

# CBP and Trade Automated Interface Requirements

APHIS Lacey Act Message Set Requirements

March 2021



U.S. Customs and  
Border Protection



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## Table of Changes

Revision Number	Date of Change	Brief Description of Change
2.8	31JUL15	PG04 and PG29: PG29 follows PG04 and the combination is a wrapper for the unknown species/unknown countries. PG14: Type Code, A8, PPQ505 is deprecated and not required. PG21 and PG22: PG21 can lead or follow PG22, use the most convenient for you. PG02: PG02 C is allowed but the use should be considered as uncommon.
2.9	13AUG15	Discontinue use of PG29; Add PG24 for additional room for name from PG22; PG21 and PG22: return to original positions;
2.9.1	16SEP15	Modify PG10 description to eliminate excess text. Modify PG04, PG05, PG06 descriptions to delete reference to PG05, PG06 being repeatable.
2.9.2	30SEP15	Modify PG04 to use data element as a flag for unknown source or species of product, using a increment of Constituent quantity in looping with PG05 and PG06 to list possible species or possible countries.
2.9.3	05OCT15	Correct PG04 data element from “U” to “Y”
3.0	04JAN16	Section 1, p.4, add email contact address. Clarify PG04, PG05, PG06, PG21 and PG22. PG24 deprecated. Add PG60. Page 7, add 505b to Form 505. Page 9, clarify PG04, position 5; clarify Note 2. Page 16, remove reference to FAX number.
3.1	10MAY16	Introduction add: Lacey does not use ACE DIS. PG01 added wording for Intended Use future use. PG04 add Grams, millimeters, square millimeters and cubic millimeters, centigrams, centimeters, square centimeters, cubic centimeters. PG01 add position 79, correction indicator. Add PG19-PG20. Add PG29, PG50 and PG51. Add instructions to PG04 for use in PG50-PG51 group. Add note to PG04 for use with PG29.

Revision Number	Date of Change	Brief Description of Change
		<p>PG60 additional codes to allow extension of PG19 and PG20 data overflow. Clarify Entity Code wording PG22.</p>
3.2	20JUN18	<p>Section 1 – Introduction, removed extra wording of Lacey Act description, added clarifying statement regarding data collection; Corrected sentence by deleting “. . . or set”; removed redundant sentence concerning HTS code enforcement link; Section 3 – spelled out DIS; added note regarding Units of Measure; added information regarding space fill; added PG19 and PG20 to list of required records, corrected sentence for samples. PG01 – added Note 2 to correction indicator; added FTZ disclaim usage to note 1; PG04 and PG29 – added liquid measurements ML, CTL <del>CL</del>, L, KL to notes; removed confusing language from notes. PG05 – added “sp” to notes; correct sentence regarding use of PG04, PG05 and PG06. PG06 - correct sentence regarding use of PG04, PG05 and PG06. PG19 – added wording regarding the Entity Role PG20 – changed Entity City, Entity State/Province from conditional to mandatory; add note regarding postal code. PG21 – Removed Entity Role Code, not required. PG22 – Removed Entity Role Code, not required PG29 – changed UoM and Quantity to “M”; deleted rows from Note 1 table. PG60 –updated code description to match current PGA Record 60. Deprecated PG14, no longer used by Lacey.</p>
3.3	15JAN2021	<p>Incorporate Lacey de minimis. In the introduction: first paragraph change “of” to “for”; add de minimis; remove the “On publication . . .” from notices CA/CC and PG14; section 3 add information regarding entry type code flagging and entry type 06, Foreign Trade Zone entries; section 4 remove “aphis” from email address. Remove deprecated records references. PG01 add disclaim code “G” and note. PG05: remove Hybrid from Grinn information box; remove SPECIAL NONE from SUD chart; add Hybrid species SUD. PG06 clarify use of SUD for multiple countries of harvest, change multiple countries of harvest SUD code to XO. Correct 3.2 change above from CL to CTL. PG20 add the Trade 5106 Appendix C Zip Codes to the notes. PG19, PG21, PG22 clarify the language regarding entity role. PG25,</p>

<b>Revision Number</b>	<b>Date of Change</b>	<b>Brief Description of Change</b>
		added Note 1 to clarify the PGA line value. Add Lacey Act Program Message set usage.
3.3.1	3/9/21	PG06: Change "XO" to "XZ"

## Section 1 Lacey Act – Plant and Plant Product Declaration

The Lacey Act Amendments of 2008 (16 U.S.C. §§ 3371–3378) makes it illegal to trade in plant material that has been “taken, possessed, transported, or sold” in violation of any law or regulation of the United States or of any State, or any foreign law, that protects plants or that regulates a variety of listed plant-related offenses. The Lacey Act also requires a Plant and Plant Product Declaration be submitted for products containing plant material. The Animal and Plant Health Inspection Service (APHIS) is phasing in the declaration requirement based on the Harmonized Tariff Schedule (HTS) Code of the product being imported.

The HTS codes which are currently enforced by the Lacey Act Program may be viewed on the USDA APHIS [Lacey Act Website](#)

## Section 2 General Information

There are times when a Partner Government Agency (PGA) and Customs and Border Protection (CBP) may need the same data. In those instances, the PGA will not ask for the data to be provided again in the PGA Message Set, if it is already being asked for by CBP. That is, the PGA Message Set data requirements for an agency will not duplicate those common data elements. Instead, the PGA Message Set is used to provide the additional data needed for a PGA’s purpose. However, some data elements traditionally collected by CBP (for example, Manufacturers Identification (MID) may have a different use or definition for a PGA and therefore will be collected through the PGA Message Set.

The Lacey Act Declaration consists of approximately 19 data elements which need to be filed with Cargo Release (SE), Entry Summary 7501(AE) using the Lacey PGA message set when certifying for cargo processing. Cargo Release and Entry Summary provide some of the necessary information. The PGA data set will provide additional required data elements such as description, name of constituent element, quantity of constituent element, unit of measure, percent of constituent element, PGA line value, scientific genus name, scientific species name, container number, and source type and source (harvest) country code.

PGA records: PG01, PG02, PG04, PG05, PG06, PG10, PG19, PG20, PG21, PG22, PG25, PG27, PG29 and PG60 contain data elements that are associated with submitting the required Lacey Act information. The specific data elements that must be provided by the trade are marked in the records that follow this section. Cells marked in grey are not required for the Lacey Act Plant and Plant Product Declarations. If required by another agency, please see guidance from that agency. PG50 and PG51 are used to group data records. PG26 is not required or used by APHIS Lacey Act.

## Section 3 General Notes

- The Lacey Act Program does not use the Automated Commercial Environment Document Image System, DIS.
- The Lacey Act Program requires a Lacey Act declaration be filed on certain entry type codes, which are available on the CBP ACE CATAIR PGA Message Set folder in the Supporting Documents folder.

- Entry type code 06, Foreign Trade Zone, requires using the Lacey Act ACE message set, typical use is cargo release for “daily” or entry summary for “weekly”. See CBP ACE CATAIR PGA Message Set Supporting Documents [PGA Flag Enforcement Table](#). If the Lacey Act declaration data for an FTZ entry was filed in the APHIS Lacey Act Web Governance System (LAWGS), then use a PG01 disclaimer code “C”, it is not necessary to file a duplicate declaration in ACE.
- The Lacey PGA Message Set does not stand alone and must be submitted in conjunction with one of the following:
  - ACE Entry (Cargo Release)
  - ACE Entry Summary Certified for ACE Release (Cargo Release)
  - ACE Entry Summary
- Use the Lacey Act Data Input Samples v3.3, which are simple examples that cover typical Lacey Act submissions which the trade can use as a guide when submitting data
- Examples of [Common food crops and common cultivars](#) under exclusions of the Lacey Act Amendments of 2008 are on the [USDA APHIS Lacey Act website](#)
- The filer must submit a corresponding country of harvest record (PG06) for each genus/species record (PG05). The PG04 PG05 and PG06 records sequence may be repeated to fulfill the requirements of the Lacey Act. In the case where the identified species for the product is not specifically known or the country of harvest is not specifically known, enter the combination(s) of each species that may have been used in the product and the country of harvest where the product may have been harvested using additional sequences of the PG04, PG05 and PG06 records. The statute requires that the importer supply all countries and species that “. . . may have been used. . . .” or “. . . may have been taken . . . .” See the Lacey Act Samples v3.3 for examples.
- The entity in PG19 is to be the signer in PG21
- If one or more shipping containers are used, then submit the PG27 record. If no shipping containers are used, then do not submit the PG27 record.
- Use the Lacey ACE Units of Measure. Do not use other PGA Units of Measure for Lacey. Valid Units of Measure for all PGA’s can be found in Appendix: PGA and [Appendix B](#) (shipping/Packaging Unit Codes, and Unit of Measure codes)
- CBP Lacey accepts PGA Data Corrections ([CA/CC](#))
- The Lacey Act requires importers to provide the value of the importation.
  - Entered value is the considered the value of the product of the Harmonized Tariff Schedule code that contains the plant product in U.S. dollars and is the same value used for CBP Partner Government Agency line value.

Section 4 Contact

USDA APHIS Lacey Act contact email address [ace.its@usda.gov](mailto:ace.its@usda.gov)

## Processing

### General Rules for Data Submission

Unused fields, which are defined as numeric, should not be zero-filled. These fields should be space filled or data errors may result.

*Data should not be placed in fields defined as filler. If data is present in filler fields, an error message may be system generated.*

Transmit ONLY uppercase alphabetic characters A through Z. While some applications may not return specific error messages when detecting lower-case letters, CBP cannot guarantee the consistency of processing results for data transmitted in lower case.

### Data Elements

The data element length/class descriptors are defined as:

#### Code Description

- **A** The data element is alphabetic only (uppercase ONLY).
- **AN** The data element is alphanumeric only (uppercase ONLY, for characters A through Z).
- **N** The data element is numeric only.
- **X** The data element is alphanumeric or special characters (uppercase ONLY for characters A through Z).

**All data elements are in fixed-length format.** If all positions are not filled, the formatting rules are:

#### Code Description

- **A** Left justify and Space fill.
- **AN** Left justify and Space fill.
- **N** Right justify and zero-fill.
- **X** Left justify and Space fill.

**Note: If there is no data to insert in a field, Space fill.**

**Status codes** are important to ensure that appropriate data is transmitted. Codes must be used where indicated or errors will occur.

#### Code Description

- **M** Mandatory data element - must always be present.
- **O** Optional data element - generate data if available.
- **C** Conditional data element - data is provided if the conditions warrant.
- Lacey Act Program Message Set Records Use

- Lacey Act Program Message Set Hierarchy

CBP Line	HTS code	Repeatable up to 999/9,999 lines	SE/AE
Lacey PGA Records			
Line 1	One line per HTS code/CBP line	Repeatable up to 999/9,999 lines	SE/AE
PGOI		One per HTS	
PG01		If disclaimer code is used this is the exit record	
	PG02	One per HTS	
	PG10		Repeatable
		PG04	Repeatable under PG02
		PG05	Repeatable under PG04
		PG06	Repeatable under PG04
		PG04	Repeatable under PG02
		PG50	Group start
		PG29	Repeatable under PG04
		PG05	Repeatable under PG29
		PG06	Repeatable under PG29
		PG51	Group end
	PG19		Used once
	PG20		Used once
	PG21		Used once
	PG22		Used once
	PG25		Used once
	PG27		Repeatable

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Record Identifier OI (Input)

This is a mandatory Lacey Act PGA line item description input record that provides the description of the Customs Line. This record precedes the Record Identifiers for the PGA Message set. The OI record is a generic description record. Only one OI record is allowed per HTS code.

<b>Record Identifier OI (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal OI.	
Filler	8AN	3-10	M	Space fill.	
Commercial Description	70X	11-80	M	A clear description of the Customs Line in English. This description is not the same as the Harmonized Tariff description.	

**Record Identifier PG01 (Input)**

This is a mandatory Lacey Act PGA input record with three data elements that must be provided (PGA Line Number, Government Agency Code and Government Agency Program Code).

<b>Record Identifier PG01 (Input)</b>					
<b>Data Element</b>	<b>Length /Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 01.	
PGA Line Number	3N	5-7	M	Number required by PGAs beginning with 001 within a CBP line and sequentially incremented on subsequent PG01 records, if applicable.	
Government Agency Code	3AN	8-10	M	Code that identifies the PGA. Refer to Appendix V for valid codes. Use “APH” for APHIS.	
Government Agency Program Code	3X	11-13	M	Code indicating an agency’s program. Refer to Appendix PGA for valid codes. Use “APL” for Lacey Act Declarations.	
Government Agency Processing Code	3AN	14-16	C	Not required for Lacey Act Declaration.	
Electronic Image Submitted	1A	17		Not required for Lacey Act Declaration.	
Confidential Information Indicator	1A	18		Not required for Lacey Act Declaration.	
Globally Unique Product Identification Code Qualifier	4AN	19-22		Not required for Lacey Act Declaration.	
Globally Unique Product Identification Code	19X	23-41		Not required for Lacey Act Declaration.	
Intended Use Code	16X	42-57	C	Future Use by Lacey	
Intended Use Description	22X	58-78	C	Future Use by Lacey	
Correction Indicator	1X	79	C	Indicator allowing trade to identify the expected action to be taken on the corrected data. Please refer to Appendix: PGA for allowed list of values and their meaning. Refer to PGA Data Corrections for usage guidance.	2

<b>Record Identifier PG01 (Input)</b>					
<b>Data Element</b>	<b>Length /Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Disclaimer	1A	80	C	Data not present because: “A” – Product not regulated by this agency, Common cultivars and common food crops, products which are not plant or wood “B” – data is not required per agency guidance, certain FTZ products in the zone prior to November 26, 2016 “C” – data filed through other agency means, APHIS Lacey Act Web Governance System “D” – data filed through paper, PPQ Form 505 “G” – Lacey Act de minimis claim	1

**Note 1**

For the Lacey Act, the following Disclaimer Codes are permitted:

- A) **Not Regulated:** This disclaimer code is used to indicate that the Lacey Act information is not required because the product is not regulated or it is exempt at the statute level. This includes products that are common cultivars, common food crops, scientific specimens, plants intended for planting, and products containing zero (0) plant material.\*
- B) **Not Required:** This disclaimer code is used to indicate that the Lacey Act information is not required because the product has been identified in APHIS guidance as not requiring a Lacey Act Declaration.
- C) **Filed Through Other System:** This disclaimer code is used to indicate that the Filer has already submitted the required Lacey Act information directly to APHIS using the Lacey Act Web Governance System (LAWGS).
- D) **Filed Through Paper Submission:** This disclaimer code is used to indicate that the Filer has already submitted the required Lacey Act information directly to APHIS using the Lacey Act Plant and Plant Product Declaration (PPQ Form 505/505b) paper form.
- G) **De minimis:** This disclaimer code is used to indicate that the HTS line is claimed as de minimis for products containing plant material that represents no more than 5 percent of the total weight of the individual product unit, provided that the total weight of the plant material in an entry of such products (at the CBP line level, single HTS code) does not exceed 2.9 kilograms.

**Note 2**

- Please refer to the [CBP ACE](#) PGA data corrections (CA/CC) CATAIR spec for usage details

Please see the APHIS [Lacey Act Website](#) for more information regarding the Lacey Act Program.

\* Products containing protected plant material, including CITES listed species are not eligible for these exemptions.

**Record Identifier PG02 (Input)**

This is a mandatory Lacey Act PGA input record used to indicate whether or not the information being provided relates to a product or a component of a product. If a disclaimer is provided in the PG01 record, then only the OI and PG01 records are required to be submitted. (NOTE: Some PGAs may require additional data.) Otherwise, a PG02 with a value of “P” is required.

<i>Record Identifier PG02 (Input)</i>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 02.	
Item Type	1A	5	M	Must always be P.	
Product Code Qualifier	4AN	6-9		Not required for Lacey Act Declaration.	
Product Code Number	19X	10-28		Not required for Lacey Act Declaration.	
Product Code Qualifier	4AN	29-32		Not required for Lacey Act Declaration.	
Product Code Number	19X	33-51		Number that characterizes the commercial product or component.	
Product Code Qualifier	4AN	52-55		Not required for Lacey Act Declaration.	
Product Code Number	19X	56-74		Not required for Lacey Act Declaration.	
Filler	6X	75-80	M	Space fill	

**Record Identifier PG04 (Input)**

This is a mandatory record for the Lacey Act with four data elements that must be provided (Name of the Constituent Element, Quantity of Constituent Element, Unit of Measure (Constituent Element), Percent of Constituent Element). This record can be repeated. **Note that percentage of recycled material is for paper and pulp and does not need a zero fill.**

<b>Record Identifier PG04 (Input)</b>					
<b>Data Element</b>	<b>Length /Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 04.	
Constituent Active Ingredient Qualifier	1A	5	C	Use Y for unknown harvest source or species of product, otherwise valid Character is NULL or blank	1, 5
Name of the Constituent Element	51X	6-56	M	This is the name/description of the individual Article or Component.	
Quantity of Constituent Element	12N	57-68	M	Use this field to report total quantity of plant material in the shipment. Not just the quantity in one item. <b>Two decimal places are implied.</b> Do not back fill with zeroes. Non-zero value must be present unless PG29 is used.	2, 4
Unit of Measure (Constituent Element)	5AN	69-73	M	For more information on acceptable Units of Measure see our <a href="#">guidance</a> .	2, 4
Percent of Constituent Element	7N	74-80	C	The percent of the recycled material in the product/component. <b>Four decimal places are implied.</b> If the percent varies please indicate the average amount of recycled material. <b>Only for Paper and Paperboard.</b>	3

**Note 1**

- The quantity in PG04 will be assigned to each PG05 - PG06 pair used.
- The PG04 position 5 with a Y will flag the use of multiple PG05 or/and PG06

**Note 2**

- The Quantity of Plant Material is required for EACH genus/species/country of harvest combination.

**Valid Units of Measure are:**

- | UoM                  | Description |
|----------------------|-------------|
| • Grams              | G           |
| • Millimeters        | MM          |
| • Square Millimeters | MM2         |

- Cubic Millimeters MM3
- Centigrams CG
- Centimeter CM
- Square Centimeter CM2
- Cubic Centimeter CM3
- Kilogram KG
- Meter M
- Square Meter M2
- Cubic Meter M3
- Milliliter ML
- Centiliter CTL
- Liter L
- Kiloliter KL

**Not Valid Units of Measure:**

- Piece, Number, Count, board feet, thousand board feet **are not** acceptable units of measure.

Example of Quantity:

- Example of Quantity: 12345678901 = 123,456,789.01

**Note 3**

Examples of Percentages: 1000000 = 100% 0000009 = .0009%

**Note 4**

If PG29 is used in a PG50-PG51 grouping, PQ04 Quantity and Unit of Measure must not have a value, the quantity and UoM will be reported in the PG29 record instead.

**Note 5**

The use of the “Y” flag allow the use of multiple PG05’s and PG06’s to provide the necessary species and country of harvest to fulfill the requirements of the Lacey Act.

## Record Identifier PG05 (Input)

This is a mandatory record for the Lacey Act with two data elements that must be provided (Scientific Genus Names, Scientific Species Name). This record is used in conjunction with the PG06 to describe the relationship between the genus/species and country of origin. The filer must submit a corresponding genus/species (PG05/PG06) for each Country of Harvest. The statute requires a unique quantity for each species/country pairing.

<b>Record Identifier PG05 (Input)</b>					
<b>Data Element</b>	<b>Length /Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 05.	
Scientific Genus Name	22X	5-26	M	Scientific Genus Name of the plant being entered. If using a SUD, enter the word "SPECIAL". See Note 2 for information on SUD usage.	1,2,3
Scientific Species Name	22X	27-48	M	Scientific Species Name of the plant being entered. If using a SUD, enter the SUD name (e.g. "SPF"). See Note 2 for information on SUD usage.	1,2,3
Scientific Sub Species Name	18X	49-66		Not required for Lacey Act Declaration.	
Scientific Species Code	7AN	67-73		Not required for Lacey Act Declaration.	
FWS Description Code	7AN	74-80		Not required for Lacey Act Declaration.	

### Note 1

For the purposes of the Lacey Act Declaration, this scientific name is a two word Latin phrase representing a genus and species. When submitting this information the "Genus" field should only contain the first Latin name and the "Species" field should only contain the second. Do NOT use the common/trade name in either of these fields.

Example: If the scientific name is *Quercus rubra*, the filer would enter only the word *Quercus* in the "Genus" field and only the word *rubra* in the "Species" field.

Please use [GRIN Taxonomy](#) as the basis for any reference tables and spelling standardization. Remove *sp* and *Spp.* references when creating any reference tables.

Do not use abbreviations. Do not include the name of the classifier/scientist. Do not use "sp" or "Spp." Do NOT include symbols, quotes or other non-text character.

Example: *B. platyphylla* is an abbreviation and is **not acceptable**. Spell out both the genus and species names (i.e. *Betula platyphylla* is acceptable)

Example: *Populus tomentosa* Carrière. The word Carrière is not part of the scientific name but is an author citation or the name of a scientist who first published the botanical name. (ie. *Populus tomentosa* is acceptable)

If the species of plant used to produce the product is unknown (after exercise of due care), enter each species that

may have been used to produce the product.

**Note 2**

APHIS has compiled the following chart of Special Use Designations (SUD’s) to address certain special cases. Use of these Designations may help simplify the reporting process for plant products that are difficult or impossible to identify to the species level or as noted under Other Special Cases. Use of the Special Use Designations is dependent on the exercise of due care.

Use of the Hybrid Species SUD requires entering the genus of the product.

Instructions governing the use of these Designations can be found at:

[http://www.aphis.usda.gov/plant\\_health/lacey\\_act/downloads/lacey-act-SUD.pdf](http://www.aphis.usda.gov/plant_health/lacey_act/downloads/lacey-act-SUD.pdf)

Circumstance	Genus Designation	Species Designation
<b>1. <a href="#">Species groupings<sup>1</sup></a></b>		
SPF	SPECIAL	SPF
<b>2. <a href="#">Composite, Recycled, or Reused Plant Materials<sup>2</sup></a></b>		
MDF, HDF, OSB, Particle Board, Paper, Paperboard, etc.	SPECIAL	COMPOSITE
Recycled Material	SPECIAL	RECYCLED
Reclaimed or Reused Material	SPECIAL	RECLAIMED
<b>3. <a href="#">Other Special Cases<sup>3</sup></a></b>		
Manufactured Prior to May 22, 2008 - Sourcing of Pre-Amendment Materials Untraceable Despite Due Care	SPECIAL	PREAMENDMENT
Hybrid species - cultivated	(required)	HYBRID

**Note 3**

In the case where the identified species for the product is not specifically known, all possible species must be declared using PG05

1). Use PG04 for the total amount of the Constituent Element. This quantity will be assigned for each use PG05, PG06. 2). Use PG04 with a Y flag and enter the quantity of product of each species that may have been used in the product.

**Record Identifier PG06 (Input)**

This is a mandatory record for the Lacey Act with two data elements that must be provided (Source Type Code, Country Code). This record is used in conjunction with the PG05 to describe the relationship between the genus/species and country of harvest. For the Lacey Act, the filer must submit a corresponding genus/species (PG05/PG06) for each Country of Harvest (16 U.S.C. §3372(f)(2)(B)). The PG04, PG05 and PG06 sequence may be repeated to provide all the species and countries of harvest in the product. The statute requires a unique quantity for each species/country pairing.

<b>Record Identifier PG06 (Input)</b>					
<b>Data Element</b>	<b>Length /Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 06.	
Source Type Code	3AN	5-7	M	For Lacey Act, use the code HRV = Harvested.	
Country Code	2X	8-9	M	Identifies each country in which the plant was harvested. Valid codes are found in Appendix B.	1,2
Geographic Location	20X	10-29		Not required for Lacey Act Declaration.	
Processing Start Date	8N	30-37		Not required for Lacey Act Declaration.	
Processing End Date	8N	38-45		Not required for Lacey Act Declaration.	
Processing Type Code	5AN	46-50		Not required for Lacey Act Declaration.	
Processing Description	30X	51-80		Not required for Lacey Act Declaration.	

**Note 1**

For the Lacey Act, Country of Harvest means the Country in which the plant was taken, harvested, cut, logged or removed. This is NOT necessarily the Country of Origin or manufacture of the product imported.

**Note 2**

If the specific country of harvest is unknown, you must list all possible countries of harvest.

1). Use PG04 for the total amount of the Constituent Element. This quantity will be assigned for each use PG05, PG06. 2). Use PG04 with a Y flag and enter the quantity of product of each species that may have been used in the product.

If the list of possible countries of harvest includes more than five (5) countries, the importer must list five countries of harvest before the importer may use the SUD XZ instead of listing the remaining countries of harvest.

**Record Identifier PG10 (Input)**

This is a mandatory Lacey Act PGA input record which allows for reporting the product description.

<b>Record Identifier PG10 (Input)</b>					
<b>Data Element</b>	<b>Length /Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 10.	
Category Type Code	6AN	5-10		This information is not required for the Lacey Act Plant and Plant Product Declaration. If required by another agency, please see guidance from that agency.	
Category Code	5AN	11-15		This information is not required for the Lacey Act Plant and Plant Product Declaration. If required by another agency, please see guidance from that agency.	
Commodity Qualifier Code	4X	16-19		This information is not required for the Lacey Act Plant and Plant Product Declaration. If required by another agency, please see guidance from that agency.	
Commodity Characteristic Qualifier	4AN	20-23		This information is not required for the Lacey Act Plant and Plant Product Declaration. If required by another agency, please see guidance from that agency.	
Commodity Characteristic Description	57X	24-80	M	PGA Line Description. More detailed description of the product than the OI.	

**Record Identifier PG14 (Input) DEPRECATED**

This PGA input record is no longer required by Lacey. It has not been replaced.

This is a conditional Lacey Act PGA input record that provides data pertaining to Licenses, Permits, Certificates or Other (LPCO) issued by PGA and other government entities. The data elements included in this record are LPCO Type, LPCO Number, and LPCO Quantity. There may be more than one LPCO for each PGA per product. This record may be repeated. For the Lacey Act this field is used to report the CITES Permit numbers associated with CITES regulated species in the shipment. This field is used to report any APHIS permits associated with the shipment.

<b>Record Identifier PG14 (Input)</b>					
<b>Data Element</b>	<b>Length /Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 14.	
LPCO Transaction Type	1N	5	C	Identifies the transaction type. 1 = single use, 2 = continuous, 3 = general	
LPCO Type	3AN	6-8	C	Identifies the type of Permit. See Appendix PGA (LPCO Type Code) for valid codes.	1
LPCO Number (or Name)	33X	9-41	C	Identifies the number assigned to the Permit. The name of the Permit issued by a foreign entity can also be reported.	
LPCO Date Qualifier	1N	42	C	Valid dates are: 1 = Expiration Date, 2 = Effective Date, 3 = Date Issued or Signed, 4 = Date Application Received	1
LPCO Date	8N	43-50	C	Identifies the date of the Permit in MMDDYYYY (month, day, and year) format.	
LPCO Quantity	16N	51-66	C	Not required for Lacey Act Declaration.	
LPCO Unit of Measure	5AN	67-71	C	Not required for Lacey Act Declaration.	
Exemption Code	9X	72-80	C	Not required for Lacey Act Declaration.	

**Note 1**

<b>Code</b>	<b>Permit Name</b>	<b>Permit Description</b>	<b>Date Type for Lacey Filing</b>
A01	APHIS Phytosanitary certificate (Foreign)	Phytosanitary certificate (Foreign)	3 – Issuance Date
A10	APHIS PPQ 526	Permit to Move Live Plant Pests or Noxious Weeds	1 – Expiration Date
A11	APHIS PPQ546	Post entry Quarantine Permit (7CFR319.37-7)	1 – Expiration Date

A12	APHIS PPQ585	Permit to Import Timber or Timber Products	1 – Expiration Date
A13	APHIS PPQ586	Permit to Transit Plants and/or Plant Products, Plant Pests, and/or Associated Soil Through the United States	1 – Expiration Date
A14	APHIS PPQ587-8	Permit to Import Plants and Plant Products Regulated by 7CFR319.8 (Foreign Cotton or Covers)	1 – Expiration Date
A15	APHIS PPQ587-15	Permit to Import Plants and Plant Products Regulated by 7CFR319.15 (Sugarcane)	1 – Expiration Date
A16	APHIS PPQ587-37	Permit to Import Plants and Plant Products Regulated by 7CFR319.37 (Nursery Stock, Plants, Roots, Bulbs, Seeds)	1 – Expiration Date
A17	APHIS PPQ587-41	Permit to Import Plants and Plant Products Regulated by 7CFR319.41 (Indian Corn or Maize, Broomcorn, etc.)	1 – Expiration Date
A18	APHIS PPQ587-55	Permit to Import Plants and Plant Products Regulated by 7CFR319.55 (Rice)	1 – Expiration Date
A19	APHIS PPQ587-56	Permit to Import Plants and Plant Products Regulated by 7CFR319.56 (Fruits and Vegetables)	1 – Expiration Date
A20	APHIS PPQ587-75	Permit to Import Plants and Plant Products Regulated by 7CFR319.75 (Khapra Beetle)	1 – Expiration Date
A21	APHIS PPQ587-37CAN	Permit to Import Plants and Plant Products Regulated by 7CFR319.37 (Canadian-Origin)	1 – Expiration Date
A22	APHIS P588	Permit to Import Prohibited Plant Material For Research Purposes	1 – Expiration Date
A23	APHIS P621	Protected Plant Permit to engage in the business of importing, exporting, or reexporting terrestrial plants regulated by 50CFR17.12 or 23.23 (Threatened or Endangered Species)	1 – Expiration Date
FWF	FWS Foreign CITES Document	Foreign CITES Document	1 – Expiration Date
FWD	FWS U.S. CITES Document	US CITES Document	1 – Expiration Date

**Record Identifier PG19 (Input)**

This is a mandatory Lacey ACT PGA input record that provides data pertaining to Entity Address 1. This record is used to identify the entities and facilities (foreign & domestic) associated to this shipment. This record can be repeated if there are multiple entities.

<i>Record Identifier PG19 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 19.	
Entity Role Code	3AN	5-7	M	Only IM or CB permitted	1, 2
Entity Identification Code	3AN	8-10	C	Not required by Lacey Act Declaration	
Entity Number	15X	11-25	C	Not required by Lacey Act Declaration	
Entity Name	32X	26-57	C	Enter in PG21.	
Entity Address 1	23X	58-80	M	Address Line 1 for the Entity.	

Shaded cells are not required by Lacey in this PG Record.

**NOTE 1:**

Only Importer of Record (IM) or Customs Broker with Power of Attorney to represent the importer (CB) are permitted. The individual identified in PG19 is certifying to the following statement: “I certify under penalty of perjury that, to the best of my knowledge and belief, the information furnished is true and correct” in PG22.

**NOTE 2:**

Corresponds to Certifier Type Code in AHPIS LAWGS.

**Additional information:**

PG19, PG20, PG22 and PG21 will be required once for each CBP line.

PG60 may be used as needed.

**Record Identifier PG20 (Input)**

This is a mandatory Lacey Act PGA input record that provides additional data pertaining to Entity identification such as Entity Address line 2, Apartment/Suite, City, State, and Zip/Postal Code. This record is used with the PG19 and may be repeated if PG19 is repeated.

<b>Record Identifier PG20 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 20.	
Entity Address 2	32X	5-36	C	Address Line 2 for the Entity.	
Entity Apartment Number/Suite Number	5X	37-41	C	Apartment/Suite number of the entity.	
Entity City	21X	42-62	M	City of the entity.	
Entity State/Province	3AN	63-65	M	State/Province two or three character code of the entity.	
Entity Country	2A	66-67	M	ISO Country Code. See Appendix B in the ACE ABI CATAIR for valid codes.	
Entity Zip/Postal Code	9X	68-76	M	ZIP/Postal Code of the entity.	1
			- C	If No ZIP/Postal Code of the entity	2
Filler	4X	77-80	M	Space fill	

**NOTE 1:**

A postal code is mandatory if the country uses or has a postal code or ZIP code. United States, Canada, Mexico, U.K., and European Union are examples.

**NOTE 2:**

A postal code is not mandatory if the country does not use or does not have a postal code or ZIP code system. Hong Kong is an example.

A reference for the postal code use is Trade 5106 Appendix C Zip Codes.

**Record Identifier PG21 (Input)**

This is a mandatory PGA input record that provides data about an Individual certifying to the accuracy of the APHIS Lacey Act Declaration data. This record includes the Individual Name, Telephone Number, and Email Address. This record can be repeated in cases where multiples of these data elements need to be reported for a single Individual. (For example, for reporting two phone numbers or two email addresses).

<b>Record Identifier PG21 (Input)</b>					
<b>Data Element</b>	<b>Length /Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 21.	
Individual Qualifier	3AN	5-7	M	Not Required for Lacey Act Program	2
Individual Name	23X	8-30	M	Name of the Individual. If the name will not fit, complete PG60 and then enter the name in the additional information text field.	1
Telephone Number of the Individual	15N	31-45	M	Telephone number of the Individual. Use PG60 for additional telephone numbers.	1
Email Address <del>or Fax Number</del> for the Individual	35X	46-80	M	Only Email Address used by Lacey of the individual. Use PG60 for additional email addresses.	1, 3

**Note 1**

The Individual identified in PG21 represents the person taking responsibility for the accuracy of the APHIS Lacey Act Declaration data, such as the importer of record or the filer.

**Note 2**

Not required by the Lacey Act Program, it is supplied in PG19.

**Note 3**

Email address only is accepted for positions 46-80 and can be extended to PG60.

**Record Identifier PG22 (Input)**

This is a mandatory record that provides the certifying individual’s certification to the accuracy of the Act Declaration data.

<b>Record Identifier PG22 (Input)</b>					
<b>Data Element</b>	<b>Length /Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 22.	
Importers Substantiating Signed Document /Signed Confirmation Letter	1A	5	C	Not required for Lacey Act Declaration.	
Document Identifier	7AN	6-12	C	Not required for Lacey Act Declaration.	
Conformance Declaration	5X	13-17	C	Not required for Lacey Act Declaration.	
Entity Role Code	3AN	18-20	M	Not required for Lacey Act Declaration	2
Declaration Code	4AN	21-24	M	Code indicating the declaration certification statement. Must use AP6 for the Lacey Act Declaration.	
Declaration Certification	1A	25	M	A code of Y (yes) indicating that the entity has read the statement and certifies the data correct and signature is on file. No other code is accepted.	1
Date of Signature	8N	26-33	M	Date of the signature in MMDDYYYY (month, day, and year) format.	
Invoice Number	17X	34-50	C	Not required for Lacey Act Declaration.	
Compliance Description	30X	51-80	C	Not required for Lacey Act Declaration.	

**Note 1**

This is a mandatory record. The certification statement is as follows: “I certify under penalty of perjury that, to the best of my knowledge and belief, the information furnished is true and correct”.

If certification is not provided (position 25, entry code “Y”), the Lacey Act Declaration will not be accepted.

**NOTE 2**

Not required by the Lacey Act Program, it is supplied in PG19.

**Record Identifier PG25 (Input)**

This is a mandatory record for the Lacey Act with one data element that must be provided (PGA Line Value).

<b>Record Identifier PG25 (Input)</b>					
<b>Data Element</b>	<b>Length /Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 25.	
Temperature Qualifier	1A	5		Not required for Lacey Act Declaration.	
Degree Type	1A	6		Not required for Lacey Act Declaration.	
Negative Number	1A	7		Not required for Lacey Act Declaration.	
Actual Temperature	6N	8-13		Not required for Lacey Act Declaration.	
Location of Temperature Recording	1A	14		Not required for Lacey Act Declaration.	
Lot Number Qualifier	1AN	15		Not required for Lacey Act Declaration.	
Lot Number	25X	16-40		Not required for Lacey Act Declaration.	
Production Start date of the Lot	8N	41-48		Not required for Lacey Act Declaration.	
Production End Date of the Lot	8N	49-56		Not required for Lacey Act Declaration.	
PGA Line Value	12N	57-68	M	The total value associated with the PGA line number in whole U.S. dollars for the Lacey Act regulated products.	1
PGA Unit Value	12N	69-80		Not required for Lacey Act Declaration.	

**Note 1**

The PGA line value is for the value of the Lacey Act products of the HTS code in PGOI. The Lacey Act requires importers to provide the value of the importation. Entered value is the considered the value of the product of the Harmonized Tariff Schedule code that contains the plant product in U.S. dollars and is the same value used for CBP Partner Government Agency line value.

**Record Identifier PG27 (Input)**

This is a conditional Lacey Act PGA input record with one data element. If container is present it must be identified (Container Number (Equipment ID)). If there are more than three containers, this record may be repeated. If no containers are present, this record is not required.

<i>Record Identifier PG27 (Input)</i>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 27.	
Container Number (Equipment ID)	20AN	5-24	C	The number of the shipping container or equipment identification number. Conditional: If container is present it <b>must</b> be identified.	
Type of Container	1N	25		Not required for Lacey Act Declaration.	
Container length	2N	26-27		Not required for Lacey Act Declaration.	
Container Number (Equipment ID)	20AN	28-47	C	The number of the shipping container or equipment identification number. Conditional: If container is present it <b>must</b> be identified.	
Type of Container	1N	48		Not required for Lacey Act Declaration.	
Container length	2N	49-50		Not required for Lacey Act Declaration.	
Container Number (Equipment ID)	20AN	51-70	C	The number of the shipping container or equipment identification number. Conditional: If container is present it <b>must</b> be identified.	
Type of Container	1N	71		Not required for Lacey Act Declaration.	
Container length	2N	72-73		Not required for Lacey Act Declaration.	
Filler	7X	74-80	C	Space fill	

**Record Identifier PG29 (Input)**

This conditional Lacey PGA input record allows for reporting the quantity of material in a product among a list of genus species and country of harvest pairs. The PG29 is used to summarize that information over several PG05 PG06 records. The PG29 will be used with a limited set of HTS codes. See Note 1 for applicable HTS codes for PG29. PG29 may be used when reporting genus species and/or country of harvest when the material meets the criteria for use of a Special Use Designation (SUD) for any HTS code.

<b>Record Identifier PG29 (Input)</b>					
<b>Data Element</b>	<b>Length /Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 29.	
Unit of Measure (PGA line - net)	3AN	5-7	C	Not required for Lacey Act Declaration.	
Commodity Net Quantity (PGA line - net)	12N	8-19	C	Not required for Lacey Act Declaration.	
Unit of Measure (PGA line - gross)	3AN	20-22	C	Not required for Lacey Act Declaration.	
Commodity Gross Quantity (PGA line - gross)	12N	23-34	C	Not required for Lacey Act Declaration.	
Unit of Measure (Individual Unit - net)	3AN	35-37	C	Not required for Lacey Act Declaration.	
Commodity Net Quantity (Individual Unit - net)	12N	38-49	C	Not required for Lacey Act Declaration.	
Unit of Measure (Individual Unit - gross)	3AN	50-52	M	See Appendix PGA for Valid units For more information on Acceptable Units of Measure see to our <a href="#">guidance</a> .	2,3,4
Commodity Gross Quantity (Individual Unit - gross)	12N	53-64	M	Use this field to report the total quantity of plant material for all genus species and/or countries of harvest.	3,5
Filler	16X	65-80	M	Space fill	

**Note 1**

PG29 is permitted to be used with the following HTS codes only if the importer is unable after due care to discern the exact quantities to assign to each genus species and country of harvest pairs.

HTS Codes Signifying Permission to use PG 29

HTS Code	Description
44.01.XXXX.XX	Fuel wood – All HTS codes under heading 4401 are included.
44.02.9000.00	Wood charcoal (including shell or not charcoal), whether or not agglomerated; Other than bamboo

**Note 2**

- The Quantity of Plant Material is required for EACH genus/species/country combination.
- Piece and Number counts are not acceptable.
- Example of Quantity: 12345678901 = 123,456,789.01
- Do not zero fill the quantity

**Note 3**

When the PG29 is used, the PG04 Quantity and Unit must have a blank or no value.

**Note 4**

- The Quantity of Plant Material is required for EACH genus/species/country combination.

Valid units are:

UoM	Description
• Grams	G
• Millimeters	MM
• Square Millimeters	MM2
• Cubic Millimeters	MM3
• Centigrams	CG
• Centimeter	CM
• Square Centimeter	CM2
• Cubic Centimeter	CM3
• Kilogram	KG
• Meters	M
• Square Meters	M2
• Cubic Meters	M3
• Milliliter	ML
• Centiliters	CTL
• Liters	LT
• Kiloliter	KL

**Note 5**

Each genus species and country of harvest pair will be assigned an equal portion of the PG29 quantity. (e.g., 2 species and 1 country of harvest, gross quantity would be divided by 2; 2 species and 2 countries of harvest, gross quantity would be divided by 4)

**Record Identifier PG50 (Input)**

This is a conditional Lacey PGA input record used (along with the PG51 record) to indicate that the data immediately following specific records should be associated together.

The PG50 record is used to indicate the start of a grouping. The PG51 record is used to indicate the end of the grouping.

The Lacey Act message set uses the PG50 and PG51 to group a PG29 and a quantity of PG05 and PG06 associated with a list of genus species and countries of harvest.

The following records can be followed by the PG50 grouping indicator: PG02, PG04, PG13 or PG14.

<i>Record Identifier PG50 (Input)</i>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 50.	
Filler	76X	5-80	M	Space fill	

**Record Identifier PG51 (Input)**

This is a conditional Lacey PGA input record used (along with the PG50 record) to indicate that the data immediately following specific records should be associated together.

The PG50 record is used to indicate the beginning of a grouping. The PG51 record is used to indicate the end of the grouping.

<b><i>Record Identifier PG51 (Input)</i></b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 51.	
Filler	76X	5-80	M	Space fill	

**Record Identifier PG60 (Input)**

This is a conditional PGA Lacey input record for additional information. This record is used to provide additional information about data in the PG record that precedes it during the submission of a PGA record set.

This record can follow a PG19, PG20 or PG21 record, and can only be used to provide the additional information noted by the additional reference qualifier code list.

This record may be repeated.

<b>Record Identifier PG60 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 60.	
Additional information qualifier code	3AN	5-7	M	Code indicating the type of additional information being provided	1
Additional Information	72X	8-80	M	Text of the additional information related to the additional reference qualifier code	

See usage notes (and PGA-specific guidance) for information about using this record.

**Note 1**

Valid Additional information qualifier codes are:

**Code Description**

- AD1 Entity address 1 overflow for PG19
- AD2 Entity address 2 overflow for PG20
- AD3 Entity address Line 3 for PG20
- AD4 Entity address Line 4 for PG20
- AD5 Entity address Line 5 for PG20
- ECI Entity city overflow for PG20
- ENA Entity name overflow for PG19
- TEL Telephone number overflow for PG21
- EMA Email overflow for PG21
- CIT Citation for PG19, 20, 21
- INA Individual name overflow for PG21
- TBN Trade name/brand name overflow for PG07