

# CBP ACE Technical Guide

Attachment A – eCERT Codes

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U.S. Customs and  
Border Protection



## eCERT Technical Guide

### EDI Messages

#### **CTLSET Service Message**

Identifies the UNB/UNZ Interchange Header/Trailer segment syntax rules applied when exchanging UN/EDIFACT messages with the Customs and Border Protection (CBP) electronic certificate/visa system (eCERT).

#### **SANCRT International Movement of Goods Government Regulatory Message**

Message used to transmit certificate/visa registration information to the eCERT application of CBP.

#### **CUSRES CBP Response Message (SANCRT)**

Message used by CBP to communicate acceptance or rejection of SANCRT message. (CUSRES message set is also used to reflect DAR/VAR information in response to REQDOC received by eCERT application of CBP.)

#### **REQDOC Request of Document Message**

Message used to request a Document Activity Report (DAR) or Visa Activity Report (VAR).

#### **CUSRES CBP response Message (REQDOC)**

Used by CBP to transmit acceptance/rejection of an eCERT Request of Document (REQDOC) or to provide details of a Document Activity Report (DAR) or Visa Activity Report (VAR).

Activity Reports include the following details of certificates/visas:

- Unique certificate/visa number
- Entry numbers/lines matched to the certificate/visa
- Harmonized Tariff Schedule (classification) number
- Unit of measure
- Quantity

### **Document Registration Status Codes**

eCERT will generate a code from the following list in response to processing a request to register a Certificate or License document to convey the overall status of the request:

- 19 - CANCEL ACCEPTED
- 17 - CANCEL REJECTED
- 27 - ADD REJECTED
- 29 - ADD ACCEPTED
- 57 - REPLACE REJECTED
- 59 - REPLACE ACCEPTED

### Document Registration Error Response Codes

eCERT will generate codes from the following list if errors are detected when processing an application to register a document (Certificate or License):

- 5JY - HTS NBR LENGTH INVALID
- 5ID - INVALID CERTIFICATE ISSUE DATE
- 5JW - INVALID HTS NBR
- 4JP - U.S. MFG ID CTRY NOT=VISA CTRY
- 5JR - DOC ALREADY ON MASTER FILE
- 4JR - VISA ALREADY ON MASTER FILE
- 5JX - RPL NOT ALLOWED - DOC NOT ON FILE
- 4JX - RPL NOT ALLOWED,VISA NOT ON FIL
- 5HR - CERTIFICATE IN CANCELLED STATE
- 4HR - VISA RECORD IN CANCELLED STATE
- 5IV - REPL NOT ALLOWED,VISA NBR MATCHED
- 4IV - REPL NOT ALLOWED - DOC NBR MATCHED
- 5JQ - CNCL NOT ALLOWED - DOC NOT ON FILE
- 4JQ - CNCL NOT ALLOWED,VISA NOT ON FILE
- 5IW - CNCL NOT ALLOWED - CERT MATCHED
- 4IW - CNCL NOT ALLOWED,VISA NBR MATCHED
- 5FE - DOCUMENT NUMBER INVALID
- 4FE - VISA NUMBER INVALID
- 5JV - DUPLICATE HTS NUMBERS
- 4JV - DUPLICATE CATEGORIES
- 4IX - ZERO QTY NOT ALLOWED
- 4JZ - INVALID TRANS TYPE - NOT 1, 2 , 5
- 4JU - LINE COUNT MISMATCH
- 5JT - SYNTAX VALIDATION FAILURE; VERIFY MESSAGE FORMAT

### **Document Activity Report Request Error Codes**

eCERT will generate codes from the following if errors are detected when processing a request for a Document Activity Report (DAR)/ Visa Activity Report (VAR):

- ES0 - SYNTAX VALIDATION FAILURE; VERIFY MESSAGE FORMAT
- ES1 - INVALID MSG TYPE
- ES2 - INVALID SYNTAX ID
- EW0 - CNTRY NOT AUTHORIZED FOR RPT
- EW1 - DOC NBR INVALID OR NOT ON FILE
- EW2 - INVALID DATE
- EW3 - INVALID RANGE REQUESTED
- EW4 - NO DATA FOR DOC NBR(S) OR DATE(S)
- EW5 - DOC NBR NOT IN MATCHED COND
- EW6 - DOC NBR NOT IN UNMATCHED COND
- EW7 - DOC NBR NOT IN CANCELLED COND
- EW8 - INVALID CONDITION CODE
- EW9 - INVALID SEARCH CRITERIA
- EW10 - INVALID DOCUMENT TYPE
- IW11 - OUTPUT LIMIT REACHED; ADDTNL DOCS/ENTRIES FOUND

### **Document Condition Codes**

Codes from the following list may be used by participants in the electronic Certificate system of the U.S. Customs and Border Protection to request a report with documents in a specific condition (matched, unmatched, cancelled) using the REQDOC message:

- 10 - Matched (Entry was filed and merchandise released)
- 11 - Unmatched (Certificate/License on file, Entry not filed)
- 12 - Cancelled (Certificate/License cancelled by participant)