reason: The Permit number is expired in AES.
Resolution: The Permit number is expired. Verify the Permit Number, correct and retransmit.

Response Code: 67N
Narrative Text: LPCS QTY INVALID/MISSING
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/LPCS Qty
X.12 Segment ID/Data Elements: MAN03 (Position 15-29)
Reason: The LPCS Qty is mandatory for AMR program and must be reported on the PGA record.
Resolution: The LPCS Qty must be specified on the PGA record. Verify the LPCS Quantity, correct and retransmit.

Response Code: 67O
Narrative Text: LPCO UOM MUST BE KG
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/LPCO Unit of Measure
X.12 Segment ID/Data Elements: MAN03 (Position 30-31)
Reason: The LPCO Unit of Measure is mandatory for AMR program and must be reported in KG on the PGA record
Resolution: The LPCO Unit of measure must be specified in KG on the PGA record. Verify the LPCO Unit of Measure, correct and retransmit.
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Response Code: 67P
Narrative Text: CATCH DOCUMENT NBR MISSING
Severity: VERIFY
Proprietary Record ID/Data Elements: Input PGA Record/Catch Document Number
X.12 Segment ID/Data Elements: MAN05 (Position 1-12)
Reason: The Catch Document Number is mandatory for AMR program and must be reported on the PGA record.
Resolution: Enter the Catch Document Number, correct and retransmit.

Response Code: 67Q
Narrative Text: BOTH NM8/NM9 REQUIRED FOR HMS PROGRAM
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA Record/NM8/NM9
X.12 Segment ID/Data Elements: MAN03/MAN04/MAN05
Reason: For HMS (Highly Migratory Species) program, NM7, NM8 & NM9 must be reported in PGA record.
Resolution: For HMS (Highly Migratory Species) program, NM7 record should always be followed by NM8 and NM9. The NM7, NM8 and NM9 sequence can be repeated up to 999 times per commodity line. Verify, correct and retransmit.

Response Code: 67R
Narrative Text: MISSING DOCUMENT
Severity: WARNING
Proprietary Record ID/Data Elements: Input PGA record/Document Image Indicator
X.12 Segment ID/Data Elements: MAN03
Reason: The Document Image Indicator = ‘N’ and no document number declared in the PGA record.
Resolution: Provide document number at earliest possible time.

Response Code: 67S
Narrative Text: eBCD NUMBER INVALID FORMAT
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/Document Number
X.12 Segment ID/Data Elements: MAN03 (Position 14-43)
Reason: The correct format for eBCD number is ‘US’, followed by two digit year, then always a ‘9’ and followed by 5 numbers (i.e. ‘US16912345’).
Resolution: For HMS (Highly Migratory Species) program, enter the eBCD number in correct format and retransmit.

Response Code: 67T
Narrative Text: RE-EXPORT NBR INVALID FORMAT
Severity: FATAL
Proprietary Record ID/Data Elements: Input PGA record/NM9/Re-Export Number
X.12 Segment ID/Data Elements: MAN05 (Position 13-24)
Reason: The correct format for re-export number is ‘US’, followed by two digit year, then always an ‘R’ and 5 numbers (i.e. ‘US16R12345’).
Resolution: For AMR (Antarctic Marine Living Resources) program, the Re-Export Number must be specified and in correct format on the PGA record. Verify, correct and retransmit.
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Compliance Alert Conditions

Response Code: 66Q

Narrative Text: ECCN & CTRY OF DEST NOT ALLOWED FOR NLR
Severity: FATAL

Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code; Input CL1 Record-License Code/License Exemption Code; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#

X.12 Segment ID/Data Elements: BA104; X102; X116

Reason: One or more lines contain an ECCN that is ineligible for export to the country of destination under a No License Required (NLR) authority.

Response Code: 700

Narrative Text: SHIPMENT REPORTED LATE; OPT 2
Severity: COMPLIANCE ALERT

Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export, Shipment Filing Action Request Indicator

X.12 Segment ID/Data Elements: YNQ01; YNQ02; DTM01; DTM02; BA102

Reason: During an ADD action, an PreDeparture filing is considered late if the date AES receives and accepts the complete data is greater than the reported Estimated Date of Export. During the REPLACE or CHANGE action, an PreDeparture filing is considered late if the Estimated Date of Export is changed to a date earlier than the original filing action.
Resolution: No action required. Review filing practices; take steps to ensure export information reporting compliance.

Response Code: 701

Narrative Text: SHIPMENT REPORTED LATE; OPT 4
Severity: COMPLIANCE ALERT

Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export, Shipment Filing Action Request Indicator

X.12 Segment ID/Data Elements: YNQ01; YNQ02; DTM01; DTM02; BA102

Reason: During an ADD action, a Post Departure filing is considered late if the date AES receives and accepts the complete data is greater than the reported Estimated Date of Export plus 5 calendar days. During the REPLACE or CHANGE action, a Post Departure filing is considered late if the Estimated Date of Export is changed to a date 5 or more days greater than the original filing action.
Resolution: No action required. Review filing practices; take steps to ensure export information reporting compliance.

Response Code: 702

Narrative Text: LINE ACTIVITY ADD POST DEPARTURE
Severity: COMPLIANCE ALERT

Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export; Input CL1 Record/Line Number, Line Item Filing Action Request Indicator

X.12 Segment ID/Data Elements: DTM01; DTM02; L1307; L1314

Reason: A filer is non-compliant when a line is added post departure.
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Resolution: No action required. Review filing practices; take steps to ensure export information reporting compliance.

Response Code: 703
Narrative Text: LINE ACTIVITY DELETE POST DEPARTURE
Severity: COMPLIANCE ALERT
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export; Input CL1 Record/Line Number, Line Item Filing Action Request Indicator
X.12 Segment ID/Data Elements: DTM01; DTM02; L1307; L1314
Reason: A filer is non-compliant when a line is deleted post departure.
Resolution: No action required. Review filing practices; take steps to ensure export information reporting compliance.

Response Code: 704
Narrative Text: LICENSE TYPE CHANGE POST DEPARTURE
Severity: COMPLIANCE ALERT
Proprietary Record ID/Data Elements: SC1 Record/Estimated Date of Export, Shipment Filing Action Request Indicator; Input CL1 Record-License Code or License Exemption Code, Line Item Filing Action Request Indicator
X.12 Segment ID/Data Elements: DTM01; DTM02; BA102; X107; L1314
Reason: A filing is non-compliant when the license type code is changed post departure. The previous filing reported BIS, State, OFAC, NRC, or OPA type and the correction filing reports a license type different than previously reported.
Resolution: No action required. Review filing practices; take steps to ensure export information reporting compliance.

Response Code: 705
Narrative Text: LICENSE NUMBER CHANGE POST DEPARTURE
Severity: COMPLIANCE ALERT
Proprietary Record ID/Data Elements: SC1 Record/Estimated Date of Export, Shipment Filing Action Request Indicator; Input CL1 Record-License Code/License Exemption Code; Line Item Filing Action Request Indicator; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: DTM01; DTM02; BA102; X102; X103; X107; L1314
Reason: A filing is non-compliant when the actual license identifier (number) is changed post departure.
Resolution: No action required. Review filing practices; take steps to ensure export information reporting compliance.

Response Code: 706
Narrative Text: LICENSE VALUE CHANGE POST DEPARTURE
Severity: COMPLIANCE ALERT
Proprietary Record ID/Data Elements: SC1 Record/Estimated Date of Export, Shipment Filing Action Request Indicator; Input CL1 Record-License Code/License Exemption Code; Line Item Filing Action Request Indicator, License Value; Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: DTM01; DTM02; BA102; X102; X103; X107; L1305; L1306
Reason: A filing is non-compliant when the declared license of the commodity was changed on licensable shipment post departure.
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Resolution: No action required. Review filing practices; take steps to ensure export information reporting compliance.

Response Code: 707
Narrative Text: USED VEHICLE(S) CHANGE POST DEPARTURE
Severity: COMPLIANCE ALERT
Proprietary Record ID/Data Elements: SC1 Record/Estimated Date of Export, Shipment Filing Action Request Indicator; Input CL1/Line Item Filing Action Request Indicator; Input EV1 Record/Vehicle ID Number, Vehicle ID Qualifier
X.12 Segment ID/Data Elements: DTM01; DTM02; BA102; L1314; VEH02; VEH05
Reason: A filing is non-compliant when a used vehicle is changed post departure.
Resolution: No action required. Review filing practices; take steps to ensure export information reporting compliance.
Response Code: 708
Narrative Text: DEC PROGRAM ELIMINATED
Severity: COMPLIANCE ALERT
Proprietary Record ID/Data Elements: Input A Record/Data Entry Center Indicator, Input SC1 Record/Shipment Reference Number, Shipment Filing Action Request Indicator
X.12 Segment ID/Data Elements: BA 107 where: YES=Y, BA 102 where: 1=A, 2=C, RX=R; BA105
Reason: The Data Entry Center Indicator is Yes and the Shipment Filing Action Request Indicator is Add. Or the Data Entry Center Indicator is Yes, the Shipment Filing Action Request Indicator is Replace or Change, and the shipment on file in AES is not a DEC shipment. AES shall accept a shipment as requested and establish or correct the shipment. The DEC program, however, has been eliminated and no DEC filing privileges have been extended to this shipment.
Resolution: No action required. Review filing practices; future attempts to Add shipments should not be marked as DEC-claimed shipments.

Response Code: 709
Narrative Text: VALUE OF GOOD OVER LIMIT VALUE SHPMNT
Severity: COMPLIANCE ALERT
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Value of Goods/Export Control Clarification Number (ECCN)
X.12 Segment ID/Data Elements: X107;X116;L1306
Reason: The License Code/ECCN and value of goods reported exceeds the maximum limit value shipment.
Resolution: No action required. Review filing practices; take steps to ensure export information reporting is compliant.

Response Code: 710
Narrative Text: AEI FULL SHIPMENT REPORTED LATE; OPT 3F
Severity: COMPLIANCE ALERT
Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export, Shipment Filing Action Request Indicator
X.12 Segment ID/Data Elements: YNQ01; YNQ02; DTM01; BA102
Reason: During a REPLACE or CHANGE action for a filing option 3 Full Filing Shipmen (AEI filing type is a ‘F’), a Post Departure filing is considered late if the EEI filing received date is greater than the Estimated Date of Export + 5 days.
Resolution: No action required. Review filing practices; take steps to ensure export information reporting compliance.
Response Code: 711
Narrative Text: PREDEPARTURE FILING REQ FOR RPRTED CMDTY
Severity: COMPLIANCE ALERT
Proprietary Record ID/Data Elements: Input CL1 Record/License Code/License Exemption Code; Input CL2 Record/Export Control Classification Number (ECCN)
X.12 Segment ID/Data Elements: X107; X116
Reason: The Export Control Classification Number (ECCN) or HS/Schedule B Number reported is not eligible for the AES filing option because of the sensitivity of the commodity. Predeparture filing is required.
Resolution: Change the filing option indicator to predeparture filing. In future transactions, be sure that the AES predeparture filing option indicator is reported for items having an ECCN, except those controlled for Anti-terrorism only or encryption reasons, or sensitive commodities classified by the HS/Schedule B number. For further assistance, contact the Department of Commerce/Bureau of Industry and Security/Office of Technology Evaluation at 202-482-4933.

Response Code: 712
Narrative Text: FIXED MOT (70) SHIPMENT REPORTED LATE
Severity: COMPLIANCE ALERT
Proprietary Record ID/Data Elements: Input SCI Record/Mode of Transportation Code (MOT), Date of Export
X.12 Segment ID/Data Elements: BA103;DTM02
Reason: The Mode of Transportation Code is ‘70’ and the shipment(s) are reported late. The operator of a pipeline may transport goods to a foreign country without the prior filing of the proof of filing citations, exemption, or exclusion legends on the condition that within four calendar days following the end of each calendar month, the operator will deliver to CBP Port Director the proof of filing citations, exemption or exclusion legends covering all exports through the pipeline to each consignee during the month.
Resolution: No action required. Review filing practices; take steps to ensure export information reporting compliance.

Response Codes 713-799 reserved
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Statistical Verification Conditions

Response Code: 8H1
Narrative Text: VALUE/QUANTITY 1 OUT OF RANGE - HIGH
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Value of Goods, Quantity (1)
X.12 Segment ID/Data Elements: L1301; L1302; L1304; L1305; L1306
Reason: For the reported Schedule B/HTS Number, the Value of Goods/Quantity (1) ratio is outside of the expected range. The ratio is too high.
Resolution: Census has determined that for the particular Schedule B/HTS Number declared, the value of the goods divided by the first quantity should fall within a certain parameter based on historical statistical averages for that goods. Ratios outside this pre-determined parameter might indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify the Value of Goods reported, checking conversion from foreign currency to whole US dollars. Verify the Quantity (1) reported, checking that the proper metric unit of measure is used and verifying any conversions made to metric units such as pounds to kilograms. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action is necessary.

Response Code: 8H2
Narrative Text: VALUE/QUANTITY 2 OUT OF RANGE - HIGH
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Value of Goods, Quantity (2)
X.12 Segment ID/Data Elements: L1301; L1302; L1305; L1306; L1309
Reason: For the reported Schedule B/HTS Number, the Value of Goods/Quantity (2) ratio is outside of the expected range. The ratio is too high.
Resolution: Census has determined that for the particular Schedule B/HTS Number declared, the value of the goods divided by the second quantity should fall within a certain parameter based on historical statistical averages for that goods. Ratios outside this pre-determined parameter might indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify the Value of Goods reported, checking conversion from foreign currency to whole US dollars. Verify the Quantity (1) reported, checking that the proper metric unit of measure is used and verifying any conversions made to metric units such as pounds to kilograms. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action is necessary.

Response Code: 8L1
Narrative Text: VALUE/QUANTITY 1 OUT OF RANGE - LOW
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Value of Goods, Quantity (1)
X.12 Segment ID/Data Elements: L1301; L1302; L1304; L1305; L1306
Reason: For the reported Schedule B/HTS Number, the Value of Goods/Quantity (1) ratio is outside of the expected range. The ratio is too low.
Resolution: Census has determined that for the particular Schedule B/HTS Number declared, the value of the goods divided by the first quantity should fall within a certain parameter based on historical statistical averages for that goods. Ratios outside this pre-determined parameter might indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify the Value of Goods reported, checking conversion from foreign currency to whole US dollars. Verify the Quantity (1) reported, checking that the proper metric unit of measure is used and verifying any conversions made to
metric units such as pounds to kilograms. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action is necessary.

Response Code: 8L2
Narrative Text: VALUE/QUANTITY 2 OUT OF RANGE - LOW
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Value of Goods, Quantity (2)
X.12 Segment ID/Data Elements: L1301; L1302; L1305; L1306; L1309
Reason: For the reported Schedule B/HTS Number, the Value of Goods/Quantity (2) ratio is outside of the expected range. The ratio is too low.
Resolution: Census has determined that for the particular Schedule B/HTS Number declared, the value of the goods divided by the second quantity should fall within a certain parameter based on historical statistical averages for that goods. Ratios outside this pre-determined parameter might indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify the Value of Goods reported, checking conversion from foreign currency to whole US dollars. Verify the Quantity (2) reported, checking that the proper metric unit of measure is used and verifying any conversions made to metric units such as pounds to kilograms. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action is necessary.

Response Code: 8PI
Narrative Text: IMPROBABLE COMMODITY FOR FIXED TRANSPORT
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: BA103; L1301; L1302
Reason: The Schedule B/HTS Number declared indicates a product that is not accommodated by a fixed mode of transport.
Resolution: Census has determined that only particular goods are likely to be exported by a fixed mode of transportation (i.e., pipeline, electrical energy, etc.). Verify the Schedule B/HTS Number and the Mode of Transportation Code. The filer should correct the mode of transportation and retransmit, if appropriate. For further assistance, contact your client representative.

Response Code: 8QR
Narrative Text: QUANTITY RELATIONSHIP OUT OF RANGE
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B /HTS Number, Quantity (1), Quantity (2)
X.12 Segment ID/Data Elements: L1301; L1302; L1304; L1309
Reason: For the reported Schedule B/HTS Number, both Quantity (1) and Quantity (2) are required and reported. However, the relationship between Quantity (1) and Quantity (2) is outside of the expected range.
Resolution: Census has determined that for the particular Schedule B/HTS Number declared, there is a relationship between the first quantity and the second quantity based on historical statistical averages for that goods. Ratios outside this pre-determined parameter might indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify that both Quantity (1) and Quantity (2) are reported accurately in the proper metric unit of measures. Verify that any conversions made to metric units such as pounds to kilograms are accurate. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action is necessary.
Response Code: 8SR
  Narrative Text: SHPING WGT TOO HIGH FOR RAIL SHPMNT
  Severity: VERIFY
  Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL2 Record/Shipping Weight
  X.12 Segment ID/Data Elements: BA103; L1310; L1311
  Reason: The Mode of Transportation is declared as RAIL and the reported shipping weight is too high for a rail shipment.
  Resolution: Based on historical statistical data and industry input, Census has determined the gross shipping weight in kilograms that can be accommodated when the mode of transportation is reported as rail. Gross shipping weights outside this established parameter might indicate a keying error or an invalid mode of transportation code. The filer should verify that the gross Shipping Weight is accurately reported in kilograms and that the Mode of Transportation is rail. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action is necessary.

Response Code: 8ST
  Narrative Text: SHPING WGT TOO HIGH FOR TRUCK SHPMNT
  Severity: VERIFY
  Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL2 Record/Shipping Weight
  X.12 Segment ID/Data Elements: BA103; L1310; L1311
  Reason: The Mode of Transportation is declared as TRUCK and the reported shipping weight is too high for a truck shipment.
  Resolution: Based on historical statistical data and industry input, Census has determined the gross shipping weight in kilograms that can be accommodated when the mode of transportation is reported as truck. Gross shipping weights outside this established parameter might indicate a keying error or an invalid mode of transportation code. The filer should verify that the gross Shipping Weight is accurately reported in kilograms and that the Mode of Transportation is truck. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action is necessary.

Response Code: 8VW
  Narrative Text: VALUE/SHPING WGT OUT OF RANGE
  Severity: VERIFY
  Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Value of Goods, Shipping Weight
  X.12 Segment ID/Data Elements: L1301; L1302; L1305; L1306; L1310; L1311
  Reason: For the reported Schedule B/HTS Number, the Value of Goods/Shipping Weight ratio is outside of the expected range.
  Resolution: Census has determined that for the particular Schedule B/HTS Number declared, the value of the goods divided by the shipping weight should fall within a certain parameter based on historical statistical averages for that goods. Ratios outside this established parameter might indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify the Value of Goods reported, checking conversion from foreign currency to whole US dollars. Verify the reported gross shipping weight, checking that the proper metric unit of measure (kilograms) is used and verifying any conversions made to metric units such as pounds or tons to kilograms. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action is necessary.
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Response Code: 8W1
Narrative Text: SHPING WGT/QUANTITY 1 OUT OF RANGE
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Quantity (1), Shipping Weight
X.12 Segment ID/Data Elements: L1301; L1302; L1304; L1310; L1311
Reason: For the reported Schedule B/HTS Number, the Shipping Weight/Quantity (1) ratio is outside of the expected range.
Resolution: Census has determined that for the particular Schedule B/HTS Number declared, the shipping weight divided by the first quantity should fall within a certain parameter based on historical statistical averages for that goods. Ratios outside this established parameter might indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify the Quantity (1) reported, checking that the proper metric unit of measure is used and verifying any conversions made to metric units such as pounds to kilograms. Verify the gross shipping weight in kilograms. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action is necessary.

Response Code: 8W2
Narrative Text: SHPING WGT/QUANTITY 2 OUT OF RANGE
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Quantity (2), Shipping Weight
X.12 Segment ID/Data Elements: L1301; L1302; L1309; L1310; L1311
Reason: For the reported Schedule B/HTS Number, the Shipping Weight/Quantity (2) ratio is outside of the expected range.
Resolution: Census has determined that for the particular Schedule B/HTS Number declared, the shipping weight divided by the second quantity should fall within a certain parameter based on historical statistical averages for that goods. Ratios outside this established parameter might indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify the Quantity (2) reported, checking that the proper metric unit of measure is used and verifying any conversions made to metric units such as pounds to kilograms. Verify the gross shipping weight in kilograms. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action is necessary.

Response Code: 800
Narrative Text: BIS LIC VAL EXCD PRIOR: <ITN>
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL1 Record/ License Value, License Code/License Exemption Code, Input CL2 Record/Export License Number/ CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103
Reason: The License Code/License Exemption Code indicates a BIS license claim. The license value reported on a PRIOR CL1 record meets or exceeds the original BIS license value. The ITN of the PRIOR transaction is displayed by this alert.
Resolution: The BIS license has been decremented. Verify your proprietary export records and BIS license documentation to ensure no keying errors have been made. For further assistance, the Department of Commerce/Bureau of Industry and Security/Office of Technology Evaluation can be reached at 202-482-4933.

Response Code: 801
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Narrative Text: BIS LIC VAL EXCD CURRENT: <License Number>
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL1 Record/License Value, License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103
Reason: The License Code/License Exemption Code indicates a BIS license claim. The license value reported on the CL1 record exceeds the original BIS license value. Note: BIS shipping tolerance limits may not yet be exceeded – no action may be necessary at this time.
Resolution: The BIS license has been decremented. Verify your proprietary export records and BIS license documentation to ensure no keying errors have been made. For further assistance, the Department of Commerce/Bureau of Industry and Security/Office of Technology Evaluation can be reached at 202-482-4933.

Response Code: 802

Narrative Text: BIS LIC TOLERANCE EXCEEDED: <License Number>
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL1 Record/License Value, License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: X102; X103
Reason: The License Code/License Exemption Code indicates a BIS license claim. The license value reported on the CL1 record exceeds 110% of the BIS license value. BIS shipping tolerance limit has been exceeded by a prior transaction or the current transaction. Review other alerts issued for further information.
Resolution: The BIS license has been decremented. Verify your proprietary export records and BIS license documentation to ensure no keying errors have been made. For further assistance, the Department of Commerce/Bureau of Industry and Security/Office of Technology Evaluation can be reached at 202-482-4933.

Response Code: 803

Narrative Text: AVAIL BIS LIC VALUE=$ <Available Balance>
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: Input CL1 Record/License Value, License Code/License Exemption Code, Input CL2 Record/Export License Number/CFR Citation/Authorization Symbol/KPC#
X.12 Segment ID/Data Elements: L1305; L1306; X102; X103; X107
Reason: The License Code/License Exemption Code indicates a BIS license claim. AES is providing the available value remaining on the BIS license.
Resolution: For your information only. If the balance provided is in error, please contact the Department of Commerce/Bureau of Industry and Security/Office of Technology Evaluation at 202-482-4933.

Response Code: 808
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Narrative Text: IMPROPABLE DESTINATION FOR COMMODITY
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code; Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: BA104; L1301; L1302
Reason: For the reported Schedule B/HTS Number, the Country of Ultimate Destination Code is improbable.
Resolution: Based on historical data and industry input, Census has determined that certain products are highly unlikely to be exported to certain ultimate countries of destination. For example, an export shipment of crude oil from the US to Saudi Arabia is not likely to occur. More likely, the filer has made a reporting error. Verify the Schedule B/HTS classification and the Ultimate Country of Destination Code. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action to the filing is necessary. However, the filer is requested to notify their Census client representative so that the parameter can be adjusted. This will prevent the same verify message from generating on similar future shipments.

Response Code: 809
Narrative Text: INVALID LICENSE NUMBER FORMAT
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2/Export License Number
X.12 Segment ID/Data Elements: X102
Reason: The license number format for T10 is invalid.
Resolution: The case id number format is invalid. For T10 case id must be specified in the required format (CC-two character country code, YYYY-Year, CASENO – case number assigned by OFAC, AMEND- amendment number if the license was amended. Report IA2016123456). See ‘Appendix F, License and License Exemption Type Codes and Reporting Guidelines’.

Response Code: 821
Narrative Text: SHPING WGT TOO HIGH FOR VESSEL SHPMNT
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL2 Record/Shipping Weight
X.12 Segment ID/Data Elements: BA103; L1310; L1311
Reason: The Mode of Transportation is declared as VESSEL and the reported shipping weight is too high for a vessel shipment.
Resolution: Based on historical statistical data and industry input, Census has determined the gross shipping weight in kilograms that can be accommodated when the mode of transportation is reported as vessel. Gross shipping weights outside this established parameter might indicate a keying error or an invalid mode of transportation code. The filer should verify that the gross Shipping Weight is accurately reported in kilograms and that the Mode of Transportation is vessel. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action is necessary.

Response Code: 826
Narrative Text: SHPING WGT TOO HIGH FOR AIR SHPMNT
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL2 Record/Shipping Weight
X.12 Segment ID/Data Elements: BA103; L1310; L1311
Reason: The Mode of Transportation is declared as AIR and the reported shipping weight is too high for an air shipment.
Resolution: Based on historical statistical data and industry input, Census has determined the gross shipping weight in kilograms that can be accommodated when the mode of transportation is
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reported as air. Gross shipping weights outside this established parameter might indicate a keying error or an invalid mode of transportation code. The filer should verify that the gross Shipping Weight is accurately reported in kilograms and that the Mode of Transportation is air. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action is necessary.

Response Code: 835
Narrative Text: VALUE OF GOODS TOO HIGH FOR COMMODITY
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Value of Goods
X.12 Segment ID/Data Elements: L1301; L1302; L1305; L1306
Reason: For the reported Schedule B/HTS Number, the Value of Goods is too high for the commodity reported.
Resolution: Census has determined that for the particular Schedule B/HTS Number declared, the value of the goods is higher than expected for the commodity based on historical statistical values for the particular goods. Value of Goods higher than this established parameter may indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify the Value of Goods reported, checking conversion from foreign currency to whole US dollars. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action to the filing is necessary. However, the filer is requested to notify their Census client representative so that the parameter can be adjusted. This will prevent the same verify message from generating on similar future shipments.

Response Code: 836
Narrative Text: VALUE OF GOODS TOO LOW FOR COMMODITY
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Value of Goods
X.12 Segment ID/Data Elements: L1301; L1302; L1305; L1306
Reason: For the reported Schedule B/HTS Number, the Value of Goods is too low for the commodity reported.
Resolution: Census has determined that for the particular Schedule B/HTS Number declared, the value of the goods is lower than expected for the commodity based on historical statistical values for the particular goods. Value of Goods lower than this established parameter may indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify the Value of Goods reported, checking conversion from foreign currency to whole US dollars. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action to the filing is necessary. However, the filer is requested to notify their Census client representative so that the parameter can be adjusted. This will prevent the same verify message from generating on similar future shipments.

Response Code: 837
Narrative Text: QUANTITY 1 TOO HIGH FOR COMMODITY
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Quantity (1)
X.12 Segment ID/Data Elements: L1301; L1302; L1304
Reason: For the reported Schedule B/HTS Number, the Quantity (1) is too high for the commodity reported.
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Resolution: Census has determined that for the particular Schedule B/HTS Number declared; the Quantity (1) is higher than expected for the commodity based on historical statistical data for the particular goods. Quantity (1) higher than this established parameter may indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify that the Quantity (1) is reported in the correct unit of measure and check any conversions to metrics that may have been necessary. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action to the filing is necessary. However, the filer is requested to notify their Census client representative so that the parameter can be adjusted. This will prevent the same verify message from generating on similar future shipments.

Response Code: 838
Narrative Text: QUANTITY 1 TOO LOW FOR COMMODITY
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Quantity (1)
X.12 Segment ID/Data Elements: L1301; L1302; L1304
Reason: For the reported Schedule B/HTS Number, the Quantity (1) is too low for the commodity reported.
Resolution: Census has determined that for the particular Schedule B/HTS Number declared the Quantity (1) is lower than expected for the commodity based on historical statistical data for the particular goods. Quantity (1) lower than this established parameter may indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify that the Quantity (1) is reported in the correct unit of measure and check any conversions to metrics that may have been necessary. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action to the filing is necessary. However, the filer is requested to notify their Census client representative so that the parameter can be adjusted. This will prevent the same verify message from generating on similar future shipments.

Response Code: 839
Narrative Text: QUANTITY 2 TOO HIGH FOR COMMODITY
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Quantity (2)
X.12 Segment ID/Data Elements: L1301; L1302; L1309
Reason: For the reported Schedule B/HTS Number, the Quantity (2) is too high for the commodity reported.
Resolution: Census has determined that for the particular Schedule B/HTS Number declared the Quantity (2) is higher than expected for the commodity based on historical statistical data for the particular goods. Quantity (2) higher than this established parameter may indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify that the Quantity (2) is reported in the correct unit of measure and check any conversions to metrics that may have been necessary. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action to the filing is necessary. However, the filer is requested to notify their Census client representative so that the parameter can be adjusted. This will prevent the same verify message from generating on similar future shipments.

Response Code: 840
Narrative Text: QUANTITY 2 TOO LOW FOR COMMODITY
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL2 Record/Schedule B/HTS Number, Quantity (2)
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X.12 Segment ID/Data Elements: L1301; L1302; L1309
Reason: For the reported Schedule B/HTS Number, the Quantity (2) is too low for the commodity reported.
Resolution: Census has determined that for the particular Schedule B/HTS Number declared the Quantity (2) is lower than expected for the commodity based on historical statistical data for the particular goods. Quantity (2) lower than this established parameter may indicate either a keying error or misclassification of the product. The filer should verify that the product is classified in the proper Schedule B/HTS Number. Verify that the Quantity (2) is reported in the correct unit of measure and check any conversions to metrics that may have been necessary. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action to the filing is necessary. However, the filer is requested to notify their Census client representative so that the parameter can be adjusted. This will prevent the same verify message from generating on similar future shipments.

Response Code: 850
Narrative Text: IMPROBABLE FOREIGN/DOMESTIC ORIGIN
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL1 Record/ Foreign/Domestic Origin Indicator; Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: L1301; L1302; X109
Reason: For the reported Schedule B/HTS Number, the Domestic/Foreign Origin Indicator is improbable for this commodity.
Resolution: Based on historical data and industry input, Census has determined that certain products are highly unlikely to be either of domestic origin or of foreign origin. For example, an export shipment of ‘foreign’ American pima cotton is highly improbable. More likely, the filer has made a reporting error. Verify the Schedule B/HTS classification and the Domestic/Foreign Origin Code. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action to the filing is necessary.

Response Code: 851
Narrative Text: IMPROBABLE COMMODITY FOR AIR SHPMNT
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code, Country of Ultimate Destination Code; Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: BA103; BA104; L1301; L1302
Reason: For the reported Schedule B/HTS Number, a Mode of Transportation of AIR is improbable for this commodity.
Resolution: Based on historical data and industry input, Census has determined that certain products are highly unlikely to be exported with a mode of transportation air. For example, an export shipment of coal is highly improbable to be transported by air. More likely, the filer has made a reporting error. Verify the Schedule B/HTS classification and the Mode of Transportation Code. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action to the filing is necessary.

Response Code: 853
Narrative Text: IMPROBABLE DESTINATION FOR MILITARY GDS
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination Code; Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: BA104; L1301; L1302
Reason: For the reported Schedule B/HTS Number, the Country of Ultimate Destination Code for this commodity is improbable.
Resolution: Based on historical data and industry input, Census has determined that certain military goods are highly unlikely to be exported to certain ultimate countries of destination.
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Export shipments of these types of commodities to this particular country of destination is not probable. More likely, the filer has made a reporting error. Verify the Schedule B/HTS classification and the Ultimate Country of Destination Code. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action to the filing is necessary.

Response Code: 854
Narrative Text: IMPROBABLE COMMODITY FOR MAIL SHPMNT
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/Mode of Transportation Code; Input CL2 Record/Schedule B/HTS Number
X.12 Segment ID/Data Elements: BA103; L1301; L1302
Reason: For the reported Schedule B/HTS Number, a Mode of Transportation of MAIL is improbable for this commodity.
Resolution: Based on historical data and industry input, Census has determined that certain products are highly unlikely to be exported with a mode of transportation mail. For example, an export shipment of coal is highly improbable to be transported by mail. More likely, the filer has made a reporting error. Verify the Schedule B/HTS classification and the Mode of Transportation Code. Any reporting errors must be corrected and retransmitted. If the line item is verified correct as reported, no action to the filing is necessary.

Response Code: 855
Narrative Text: FOREIGN ORIGIN VERSUS IN-TRANSIT
Severity: VERIFY
Proprietary Record ID/Data Elements: Input CL1 Record/Foreign/Domestic Origin Indicator
X.12 Segment ID/Data Elements: X109
Reason: The Domestic/Foreign Origin Indicator has been declared as ‘F’, indicating that merchandise reported on the EEI is of foreign origin. Historically, filers have erroneously reported a EEI (Commerce Form 7525-V, Electronic Export Information) or an AES EEI, marking a commodity as foreign origin when in fact the shipment was an in-transit shipment and NOT ALLOWED to be filed in AES. This error will be generated only if the mode of transportation is vessel.
Resolution: If your merchandise is an in-transit export that is NOT ALLOWED to be filed in AES, cancel the EEI using the ‘cancel’ filing action code. Your shipment is an in-transit export that is NOT ALLOWED to be filed in AES if it is: 1) exempt from filing under FTR 30.37 (e) or 2) reportable to the U.S. Army Corps of Engineers on ENG Form 7513, Shipper’s Export Declaration for In-transit Goods.

If your merchandise is an in-transit that is ALLOWED to be filed in AES or otherwise verified to be of foreign origin, no further action is necessary. Your shipment is an in-transit export that is ALLOWED to be filed in AES if and only if the shipment was first entered into the United States on a formal entry (either Customs Form 7501 or an ABI filing) and the entered merchandise is then exported. If the line item is not foreign origin merchandise, change the Domestic/Foreign Indicator to ‘D’ and resubmit as a correction.

Response Code: 856
Narrative Text: IMPROBABLE ISO
Severity: VERIFY
Proprietary Record ID/Data Elements: Input SC1 Record/Country of Ultimate Destination
X.12 Segment ID/Data Elements: BA104
Reason: The ISO reported may or may not be valid for the country of destination.
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Resolution: Verify the ISO code entered is the correct ISO code for the country of destination. Consult Appendix C of the AESTIR to determine the appropriate ISO code. For further assistance, contact your client representative.

To read FTR 30.37(e) go to:
http://www.census.gov/foreign-trade/regulations/regs/regulations20080602-federalregister.pdf

For complete instructions and an electronic version of the ENG Form 7513 go to:

Response Codes 857-899 <Reserved>
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Final Dispositions

Response Codes 901-959 <Reserved>

Response Code: 900
Narrative Text: WARN; AEI FILNG NOTRCVD 5 DAYS OF XPRT.
Severity: WARNING
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
Reason: A full filing must be received within 5 days of date of export.
Resolution: A FULL filing is required to be filed 5 days of the date of export to be allowed to file AEI (Advanced Export Information Program).

Response Code: 960
Narrative Text: BATCH REJCTED, RESOLVE AND RETRANSMIT
Severity: FATAL
Proprietary Record ID/Data Elements: A-Z Batch Envelope
X.12 Segment ID/Data Elements: ISA segment
Reason: An invalid batch condition was encountered. See the ES1 record(s) for details on the specific error condition(s) encountered.
Resolution: When an invalid batch condition is encountered, only batch related conditions are returned. An invalid batch condition results in ALL enclosed B-Y envelopes (and ALL commodity shipment transaction enclosed within each B-Y envelop) being ignored. The validity of any B-Y envelope (and any data enclosed within) is NOT determined. MUST resolve the batch conditions and retransmit. If filing PreDeparture shipments, batch conditions must be resolved and retransmitted prior to export. Failure to respond to batch condition messages or otherwise transmit corrections to AES constitutes a violation of the provisions of the Foreign Trade Statistical Regulations and could result in delayed shipments or penalties. For assistance, contact your client representative.

Response Code: 965
Narrative Text: BLOCK REJECTED; RESOLVE AND RETRANSMIT
Severity: FATAL
Proprietary Record ID/Data Elements: B-Y Envelope
X.12 Segment ID/Data Elements: N1 segment
Reason: An invalid block condition was encountered. See the ES1 record(s) for details on the specific error condition(s) encountered.
Resolution: When an invalid block condition is encountered, only block related conditions are returned. An invalid block condition results in ALL enclosed commodity shipment transactions being ignored. Any subsequent ‘block’ however, will be edited for validity (i.e., the disposition of a single block has NO effect on any subsequent block filed within the same batch). MUST resolve the block conditions and retransmit. If filing PreDeparture shipments, block conditions must be resolved and retransmitted prior to export. Failure to respond to block condition messages or otherwise transmit corrections to AES constitutes a violation of the provisions of the Foreign Trade Statistical Regulations and could result in delayed shipments or penalties. For assistance, contact your client representative.
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Response Code: 970
Narrative Text: SHIPMENT REJECTED; RESOLVE & RETRANSMIT
Severity: FATAL
Proprietary Record ID/Data Elements: Commodity Shipment Transaction (begins with SC1 Record)
X.12 Segment ID/Data Elements: BA1 loop
Reason: An ‘unrecognized’ shipment transaction was encountered. See the ES1 record(s) for specific details on the error condition(s) encountered.
Resolution: An ‘unrecognized’ commodity shipment transaction condition results in only that ‘shipment’ being ignored. Any subsequent SC1 groupings in the block shall be edited for validity. (i.e., the disposition of a single shipment transaction has NO effect on any subsequently shipment transactions filed within the same block). All shipment transaction conditions MUST be resolved and retransmitted. If filing PreDeparture shipments, all shipment transaction conditions must be resolved and retransmitted prior to export. Failure to respond to shipment condition messages or otherwise transmit corrections to AES constitutes a violation of the provisions of the Foreign Trade Statistical Regulations and could result in delayed shipments or penalties. For assistance, contact your client representative.

Response Code: 971
Narrative Text: SHIPMENT ADDED; MUST CORRECT WARNINGS
Severity: WARNING
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
Reason: One or more data elements reported for the shipment are incomplete or conflicting. AES accepts the information reported to accommodate the timely export of cargo.
Resolution: Correct the warning condition and retransmit the shipment data within 4 days.

Response Code: 972
Narrative Text: SHIPMENT ADDED; MUST VERIFY
Severity: VERIFY
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
Reason: One or more data values reported for the shipment fall outside of parameters normally expected for the data element(s). AES accepts the information reported to accommodate the timely export of cargo.
Resolution: Verify the information identified by the condition message. Correct if appropriate and retransmit the shipment. If the data element(s) in question are verified correct as reported, no action is required.

Response Code: 973
Narrative Text: SHIPMENT ADDED; COMPLIANCE ALERT
Severity: COMPLIANCE ALERT
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
Reason: A possible reporting compliance violation has occurred. AES accepts the information reported to accommodate the timely export of cargo.
Resolution: No action required. Review filing practices and take steps to ensure future export information reporting compliance.
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Response Code: 974
Narrative Text: SHIPMENT ADDED
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
Reason: The shipment has been accepted without condition(s).
Resolution: N/A

Response Code: 975
Narrative Text: SHIPMENT REPLACED; MUST CORRECT WARNINGS
Severity: WARNING
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
Reason: One or more data elements reported for the shipment are incomplete or conflicting. AES accepts the information reported to accommodate the timely export of cargo.
Resolution: Correct the warning condition and retransmit the shipment data within 4 days.

Response Code: 976
Narrative Text: SHIPMENT REPLACED; MUST VERIFY
Severity: VERIFY
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
Reason: One or more data values reported for the shipment fall outside of parameters normally expected for the data element(s). AES accepts the information reported to accommodate the timely export of cargo.
Resolution: Verify the information identified by the condition message. Correct if appropriate and retransmit the shipment. If the data element(s) in question are verified correct as reported, no action is required.

Response Code: 977
Narrative Text: SHIPMENT REPLACED; COMPLIANCE ALERT
Severity: COMPLIANCE ALERT
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
Reason: A possible reporting compliance violation has occurred. AES accepts the information reported to accommodate the timely export of cargo.
Resolution: No action required. Review filing practices and take steps to ensure future export information reporting compliance.

Response Code: 978
Narrative Text: SHIPMENT REPLACED
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
Reason: The shipment has been accepted without condition(s).
Resolution: N/A

Response Code: 979
Narrative Text: SHIPMENT CHANGED; MUST CORRECT WARNINGS
Severity: WARNING
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
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**Reason:** One or more data elements reported for the shipment are incomplete or conflicting. AES accepts the information reported to accommodate the timely export of cargo.

**Resolution:** Correct the warning condition and retransmit the shipment data within 4 days.

**Response Code:** 97H

**Narrative Text:** SHIPMENT ON HOLD

**Severity:** INACTIVE

**Proprietary Record ID/Data Elements:** N/A

**X.12 Segment ID/Data Elements:** N/A

**Reason:** The shipment has been put on HOLD in AES.

**Resolution:** The shipment is placed on hold by CBP for further inspection or missing documentation. Contact the CBP port at xxx-xxx-xxxx with your ITN information for further instructions on how to resolve the issue.

**Response Code:** 97R

**Narrative Text:** SHIPMENT HOLD RELEASED

**Severity:** INACTIVE

**Proprietary Record ID/Data Elements:** N/A

**X.12 Segment ID/Data Elements:** N/A

**Reason:** The shipment on hold has been released.

**Resolution:** The hold placed on the shipment has been released. The referenced shipment can be exported.

**Response Code:** 980

**Narrative Text:** SHIPMENT CHANGED; MUST VERIFY

**Severity:** VERIFY

**Proprietary Record ID/Data Elements:** N/A

**X.12 Segment ID/Data Elements:** N/A

**Reason:** One or more data values reported for the shipment fall outside of parameters normally expected for the data element(s). AES accepts the information reported to accommodate the timely export of cargo.

**Resolution:** Verify the information identified by the condition message. Correct if appropriate and retransmit the shipment. If the data element(s) in question are verified correct as reported, no further action is required.

**Response Code:** 981

**Narrative Text:** SHIPMENT CHANGED; COMPLIANCE ALERT

**Severity:** COMPLIANCE ALERT

**Proprietary Record ID/Data Elements:** N/A

**X.12 Segment ID/Data Elements:** N/A

**Reason:** A possible reporting compliance violation has occurred. AES accepts the information reported to accommodate the timely export of cargo.

**Resolution:** No action required. Review filing practices and take steps to ensure future export information reporting compliance.

**Response Code:** 982

**Narrative Text:** SHIPMENT CHANGED

**Severity:** INFORMATIONAL

**Proprietary Record ID/Data Elements:** N/A

**X.12 Segment ID/Data Elements:** N/A

**Reason:** The shipment has been accepted without condition(s).

**Resolution:** N/A
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Response Code: 983
Narrative Text: SHIPMENT CANCELLED
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
Reason: The shipment has been cancelled in AES.
Resolution: N/A

Response Code: 984
Narrative Text: REMINDER – MUST CORRECT WARNINGS
Severity: WARNING
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
Reason: The filer is reminded that one or more data elements reported for the shipment were incomplete or conflicting. AES accepted the information reported to accommodate the timely export of cargo. However, the warning condition(s) must be corrected and the shipment retransmitted.
Resolution: Correct the warning condition(s) and retransmit the shipment data.
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Undetermined Condition

Response Code: 996
Narrative Text: NON-STANDARD DATA FOUND: nnnnnn / nn
Severity: INFORMATIONAL
Proprietary Record ID/Data Elements: Any input record
X.12 Segment ID/Data Elements: Any segment
Reason: AES has encountered data that does not conform to the data classes specified in the Record Layout Key in the AESTIR, Part II, Section 1 (a) at the specific offset identified. ‘nnnnnn’ is the relative position of the 80-byte proprietary record found in the batch that the first instance of non-standard data was encountered. ‘nn’ is the relative position within that 80-byte proprietary record that the first instance of non-standard data was encountered.
Resolution: Ensure that all data values (including filler if using the proprietary record format) are characters that can be found on a standard keyboard. In a proprietary filing, do not transmit low-values, carriage return characters, or any other non-displayable character.

Response Code: 997
Narrative Text: FILING CONTAINS NON-STANDARD DATA
Severity: FATAL
Proprietary Record ID/Data Elements: Any input record
X.12 Segment ID/Data Elements: Any segment
Reason: AES has encountered data that does not conform to the data classes specified in the Record Layout Key in the AESTIR, Part II, Section 1 (a). AES shall no longer accept any character not found on a standard keyboard in a filing.
Resolution: Ensure that all data values (including filler if using the proprietary record format) are characters that can be found on a standard keyboard. In a proprietary filing, do not transmit low-values, carriage return characters, or any other non-displayable character.

Response Code: 998
Narrative Text: UNIDENTIFIED CONDITION: <Condition Code>
Severity: FATAL
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
Reason: Unidentified condition.
Resolution: Contact your client representative.

Response Code: 999
Narrative Text: UNDETERMINED ERR CONTACT CBP CLIENT REP
Severity: FATAL
Proprietary Record ID/Data Elements: N/A
X.12 Segment ID/Data Elements: N/A
Reason: Undetermined.
Resolution: Contact your CBP client representative.
## Table A: Commodity Response Code List

<table>
<thead>
<tr>
<th>Response Code</th>
<th>Severity</th>
<th>Narrative Text</th>
<th>Batch and Block Conditions</th>
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<tbody>
<tr>
<td>001</td>
<td>FATAL</td>
<td>BATCH CONTROL MISSING - Z RECORD</td>
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</tr>
<tr>
<td>002</td>
<td>FATAL</td>
<td>HEADER FILER ID MUST BE NUMERIC</td>
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</tr>
<tr>
<td>003</td>
<td>FATAL</td>
<td>TRAILER FILER ID MUST BE NUMERIC</td>
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</tr>
<tr>
<td>004</td>
<td>FATAL</td>
<td>FILER/TRANSMITTER NOT AUTHORIZED TO SEND</td>
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</tr>
<tr>
<td>005</td>
<td>FATAL</td>
<td>HEADER APPLICATION ID MUST BE XP</td>
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<tr>
<td>006</td>
<td>FATAL</td>
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<td>007</td>
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<td>009</td>
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<td>FILER ID TYPE / PROFILE CONFLICT</td>
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<td>010</td>
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<td>DEC IND MUST BE Y OR N</td>
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<td>011</td>
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<td>DEC CLAIM NOT ALLOWED BY FILER</td>
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<td>012</td>
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<td>FILER HAS BEEN INACTIVATED</td>
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<td>A-Z RECORD NO MATCH: TRANSMITTER DATE</td>
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<td>021</td>
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<td>TRAILER USPPI LOCATN NOT ALLOWED FOR TYPE</td>
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<td>HEADER USPPI CONTAINS LEADING SPACES</td>
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<td>048</td>
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<td>B-Y RECORD NO MATCH: USPPI ID</td>
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## APPENDIX A – Commodity Filing Response Messages

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<th>Narrative Text</th>
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<td>FATAL</td>
<td>&gt; 999 TRANSACTIONS SUBMITTED</td>
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<td>FATAL</td>
<td>MISSING SC1 RECORD</td>
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<td>TRAILER FILER ID MUST BE NUMERIC</td>
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<tr>
<td>059</td>
<td>FATAL</td>
<td>HEADER USPPI ID MUST BE E, D, T</td>
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<tr>
<td>060</td>
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<td>TRAILER USPPI ID MUST BE E, D, T</td>
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### Shipment Transaction Conditions

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<td>FILING TYPE REQUIRED WHEN FILING OPT 3</td>
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<td>06C</td>
<td>FATAL</td>
<td>FILING OPT IND NOT ALLOWED TO CHG</td>
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<tr>
<td>06D</td>
<td>FATAL</td>
<td>AEI F FILING PREV RECVD;P FILING NOT VALID</td>
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<td>06E</td>
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<td>DDTC RECORD NOT ALLOWED – OPTION 3 (AEI)</td>
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<td>06F</td>
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<td>SCHED B/HTS NBR NOT VALID FOR AEI FILNG</td>
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<td>06G</td>
<td>FATAL</td>
<td>‘S’ LIC TYPE CODE NOT ALLOWD – AEI</td>
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<td>06H</td>
<td>FATAL</td>
<td>AEI PRIVILEGE NOT YET ACTIVE FOR USPPI</td>
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<tr>
<td>06I</td>
<td>FATAL</td>
<td>USPPI NOT AEI ELIGIBLE</td>
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<tr>
<td>06J</td>
<td>FATAL</td>
<td>USPPI’S AEI PRIVILEGE DENIED</td>
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<tr>
<td>06K</td>
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<td>USPPI’S AEI PRIVILEGE REVOKED</td>
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<td>SHIPMENT REFERENCE NBR CONTAINS SPACES</td>
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<td>FATAL</td>
<td>FILING OPT IND MUST BE 2 OR 4</td>
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<td>LICENSE VALUE AMOUNT MUST BE REPORTED &amp; GT ZERO</td>
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<td>FATAL</td>
<td>SHIPMENT NOT ON FILE; ACTION NOT ALLOWED</td>
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<td>069</td>
<td>FATAL</td>
<td>SHIPMENT CANCELLED; ACTION NOT ALLOWED</td>
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<tr>
<td>070</td>
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<td>PARTY TYPE MUST BE E, F, C, OR I</td>
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<td>071</td>
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<td>DUPLICATE PARTY TYPE ENCOUNTERED</td>
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<td>SHIPMENT CANNOT BE CANCELLED</td>
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<td>EXTRANEOUS DATA CANNOT FOLLOW FOR DELETE</td>
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<td>SRN ON FILE; ACTION NOT ALLOWED</td>
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<td>COMPLIANCE</td>
<td>USPPI CHANGED POST DEPARTURE</td>
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<td>COMMODITY LINE ITEM MISSING</td>
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<td>LINE ACTION MUST BE ADD</td>
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<td>LICENSE VALUE CONTAINS NON-NUMERIC</td>
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<td>LINE NBR NOT IN ASCENDING SEQUENCE</td>
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<td>OPT 4 PRIVILEGE NOT YET ACTIVE FOR USPPI</td>
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<td>LINE ON FILE; ACTION NOT ALLOWED</td>
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<tr>
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<td>LINE CANNOT BE CORRECTED</td>
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## APPENDIX A - Commodity Filing Response Messages

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<tr>
<th>Response Code</th>
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<td>ACTION WOULD DELETE LAST LINE</td>
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<td>EXTRANEOUS DATA CANNOT FOLLOW FOR CANCEL</td>
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<td>&gt; 999 TRANSPORTATION DETAILS SUBMITTED</td>
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<td>095</td>
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<td>&gt; 999 LINE ITEM GROUPINGS SUBMITTED</td>
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<td>&gt; 999 USED VEHICLES DETAILS SUBMITTED</td>
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<td>EST DATE OF EXPORT &gt; 120 DAYS IN FUTURE</td>
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## APPENDIX A – Commodity Filing Response Messages

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## APPENDIX A – Commodity Filing Response Messages

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# APPENDIX A – Commodity Filing Response Messages

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## APPENDIX A – Commodity Filing Response Messages

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## APPENDIX A – Commodity Filing Response Messages

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## APPENDIX A – Commodity Filing Response Messages

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<td>1ST UOM CODE / SCHED B/HTS MISMATCH</td>
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<td>SCHED B/HTS REQUIRES 2ND UOM: -UOM Code-</td>
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<td>VALUE OF GDS EXCEEDS $249,999,999</td>
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<td>USED VEH NOT ALLOWED - OPTION 3 (AEI)</td>
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<td>LINE NBR CANNOT BE ZERO</td>
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# APPENDIX A – Commodity Filing Response Messages

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<th>Response Code</th>
<th>Severity</th>
<th>Narrative Text</th>
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<td>INVALID SCHED B/HTS FOR PGA &lt;PGA ID&gt;</td>
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