

CBP Automated Export System Trade Interface Requirements

Appendix B - Transportation Filing Response Messages

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U.S. Customs and
Border Protection



APPENDIX B – Transportation Filing Response Messages

Transportation Filing Response Messages.

The following response messages are returned to the filer when the Ocean Carrier has reported the export shipment in the AES Vessel Transportation Module and a reporting error condition is encountered. AES rejects the information submitted by the carrier when any of the fatal conditions are encountered and returns a response message. Fatal errors must be corrected immediately and the information retransmitted. AES will accept the information as transmitted when a warning condition is encountered in order to facilitate an expedient movement of the cargo. The filer is notified of the warning condition and must correct the data to complete the record. Included in each response description below is:

Narrative Text. This is the exact text that is returned.

Severity. The severity of the condition.

Proprietary Record ID/Data Elements. This section lists the proprietary record(s) and data element(s) that have caused or contributed to the identified condition.

X.12 Segment ID/Data Elements. When applicable, this section list the X.12 segment(s) and data element(s) that have caused or contributed to the identified condition. **Note: This information is not available at this time.**

Reason. This section states the reason that the condition was identified.

Resolution. This section prescribes a resolution to the problem, if any. Additional information may be presented here as well.

APPENDIX B – Transportation Filing Response Messages**TRANSPORTATION FILING CONDITIONS****Response Code: 009**

Narrative Text: FATAL ERR – NOT AUTHORIZED TO TRANSMIT

Severity: FATAL

Proprietary Record ID/Data Elements: Input ACR Record/User Code, Password

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The transmitter and filer reported do not match the authorized User Code and Password.

Resolution: Verify the User Code and Password are valid for the filer reported. Correct and retransmit if appropriate. Contact your client representative for further assistance.

Response Code: 133

Narrative Text: FATAL ERR – INVALID EXPORT INFORMATION CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input B01/Export Information Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: An invalid Export Information Code (EIC) was reported on the transportation filing.

Resolution: Currently, the only valid EIC for manifest filing is ‘AE’ which is used to indicate that the shipment information has been filed in AES. See “AESTIR, Appendix H – Manifest Filing Export Information Codes.” Verify the EIC, correct and retransmit.

Response Code: 601

Narrative Text: FATAL ERR - INVALID APPLICATION ID

Severity: FATAL

Proprietary Record ID/Data Elements: Input ACR Record/Application Identifier

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Application Identifier is missing or invalid.

Resolution: Verify, include a valid Application Identifier in the message and retransmit. Valid Application Identifier codes are:

EB = Booking

EI = Receipt of Booking

HI = Departure

XI = Manifest Create/Manifest amendment

Response Code: 602

Narrative Text: FATAL ERR-MISS OR OUT OF SEQUENCE RECRDS

Severity: FATAL

Proprietary Record ID/Data Elements: All Input Records

X.12 Segment ID/Data Elements: (not available at this time)

Reason: An expected data record is missing or a data record has been in an order that violates the expected sequence.

Resolution: Verify, report the records in the correct order and retransmit. See Section 2 of Part II for examples.

Response Code: 603

Narrative Text: FATAL ERR - INVALID RECORD ID

Severity: FATAL

Proprietary Record ID/Data Elements: All Input Records/Data Identifier

X.12 Segment ID/Data Elements: (not available at this time)

Reason: A Data Identifier is unknown.

Resolution: Verify, correctly report a valid, known 3-position alphanumeric Data Identifier in the message and retransmit.

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Resolution: Verify, correct the information in the message per the specific resolution and retransmit.

Response Code: 610

Narrative Text: FATAL ERR - ISSUER DATA REJECTED

Severity: FATAL

Proprietary Record ID/Data Elements: N/A

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The issuer data component of the message has not been accepted by AES due to one or more fatal conditions.

Resolution: Verify, correct the information in the message per the specific resolution and retransmit.

Response Code: 611

Narrative Text: FATAL ERR - BOL/BOOKING REJECTED

Severity: FATAL

Proprietary Record ID/Data Elements: N/A

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The BOL/Booking component of the message has not been accepted by AES due to one or more fatal conditions.

Resolution: Verify, correct the information in the message per the specific resolution and retransmit.

Response Code: 612

Narrative Text: FATAL ERR - MISSING REQUIRED RECORDS

Severity: FATAL

Proprietary Record ID/Data Elements: Input M01, P01, J01, B02, A01 Record

X.12 Segment ID/Data Elements: (not available at this time)

Reason: A required record is missing.

Resolution: Verify, include all required records in the message and retransmit.

Response Code: 614

Narrative Text: FATAL ERR - END OF TRANSMISSION MISSING

Severity: FATAL

Proprietary Record ID/Data Elements: Input ZCR Record

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Transaction Control trailer (ZCR) record which signals that the end of a transaction file is missing.

Resolution: Verify, include a ZCR record in the message and retransmit.

Response Code: 615

Narrative Text: FATAL ERR - CHANGE ACTION NOT IMPLEMENTED

Severity: FATAL

Proprietary Record ID/Data Elements: Input A01 Record/Action Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The filer attempt to perform an action not supported in AES (i.e., Action Code = 'C').

Resolution: To make a change to the existing Booking or Bill of Lading, the filer must first delete it, make the change, and re-add the changed record.

Response Code: 621

Narrative Text: FATAL ERR - INVALID CARRIER CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input M01 Record/Carrier Code

X.12 Segment ID/Data Elements: (not available at this time)

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Reason: The value reported as SCAC code (representing the exporting carrier) is missing or unknown.

Resolution: Verify, include a valid, known SCAC code in the message and retransmit.

Response Code: 622

Narrative Text: FATAL ERR - INVALID TRANSPORTATION MODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input M01 Record/Mode of Transportation

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported as Mode of Transportation is unknown or missing.

Resolution: Verify, include a known Mode of Transportation Code in the message and retransmit. See 'Appendix T – Mode of Transportation Codes'.

Response Code: 623

Narrative Text: FATAL ERR - INVALID VESSEL COUNTRY CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input M01 Record/Vessel Country Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value of Vessel Country Code representing the flag of the vessel is unknown or missing.

Resolution: Verify, include a known ISO Country Code in the message and retransmit. See 'Appendix C – ISO Country Codes'.

Response Code: 624

Narrative Text: FATAL ERR - INVALID VOYAGE/FLIGHT NUMBER

Severity: FATAL

Proprietary Record ID/Data Elements: Input M01 Record/Voyage Number

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported as Voyage Number is missing or invalid.

Resolution: Verify, include a Voyage Number in the message and retransmit. If unknown, report the Julian date.

Response Code: 625

Narrative Text: FATAL ERR - INVALID BILL OF LADING COUNT

Severity: FATAL

Proprietary Record ID/Data Elements: Input M01 Record/ Number of Bookings or Bills of Lading

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported for total number of bookings or bills of lading for all U.S. ports of lading or unloading on the manifest is missing or does not match.

Resolution: Verify, correct the count in the message and retransmit.

Response Code: 626

Narrative Text: FATAL ERR-INVALID WEIGHT UNIT (LB OR KG)

Severity: FATAL

Proprietary Record ID/Data Elements: Input B01 Record/Weight Unit

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported for unit of measure for the weight LB (pounds) or KG (kilograms).

Resolution: Verify, report Weight Unit as LB or KG in the message and retransmit.

Response Code: 627

Narrative Text: FATAL ERR – DUPLICATE LINE NUMBER (D01)

Severity: FATAL

Proprietary Record ID/Data Elements: Input D01 Record/Line Number

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X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported in Line Number has already been included in the message (for the container).

Resolution: Verify, include unique Line Numbers (for each container) in the message and retransmit.

Response Code: 628

Narrative Text: FATAL ERR - MISSING ADDRESS

Severity: FATAL

Proprietary Record ID/Data Elements: Input N02 Record

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The N02 address information record is missing.

Resolution: Verify, include the address information in the message and retransmit.

Response Code: 629

Narrative Text: FATAL ERR-DUPL CONTAINER FOR THE BILL

Severity: FATAL

Proprietary Record ID/Data Elements: Input C01 Record/Container Number

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported in Container Number has already been included in the message.

Resolution: Verify, include unique Container Numbers in the message and retransmit.

Response Code: 630

Narrative Text: FATAL ERR - INVALID DISTRICT PORT

Severity: FATAL

Proprietary Record ID/Data Elements: Input P01 Record/ CBP Port of Lading Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported as the CBP port is invalid or missing.

Resolution: Verify, include a valid Port of Lading Code in the message and retransmit. See 'Appendix D – Export Port Codes'.

Response Code: 631

Narrative Text: FATAL ERR - INVALID FOREIGN PORT

Severity: FATAL

Proprietary Record ID/Data Elements: Input B01 Record/Foreign Port

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported representing the foreign port in which exported cargo is to be unloaded is unknown.

Resolution: Verify, report a valid Foreign Port code in the message and retransmit. See 'Appendix Z – Additional Information Sources' for information regarding the Army Corps of Engineer's 'Schedule K – Classification of Foreign Ports by Geographic Trade Area and Country'.

Response Code: 632

Narrative Text: FATAL ERR - BOL OR AWB ALREADY ON FILE

Severity: FATAL

Proprietary Record ID/Data Elements: Input B01 Record/Bill of Lading Control Number

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The filer is attempting to add an issuer-assigned sequence number that has been reported on a previous transmission.

Resolution: Verify the Bill or Lading Control Number, correct the information in the message and retransmit. The Control Number must be unique for the issuer for a period of three years.

Response Code: 633

Narrative Text: FATAL ERR - MANIFEST NOT ON FILE

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Severity: FATAL

Proprietary Record ID/Data Elements: Input M01 Record

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The filer has attempted to update carrier/vessel name/voyage information not found in AES.

Resolution: Verify the carrier/vessel name/voyage, correct the information in the message and retransmit.

Response Code: 634

Narrative Text: FATAL ERR - BOL OR AWB NOT ON FILE

Severity: FATAL

Proprietary Record ID/Data Elements: Input B01 Record

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The filer has attempted to update a bill of lading Control Number that is not found in AES.

Resolution: Verify the bill of lading or air waybill number, correct the information in the message and retransmit.

Response Code: 635

Narrative Text: FATAL ERR - MISSING DESCRIPTION

Severity: FATAL

Proprietary Record ID/Data Elements: Input D01 Record/Description

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Description is missing.

Resolution: Verify, include one or more Descriptions in the message and retransmit.

Response Code: 636

Narrative Text: FATAL ERR - MISSING HAZMAT CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input V01 Record/Hazardous Material Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported as Hazardous Material Code is missing.

Resolution: Verify, include a Hazardous Material Code in the message and retransmit.

Response Code: 637

Narrative Text: FATAL ERR – INVALID PIECE COUNT

Severity: FATAL

Proprietary Record ID/Data Elements: Input D01 Record/Piece Count

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported as Piece Count is invalid.

Resolution: Verify, correct the information in the message and retransmit. The Piece Count is the number of the smallest exterior package units. Note: A pallet or a container is not considered piece counts.

Response Code: 638

Narrative Text: FATAL ERR – MISSING/INVALID CONTAINER SCAC

Severity: FATAL

Proprietary Record ID/Data Elements: Input C01 Record/Container SCAC

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported as Container SCAC is missing or unknown.

Resolution: Verify, include one or more C01 records in the message and retransmit. Verify and report the Container SCAC. Report a valid, known SCAC code. Report 'NC' if the freight is non-containerized.

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Narrative Text: FATAL ERR – MISSING/INVALID CONTAINER NUMBER

Severity: FATAL

Proprietary Record ID/Data Elements: Input C01 Record/Container Number

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Container Number is missing or invalid.

Resolution: Verify, include one or more C01 records in the message and retransmit. Do not include the SCAC code in the Container Number.

Response Code: 640

Narrative Text: FATAL ERR - MISSING MARKS AND NUMBERS

Severity: FATAL

Proprietary Record ID/Data Elements: Input D02 Record/Marks and Numbers

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The written description of the symbols and markings that are on the outside of the packaging are missing.

Resolution: Verify, Marks and Numbers in the message and retransmit.

Response Code: 641

Narrative Text: FATAL ERR – MISSING/INVALID VIN NUMBER

Severity: FATAL

Proprietary Record ID/Data Elements: Input C02 Record

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The unique vehicle identification number stamped on a vehicle by the manufacturer is missing or invalid.

Resolution: Verify, include a valid VIN in the message and retransmit.

Response Code: 642

Narrative Text: FATAL ERR - INVALID ISSUER CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input? Record/Carrier Master Booking, BOL Issuer Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported as the SCAC code of the issuer of the booking or bill of lading is unknown.

Resolution: Verify, report a known SCAC code in the message and retransmit.

Response Code: 643

Narrative Text: FATAL ERR - MISSING ISSUER CODE

Severity: FATAL

Proprietary Record ID/Data Elements: /Carrier Master Booking, BOL Issuer Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported as the SCAC code of the issuer of the booking or bill of lading is missing.

Resolution: Verify, include the SCAC code in the message and retransmit.

Response Code: 644

Narrative Text: FATAL ERR - MISSING VESSEL NAME OR CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input M01 Record/Vessel Name, Conveyance Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Lloyds of London registry code reported representing the exporting conveyance or the vessel name is missing.

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Resolution: Verify, report a valid Lloyds of London registry code or Vessel Name in the message and retransmit.

Response Code: 645

Narrative Text: FATAL ERR - INVALID VESSEL CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input M01 Record/Vessel Name, Conveyance Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Lloyds of London registry code reported representing the exporting conveyance or the vessel name is missing or invalid.

Resolution: Verify, report a valid Lloyds of London registry code or Vessel Name in the message and retransmit.

Response Code: 646

Narrative Text: FATAL ERR - EXPORT DATE IS IN FUTURE

Severity: FATAL

Proprietary Record ID/Data Elements: Input H01 Record/Date, Time

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The actual date/time of departure is less than or equal to the current date/time.

Resolution: Verify, correct the information in the message and retransmit.

Response Code: 647

Narrative Text: FATAL ERR - EXPORT TIME IS IN FUTURE

Severity: FATAL

Proprietary Record ID/Data Elements: Input H01 Record/Date, Time

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The actual date/time of departure is less than or equal to the current date/time.

Resolution: Verify, correct the information in the message and retransmit.

Response Code: 648

Narrative Text: FATAL ERR – INVALID PORT OF DEPARTURE (H01)

Severity: FATAL

Proprietary Record ID/Data Elements: Input H01 Record/Port of Departure

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported in Port of Departure is an unknown vessel departure port.

Resolution: Verify, report a valid CBP vessel departure port code in the message and retransmit. See ‘Appendix D – Export Port Codes’.

Response Code: 649

Narrative Text: FATAL ERR - MISS EXPORT INFORMATION CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input B01 Record/Export Information Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported in Export Information Code is missing.

Resolution: Verify, include a known Export Information Code in the message and retransmit. See ‘Appendix E – Commodity Filing Export Information Codes and Reporting Guidelines’ and ‘Appendix G – Manifest Filing Export Information Codes’ for a list of available codes.

Response Code: 650

Narrative Text: FATAL ERR -INVL EXPORT INFORMATION CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input B01 Record/Export Information Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported in Export Information Code is unknown.

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Resolution: Verify, include a known Export Information Code in the message and retransmit. See ‘Appendix E – Commodity Filing Export Information Codes and Reporting Guidelines’ and ‘Appendix G – Manifest Filing Export Information Codes’ for a list of available codes.

Response Code: 651

Narrative Text: FATAL ERR - INVALID ACTION CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input A01 Record/Action Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The code indicating what type of manifest amendment is to be made is unknown.

Resolution: Verify, include a valid Action Code in the message and retransmit. Valid action codes are:

A = Add a Booking or BOL

D = Delete a Booking or BOL

C = Change record of a booking or BOL record

Response Code: 652

Narrative Text: WARN ERR-MANI NOT FOUND FOR DEPART MESSG

Severity: WARNING

Proprietary Record ID/Data Elements: Input M01 Record/Carrier Code, Vessel Name, Voyage Number

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The manifest was not found for the departure message being reported.

Resolution: No action is required but this condition is captured and monitored by CPB.

Response Code: 653

Narrative Text: WARN ERR - LATE FILING OF DEPART MESSAGE

Severity: WARNING

Proprietary Record ID/Data Elements: Input H01 Record/Date, Time

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The departure message is received by AES more than 24 hours after the actual vessel departure.

Resolution: The carrier must transmit the departure message within 24 hours of the actual vessel departure. No action is required but this condition is captured and monitored by CBP.

Response Code: 654

Narrative Text: FATAL ERR - BOOKING RECORD NOT FOUND

Severity: FATAL

Proprietary Record ID/Data Elements: Input B02 Record/Control Number

X.12 Segment ID/Data Elements: (not available at this time)

Reason: In an update or delete transaction, the issuer-assigned Control Number that identifies the booking or house bill is not on file in AES.

Resolution: Verify, include the correct Control Number in the message and retransmit.

Response Code: 655

Narrative Text: FATAL ERR-BOOKING NOT SENT PRIOR TO MANIF

Severity: FATAL

Proprietary Record ID/Data Elements: Input B02 Record/Control Number

X.12 Segment ID/Data Elements: (not available at this time)

Reason: AES has attempted to match the Bill of Lading to the Booking information and the Booking was not found.

Resolution: The Booking record must be sent prior to the Bill of Lading. Send the Booking record.

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Narrative Text: FATAL ERR - INVALID/MISSING COUNTRY CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input B02, N03 Record/Destination Country

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported as Destination Country is missing or unknown.

Resolution: Verify, include a valid ISO Country Code in the message and retransmit (see 'Appendix C – ISO Country Codes').

Response Code: 657

Narrative Text: FATAL ERR-INVL BOOK TYPE CODE-STATUS IND

Severity: FATAL

Proprietary Record ID/Data Elements: Input B02Record/Control Number Status Indicator

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported in the Control Number Status Indicator is unknown.

Resolution: Verify, report a valid Control Number Status Indicator in the message and retransmit.

Valid status codes are:

0 or space = Regular Bill

1 = Space Charter

2 = Regular Consolidated

3 = Space Charter and Consolidated.

Response Code: 658

Narrative Text: FATAL ERR - INVALID BOOKING QUALIFIER

Severity: FATAL

Proprietary Record ID/Data Elements: Input B02 Record/Qualifier

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported in the Qualifier is unknown.

Resolution: Verify, report a valid Qualifier in the message and retransmit. Valid Qualifiers are:

MB = Master Booking Number

Response Code: 659

Narrative Text: FATAL ERR - MISSING BOOKING CONTROL NUMB

Severity: FATAL

Proprietary Record ID/Data Elements: Input B02 Record/Control Number

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The booking Control Number is missing.

Resolution: Verify, include a booking Control Number in the message and retransmit.

Response Code: 660

Narrative Text: FATAL ERR - INVALID HAZMAT INDICATOR

Severity: FATAL

Proprietary Record ID/Data Elements: Input B02 Record/Hazmat Flag

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The value reported in the Hazmat Flag is unknown.

Resolution: Verify, report the Hazmat Flag as 'Y' or 'N' in the message and retransmit.

Response Code: 661

Narrative Text: FATAL ERR - BOOKING ALREADY EXIST

Severity: FATAL

Proprietary Record ID/Data Elements: Input B02 Record/Control Number

X.12 Segment ID/Data Elements: (not available at this time)

Reason: A booking with the same Control Number is already on file in AES.

Resolution: Verify, report a different Control Number in the message and retransmit.

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Narrative Text: FATAL ERR - MISSING CONTAINER/EQUIP TYPE

Severity: FATAL

Proprietary Record ID/Data Elements: Input B03 Record/Container/Equipment Type

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Container/Equipment Type is missing.

Resolution: Verify, include a valid Container/Equipment Type code in the message and retransmit (see 'Appendix P – Container/Equipment Type Codes').

Response Code: 663

Narrative Text: FATAL ERR - INVALID CONTAINER/EQ LENGTH

Severity: FATAL

Proprietary Record ID/Data Elements: Input B03 Record/Container Equipment Length

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Container/Equipment Length is invalid or missing.

Resolution: Verify, include a valid Container/Equipment Length in the message and retransmit.

Response Code: 664

Narrative Text: FATAL ERR - MISSING STATE CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input B03, N03 Record/State

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The U.S. State code is missing.

Resolution: Verify, include a known U.S. State code in the message and retransmit.

Response Code: 665

Narrative Text: FATAL ERR - INVALID STATE CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input B03, N03 Record/State

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The U.S. State code is unknown.

Resolution: Verify, report a known U.S. State code in the message and retransmit.

Response Code: 666

Narrative Text: FATAL ERR - MISSING/INVALID PARTY-ID TYPE

Severity: FATAL

Proprietary Record ID/Data Elements: Input N01 Record/Party ID Type

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Party ID Type is missing or invalid.

Resolution: Verify, report a valid Party ID Type in the message and retransmit. Valid Party ID Types are:

D = Duns

S = SSN

E = EIN

O = Other

Note:

The Census Bureau has eliminated the acceptance of SSN on any new filings effective December 3, 2009. Corrections (change, replace or cancel) to SEDs filed with SSN prior to the effective date will continue to be accepted.

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Narrative Text: FATAL ERR - MISSING PARTY NAME

Severity: FATAL

Proprietary Record ID/Data Elements: Input N01 Record/Party Name

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Party Name is missing for a Party being established for the first time or no Party ID is on file in AES.

Resolution: Verify, include a Party Name in the message and retransmit. For Consignees without a Party ID, this field is mandatory.

Response Code: 668

Narrative Text: FATAL ERR - MISSING CONTACT FIRST NAME

Severity: FATAL

Proprietary Record ID/Data Elements: Input N01 Record/Contact First Name

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Contact First Name is missing for a Party being established for the first time or no Party ID is on file in AES.

Resolution: Verify, include a Contact First Name in the message and retransmit.

Response Code: 669

Narrative Text: FATAL ERR - MISSING CONTACT LAST NAME

Severity: FATAL

Proprietary Record ID/Data Elements: Input N01 Record/ Contact Last Name

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Contact Last Name is missing for a Party being established for the first time or no Party ID is on file in AES.

Resolution: Verify, include a Contact Last Name in the message and retransmit.

Response Code: 670

Narrative Text: FATAL ERR - INVALID/MISSING PARTY TYPE

Severity: FATAL

Proprietary Record ID/Data Elements: Input N01 Record/Party Type

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The code identifying the type of party in the Booking or Bill is invalid or missing.

Resolution: Verify, report a valid Party Type code in the message and retransmit. Valid codes are:

SH = Shipper

CN = Consignee

UC = Ultimate Consignee

IC = Intermediate Consignee

CC = Carrier Customer (use only with EB application of Booking data)

Response Code: 671

Narrative Text: FATAL ERR - MISSING PARTY CONTACT PHONE

Severity: FATAL

Proprietary Record ID/Data Elements: Input N01 Record/Contact Phone

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Contact Phone Number is missing for a Party being established for the first time or no Party ID is on file in AES.

Resolution: Verify, include the Contact Phone Number in the message and retransmit. For 1-800 numbers report 11 numeric characters without spaces or dashes. For other numbers, report 10 numeric characters without spaces or dashes left justified.

Response Code: 672

Narrative Text: FATAL ERR - MISSING PARTY CITY

APPENDIX B – Transportation Filing Response Messages

Severity: FATAL

Proprietary Record ID/Data Elements: Input N03 Record/City

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The City is missing for a Party being established for the first time or no Party ID is on file in AES.

Resolution: Verify, include the City in the message and retransmit.

Response Code: 673

Narrative Text: FATAL ERR - MISSING POSTAL (ZIP) CODE

Severity: FATAL

Proprietary Record ID/Data Elements: Input N03 Record/Postal Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Postal Code is missing for a Party being established for the first time or no Party ID is on file in AES.

Resolution: Verify, include the Postal Code in the message and retransmit.

Response Code: 674

Narrative Text: FATAL ERR – BOOKING MAY NOT BE DELETED

Severity: FATAL

Proprietary Record ID/Data Elements: Input A01 Record/Action Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: An attempt has been made to delete a booking that cannot be deleted.

Resolution: Contact your AES Client Representative.

Response Code: 675

Narrative Text: FATAL ERR - INVALID HAZMAT FLASHPOINT TEMP

Severity: FATAL

Proprietary Record ID/Data Elements: Input V02 Record/Flash-point Temperature

X.12 Segment ID/Data Elements: (not available at this time)

Reason: A flash-point temperature has been specified incorrectly.

Resolution: Verify, correct the flash-point temperature in the message and retransmit.

Response Code: 676

Narrative Text: FATAL ERR - INVALID HAZMAT UNIT OF MEASURE

Severity: FATAL

Proprietary Record ID/Data Elements: Input V02 Record/ Unit of Measure Code

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The basic unit of measure representing the flash-point temperature is not specified as CE (Degrees Centigrade/Celsius).

Resolution: Verify, report the flash-point temperature UOM as 'CE' in the message and retransmit.

Response Code: 677

Narrative Text: FATAL ERR - INVALID HAZMAT NEGATIVE INDICATOR

Severity: FATAL

Proprietary Record ID/Data Elements: Input V02 Record/Negative Indicator

X.12 Segment ID/Data Elements: (not available at this time)

Reason: A code of 'N' has been specified when the flash-point temperature is negative or less than 0 degrees Centigrade/Celsius.

Resolution: Verify, correct the information in the message and retransmit. If the flash-point temperature is not negative or less than 0 degrees, this field should be space filled.

Response Code: 678

Narrative Text: FATAL ERR - MISSING CITY/PLACE OF RECEIPT

APPENDIX B – Transportation Filing Response Messages

Severity: FATAL

Proprietary Record ID/Data Elements: Input B03 Record

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The City/Place of Receipt is missing.

Resolution: Verify, include a City/Place of Receipt in the message and retransmit.

Response Code: 679

Narrative Text: FATAL ERR - SHIPPER PARTY REQUIRED

Severity: FATAL

Proprietary Record ID/Data Elements: Input N01 Record

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Party Type Shipper (SH) is missing.

Resolution: Verify, include the Shipper in the message and retransmit.

Response Code: 680

Narrative Text: FATAL ERR - CONSIGNEE OR ULTIMATE CONSIGNEE REQUIRED

Severity: FATAL

Proprietary Record ID/Data Elements: Input N01 Record

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Party Type Consignee (CN) or Ultimate Consignee (UC) is missing.

Resolution: Verify, include the Consignee or Ultimate Consignee the information in the message and retransmit.

Response Code: 681

Narrative Text: FATAL ERR- INVALID PAPER EEI INDICATOR

Severity: FATAL

Proprietary Record ID/Data Elements: Input B01 Record/EEI Flag

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The EEI has been specified with a value other than 'Y', 'N', or space.

Resolution: Verify, correct the information in the message and retransmit.

The EEI flag on the B01 record indicates whether or not a EEI was received by the carrier prior to departure of the vessel. Verify a valid value is reported for the EEI flag. A 'Y' indicates that the EEI was received by the carrier prior to departure of the vessel from the port. A 'N' indicates the EEI was not received by the carrier prior to departure of the vessel from the port. A space indicates either the commodity was filed electronically and therefore no EEI is required or the shipment is exempt from EEI filing requirements as indicated by the Export Information Code reported.

Response Code: 682

Narrative Text: FATAL ERR - MISSING EITHER EIC OR EEI FLAG

Severity: FATAL

Proprietary Record ID/Data Elements: Input B01 Record/Export Information Code, EEI Flag

X.12 Segment ID/Data Elements: (not available at this time)

Reason: Neither the Export Information Code (EIC) nor the EEI Flag has been specified.

Resolution: Verify, correct the information in the message and retransmit.

When the EEI Flag is space, then a valid Export Information Code (EIC) must be reported to identify why a EEI was not received by the carrier prior to departure of the vessel from the port. Verify either an EEI is required and was reported electronically in AES, (in which case the EIC = 'AE') or an EEI is not required conditional on the type of export identified with the EIC. All valid Export Information Codes are acceptable when reported by the carrier on their post departure manifest transmissions.

APPENDIX B – Transportation Filing Response Messages**Response Code: 683**

Narrative Text: FATAL ERR - NOT ALLOWED BOTH EIC/EEI FLAG

Severity: FATAL

Proprietary Record ID/Data Elements: Input B01 Record/ Export Information Code, EEI Flag

X.12 Segment ID/Data Elements: (not available at this time)

Reason: Both the Export Information Code (EIC) and the EEI Flag have been specified.

Resolution: Verify, correct the information in the message and retransmit.

Verify whether the EEI was required/received prior to departure:

- If a EEI is required and received by the carrier, set the EEI Flag to 'Y' and the EIC to space.
- If a EEI is required, and not received by the carrier, set the EEI Flag to 'N' and the EIC to space.
- If a EEI is required and reported electronically, set the EEI Flag to space and the EIC to 'AE' (filed electronically in AES).
- If the EEI is not required set the EEI Flag to space and report the appropriate EIC (see 'Appendix E – Commodity Filing Export Information Code and Reporting Guidelines').

Response Code: 684

Narrative Text: FATAL ERR – UNMATCHED BOOKING IN A01 RECORD

Severity: FATAL

Proprietary Record ID/Data Elements: Input A01 record/Action Code, A01 and B02 record/Control Number

X.12 Segment ID/Data Elements: (not available at this time)

Reason: When placing a booking using Action Code 'R' on the A01 record, the Control Number on the A01 record must match the Control Number on the B02 record that follows.

Resolution: Verify the Control Numbers (Booking Numbers) are the same on both records. Retransmit.

Response Code: 685

Narrative Text: FATAL ERR – ESTIMATED DEPARTURE DATE REQUIRED

Severity: FATAL

Proprietary Record ID/Data Elements: Input A01 record/Estimated Departure Date

X.12 Segment ID/Data Elements: (not available at this time)

Reason: When replacing a booking using Action Code 'R' on the A01 record, the Estimated Departure Date when the booking was initially created must be reported.

Resolution: Verify and report the estimated departure date as it was reported when the booking was initially created.

Response Code: 686

Narrative Text: FATAL ERR – BOOKING CHANGE/UPDATE NOT ALLOWED

Severity: FATAL

Proprietary Record ID/Data Elements: Input A01 record/Action Code and Control Number

X.12 Segment ID/Data Elements: (not available at this time)

Reason: Booking may not be replaced under the following conditions:

- 1.) When status is Seized or Detained,
- 2.) When booking is system-generated,
- 3.) When its manifest/bill of lading is already on file,
- 4.) When booking is linked to more than one voyage.

Resolution: Verify the correct Action Code and Control Number have been reported. Contact your client representative for further assistance.

Response Code: 687

APPENDIX B – Transportation Filing Response Messages

Narrative Text: FATAL ERR – VOYAGE NUMBER MAY NOT BE REUSED FOR ONE YEAR

Severity: FATAL

Proprietary Record ID/Data Elements: Input M01 Record/Voyage Number

X.12 Segment ID/Data Elements: (not available at this time)

Reason: This error occurs when the carrier tries to reuse a voyage number that has been previously used and that voyage number is less than one year old. Carriers should wait at least one year before reusing a specific voyage number.

Resolution: Verify the Voyage Number and retransmit.

Response Code: 688

Narrative Text: FATAL ERR – CONTAINER NUMBER NOT ALLOWED

Severity: FATAL

Proprietary Record ID/Data Elements: Input C01 Record/Container SCAC, Container Number

X.12 Segment ID/Data Elements: (not available at this time)

Reason: This error occurs when ‘NC’, indicating non-containerized freight, is reported in the Container SCAC field and a container number is reported in the Container Number field on the C01 record.

Resolution: Verify rather the shipment is containerized or non-containerized. Make the appropriate correction and retransmit.

Response Code: 689

Narrative Text: F BOL/BOOKING VESSEL NAME MISMATCH

Severity: FATAL

Proprietary Record ID/Data Elements: Input M01 Record/Vessel Name

X.12 Segment ID/Data Elements: M1004 or M1005

Reason: The vessel name/Lloyd’s code reported on the bill of lading does not match the vessel name/Lloyd’s code reported on the corresponding booking. The booking and bill of lading do match by issuer SCAC, booking number, and the estimated departure date.

Resolution: Verify Vessel Name/Lloyd’s code. Either retransmit booking to correct Vessel Name/Lloyd’s code in booking or retransmit BOL with correct Vessel Name/Lloyd’s code.

Response Code: 690

Narrative Text: F BOL/BOOKING VOYAGE NUMBER MISMATCH

Severity: FATAL

Proprietary Record ID/Data Elements: Input M01 Record/Voyage Number

X.12 Segment ID/Data Elements: M1006

Reason: The voyage number reported on the bill of lading does not match the voyage number reported on the corresponding booking. The booking and bill of lading do match by issuer SCAC, booking number, and the estimated departure date.

Resolution: Verify Voyage Number. Either retransmit booking to correct Voyage Number in booking or retransmit BOL with correct Voyage Number.

Response Code: 691

Narrative Text: F BOL/BOOKING PORT CODE MISMATCH

Severity: FATAL

Proprietary Record ID/Data Elements: Input P01 Record/ CBP Port of Lading Code

X.12 Segment ID/Data Elements: P401

Reason: The CBP port of lading on the bill of lading does not match the reported CBP port of lading on the corresponding booking. The booking and bill of lading do match by issuer SCAC, booking number, and the estimated departure date.

Resolution: Verify CBP Port of Lading. Either retransmit booking to correct Port of Lading in booking or retransmit BOL with correct Port of Lading.

APPENDIX B – Transportation Filing Response Messages**Response Code: 692**

Narrative Text: F BOL/BOOKING CARRIER SCAC MISMATCH

Severity: FATAL

Proprietary Record ID/Data Elements: Input M01 Record/Carrier Code

X.12 Segment ID/Data Elements: M1001

Reason: The carrier SCAC code on the bill of lading does not match the reported carrier SCAC code on the corresponding booking. The booking and bill of lading do match by issuer SCAC, booking number, and the estimated departure date.

Resolution: Verify the Carrier Code. Either retransmit booking to correct Carrier Code in booking or retransmit BOL with correct Carrier Code.

Response Code: 693

Narrative Text: COUNTRY OF ULT DESTINATION OUTDATED

Severity: FATAL

Proprietary Record ID/Data Elements: Input B02 Destination Country

X.12 Segment ID/Data Elements: (not available at this time)

Reason: The Country of Ultimate Destination is not an active country code in AES.

Resolution: The Country of Ultimate Destination Code must be an active (i.e. not expired) ISO country code listed in the 'Appendix C, ISO Country Codes'. Verify the Country of Ultimate Destination code and the Estimated Departure Date, correct the B02 record and retransmit.

Response Code: 694

Narrative Text: F ACTUAL DEPRT YR MUST BE > 1997

Severity: FATAL

Proprietary Record/ID/Data Elements: Input H01 Actual Departure Date

X.12 Segment ID/Data Elements: (not available at this time)

Reason: Actual departure year must be GT 1997.

Resolution: Verify that the actual departure year is greater than 1997 and retransmit.