# Automated Export System Trade Interface Requirements

**Summary of Changes** 

November 2020



	Type of	
Version/Date	Change	Location and Description
Revised November 16, 2020	C	Response Code: 240 Narrative Text: USPPI ADDR LINE 1 INELIGIBLE Severity: FATAL Proprietary Record ID/Data Elements: Input N02 Record/Address Line 1 X.12 Segment ID/Data Elements: N301; when N101 = EX Reason: The Party Type was declared as 'E' (USPPI) and the USPPI Address Line 1 contains variations of 'P.O. Box or UNKNOWN, UNK, UNKN'. Resolution: The primary address line of the USPPI must be declared in an EEI. The USPPI Address Line 1 cannot contain an ineligible value identified in AES. Verify the USPPI Address Line 1, correct the N02 record and retransmit.  Response Code: 242 Narrative Text: USPPI ADDR LINE 2 INELIGIBLE Severity: FATAL Proprietary Record ID/Data Elements: Input N02 Record/Address Line 2 X.12 Segment ID/Data Elements: N302; when N101 = EX Reason: The Party Type was declared as 'E' (USPPI) and the USPPI Address Line 2 contains variations of 'P.O. Box or UNKNOWN, UNK, UNKN'. Resolution: An additional address line for the USPPI may be declared in an EEI. When reported, the USPPI Address Line 2 cannot contain an ineligible value identified in AES. Verify the USPPI Address Line 2, correct the N02 record and retransmit.
Revised November 5, 2020	C	Updated Appendix F – per BIS request  C35 LVS added 6B002  C36 GBS added 6B002  C38 TSR added 3E004  C50 ENC added 5A004
Revised September 14, 2020	C	Updated Appendix U – Used Vehicle per Census request  Add – 8426.19.0000
Revised August 24, 2020	C	Updated Appendix U – Used Vehicle per Census request  Add 8436800080 8515800080
Revised July 28, 2020	C	Updated Appendix U- Used Vehicle per Census/OFO request  Add - 8426490010
Revised June 26, 2020	C	Updated Appendix F per BIS request  Removed C37/CIV
Revised June 16, 2020	C	Updated Appendix U – Used Vehicle Numbers per Census request.  Added the following HTS codes  8705100010 8705100050 8705200000 8705300000 8705400000 8705900000 8426410010 8436700020

	Type of	
Version/Date	Change	Location and Description
V 0121011/ - 0000		
<b>Revised</b>	C	Updated Appendix F per BIS request
June 10, 2020		Added C63 YFA
D	<u>C</u>	Removed ECCN 0A501 from C60  Updated Appendix F for missing ECCNs for C35 and C60
Revised May 12, 2020	C	opulated Appendix & for missing ECCNs for C55 and C60
1 <b>11ay 12, 2020</b>		
Revised	C	Updated Appendix O – DDTC ITAR Exemptions per State request
<b>April 3, 2020</b>		D. I.d. C.H TOAD
		Removed the following ITAR exemptions
		123.17A1, 123.17A2, 123.17A3, 123.17B, 123.17C, 123.17D, 123.17E, 123.18A1, 123.18A2,
		123.18A3, 123.18B
<b>Revised</b>	C	Updated Appendix F per BIS request
<b>April 1, 2020</b>		The December of the Control of the C
		Updated ECCN list for C35 and C60 and edits for C45
Revised	C	Updated Appendix D – Export Port Codes
<b>March 19, 2020</b>		Removed the following ports
		2770 - DHL, LOS ANGELES, CA 2870 - DHL, SAN FRANCISCO, CA
		3295 - UPS, HONOLULU AIRPORT, HI
	<u>C</u>	Updated Appendix D – Export Port Codes
Revised March 2, 2020	C	Copulated Appendix D - Export Port Codes
Water 2, 2020		Add – 2401 Port of Ysleta, TX (Air)
		Add – 0211 Norton, VT (Fixed) Add – 1816 Port Canaveral, FL (Air)
Revised	C	Updated Appendix D – Export Port Codes
<b>January 14, 2020</b>		Removed Port 0132 – Belfast, Maine
<b>Revised</b>	C	Updated Appendix D – Export Port Codes
December 17, 2019		
		Port 0206 Beecher Falls, VT Fixed-Y
Revised	C	Updated Appendix U – HTS/Schedule B Classifications Requiring Used Vehicle Reporting per
October 31, 2019		Census request.
		Added 8426.41.0090 & 8428.90.0290 under Heavy Lifting and Construction (not bold)
		W. L. Charles W. D. D. C. Charles
Revised October 28, 2019	C	Updated Appendix D – Export Port Codes
October 28, 2019		Removed port 2711 Segundo, CA

	Tyme of	
Version/Date	Type of Change	Location and Description
Revised September 9, 2019	C	Updated Appendix C – ISO Country Codes per Census request.  Burma (Myanmar) - MM
Revised September 4, 2019	C	Updated Appendix D – Export Port Codes per Census request.  Removing port 5589 Meacham Intl Ariport, TX
Revised August 13, 2019	C	Updated Appendix V – HTS numbers that cannot be reported in AES per Census request.
Revised July 10, 2019 <u>Effective</u> TBD	C	Updated Commodity Format (AESTIR) and Export Info Shipment (X12) for FTZ per Census request.
Revised June 28, 2019	C	Updated Appendix X for NMFS per NMFS request.  AMR – add 0304.92.0000 Toothfish (Dissostichus spp.)  HMS – add 0301.94.0100 Atlantic and Pacific bluefin tunas (Thunnus thynnus, Thunnus orientalis) 0301.95.0000 Southern bluefin tunas (Thunnus maccoyii) 0302.92.0000 Shark Fins 0303.44.0000 Bigeye Tuna (Thunnus obesus) 0303.92.0000 Shark Fins
Revised June 6, 2019	C	Updated Appendix A and Commodity Format (AESTIR)  Response Code: 341  Narrative Text: ULT CONS MUST BE REPORTED WITHIN 4 DAYS Severity: WARNING Proprietary Record ID/Data Elements: Input SC1 Record/Estimated Date of Export; Input N01 Record/Party Type, To Be Sold en Route Indicator X.12 Segment ID/Data Elements: DTM01; DTM02; N1 segment; for N101 = CN Reason: The Party Type is C for Ultimate Consignee and the To Be Sold en Route Indicator is reported as Yes. Resolution: Incomplete ultimate consignee information may be declared on an EEI when a 'To Be Sold en Route' declaration has been made. However, the actual ultimate consignee must be declared within 4 days of the EEI transmission and approval by AES. Verify, correct and retransmit.  On the N01 record — To Be Sold en Route Indicator for Description  Usage under 'Y' to read: Cargo to be sold en route, ultimate consignee name and address to be reported within 4 days of the EEI transmission and approval by AES.
Revised May 13, 2019	C	Updated notes to the Estimated Date of Export for shipments from the US to Puerto Rico per Census request.  The Estimated Date of Export should be reported as the estimated date of departure from the US port.

Version/Date	Type of Change	Location and Description
Revised May 13, 2019 FIZ TBD	C	Updated Commodity Format (AESTIR) and Export Shipment Info_601 (X12) documents to reflect the FTZ change from 7 to 9 positions. AES will be able to accept 7 or 9 positions for FTZ.
Revised May 6, 2019	C	Updated Appendix U – HTS/Schedule B Classifications Requiring Used Vehicle Reporting per OFO request  Added – 8604.00.0000 (allowed) under Heavy Lifting & Construction
Revised May 1,2019	C	Updated New Master DEA Drug Code – HTS/Schedule B Reference  Posting new Master DEA HTS/Schedule B file per Mark Via's request from DEA
Revised April 26, 2019  Fffective April 19, 2019	C	Updated Appendix O – DDTC ITAR Exemption  22 CFR 126.4 (a) Export, Re-export, Re-Transfer or Temporary Import of defense article, technical data, or defense service by agency of U.S. Government  22 CFR 126.4 (b) Export, Re-export, Re-Transfer or Temporary Import of defense article, technical data, or defense service on behalf of U.S. Government agency.  22 CFR 126.4 (c) Return of temporary, or permanent export of defense article, technical data, or defense service for end-use by U.S. Government agency in foreign country.  Removed – 22 CFR 126.5(c) (Reserved code)
Revised April 22, 2019	C	Updated Appendix A – Commodity Messages  Response Code 25A  Narrative Text: USPPIID TYPE 'S' NO LONGER ACCEPTED Severity: FATAL Proprietary Record ID/Data Elements: Input N01 Record/Party ID, Party ID TYPE X.12 Segment ID/Data Elements: N103 where: 1→ D, 34 → S, 24 → E, ZZ → T, 94 → A; when: N101 = EX Reason: The USPPIID Type reported on the N01 record is not E, D, or T. Resolution: The N01 record USPPIID Type must be EIN, DUNS, or T (Foreign Entity). Verify the USPPIID Type, correct the N01 record and retransmit.
Revised March 15, 2019	C	Updated Appendix C – ISO Codes  Republic of Macedonia changedits name to the Republic of North Macedonia on February 15,2019.
Revised February 25,2019	C	Response code 55K Reason: EPA Net Quantity Units of Measurement (KGor L) and the quantity is required to be reported in the PGA/EP1 line when the UOM is 'X' or UOM1 is 'NO' and UOM2 in is not 'KG'.  Resolution: Check the PGA record and enter a valid EPA Net UOM and Quantity and resubmit the transaction.

	Type of	
Version/Date	Change	Location and Description
Revised January 30, 2019	C	Updated Appendix K – Unit of Measure Codes
		Deleted 'Gross Containers' per Census request.
Revised December 20, 2018	C	Updated Appendix D – Port Code  Updated port 3703 to accept AIR as an acceptable MOT per Census request.
D : 1	C	
Revised October 11,2018	C	Updated Appendix Q – PGA Record Format  Added NMFS Ocean Geographic Area Codes
Revised September 13,2018	C	Updated Draft CAMIR ESN (Export Status Notifications from CBP)
•		Segment M7A – made Date optional to be the same as seal update date in X12.
Revised August 17, 2018	C	Updated the following documents to remove FWS references or specify TBD because FWS is not ready for exports.
		Appendix Q Appendix X
		Remove Master FWS Schedule B reference table
		Commodity Format Export Shipment Info 601
Revised July 25, 2018	C	Appendix C – changed Swaziland, SZ to new name Eswatini per Census request.
Revised May 15,2018	C	Appendix Q - change for NMFS NM9 record per Dale Jones
11119 15,2010		For the re-export number, only for HMS program.
Revised April 2,2018	C	Appendix V – HTS Numbers that Cannot be Reported in AES
		Updated to match Census 'Nots' list per Stephen Jackson request.
Revised April 2,2018	A	Appendix A – new response code
Effective TBD	_	Response code 57B – S94License Number Unknown or Invalid
Revised March 7, 2018	C	Appendix F – License and Exemption Type Codes
		Added the following ECCNs for C60 per BIS request.
		9D515 9E515

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Version/Date	Type of Change	Location and Description
Revised	C	Updated Appendix X
<b>March 1, 2018</b>		Added 12 new Schedule B numbers per NID between Census and CBP.
		•
		2902.44.0000 2903.12.0000
		<mark>2903.22.0000</mark>
		2905.11.0000 2905.12.0010
		2905.12.0010 2905.12.0050
		2905.19.9095
		2914.11.0000 2914.12.0000
		<mark>2914.13.0000</mark>
		2915.31.0000 2932.11.0000
Revised February 28,2018	C	Appendix F – License and Exemption type Codes
100 dai y 20,2010		Added the following ECCNs for C60 per BIS request.
		7A611,7D611,7E611,9A515
		Appendix A – Commodity Filing Response Messages Updated response code 007 & 008
		X.12 Segment ID/Data Elements: ISA05 where: $01 \rightarrow D$ , $24 \rightarrow E$
Revised	C	Appendix K – Unit of Measure per Census request
February 21,2018		Added 'W' for Watts.
		Correction - Zinc from 'W kg' to 'Zn kg'.
Revised February 8,2018	C	Updated severity for the following messages to Informational
redition 9,2016		974,978,97H,97R,982,983
		Removed 985 & 986 as they were duplicates of 97H & 97R
		Removed 703 & 700 as they were duplicates of 7711 & 7718
Revised January 30, 2018	C	Updated Appendix A to add two new response codes
January 50, 2016		97H NOTIFICATION SHIPMENT ON HOLD
Effective TBD		97R NOTIFICATION SHIPMENT HOLD RELEASED
Revised	C	Updated Appendix D – removed port 2871 – AIRCARGO HANDLING
<mark>January 19, 2018</mark>		SERVICE per Census request.
Revised	C	Updated the Severity for the following messages:
<b>January 11,2018</b>		690 – Fatal
		695 - Informational
		696 - Fatal

Version/Date	Type of Change	Location and Description
	g	Made corrections to reason and resolution for 55H & 55I as discussed with David Garcia.
Revised January 8, 2018	C	Updated the Severity for the EPA messages back Fatal for the following response codes per David Garcia's request: 55A,55C,55D,55E,55F,55G,55J,55K  Updated Appendix X to remove duplicate HTS Codes for EPA.
Revised December 12,2017	C	Appendix K – Unit of Measure codes were updated per Census request.
Revised December 8, 2017	C	Appendix X_PGA  Updated EPA allowed HTS codes
Revised October 19,2017	C	Appendix A - Update the severity for the following PGAs response codes to 'Informational during Pilot' per David Garcia's request.  TTB - 65A→65G ATF - 66A→66P EPA - 55A→55M FWS - 677→689&68A→68I 690 696
Revised October 11, 2017	C	Appendix Q – PGA Record Format  TTB – removed the statement that "TTB records can be reported up to three times per commodity line."
Revised October 5, 2017	C	Appendix D – Export Port Codes per OFO request & AESTIR Export Reference Data Excel File  Port 3430 Lancaster, MN added 'Fixed' as an allowable MOT.  Port 3512 Omaha, NE – 'Rail' is not an allowable MOT – only in Appendix D
Revised September 28, 2017	C	Appendix A – Updated response code 007 for Resolution  Resolution: The Filer ID Type on the A record must be an EIN or DUNS. Verify the Filer ID Type, correct the A record and retransmit. If you are using X12 use the values as specified in the X12 601 Implementation Guide.  Export Shipment 601 – X12 Implementation Guide Segment ISA05  Type of Filer ID reported in ISA06. The suggested type is:  01 Duns (Dun & Bradstreet)  24 EIN

Version/Date	Type of Change	Location and Description
version/Bate	Change	Location and Description
Revised September 27, 2017 Effective Date TBD	A	Appendix A – Commodity Filing Response Messages Added new response codes:  Response Code: 985 Narrative Text: SHIPMENT ON HOLD Severity: NOTIFICATION Proprietary Record ID/Data Elements: N/A X.12 Segment ID/Data Elements: N/A Reason: The shipment has been put on hold in AES. Resolution: Shipment is placed on hold by CBP for further inspection or missing documentation. Contact CBP port at xxx-xxx-xxxx with your ITN information for further instructions on how to resolve the issue.  Response Code: 986 Narrative Text: HOLD REMOVED-SHIPMENT RELEASED Severity: NOTIFICATION Proprietary Record ID/Data Elements: N/A X.12 Segment ID/Data Elements: N/A Reason: The shipment placed on hold has been released. Resolution: The hold placed on the shipment has been released. The referenced shipment can be exported.
Revised September 7, 2017	C	Updated AES downtime guidelines Part IV, Section 4 per Census request as follows  Shipments reported in AES for which full pre-departure information is required, but not requiring an export license or license exemption (USML specific), will continue to be exported without interruption because the Government's system is unavailable. When the Government's AES is unavailable, the goods may be exported and the filer must: A) Provide the appropriate downtime filing citation as described in FTR Section 30.7(b) and Appendix D to 15 CFR Part 30, Foreign Trade Regulations (FTR) (Example: AESDOWN Filer ID mm/dd/yyyy) and B) Report the EEI at the first opportunity AES is available.
Revised August 24, 2017  Revised	C	Updated Appendix D – Table A Commodity Response Code List – 695 to Verify for consistency.  Update ACE AESTIR Export Reference Data for Export codes to include 3805 – Battle Creek MI 4117 – Huron, OH  Updated Appendix C – ISO country codes and removed the following per
August 16, 2017	_	Census request.  American Samoa Wake Island

	Type of	
Version/Date	Change	Location and Description
v cr ston, but	Change	Midway Island
		Northern Mariana Islands
Revised	C	Updated Master DEA HTS/Schedule B codes to include the following
August 9, 2017		numbers per DEA request:
		2010100000
		3810100000 3004909225
		3004909228
		3004909235
		3208900000
		3402905050
		3815905000
		3910000000
		Updated Appendix A to update the reason for response code 178 per Census
		request.
		request.
		Reason: The license has been accepted. Filers are instructed to present
		the original license to CBP prior to export for DDTC S94 licenses only. All
		other DDTC licenses are not required to be presented to CBP.
Revised	C	Updated Appendix D – Port Codes per Census request
August 7, 2017		
		<b>Removed ports 2813 &amp; 2872</b>
Revised	C	Updated Appendix Q – For NMFS Re-export number, included an example of the format.
July 13, 2017		of the format.
		Updated Commodity Format and Export Shipment Information X12 601
		PGA input record – alphabetized the PGAs and updated Notes 1 & 2
		For AMS, the valid value allowed is AM1.
		For ATF, the valid value allowed is AT6.
		For DEA, the valid value allowed is <b>DEA</b>
		For EPA, the valid value allowed is <b>EP1.</b> For FWS, the valid value allowed is <b>FW7</b> and <b>FW8</b> .
		For NMFS, the valid values allowed are NM7, NM8 and NM9.
		For TTB, the valid value allowed is <b>TTB</b> .
Revised	C	Updated Appendix D – Port Codes per OFO request.
June 15, 2017		
		Removed
		1105 – Paulsboro, NJ 1107 – Camden , NJ
		1107 - Camuch, NJ
		Added back 3295 – UPS, Honolulu Airport, HI
		Carried and Carried and Policy III
Revised	C	Updated Appendix D – Port Codes
June 13, 2017		
		Removed 3295 – UPS, Honolulu Airport, HI
•	1	

Version/Date	Type of	Location and Decemention
Revised	Change C	Location and Description  Updated Appendix A to Change Narrative & reason for response 178 per
June 8, 2017		OFO request.
		New Narrative Text: S94 ONLY DOCS TO BE PRVD TO CBP
		New Reason "The DDTC S94 License has been accepted and the filer is
		instructed to present the original license to CBP prior to export"
Revised June 2, 2017	C	Updated Appendix F for C33 language per BIS request.
Revised May 18, 2017	A	Updated Export Shipment Information 601 – X12 in X114 field, added Size – 10 bytes for license value.
Revised May 3, 2017	A	Updated Appendix Q for NMFS – provided a link for DIS guidelines
Revised	C	Updated Appendix A to add new rules for AMS – 64B & 64C
April 27, 2017		Updated Appendix Q for AMS to add formatting of permit numbers
Effective May 2, 2017		Challed Appendix Q for Aivis to add formatting of permit numbers
Revised April 25, 2017	C	Updated Appendix F – removed ECCNs listed under C59 per BIS request.
Revised April 25, 2017	C	Updated Appendix A for Original ITN 296 resolution with language from Census.
		Updated Commodity Format for Original ITN to be Optional.
Revised April 18, 2017	C	Updated Appendix A— added new response code 296 — Original ITN NOT FOUND.
Effective April 27, 2017		Updated Commodity Format – Proprietary – on the SC2 record, added the Original ITN field(42-56)
		Updated 601 Export Shipment Information (X12) – on the REF segment, REF01 – F8 Original ITN
Revised April 17, 2017	C	Updated AETIR CBP Proprietary Format PGA text to 74X
Revised April 13, 2017	C	Updated Appendix C – ISO Country codes to remove the following Countries per Census request.
		Guam - GU

	Type of	
Version/Date	Change	Location and Description
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Revised	C	Updated Appendix F to remove C32 license code per BIS request.
March 28, 2017  Effective April 1, 2017		CSMS#17-000170
Revised March 7, 2017	C	Updated Appendix Q – FWS eDecs from 14AN to 13AN
Revised February 16, 2017	C	Updated Appendix D – Port Codes in conjunction with the OFO and Census
		Identified Port Codes associated with EEI that were no longer active.
Revised February 14, 2017	C	Updated Appendix F – License and License exemptions type codes per BIS request.
		Added: C35 - 1B607, 6B619, 7A611, 7B611 C59 - 1A607, 1B607, 1C607, 1D607, 1E607, 6B619, 6D619, 6E619, 7A611, 7B611, 7D611, 7E611
Revised February 6, 2017	C	Updated Appendix C – ISO Country codes to remove the following Countries per Census request.
Not posted per Census request		American Samoa - AS Guam - GU Northern Mariana Island - MP
Revised February 2, 2017	C	Updated Appendix U – to bold a couple of HTS codes identified by OFO.  Updated Appendix V – HTS codes not allowed to be used in AES per Census request.
Revised January 18, 2017	C	Updated Appendix X to add 2 HTS codes for EPA per Census request.  2619.00.3000 2620.19.3000
Revised January 12, 2017	C	Updated Appendix A for changes to DEA rules per DEA request.
Revised January 6, 2017	C	Updated Appendix X to add the following HTS codes for EPA per Census request.
		2620.19.0000 2807.00.0000 2815.11.0000 2815.12.0000

	Type of	
Version/Date	Change	Location and Description
VCISION/Dutc	Change	2619.00.0000
		Also deleted 0106.15.0000 per FWS request.
Revised	C	Updated Appendix A – added new rules
January 5, 2017	_	DEA - 69A – export date > permit expiration date
		NMFS
		67S - eBCD number invalid format
		67T - Re-export nbr invalid format
Revised	C	Updated Appendix D per Census request.
December 20, 2016	C	Cpuated Appendix D per Census request.
December 20, 2010		Port 3801 – Detroit, MI to allow Fixed (70) Transport Shipments.
		1 of total 1 Det orginate and the tract (70) It and port of implicates.
		Updated Appendix A per Robert Rawls.
		Response code 701 needed correction from 10 calendar days to 5.
Revised	C	Updated Appendix Q for NMFS
December 8, 2016		
Effective		For HMS program, NM7, NM8 and NM9 PGA records are required.
January 2017		
		For AMR program, only NM7 and NM9 PGA records are required.
		New office are in place for LCDO Quentity LCDO Unit of Macause Cataly
		New edits are in place for LCPO Quantity, LCPO Unit of Measure, Catch Document Number and Re-Export number.
		Document Number and Re-Export number.
		Updated Appendix A for revised/new response messages:
		67I
		67J
		67N
		670
		67P
		67Q
B 1		W. L. L. A. B. D. G.
Revised	C	Updated Appendix D per Census request.
October 11, 2016		Deleted the following Port Codes:
		3820 Mackinac Isle, MI
		3844 Ferrysburg, MI
		3981 Waukegan Airport, IL
		3983 Chicago Executive Airport, IL (formally Pal-Waukee Mncpl Airport)
		3985 Decatur Airport, IL
		4185 Hulman Regional Airport, OH
		4506 Spirit of Saint Louis Airport, OH
Revised	C	Removed C31 from Appendix F per BIS request
September 28, 2016		

V /D-4-	Type of	Leading and December
Version/Date Revised	Change C	Location and Description  Removed C49, C55 and C56 from Appendix F per BIS request.
September 26, 2016		C32 will only be valid through March 31, 2017.
Revised September 20, 2016	C	Merging all draft PGA documents in current documentations.
Revised September 8, 2016	С	Updated Appendix D per Census request.  Deleted the following Port Codes: 0181 Lebanon Airport, NH 0409 Provincetown, MA
Revised September 7, 2016	A	New rule 809 in Appendix A and format changes in Appendix F for T10.
Revised August 8, 2016	С	Activated new response codes for BIS for C30 decrementation.  800,801,802,803
Revised August 2, 2016	С	Updated Appendix Z for Client Representative
Revised July 29, 2016  Effective August 1, 2016	С	Updated Appendix D per Census request.  Deleted the following Port Codes: 2772 Gateway Freight Services, LAX, CA 2773 Air Cargo Handling Services, LA, CA 2774 Virgin Atlantic Cargo, LAX, CA 2792 DHL-HUB Riverside, CA
Revised July 25, 2016	С	Updated Appendix V – HTS # that cannot be reported in AES per Census request.
Revised July 13, 2016	С	Updated Appendix D – port codes  Add new port 3910 – Chicago Midway Int'l Airport, IL – allowed MOT is AIR
Revised July 5, 2016	С	Updated Appendix D  For Port 5301 Houston, TX - Air is not allowed
Revised June 21, 2016	С	Proprietary Commodity Format

	Type of	
Version/Date	Change	Location and Description
		Under the PGA record, added the new PGAs and valid codes and
		referenced the draft Appendix Q – PGA record formats. Made a
		note that only AMS & EPA are currently in Production.
		77 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7
		Updated the CL1 record:
		Additional PGA Indicator to be Mandatory and 'Y' or 'N' is to be
Revised	С	reported. Updated Appendix F
June 16, 2016		ориатеи Аррениих г
,		MOT 60 (Passenger Hand-carried) is now allowed for License Codes
		T10 and T11 per Census request.
Revised	С	Updated Appendix D
June 1, 2016		1
		Deleted the following ports per Census request.
		0907 – Utica, NY
		0971 - TNT Skypark, NY
		0972 - Swift Sure Courier SVC, NY
Revised	С	0979 – Buffalo Niagara INTL APT, NY
May 16, 2016		Updated Appendix Z
		Updated the links per Census request.
		epatieu the mas per census request.
Revised	C	Changed Appendix D
May 5, 2016		
		For Port 3901 – Chicago-IL not to allow Rail shipments to be
		reported.
		Changed Export_Ship_Info_601
		Changed Export_Smp_1mo_001
		Added notes regarding delimiters that are acceptable for the 601
		messages.
Revised	С	Changed Appendix D
April 26, 2016		
		For Port 0901 – Buffalo-Niagara Falls NY, allow pipeline shipments
D : 1		(Fixed) to be reported.
Revised	С	Changed Appendix F
April 13, 2016		E CAPIT A L DOONAL CAOL A L DID COOL
		For C45 license type code, ECCN 1A613 is the only eligible 600 series
Revised	С	ECCN for this license type per Census request.  Removed Communication Password from the A record and is now a
April 1, 2016		Filler and space fill when not used in the AESTIR and will also do the
1.1,2010		same in the Export Shipment Info 601 (X12) document as it is no
		longer being used with Census approval.
	1	ronger being used with Census applital.

77 · /D /	Type of	Y 15
Version/Date Revised	Change C	Location and Description Changed Appendix F
March 16, 2016		Changed Appendix F
		For C32 license type code, EAR99 can no longer be reported as an
		ECCN per BIS request.
Revised February 8, 2016	C	Changed Shipment Reference Number on SC1 record from 17AN to
1 Columny 6, 2010		17X per Census request.
Revised	C	Updated Appendix V
<b>January 8, 2016</b>		Added new HTS numbers that cannot be reported in AES per Census
		request.
		• 2710190625
		• 2710190630
		• 2710190635
		• 7211236090
		Removed the following HTS numbers from Appendix V.
		3702.91.0100
		3702.93.0000 3702.94.0000
		3702.95.0000
		7211.23.6075 7211.23.6085
Revised	C	Updated Appendix D
December 11, 2015		Allow Pipeline Shipments (Fixed) for port 0115 (Calais, ME)
		Allow 1 specime simplifients (Fixed) for port 0113 (Catals, ivid)
Revised	С	Updated Appendix U
November 10,2015		A 11 1 0 1 1 1 D/IJTO 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
		Added new Schedule B/HTS codes to allow vehicle reporting.
		8426.49.0090
		8432.10.0020
		8432.10.0040
		8432.10.0060 8432.21.0000
		8432.29.0080
		8432.29.0090
		8432.30.0010
		8432.30.0090 8432.40.0000
		8432.80.0000
		8432.80.0080
		8479.10.0040
		8479.10.0060 8479.10.0080
		8703.21.0000
		8703.21.0010

	Type of	
Version/Date	Change	Location and Description
Revised August 27, 2015	С	Eliminated references to DEC filing.
Revised	С	Appendix D
August 13, 2015		Allow air shipments for port code 2001 (Morgan City, LA).
Revised	С	Appendix A
August 3, 2015		Changed response code 368 narrative and response code 401 is now currently unassigned because both response codes were very similar.
Revised May 21,2015	С	Updated Appendix F
E664: D-4-		3B001 - CIV C37- Adding
Effective Date May 21,2015		3D001 - CIV C37 - Adding
1viiiy 21,2015		3E001 - CIV C37- Adding
		4D001 - TSR/STA C38/C59 - Adding
		4E001 - TSR/STA C38/C59 - Adding
		8E002 - TSR C38- Removed
Revised	С	Updated Appendix F
May 20, 2015		Added CH as an allowable EIC code for License code C30.
		Updated Appendix V The HTS codes starting with zeroes (0) were missing the leading zeroes.
Revised February 13,2015	С	Updated Appendix F
100200013 10,2010		Added new ECCN (7A994) allowed for License code C58 and adding 'SD'
Effective date February 18,2015		Sudan as an acceptable country code for CCD.
Revised February 6,2015	С	Updated Appendix A
3,202		Changed response code 399 narrative and from Informational to Verify message
Revised	A	Updated Appendix V – HTS numbers that cannot be reported in AES.
January 16, 2015		
Revised January 15,2015	A	Appendix F – added new ECCNs allowed for License Code C62
Effective Date January 16, 2015		

	Type of	
Version/Date Revised	Change A	Location and Description Added:
January 9, 2015	A	Added:
, , , , , ,		Appendix D
		Port Code 3319 – Morgan, MT
Revised	C	Appendix A - Changed contact information for the following response code
November 14,2014		for Bureau of Industry and Security (BIS): 544,545,546,547,548,549,666 and 667.
Revised Date	A	Appendix F – Added new ECCNs allowed for License Code C35 & C60.
November 7,2014		
Revised Date	С	Changed:
September 24,2014		Appendix F – for license C49 add MO T 10
Revised Date September 16,2014	A	Added New Voluntary Disclosure Messages
September 10,2014	A	Response Code: 673 COMMERCE DEPARTMENT LICENSE NOTOBTAINED
Effective Date		Response Code: 674STATE DEPARTMENT LICENSE NOTOBTAINED Response Code: 675OTHER AGENCY LICENSE NOTOBTAINED
January 2015		Response Code: 676 PRIOR DATE OF EXPORT REQUIRED
		ADDENIDEN E. L
		APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines
		and reporting Guidenness
		VDC – COMMERCE DEPARTMENT VOLUNTARY SELF DISCLOSURE
		VDS – STATE DEPARTMENT VOLUNTARY DISCLOSURE VDO – OTHER PARTNER GOVERNMENT AGENCY VOLUNTARY DISCLOSURE
Revised Date	C	CHANGED:
September 16,2014		3009 Sumas, WA - Added 'Fixed' as acceptable Method of Transportation
		boos Summer, Will Illust the motor motor literates of Italians permuen
REVISED DATE	A	ADDED:
September 23,2014		NIEW DCA DECODD
EFFECTIVE		NEW PGA RECORD Participants in the EPA pilot for lead acid battery hazardous waste.
DATE		,
TBD		For Agricultural Marketing Services – reporting export permit numbers
		related to apples and grapes shipments.
		Segment MAN Data element 88,87:
		Alternate use is to enter validation data for Participating Government Agency
		(PGA) related exports. Limit use to 999 instances. Do not include MAN segment when
		1)"Shipment Filing Action Request Indicator" in element BA 102 is
		"CANCEL", or when
		2) "Line Item Filing Action Request Indicator" in element L1314 is
		"DELETE".
		MAN0188 Marks and Numbers Qualifier
		Code specifying the application or source of Marks and Numbers
		(87)

	Type of	
Version/Date	Change	Location and Description
Version/Date	Change	When used for PGA data entry, always Enter 'ZZ'  MAN02 87 Marks and Numbers Qualifier     Marks and numbers used to identify a shipment or parts of a shipment.     When used for PGA data entry, always enter the 3 character PGA ID, left justified. Example: In the case of EPA enter "EP1" and for AMS enter "AM1".  MAN03 87 Marks and Numbers Qualifier     Marks and numbers used to identify a shipment or parts of a shipment.     Based on the PGA ID this data element will contain data related to the PGA as left justified concatenated text.     When PGA ID = FP1 fill positions as below:         01 EPA License Required Indicator     When EPA License Required = Y fill positions below:         02-13 EPA Consent Number         14-25 RCRA Hazardous Waste Manifest             Tracking Number.         26-28 EPA Net Quantity Unit of Measurement         29-38 EPA Net Quantity         39-48 Not used. Space fill.  When PGA ID = AM1 fill positions as below:         01-13 AMS Export Certificate Number         14-48 Not used. Space fill.  Segment X1 Data element 83:         X101 83 Equivalent AESTIR Proprietary Elements: CL1 – PGA License Required Indicator.         PGA License Required Indicator: Y (yes) or N (no)  Added PGA License Required Indicator (Y or N) to CL1 record (position 72)
REVISED DATE August 8, 2014  EFFECTIVE August 8, 2014  Revised	C	APPENDIX D – EXPORT PORT CODES  CHANGED:  3322 Added ROAD as acceptable Method of Transportation 2504 Renamed San Ysidro 2506 Renamed Otay Mesa  Response Code: 590 LICENSE VALUE EXCDS AVAIL FOR LIC
June 16, 2014		Response Code: 642 QUANTITY1 CANNOT EXCEED 299,999,999 Response Code: 712 FIXED MOT (70) SHIPMENT REPORTED LATE

Version/Date	Type of Change	Location and Description
REVISED DATE June 9, 2014  EFFECTIVE October 3, 2014	A	ADDED: Response Code: 06H AEI NOT APPROVED FOR USPPI Response Code: 593 LICENSE VALUE GREATER THAN VALUE OF GOODS
	C	Response Code: 706 LICENSE VALUE CHANGE POST DEPARTURE
REVISED DATE May 27, 2014		APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines
EFFECTIVE July 1, 2014	C	CHANGED: The following license types have been updated with the addition of certain "600 series" ECCNs:
		<ul> <li>C30 (BIS license), C31 (BIS Special Comprehensive License), C40 (TMP), C41 (RPL), C42 (GOV), and C59 (STA) – All "600 series" ECCNs are eligible to the extent permitted under parts 740 and 752 of the EAR.</li> <li>C32 (NLR with a reason for control other than or in addition to AT) – All "600 series" ECCNs are eligible if exported to Canada. Some of these "600 series" items were previously authorized under an International Traffic in Arms Regulations (ITAR) Canadian exemption (SCA).</li> <li>C35 (LVS) – The following "600 series" ECCNs are eligible: 0A614, 0B604, 0B614, 1A613, 1B608, 1B613, 1C608, 9A604, and 9B604.</li> <li>C45 (BAG) – The "600 series" ECCN 1A613 is eligible.</li> <li>C60 (DY6) – The following "600 series" ECCNs are eligible: 1A613, 1D613, and 1E613.</li> </ul>
REVISED DATE		APPENDIX F - License and License Exemption Type Codes
May 27, 2014 <b>EFFECTIVE</b> June 27, 2014	С	and Reporting Guidelines  CHANGED:  The following license types have been updated with the addition of 9A515, 9D515, and 9E515 ECCNs:
		<ul> <li>C30 (BIS license), C31 (BIS Special Comprehensive License), C40 (TMP), C41 (RPL), C42 (GOV), C45 (BAG), C46 (AVS) and C59 (STA) – 9A515, 9D515, and 9E515 are eligible to the extent permitted under parts 740 and 752 of the EAR.</li> <li>C32 (NLR with a reason for control other than or in addition to AT) – 9A515.d is eligible if exported to Canada; 9A515.e is eligible to those destinations permitted by the EAR; 9D515 and 9E515 are eligible if exported to Canada.</li> <li>C35 (LVS) – 9A515 is eligible, to the extent permitted under parts 740 and 774 of the EAR.</li> </ul>
<b>.</b>		
Revised Date April 11,2014	С	Appendix F – License and License Exemption Type Codes and Reporting Guidelines
EFFECTIVE October 2,2014		Changed:

Version/Date	Type of Change	Location and Description
7 62 52 52 54 F	onunge	Updated the list of license codes that will require a license value. A license value will be required for the following license codes: C30,S05,S61,S73,S85,S94,T12.
REVISED DATE April 11, 2014		APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines
EFFECTIVE October 3, 2014	C	CHANGED: Removed "License Value Required" from License codes C31, T10, OPA and N01.
Version 1.0 EFFECTIVE October 3, 2014		APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines
	С	CHANGED: Removed "License Value Required" from License codes C31, T10, OPA and N01.
Version 1.0 EFFECTIVE October 3, 2014		APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines
	C	CHANGED: Removed License Value Required reference from License Codes ('C35', 'C43' and 'C59')
Version 1.0 EFFECTIVE October 3, 2014	C	APPENDIX A – Commodity Filing Response Messages  CHANGED: Response Code: 15A
Version 1.0 EFFECTIVE October 3, 2014		PART II – AES RECORD FORMATS, SECTION 1 – AES Commodity Data Transactions, PROPRIETARY FORMATS, (b) COMMODITY SHIPMENT WARNING REMINDER
	C	CHANGED: Foreign Trade Zone Identifier in Input SC2 Record
Version 1.0 EFFECTIVE October 3, 2014		PART II – AES RECORD FORMATS, SECTION 1 – AES Commodity Data Transactions, PROPRIETARY FORMATS, (a) COMMODITY SHIPMENT FILING AND RESPONSE
	C	CHANGED: Foreign Trade Zone Identifier in Input SC2 Record
	A	ADDED: Note 4 for Input SC2 Record
Version 1.0 PILOT IMPLEMENTED AFTER		PART II – AES RECORD FORMATS, SECTION 1 – AES Commodity Data Transactions, PROPRIETARY FORMATS, (a) COMMODITY SHIPMENT FILING AND RESPONSE
OCTOBER 3, 2014	A	ADDED: Ultimate Consignee Type to table (c) page 1a-59
Version 1.0 PILOT		601 Customs & Border Protection (CBP) - Export Shipment Information
IMPLEMENTED AFTER	A	ADDED: Segment YNQ Data element 1321, 1073, 1250, 1251, 933, 1270, 1271:

Y (D. )	Type of	
Version/Date OCTOBER 3, 2014	Change	R3P Advance Export Information partial commodity shipment filing
OCTOBER 3, 2014		R3F Advance Export Information complete commodity shipment filing.
** 1.0		
Version 1.0 PILOT		AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options
IMPLEMENTED		System (AES) - Commodity Data Fining Options
AFTER	A	ADDED:
OCTOBER 3, 2014	7.	Advance Export Information (AEI)
		Environmental Protection Agency to AES and Partnership Agencies
		21 vironmental 11 occurring they to 7125 and 1 artifersing 71generes
		No.
		<u>NOTE:</u> A limited number of USPPI's will be approved for participation in the pilot.
		A timited number of OSI 11's will be approved for participation in the pilot.
		The acceptable ECCNs for AEI are 5A002, 5B002, 5D002 and 5E002.
		The only items on the CCL that are EAR99 or ECCNs controlled for AT reasons
		only or for Encryption are eligible for AEI.
Version 1.0		PART II – AES RECORD FORMATS, SECTION 1 – AES Commodity
PILOT		Data Transactions, PROPRIETARY FORMATS, (a) COMMODITY
IMPLEMENTED		SHIPMENT FILING AND RESPONSE and (b) COMMODITY
AFTER OCTOBER 3, 2014		SHIPMENT WARNING REMINDER
OCTOBER 3, 2014	A	ADDED:
		AEI Filing Type to Input SC1 Record
	$\mathbf{C}$	
	Č	CHANGED:
		Filing Option Indicator with option 3 (AEI)
		APPENDIX A – Commodity Filing Response Messages
	A	
	A	ADDED:
		Response Code: 63A USED VEH NOT ALLOWED -OPTION 3 (AEI) Response Code: 06E DDTC RECORD NOT ALLOWED – OPTION 3 (AEI)
		Response Code: 06F SCHED B/HTS NBR NOT VALID FOR AEI FILING
		OPTION 3
		Response Code: 06G 'S' CLASS LIC TYPE CODE NOT ALLOWED -
		OPTION 3 (AEI)  Response Code: 06A OPTION 3(AEI) FILING CURRENTLY NOT ACTIVE
		Response Code: 06B FILING TYPE REQUIRED WHEN FILING OPT 3
		Response Code: 06C FILING OPTION INDICATOR IS NOT ALLOWED TO
		CHANGE VALUE  Response Code: 06D AEI FULL FILING PREVIOUSLY RECEIVED,
		PARTIALFILING NOT VALID
		Response Code: 710 AEI FULL SHIPMENT REPORTED LATE; OPT 3F
	C	CHA NOED
	C	CHANGED: Response Code: 066 FILING OPT IND MUST BE 2, 3 OR 4
		Acceptable court over Harto of I had blood bli 2, 3 of a

Version/Date	Type of Change	Location and Description
version/Date	Change	Location and Description  NOTE:
		A limited number of USPPI's will be approved for participation in the pilot.
Version 1.0 EFFECTIVE October 3, 2014		APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines
	A	ADDED: License Value Required to following License Codes ('C30', 'C31', 'C35', 'C43', 'C59', 'N01', 'S05', 'S61', 'S73', 'S85', 'S94', 'T10', 'T12', 'OPA')
		ADDED: License Code 'EPA'
Version 1.0 EFFECTIVE October 3, 2014		PART II – AES RECORD FORMATS, SECTION 1 – AES Commodity Data Transactions, PROPRIETARY FORMATS, (a) COMMODITY SHIPMENT FILING AND RESPONSE and (b) COMMODITY SHIPMENT WARNING REMINDER
	A	ADDED: Ultimate Consignee Type to Input N03 Record License Value to Input CL1 Record
	C	CHANGED: Foreign Trade Zone Identifier in Input SC2 Record
		K) <u>Used Vehicle Reporting</u>
		ALL used self-propelled vehicle detail record (EV1) information MUST be reported for all shipments regardless of value and destination.
Version 1.0		APPENDIX A – Commodity Filing Response Messages
EFFECTIVE		
October 3, 2014	A	ADDED:
		Response Code: 339 ULT CONS TYPE IS MISSING Response Code: 371 ULT CONS TYPE IS UNKNOWN
		Response Code: 15A FTZMUST BE 3 NUM (VALID ZONE) & 4 ANUM
		Response Code: 15B FTZ CANNOT HAVE TRAILING SPACES
		Response Code: 067 LICENSE VALUE AMOUNT MUST BE REPORTED &
		GT ZERO.  Response Code: 079 LICENSE VALUE CONTAINS NON-NUMERICS
		Response Code: 255 LICENSE VALUE AMT CANNOT exceed \$999,999,999
		Response Code: 709 VALUE OF GOOD OVER LIMIT VALUE SHPMNT
		Response Code: 711 PREDEPARTURE FILING REQ FOR RPRTED CMDTY
		Response Code: 399 IS THE CLEARANCE YEAR CORRECT?
		Response Code: 55A EPA CONSENT NUMBER MISSING
		Response Code: 55B EPA CONSENT NUMBER INVALID FORMAT
		Res ponse Code: 55C EPA CONSENT NUMBER NOT FOUND Res ponse Code: 55D RCRA HW MANIFEST TRACKING NUMBER MISSING

	Type of	
Version/Date	Change	Location and Description
		Response Code: 55E RCRA HW MANIFEST TRCKNG # INVALID FORMAT Response Code: 55F ULT DEST & EPA CNSNT COUNTRIES NOT SAME
		Response Code: 55G EST DEP DATENOT IN EPA CONSENT DATE RANGE
		Response Code: 55H PGA ID IS REQUIRED Response Code: 55I PGA ID IS INVALID
	C	Response Code: 55J ONLY ONE PGA RECORD ALLOWED PER LINE Response Code: 55K VALID EPA NET UOM & QUANTITY REQUIRED Response Code: 55L AT LEAST ONE PGA LICENSE REQUIRED
		CHANCED
		CHANGED: Response Code: 567 CNTY MUST BE CA FOR CANADIAN ITAR EXMPTN Response Code: 637 Revised to clarify that used vehicles must be filed 72 hours
Version 1.0 EFFECTIVE		prior to export.  APPENDIX E – Commodity Filing Export Information Codes and Reporting Guidelines
October 3, 2014	C	CHANGED:
		EIC codes CR, HH, and TE have been moved from Table B: Applicable EI Codes for Optional EEI Reporting to Table A: Applicable EI Codes for
		Mandatory EEI Reporting
Version 1.0 EFFECTIVE		601 Customs & Border Protection (CBP) - Export Shipment Information
October 3, 2014	С	CHANGED:
		Segment M12 Data Element 310: FOREIGN TRADE ZONE IDENTIFIER
		Identity of the Foreign Trade Zone from which the merchandise was withdrawn. Maximum size - 7 bytes.
		The first 3 positions must be numeric and represent the general purpose zone. The next 2 positions are alphanumeric and represent the sub zone. The last 2 positions are alphanumeric and represent the site. Left justify; NO trailing spaces. Insert zeros when there is no sub zone or site. Report leading zero(s) if the zone number is between 1 thru 99.
		Equivalent AESTIR Proprietary Element: SC2 - Foreign Trade Zone Identifier.
		Segment N1 Data Element 98: ULTIMATE CONSIGNEE
		Report any of the following values as applicable:
		XT Final Customer Organization (Direct Consumer) QW Government (Government Entity) B2 Other Unlisted Type of Organizational Entity (Other/Unknown) DU Resale Dealer (Reseller)

VI.	Type of	
Version/Date	Change	Location and Description Segment X1 Data element 212:
		LICENSE VALUE
		Value for a licensable shipment that will not include any overhead like insurance, domestic freight or other charges in whole US dollars.
		Equivalent AESTIR Proprietary Element: CL1 – LICENSE VALUE
		Equivalent AESTIR Proprietary Element: SC1 - Filing Option Indicator – First two characters and AEI filing Type – Third character
		B) HAZARDOUS MATERIAL INDICATOR (HAZMAT)
		Indicates that YNQ02 is being used to report whether the shipment contains hazardous material.
		A) FILING OPTION INDICATOR
		When reporting the "Filing Option" in YNQ01, report "N" here in YNQ02.
Version 1.0 EFFECTIVE October 3, 2014		Appendix E – Commodity Filing Export Information Codes and Reporting Guidelines
Octobel 3, 2014		CHANGED: Revised Type/Condition Description for EIC "HH."
Version 1.0 EFFECTIVE		Appendix O – DDTC ITAR Exemption Codes
October 3, 2014	A	ADDFD: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.)
Version 1.0 EFFECTIVE		AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options
October 3, 2014	С	CHANGED: Postdeparture from 'ten (10) calendar days' to no later than 'five (5) calendar days' from the date of exportation.
Version 1.0 October 22, 2013	C	APPENDIX D - EXPORT PORT CODES
00000122,2013		CHANGED:
		2604 Added AIR as acceptable Method of Transportation
Version 1.0 EFFECTIVE	A	Appendix O – DDTC ITAR Exemption Codes
July 8, 2013		ADDED:
		ITAR exemption code 123.17(A) has been amended to read as follows:
		123.17A1 - 22 CFR 123.17 (a) (1) Components and parts for Category I (a) firearms not exceeding \$100 wholes ale.

Version/Date	Type of Change	Location and Description
		123.17A2 - 22 CFR 123.17 (a) (2) Components and parts for Category I firearms not exceeding \$500 wholes ale to Canada.
		123.17A3 - 22 CFR 123.17 (a) (3) Components and parts for Category I firearms not exceeding \$500 wholes ale to Canadian Government.
Version 1.0 EFFECTIVE	A	APPENDIX L – DDTC USML Category Codes
October 15, 2013		ADDED:
		Category 19 - Gas Turbine Engines and Associated Equipment
	C	CHANGED:
Version 1.0	C	Description for Category 08 changed to read - Aircraft and Related Articles  Table 1. DDTC Licensable Shipment Reporting Requirement Matrix.
August 22, 2013		Changed requirement of DDTC Registration Number for ITAR Exemption from R (Required) to A (Allowed).
Version 1.0 EFFECTIVE	C	Appendix S - AES Acronyms and Definitions
July 9, 2013		Effective July 9, 2013 The website for AESDirect and AESWebLink will change to aesdirect.census.gov
Version 1.0 June 26, 2013	A	Appendix F - License and License Exemption Type Codes and Reporting Guidelines
		ADDED:
		The following ECCN was made eligible for C38: 2D003
		The following ECCNs were made eligible for C59: 2D003
Version 1.0	D	7D004 Appendix F - License and License Exemption Type Codes
June 20, 2013		and Reporting Guidelines
		C35 - delete ECCN 3A992
Version 1.0 EFFECTIVE	С	Appendix D – Export Port Codes
May 8, 2013		CHANGED:
		The following Port of Export Code name has been updated: 2904 – Columbia-Snake, OR
		NEW name: 2904 – Portland, OR
Version 1.0 EFFECTIVE		Appendix F - License and License Exemption Type Codes and Reporting Guidelines
October 15, 2013	A	ADDED: New License Code C60

	Type of	
Version/Date	Change	Location and Description
		New ECCNs to License Code C59, C35
		<b>Added</b> "For items under 600 series ECCNs with a .y paragraph, use C60 (DY6)" to the Description of <b>License Code C32, C33</b>
		<b>Added</b> "Items under 600 series ECCNs are not eligible under this license type" to the Description of <b>License Code C39</b> , <b>C43</b> , <b>C45</b> , <b>C46</b> , <b>C49</b> , <b>C51</b> , <b>C57</b>
Version 1.0		Appendix A – Commodity Response Filing Messages
EFFECTIVE September 26, 2008	C	CHANGED: Response Code: 075 SRN ON FILE; ACTION NOT ALLOWED Revised "Resolution" to clarify that reuse of the shipment reference number is
		prohibited.
Version 1.0 EFFECTIVE		Appendix D – Export Port Codes
March 15, 2013	D	DEACTIVATED: The following Port of Export Code have been deactivated:
		4755 – JFK Alternate
		4770 - FEDERAL EXPRESS, NEWARK, NJ
		4775 - DWORKIN/COSELL COURIER, NY
		4776 - SWISSAIR (SKYRACER), NY
		4777 - ALITALIA (ALIEXPRESS), NY
Version 1.0 EFFECTIVE		Appendix D – Export Port Codes
January 1, 2013	D	DE A CUDEN A DED
• 1		<b>DEACTIVATED:</b> The following Port of Export Code have been deactivated: 3312 – Whitetail, MT
Version 1.0 EFFECTIVE		Appendix A – Commodity Response Filing Messages
January 1, 2013	D	DELETE:
		Response Code 6VD
Version 1.0		Appendix D – Export Port Codes
EFFECTIVE December 12, 2012	D	<b>DEACTIVATED:</b> The following Port of Export Code have been deactivated: 3382 – National County International Airport
	C	CHANGED: The following Port of Export Code name has been changed to: 3386 – Bozeman Yellowstone User Fee Airport
	A	ADDED: The following Port of Export Code has been added: 3332 – Casper, WY
Version 1.0		Appendix D – Export Port Codes
EFFECTIVE		rr
December 3, 2012	D	<b>DEACTIVATED:</b> The following Port of Export Code have been deactivated: 1402 – Newport News, VA
	C	CHANGED:

Version/Date	Type of Change	Location and Description
	g	The following Port of Export Code name has been changed to: 1401 – Norfolk-Newport News, VA
Version 1.0 EFFECTIVE July 1, 2012	A	Appendix A – Commodity Response Filing Messages  ADDED: Response Code 6VD "VIN REPORTED ON ITN: %"
Version 1.0 EFFECTIVE November 29, 2012	C	Appendix O – DDTC ITAR Exemption Codes  CHANGED: The following ITAR exemption codes were changed to include the following "U.S. persons, as defined in the ITAR 120.14."  123.17F -Temporary export of one set of "Body Armor" for personal use without a license from the United States by U.S. persons as defined in the ITAR 120.14.  123.17G -Temporary export of one set of "Body Armor" for personal use without a license by U.S. persons as defined in the ITAR 120.14 going to Afghanistan and to Iraq.
Version 1.0 EFFECTIVE October 23, 2012	С	Appendix D – Export Port Codes  CHANGED: The following Port of Export Codes have been updated to allow for Air as the Mode of Transportation.  2101 – Port Arthur, TX 2102 – Sabine, TX 2103 – Orange, TX 2104 – Beaumont, TX
Version 1.0 EFFECTIVE June 8, 2012	A	Appendix O – DDTC ITAR Exemption Codes  ADDED:  123.17 (H) Temporary exports of body armor, which may include a helmet, or chemical agent protective gear, which may include one additional filter canister, for personal use to Iraq.  123.17(I) Temporary exports of body armor, which may include a helmet, or chemical agent protective gear, which may include one additional filter canister, for personal use to Afghanistan.
Version 1.0 EFFECTIVE June 6, 2012	A	Appendix A – Commodity Response Filing Messages  ADDED: Response Code 57A "NO RGSTRTN REQD; ITAR USED: <ddtc exemption="" itar="" number=""></ddtc>
Version 1.0 EFFECTIVE May 1, 2012	C	AES Operational Certification Test Scripts: Long Test Scripts  Changes have been made to the following Test Questions:  Questions 3, 7, 8, 15, 17, 21, 24

	Type of	
Version/Date	Change	Location and Description
Version 1.0 EFFECTIVE		AES Operational Certification Test Scripts: Short Test Scripts
May 1, 2012	C	Changes have been made to the following Test Questions:
,		Questions 7, 10, 14-18
Version 1.0		Appendix A – Commodity Response Filing Messages
EFFECTIVE		rippendix ri Commodity Response rining ricessages
April 11, 2012	A	ADDED:
		Response Code 549 "BIS LICENSE NBR NOT VALID FOR LICENSE CODE"
Version 1.0 EFFECTIVE		Appendix A – Commodity Response Filing Messages
March 30, 2012	A	4 DDDD
1,141011 50, 2012	1.	ADDED: Response Code 672 "GB/AU ACID NBR UNKNOWN OR INVALID"
		Response Code 0/2 Ob/AOACID INDRONKINOWIN OR INVALID
		CHANGED:
	C	Response Code 667 to include new license exemption codes.
		Response Code 563 to include new license exemption codes.
Version 1.0		Response Code 564 to include new license exemption codes.  APPENDIX F - LICENSE AND LICENSE EXEMPTION TYPE CODES
EFFECTIVE		ATTENDIATE LICENSE AND EICENSE EXEMITION THE CODES
April 11, 2012	C	CHANGE:
		C31 – Removed list of "S" licenses because they are no longer valid. "V"
Version 1.0		licenses have replaced the "S" licenses.  APPENDIX F - LICENSE AND LICENSE EXEMPTION TYPE CODES
March 5, 2012		APPENDIX F - LICENSE AND LICENSE EXEMPTION TYPE CODES
With 5, 2012	C	CHANGE:
		C32 - Remove "EAR99 may be reported as an ECCN."
		C32 – Added "EAR99 may NOT be reported as an ECCN."
Version 1.0 EFFECTIVE		Table 1. DDTC Licensable Shipment Reporting Requirement Matrix.
March 30, 2012	A	ADDED:
1774101130,2012	1.	DOS/DDTC License Type <b>SAU</b> – Australia ITAR Exemptions License Code
		DOS/DDTC License Type <b>SGB</b> – United KingdomITAR Exemptions
Version 1.0		601 Customs & Border Protection (CBP) - Export Shipment Information
EFFECTIVE March 30, 2012	C	(X12) Transaction Set
191611 30, 2012		CHANGED:
		X1 Export License Segment
		W400 (70 F) 4 V 1 V 1 V 1 V 1 V 1 V 1 V 1 V 1 V 1 V
		X102 (50 Export License Number) to include: 3. DDTC ITAR EXEMPTION NUMBER and APPROVED COMMUNITY
		MEMBER NUMBER
		The DDTC ITAR Exemption Number will be followed by the ACM#.
		See #2 above for DDTC ITAR Exemption Number. The first 12 characters
		of the field is reserved for the DDTCITAR Exemption. Add spaces at the
		end of the DDTC ITAR Exemption, if necessary, to fill first 12 characters.  The next 11 characters of the field is reserved for the ACM#. The ACM#
		for the United Kingdom must begin with UK followed by 9 numbers. The
		ACM# for Australia must begin with DTT followed by 8 numbers.
		2 DDECITABLE 11 N 1 11 12
		3. DDTC ITAR Exemption Number and Approved Community Member # -
		Enter "3" in X103 – Number Qualifier

Version/Date	Type of Change	Location and Description
		Maximum size of X102 is 23 bytes.
		Equivalent AESTIR Proprietary Elements: CL2 - Export License Number/CFR Citation/Authorization Symbol/KPC#/ACM#; ODT - DDTC ITAR Exemption Number.
		X103 (51 Export License Status Code) to include:
Version 1.0 EFFECTIVE March 30, 2012		DDTC ITAR Exemption Number and Approved Community Member #  PART II – AES RECORD FORMATS, SECTION 1 – AES Commodity Data Transactions, PROPRIETARY FORMATS, (a) COMMODITY SHIPMENT FILING AND RESPONSE
	C	CHANGED: Line Item Commodity Detail (Input CL2 Record) Data Element to: Export License Number/ CFR Citation/ Authorization Symbol/KCP#/ACM#
		Additional description to read: The Approved Community Member# for the United Kingdommust begin with UK followed by nine numbers. The Approved Community Member# for Australia must begin with DTT followed by eight numbers.
Version 1.0		APPENDIX O – DDTC ITAR EXEMPTION CODES
EFFECTIVE March 30, 2012	A	ADDED:  126.16E1 - United States and Australian combined military or counter-terrorismond 126.16E2 - United States and Australian cooperative security and defense research production, and support programs  126.16E3 - Mutually determined specific security and defense projects where the is the end-user  126.16E4 - U.S. Government end-use  126.17E1 - United States and United Kingdom combined military or counter-terror 126.17E2 - United States and United Kingdom cooperative security and defense a production, and support programs  126.17E3 - Mutually determined specific security and defense projects where the United Kingdom is the end-user  126.17E4 - U.S. Government end-use
Version 1.0 EFFECTIVE		APPENDIX F - LICENSE AND LICENSE EXEMPTION TYPE CODES
March 30, 2012	A	ADDED: License Code <b>SAU</b> – Australia ITAR Exemptions License Code <b>SGB</b> – United Kingdom ITAR Exemptions
Version 1.0 February 3, 2012		APPENDIX V – HTS Numbers that Cannot Be Reported in AES
	A	ADDED: 0409.00.0005 0713.39.2170 0713.39.4170 3702.91.0100

	Type of	
Version/Date	Change	Location and Description
		3702.93.0000 3702.94.0000
		3702.94.0000
		3702.93.0000
	D	DELETED:
		0713.39.2070
		0713.39.4070
		2916.36.0000
Version 1.0		APPENDIX D – EXPORT PORT CODES
January 19, 2012		
	D	DEACTIVATED:
** 10		3991 Nippon Courier HUB, IL
Version 1.0	A	APPENDIX F - LICENSE AND LICENSE EXEMPTION TYPE CODES
January 6, 2012		ADDED:
		The following ECCNs were made eligible for STA C59:
		3A982
		3D982
		3E982
Version 1.0	A	APPENDIX O – DDTC ITAR EXEMPTION CODES
November 9, 2011		ADDED:
		ADDED:
		123.17F - 22 CFR 123.17 (f) Temporary export of one set of "Body Armor" for
		personal use without a license from the United States by an individual (U.S.
		person). Companies cannot use this exemption.
		100 170 00 000 100 17 ( ) 7
		123.17G - 22 CFR 123.17 (g) Temporary export of one set of "Body Armor" for
		personal use without a license going to Afghanistan and to Iraq. Companies cannot use this exemption.
Version 1.0	С	APPENDIX A – COMMODITY RESPONSE FILING MESSAGES
November 8, 2011		
		CHANGED:
		Response Code 545 to include the word "INVALID"
Version 1.0	A	APPENDIX F – LICENSE AND LICENSE EXEMPTION TYPE CODES
October 25, 2011		ADDED:
		Special Comprehensive License (SCL) S000037 for License Code C31
Version 1.0		APPENDIX D – Export Port Codes
September 23, 2011		r
,	A	ADDED:
		4503 St Louis, MO (Valid for AIR)
Version 1.0		APPENDIX C – ISO Country Codes
August 17, 2011		A DDED:
	A	ADDED: SS South Sudan
Version 1.0		APPENDIX E – Commodity Filing Export Information Codes and
July 1, 2011		Reporting
		Guidelines
	U	
		UPDATED:

	Type of	
Version/Date	Change	Location and Description
		Made update to Table A EIC "ZD" description.
Version 1.0		APPENDIX V – HTS Numbers that Cannot Be Reported in AES
July 1, 2011		
		A DDED
	A	ADDED: 1701.99.1025
		1701.99.1050
		1701.99.5025
		1701.99.5050
	D	DELETED:
		1701.99.1020
		1701.99.1030 1701.99.5020
		1701.99.5030
Version 1.0		APPENDIX F - LICENSE AND LICENSE EXEMPTION TYPE CODES
June 16, 2011		ADDED:
	A	ADDED.
		C59 – Strategic Trade Authorization (STA) allows an exception to export
		specific controlled items to certain countries that would otherwise require a
Version 1.0		license because of CCL-based license requirements.  APPENDIX F – LICENSE AND LICENSE EXEMPTION TYPE CODES
June 14, 2011		
	A	ADDED:
		Export License Number 'S000036' to License Code 'C31'
		•
	U	UPDATED:
		Made update to Table F (Department of Energy/National Nuclear Security
		Administration License Type Code "E01") description.
Version 1.0 June 5, 2011		APPENDIX F – LICENSE AND LICENSE EXEMPTION TYPE CODES
June 3, 2011	A	ADDED:
		Table F - Department of Energy/National Nuclear Security Administration License Type Code "E01."
Version 1.0		APPENDIX F – LICENSE AND LICENSE EXEMPTION TYPE CODES
June 3, 2011	n	Deleted
	D	Deleted:
		C59 – Strategic Trade Authorization (STA) allows an exception to export
		specific controlled items to certain countries that would otherwise require a
Version 1.0		license because of CCL-based license requirements.  APPENDIX F – LICENSE AND LICENSE EXEMPTION TYPE CODES
April 25, 2011		THE LOCATION IN PROPERTY HOLD THE CODES
	A	ADDED:
		C59 – Strategic Trade Authorization (STA) allows an exception to export
		specific controlled items to certain countries that would otherwise require a
		license because of CCL-based license requirements.

	Type of	
Version/Date	Change	Location and Description
Version 1.0		APPENDIX D – EXPORT PORT CODES
May 12, 2011		
	C	MOT change:
		1703 Added RAIL as acceptable Method of Transportation
		1703 Added ROAD as acceptable Method of Transportation
		1501 Added AIR as acceptable Method of Transportation 2015 Deleted VESSEL as acceptable Method of Transportation
		2104 Added AIR as acceptable Method of Transportation
		2302 Deleted RAIL as acceptable Method of Transportation
		2305 Deleted RAIL as acceptable Method of Transportation
		2307 Deleted RAIL as acceptable Method of Transportation
		2309 Deleted RAIL as acceptable Method of Transportation
		2310 Deleted RAIL as acceptable Method of Transportation
		2408 Deleted RAIL as acceptable Method of Transportation
		2601 Deleted RAIL as acceptable Method of Transportation
		2602 Deleted RAIL as acceptable Method of Transportation
		2603 Deleted RAIL as acceptable Method of Transportation
		2604 Deleted AIR as acceptable Method of Transportation
		2606 Deleted RAIL as acceptable Method of Transportation
		2608 Deleted RAIL as acceptable Method of Transportation 3002 Added AIR as acceptable Method of Transportation
		3003 Added AIR as acceptable Method of Transportation
		3007 Added AIR as acceptable Method of Transportation
		3008 Added AIR as acceptable Method of Transportation
		3011 Deleted RAIL as acceptable Method of Transportation
		3012 Deleted RAIL as acceptable Method of Transportation
		3013 Deleted RAIL as acceptable Method of Transportation
		3016 Added AIR as acceptable Method of Transportation
		3019 Added AIR as acceptable Method of Transportation
		3019 Deleted RAIL as acceptable Method of Transportation
		3020 Deleted RAIL as acceptable Method of Transportation
		3025 Deleted RAIL as acceptable Method of Transportation 3103 Added AIR as acceptable Method of Transportation
		3104 Added AIR as acceptable Method of Transportation
		3104 Deleted RAIL as acceptable Method of Transportation
		3105 Added AIR as acceptable Method of Transportation
		3106 Added AIR as acceptable Method of Transportation
		3106 Deleted RAIL as acceptable Method of Transportation
		3115 Added AIR as acceptable Method of Transportation
		3301 Deleted RAIL as acceptable Method of Transportation
		3303 Added RAIL as acceptable Method of Transportation
		3306 Deleted RAIL as acceptable Method of Transportation
		3308 Deleted RAIL as acceptable Method of Transportation 3309 Deleted RAIL as acceptable Method of Transportation
		3312 Deleted RAIL as acceptable Method of Transportation
		3317 Deleted RAIL as acceptable Method of Transportation
		3318 Deleted RAIL as acceptable Method of Transportation
		3323 Deleted RAIL as acceptable Method of Transportation
		3423 Deleted VESSEL as acceptable Method of Transportation
		3424 Deleted VESSEL as acceptable Method of Transportation
		3424 Deleted RAIL as acceptable Method of Transportation
		3425 Deleted RAIL as acceptable Method of Transportation
		3426 Deleted RAIL as acceptable Method of Transportation
		3512 Added RAIL as acceptable Method of Transportation

Version/Date	Type of Change	Location and Description
	8	3604 Added AIR as acceptable Method of Transportation 3613 Deleted RAIL as acceptable Method of Transportation
Verison 1.0		APPENDIX C – ISO Country Codes
April 7, 2011		•
	D	DELETED: AN Netherlands Antilles
	A	ADDED: CW Curacao SX Sint Maarten
Version 1.0 April 19, 2011	C	Change requested by Cheryl Bannister.
		Changes pertain to replacing "SED" with "EEI" throughout AES Website. See Artifacts (email with requested changes and files) in Dimensions under WR108 dated 4/15/11.
Version 1.0 April 11, 2011		APPENDIX D – Export Port Codes
71711111, 2011	С	CHANGED: 4773 Emery Worldwide to MICOM
	A	ADDED: "FIXED" as a valid MOT for 3802
Version 1.0 March 3, 2011	A	APPENDIX A – Commodity Filing Response Messages
		Response Code 5C1 – DDTC LICENSE SUSPENDED FOR COUNTRY
Version 1.0 February 1, 2011		APPENDIX C – ISO Country Codes
100104117 1,2011	D	DELETED: TP East Timor
	A	ADDED: TL Timor-Leste
Version 1.0 January		APPENDIX V – HTS Numbers that Cannot Be Reported in AES
25, 2011		Appendix V updated (2011 updates)
	A	ADDED: 0701.90.5045
		0701.90.5055 0701.90.5065
		0702.00.2010
		0702.00.2035 0702.00.2045
		0702.00.2065
		0702.00.2099 0702.00.4010
		0702.00.4035 0702.00.4046
		0702.00.4046 0702.00.4065
		0702.00.4098 0702.00.6010

	Type of	
Version/Date	Change	Location and Description
		0702.00.6035
		0702.00.6045
		0702.00.6065
		0702.00.6099
		0703.10.2000
		0704.10.2000
		0704.10.4000
		0704.10.6000
		0704.90.4020
		0705.11.2000
		0705.11.4000
		0705.19.2000
		0705.19.4000
		0706.10.0500
		0706.10.1000
		0706.10.2000
		0709.40.2000
		0709.40.4000
		0709.40.6000 0709.60.2010
		0709.60.2010 0709.60.2090
		0709.60.4055 0709.60.4095
		0709.70.0000
		0805.10.0040
		0805.50.2000
		0806.10.2000
		0806.10.4000
		0806.10.6000
		0809.20.0000
		0810.10.2000
		0810.10.4000
		1006.30.9015
		2103.20.4020
		2103.20.4040
		7401000000
	D	DELETED:
		7404.10.0000
Version 1.0	A	APPENDIX D - Export Port Code
December 14, 2010		
,		The following Export Port Codes were added to the Production and Education
		Regions of the AES Mainframe:
		5296 DHL Worldwide Express, Miami, FL
		1880 Naples Municipal User Fee Airport
	D	The following Export Codes were deactivated in the Production and Education
		Regions of the AES Mainframe:
		1506 Reidsville, NC
		3124 Pelican, AK
		3127 Kodiak, AK

X/ . /D /	Type of	T 15
Version/Date	Change	Location and Description 3181 St. Paul, AK
		3319 Morgan, MT
		3429 Crane Lake, MN
		5271 DHL, MIAMI, FL
Version 1.0	С	APPENDIX E – Commodity Filing Export Information Codes and
September 17, 2010		Reporting Guidelines
		The following 15 CFR references have been updated:
		GS – added 15 CFR 30.40, deleted 15 CFR 30.53
		MS - added 15 CFR 30.39, deleted 15 CFR 30.52
		HH - added 15 CFR 30.37(b), deleted 15 CFR 30.56
		HV - added 15 CFR 30.37(b), deleted 15 CFR 30.56(a3) UG - added 15 CFR 30.37(h), deleted 15 CFR 30.55(g)
		LC/LV - added 15 CFR 30.37(a), deleted 15 CFR 30.55(b)
		DP - added 15 CFR 30.37(i), deleted 15 CFR 30.55(a)
		HR - added 15 CFR 30.37(j), deleted 15 CFR 30.55(b)
		IC - added 15 CFR 30.37(k), deleted 15 CFR 30.55(I)
		SC - added 15 CFR 30.26(b), deleted 15 CFR 30.33(a) SR - added 15 CFR 30.37(m), deleted 15 CFR 30.56(c)
		SS - added 15 CFR 30.37(m), deleted 15 CFR 30.56
Version 1.0 September 17, 2010	A	APPENDIX D – Export Port Code
September 17, 2010		The following Export Port Codes were added to the Education Region of the
		AES Mainframe:
		2792 3883
		1889
		2383
		1681
		The following Export Port Codes were deactivated in the Education and Foreign
		Port Region of the AES Mainframe:
		3481
		3614
		4181 4503
Version 1.0		APPENDIX D - Export Port Code
September 10, 2010		
	D	Deactivated: 2816 Sacramento, CA
		2831 Suisun Bay, CA
	A	Added Vessel and Road MOT:
V 1 0		2835 Sacramento Int'l Airport, CA
Version 1.0 August 17, 2010		APPENDIX U – HTS/Schedule B Classifications Requiring Used Vehicle Reporting
	C	Open and Heavy Lifeting
		Cars and Heavy Lifting Other Motor &
		Vehicles Construction
		8703.10.1000 8426.41.0005

Y . /D /	Type of	T 41 1D	• 4•			
Version/Date	Change	Location and D	escription			
		8703.10.5030				
		8703.10.5060				
Version 1.0		APPENDIX D -	-Export Port Co	de		
August 2, 2010						
	A	Added:	ess Consignment,	Chicago II		
		39/1 11 <b>11 Expl</b> e	ss Consignment,	Cincago, iL		
		Removed Air M	OT:			
		2704 Los Ange				
		2709 Long Bead				
Version 1.0		APPENDIX A -	- Commodity Fil	ing Response Me	ssages	
July 14, 2010		D G 1	OF C. BADDODA	DI E IGO		
Varaion 10	A		856 – IMPROBA		Requiring Used V	/ohiolo
Version 1.0 EFFECTIVE	A	Reporting	-H15/Schedule	b Classifications	Requiring Usea v	emcie
June 1, 2010		Added:				
vane 1, 2010		11dded.				
		Cars and		Heavy Lifting	Heavy Lifting	
		Other Motor		&	&	Agricultu
		Vehicles	Tractors	Construction	Construction	Machiner
		8703.23.0020	8701.20.0015	8426.41.0050	8429.52.1040	8433.11.00
		8703.23.0022	8701.20.0045	8427.10.4000	8429.52.1050	8433.11.00
		8703.23.0024	8701.30.0015	8427.10.8010	8429.52.5010	8433.11.00
		8703.23.0026	8701.30.0030	8427.10.8060	8429.52.5090	8433.11.00
		8703.23.0028	8701.30.0045	8427.10.8090	8429.59.1030	8433.19.00
		8703.23.0032	8701.30.0060	8427.20.4000	8429.59.1060	8433.19.00
		8703.23.0034	8701.30.0075	8427.20.8020	8429.59.1090	8433.19.00
		8703.23.0036	8701.30.1015	8427.20.8040	8429.59.5020	8433.20.00
		8703.23.0038	8701.30.1030	8427.90.0020	8429.59.5040	8433.30.00
		8703.23.0042	8701.30.1045	8427.90.0040	8429.59.5060	8433.40.00
		8703.23.0044	8701.30.1060	8429.11.0010	8429.59.5080	8433.51.00
		8703.23.0046	8701.30.1075	8429.11.0090	8430.10.0000	8433.52.00
		8703.23.0048	8701.30.1090	8429.19.0010	8430.20.0030	8433.53.00
		8703.23.0052	8701.30.5015	8429.19.0090	8430.20.0060	8433.59.00
		8703.23.0060	8701.30.5030	8429.20.0000	8430.20.0090	8433.59.00
		8703.23.0062 8703.23.0064	8701.30.5045 8701.30.5060	8429.30.0020 8429.30.0040	8430.31.0000 8430.31.0040	
		8703.23.0064	8701.30.5000	8429.30.0040 8429.30.0060	8430.31.0080	
		8703.23.0068	8701.90.1005	8429.40.0020	8430.41.0000	
		8703.23.0072	8701.90.1010	8429.40.0040	8430.50.0000	
		8703.23.0074	8701.90.1015	8429.40.0060	8430.50.1000	
		8703.23.0075	8701.90.1030	8429.51.1005	8430.50.5000	
		8703.23.0076	8701.90.1035	8429.51.1015		
		8703.23.0078	8701.90.1040	8429.51.1025		
		8703.24.0032	8701.90.1045	8429.51.1030		
		8703.24.0034	8701.90.1050	8429.51.1035		
		8703.24.0036	8701.90.1055	8429.51.1040		
		8703.24.0038	8701.90.1060	8429.51.1045		
		8703.24.0042	8701.90.1065	8429.51.1050		

Type of	
Version/Date Change Location and Description	
8703.24.0050 8701.90.1070 8429.51.1055	
8703.24.0052 8429.51.1060	
8703.24.0054 <b>8429.51.1065</b>	
8703.24.0056 8429.51.5010	
8703.24.0058 8429.51.5020	
8703.24.0060 8429.51.5030	
8703.24.0062 8429.51.5040	
8703.24.0064 8429.51.5050	
8703.24.0066 <b>8429.51.5060</b>	
8703.24.0068 8429.52.1010	
8703.24.0075 8429.52.1020	
8703.32.0010 8429.52.1030	
8703.33.0045	
Version 1.0 APPENDIX V – HTS Numbers that Cannot Be Repo	rted in AFS
January 5, 2010	
A Added:	
9303.30.8005	
Version 1.0 APPENDIX D – Export Port Code	
January 4, 2010	
D Deactivated: 4181 Airborne Air Park	
4181 Airbonne Air Park 4194 DHL Express	
3481 Hector International Airport	
2785 March Inland Airport, Riverside, CA	
2881 Sacramento International User Fee Airport	
A Added:	
3883 Capital Region International Airport, MI	
Version 1.0 C Changed LOI to update phone numbers and address	to read:
December 28, 2009	
Phone: 571-468-5500 FAX: 571-468-5538	
1 AX 371-400-5550	
Address:	
U.S. Customs and Border Protection	n
Attn: Beauregard Client Reps	
7681 Boston Blvd	
Springfield, VA 20598	
V · 10 C APPENDIX E V IV E V T	G I ID d
Version 1.0 C APPENDIX F - License and License Exemption Type Guidelines	e Codes and Reporting
December 13, 2009 Guitemies	
ECCN changes: C35 – add 1D008 and C38 - delete 4D	003
Lect changes. ess and 15000 and esso defete 45	003
C AESTIR Part IV – AES Downtime Guidelines	
The AES Downtime Guidelines have been updated.	
Version 1.0 C APPENDIX F - License and License Exemption Type	e Codes and Reporting
version 1.0   C   All Explain - License and License Exemption Typ	c Coucs and Acpoi ang
December 2, 2009 Guidelines	

Version/Date	Type of Change	Location and Description
version/Date	Change	ECCN Information updated to include missing ECCN numbers (C36 – 3C005
		and 3C006; C37 – 4A003, 3C005, 3C006)
Version 1.0 October 19, 2009	С	AESTIR Part IV – AES Downtime Guidelines
·		The AES Downtime Guidelines have been updated.
Version 1.0	A	APPENDIX F - License and License Exemption Type Codes and Reporting
September 2, 2009 (EFFECTIVE		Guidelines
<b>September 4,2009</b> )		Added License Code C58 - Free Exchange of Information allows export and re-
		export of consumer products related to communications and exchange of information to Cuba
Version 1.0	С	APPENDIX F - License and License Exemption Type Codes and Reporting
September 2, 2009		Guidelines
(EFFECTIVE		
October 1,2009)		Information has been updated to reflect BIS new edits on ECCNs, Special
**		Comprehensive Licenses, and Certain License Exceptions
Version 1.0 July 23, 2009	A	APPENDIX D – Export Port Code
		Port reactivated:
		4197 DHL Cincinnati, OH
		APPENDIX V – HTS Numbers that Cannot Be Reported in AES
		Appendix V updated
	A	ADDED:
		1701.99.1020
		1701.99.1030
		1701.99.5020
		1701.99.5030 8411.91.9081
		8411.91.9085
	D	DELETED:
		1701.99.1090
		1701.99.5090
		8411.91.9080
		9303.30.8005
Version 1.0 May 1, 2009	D	APPENDIX F - License and License Exemption Type Codes and Reporting Guidelines
(effective date)		
		C47 Additional Permis sive Re-exports (APR) Part 740.16. (Removed)
		C48 Key Management Infrastructure ( <b>KMI</b> ) Part 740.8 (Removed)
Version 1.0	С	APPENDIX D – Export Port Code
April 2, 2009		MOT change:
		4503 Deleted VESSEL as acceptable Method of Transportation
Version 1.0	С	APPENDIX F - License and License Exemption Type Codes and Reporting
March 19, 2009		Guidelines

	Type of	
Version/Date	Change	Location and Description
		IW has been added as an Export Information Code that is not allowed under License code OPA.
Version 1.0	С	APPENDIX F - License and License Exemption Type Codes and Reporting
February 24, 2009		Guidelines
		Information has been revised pertaining to shipments of International Waters as
		stated in Revised FTR Letter No. 3 published January 14, 2009.
Version 1.0	С	APPENDIX F - License and License Exemption Type Codes and Reporting
December 10, 2008		Guidelines
		Allowed Export Info Code 'IW' added to License Codes C30 and C31.
Version 1.0	A	APPENDIX B – Transportation Filing Response Messages
November 6, 2008		
		ADDED:  Response Code 604 A CTUA I DEDDT VD MUST DE > 1007
		Res ponse Code 694 A CTUAL DEPRT YR MUST BE > 1997
	C	Letter of Intent links
		References to Option 4 have been removed from the following links:
		http://cbp.gov/xp/cgov/trade/automated/aes/letter_intent/register.xml
		http://cbp.gov/xp/cgov/trade/automated/aes/letter intent/form commodity data.x
		<u>ml</u>
Version 1.0	A	APPENDIX D - Export Port Code
October 22, 2008		A DDED
		ADDED: 2383 Valley International Airport, TX
		2792 DHL-HUB Riverside, CA
	C	MOT change: 2006 Deleted VESSEL as acceptable Method of Transportation
		4503 Deleted VESSEL as acceptable Method of Transportation
		APPENDIX V – HTS Numbers that Cannot Be Reported in AES
		Appendix V updated
	A	ADDED:
		0713.39.2070
		0713.39.4070
		2008.19.9090 2401.10.9510
		2401.10.9560
		2401.20.0500
		2401.20.1800 2907.19.6100
		2907.19.6100
		2921.19.1100
		2934.30.5000
		7211.23.3000 7211.23.4500
		7211.23.4500 7211.23.6030
		7211.23.6060

	Type of	
Version/Date	Change	Location and Description
		7211.23.6075
		7211.23.6085
		8527.99.1500
	_	
	D	DELETED:
		1005.90.4040
		8527.99.2010
		8527.99.2020
		8527.99.2030 8803.10.0015
		8803.10.0013 8803.10.0030
		8803.10.0060
		8803.20.0015
		8803.20.0030
		8803.20.0060
		8803.30.0015
		8803.30.0030
		8803.30.0060
		8803.90.9015
		8803.90.9030
**		8803.90.9060
Version 1.0	C	AESTIR
September 30, 2008		
(effective date)		The A ESTID has been undeted to reflect the owner ded Earsian Tue de De cyletians
		The AESTIR has been updated to reflect the amended Foreign Trade Regulations (FTR) requiring the mandatory filing of export information through the
		Automated Export System (AES) or AES Direct. This includes the following
		changes:
	C	
		Part II – AES Record Formats, Section 3, AES Transportation Data
		Transactions, Proprietary Record Formats
		On page 27, revisions to the Marks and Numbers (D02) Record have been made.
		This record is mandatory. Along with Marks and Numbers this record is also
		used to report ITNs and or exemptions/exclusions.
	C	X12 Formats
		A12 FORMALS
		On Page 25 of 309 Customs and Border Protection (CBP) – Manifest (Post
		Departure), N10 (Quantity and Description Record), N1003 Data Element is
		revised to allow for the entry of Internal Transaction Numbers
		(ITNs)/Exemptions/Exclusions along with Marks and Numbers. This data
		element is made mandatory.
		On Page 35 of 355 Customs and Border Protection (CBP) –
		Acceptance/Rejection, N10 (Quantity and Description Record), N1003 Data
		Element is revised to allow for the entry of ITNs/Exemptions/Exclusions along
	C	with Marks and Numbers. This data element is made mandatory.
		APPENDIX R – Exemption Legends
		Revisions have been made to update the Acceptable AES Filing citation,
	C	exemption, and exclusion legends as reflected in Appendix D of the amended
		FTR.

Version/Date	Type of Change	Location and Description
version/Dute	Change	_
		Mis cellaneous Revisions
		Shippers Export Declaration (SED) is now referred to as Electronic Export Information (EEI);
		Option 2 is now referred to as Predeparture;
		Option 4 is now referred to as Postdeparture;
		Foreign Trade Statistics Regulations (FTSR) is now Foreign Trade Regulations (FTR); and
		Citation numbers have been updated to be in concordance with the new FTR.
Version 1.0	С	Appendix S and AES General Information
September 30, 2008		Information has been revised pertaining to the reuse of shipment reference
		numbers. The shipment reference number must remain unique, as stated in FTR Letter No. 2 published on September 26, 2008.
Version 1.0	С	APPENDIX R – Exemption Legends
August 18, 2008		Revisions were made to the exemption legends to reflect the amended Foreign
		Trade Regulations requiring the mandatory filing of export information through
		the AES or AESDirect.
Version 1.0 August 13, 2008	A	APPENDIX B – Transportation Filing Response Messages
114845012,2000		ADDED:
Version 1.0	С	Response Code 693 COUNTRY OF ULT DESTINATION OUTDATED  X.12 824 GS03 change:
July 24, 2008		7. 12 024 G303 Change.
		Current description says:
		M GS03 124 Application Receiver's Code Code identifying party receiving transmission
		partners
		Same Filer ID as in element ISA08.
		But should read:
		M GS03 124 Application Receiver's Code
		Code identifying party receiving transmis sior partners
		Same Transmitter ID as in element ISA02.
Version 1.0	С	Part II – AES Record Formats, Section 3
July 23, 2008		Below the headings for Party Profile/Address/Phone (N02) and Party Profile
		City/State? Country (N03) Record, a statement has been added which reads, "If
		the Party ID and Party ID Type do not exist in AES or are not provided, this record is required to be sent."
		309 CBP Manifest (Post Departure)
		Usage changed from Optional to Conditional.

Version/Date	Type of Change	Location and Description
		Notes added, "If the Party ID and Party ID type do not exist in AES or are not provided, this record is required to be sent."
Version 1.0 June 26, 2008	С	AESTIR  The AESTIR is being updated to reflect the amended Foreign Trade Regulations requiring the mandatory filing of export information through the AES or AESDirect.
Version 1.0 June 3, 2008	С	APPENDIX F - License and License Exemption Type Codes and Reporting Guidelines  Revisions made to License codes C54, C55, C56. Allowed Export Info Codes should read All, except IW.
Version 1.0 April 23, 2008	С	APPENDIX F - License and License Exemption Type Codes and Reporting Guidelines  The ECCN has been changed from Allowed to Mandatory for the following License codes.  C38-TSR, C41-RPL, C42-GOV, C43-GFT, C44-TSU, C45-BAG, C46-AVS, C47-APR C48-KMI, C49-TAPS, and C50-ENC
Version 1.0 April 14, 2008	A	APPENDIX A – Commodity Filing Response Messages  Added a statement to Response Code: 855 that the error would be generated only if the mode of transportation is vessel.
Version 1.0 March 20, 2008	A	APPENDIX A – Commodity Filing Response Messages  Added a statement to the end of Response Code: 139, pertaining to Shipments destined to International Waters  APPENDIX E – Commodity Filing Export Information Codes and Reporting Guidelines  EIC Code 'TW' added  APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines  Allowed Export Info Code 'TW' added to License Codes C30, C32 and C33.
Version 1.0 February 19, 2008	D	APPENDIX D – Export Port Code  DELETED: 2082 Tri-City User Fee Airport, Blountville, TN
	A	ADDED: 0481 L.G. HanscomField, Bedford, MA 2027 Tri-Cities Airport, Blountville, TN

Version/Date	Type of Change	Location and Description
Version 1.0	C	APPENDIX D - Export Port Code
December 17, 2007		
W : 10		1104 Pittsburg, PA Deleted Vessel as acceptable Method of Transportation
Version 1.0 October 10, 2007	C	APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines
October 10, 2007		Guitannes
		CHANGED:
		Expanded License Code C57 (VEU) to include India
Version 1.0	A	APPENDIX B – Transportation Filing Response Messages
October 4, 2007		ADDED:
		Response Code 689 F BOL/BOOKING VOYAGE NAMEMISMATCH
		Response Code 690 F BOL/BOOKING VOYAGE NUMBER MISMATCH
		Response Code 691 F BOL/BOOKING PORT CODE MISMATCH
		Res ponse Code 692 F BOL/BOOKING CARRIER SCAC MISMATCH
Version 1.0	A	APPENDIX A – Commodity Filing Response Messages
September 4, 2007		ADDED:
		Response Code 671 KPC NBR NOT REQ FOR CNTY CODE
		Response code of the Charlest Red Tok Chi T Code
Version 1.0	A	APPENDIX D – Export Port Code
August 8, 2007		
		ADDED:
		1888 Orlando Executive Airport, FL
Version 1.0	D	APPENDIX D - Export Port Code
June 11, 2007		
		DELETED: 2707 Port San Luis Harbor, CA
		2707 FOR Sail Lais Harbor, CA
	A	APPENDIX F – License and License Exemption Type Codes and Reporting
		Guidelines
		Added License Code C57 – Authorization for Validated End-User for Certain Authorized Exports and Reexports of Commerce Control List items to the
		People's Republic of China.
Version 1.0	A	APPENDIX D – Export Port Code
April 26, 2007		-
		ADDED:
		2785 March Inland Airport, Riverside, CA 2786 Meadows Field Airport, Bakers field, CA
		4581 Midamerican Airport, Mascoutah, IL
		•
	_	CHANGED:
	C	0131 Portsmouth, NH Added AIR as acceptable Method of Transportation
	D	Part IV Section 5. Action – Downtime Of 1 To 7 Calendar Days

	Type of	
Version/Date	Change	Location and Description
		Deleted second portion of paragraph under #6 State Department licenses and
77 : 10		license exemptions.
Version 1.0	A	Part IV Section 5. Action – Downtime of 1 to 7 Calendar Days
March 26, 2007		Added Sections 5A7 (Licensed Shipments) and 5A8 (Items of the Commerce
		Control List).
Version 1.0	С	APPENDIX D - Export Port Code
March 22, 2007		•
		CHANGED:
		1703 Savannah, GA Added AIR as acceptable Method of Transportation
Version 1.0	С	APPENDIX D – Export Port Code
March 1, 2007		CHA NICED.
		CHANGED: 1803 Jacksonville, FL Added AIR as acceptable Method of
		1803 Jacksonville, FL Added AIR as acceptable Method of Transportation
Version 1.0		APPENDIX V – HTS Numbers that Cannot be Reported in AES
February 5, 2007		Updated for February 2007 as follows:
,		
	A	ADDED:
		0303.79.0064
		0303.79.0079
		1209.29.9179
		2401.20.8310 2401.20.8320
		2826.19.9000
		7401.00.0000
		8525.50.7010
		8525.50.7050
		8525.60.1030
		8525.60.1050
		8527.99.2010
		8527.99.2020 8527.99.2030
		8803.20.0060
		8803.30.0060
		000515010000
	D	DELETED:
		0303.79.4070
		0303.79.4097
		1209.29.9079
		4407.91.0022
		4407.91.0063 4407.92.0000
		4407.99.0005
		4407.99.0011
		4407.99.0013
		4407.99.0019
		4407.99.0022
		4407.99.0042
		4407.99.0052
		4407.99.0059
		4407.99.0061 4407.99.0063
	1	TT01.77.0003

	Type of	
Version/Date	Change	Location and Description
		4407.99.0072
		4408.90.0105
		4408.90.0110
		4408.90.0115
		4408.90.0121
		4408.90.0131
		4408.90.0137
		4408.90.0145
		4408.90.0151
		4408.90.0156
		4408.90.0161
		4408.90.0166
		4408.90.0171
		4408.90.0176
		4408.90.0181
		4408.90.0187
		4408.90.0195
		8525.10.7025
		8525.10.7045
		8525.10.7065
		8525.10.7085
		8525.10.7090
		8525.10.9025
		8525.10.9045
		8525.10.9065
		8525.10.9085
		8525.10.9090
		8525.20.3010
		8525.20.3015
		8525.20.3025
		8525.20.3065
		8525.20.3070
		8525.20.3080
		8527.90.9530
		8527.90.9540
		8527.90.9550
X7 : 10		8527.90.9560
Version 1.0	A	APPENDIX D – Export Port Code
January 18, 2007		
		ADDED:
Vanaire 10		2835 Sacramento International Airport
Version 1.0 December 18, 2006		APPENDIX C – ISO Country Codes
December 10, 2000	D	Serbia and Montenegro (CS) (effective 1/1/07)
	A	Serbia (RS) (effective 1/1/07)
	A	Montenegro (ME) (effective 1/1/07)
	A.	intolicing to (trib) (circetive 1/1/0/)
		APPENDIX D – Export Port Codes
		Name changed:
	C	2881 – Sacramento International User Fee Airport - Changed name from
		Sacramento International Airport

	Type of	
Version/Date	Change	Location and Description
Version 1.0	D	APPENDIX F – License and License Exemption Type Codes and Reporting
October 24, 2006	_	Guidelines
30000012.,2000		
		C52 United States Persons in Libya (USPL) Part 740.19 (Removed)
Version 1.0	A	APPENDIX D - Export Port Codes
October 24, 2006		Updated for September 2006 as follows:
,		ADDED:
		3433 Williston Airport, North Dakota
		3434 Minot Airport, North Dakota
		2791 DHL-HUB Los Angeles, CA
		CHANGED:
		0906 Syracuse NY, Added AIR as acceptable Method of
		Transportation
Version 1.0		APPENDIX V - HTS Numbers that Cannot Be Reported in AES
July 27, 2006	A	Updated for July 2006 as follows:
		ADDED:
		2401.10.6560 2716.00.0000
		4407.91.0022
		4407.91.0063
		4407.92.0000
		4407.99.0005
		4407.99.0011
		4407.99.0013
		4407.99.0019
		4407.99.0022
		4407.99.0042
		4407.99.0052
		4407.99.0059
		4407.99.0061
		4407.99.0063
		4407.99.0072
		4408.90.0105
		4408.90.0110 4408.90.0115
		4408.90.0113
		4408.90.0121
		4408.90.0137
		4408.90.0145
		4408.90.0151
		4408.90.0156
		4408.90.0161
		4408.90.0166
		4408.90.0171
		4408.90.0176
		4408.90.0181
		4408.90.0187
		4408.90.0195
***		8803.90.9015
Version 1.0		APPENDIX A – Commodity Filing Response Messages
June 22, 2006	A	Response Code 669 LICENSE CODE CANNOT BE USED FOR ADD
	A	Res ponse Code 670 LICENSE CODE CORRECTION MISMATCH

	Type of	
Version/Date	Change	Location and Description
		APPENDIX D – Export Port Codes
	C	1119 Allentown, Pa added (Lehigh Valley Int'l Airport) to description
	C	1181 Allentown-Bethlehem, Pa added (Easton Airport) to description
	D	1481 New River Valley Airport, VA changed to read:
	A	1412 New River Valley Airport, VA
	_	
	D	3402 Noyes, MN (Deactivated effective July 10, 2006)
Version 1.0		APPENDIX A – Commodity Filing Response Messages
June 6, 2006	A	Response Code 057 HEADER FILER ID MUST BE NUMERIC
	A	Response Code 058 TRAILER FILER ID MUST BE NUMERIC
	A	Response Code 059 HEADER USPPI ID MUST BE E, D, S, T
	A	Response Code 060 TRAILER USPPI ID MUST BE E, D, S, T
	A	Response Code 423 USPPI IRS ID MUST BE NUMERIC
	A A	Response Code 424 USPPI IRS ID TYPE MUST BE E OR S  Response Code 425 FWRD A CT DA RTY ID MUST BE NUMERIC
	A	Res ponse Code 425 FW RD AGT PARTY ID MUST BE NUMERIC Res ponse Code 426 FW RD AGT ID TYPE MUST BE E, D OR S
	A	Response Code 420 FW RD AOT ID TTPE MOST BE E, DOK S
		APPENDIX D – Export Port Codes
	D	4108 Ashtabula, OH (Deactivated)
	D	4109 Conneaut, OH (Deactivated)
	D	4111 Fairport Harbor, OH (Deactivated)
		Replaced by 4122 Ashtabula/Conneaut, OH
Version 1.0		APPENDIX D – Export Port Codes
May 22, 2006	C	3005 Bellingham, WA Added AIR as acceptable Method of Transportation
,		3203 Kahului, HI Added AIR as acceptable Method of Transportation
	C C	5101 Charlotte Amalie, VI Added AIR as acceptable Method of Transportation
		•
		Name changed:
	C	3385 Eagle County Regional Airport - Changed name from Eagle County User
		Fee Airport
	n	The following port is deactivated:
	D	4170 Burlington Air Express, OH (Deactivated)
Version 1.0		APPENDIX D – Export Port Codes
May 12, 2006	C	0101 Portland, ME Added AIR as acceptable Method of Transportation
,,		1002 Albany, NY Added VESSEL as acceptable Method of
	Č	Transportation
	C	1101 Philadelphia, PA Added AIR as acceptable Method of Transportation
	C C C C	1103 Wilmington, DE Added AIR as acceptable Method of Transportation
	C	1601 Charleston, SC Added AIR as acceptable Method of Transportation
	C	2301 Brownsville, TX Added FIXED as acceptable Method of
	Č	Transportation
		2604 Nogales, AZ Deleted FIXED as acceptable Method of
		Transportation
	D	3202 Hilo, HI Added AIR as acceptable Method of Transportation
	D	
	D	The following ports are not active:
		2981 Kingsley Field, OR (Deactivated)
		2982 Rogue Valley/Medford, OR (Deactivated)
		2991 Federal Express, Portland, OR (Deactivated)

Version/Date	Type of Change	Location and Description
Version 1.0 April 24, 2006		APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines Table A: Department of Commerce/Bureau of Industry and Security (BIS) License Type Codes.
	С	License Code 39 Computers (CTP) Part 740.7 renamed as License Code C53 Computers (APP) Part 740.7.
	A	License Code C53 Computers (APP) Part 740.7
		Adjusted Peak Performance (APP) replaces Composite Theoretical Performance (CTP).
	A	AES will continue to allow changes/replacements/cancellations of previously accepted shipments filed under License Exception CTP, License Code C39. However, all new shipments made under this license exception must be reported as License Code C53 with Authorization Symbol APP.  License Code C54 Short Supply -Western Red Cedar (SS-WRC) Part 754.4
	A A	License Code C55 Short Supply - Crude Oil Samples (SS-SAMPLE) Part 754.2
	A	License Code C56 Short Supply – Strategic Petroleum Reserves (SS-SPR) Part 754.2
Version 1.0 April 12, 2006	С	APPENDIX D – Export Port Codes 2719 Morrow, CA corrected to read 2719 Morro Bay, CA
Version 1.0 March 22, 2006	D	APPENDIX D – Export Port Codes 2783 So. Cal. Logistics Airport, CA (Deactivated)
Version 1.0 March 16, 2006	A	APPENDIX A – Commodity Filing Response Messages Response Code 645 QUANTITY2 NOT REQUIRED BY SCHED B/HTS Informational message returned when a value is reported in the second quantity field and the Schedule B/HTS classification does not require a second quantity.
Version 1.0 March 9,2006	С	APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines  Table A: Department of Commerce/Bureau of Industry and Security (BIS)  License Type Codes.  License Code C33 – Description modified for clarification to include the following:  Use C33 and report the ECCN if the commodity is controlled ONLY for Anti-Terrorism.
Version 1.0 March 3, 2006	D	APPENDIX D – Export Port Codes 4191 Airborne Express Courier, OH (Deactivated)
Version 1.0 February 1, 2006	A	APPENDIX A – Commodity Filing Response Messages Response Code 855 FOREIGN ORIGIN VERSUS IN-TRANSIT Correction to X.12 Segment ID/Data Elements Reads: L1301 Corrected to Read: X109
Version 1.0 January 24, 2006	A	APPENDIX A – Commodity Filing Response Messages Response Code 855 FOREIGN ORIGIN VERSUS IN-TRANSIT Verify this is not an in-transit shipment.

	Type of	
Version/Date	Change	Location and Description
Version 1.0 January 13, 2006	A	APPENDIX V –HTS Numbers that Cannot Be Reported in AES Updated for January 2006 as follows: ADDED: 0813.40.3000
	D D D	DELETED: 2401.10.6560 2716.00.0000 8803.90.9015
Version 1.0 January 11, 2006	D	APPENDIX A – Commodity Filing Response Messages Response Code 549 CNTY MUST BE CU FOR CUBAN AGR EXEMPTN has been removed and included in new Response Code 667
	A A A	Res ponse Code 666 ECCN MUST BE FROM APPROVED LIST Res ponse Code 667 COUNTRY NOT ALLOWED FOR LICENSE TYPE Res ponse Code 668 EAR99 CANNOT BE REPORTED (Not currently active)
	A	APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines C52 United States Persons in Libya (USPL) Part 740.19
		Permits the export and re-export to U.S. persons in Libya of certain items listed on the Commerce Control List controlled for anti-terrorism (AT) reasons only.
Version 1.0 December 15, 2005	A	APPENDIX B – Transportation Filing Response Messages Fatal Error 133 INVALID EXPORT INFORMATION CODE
	A A A	APPENDIX D – Export Port Codes  1887 Leesburg Regional Airport, FL  3325 Willow Creek, Havre, MT  5381 Sugar Land Regional Airport, TX  AIR only
Version 1.0 December 5, 2005	A A	APPENDIX D – Export Port Codes 5273 UPS Miami Airport, FL AIR only 5298 IBC Courier Hub, Miami, FL AIR only
Version 1.0 December 3, 2005	A	APPENDIX A – Commodity Filing Response Messages Undetermined Conditions Response Code 996 NON-STANDARD DATA FOUND: -nnnnnn/nn- Informational Response Code 996 will be generated and returned in an ES1 record with Response Code 997, Filing Contains Non-Standard Data, to identify where the first occurrence of non-standard data has been encountered.
Version 1.0 November 22, 2005		APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines Table A: Department of Commerce/Bureau of Industry and Security (BIS) License Type Codes.
	C	License Code C32 - Description changed to read: "No License Required (NLR) Part 758. Those items which are covered by entries on the Commerce Control List that have a reas on for control other than or in addition to Anti-Terrorism(AT)."
Version 1.0		Part 1. AES GENERAL INFORMATION

Voysian/Data	Type of	I coation and Decemention
Version/Date November 15,	Change	Location and Description Section 3. Participating in AES
2005		Letter of Intent (LOI) For the Commodity Module
2000	D	Removed "Look-a-Like Remote to copy" (as provided by vendor)
		Letter of Intent (LOI) For the Vessel Transportation Module
	D	Removed "Look-a-Like Remote to copy" (as provided by vendor)
	С	Changed mailing address for Vessel Module Letter of Intent to read:
		Customs and Border Protection
		Client Representative Branch
		Attn: Beauregard, A-314
		6781 Boston Blvd
		Springfield, VA 22153
		or FAX to: 703-650-3538
Version 1.0		APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines
October 26, 2005		Table A: Department of Commerce/Bureau of Industry and Security (BIS)
		License Type Codes.
		Excense Type Codes.
	C	License Code C32 - Description changed to read:
		No License Required (NLR), Part 758
		Used if NS/Column 2 is one of the reasons for control.
Version 1.0		APPENDIX F - License and License Exemption Type Codes and Reporting
October 21, 2005		Guidelines
		Table A: Department of Commerce/Bureau of Industry and Security (BIS)
		License Type Codes.
	C	License Code C32 - Description changed to read "No License Required (NLR),
		EAR Part 758, requiring ECCN
		, I S
Version 1.0		Letter of Intent (LOI) Form – Vessel Transportation Data
October 18, 2005	C	Change of Address as follows:
		Phone 703-650-3500
		Fax 703-650-3538
		Address: Customs and Border Protection
		Client Rep Branch
		Attn: Beauregard, A-314
		6781 Boston Blvd
		Springfield, VA 22153
		APPENDIX D – Export Port Codes
		The following port codes have been disabled and removed:
	D	3601 Duluth, MN
	D	3602 Ashland, WI
	D	3608 Superior, WI
	D D	3903 Omaha, NE 3907 Des Moines, IA
	D	4197 DHL, Cincinnati, OH
	D	5107 St. Croix, VI
		The following port codes have been added:

Version/Date	Type of Change	Location and Description
VCI SIOII/Date	A	3510 Duluth, MN – Superior, WI Acceptable MOT: Vessel and Air
	A	3511 Ashland, WI Vessel
	A	3511 Ashland, WT 3512 Omaha, NE Air
	A	3513 Des Moines, IA Air
	A	3313 Des Mollies, IA
	C	5104 Christiansted, VI Added AIR as acceptable MOT
		The following previously disabled port codes have been removed from the Appendix D: 3904 East Chicago, IL - disabled 05/04 3906 Ohara Int'l Airport, IL - disabled 05/04 4182 Rickenbacker Airport, OH - disabled 09/04
Version 1.0		APPENDIX A – Commodity Filing Response Messages
October 1, 2005	C	Res ponse Code 076 USPPI CHANGED POST DEPARTURE
		Change to allow the USPPI to be corrected post departure if necessary.
		However, a compliance alert will be generated when the USPPI information is
		changed post departure.
Version 1.0		Part II Section 1(a)
September 16, 2005		Record Layout Key
		Class
	$\mathbf{A}$	Added text to clarify the acceptability of data values.
		Characters A through Z (uppercase ONLY)
		Character found on a standard key board are accepted:
		! @ #\$ % ^ & * ( ) = + [ { ] } \   ; : ` ", < . > \ ? ` ~ ¢
		Input Record Structure Map
		Transmission Notes
	A	Added a bullet as follows: Transmit ONLY displayable characters found on a
		standard keyboard. Do not transmit low-values, carriage return characters, or any
		other non-standard character.
		other non-standard character.
		Commodity Line Item Reporting
	C	Change shipping weight for AIR shipments from 105,000 kilograms to 120,000
		kilograms.
		APPENDIX A – Commodity Filing Response Messages
		Undetermined Conditions
	A	Response Code 997 FILING CONTAINS NON-STANDARD DATA
Version 1.0	/A	
		Part II Section 3 – AES Transportation Data Transactions – Proprietary Record Formats
August 15, 2005		
		Effective 8/17/2005: Optional Carrier Assigned Number added for Carrier's use.
		There is not editing and the number is returned as received.
		Manifest (M01) Record
	A	Carrier Assigned Number Length/Class: 23 AN Positions: 58-80
		Optional
		Dout II Cooking 4 ADC Transported on D-4- Transport V 10 E
		Part II Section 4 – AES Transportation Data Transactions – X.12 Formats
	A	309 CBP Manifest (Booking)  Coming Assigned Number M1012 Pacition at 1/22 AN Optional
		Carrier Assigned Number M1012 Positions: 1/23 AN Optional
		200 CDD W 'S (D (1)
	A	309 CBP Manifest (Postdeparture)
		Carrier Assigned Number M1012 Positions: 1/23 AN Optional
	۱ .	200 CDD Manifest (Danier of Danier)
	A	309 CBP Manifest (Receipt of Booking)

Version/Date	Type of Change	Location and Description
		Carrier Assigned Number M1012 Positions: 1/23 AN Optional
	A	353 CBP Event Advisory Details Carrier Assigned Number M1012 Positions: 1/23 AN Optional
	A	355 CBP Manifest Acceptance/Rejection Carrier Assigned Number M1012 Positions: 1/23 AN Optional
	A	APPENDIX D – Export Port Codes 4194 DHL Express, Wilmington, OH Valid for AIR
Version 1.0 July 28, 2005	A	APPENDIX V –HTS Numbers that Cannot Be Reported in AES Updated for July 2005 as follows: ADDED: 2401.30.2750
	D	DELETED: 0207.14.0020 0207.27.0020 2401.30.2725 8411.99.1080 9027.80.4530
Version 1.0 July 12, 2005	С	Part II Section 3 – AES Transportation Data Transactions – Proprietary Record Formats  Manifest (M01) Record  Voyage Number  Added note: The Voyage Number cannot be reused within one year. If the carrier attempts to reuse the number, AES will reject with fatal condition 687.
	С	Part II Section 4 – AES Transportation Data Transactions – X.12 Formats 309 CBP Manifest (Booking) M1006 Voyage Number Added note: The Voyage Number cannot be reused within one year. If the carrier attempts to reuse the number, AES will reject with fatal condition 687.
	C	APPENDIX D – Export Port Codes 3111 Fairbanks, AK Added AIR as acceptable MOT
	C C	3429 Crane Lake, MN Truck 3430 Lancaster, MN Truck (Correction to port code and state)
Version 1.0 June 12, 2005	A	Part II Section 1 – AES Commodity Data Transaction Proprietary Formats Commodity Shipment Response – Usage Notes, Part B – Response to a Proprietary Batch Component Added text to indicate the USPPI ID and USPPI ID Type for each and every 'block' within a rejected batch would be returned to the filer in an ES1 informational message.
	A	The Shipment Reference Number will be returned in an ES1 informational message for each and every shipment within a rejected batch or block.  Commodity Shipment Response – Usage Notes, Part C – Response to a Proprietary Block Component

	Type of	
Version/Date	Change	Location and Description
		Added text to indicate the Shipment Reference Number will be returned in an
		ES1 informational message for each and every shipment within a rejected block.
	A	ADDITION A CO. III FILL D. M.
	A	APPENDIX A – Commodity Filing Response Messages
		Response Code 055 USPPI ID/TYPE: - USPPI ID and ID TYPE -
Varaion 10		Response Code 056 SHIPMENT REF NBR: - Shipment Reference Number -
Version 1.0 May 16, 2005	A	APPENDIX A – Commodity Filing Response Messages Response Code 421 ULT CONS DATA ON FILE INCOMPLETE; RESEND
Way 10, 2003	A	Response Code 422 INT CONS DATA ON FILE INCOMPLETE; RESEND
Version 1.0	A	APPENDIX D – Export Port Codes
April 1,2005	A	Port Code 5297 Federal Express, Miami, FL Valid MOT: AIR
Version 1.0	А	The Data Entry Center (DEC) program was eliminated effective April 1,
March 31, 2005		2005.
Water 31, 2003		Part 1. AES GENERAL INFORMATION
		Section 1. The Automated Export System (AES)
	C	Background
	[	Commodity data Removed reference to 'data entry center'
	C	Section 2: Electronic Messaging in AES
		Commodity Data Removed reference to 'data entry center'
	C	Section 5: Using AES
		How AES Works Removed reference to 'data entry center'
		·
		Kimberley Process Certificate Number required for shipments of rough
		diamonds effective April 1, 2005.
		Part 1.AES GENERAL INFORMATION
		Section 1. The Automated Export System (AES)
	A	Background
		In early 2005, Added paragraph about rough diamond exports
	A	Commodity Data Filing Options
	A	Option 2 Added sentence about Kimberley Process Certificate
		Number Option 4 Added restriction for file a namely diamonds
	A	Option 4 Added restriction for filing rough diamonds
		Section 3. Participating in AES  Electronic commodity data filing using AES is mandatory Added rough
	C	diamonds
		to the list of shipments that must be filed through AES.
		Section 6. Commodity Data Elements
		Export License Number/CFR Citation/Authorization Symbol/KCP# Added
		the Kimberley Process Certificate Number (KCP#) to the name of this element.
		The state of this element
		Part II Section 1 – AES Commodity Data Transaction Proprietary Formats
		Part (a) Commodity Shipment Filing and Response
	C	Input Batch Control Header (Input A Record):
		Data Entry Center Indicator
		N=Filer is not claiming DEC privileges
		Y=Filer is claiming DEC privileges for shipment filed before April 1, 2005.
		3. Census eliminated the Data Entry Center Program effective April 1, 2005.
	_	However, an authorized DEC filer can make corrections to shipments filed before
	C	the April 1, 2005, cut-off date and still receive DEC-related privileges.
		Input Line Item Commodity Detail (Input CL2Record):
		Renamed data element to include the Kimberley Process Certificate Number
		Export License Number/CFR Citation/Authorization Symbol/KCP#
	C	

	Type of	
Version/Date	Change	Location and Description
	С	Commodity Shipment Filing – Usage Notes
		a) Grouping Commodity Shipments by USPPI
		Removed the reference to 'or DEC'
	C	d) Data Entry Center (DEC)
		Revised to reflect elimination of DEC filing privileges April 1,
		2005.
		e) Establishing a Commodity Shipment
		Mandatory Data Elements in an ADD Transaction
		Renamed data elements to include the KCP# as follows:
	C	Export License Number/CFR Citation/Authorization
	C	Symbol/KCP#
	Č	h) Party Reporting
		Forwarding Agent
		Reporting Requirements:
		A forwarding agent:
	C	Eliminated references to DEC filing
		Removed table (b)
		Renamed table (c) to read (b)
		l) Correcting Commodity Shipment Data
		Mandatory Data Elements in an Replace Transaction
	C	Renamed data elements to include the KCP# as follows:
		Export License Number/CFR Citation/Authorization
		Symbol/KCP#
		Symbol IXCI II
		Output Batch Control Header (Output A Record):
		Data Entry Center Indicator
		N=Filer is not claiming DEC privileges
		Y=Filer is claiming DEC privileges  Y=Filer is claiming DEC privileges for shipment filed before April 1, 2005.
		3. Census eliminated the Data Entry Center Program effective April 1, 2005.
		However, an authorized DEC filer can make corrections to shipments filed before
	C	the April 1, 2005, cut-off date and still receive DEC-related privileges.
		the ripin 1, 2003, cut off dute und sunfeccive Ble femiced privileges.
		Part II Section 1 – AES Commodity Data Transaction Proprietary Formats
		Part (b) Commodity Shipment Warning Reminder
		Line Item Commodity Detail (Output CL2 Record)
		Renamed data element to include the Kimberley Process Certificate Number as
		follows:
		Export License Number/CFR Citation/Authorization Symbol/KPC#
	C	
		Part II Section 2 – AES Commodity Data Transaction – X.12 Formats
		601 Shipper's Export Declaration (Commodity Shipment Filing)
		BA1 Export Shipment Identifying Information
		BA107
		Notes: Data Entry Center Indicator
	C	N=Filer is not claiming DEC privileges
		Y=Filer is claiming DEC privileges for shipment filed before April 1, 2005.
		Census eliminated the Data Entry Center Program effective April 1, 2005.
		However, an authorized DEC filer can make corrections to shipments filed before
	A	the April 1, 2005, cut-off date and still receive DEC-related privileges.
	D	X1 Export License
	-	X102 Export License Number
		Notes: Renamed to include the Kimberley Process Certificate Number (KPC#)
		1 (III OII)
	A	

	Type of	
Version/Date	Change	Location and Description
	A	APPENDIX A – Commodity Filing Response Messages
	A A	Effective April 1,2005, the Date Entry Center (DEC) program was eliminated.
	A A	Response Code 708 DEC PROGRAM ELIMINATED
	A	Response Code 067 DEC CANNOT FILE OPT 4 SHIPMENTS
	A	Tesponse code our blee critivo i i india vita vita
	1.	Kimberley Process Certificate Number (KPC#) Must be reported for
		shipments of rough diamonds (7102.10,7102.21,7102.31)
	A	Response Code 659 ECCN MUST BE 'EAR99' OR BLANK
	A	Response Code 660 'T12' REQUIRED FOR ROUGH DIAMONDS
	A	Response Code 661 SCHED B/HTS NBR NOT ALLOWED FOR LIC CODE
	A	Response Code 662 KPC NBR MUST BE AT LEAST 4 NUMERICS
	A	Response Code 663 KPC NUMBER CONTAINS NON-NUMERICS
	A	Response Code 664 KPC NUMBER CONTAINS LEADING SPACES
	A	Res ponse Code 665 KPC NUMBER MISSING
	A	Now religations on postal across
		New validations on postal codes Response Code 413 USPPI POSTAL CODE NOT IN EFFECT
		Response Code 414 USPPI POSTAL CODE NOT IN EFFECT
		Response Code 415 FW RD AGT POSTAL CODE NOT IN EFFECT
		Response Code 416 FW RD AGT POSTAL CODE NOT IN EFFECT
		Response Code 417 ULT CONS POSTAL CODE NOT IN EFFECT
		Response Code 418 ULT CONS POSTAL CODE NOT IN EFFECT
		Response Code 419 INT CONS POSTAL CODE NOT IN EFFECT
		Response Code 420 INT CONS POSTAL CODE NOT IN EFFECT
Version 1.0		APPENDIX A – Commodity Filing Response Messages
January 25, 2005	D	Response Code 645 QUANTITY2 NOT ALLOWED BY SCHED B/HTS
		Fatal error removed for this condition
		APPENDIX D – Export Port Codes
	C	Port Code 2015 Vicksburg, MS ADDED: Vessel as acceptable MOT
	Č	Port Code 2103 Orange, TX ADDED: Air as acceptable MOT
	C	Port Code 3206 Kailua-Kona, HI ADDED: Vessel as acceptable MOT and
		changed the name from Keahola Airport, HI to read Kailua-Kona, HI
Version 1.0		Part II Section 1 – AES Commodity Data Transaction Proprietary Formats
January 10, 2005		Input DDTC Detail (ODT Record) DDTC Registration Number
	C	Changed the Length/Class from 6 AN to 6 X to accommodate any special
		characters used in DDTC Registration Number
	١,	ADDENIDIV W. Unit of Magazina Codes
	A	APPENDIX K – Unit of Measure Codes Added 'PR' as an acceptable abbreviation for PAIRS
		Added The as an acceptable abbievianomion TAMOS
Version 1.0		APPENDIX V –HTS Numbers that Cannot Be Reported in AES
January 7, 2005		Updated for 2005 as follows:
, ,	A	ADD:
		1005.90.4060 7404.00.6020
		7404.00.3020 7404.00.6045
		7404.00.3045 7404.00.6055
		7404.00.3055 7404.00.6065
		7404.00.3065 7404.00.6090
		7404.00.3090
	D	DELETE:
		2710.11.1519
	L	8108.20.0091

	Type of	
Version/Date	Change	Location and Description
Version 1.0		APPENDIX B – Transportation Filing Response Messages
November 17, 2004	A	Response Code 688 Fatal Error CONTAINER NUMBER NOT ALLOWED
		If the shipment is identified as non-containerized, then a container number is not
		allowed to be reported.
Version 1.0	_	APPENDIX A – Commodity Filing Response Messages
October 20, 2004	C	Response Code 152 ENTRY NBR MUST BE AT LEAST 9 CHARS
T7 1 10		Change from 11 characters to allow 9 character entry number.
Version 1.0 October 7, 2004		Part II Section 1 – AES Commodity Data Transaction Proprietary Formats Commodity Shipment Filing – Usage Notes
October 7, 2004	C	h) Party Reporting – Intermediate Consignee
		Input N03 record: State Code
		Change to allow (but not require) the Mexican State Code to be reported if the
		Intermediate Consignee = Country Code MX (Mexico).
		( 1 1.7)
		APPENDIX A – Commodity Filing Response Messages
	A	Response Code 412 INT CONS STATEMUST BE A MX STATECD
Version 1.0		APPENDIX A – Commodity Filing Response Messages
October 4, 2004	A	Response Code 566 CNTY MUST BE KIMBERLEY PROCESS PRTCPNT
Version 1.0		APPENDIX A – Commodity Filing Response Messages
September 7, 2004	A	FAILURE TO RESPOND TO FATAL CONDITION MESSAGES OR
		OTHERWISE TRANSMIT CORRECTIONS TO AES CONSTITUTES A
		VIOLATION OF THE PROVISIONS OF THE FOREIGN TRADE
	A	STATISTICAL REGULATIONS.
	A	Response Code 653 DDTC LICENSE DISAPPROVED: <license code=""></license>
	A	Response Code 654 DDTC LICENSE SUSPENDED: <license code=""></license>
	A	Response Code 655 DDTC LICENSE RETURNED: <license code=""></license>
	A	Response Code 656 DDTC LICENSE REVOKED: <license code=""></license>
	A	Response Code 657 DDTC LICENSE WITHDRAWN: <license code=""></license>
		Response Code 658 DDTC LICENSE EXPIRED: <license code=""></license>
		Text changed to highlight the importance of resolving fatal conditions and
	C	retransmitting
	C C	corrected batches, blocks and shipments.  Response Code 960 BATCH REJECTED; RESOLVE AND RETRANSMIT
		Response Code 960 BATCHTREJECTED, RESOLVE AND RETRANSMIT  Response Code 965 BLOCK REJECTED; RESOLVE AND RETRANSMIT
		Response Code 970 SHIPMENT REJECTED; RESOLVE & RETRANSMIT
Version 1.0		APPENDIX B – Transportation Filing Response Messages
September 1, 2004	C	Response Code 625 FATALERR – INVALID BILL OF LADING COUNT
_		Correcting keying error (Read 626, should read 625)
	l .	APPENDIX D – Export Port Codes
	A	Port Code 2304 Laredo, TX ADDED: Fixed Transport as acceptable mode of
	A	transport.
	D	Port Code 3385 Eagle County User Fee Airport Valid MOT = AIR Port Code 4182 Disabled effective 9/1/2004
		1 Off Code +102 Disabled effective 9/1/2004
	D	APPENDIX V – HTS Numbers that Cannot Be Reported in AES
	"	DELETED: 0304.10.4062
		1005.90.4000
		1209.29.0079
		1701.99.1000
	A	1701.99.5000
		ADDED: 1005.90.4040

	Type of	
Version/Date	Change	Location and Description
		1209.29.9079
		1602.31.0040
		1701.99.1010 1701.99.1090
		1701.99.1090
		1701.99.5090
		3901.10.1000
Version 1.0		APPENDIX F – License and License Exemption Type Codes and Reporting
August 2, 2004		Guidelines
	C	Added MS and GS as Export Information Codes allowed for License Code S05
Version 1.0		APPENDIX A – Commodity Filing Response Messages
July 30, 2004	D	Response Code 593 HH GDS NOT PERMITD/DEC FILER
		Requirement change to allow DEC filer to file household goods shipments.
	A	Response Code 651 VEHICLE INFO REQ FOR EI CD
		If the Export Information Code is declared as 'HV' then the input EV1 record is required.
Version 1.0		Part II Section 3 – AES Transportation Data Transactions – Proprietary
July 8, 2004		Record Formats
0.013 0, 200 .		Party Profile City/State/Country (N03) Record
	C	Description for State, Country and Zip Code modified to reflect the following
		requirements:
		State code is required for Shipper and Carrier Customer when Country is US,
		MX or CA.
		State is not required for Consignee and Ultimate Consignee.
		State must be a valid STATE for Shipper, Carrier Customer, Consignee, Ultimate Consignee and Intermediate Consignee when Country is US, MX or CA.
		Valid ISO Country code is required for Shipper, Carrier Customer, Consignee,
		Ultimate Consignee and Intermediate Consignee.
		Postal Code is required for Shipper or Carrier Customer when Country is US.
		Postal Code is not required for Consignee and Ultimate Consignee.
		Part II Section 4 – AES Transportation Data Transaction – X.12 Formats
		309 U.S. Customs Manifest (Booking)
	C	309 U.S. Customs Manifest (Manifest)
		N4 Geographic Location
		N402, N403 and N404 descriptions for State, Country and Zip Code modified to
		reflect the following requirements: State code is required for Shipper and Carrier Customer when Country is US,
		MX or CA.
		State is not required for Consignee and Ultimate Consignee.
		State must be a valid STATE for Shipper, Carrier Customer, Consignee, Ultimate
		Consignee and Intermediate Consignee when Country is US, MX or CA.
		Valid ISO Country code is required for Shipper, Carrier Customer, Consignee,
		Ultimate Consignee and Intermediate Consignee.
		Postal Code is required for Shipper or Carrier Customer when Country is US.
	D	Postal Code is not required for Consignee and Ultimate Consignee.
	ע	APPENDIX A – Commodity Filing Response Messages
		Removed Response Code 179 COUNTRY NOT ALLOWED; OPT 4
		······································
Version 1.0		Part II Section 2 – AES Commodity Data Transaction – X.12 Formats
June 28, 2004		824 Application Advice (Commodity Shipment Filing Response)
	C	ISA12 corrected typo 'publication'
	C	ISA16 Changed colon to tilde

Version/Date	Type of Change	Location and Description
	9	•
	С	APPENDIX B – Transportation Filing Response Messages Response Code 655 BOOKING NOT SENT PRIOR TO MANIF Changed from Warning to Fatal. The booking record must be sent prior to the Bill of lading.
	C	APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines Change to allow all Modes of Transport, including fixed pipeline shipments, under License Codes C30 and C31.
	C	Appendix U – HTS/Schedule B Classifications Requiring Used Vehicle Reporting (Input EV1 Record)  Added comments to indicate that the nine classifications marked in bold require the EV1 record. The other classifications listed will allow an EV1 record to be reported. If the classification is not one of those on this list, an EV1 record is not allowed to be reported.
Version 1.0 June 10, 2004		Part II Section 2 – AES Commodity Data Transaction – X.12 Formats 601 Shipper's Export Declaration (Commodity Shipment Filing) Introduction:
	A	Notes:  "Also note that each BA1 loop must be preceded by an ST segment and followed by an SE segment."  BA1 Export Shipment Identifying Information Notes:
	A	"Each BA1 loop must be preceded by an ST segment and followed by an SE segment."  L13 Commodity Details
	A	L1302 Commodity Code Added a reference to "Appendix U – HTS/Schedule B Classifications Requiring Used Vehicle Reporting"
Version 1.0 June 2, 2004		Part II Section 1 – AES Commodity Data Transaction Proprietary Formats Commodity Shipment Filing – Usage Notes f) Reporting Transportation Related Data Elements
	A	Added table for Passenger, Hand-Carried shipments
	A	g) Shipments Between the US and Puerto Rico Added tables for Passenger, Hand-Carried shipments from Puerto Rico to the US and for Passenger, Hand-Carried shipments from the US to Puerto Rico
	C	APPENDIX A – Commodity Filing Response Messages Response Code 137 PORT OF EXPORT NOT ALLOWED FOR MOT Added 'Passenger, Hand-Carried' as an acceptable mode of transport for shipments from Puerto Rico to the US.
	A	Res ponse Code 179 COUNTRY NOT ALLOWED; OPT 4 Added to prohibit Option 4 USPPIs from reporting shipments to a proscribed country.
Version 1.0		APPENDIX D – Export Port Codes
May 26, 2004	C	0401 Boston, MA ADDED: AIR as an acceptable mode
Version 1.0 May 20, 2004	A	Appendix U – HTS/Schedule B Classifications Requiring Used Vehicle Reporting (Input EV1 Record)

	Type of	
Version/Date	Change	Location and Description
		Added references to this new Appendix U in the AES General Information and in
		the AES Record Formats Section 1 (a) Commodity Shipment Filing and
Varsion 10		Response
Version 1.0 May 6, 2004	C	APPENDIX D – Export Port Codes 0102 Bangor, ME ADDED: AIR as an acceptable mode
Version 1.0	C	Part II Section 1 – AES Commodity Data Transaction Proprietary Formats
April 30, 2004		Input SC3 Record/Equipment Number
11pm 30, 2001	A	Added 'no imbedded spaces' to the description
		Input SC3 Record/Seal Number
	A	Added 'no imbedded spaces' to the description
		Part II Section 2 – AES Commodity Data Transactions – X.12 Formats
	A	Added a reference to refer to "Appendix F – License and License Exemption
		Type Codes and Reporting Guidelines"
		APPENDIX A – Commodity Filing Response Messages
		Response Code 263 USPPI IRS NBR NOT ALLOWED
		This message will occur in X.12, therefore the X.12 Segment ID/Data Elements
		have been restated as follows:
	C	X.12 Segment ID/Data Elements: BA 109; when N103 = 24, 34 or ZZ, and N101
		= EX
Version 1.0		Part II Section 1 – AES Commodity Data Transaction Proprietary Formats
April 20, 2004	C	Input N01 Record/Party Name Changed the Length/Class from 30AN to 30X to accommodate any special
		characters used in the party name.
		Input N02 Record/Address Line 1 and Address Line 2
	C	Changed the Length/Class from 32AN to 32X to accommodate any special
		characters used in the address lines.
		Input CL1 Record/Commodity Description
	C	Changed the Length/Class from 45AN to 45X to accommodate any special
		characters used in the description.
		APPENDIX D. Evnort Port Codes
	D	
	D	
Version 1.0	C	Effective Date changed from April 2004 to June 2004
April 7, 2004		_
	A	
Version 1.0		
17141011 25,2007	A	
	A	Response Code 684 FATALERR - UNMATCHED BOOKING IN A01 AND
	A	B02
	A	Res ponse Code 685 FATALERR – ESTIMATED DEPARTURE DATE
	A	REQUIRED
	A A A A	APPENDIX D - Export Port Codes 3904 East Chicago, IN Vessel (Disabled May 1, 2004) 3906 O'Hara IntLAirport Air (Disabled May 1, 2004)  Fifective Date changed from April 2004 to June 2004  Part II Section 1 - AES Commodity Data Transaction Proprietary Formats Input CL2 Record/ Export License/CFR Citation/Authorization Symbol Added a reference to refer to "AppendixF - License and License Exemption Type Codes and Reporting Guidelines"  APPENDIX B - Transportation Filing Response Messages The following messages were inadvertently missing and are being reinstated: Response Code 009 FATALERR - NOT AUTHORIZED TO TRANSMIT Response Code 684 FATALERR - UNMATCHED BOOKING IN A01 AND B02 Response Code 685 FATALERR - ESTIMATED DEPARTURE DATE

	Type of	
Version/Date	Change	Location and Description
Version 1.0	U	APPENDIX A – Commodity Filing Response Messages
March 17, 2004		Response Code 139 PORT OF UNLADING CANNOT BE USED
	C	Correction in the Resolution to include Port of Unlading code '92002'
Version 1.0		APPENDIX A – Commodity Filing Response Messages
February 27, 2004		Correcting wording only for the following:
	_	Response Code 032 HEADER USPPI ID INELIGIBLE
	C	Resolution: Themust not contain
	C	Response Code 175 FLY-AWAYMUST BE MOT = 40
	C	Reason: The Carrier IDis other than non-containerized Air (MOT 40)
		Reason Text changed for the following:
	С	Response Code 034 HEADER USPPI EIN LOCATION INVALID
	C C C	Response Code 035 TRAILER USPPI EIN LOCATION INVALID
	Č	Response Code 036 HEADER USPPI LOCATN NOT ALLWED FOR TYPE
	Č	Response Code 037 TRAILER USPPI LOCATN NOT ALLWED FOR TYPE
	C C	Response Code 269 FWRD AGT EIN LOCATION INVALID
	C C	Response Code 270 FW RD AGT LOCATION NOT ALLOWED FOR TYPE
	C	Response Code 307 ULT CONS EIN LOCATION INVALID
	C	Response Code 310 ULT CONS LOCATION NOT ALLOWED FOR TYPE
	C	Response Code 367 INT CONS EIN LOCATION INVALID
	C	Response Code 370 INT CONS LOCATION NOT ALLOWED FOR TYPE
		X.12 Segment ID/Data Elements changed for the following because these messages will not occur in X.12: Response Code 009 FILER ID TYPE/PROFILE CONFLICT Response Code 229 SOLD EN ROUTE NOT APPLICABLE FOR PARTY Response Code 230 IRS ID TYPE NOT APPLICABLE FOR PARTY Response Code 231 IRS ID NBR NOT APPLICABLE FOR PARTY Response Code 231 IRS ID NBR NOT APPLICABLE FOR PARTY Response Code 232 USPPI MUST MATCH BLOCK USPPI Response Code 258 USPPI IRS ID TYPE MUST BE E OR S Response Code 259 USPPI IRS ID TYPE NOT ALLOWED Response Code 261 USPPI IRS NBR MUST BE NUMERIC Response Code 361 ULT CONS CNTCT LAST NAMENOT ALLOWED Response Code 405 INT CONS CNTCT LAST NAMENOT ALLOWED Response Code 505 VALUE OF GOODS CONTAINS NON-NUMERICS Response Code 507 QUANTITY (1) CONTAINS NON-NUMERICS Response Code 508 QUANTITY (2) CONTAINS NON-NUMERICS
Version 1.0		Part 1 AES General Information
February 24, 2004		Changed 'working days' to read 'calendar days'
	C	Page 9 Option 4 allowsno later than ten (10) calendar days from the date of
	C	exportation.  Page 17 Warning correction to commodity data within four calendar days.
		1 ago 17 11 anning confection to containoutly data within four calcilua days.
Version 1.0 February 18, 2004	С	APPENDIX A – Commodity Filing Response Messages Response Code 177 ORIG TO BE LODGD W/CBP: <license number="" type=""> Reason: The DDTC S05 License has been accepted and the filer is instructed to present the original license to CBP prior to export.</license>
Version 1.0		APPENDIX A – Commodity Filing Response Messages

Version/Date	Type of Change	Location and Description
February 6, 2004	Change	Res ponse Code 074 EXTRANEOUS DATA CANNOT FOLLOW FOR
1 00100019 0,200	C	DELETE
		X.12 Segment ID/Data Elements: Will not occur in X.12
	C	Res ponse Code 093 EXTRANEOUS DATA CANNOT FOLLOW FOR
		CANCEL X.12 Segment ID/Data Elements: Will not occur in X.12
		MIZ Segment D/Data Hements. Will not seem in 18.12
	A	APPENDIX D – Export Port Codes
		5204 West PalmBeach, FL AIR
Version 1.0		APPENDIX V – HTS Numbers that Cannot Be Reported in AES
January 7, 2004	A	1005.90.4000
•	A	2710.11.1519
	A	8108.20.0091
Version 1.0		APPENDIX E – Commodity Filing Export Information Codes and
December 12, 2003		Reporting Guidelines
	D	Table C: EI Codes No Longer Accepted by AES
		EIC 'DD' removed
	A	Table B: Applicable El Codes for Optional SED Reporting
	'-	EIC 'DD' added
		APPENDIX F – License and License Exemption Type Codes and Reporting
		Guidelines
	A	Add 'DD' to the Allowed Export Info Codes under License Codes C33,C35,
		C36, C37, C40, C42, and OPA
Version 1.0 November 3, 2003		Proprietary Record Formats Commodity Shipment Filing and Response
140 vember 3, 2003		Commonly Simplified Firing and Response
		Clarified the size of the transmission:
		Under the Input Record Structure Map Transmission Notes:
	C	A single transmission cannot exceed 32,000 80-character images (i.e.,
		2,560,000 bytes)
	A	bytes). Under the Output Record Structure Map
		Transmission Notes:
		A single returned batch shall not exceed 32,767 80-character images (i.e., 2,621,360
		bytes.)
	A	Clarified the reporting requirements when reporting party address in Puerto Rico:
		h) Party Reporting
	C	When reporting a party address in Puerto Rico, report 'PR' as the State Code and 'US' on the Country Code.
		'US' as the Country Code. USPPI party reporting table, Forwarding Agent party reporting tables, Ultimate
		Consignee party reporting tables, Intermediate Consignee reporting party tables.
	D	APPENDIX A – Commodity Filing Response Messages

Variation (Date	Type of	I
Version/Date	Change D	Location and Description
	D	Response Code 255 USPPI POSTAL CODE UNKNOWN
	D	Response Code 296 FWRD AGT POSTAL CODE UNKNOWN
	D	Response Code 339 ULT CONS POSTAL CODE UNKNOWN
	C	Response Code 399 INT CONS POSTAL CODE UNKNOWN
	C	Response code 377 INT CONSTOSTALEODE CININOWN
		Response Code 408 FWRD AGT PARTY ID REPORTED W/O TYPE
	C	Reason and Resolution changed.
		Response Code 528 VALUE OF GDS MUST BE GT ZERO
	A	Narrative Text, Reason and Resolution changed.
	A	
	A	Response Code 647 LINE NBR CANNOT BE ZERO
	A	Res ponse Code 648 MOT NOT ALLOWED FOR HH GDS
	A	Response Code 649 QUANTITY 1 CANNOT EXCEED SHPING WGT
		Res ponse Code 650 QUANTITY 2 CANNOT EXCEED SHPING WGT
		Response Code 998 UNIDENTIFIED CONDITION: <condition code=""></condition>
Version 1.0/ October		Proprietary Record Formats
1, 2003		Commodity Shipment Filing and Response
	C	SC2 Decord Moved Footnote 2 from the Entry Number to the Foreign Trade
	C	SC2 Record: Moved <b>Footnote 3</b> from the Entry Number to the Foreign Trade Zone Identifier.
		Zone identiner.
		APPENDIX A – Commodity Filing Response Messages
	A	Response Code: 178 DOCS TO BE PRVD TO CBP: <license< th=""></license<>
	A	Type/Number> Severity: Informational
	C	Response Code: 341 ULT CONS MUST BE REPORTED WITHIN 4 DAYS
		Severity changed from FATAL to WARNING
	C	Response Code: 063 RQSTD SHPMNT ACTN MUST BE A, C, R, OR X
		Note: Text change only to avoid truncating message.
		Descriptions shaped in the fellowing masses and to in-lade and like a second
		Descriptions changed in the following messages to include condition even when the actual transaction is rejected because of some other fatality.
		the actual transaction is rejected because of some other fatality.
	C	702 LINE ACTIVITY ADD POST DEPARTURE
	C	703 LINE ACTIVITY DELETE POST DEPARTURE
	Č	703 LINE ACTIVITY DELETE FOST DEPARTURE  704 LICENSE TYPE CHANGE POST DEPARTURE
	C C	704 LICENSE THE CHANGE FOST DEFARTURE  705 LICENSE NUMBER CHANGE POST DEPARTURE
	C	703 LICENSE NOMBER CHANGE POST DEPARTURE  706 LICENSABLE VALUE CHANGE POST DEPARTURE
	C	700 LICENSABLE VALUE CHANGE POST DEPARTURE 707 USED VEHICLE(S) CHANGE POST DEPARTURE
		101 COLD VIZITELIA) CITANOLI ODI DELANI UNE
	D	Res ponse Code: 079 DUPLICATE LINE NBR ENCOUNTERED
		Decrease Co. Le 150 INDOND CODE UNIVAVOVAL
	C	Res ponse Code: 150 INBOND CODE UNKNOWN Note: Text change only
		Note. Tem Changeomy

	Type of	
Version/Date	Change	Location and Description
		APPENDIX C – ISO Country Codes
	D	·
	$\mathbf{A}$	Yugoslavia (YU)
		Serbia and Montenegro (CS)
		APPENDIX D - Export Port Codes
	A	-
	$\mathbf{A}$	1002 Albany, NY AIR
	A	4671 Federal Express, Newark, NJ AIR
	A	4770 Federal Express, Jamaica, NY AIR
		5107 St. Croix, St. Thomas, VI VESSEL and AIR
	D	
	D	1068 Newark FEDEX ECCF, Newark, NJ
		1079 Federal Express, Jamaica, NY
	C	
		2809 San Francisco, CA VESSEL only
		·
	C	Modified name only:
	C	1195 UPS, Philadelphia, PA
	C	2095 Federal Express, Memphis, TN
	C	2770 DHL, Los Angeles, CA
	C	2795 UPS, Ontario, CA
	C C C C C	2870 DHL, San Francisco, CA
	C	2895 Federal Express, Oakland, CA
	C	2991 Federal Express, Portland, OR
	C	3071 UPS, Seattle, WA
	C	3072 DHL, Seattle, WA
		3095 UPS, Seattle, WA
	C	3195 Federal Express, Anchorage, AK
		3196 UPS, Anchorage, AK
	C	3295 UPS, Honolulu Airport, HI
	C	4196 UPS, Louisville, KY
	C	4197 DHL, Cincinnati, OH
	C	4198 Federal Express, Indianapolis, IN
	C	4670 UPS, Newark, NJ
	C	4772 DHL, Jamaica, NY
	C	5271 DHL, Miami, FL
		5295 UPS, Miami Intl Airport, FL
		·
		APPENDIX F - License and License Exemption Type Codes
	C	Correcting a typing error only:
		License Code: C47
		Export License Nbr/CFR Citation/Authorization Symbol: Report 'APR'
		The state of the s
L		

Change Types:  $\mathbf{A} = \text{Add}$ ,  $\mathbf{C} = \text{Change}$ ,  $\mathbf{D} = \text{Deletion}$