

# ACE Automated Broker Interface Automated Interface Requirements Daily Statement

March 19, 2020



U.S. Customs and  
Border Protection



## Table of Changes

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
13	March 19, 2020	Report Data elements and Formats	Changed sheep fee to softwood lumber fee
12	May 30, 2018	Q1, Q2, QA, Q3, Q4, QE, Q5, Q6, QJ	Added note 1 that currently only support 10 digit amounts, First digit of amounts will always be 0.
11	May 8, 2019	Introduction	Clarified description of final Daily statements
10	March 6, 2019	Introduction	Updated QN and PN to new ACE app ID RM Add text on new RM negate and \$0 RMs
9	May 18, 2018	Introduction	Add information on how duty, tax and fees will be reported for entry types 21, 22 and 23 Total fields. (Page 7)
8	April, 20, 2018	Q1, Q3, Q5 – Output Records – Estimated Duty Amount data elements	Add statement - Puerto Rican Coffee Duty will be reported in the Estimated Duty Amount fields. Notate in the Narrative Block of the: Q1 Record, positions 40 - 50 Q3 Record, positions 38 – 48 Q5 Record, positions 38 - 48
7	Dec. 21, 2017	Introduction Q3, Q4, QE – Output Record Q5, Q6, Q7 – Output Record  Q7 Note 1	Added clarification that Q3, Q4, QE records contain the original, preliminary amounts on both the preliminary and final statement. Q5, Q6, QJ records contain the final, paid amounts.  Updated valid delete source codes for Q7 record
6	Sept. 29, 2017	Q7 – Output Record  Introduction – Pages 5 - 7	Increase Each filler field to two spaces (2S) between Filer Code and Entry Number to allow for the expansion of the Entry Filer Code and/or Entry Number. Changed the term “RM” to reflect “QN” and/or “PN” ACH Debit Authorization transactions.
5	May 01, 2017	Q2 output Record  Detail Daily Statement Report Detail Daily Statement	Remove Census Warning and Electronic Invoice Indicators. Q2 record-Change Pos. 59 and 61 to filler. Delete “I” and “ST” fields in Detail Daily Statement report.

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
		Printed sample	Delete “I” and “ST” fields in Detail Daily Statement Printed sample, (figure 1)
4	Nov. 8, 2016	All Output Data Records	Realigned Table headings and page spacing for correct formatting of document. No data changes, cosmetic changes only. Fixed statement print reports on pages 33 – 36.
3	Sept 30, 2016	Daily Statement Introduction	Changed Input Application ID = PN referenced in this section to reflect Application ID = RM for the ACH Payment-Entry Summary Presentation functionality.
		Output Record Structure Map	Loop Repeat count for QA, QE and QJ Fee records has been changed to 5.
		QE Output Record	Removed the second notation of the Control Identifier QE information placed before the Fourth Fee Code information in the QE record.
		Report Data Elements & Formats	In the Detail Daily Statement Report, under the Statement Report Field, ITEM “ST” delete the sentence for reporting the PA indicator within the Record Data Field.  In the Detail Daily Statement Report, under the Statement Report Field, ITEM “TEAM” Changed to read “TEAM (FIS)”  In the Preliminary Daily Statement Report, under the Statement Report Field, ITEM “Imprt Rec # (Importer Statement Only)” the Record Type is changed to Q3.
2	Sept. 4, 2015	Daily Statement Introduction	Removed restriction that the initial filing of the ACE Entry Summary must be scheduled for a statement and cannot be Single Pay.
1	Dec. 4, 2014	All	Initial Release

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## Daily Statement - Introduction

The first section of this chapter provides the EDI record formats for Daily and Periodic Daily statements created by U.S. Customs and Border Protection (CBP). The second section of this chapter provides examples of Daily statement printed reports.

There are 10 Daily and Periodic Daily statement output records containing entry summary data which were submitted to CBP systems with a payment indicator of:

- **2** (payments are to be batched by preliminary statement print date and entry number),
- **3** (payments are to be batched by preliminary statement print date and importer of record number & subdivision suffix),
- **5** (payments are to be batched by preliminary statement print date and importer of record number excluding the suffix),
- **6** (payments are to be batched by preliminary periodic daily statement print date and entry number),
- **7** (payments are to be batched by preliminary periodic daily statement print date and importer of record number & subdivision suffix),
- **8** (payments are to be batched by preliminary periodic daily statement print date and importer of record number excluding the suffix).

Payment Type Indicator Codes 6, 7, and 8 must be ACE accounts and designated for Periodic Monthly Statement (PMS) processing in ACE.

When payment indicators 6, 7, or 8 are used, both a Periodic Daily and a Periodic Monthly statement will be sent. This document covers the Periodic Daily statement format. See the ACE ABI CATAIR chapter on Periodic Monthly Statements for more information.

The preliminary Daily and preliminary Periodic Daily statement will be transmitted to the filer on the preliminary Daily statement print date. The final Daily statement will be generated to the filer after the payment has been received by CBP. This will result in final Daily statement arriving a day or two later than current operations. CBP will maintain the date the debit authorization is accepted as the paid date once the Treasury Department has confirmed fund availability and transfer. The final Periodic Daily statement is generated as part of CBP end-of-day processing and is available to the filer the day after the RM, ACH Payment-Entry Summary Presentation, transaction has been transmitted error free to CBP.

When a filer receives a preliminary statement consisting of all duty free summaries and the total amount due is zero, the filer can transmit an RM in the amount of zero (\$0.00). This transaction completes the collection process and generates the final statement.

ACE entry summaries can be designated for a single payment on the initial AE filing or can be scheduled for statement processing using the above payment type indicator codes. On rare occasions, an entry summary may need to be deleted from a statement and moved to either a different statement or paid individually with a check. CBP will allow for this occasional statement delete activity on an exception basis only, it will not become the normal method of operation.

ACE entry summaries' statement data can be replaced as many times as needed by retransmitting the AE summary transaction or submitting the statement update (SU) transaction PRIOR to the preliminary statement processing date.

ACE entry summaries may not be deleted from a statement until such time that the entry summary actually appears on a statement.

ACE entry summaries can be replaced once listed on a preliminary statement but not paid by using the Statement Update transaction (Application Identifier SU) to move the summary to a new statement date or make it a single payment entry summary. To replace ACE entry summaries having an action identification number and listed on a preliminary statement in CBP status after the SU transaction, the entry summary must be placed into a reject status by CBP for the filer to retransmit the corrected entry summary data.

Once the statement has been paid, filers can submit a Post Summary Correction (PSC) to replace an ACE entry summary. (Please refer to the Entry Summary Create/Update ACE CATAIR chapter, Usage Note gg) for further guidance on filing PSCs.)

For Periodic Monthly statement designated ACE entry summaries, the filer can change the entry summary for a future statement date PRIOR to the RM, ACH Payment-Entry Summary Presentation, transaction has been transmitted. When a Periodic Monthly statement designated ACE entry summary is deleted from the statement AFTER the RM transaction has been processed and the Final Periodic Daily Statement has been received, the entry summary will be placed into a single pay status and must be submitted to CBP for payment with a check.

Entry summaries may be removed from a Daily statement until the Daily statement is paid. If an entry summary is removed before the ABI RM, ACH Payment Authorization, transaction has been transmitted, then the entry summary can be rescheduled for a future Daily statement. An entry summary can be removed from a statement AFTER the RM transaction has been accepted by contacting a Client Representative to remove the ACH authorization or by submitting an RM negate transaction. This request must be made on the same day of the original ACH Authorization was sent and prior to end of day processing. Once the ACH authorization is deleted by the Client Representative, the filer can delete or move the entry to a future statement.

ACE entry summaries are considered paperless and as such the “Docs Required Indicator” has been removed from the output Q2-record. Filers are advised to respond to ACE Entry Summary Status Notification (UC) messages for required actions, including when additional information is requested.

**Output:** Record Identifiers Q1, Q2, and QA are mandatory detail payment records. Record Identifiers Q3, Q4, and QE are mandatory total payment due records for preliminary Daily statements. On the final statement, the preliminary amounts will be repeated on the Q3, Q4, and QE records. Record Identifiers Q5, Q6, and QJ are mandatory paid amount totals for final Daily statements and Record Identifier Q7 is a conditional record that provides entry summary numbers for entry summaries deleted from a preliminary daily statement.

The application identifier on Record Identifiers A, B, and Y is PF. For additional information on these Record Identifiers refer to the ABI Batch & Block Control chapter of the ACE ABI CATAIR.

Note: For purposes of daily statement processing, the processing district/port code provided in the entry summary input block control header record (Record Identifier B) specifies the processing district/port for all the entry summaries designated for statement processing within the block regardless of the district/port of entry codes shown in Record identifier 10.

Example – If a block of entry summary input contains both Detroit (district/port code 3801) and Port Huron (district/port code 3802) and district/port code 3801 is entered in the processing district/port code field in the block control header B record, both the Detroit and Port Huron entry summaries will be included in the same daily statement for Detroit.

If a separate statement for Port Huron is necessary, the Port Huron (district/port code 3802), must be specified as the processing district/port code in the block control header record (Record Identifier B) and all entry

summary transactions can only include district/port of entry codes for Port Huron in the record identifier 10 records.

Warehouse, Re-warehouse and TIB summaries will have the duty, tax and fee amounts that are due at time of statement generation reported on the Preliminary and Final Daily Statement total fields.

Warehouse Entry Type 21 – Only HMF fee will be reported

Re-Warehouse Entry Type 22 – There will be No duty, tax or fees reported

TIB Entry Type 23 – Only HMF fee will be reported

Record Layout Key: Please refer to ACE ABI CATAIR Appendix Q for a description of the contents of each column of the record layouts.

**Note:** The Daily Statement number will have the following format:

DDFYJJJXXX where:      DD = District  
                                    FY = Fiscal Year  
                                    JJJ = Julian Day  
                                    XXX = Sequence Number (alphanumeric)

# Output Record Descriptions

## Output Record Structure Map

The following table illustrates how repeating groups are structured and returned in a proprietary format Daily Statement generated by ACE.

Control ID Name	Designation	Loop Repeat
	<b>Daily Statement</b>	<b>M 1</b>
	<b>Daily Statement Details Grouping</b>	<b>M 9,999</b>
<a href="#"><u>Q1</u></a>	Entry Summary Data, Duty & Tax	M 1
<a href="#"><u>Q2</u></a>	Entry Summary Data, Duty & Tax Continued	M 1
<a href="#"><u>QA</u></a>	Entry Summary Fees	C 5
	<b>Preliminary or Final Daily Grouping</b>	<b>C 1</b>
<a href="#"><u>Q3</u></a>	Daily Payment Due – Statement Totals & Duty/Tax	M 1
<a href="#"><u>Q4</u></a>	Daily Payment Due – Statement Totals & Duty/Tax	M 1
<a href="#"><u>QE</u></a>	Daily Payment Due – Fees	C 5
	<b>Final Daily Statement Grouping</b>	<b>C 1</b>
<a href="#"><u>Q5</u></a>	Daily Payment – Statement Totals & Duty/Tax	M 1
<a href="#"><u>Q6</u></a>	Daily Payment – Statement Totals & Duty/Tax	M 1
<a href="#"><u>QJ</u></a>	Daily Payment – Fees	C 5
<a href="#"><u>Q7</u></a>	<b>Entry Summaries Deleted</b>	<b>C 9,999</b>

Designation: **M** = Reporting Mandatory; **C** = Reporting Conditional; **O** = Reporting Optional

## Record Identifier Q1

The Q1-record is a mandatory output detail payment record (preliminary or final statement) that provides data pertaining to the entry summaries listed on the Daily statement.

<b>Record Identifier Q1 (Output)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Must always equal Q1.	
District/Port of Entry Summary	4N	3-6	M	A code representing the district/port of entry summary. Valid district/port codes can be queried through the Reference tab of the ACE portal.	
Entry Filer Code	3AN	7-9	M	A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of an entry number regardless of where the entry is filed.	
Filler	2S	10-11	M	Space fill. Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number.	
Entry Number	8AN	12-19	M	The number assigned to the entry. For additional information on valid entry formats refer to the AE Table -1, Check Digit Computation, in the Entry Summary Create/Update section of this publication.	
Filler	2S	20-21	M	Space fill.	
Importer of Record Number	12X	22-33	C	A code representing the importer for whom the daily statement was prepared.	
Preliminary Daily Statement Print Date	6D	34-39	M	The date in MMDDYY (month, day, year) format for the preliminary daily statement.	
Estimated Duty Amount	11N	40-50	C	A value representing the total duty amount. Two decimal places are implied. If the duty is a whole number, the two low-order (cents) positions contain zeroes. <b>NOTE:</b> Puerto Rican Coffee Duty will be reported within this field for statement generation.	1
Estimated Tax Amount	11N	51-61	C	A value representing the total tax amount. Two decimal places are implied. If the tax is a whole number, the two low-order (cents) positions contain zeroes.	1

<b>Record Identifier Q1 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Deferred Tax Indicator	1A	62	C	A code of Y (yes) indicates the tax is deferred; N (no) indicates the tax is not deferred.	
Broker Reference Number	9X	63-71	C	This field is provided for the convenience of the user. An optional code provided by the participant, it is not edited or changed. It is for internal user system control in entry summary processing.	
Consolidated Indicator	1A	72	C	A code of C indicating the entry is consolidated; otherwise, space fill.	
Client Branch Designation	2AN	73-74	C	A code that allows a filer to designate separate statements for individual branches within the same port code. This code is mandatory for filers using Client Branch designation. This field is optional for filers using statement processing. Entry summaries with the same processing district/port code, preliminary statement print date, payment type indicator, and client branch designation code appear on the same statement. This field is only edited for format. Use of this field at a port requires prior approval through the CBP Client Representative.	
Filler	3S	75-77		Space fill	
Entry Type	2N	78-79	M	A code representing the entry type. Valid entry type codes are listed in the AE-Table 2, Entry Type Codes, within the Entry Summary Create/Update section of this publication.	
Filler	1S	80	M	Space fill.	

### **Note 1**

Statements currently only supports 10 digit dollar amounts. CATAIR shows 11N for future expansion. At this time, the first character will always be a zero.

## Record Identifier Q2

The Q2-record is a mandatory output detail payment record (preliminary or final statement) that provides data pertaining to the entry summaries listed on the Daily statement.

<b>Record Identifier Q2 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Must always equal Q2.	
District/Port of Entry Summary	4N	3-6	M	A code representing the district/port of entry summary. Valid district/port codes can be queried through the Extract Reference File chapter of this publication.	
Entry Filer Code	3AN	7-9	M	A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of an entry number regardless of where the entry is filed.	
Filler	2S	10-11	M	Space fill. Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number.	
Entry Number	8AN	12-19	M	The number assigned to the entry. For additional information on valid entry formats.	
Filler	1S	20	M	Space fill.	
Antidumping Duty Amount	11N	21-31	C	A value representing the total antidumping duty amount. Two decimal places are implied. If the duty is a whole number, the two low-order (cents) positions contain zeroes.	1
Countervailing Duty Amount	11N	32-42	C	A value representing the total countervailing duty. Two decimal places are implied. If the duty is a whole number, the two low-order (cents) positions contain zeroes.	1
Filler	11S	43-53	M	Space fill.	
Payment Type Indicator	1N	54	M	A code representing the payment type	
Pay Indicator	1A	55	C	A code of <i>Y</i> (yes) indicates payment is due; <i>N</i> (no) indicates there is no payment due. If the code is <i>N</i> , the transaction amount is not included in the total on Record Identifier Q4.	

<b>Record Identifier Q2 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Countervailing Indicator	1A	56	C	A code of <i>Y</i> (yes) indicates payment is due; <i>N</i> (no) indicates payment is covered under bond. If the code is <i>N</i> , the associated transaction amount is not included in the total on Record Identifier Q4.	
Antidumping Indicator	1A	57	C	A code of <i>Y</i> (yes) indicates payment is due; <i>N</i> (no) indicates payment is covered under bond. If the code is <i>N</i> , the associated transaction amount is not included in the total on Record Identifier Q4.	
Filler	4S	58-61	M	Space fill.	
Team Number	3AN	62-64	C	A code indicating the CBP Import Specialist Team assigned to the entry summary.	
Interest Amount for Reconciliation Summary	8N	65-72	C	A value representing the interest amount for reconciliation summary. Two decimal places are implied. If the interest is a whole number, the two low-order (cents) positions contain zeroes.	
Filler	8S	73-80	M	Space fill.	

### **Note 1**

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## Record Identifier QA

The QA-record is a conditional output detail payment record (preliminary or final statement) that provides data pertaining to the entry summaries listed on the Daily statement.

<b>Record Identifier QA (Output)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Must always equal QA.	
Sequence Number	2N	3-4	M	This is the sequence counter of the QA records. QA records are numbered sequentially, beginning with 01.	
First Fee Class Code	3AN	5-7	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
First Fee Amount	11N	8-18	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1
Second Fee Class Code	3AN	19-21	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
Second Fee Amount	11N	22-32	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1
Third Fee Class Code	3AN	33-35	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
Third Fee Amount	11N	36-46	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1

<b>Record Identifier QA (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Fourth Fee Class Code	3AN	47-49	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
Fourth Fee Amount	11N	50-60	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1
Fifth Fee Class Code	3AN	61-63	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
Fifth Fee Amount	11N	64-74	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1
Filler	6S	75-80	M	Space fill.	

## Record Identifier Q3

The Q3-record is a mandatory output total payment due record with preliminary statement amounts. This record will contain the preliminary amounts on both the preliminary and final statement. It provides data pertaining to the Daily statement number, print date, filer code, importer number, total estimated duty, and estimated tax. The data elements on this record are identical to those on Record Identifier Q5.

<b>Record Identifier Q3 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Must always equal Q3.	
Daily Statement Number	10AN	3-12	M	The number assigned to the Daily statement.	
Filler	2S	13-14	M	Space fill.	
Daily Statement Print Date	6D	15-20	M	The date selected in MMDDYY (month, day, year) format for the Daily statement to print.	
Entry Filer Code	3AN	21-23	M	A unique code assigned by the CBP to all active entry document preparers.	
Filler	2S	24-25	M	Space fill. Reserved filler for possible future expansion of Entry Filer Code	
Importer of Record Number	12X	26-37	C	A code representing the importer for whom the Daily statement was prepared (importer statement only).	
Total Estimated Duty	11N	38-48	C	A value representing the total estimated duty amount. Two decimal places are implied. If the duty is a whole number, the two low-order (cents) positions contain zeroes. <b>NOTE:</b> Puerto Rican Coffee Duty will be reported within this field for statement generation.	1
Total Estimated Tax	11N	49-59	C	A value representing the total estimated tax amount. Two decimal places are implied. If the tax is a whole number, the two low-order (cents) positions contain zeroes.	1
Total Deferred Tax	11N	60-70	C	A value representing the total deferred tax amount. Two decimal places are implied. If the tax is a whole number, the two low-order (cents) positions contain zeroes.	1

<b>Record Identifier Q3 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
District/Port Which Processes Entries	4N	71-74	M	A code representing the district/port which processes entries. Valid district/port codes can be queried through the Reference tab of the ACE portal.	
Filler	8S	75-80	M	Space fill.	

**Note 1**

Statements currently only supports 10 digit dollar amounts. CATAIR shows 11N for future expansion. At this time, the first character will always be a zero.

## Record Identifier Q4

The Q4-record is a mandatory output total payment due record with preliminary statement amounts. This record will contain the preliminary amounts on both the preliminary and final statement. It provides data pertaining to the total antidumping duty, countervailing duty, and amount due. The data elements on this record are identical to those on Record Identifier Q6.

<b>Record Identifier Q4 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Must always equal Q4.	
Total Antidumping Duty	11N	3-13	C	A value representing the total antidumping duty. Two decimal places are implied. If the duty is a whole number, the two low-order (cents) positions contain zeroes.	1
Total Countervailing Duty	11N	14-24	C	A value representing the total countervailing duty amount. Two decimal places are implied. If the duty is a whole number, the two low-order (cents) positions contain zeroes.	1
Total Amount Due	11N	25-35	C	A value representing the total amount due. Two decimal places are implied. If the amount is a whole number, the two low-order (cents) positions contain zeroes.	1
Total Interest Amount For Reconciliation Summary	11N	36-46	C	A value representing the total interest amount for reconciliation summary. Two decimal places are implied. If the interest is a whole number, the two low-order (cents) positions contain zeroes.	1
Total Number Revenue Producing Entries	5N	47-51	M	The number of total revenue-producing entries in the transaction.	
Total Number Non-Revenue Producing Entries	5N	52-56	M	The total number of non-revenue producing entries in the transaction.	
Filler	24S	57-80	M	Space fill.	

### **Note 1**

Statements currently only supports 10 digit dollar amounts. CATAIR shows 11N for future expansion. At this time, the first character will always be a zero.

## Record Identifier QE

The QE-record is a conditional output total payment due record with preliminary statement amounts. This record will contain the preliminary amounts on both the preliminary and final statement. It provides data pertaining to fee class codes, and fee amounts. The data elements on this record are identical to those on Record Identifier QJ.

<b>Record Identifier QE (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Must always equal QE.	
Sequence Number	2N	3-4	M	This is the sequence counter of the QE records. QE records are numbered sequentially, beginning with 01.	
First Fee Class Code	3AN	5-7	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
First Fee Amount	11N	8-18	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1
Second Fee Class Code	3AN	19-21	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
Second Fee Amount	11N	22-32	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1
Third Fee Class Code	3AN	33-35	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	

<b>Record Identifier QE (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Third Fee Amount	11N	36-46	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1
Fourth Fee Class Code	3AN	47-49	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
Fourth Fee Amount	11N	50-60	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1
Fifth Fee Class Code	3AN	61-63	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
Fifth Fee Amount	11N	64-74	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1
Filler	6S	75-80	M	Space fill.	

### **Note 1**

Statements currently only supports 10 digit dollar amounts. CATAIR shows 11N for future expansion. At this time, the first character will always be a zero.

## Record Identifier Q5

The Q5-record is a mandatory output total payment record with final statement amounts. It provides data pertaining to the Daily statement number, print date, filer code, importer number, total estimated duty, and estimated tax. The data elements on this record are identical to those on Record Identifier Q3.

<b>Record Identifier Q5 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Must always equal Q5.	
Daily Statement Number	10AN	3-12	M	The number assigned to the Daily Statement.	
Filler	2S	13-14	M	Space fill.	
Daily Statement Print Date	6D	15-20	M	The date selected in MMDDYY (month, day, year) format for the Daily statement to print.	
Entry Filer Code	3AN	21-23	M	A unique code assigned by the CBP to all active entry document preparers.	
Filler	2S	24-25	M	Space fill. Reserved filler for possible future expansion of Entry Filer Code	
Importer of Record Number	12X	26-37	C	A code representing the importer for whom the Daily statement was prepared (importer statement only).	
Total Estimated Duty	11N	38-48	C	A value representing the total duty amount. Two decimal places are implied. If the duty is a whole number, the two low-order (cents) positions contain zeroes. <b>NOTE:</b> Puerto Rican Coffee Duty will be reported within this field for statement generation.	1
Total Estimated Tax	11N	49-59	C	A value representing the total tax amount. Two decimal places are implied. If the tax is a whole number, the two low-order (cents) positions contain zeroes.	1
Total Deferred Tax	11N	60-70	C	A value representing the total deferred tax amount. Two decimal places are implied. If the tax is a whole number, the two low-order (cents) positions contain zeroes.	1

<b>Record Identifier Q5 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
District/Port Which Processes Entries	4N	71-74	M	A code representing the district/port which processes entries. Valid district/port codes can be queried through the Reference tab of the ACE portal.	
Filler	8S	75-80	M	Space fill.	

**Note 1**

Statements currently only supports 10 digit dollar amounts. CATAIR shows 11N for future expansion. At this time, the first character will always be a zero.

## Record Identifier Q6

The Q6-record is a mandatory output total payment record with final statement amounts. It provides data pertaining to the total antidumping duty, countervailing duty, and amount due. The data elements on this record are identical to those on Record Identifier Q4.

<b>Record Identifier Q6 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Must always equal Q6.	
Total Antidumping Duty	11N	3-13	C	A value representing the total antidumping duty. Two decimal places are implied. If the duty is a whole number, the two low-order (cents) positions contain zeroes.	1
Total Countervailing Duty	11N	14-24	C	A value representing the total countervailing duty amount. Two decimal places are implied. If the duty is a whole number, the two low-order (cents) positions contain zeroes.	1
Total Amount Paid	11N	25-35	C	A value representing the total amount paid. Two decimal places are implied. If the amount is a whole number, the two low-order (cents) positions contain zeroes.	1
Total Interest Amount For Reconciliation Summary	11N	36-46	C	A value representing the total interest amount for reconciliation summary. Two decimal places are implied. If the interest is a whole number, the two low-order (cents) positions contain zeroes.	1
Total Number Revenue Producing Entries	5N	47-51	M	The number of total revenue-producing entries in the transaction.	
Total Number Non-Revenue Producing Entries	5N	52-56	M	The total number of non-revenue producing entries in the transaction.	
Filler	24S	57-80	M	Space fill.	

### **Note 1**

Statements currently only supports 10 digit dollar amounts. CATAIR shows 11N for future expansion. At this time, the first character will always be a zero.

## Record Identifier QJ

The QJ-record is a conditional output total payment record with final statement amounts. It provides data pertaining to fee class codes and fee amounts. The data elements on this record are identical to those on Record Identifier QE.

<b>Record Identifier QJ (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Must always equal QJ.	
Sequence Number	2N	3-4	M	This is the sequence counter of the QJ records. QJ records are numbered sequentially, beginning with 01.	
First Fee Class Code	3AN	5-7	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
First Fee Amount	11N	8-18	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1
Second Fee Class Code	3AN	19-21	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
Second Fee Amount	11N	22-32	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1

<b>Record Identifier QJ (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Third Fee Class Code	3AN	33-35	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
Third Fee Amount	11N	36-46	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1
Fourth Fee Class Code	3AN	47-49	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
Fourth Fee Amount	11N	50-60	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1
Fifth Fee Class Code	3AN	61-63	C	A fee class code. Codes are listed in the AE- Table 6, User Fee Accounting Class Codes, within the Entry Summary Create/Update section of this publication but are not limited only to those.	
Fifth Fee Amount	11N	64-74	C	The Fee Amount. Two decimal places are implied. If the fee is a whole number, the two low-order (cents) positions contain zeroes.	1
Filler	6S	75-80	M	Space fill.	

### **Note 1**

Statements currently only supports 10 digit dollar amounts. CATAIR shows 11N for future expansion. At this time, the first character will always be a zero.

## Record Identifier Q7

The Q7-record is a conditional output record that acknowledges entry summaries deleted from a daily statement after the preliminary statement has been generated.

<b>Record Identifier Q7 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2AN	1-2	M	Must always equal Q7.	
Daily Statement Number	10AN	3-12	M	The number assigned to the statement. The last 3 positions may be alpha characters due to the increase in the numbers of statements being generated in some of the larger ports.	
Entry Filer Code	3AN	13-15	M	A unique code assigned by CBP to all active entry document preparers. The Entry Filer code occupies the first three positions of an entry number regardless of where the entry is filed.	
Filler	2S	16-17	M	Space fill. Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number.	
Entry Number	8AN	18-25	M	The number assigned to the entry. For additional information on valid entry summary number formats, refer to the AE Table-1, Check Digit Computation, in the Entry Summary Create/Update section of this publication.	
Delete Source	3AN	26-28	M	A code representing the source of the delete.	1
Entry Filer Code	3AN	29-31	M	A unique code assigned by CBP to all active entry document preparers. The Entry Filer code occupies the first three positions of an entry number regardless of where the entry is filed.	
Filler	2S	32-33	M	Space fill. Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number.	

<b>Record Identifier Q7 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Entry Number	8AN	34-41	M	The number assigned to the entry. For additional information on valid entry summary number formats, refer to the AE Table-1, Check Digit Computation, in the Entry Summary Create/Update section of this publication.	
Delete Source	3AN	42-44	C	A code representing the source of the delete.	1
Entry Filer Code	3AN	45-47	M	A unique code assigned by CBP to all active entry document preparers. The Entry Filer code occupies the first three positions of an entry number regardless of where the entry is filed.	
Filler	2S	48-49	M	Space fill. Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number.	
Entry Number	8AN	50-57	M	The number assigned to the entry. For additional information on valid entry summary number formats, refer to the AE Table-1, Check Digit Computation, in the Entry Summary Create/Update section of this publication.	
Delete Source	3AN	58-60	C	A code representing the source of the delete.	1
Entry Filer Code	3AN	61-63	M	A unique code assigned by CBP to all active entry document preparers. The Entry Filer code occupies the first three positions of an entry number regardless of where the entry is filed.	
Filler	2S	64-65	M	Space fill. Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number.	
Entry Number	8AN	66-73	M	The number assigned to the entry. For additional information on valid entry summary number formats, refer to the AE Table-1, Check Digit Computation, in the Entry Summary Create/Update section of this publication.	
Delete Source	3AN	74-76	C	A code representing the source of the delete.	1

<b>Record Identifier Q7 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Filler	4S	77-80	M	Space Fill.	

**Note 1**

Valid Delete Source Codes are:

**Code Description**

- ABI** Deleted via SU application by the filer.
- CBP** Deleted by CBP

# Report Data Elements and Formats

## Detail Daily Statement Report

Definitions for the data elements reported on the Detail Daily Statement Report (Preliminary and Final) are defined below. See *Figure 1* for a sample of the report.

<b>Detail Daily Statement Report</b>		
<b>Statement Report Field</b>	<b>Record Type</b>	<b>Record Data Field</b>
Statement #	B	Statement Number
Daily Statement Report	B	Statement Status (Preliminary and Final)
Statement Date	B	Preliminary Statement Print Date
Client Branch Designation	B	The Client Branch Designation is optional
Process Date	A	Current Date
Statement For	B	If the Payment Type Indicator Code is 2, use the broker name; if the Payment Type Indicator is 3, use the importer name
Imprt Rec # (Importer Statements Only)	Q1	Importer of Record Number
Broker/Filer #	B	Entry Filer Code
Process Dist/Port	B	Processing District/Port
Port of Entry	Q1	District/Port of Entry Summary
Entry Number	Q1	Entry Filer Code/Entry Number
Ref Number	Q1	Broker Reference Number
TP	Q1	Entry Type
Estimated Duty	Q1	Estimated Duty Amount
Estimated Tax	Q1	If the tax is deferred, print <i>D</i> (Deferred Tax Indicator).
Estimated ADD	Q2	Antidumping Duty Amount
Estimated CVD	Q2	Countervailing Duty Amount
User Fees and Interest (Must Be Calculated By The Broker As The Sum	QA	Sugar and Mail Fee Amounts, Beef Fee Amount, Pork Fee Amount, Cotton Fee Amount, Merchandise Processing Fee Amount, Honey Fee, Harbor

## Detail Daily Statement Report

Statement Report Field	Record Type	Record Data Field
Of The Indicated Data Field)		Maintenance Fee (Water-ways), Raspberry Fee, Potato Fee, Lime Fee, Mushroom Fee, Watermelon Fee, Softwood Lumber Fee, Blueberry Fee, Hass Avocado Fee, Mango Fee, Sorghum Fee, Dairy Fee, Merchandise Fee, Merc Informal Fee, Merc Surcharge Fee, Interest Amount. When Interest (Recon) is included, print "I".
Total Amount: (Must Be Calculated By the Broker As Sum Of Indicated Data Fields)	Q1, Q2, QA	Estimated Duty Amount, Estimated Tax Amount (If Not Deferred), Antidumping Duty Amount (If Not Bonded), Countervailing Duty Amount (If Not Bonded), Total User Fees (See User Fees Above)
DTY	Q2	If Pay Indicator = N, NR will be printed In Column
TEAM (FIS)	Q2	A code indicating the CBP Import Specialist team assigned to the entry summary.

Note: Daily statements with entry summaries listed for multiple district/ports of entry assigned to the same statement must have the entries for each district/port of entry printed on a separate page. The user must format the statement to have a page break for each district/port of entry on the statement regardless of the processing port. A page can contain up to 39 lines.

## Preliminary Daily Statement Report

Definitions for the data elements reported on the Preliminary Daily Statement Report are defined below. See *Figure 2* for a sample of the report.

<b>Preliminary Daily Statement Report</b>		
<b>Statement Report Field</b>	<b>Record Type</b>	<b>Record Data Field</b>
Statement #	Q3	Statement Number
Imprt Rec # (Importer Statement Only)	Q3	Importer of Record Number
Broker/Filer #	B	Name/Filer Number
Process Dist/Port	Q3	District/Port Which Processes Entries
Estimated Duty	Q3	Total Estimated Duty Amount
Estimated Taxes	Q3	Total Estimated Tax Amount
Estimated ADD	Q4	Total Antidumping Duty
Estimated CVD	Q4	Total Countervailing Duty
Interest Amount	Q4	Total Interest Amount
Cotton Fee	QE	Total Cotton Fee
Sugar Fee	QE	Total Sugar Fee
Mail Fee	QE	Total Mail Fee Amount
Pork Fee	QE	Total Pork Fee Amount
Beef Fee	QE	Total Beef Fee Amount
Merchandise Fee	QE	Total Merchandise Processing Fee Amount
Merc Surcharge	QE	Total Manual Surcharge Fee Amount
Merc Informal	QE	Total Informal Merchandise Processing Fee Amount
Honey Fee	QE	Total Honey Fee Amount
Waterways Fee	QE	Total Harbor Maintenance Fee (Waterways)
Raspberry Fee	QE	Total Raspberry Fee Amount
Potato Fee	QE	Total Potato Fee Amount
Lime Fee	QE	Total Lime Fee Amount

## Preliminary Daily Statement Report

Statement Report Field	Record Type	Record Data Field
Mushroom Fee	QE	Total Mushroom Fee
Watermelon Fee	QE	Total Watermelon Fee
Softwood Lumber Fee	QE	Total Softwood Lumber Fee
Blueberry Fee	QE	Total Blueberry Fee
Hass Avocado Fee	QE	Total Hass Avocado Fee
Mango Fee	QE	Total Mango Fee
Sorghum Fee	QE	Total Sorghum Fee
Dairy Fee	QE	Total Dairy Fee
Deferred Taxes	Q3	Total Deferred Tax Amount
Total Amount Due	Q4	Total Amount Due
Revenue Producing Entries	Q4	Total Number of Revenue Producing Entries
Non-Revenue Producing Entries	Q4	Total Number of Non-Revenue Producing Entries

## Total Daily Statement Report

Definitions for the data elements reported on the final Total Daily Statement Report are defined below. See *Figure 3* for a sample of the report.

<b>Total Daily Statement Report</b>		
<b>Statement Report Field</b>	<b>Record Type</b>	<b>Record Data Field</b>
Statement #	Q3, Q5	Statement Number
Imprt Rec # (Importer Statement Only)	Q1	Importer of Record Number
Broker/Filer #	B	Name/Filer Number
Process Dist/Port	Q3, Q5	District/Port Which Processes Entries
Estimated Duty	Q3, Q5	Total Estimated Duty Amount
Estimated Taxes	Q3, Q5	Total Estimated Tax Amount
Estimated ADD	Q4, Q6	Total Antidumping Duty
Estimated CVD	Q4, Q6	Total Countervailing Duty
Interest Amount	Q4, Q6	Total Interest Amount
Cotton Fee	QE, QJ	Total Cotton Fee
Sugar Fee	QE, QJ	Total Sugar Fee
Mail Fee	QE, QJ	Total Mail Fee Amount
Pork Fee	QE, QJ	Total Pork Fee Amount
Beef Fee	QE, QJ	Total Beef Fee Amount
Merchandise Fee	QE, QJ	Total Merchandise Processing Fee Amount
Honey Fee	QE, QJ	Total Honey Fee Amount
Waterways Fee	QE, QJ	Total Harbor Maintenance Fee (Waterways)
Raspberry Fee	QE, QJ	Total Raspberry Fee Amount
Potato Fee	QE, QJ	Total Potato Fee Amount
Lime Fee	QE, QJ	Total Lime Fee Amount
<b>Total Daily Statement Report</b>		

## Total Daily Statement Report

<b>Statement Report Field</b>	<b>Record Type</b>	<b>Record Data Field</b>
Mushroom Fee	QE, QJ	Total Mushroom Fee
Watermelon Fee	QE, QJ	Total Watermelon Fee
Softwood Lumber Fee	QE, QJ	Total Softwood Lumber Fee
Blueberry Fee	QE, QJ	Total Blueberry Fee
Hass Avocado Fee	QE, QJ	Total Hass Avocado Fee
Mango Fee	QE, QJ	Total Mango Fee
Sorghum Fee	QE, QJ	Total Sorghum Fee
Dairy Fee	QE, QJ	Total Dairy Fee
Merc Surcharge	QE, QJ	Total Manual Surcharge Fee Amount
Merc Informal	QE, QJ	Total Informal Merchandise Processing Fee Amount
Deferred Taxes	Q3, Q5	Total Deferred Tax Amount
Total Amount Due	Q4, Q6	Total Amount Due
Revenue Producing Entries	Q4, Q6	Total Number of Revenue Producing Entries
Non-Revenue Producing Entries	Q4, Q6	Total Number of Non-Revenue Producing Entries

## Daily Statement Report (Final)

Definitions for the data elements reported on the Daily Statement Report (Final) are defined below. See *Figure 4* for a sample of the report.

<b>Daily Statement Report</b>		
<b>Statement Report Field</b>	<b>Record Type</b>	<b>Record Data Field</b>
Statement #	B	Statement Number
Daily Statement Report	B	Statement Status
Statement Print Date	B	Preliminary Statement Print Date
Client Branch Designation	B	The Client Branch Designation is optional
Process Date	A	Current Date
Statement For	B	If the Payment Type Indicator Code is 2, use the Broker Name, if the Payment Type Indicator is 3, use the Importer Name
Imprt Rec #	Q1	Importer of Record Number
Broker/Filer #	B	Entry Filer Code
Process Dist/Port	B	Processing District/Port
Entries Number	Q7	Entry Filer code/Entry Number
Delete Source	Q7	Delete Source







