

CBP Export Manifest Appendix I

November 2020



U.S. Customs and
Border Protection



Appendix I – Reference & Exemption Codes – Exports

Reference Codes

N901	CENSUS CITATIONS	DESCRIPTIONS	N902
CSK			Report the foreign Port of Unlading. It is 5 numeric characters found in Census Schedule K
CUB			Report the bill of lading number of the original ACE waterborne ocean who initially imported the cargo into the U.S.
FEN		Format: FEN*yyppbbbbddddd	used to report Mexican pedimanto number
IB		Example: IB*123456-SCAC12345678 Or IB*123456789	Report the inbond number of the shipment being exported by the ocean carrier. When reporting inbond and bill number together separate inbond and bill number with hyphen
ITN		Example: ITN*X20160621123456	Report the ITN associated with the shipment
OB			Report the ocean bill of lading number or master airway bill number
OL			Report the original bill of lading number in cases where the original shipment is split within the same vessel

Exemption Codes

N901	CENSUS	DESCRIPTIONS	N902
PDF		Used to report post departure filing. Example: PDF*12345678900	Report USPPID approved by Census for post departure filing
AED		Used to report AES Downtime Example: AED*12345678901/01/2010	Report the time attempted to submit the commodity filing in the format Filer ID mm/dd/yyyy
36A	15 CFR 30.36(a)	Exemption for Shipments to Canada	
37A	15 CFR 30.37(a)	Exemption for commodities where the value of the commodities shipped from one USPPI to one consignee on a single exporting conveyance, classified under an individual Schedule B number or HTSUSA commodity classification code is \$2,500 or less.	
37B	15 CFR 30.37(b)	<p>Exemption for tools of trade and their containers that are usual and reasonable kinds and quantities of commodities and software intended for use by individual USPPIs or by employees or representatives of the exporting company in furthering the enterprises and undertakings of the USPPI abroad. Commodities and software eligible for this exemption are those that do not require an export license or that are exported as tools of the trade under a license exception of the EAR (15 CFR 740.9), and are subject to the following provisions:</p> <ul style="list-style-type: none"> (1) Are owned by the individual USPPI or exporting company. (2) Accompany the individual USPPI, employee, or representative of the exporting company. (3) Are necessary and appropriate and intended for the personal and/or business use of the individual USPPI, employee, or representative of the company or business. (4) Are not for sale. (5) Are returned to the United States no later than one (1) year from the date of export. (6) Are not shipped under a bill of lading or an air waybill. 	

Exemption Codes

N901	CENSUS CITATIONS	DESCRIPTIONS	N902
37C	15 CFR 30.37(c)	Exemption for shipments from one point in the United States to another point in the United States by routes passing Canada or Mexico.	
37D	15 CFR 30.37(d)	Exemption for shipments starting and ending in Canada and Mexico that route through the United States.	
37F	15 CFR 30.37(f)	Exemption for exports of technology and software as defined in 15 CFR 772 of the EAR that do not require an export license are exempt from filing requirements.	
37G	15 CFR 30.37(g)	Exemption for shipments of books, maps, charts, pamphlets, and similar articles to foreign libraries, government establishments, or similar institutions.	
37H	15 CFR 30.37(h)	Exemption for shipments as authorized under License Exception GFT for gift parcels and humanitarian donations as (15 CFR §740.12(a) and (b)).	
37I	15 CFR 30.37(i)	Exemption for shipments of diplomatic pouches and their contents.	
37J	15 CFR 30.37(j)	Exemption for shipments of human remains and accompanying appropriate receptacles and flowers.	

Exemption Codes

N901	CENSUS	DESCRIPTIONS	N902
37K	15 CFR 30.37(k)	Exemption for shipments of interplant correspondence, executed invoices and other documents, and other shipments of company business records from a U.S. firm to its subsidiary or affiliate. This excludes highly technical plans, correspondence, etc. that could be licensed.	
37L	15 CFR 30.37(l)	Exemption for shipments of pets as baggage, accompanied or unaccompanied, of persons leaving the United States, including members of crews on vessels and aircraft.	
37M	15 CFR 30.37(m)	Exemption for carrier's stores, not shipped under a bill of lading or an air waybill, supplies and equipment, including usual and reasonable kinds and quantities of bunker fuel, deck engine and steward department stores, provisions and supplies, medicinal and surgical supplies, food stores, slop chest articles, and saloon stores or supplies for use or consumption on board and not intended for unloading in a foreign country.	
37N	15 CFR 30.37(n)	Exemption for dunnage not shipped under a bill of lading or an air waybill, of usual and reasonable kinds and quantities not intended for unloading in a foreign country.	

Exemption Codes

N901	CENSUS		N902
37O	15 CFR 30.37(o)	Exemption for shipments of aircraft parts and equipment; food, saloon, slop chest, and related stores; and provisions and supplies for use on aircraft by a U.S. airline to its own installations, aircraft, and agents abroad, under EAR License Exception AVS for aircraft and vessels (see 15 CFR 740.15(c)).	
37P	15 CFR 30.37(p)	Exemption for baggage and personal effects, accompanied or unaccompanied, of persons leaving the United States including members of crews on vessels and aircraft, when they are not shipped as cargo under a bill of lading or an air waybill or other commercial loading documents and do not require an export license.	
37Q	15 CFR 30.37(q)	Exemption for temporary exports.	
37R	15 CFR 30.37(r)	Exemption for goods previously imported under a Temporary Import Bond for return in the same condition as when imported including: goods for testing, experimentation, or demonstration; goods imported for exhibition; samples and models imported for review or for taking orders; goods imported for participation in races or contests, and animals imported for breeding or exhibition; and goods imported for use by representatives of foreign governments or international organizations or by members of the armed forces of a foreign country.	
37S	15 CFR 30.37(s)	Exemption for shipments of issued banknotes and securities and coins in circulation exported as evidence of financial claims.	
37T	15 CFR 30.37(t)	Exemption for shipments of documents used in international transactions, documents moving out of the United States to facilitate international transactions including airline tickets, internal revenue stamps, liquor stamps, and advertising literature.	

Exemption Codes

N901	CENSUS	DESCRIPTIONS	N902
37U	15 CFR 30.37(u)	Exemption for exports of technical data and defense service exemptions as defined in 22 CFR 123.22(b)(3)(iii) of the ITAR.	
37V	15 CFR 30.37(v)	Exemption for vessels, locomotives, aircraft, rail cars, trucks, other vehicles, trailers, pallets, cargo vans, lift vans, or similar shipping containers when they are moving, either loaded or empty, without transfer of ownership or title, in their capacity as carriers of goods or as instruments of such carriers.	
37W	15 CFR 30.37(w)	Exemption for shipments to Army Post Office/Diplomatic Post Office/ Fleet Post Office.	
37X	15 CFR 30.37(x)	Exemption for shipments exported under license exception BAG.	
XY1	15 CFR 30.37(y)(1)	Shipments of published books, software, maps, charts, pamphlets, or any other similar media available for general distribution, as described in 15 CFR 734.7 to foreign libraries, or similar institutions, destined to Country Group E:1 and E:2 as set forth in Supplement No. 1 to 15 CFR part740.	
XY2	15 CFR 30.37(y)(2)	Shipments to U.S. government agencies and employees that are lawfully exported under License Exception GOV (15 CFR 740.11(b)(2)(i) or (ii)) valued at \$2500 or less per Schedule B Number, destined to Country Group E:1 and E:2 as set forth in Supplement No. 1 to 15 CFR part740.	
XY3	15 CFR 30.37(y)(3)	Personal effects as described in 15 CFR 740.14(b)(1) being lawfully exported under License Exception BAG (15 CFR 740.14), destined to Country Group E:1 and E:2 as set forth in Supplement No. 1 to 15 CFR part740.	

Exemption Codes

N901	CENSUS	DESCRIPTIONS	N902
XY4	15 CFR 30.37(y)(4)	Individual gift parcels and humanitarian donations being lawfully exported under License Exception GFT (15 CFR 740.12(a) and (b)), destined to Country Group E:1 and E:2 as set forth in Supplement No. 1 to 15 CFR part740.	
XY5	15 CFR 30.37(y)(5)	Vessels and aircraft lawfully leaving the United States for temporary sojourn to or in a Country Group E:1 or E:2 country under License Exception AVS (15 CFR 740.15), destined to Country Group E:1 and E:2 as set forth in Supplement No. 1 to 15 CFR part740.	
XY6	15 CFR 30.37(y)(6)	Tools of trade that will be used by a person traveling to a Country Group E:1 or E:2 destination, that will be returned to the United States within one year and that are lawfully being exported to a Country Group E:1 or E:2 destination under License Exception BAG (15 CFR 740.14) or License Exception TMP (15 CFR 740.9(a)).	
39X	15 CFR 30.39	Exemption for shipments to the U.S. armed services.	
40A	15 CFR 30.40(a)	Exemption for office furniture, office equipment, and office supplies shipped to and for the exclusive use of U.S. government offices.	
40B	15 CFR 30.40(b)	Exemption for household goods and personal property shipped to and for the exclusive and personal use of U.S. government employees.	
40C	15 CFR 30.40(c)	Exemption for food, medicines, and related items and other commissary supplies shipped to U.S. government offices or employees for the exclusive use of such employees, or to U.S. government employee cooperatives or other associations for subsequent sale or other distribution to such employees.	

EXCLUSIONS

N901	CENSUS	DESCRIPTIONS	N902
2D1	15 CFR 30.2(d)(1)	Exclusion for goods shipped under CBP bond through the United States, Puerto Rico, or the U.S. Virgin Islands from one foreign country or area to another where such goods do not enter the consumption channels of the United States.	
2D2	15 CFR 30.2(d)(2)	Exclusion for goods shipped from the U.S. territories (except for Puerto Rico and U.S. Virgin Islands), and goods shipped between the United States and these territories.	
2D3	15 CFR 30.2(d)(3)	Exclusion for electronic transmissions and intangible transfers.	
2D4	15 CFR 30.2(d)(4)	Exclusion for goods shipped to Guantanamo Bay Naval Base in Cuba from the United States, Puerto Rico, or the U.S. Virgin Islands and from Guantanamo Bay Naval Base to the United States, Puerto Rico, or the U.S. Virgin Islands.	
2D5	15 CFR 30.2(d)(5)	Exclusion for goods licensed by a U.S. federal government agency where the country of ultimate destination is the United States or goods destined to international waters where the person(s) or entity assuming control of the item(s) is a citizen or permanent resident alien of the United States or a juridical entity organized under the laws of the United States or a jurisdiction within the United States.	