

CBP and Trade Automated Interface Requirements

ACE CATAIR Error Dictionary - Statement
Process



U.S. Customs and
Border Protection



Pub#0875-0419



Statement Error Dictionary

		ALL Condition Codes' Severity Code will be "F" Fatal EXCEPT for Accepted Messages – P12 and MSA	
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Condition Code	Narrative Text	Explanation	Date Updated
Condition Codes - Specific to ACE AE - Entry Summary Statement Data			
EFFECTIVE - JAN 8, 2018 DAILY STATEMENTS DEPLOYED TO ACE			
197	PAYMENT TYPE CODE UNKNOWN	Correct payment type codes are 1, 2, 3, 5, 6, 7 or 8. Other codes will cause rejection.	
200	PERIODIC STMT MONTH NOT ALLOWED	Payment type codes other than 6, 7, or 8 must have the periodic stmt month field blank.	
201	STMT CLIENT BRANCH NOT ALLOWED	Client branch code submitted for an entry summary not assigned with a client branch.	
202	PRELIM STMT DATE MISSING	For payment type codes other than 1, a preliminary stmt date must be submitted.	
203	PRELIM STMT DATE NOT A KNOWN DATE	The preliminary stmt date must be a valid date or is space filled when the payment type code equals 1.	
204	PRELIM STMT DATE IS SAT, SUN, OR HOL	The preliminary stmt date cannot be a Saturday, Sunday, or designated local or federal holiday.	
205	PRELIM STMT DATE MUST BE IN FUTURE	The preliminary stmt date must be at least one business day in the future. If the date is in the past or equal to the transmission date of the SU, a rejection will occur.	
206	PRELIM STMT DATE>90 DAYS IN FUTURE	The preliminary stmt date cannot be more than 90 days in the future as compared to the system transmission date of the SU.	
207	STATEMENT PROCESSING NOT AUTHORIZED	The filer code of the filer submitting the SU transmission is not authorized for statement processing within the ACE Trade Profiles.	
208	PERIODIC STMT REQUIRES CONTINUOUS BOND	A continuous bond must be on file for the importer of record and bond type "8" has been transmitted in the AE record of the entry summary number in the SU transmission.	
210	PERIODIC STMT REQUIRES ACE IMPORTER	For payment type codes of 6, 7, or 8, the importer of record for the entry summary number must be designated as an ACE-PMS participant.	
211	PERIODIC STMT MONTH MISSING	There must be a periodic stmt month indicated when using PMS payment type codes of 6, 7, or 8.	
212	PERIODIC STMT MONTH UNKNOWN	The periodic stmt month must indicate "01" through "12". Any other code will generate this error.	
213	PERIODIC STMT MONTH TOO FAR INTO FUTURE	The periodic stmt month submitted in the SU cannot be no further into the future than two months following the month of release.	
215	PRELIM STMT DAY>PERIODIC STMT DAY	The SU shows a preliminary stmt date that is after the date that the periodic monthly statement will be generated for the statement month requested.	
216	PRELIM STMT FOR DTE ALREADY PRODUCED	The preliminary stmt date is equal to or earlier than the date of the transmission of the SU. Also, check that the preliminary stmt date is not earlier than the estimated entry date of the entry summary.	
218	ESTIMATED ENTRY DT CANNOT BE >STMT DT	The estimated entry date cannot be later than the preliminary stmt date submitted in the SU.	
219	STMT CLIENT BRANCH MISSING	A client branch indicator must be submitted for all SU transactions by a filer designated as a client branch filer.	



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Condition Code	Narrative Text	Explanation	Date Updated
222	ELEC INVOICE REQUIRES SUMMARY ON STMT	When the entry number in the SU is designated as an electronic entry (EIP-RLF), the payment type code must be a statement pay type of 2, 3, 5, 6, 7 or 8.	
263	PAYMENT TYPE CODE MISSING	All SU transactions must indicate a payment type code in the H record, pos 19.	1/27/2017
718	IR TAX NOT ALLOWD ON MONTHLY PERDC STMT	Entries reporting cargo subject to IRS excise tax cannot be designated for a periodic monthly statement, payment type codes of 6, 7, or 8.	
B14	ENTRY NUMBER MISSING	An SU input H record Pos. 11-18 must report an entry number.	1/27/2017
B30	ENTRY SUMMARY HAS BEEN LIQUIDATED	If the ACE entry summary number in the SU has been scheduled for liquidation. The SU action is not permitted.	
B31	ENTRY SUMMARY HAS BEEN CANCELLED	If the ACE entry summary number in the SU is in "cancelled" status, the SU action is not permitted.	
		Condition Codes - Specific to SU- Statement Update	
EFFECTIVE - JAN 8, 2018 DAILY STATEMENTS DEPLOYED TO ACE			
ZZZ	ACE SYSTEM FAILURE	A processing failure has occurred in the ACE system for the SU transaction. Contact a Client Representative.	
P01	ENTRY NOT FOUND	The entry filer code and entry number in the SU is not in the ACE system.	
P02	STATEMENT ALREADY PAID	The entry number in the SU has already been paid.	
P03	ENTRY IS NOT A STATEMENT ENTRY	The SU is showing a payment type code of "1" for an entry number that is not a statement entry.	
P04	STATEMENT NOT YET CREATED	The SU is showing a payment type code of "1" for an entry number whereby the statement has not been generated. Deletion of an entry cannot occur until the statement has been generated but not paid.	
P05	PAYMENT TYPE/DUE DATE CONFLICT	A payment type code (2, 3, 5, 6, 7, or 8) was sent without a preliminary stmt date shown.	
P06	REMOTE ENTRY - CANNOT DEL FROM STMT	The entry number in the SU is an RLF entry and cannot be deleted from a statement. Payment type code "1" is not allowed.	
P09	STMT LOCKED FOR PAYMENT	Payment for entry number in SU has been sent to pay.gov. No changes are allowed.	
P10	REMOTE/SUMMARY PREPARER DDPP MISMATCH	The SU "B" record remote preparer dist/port code must match the remote preparer dist/port code on the entry summary's "B" record. The remote preparer information can be blank. If populated, they must match.	11/7/2016
P11	DDPP DOES NOT MATCH SUMMRY B RECORD PORT	The SU "B" record processing dist/port code must match the processing dist/port code in the "B" record of the AE transmission.	6/1/2018
P12	DATA ACCEPTED AS REQUESTED	Replaced data submitted in the SU "H" record has been accepted.	
P13	QN/PN MUST BE DELETED BEFORE SU ACTION	The QN/PN transaction has been accepted but not yet sent to pay.gov. Filer must contact their Client Representative to negate the QN/PN action within time constraints (prior to end of day process). Once the QN/PN is negated, the filer can resend the SU to delete the entry summary from the statement.	6/1/2018



Statement Error Dictionary

Condition Code	Narrative Text	Explanation	Date Updated
P16	DATE MUST BE<OR=WTHDR SCH PAY DT	The SU preliminary stmt date for a warehouse entry (entry types 21/22) must be less than or equal to the scheduled pay date of all related warehouse withdrawals (entry types 31/32/34/38). SU update submitted with pay type 1 and no statement date will be accepted.	1/27/2017
P17	DATE MUST BE>OR=WHS SCH PAY DATE	The SU preliminary stmt date for a warehouse withdrawal (entry types 31/32/34/38) must be greater than or equal to the scheduled pay date of the related warehouse entry (entry types 21/22). Also, the preliminary stmt date for a re-warehouse entry (entry type 22) must be greater than or equal to the scheduled pay date of the related warehouse/re-warehouse entry (entry types 21/22). SU update submitted with pay type 1 and no statement date will be accepted.	1/27/2017
P18	SINGLE PAY NOT ALLOWED FOR QUOTA	Quota processing for the entry summary number in the SU has occurred. Single payment is not allowed.	
P20	SCH PAY DATE INVALID FOR QUOTA	The scheduled statement payment date cannot exceed 10 working days from the Quota Presentation date.	
P21	MUST BE SINGLE PAY AFTER PN FOR PMS ENTY	Once the PN has been accepted and a final PDS has been issued, a PMS entry cannot be rescheduled for another statement date. The SU must be submitted with a single payment type code of "1" and NO statement payment date. The entry must be submitted with a check for payment.	6/1/2018
P22	ENTRY TYPES 08 AND 09 NOT ALLWD FOR PMS	Entry types 08 and 09 cannot be designated for a Periodic Monthly Statement, statement payment type codes, 6, 7 or 8. Must use statement payment type codes of 1, 2, 3 or 5.	
P23	SU COND CODE MISSING - CALL CLIENT REP	The SU has been processed but has rejected due to a missing condition code. Contact a Client Representative for reporting and resolution.	
X15	PROCESSING PORT CODE MISSING	The SU "B" record is missing a Processing Port Code	1/27/2017
X16	FILER CODE MISSING	The SU "B" record is missing a filer code.	1/27/2017
X22	REMOTE PREPARER/REMOTE IND CONFLICT	If the entry summary had a remotely filed (RLF) indicator, the SU "B" record must have a remotely filed (RLF) indicator. If the entry summary did not have a remotely filed (RLF) indicator, then the SU "B" record cannot show this indicator.	11/7/2016
X26	REMOTE AND PRSSNG FILER NOT THE SAME	The SU "B" record remote preparer filer code must match the remote preparer filer code on the entry summary's "B" record. The remote preparer information can be blank. If populated, they must match.	12/22/2016
X28	REMOTE PREPARER UNKNOWN	The SU "B" record remote preparer office code must match the remote preparer office code on the entry summary's "B" record. The remote preparer information can be blank. If populated, they must match.	12/22/2016
X41	MULTIPLE QUERIES IN BATCH NOT ALLOWED	Multiple B/Y blocks cannot be sent within one A/Z batch for the SU application.	12/22/2016
Condition Codes - Specific to MO- Statement Reroutes			
EFFECTIVE - JAN 8, 2018 DAILY STATEMENTS DEPLOYED TO ACE			
MS0	MULTIPLE REQSTS NOT ALWD IN A BLOCK	Cannot have more than one "QR" record within a single B/Y block.	
MS1	INPUT REROUTE REQUEST MISSING	No "QR" record is found within the B/Y block.	



Statement Error Dictionary

Condition Code	Narrative Text	Explanation	Date Updated
MS2	TRANS DATE OF STMT BEYOND 60 DAYS	The transmission date of the Statement or ACH payment in the MO request cannot be greater than 60 days in the past.	
MS3	TRANS DATE OF STMT MISSING OR INVALID	A transmission date must be present when using the IR Number, Client Branch Designation or Scope Indicator options. The transmission date when present must be in the correct format (MMDDYY). Transmission date is not required when using a Statement Number within the "QR" record.	
MS4	IMPORTER OF RECORD UNKNOWN	The IR Number within the "QR" record is in an invalid format or is not found in the ACE database.	
MS5	STATEMENT NUMBER UNKNOWN	The Statement Number within the "QR" record is not found in the ACE database.	
MS6	SCOPE INDICATOR INVALID	The Scope indicator within the "QR" record must be either an "A" or blank. Any other value will reject. The "A" indicator is used to request all statements for all ports within the DP-Site port/filer in the "A" record of the MO request. The "blank" indicator is used to request all statements for the processing port/filer in the "B" record of the MO request.	
MS7	STMT REQUEST (PREL OR FINAL) INVALID	The Preliminary and/or Final statement request indicator must show either a "Y" or "N". A reject will occur when the field is left blank.	
MS8	OPTIONAL REQUEST FIELD(S) USE INVALID	The IR Number, Client Branch ID, Statement Number and Scope Indicator fields in the "QR" record (positions 9-33) are the optional request fields. When using a statement number or scope indicator, do not input data within the other optional fields. When submitting an IR number, you can submit the client branch ID. Any other combination of data elements within the optional fields will cause this error. (See Note 1 of the "QR" input record in the MO ACE CATAIR Chapter).	
MS9	NO STATEMENT FOUND TO REROUTE	ACE database did not find a statement matching the data submitted in the "QR" record.	
MSA	TOTAL NUMBER OF REROUTES	The "QX" output record positions 52-57 will provide a value representing the total number of successful reroutes requested within the "QR" input record.	
MSB	STATEMENT NUMBER INVALID	The Statement Number within the "QR" record is a 10 AN field and the statement number is in an invalid format.	
MSC	PRELIM DAILY STMT REQUEST REQD	The "QR" record, position 34 must show a value of either "Y" or "N". This field is missing an indicator.	2/3/2017
MSD	FILER NOT AUTHORIZED FOR STMT REQUESTED	The filer code located in the "B" record of the MO transaction is not the filer assigned to the requested statement number in the "QR" record. Only the filer assigned to the statement number can request the statement to be rerouted.	
MSE	MO COND CODE MISSING - CALL CLIENT REP	The MO has been processed but has rejected due to a missing condition code. Contact a Client Representative for reporting and resolution.	
MSF	FINAL DAILY STMT REQUEST REQD	The "QR" record, position 35 must show a value of either "Y" or "N". This field is missing an indicator.	2/3/2017
MSG	PRELIM PERIODIC MONTHLY STMT REQ REQD	The "QR" record, position 36 must show a value of either "Y" or "N". This field is missing an indicator.	2/3/2017
MSH	FINAL PERIODIC MONTHLY STMT REQ REQD	The "QR" record, position 37 must show a value of either "Y" or "N". This field is missing an indicator.	2/3/2017



Statement Error Dictionary

Condition Code	Narrative Text	Explanation	Date Updated
X04	TRANSACTION DETAIL MISSING	This is a generic CATAIR validation, not only for the MO transaction. A "QR" transaction record is missing within the A/B/Y/Z batch/block.	
X17	FILER NOT AUTHORIZED	In the MO transaction, access to statements is prohibited unless the participant is authorized for statement processing. The filer code within the "B" record is verified for access to statements.	
X34	UNKNOWN RECORD ID FOUND IN GROUPING	A record ID other than "QR" was sent within the MO transaction.	
X41	MULTIPLE QUERIES IN BATCH NOT ALLOWED	This is generated if there are multiple B/Y blocks within an A/Z batch. Only One B/Y block is allowed for the Statement Reroute Request MO transaction.	
		Condition Codes - Specific to RM - Payment Authorization – FUTURE ACE DEPLOYMENT- TBD	
EFFECTIVE – AUG 2019 – ACH DEBIT AND ENTRY SUMMARY PRESENTATION DEPLOYED TO ACE			
D01	PAYMENT ACCEPTED	The ACH Debit Authorization/Entry Summary Presentation transaction has been accepted	
D02	PUN CONTAINS NON-NUMERICS OR ZEROS	On an RM transaction, this error will occur if the PUN contains letters or all zeros. Only all spaces or numbers are allowed.	
D03	STATEMENT FILER MISSING	On an RM transaction, this error will occur if there are only spaces in the statement filer field. A statement filer is required.	
D04	STATEMENT NUMBER MISSING	On an RM transaction, this error will occur if there are only spaces in the statement # field. A statement # is required on an RM transaction.	
D05	PMT AMT CONTAINS NON-NUMERICS OR SPACES	On an RM transaction, this error will occur if the payment amount field has letters and/or spaces. Dollar amount should be left justified with preceding zeros	
D06	STATEMENT NOT ON FILE	On an RM transaction, this error will occur if the statement # submitted does not match an active statement number in ACE.	
D07	FILER DOES NOT MATCH STATEMENT FILER	On an RM transaction, this error will occur if the filer code does not match the filer code of the statement # on file in ACE.	
D08	PAYMENT AMOUNT NOT EQUAL TO STMT AMOUNT	On an RM transaction, this error will occur if the dollar amount submitted does not match the dollar amount for the statement on file in ACE.	
D09	PUN SUSPENDED	On an RM transaction, this error will occur if the Payer Unit Number (PUN) has been suspended by the Office of Finance.	
D10	INCORRECT PUN FOR FILER	On an RM transaction, this error will occur if the submitted Payer Unit Number (PUN) does not belong to the filer code associated with the broker statement. (statement pay basis 2 or 6)	
D11	INCORRECT PUN FOR IMPORTER OR FILER	On an RM transaction, this error will occur if the Payer Unit Number (PUN) does not belong to either the filer code or the importer on the importer statement (statement pay basis 3, 5, 7, 8)	
D12	PAYER UNIT NUMBER NOT ON FILE	On an RM transaction, this error will occur if the Pay Unit Number (PUN) does not exist or has been deleted.	
D13	PAYMENT TYPE CODE NOT CORRECT FOR STMT	On an RM transaction, this error will occur if the payment type code is not correct for the statement pay basis. If the statement pay basis is 2, 3, or 5, the RM payment type code must be 02. If the statement pay basis is 6, 7, or 8, the RM payment type code must be 01	
D14	STATEMENT ALREADY AUTHORIZED	On an RM transaction, this error will occur if an RM is submitted for a statement that has previously been authorized by an RM.	



Statement Error Dictionary

Condition Code	Narrative Text	Explanation	Date Updated
D15	STATEMENT ALREADY PAID	On an RM transaction, this error will occur if an RM is submitted for a statement that has already been paid.	
D16	NEGATION CODE UNKNOWN	On an RM transaction, this error will occur if the negate code is something other than a Y or space	
D17	NEGATION DATE UNKNOWN	On an RM transaction, this error will occur if the date is not a real date in MMDDYY format	
D18	NEGATION DATE MUST BE CURRENT DATE	On an RM transaction, this error will occur if the date is not the current date	
D19	NEGATION DATE NOT ALLOWED	On an RM transaction, this error will occur if the Negation Date is populated when the Negation Code is a space	
D20	NEGATION DATE MISSING	On an RM transaction, this error will occur if the Negation Date is not populated when the Negation Code is a Y	
D21	AUTHORIZATION DOES NOT EXIST TO NEGATE	On an RM Negate transaction, this error will occur if there is not an active authorization record for the statement.	
D22	PAYMENT NEGATED	If the RM negate passes all validations and is accepted. The authorization will be negated.	
D23	PMT TYPE CD CONTAINS NON-NUMERIC/SPACES	On an RM transaction, this error will occur if there are only spaces in the payment type code field	
D25	RM SUBMITTED LATE	On an RM transaction, this error will occur if the RM is submitted for a periodic daily statement (PDS) and the print date for the periodic monthly statement (PMS) has passed. For Broker statements (pay basis = 6), the prelim PMS date is based on the filer's statement calendar. For Importer statements (pay basis = 7 or 8), it will be the importer calendar.	
D26	PUN REQUIRED FOR PAYMENT TYPE 02	On an RM transaction, this error will occur if the Payer Unit Number (PUN) is not provided for a regular daily statement where the amount due is greater than \$0. If the statement amount is \$0, the PUN is not required.	
D27	AUTH PROCESSING UNABLE TO NEGATE	On an RM transaction, this error will occur if the statement is not paid yet but the authorization has been sent to pay.gov or the final daily statement has been sent to the trade.	
D28	PREVIOUS RM SUBMISSION STILL PROCESSING	If a second RM is submitted before the previous RM has completed processing, this error will occur.	1/6/2020
X01	BATCH CONTROL MISSING - A-RECORD	No A-record	
X02	RESPONSE CANNOT BE DELIVERED	If the ABYZ validations fail and a PZ can't be returned to the filer	
X03	BLOCK CONTROL MISSING - B-RECORD	No B-record	
X01	BATCH CONTROL MISSING - A-RECORD	No A-record	
X05	BLOCK CONTROL MISSING - Y-RECORD	No Y-record to go with the B-record	
X06	BATCH CONTROL MISSING - Z-RECORD	No Z-record to go with the A-record	
X07	SENDER/RECEIVER SITE CODE MISSING	The A or Z record is missing the DDPP of the sender in pos 2-5	
X08	SENDER/RECEIVER ID CODE MISSING	The A or Z record is missing the ID code in pos 6-8 for the transmitter of the data.	
X09	SENDER/RECEIVER NOT AUTHORIZED	There is no ABE record on file for the DDPP/Flr/Off code found in the A record.	
X10	TRANSMISSION DATE UNKNOWN	Date in format MMDDYY may be an actual date (can be past or future date, but should be a real date)	
X11	APPLICATION ID CODE MISSING	The application ID is not found in the A record, pos 26-27.	
X12	NOT A KNOWN ACE APPLICATION ID CODE	The application ID code is not recognized by ACE.	
X13	APPLICATION NOT CURRENTLY AVAILABLE	If the application ID in the A record is not enabled in the ACE database, this error will result.	



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Condition Code	Narrative Text	Explanation	Date Updated
X14	Z-REC DOES NOT MATCH A-REC	The data elements "Sender/Receiver Site Code", "Sender/Receiver ID Code", "Transmission Date", and "Sender/Receiver Office Code" must be identical in both the A and Z records.	
X18	PROC PORT/FLR NOT AUTHRZD FOR SENDR/RCVR	The A record port code in the AE is not listed as an active dp-site for the port code transmitted in the B record for the filer.	
X21	REMOTELY FILED IND UNKNOWN	Pos 56 of the B record permits only a "1" or "2" to reflect remotely filed entries. Any other character will generate this message (as of Jan 2013).	
X23	REMOTE FILING NOT ALLOWED FOR APPLCTN ID	Remote preparer information in the B record, pos 47-53, was transmitted for an application that is not eligible for RLF processing...in this case, a RM.	
X32	Y-REC DOES NOT MATCH B-REC	The port code in the B record, pos 4-7, does not equal the port code in the Y record, pos 4-7.	
X36	LOOP EXCEEDED	If there are more than 9999 PT records in a BY block	
X39	DATA FOUND IN FILLER	If the filer includes data in a 'space fill' field, this error will result	
X42	LAST RECORD LESS THAN 80-CHAR LENGTH	The last record in the batch (Z record) has fewer than 80 characters.	
		UPDATE ACTIONS TO STATEMENT ERROR CODES	
	CONDITION CODE	UPDATE/CHANGE/DELETION EXPLANATION	Date Updated
	P14, P15	Deleted P14-replaced with X26, Delete P15 -replaced with X28	12/22/2016
	X41	Added X41	12/22/2016
	P19	Delete - No Longer Valid Edit	12/22/2016
	Q10	Deleted - not used in RM Process - Q20 covers this edit.	1/27/2017
	263,B14,X15 and X16	Added to SU codes	1/27/2017
	P16, P17	Chg narrative to add single pay type code "1" allowed for SU action.	1/27/2017
	MSC, MSF, MSG, MSH, MSI	MSC Changed and MSF, MSG, MSH, MSI Added to MO codes	2/3/2017
	PN4	Add to RM Error codes	3/3/2017
	P11 P13, P21 MSI	SU "B" record processing dist/port code must match the processing dist/port code in the "B" record of the AE transmission. Replace the "RM" to QN/PN within Narrative text of condition codes P13 and P21. Removed MSI from Error Listing – ACH Payment Reroute Request will continue in ACS until collections are deployed to ACE.	6/1/2018
	D01-D27 X01-X42	Added condition codes for RM transaction	3/6/2019
	D28	Added condition code D28 for RM transaction	1/6/2020