

# CBP Automated Export System Trade Interface Requirements

## Appendix E – Commodity Filing Export Information Codes and Reporting Guidelines

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U.S. Customs and  
Border Protection



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## APPENDIX E - Commodity Filing Export Information Codes and Reporting Guidelines

### Commodity Filing Export Information Codes

Report an Export Information Code (EIC) in a commodity or transportation filing. The EIC indicates the type or condition of the shipped item(s).

The USPPPI or the authorized agent, in accordance with the Foreign Trade Regulations (Part 30 -FTR), must file an Electronic Export Information (EEI) for all commodities exported except as *specifically exempted* in the FTR and other regulation.

### Mandatory Electronic Filing

Export shipments that **require** the filing of an EEI include:

- Shipments of items identified on the U.S. Munitions List (USML) of the International Traffic in Arms Regulations (ITAR) (22 CFR, Part 121), including those exported under an export license exemption, that would otherwise require the filing of an EEI. Such items identified on the USML must be reported electronically to AES regardless of value. Report 'OS' or any applicable EIC for the commodity (from Table A or B).
- Shipments of items identified on the U.S. Department of Commerce' Commerce Control List (CCL) of the EAR (15 CFR Supplement N0. 1 to Part 774) that would otherwise require the filing of an EEI. Such items identified on the CCL must be reported electronically to AES regardless of value. Report 'OS' or any applicable EIC for the commodity (from Table A or B).
- Shipments of rough diamonds classified under Harmonized System subheadings 7102.10, 7102.21, and 7102.31 and exported (re-exported) in accordance with the Clean Diamond Trade Act and the Rough Diamond Control Regulations (13 CFR Part 592) must be reported electronically to AES regardless of value, destination or mode of transportation.
- Shipments of items that meet one of the following conditions, regardless of value:
  - a) Shipments destined to Cuba, Iran, Iraq, Libya, North Korea, Sudan, or Syria
  - b) Shipments that require a validated license (15 CFR Parts 730 through 774 of the EAR),
  - c) Shipments that require a Drug Enforcement Administration export permit (12 CFR 1312).

Report 'OS' or any applicable EIC for the commodity (from Table A or B).

- Shipments of items not specifically exempted below (i.e., optional filing). Report 'OS' or any applicable EIC for the commodity (from Table A or B)

### Optional Electronic Filing

Export shipments that **do not require** the filing of an EEI include:

- Shipments of items that fall under FTR Subpart C – Special Provisions Under Particular Circumstances (30.25-30.39). AES shall accept an EEI that falls into this category, however, report the applicable EIC (from Table B).
- Shipments of items that fall under FTR Subpart D – Exemptions from the requirements for the Filing of Electronic Export Information(30.35 – 30.40; 30.2(d)(3)). AES shall accept an EEI that falls into this category, however. Report the applicable EIC (from Table B).

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- Shipments of items, due to low value, that are exempt from filing per FTR 30.37(a). AES shall accept an EEI that falls into this category, however. Report ‘OS’ or any applicable EIC (from Table A or B).

### Summarizing Commodity Data on an EEI

AES requires that a filer consistently apply a single ‘summarization’ method when determining low value shipments (when FTR 37(a) applies). Either method is acceptable. Once chosen, however, the filer must report all EEI(s) using the same method. Do not switch back and forth between the ‘summarizing’ and ‘non-summarizing’ methods.

#### The ‘Summarizing’ Method:

- Summarize shipment data by commodity classification (i.e., Schedule B/HTS Number). If the value of the summarized, non-licensed commodity line item is valued \$2,500 or less, the commodity line item is exempt from reporting per FTR 30.37(a). Do not electronically report low value, summarized shipment data. Report only those summarized lines in which the value exceeds \$2,500.

#### The ‘Non-Summarizing’ Method:

- Do not summarize shipment data by commodity classification. Electronically report all commodity lines regardless of value. Do not apply the low value filing exemption.

### Additional Guidelines

- Each Line Item Detail grouping in an EEI must cite an EIC.
- AES shall accept any EIC listed in Table A or B below.
- Numerous EI codes, accepted prior to April 2004, shall no longer be accepted (see Table C below).
- Note the restrictions on which EIC is allowed reporting with which License Code. See “Appendix F, License and License Exemption Type Codes and Reporting Guidelines” for details.
- A Shipment Header Grouping and Shipment Party Grouping requires reporting with every EEI (i.e. shipment data). At least one Commodity Line Item Grouping requires reporting as well (i.e., commodity data). With the sole exception of a claim of Household Goods (EIC ‘HH’), complete, applicable commodity data element reporting is required. These data elements include:
  - Foreign/Domestic Origin Indicator
  - Schedule B/HTS Number
  - Unit of Measure (1)
  - Quantity (1)
  - Unit of Measure (2) – when required by classification
  - Quantity (2) – when required by classification

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**Table A: Applicable EI Codes for Mandatory EEI Reporting**

EIC	Type/Condition Description	EEI Data
<b>Choose a specific, applicable type/condition...</b>		
CH	Shipments of goods donated for relief or charity provided for in Chapters 1 through 97 of Schedule B. Specific goods listed below should be reported under the appropriate Schedule B Chapter 98 numbers as follows:  1) Commingled food products – Schedule B# 9802.10.0000 2) Medicinal and pharmaceutical products – Schedule B# 9802.20.0000 3) Wearing apparel – Schedule B# 9802.30.0000 4) Other goods donated for relief or charity – Schedule B# 9802.40.0000	Report complete shipment and commodity data.
CI	Impelled shipments of goods donated for relief or charity provided for in Chapters 1 through 97 of Schedule B. Specific goods listed below should be reported under the appropriate Schedule B Chapter 98 numbers as follows:  1) Commingled food products – Schedule B# 9802.10.0000 (Impelled) 2) Medicinal and pharmaceutical products – Schedule B# 9802.20.0000 (Impelled) 3) Wearing apparel – Schedule B# 9802.30.0000 (Impelled) 4) Other goods donated for relief or charity – Schedule B# 9802.40.0000 (Impelled)	Report complete shipment and commodity data.
CR	Goods moving under a carnet (international license – not entered)	Report complete shipment and commodity data.
FS	Foreign Military Sales program conducted on the basis of formal contracts or agreements between the U.S. government and an authorized recipient, being shipped on a commercial carrier.	Report complete shipment and commodity data.
FI	Impelled Foreign Military Sales program conducted on the basis of formal contracts or agreements between the U.S. government and an authorized recipient, being shipped on a commercial carrier.	Report complete shipment and commodity data.
HH	Usual and reasonable kinds and quantities of personal property necessary and appropriate for use by the USPPI in the USPPI's dwelling in a foreign country that are shipped under a bill of lading or an air waybill and are not intended for sale.	Do not report the following data elements: - Foreign/Domestic Indicator - Schedule B/HS Number - Unit of Measure (1) - Quantity (1) - Unit of Measure (2) - Quantity (2)  The following data elements are always required: - Value of Goods - Shipping Weight
IP	Shipments of merchandise imported under a Temporary Import Bond (TIB) for further manufacturing or processing.	Report complete shipment and commodity data.
IR	Shipments of merchandise imported under a Temporary Import Bond (TIB) to be repaired or altered in the United States (Report value of repairs only under Schedule B # 9801.10.0000).	Report complete shipment and commodity data.
IW	Shipments destined to International Waters	Report complete shipment and commodity data.

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<b>EIC</b>	<b>Type/Condition Description</b>	<b>EEI Data</b>
TE	<p>Temporary exports which are not sold or offered for sale and are at the time of shipment definitely intended to be returned to the United States in the same condition as exported:</p> <ol style="list-style-type: none"> <li>1) Goods for exhibition or demonstration and return to the United States</li> <li>2) Goods to be tested or evaluated and returned to the United States</li> <li>3) Goods to be inspected, calibrated, etc. and returned to the United States</li> <li>4) Goods to be repaired and returned to the United States</li> <li>5) Goods for participation in races or contests</li> <li>6) Goods for temporary use in international waters or for temporary use in foreign countries for research, exploration, construction and similar projects.</li> </ol>	Report complete shipment and commodity data.
TP	Temporary exports of domestic merchandise which are to be processed, manufactured or assembled abroad and returned to the United States.	Report complete shipment and commodity data.
ZD	Required for the reexports of goods (to Mexico) withdrawn from a FTZ for which a North American Free Trade Agreement (NAFTA) deferred duty claim (entry type 08) could have been made, but that the importer elected to enter for consumption under CBP entry type 06.	<p>Report complete shipment and commodity data.</p> <p>Include the FTZ Number and the Entry Number.</p>
<b>...or report 'other' (OS or OI) when no specific type/condition applies</b>		
OS	All other exports	Report complete shipment and commodity data.
OI	All other exports (impelled)	Report complete shipment and commodity data.

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**Table B: Applicable EI Codes for Optional EEI Reporting**

While not required by the type/condition of the shipment, AES shall accept an EEI that falls into one of the categories below.

**Note:** If an EEI is not filed (due to an exemption below) the appropriate exemption statement must still be reported on the bill of lading or other loading documentation as per FTSR Letter 168, Amendment 1.

EIC	Type/Condition Description	EEI Data
GS	Shipments to U.S. government agencies and their employees for their exclusive use (15 CFR 30.40):  <ol style="list-style-type: none"> <li>1) Office furniture, equipment and supplies</li> <li>2) Household goods and personal property</li> <li>3) Food, medicine or related items and other commissary supplies</li> <li>4) Books, maps, charts, pamphlets, and similar articles shipped by U. S. government offices to U. S. or foreign libraries, government establishments or similar institutions</li> <li>5) All commodities shipped to and for the exclusive use of the Panama Canal Zone Government or the Panama Canal Company</li> </ol>	Report complete shipment and commodity data.
MS	All shipments consigned to the U.S. Armed Forces for their exclusive use (15 CFR 30.39) including those to:  <ol style="list-style-type: none"> <li>1) Armed services exchange systems</li> <li>2) Military bases for construction and maintenance</li> </ol>	Report complete shipment and commodity data.
GP	Shipments under U.S. Government contract for the construction of facilities overseas.	Report complete shipment and commodity data.
HV	Personally owned vehicles being exported as household effects, provided that the vehicles are (15 CFR Sec. 30.37 (b)):  <ol style="list-style-type: none"> <li>1) Owned by the exporter (USPPI) or his or her immediate family</li> <li>2) In the possession of the exporter (USPPI) at the time of or prior to departure</li> <li>3) Necessary and appropriate for the use of the exporter (USPPI) or his or her immediate family</li> <li>4) Intended for the exporter's (USPPI's) use or the use of his or her immediate family, and</li> <li>5) Not intended for sale.</li> </ol>	Report complete shipment and commodity data.  Include VIN, Title Number and US State of Title.
IS	Shipments of merchandise imported under a Temporary Import Bond (TIB) for return in the same condition as imported:  <ol style="list-style-type: none"> <li>1) Goods previously imported for testing, experimentation, demonstration,</li> <li>2) review, etc.</li> <li>3) Goods previously imported for exhibition, illustrations, etc.</li> <li>4) Samples, models previously imported for review or for taking orders</li> <li>5) Goods previously imported for participation in races or contests</li> <li>6) Animals previously imported for breeding, exhibition</li> </ol>	Report complete shipment and commodity data.
TL	Merchandise exported under lease where the leasing period is less than one year.	Report complete shipment and commodity data.
UG	Single gift parcels as encompassed by Office of Export Administration General License GFT (15 CFR 30.37(h)).	Report complete shipment and commodity data.
DD	Other exemptions: <ol style="list-style-type: none"> <li>1) Currency (both U.S. and foreign)</li> </ol>	Report complete shipment and commodity data.

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<b>EIC</b>	<b>Type/Condition Description</b>	<b>EEI Data</b>
	2) Airline tickets 3) Bank notes 4) Internal revenue stamps 5) State liquor stamps (strip stamps are the same as liquor stamps) 6) Advertising literature 7) Export of articles imported temporarily for use by representatives of foreign governments of international organizations or members of armed forces of a foreign country)	

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**Table C: EI Codes No Longer Accepted by AES**

The following Export Information Codes that were accepted in AES prior to April 2004 have been removed and are no longer accepted in AES. The appropriate exemption statement must still be made on the bill of lading or other documentation as per FTSR Letter 168 Amendment 2.

EIC	Type/Condition Description	Note:
LC/LV	Low value shipments (less than \$2500) 15 CFR 30.37(a)	For non-exempt low-value shipments or if reporting non-summarized data, use 'OS' or other appropriate EI code. See the discussion above regarding Summarizing Commodity Data on an EEI.
DP	Diplomatic pouches (15 CFR 30.37(i))	
HR	Human remains with accompanying receptacles and flowers (15 CFR 30.37(j))	
DB	Drawback	
IC	Interplant correspondence, etc. (15 CFR 30.37(k))	
SC	Instruments of international trade (15 CFR 30.26(b))	
SR	Ship's stores (15 CFR 30.37(m))	
SS	Carrier's stores not intended for unloading in a foreign country (15 CFR 30.37(m))	