



CBP ACE Information Notice

Automate CBP Form 5106

March 2019



U.S. Customs and
Border Protection



U.S. Customs and
Border Protection
CBP TRADE

Automate CBP Form 5106 Information Notice

Last Updated: March 11, 2019

On March 16, 2019, U.S. Customs and Border Protection (CBP) will deploy a revised CBP Form 5106 in the Automated Commercial Environment (ACE). CBP Form 5106 is the basis for establishing bond coverage, release and entry of merchandise, liquidation, issuance of bills, refunds and the processing of drawback, and Fines Penalties & Forfeitures actions. The revised form will include new data elements, which will provide additional detail on individuals and organizations requesting to be recognized as Importers of Record (IOR), thereby enhancing CBP's ability to perform risk assessments.

What will stay the same in ACE?

- All existing data elements required or conditionally required under the current CBP Form 5106 will remain.
- The trade will continue to have the ability to submit the revised CBP Form 5106 via paper or electronically via the ACE Portal or Electronic Data Interchange (EDI).

What will change in ACE?

- Form is officially renamed the "Create/Update Importer Identity Form."
- The only new required data elements on the revised form are:
 - Email address for the IOR; and
 - Type of address(es).
- The new optional data elements on the revised form are:
 - Estimated entries per year;
 - How the IOR will be used (Importer, Consignee, etc.);
 - Trusted Trader program codes;
 - Fax number;
 - Website;
 - Business Description;
 - North American Industry Classification System (NAICS) code;
 - Dun & Bradstreet Number (D-U-N-S);
 - Filer Code;
 - Year established;
 - Related business entities Employer Identification Numbers (EINs);
 - Banking information;
 - Certificate or articles of incorporation information; and
 - Information for up to four beneficial owners and/or company officers.

What additional resources are available?

- The cutover details for submission to ACE is as follows:
 - The legacy Importer Create/Update ABI application (TI) will be disabled at 9:00pm on Saturday, March 16, 2019. Any submissions of the TI after that time will be rejected.
 - During the outage window, the new ACE Importer Create/Update functionality for Trade and CBP users will be deployed to ACE production.
 - Legacy importer accounts will be converted to new ACE as part of the deployment of the 5106 in new ACE.
 - Any new ACE Importer Create/Update submissions (TP) submitted to ACE prior to completion of the deployment will be held in queue and processed once the entire deployment is completed. CBP will begin processing new TP transactions at 4:00 AM EST on Sunday, March 17, 2019.



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- The new data elements in the Importer/Bond Query (KI), specifically the Full Legal Importer Name and Center ID/Description, will not be returned in the query response (KR) until the entire deployment is completed.
- Federal Register Notices (FRNs): [79 FR 61091](#), [80 FR 492.56](#) and [80 FR 44361](#).
- [Draft Importer/Consignee Create/Update CATAIR](#).
- A quick reference guide will be provided prior to the deployment date.
- Pre-deployment support calls, daily March 13 – 15, 2019.
- Post-deployment support calls, daily March 18 – 20, 2019.

Who do I contact if I have issues?

Technical questions related to testing and using the revised CBP Form 5106 (Create/Update Importer Identity Form) in ACE should be addressed by a Client Representative or the ACE Account Service Desk (ASD) at 1-866-530-4172 or ACE.Support@cbp.dhs.gov.

Revised CBP Form 5106 policy questions should be emailed to 5106@cbp.dhs.gov.



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