

# Customs Broker Licensing Process

Please send any questions to the Broker Management Branch email: <u>brokermanagement@cbp.dhs.gov</u>

CBP Publication No. 3001-1222

In order to conduct customs business as a customs broker, individuals must pass the customs broker licensing exam, complete the application process, and receive approval through issuance of a license from CBP.

Sixty (60) days after receiving notice of passing the Customs Broker exam, individuals will receive a Customs Broker license application instruction letter. Individuals should follow instructions and provide the required information.

#### **Individual Application Checklist**

- □ CBPF 3124 Blocks 1-6 and sections 1 and 3 completed
- □ Application signed and dated
- □ Evidence applicant is a U.S. citizen and at least 21 years of age
- □ License application and fingerprint fees with check or money order payable to CBP
- □ Full credit report dated within the last 90 days
- Copy of passing exam notification letter issued by BMB

## **Organization Application Checklist**

- □ CBPF 3124 Blocks 1-6 and sections 2 and 3 completed
- □ Application signed and dated
- □ License application fee and fingerprint fee for each member with check or money order payable to CBP
- Evidence of the applicant's authority to use a fictitious name and State registration documents, if applicable
- □ A copy of the Articles of Organization/Formation or Partnership Agreement or affidavit signed by all partners
- Supporting documentation evidencing at least one member/officer is a licensed custom broker qualifying the corporation
- The Articles/Agreement must include the empowering statement, per 19 CFR 111.11 (c)1.
- □ A full and complete credit report for the organization, qualifier, and member(s)/officer(s) listed on the CBPF 3124.

#### License Application Background Investigation

CBP will conduct a background investigation to determine if an applicant is qualified and may cover the applicant's:



Accuracy of the statements made in the application and interview

Business integrity and financial responsibility

Character and reputation, including any association with anyone that may present a risk to the security or to the revenue collection of the U.S.

#### License Issuance



If CBP's investigation deems the applicant is qualified, Broker Management Branch (BMB) will issue a license.



The processing Center will coordinate presentation of the license with the applicant.

### **Appeal Process for License Denial**

File appeal within 60 days of receiving notice of denial

- First Appeal File with CBP Executive Director, TPP, OT
- Second Appeal File with CBP Executive Assistant Commissioner, OT
- Final Appeal File with the Court of International Trade



The application will be forwarded for license issuance



The applicant and Center Director (or designee) are notified in writing

# **Reapplication for License**

An applicant who has been denied a license may reapply at any time for a license by complying with the provisions of <u>19 CFR</u> <u>111.12</u>, Application for License, and <u>19 CFR 111.18</u>, Reapplication for License. Upon reapplication a broker must address how deficiencies, which resulted in denial of the prior application, have been remedied.

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<u>Customs Broker Guidance for</u> <u>the Trade Community</u>

