CBP and Trade Automated Interface Requirements

Importer/Consignee Create/Update

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U.S. Customs and Border Protection

ace

Importer/Consignee Create/Update

This chapter provides input and output record layouts for ABI filers to add information to or update existing name and address information in the Importer/Consignee File. This process was previously identified with CBP Form (CBPF) 5106 (Notification of Importer's Number or Notice of Change of Name or Address).

The reader should be advised that this technical document is considered a DRAFT and will be revised before a final version is provided. Any decisions a reader makes based on the draft document are taken voluntarily and with the understanding that the draft will be revised. The document presents the DRAFT designation in the footer until such time that an official OPA (Office of Public Affairs) publication number has been assigned to the new "ACE ABI CATAIR" publication. For your information, subsequent revisions to this document will be controlled through the official CBP document amendment process.

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Table of Changes

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
15	March 13th 2019	T1, T2, TB, TC, TD, TF, TI, TJ, TL, TM Input Records, E1 Output Record, and Appendix B	T1: Added Note 3. T2: Updated description of Postal Code, Mailing Address. TB: Updated description of the record. TC: Updated description of Postal Code, Physical Address. TD: Updated description of Phone #. TF: Updated description of Fax #. TI: Updated description of Name. TJ: Updated description of Phone #. TL: Updated description of Certifying Individual Full Name. TM: Updated description of Certifying Individual's Phone # and Broker's Phone #. E1: Updated E1 record. Appendix B: Added U.S. state codes.
14	March 6th 2019	Introduction, T1, T2, T3, and TC Input Records	Introduction: Updated Introduction.

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			T1: Updated description of Note 2
			T2: Updated description of Note 2.
			T3: Added Note 1.
			TC: Updated description of Note 2.
13	February 19th 2019	T2 and TC Input Records	T2: Updated description of Note 2.
			TC: Updated description of Note 2.
12	January 31st 2019	T2, TC, TD, TG, TH, TI, TL Input Records, E1 Output Record, and Appendix B	T2: Updated description of Postal Code, Mailing Address, ISO Country Code, Mailing Address, Note 1 and Note 2. Updated designation for ISO Country Code, Mailing Address to Mandatory.
			TC: Updated description of Postal Code, Physical Address, ISO Country Code, Physical Address, Note 1, and Note 2. Updated designation for ISO Country Code, Physical Address to Mandatory.
			TD: Updated Note 2 to include additional Program Codes
			TG: Updated description of Country ISO Code and Note 1.
			TH: Updated description of Country ISO Code and Note 1.
			TI: Updated description of Name.

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			TL: Updated description of Certifying Individual Full Name.
			E1: Removed Fillers and updated positions of Disposition Type Code and Condition Code.
			Appendix B: Added new section.
11	October 12th 2018	Table of Contents, Introduction, Input Structure Map, T2, TC, TD, TE, TF, TG, TH, TI, TJ, TK Input Records, Output Structure Map, T4, and T5 Output Records	Table of Contents: Page number changes.
			Introduction: Updated text.
			Input Structure Map: Updated TD, TE, and TF designation as mandatory records. Updated TM designation as an optional record. Updated maximum loop of PII Information and Related Business Information.
			T2: Updated description of ISO Country Code, Mailing Address and Note 1.
			TC: Updated description of ISO Country Code, Physical Address and Note 1.
			TD: Updated Phone # designation as mandatory and updated record introduction text.
			TE: Updated record to Mandatory and updated Mailing Address Type to Mandatory. Updated Note 1 and Note 2.
			TF: Updated Email designation as mandatory and updated record introduction text.
			TG: Updated description of Country ISO Code and Note 1.
			TH: Updated description of Country ISO Code and Note 1.
			TI: Updated description of Name to include expected format.
			TJ: Updated description of Country of Issuance.

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			TK: Updated designation for Related Business, Name of the Entity, and TIN/EIN/SSN/CBP Assigned #.
			TM: Updated record to Optional. Updated Broker's Name, Certifying Individual's Phone #, and Broker's Phone # to Optional.
			Output Structure Map: Updated text.
			T4: Updated record to E0. Added a new reference table.
			T5: Updated record to E1.
10	Sept 18th 2018	Table of Contents, T2, T3, TE, TF, TG, TH, TI, TJ, TK, TN Input Records, and T4 Output Record	Table of Contents: Page number changes.
			T2: Updated length/class of Line Two of the Mailing Address.
			T3: Updated description of Full Legal Importer Name.
			TE: Updated Notes 1 and 2.
			TF: Updated description of Fax #.
			TG: Updated length/class of NAICS Code, DUNS #, Year Established, State, added SCAC and Location of Goods (FIRMS) code elements, and fixed positions.
			TH: Updated length/class of State.
			TI: Updated length/class of SSN #, increased positioning of Title, various description changes, and fixed positions.
			TJ: Updated length/class of Expiration Date, Passport Type, Extension, and various description changes.
			TK: Updated designation of Related Business, Name of Entity, TIN/EIN/SSN/CBP Assigned #, and updated description of Name of Entity.
			TN: Updated Record Identifier description.
			T4: Updated description of Importer Number.

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
9	Sept 17th 2018	Table of Contents, Introduction, Importer Accounts Transaction Input Structure Map, T1, TD, TI, TJ, TL, TM Input Records, and T4 Output Record	Table of Contents: Page number changes. Introduction: Updated text. Importer Accounts Transaction Input Structure Map: Updated TE record to conditional and TN looping for TF and TH records. T1: Updated data element 'Update Action Code' to 'Action Code'. TD: Updated description of Phone #. TI: Input Record has been updated to conditional. Updated data element 'Position' to 'Title'. TJ: Input Record has been updated to conditional. Update description of Phone #. TL: Updated length/class from 22AN to 22X. TM: Updated description of Certifying Individual's and Broker's Phone #. T4: Updated positioning of Abbreviated Importer Name and Filler.
8	Sept 10th 2018	Table of Contents, Introduction, Importer Accounts Transaction Input Structure Map, T3, TD, TE, TF, TH, TI, TJ, TK, TL, TM, and TN Input Records, T4 Output Records	Table of Contents: Page number changes. Introduction: Updated text. Importer Accounts Transaction Input Structure Map: Updated TE record to conditional and TN looping for TF and TH records. T3: Updated description of Full Legal Name and fixed positions. TD: Updated Number of Entry Planned Per Year values in Note 1. TE: Updated designation of Mailing Address Type to Conditional. TF: Updated description of Email and Website. TH: Updated description of Primary Bank and City State.

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			TI: Updated description of Name.
			TJ: Updated description of Email.
			TK: Updated description of Related Business Name and TIN/EIN Number format to include a suffix of 'XX' in Note 1.
			TL: Updated description of Certifying Individual's Full Name.
			TM: Updated description of Broker's Name.
			TN: Reduced Additional Text to 70 characters, added Space Fill, and fixed positions.
			T4: Updated description of Importer Number and changed designation to Conditional, and updated Importer Name to Abbreviated Importer Name.
			T5: Updated description of Note 1.
7	Sept 7th 2018	Table of Contents, Input Record Structure Map, Importer/Consignee Create/Update – Introduction, T1, TA, T2, T3, TB, TC, TD, TF, TG, TH, TI, TJ, TK, TL, TM, and TN Input Records	Table of Contents: Page number changes.
			Input Record Structure Map: Layout changes.
			Importer/Consignee Create/Update – Introduction: Various changes.
			T1: Line One of the Importer Name changed to Abbreviated Importer Name, Line One of the Mailing Address added, and fixed positions.
			TA: Line Two of the Importer Name changed to Alternate Importer Name and fixed positions.
			T2: Removed Line One of the Mailing Address, added City, State, Postal Code, and ISO Country Code, Mailing Address, updated Note 1, and fixed positions.
			T3: Removed City, State, Postal Code, and ISO Country Code, Mailing Address, added Full Legal Name, and fixed positions.

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			TB: Line One of the Physical Address reduced to 32X and fixed positions.
			TC: Removed Line Two of the Physical Address, City, Physical Address reduced to 21X, updated Note 1, and fixed positions.
			TD: Removed Fax #, Phone # updated to 15AN, Extension updated to 6N, and fixed positions.
			TF: Email and Website reduced to 30X, added Fax #, and fixed positions.
			TG: Update Note 1 and Reference # updated to 30X.
			TH: Primary Bank reduced to 30X, reduced City to 30AN, updated Note 1, and fixed positions.
			TI: Name reduced to 30X and fixed positions.
			TJ: Phone updated to 15AN, Extension updated to 6N, Email reduced to 30X, and fixed positions.
			TK: Name of the Entity reduced to 30X, updated Note 2, and fixed positions.
			TL: Certifying Individual Full Name reduced to 30X and fixed positions.
			TM: Broker's Name reduced to 30X and fixed positions.
			TN: Added new section.
6	Sept 1st 2018	Table of Contents, Input Record Structure Map, T1, TA, TD, TF, TH, TI, TK, TL, TM, and TN Input Records	Table of Contents: Page number changes. Input Record Structure Map: Layout changes. T1: Line One of the Importer Name reduced to 50X, Update Action Code description change, and fixed positions. TA: Line Two of the Importer Name reduced to 50X and fixed positions. TD: Removed CBP Assigned Number Request Indicator and fixed positions.

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			TF: Email updated to 36X and fixed positions.
			TH: Primary Bank reduced to 30X and increased City to 35AN and fixed positions.
			TI: Name reduced to 40X and fixed positions.
			TK: Name of the Entity reduced to 50X and fixed positions.
			TL: Certifying Individual Full Name reduced to 40X and fixed positions.
			TM: Broker's Name reduced to 50X and fixed positions.
			TN: Added new section.
5	Aug 1st 2018	Table of Contents, Record Layout Key, Input Record Structure Maps, Input Structure Map Submission Notes, Importer Accounts Transaction Input Structure Map, T2, TB, TC, TD, TE, TF, TG, TH, TI, TJ, TK, TL, TM, Output Record Descriptions, Output Record Structure Map, Importer Create/Update Response Transaction Grouping, Importer Create/Update Condition Reference (Output T4), Importer Create/Update Condition/Disposition Response (Output T5), Appendix	Table of Contents: Removed Record Identifier descriptions.
			Record Layout Key: Added additional details.
			Input Record Structure Maps: Added new section.
			Input Structure Map Submission Notes: Added new section.
			Importer Accounts Transaction Input Structure Map: Revised loop definitions.
			T2: Postal Code, Mailing Address data element description change.
			TB: Line One of the Secondary Address and Line Two of the Secondary Address data elements changed to Line One of the Physical Address and Line Two of the Physical Address and also description changes.
			TC: City, Secondary Address, State Code, Secondary Address, Postal Code, Secondary Address, ISO Country Code, Secondary Address data elements changed to City, Physical Address, State Code, Physical Address, Postal Code, Physical

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			Address, ISO Country Code, Physical Address and also description changes.
			TD: Number of Entries Planning Per Year, Identification No Utilization – Importer of Record, Identification No Utilization – Consignee, Identification No Utilization - Drawback Claimant, Identification No Utilization – Refunds/Bills, Identification No Utilization – Other, Utilization of Identification No Description, Phone Number, Phone Extension, Fax Number, IRS # Indicator, SSN # Indicator, IRS or SSN Indicator, U.S. Resident Indicator, and CBP-Assigned Number request Indicator data elements description changes. Removed Notes 2, 3, 4, 5, 6, 7, and 8. Revised Note 1.
			TE: Mailing Address Type, Mailing Address – Explanation, Physical Address Type, Physical Address – Explanation, and Business Description data elements description changes. Removed Note 3.
			TF: Email Address and Website data elements description changes. Removed Note 1.
			TG: NAICS Code, DUNS Number, Filer Code, Year Established, State, Country ISO Code, and Reference No data elements description changes. Removed Notes 1, 2, and 3.
			TH: Primary Bank, Routing Number, City, State, and Country ISO Code data elements description changes.
			TI: Line Item No, Name, Position, and SSN # data elements description changes. Removed Note 1.
			TJ: Line Item No, Passport Number, Expiration Date, Country of Issuance, Passport Type, Phone #, Phone Extension, and Email Address data elements

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			description changes. Removed Notes 1 and 2.
			TK: Line Item No, Related Business, Name of Entity, TIN/EIN/SSN/CBP Assigned Number data elements description changes. Removed Note 1.
			TL: Added new section.
			TM: Added new section.
			Output Record Descriptions: Added new section.
			Output Record Structure Map: Added new section.
			Importer Create/Update Response Transaction Grouping: Added new section.
			Importer Create/Update Condition Reference (Output T4): Added new section.
			Importer Create/Update Condition/Disposition Response (Output T5): Added new section.
			Appendix: Added new section.
			Appendix A: Added new section.
4	Jan. 20, 2015	Introduction Input T1-Record	Clarify that updates to existing importer/consignee data (for accounts identified with an IRS or SSN) may now be made using this ABI transaction for those with a continuous bond.
3	Nov. 18, 2011	Input T2- and TC-Records	Clarification made to Postal Code data element description and Note 2 when reporting postal codes for foreign countries.
2	March 16, 2011	Output Record Descriptions	Corrected description for data element Record Type.
1	May 7, 2008	Table of Contents	Added a new table of contents to the document.

Importer/Consignee Create/Update - Introduction

Automated Commercial Environment (ACE) and Automated Commercial System (ACS) ABI filers have the capability to electronically add name and address information to or update name and address information in the Importer/Consignee File. This process was previously identified with CBP Form (CBPF) 5106 (Notification of Importer's Number or Notice of Change of Name or Address).

The Importer/Consignee File is maintained from ABI transactions filed through ACE. This file is replicated for use in both ACE and ACS applications. This automated process has now eliminated (in most cases) the submission of paper CBPF-5106 forms and the manual intervention by CBP users.

If there are one or more active importer records already in the Importer/Consignee File with the same name and address, the application for a CBP-assigned number will be rejected. If there are no pre-existing active importer records in the Importer/Consignee File with the same name and address, the application for a CBP-assigned number will be accepted and the system will automatically assign a new CBP-assigned number to the importer. This new number is returned in the ACE output response on Record Identifier T4.

ABI filers can add name and address information electronically to the Importer/Consignee File by placing an "*A*" in the Action Code field of the T1 input record. Filers may use this process to add name and address information to this file regardless of the type of bond the party may have.

ABI filers can update Importer/Consignee File name and address data by placing a "*U*" in the Action Code data field of the T1 input record. Filers can update this data for any entity on file with an IRS, SSN, or CBP-Assigned Number (now including those entities which have a continuous bond on file).

Please note that updates may be made to importer and consignee data on the ACE secure data portal. Updates to existing entities are restricted to those ACE portal users with account authorization to do so, such as the account owner. With the proper authorization, updates may be made on the ACE secure data portal for entities of all identification types (IRS, SSN, and Customs assigned numbers).

Also note that any party needing to procure a bond to conduct business with CBP must first have an importer number on file with CBP.

Input: Record Identifiers T1 and T2 are mandatory input records to add (or update) importer name and mailing address data to the Importer/Consignee File.

Record Identifier TA is an optional input record to add data to the Importer/Consignee File pertaining to the name qualifier and alternate importer name.

Record Identifier T3 is a conditional input record to add data to the Importer/Consignee File pertaining to the full legal name.

Record Identifiers TB and TC are conditional input records to add a physical address if different from the mailing address to the Importer/Consignee File.

Record Identifier TD is a mandatory input record to add or update the data pertaining to the Importer's Number of Entries planning per Year, Utilization of Identification No, Utilization of Identification No Description, Program Code 1, Program Code 2, Program Code 3, Program Code 4, and Phone Number.

Record Identifier TE is a mandatory input record to add or update data to the Mailing address type, Mailing address explanation, Physical address type, Physical address explanation and Business description.

Record Identifier TF is a mandatory input record to add or update data to the Importer/Consignee File pertaining to Email address, Org website address, and Fax number.

Record Identifier TG is an optional input record to add or update data to the Importer/Consignee File pertaining to NAICS Code, DUNS Number, Filer Code, Year Established, State/ISO County Code and Reference No.

Record Identifier TH is an optional input record to add or update data to the Importer/Consignee File pertaining to Primary Bank Name, City, State, and Country ISO Code.

Record Identifier TI is a conditional line-item input record to add or update data to the Importer/Consignee File pertaining to Business Structure/Company Officers details PART – I (Name, Company Position Title and SSN Number). If Record Identifier TI is provided, Record Identifier TJ must be provided.

Record Identifier TJ is a conditional line-item input record to add or update data to the Importer/Consignee File pertaining to Business Structure/Company Officers details PART – II (Passport Number, Country of Issuance, Passport Type and Phone Number). This record must follow Record Identifier TI.

Record Identifier TK is an optional line-item input record to add or update data to the Importer/Consignee File pertaining to Related Business, Name of the Business Entity and TIN/EIN/SSN/CBP assigned number.

Record Identifier TL is a mandatory input record to add data to the Importer/Consignee File pertaining to the electronic signature, certifying individual full name, and title.

Record Identifier TM is an optional input record to add data to the Importer/Consignee File pertaining to the broker's name, certifying individual's phone number, and broker's phone number.

Record Identifier TN is an optional input record to provide additional information about data in the record that precedes during the submission of an Importer/Consignee Create/Update. This record can follow a T3, TF, TH, TI, TJ, TK, TL, or TM and can be used to provide the additional information noted by the additional reference qualifier code list.

The application identifier on Record Identifier B is TP. For additional information on Record Identifier B, refer to the Batch and Block Control chapter of the ACE ABI CATAIR.

Output: Record Identifiers E0 or E1 provide the response output record from ACE returned to the filer depending on the action requested and its disposition. If the transaction contains an error, the record in error is returned along with the E0 record. If the record is error free, depending upon the action requested and taken, both records E0 and E1 will be returned to the filer.

The application identifier on Record Identifier B is TT. For additional information on Record Identifier B, refer to the Batch and Block Control chapter of the ACE ABI CATAIR.

Record Layout Key:

The following key describes the columns of the record layout definitions that follow.

Data Element:
> Contains the name of the reported data field.

Length:
> Indicates the maximum, allowed length of the reported data element.

Class:
> Defines the domain of values accepted for the data element. The class definition represents the accepted domain to be used in **all** filing scenarios.   Class codes are as follows:
>
> **S** = Space ONLY.
> **A** = Alphabetic Data. Consists of characters A through Z (uppercase ONLY).
> **N** = Numeric Data Only. Consists of numerals 0 through 9.
> **(S)N** = Numeric Data Variation. May contain '**Numeric Data Only**' **or** may consist of one or more
> > spaces followed by numerals 0 through 9. Must consist of at least one right justified numeral.
> > This variation of numeric class may be used for amounts, rates, and counts (where specified).
> **AN** = Alphanumeric Data. Consists of characters A through Z (uppercase ONLY), numerals 0 through 9,
> > and space.
> **D** = Known Date. Consists of numerals 0 through 9 (format MMDDYY).
> **X** = Special Data. Consists of characters A through Z (uppercase ONLY), numerals 0 through 9, space,
> > and any other character found on a standard keyboard. The following characters are accepted:
>
> > **! @ # $ % ^ & * ( ) - _ = + [{] } \ | ; : ' " , < . > / ? ` ~**
>
> Generally, the numeric data class is reserved for a data element used as an integer. It may also include a data element used as a sequencer (e.g., a line number).

Generally, any identifier or code is classed as alphanumeric. Note that this would include an identifier or code that may be required to be reported as all numerals.

Position:

Indicates the beginning and ending position, respectively, of the reported data element within the 80-character record.

Designation:

Indicates the reporting requirement as follows:

M = Mandatory. A value conforming to the listed class is required in all filing scenarios.
C = Conditional. A value conforming to the listed class may or may not be required for a particular filing scenario. The usage notes will describe the specific cases in which the data element is required or not allowed to be reported.
O = Optional. A value conforming to the listed class will be conditionally accepted if provided. An optional data element may be subject to validation, however.

Description:

Defines the data element and provides a further description of how it is to be reported. May include a list of acceptable values, an exception or further specification of the data class, and/or various rules regarding format, justification, and truncation.

Note:

Points to a further discussion regarding the reporting of the particular data element.

Input Record Structure Maps

Input Structure Map Submission Notes

- Spaces must be transmitted in a data element marked 'filler'.
- Transmit ONLY uppercase alphabetic characters A through Z. CBP will routinely convert all Transaction Grouping lowercase alphabetic characters to uppercase alphabetic characters during its processing.
- Transmit ONLY displayable characters found on a standard keyboard. Do not transmit low-values, carriage return characters, or other non-standard character.
- CBP will routinely discard leading spaces in a Transaction Grouping data element when the class is A=Alphabetic, AN=Alphanumeric, or X=Special Data

The following table illustrates how the automated interface expects repeating groups to be structured in a proprietary format Block Control Grouping where Batch Control Header (A-Record) Application Identifier Code = TP.

Block Control Input Structure Map

Control ID	Name	Designation	Loop Repeat
	Block Control Grouping	M	1
B	Block Control Header	M	
	Importer Create/Update **TRANSACTION**	M	> 1

(…documented below…)

Note: See the *ABI Batch & Block Control* chapter of the ACE CATAIR for a complete description of the Block Control envelope (B-, Y-Records and Batch Control envelope A-, Z-Records).

Importer Accounts Transaction Input Structure Map

The following tables illustrate how the automated interface expects repeating groups to be structured in a proprietary format Importer/Consignee Create/Update.

Control ID	Name	Designation	Loop Repeat		
	Importer Create/Update TRANSACTION Grouping				
	Importer Accounts	M	1	-	1
T1	Provide data pertaining to the Importer's importer number, importer type, abbreviated name, and address	M	1	-	1
TA	Provide data pertaining to Importer's name qualifier and the Alternate Importer's name	O	1	-	1
T2	Provide data pertaining to the Importer's mailing address, city, state, postal code, and International Organization for Standardization (ISO) country code	M	1	-	1
	Importer's Full Legal Name Information	C	1	-	1
T3	Provide data pertaining to Importer's full legal name	C	1	-	1
TN	Overflow	O	1	-	1
TB	Provide data pertaining to Importer's first and second lines of the Importer's physical address	C	1	-	1

TC	Provide data pertaining to Importer's city, State, postal code and Country Code of the physical address	C	1	-	1
TD	Provide data pertaining to Importer's reasons for requesting CBP-assigned number, estimated entries to file, Program Codes, Phone Number	M	1	-	1
TE	Provide data pertaining to Importer's Mailing address type, Physical address type and Business description	M	1	-	1
	Importer's Email, Website, and Fax Information	M	1	-	1
TF	Provide data pertaining to Importer's Email address and Org Website address and Fax Number	M	1	-	1
TN	Overflow	O	1	-	2
TG	Provide data pertaining to Importer's NAICS Code, DUNS Number, Filer Code, Year Established, State/Country ISO Code and Reference No.	O	1	-	1
	Importer's Bank Information	O	1	-	1
TH	Provide data pertaining to Importer's Primary Bank Name, City, State, and Country ISO Code	O	1	-	1
TN	Overflow	O	1	-	2
	PII Information	O	1	-	4
	PII Part 1	C	1	-	1
TI	Provide data pertaining to Provide data pertaining to Importer's PII (Person Identity Information) –Part 1	C	1	-	1
TN	Overflow	O	1	-	1
	PII Part 2	C	1	-	1
TJ	Provide data pertaining to Importer's PII (Person Identity Information) – Part 2	C	1	-	1
TN	Overflow	O	1	-	1
	Related Business Information	O	1	-	3
	Related Business	O	1	-	1

TK	Provide data pertaining to Importer's Related Business, Name of the Business Entity and TIN/EIN/SSN/CBP assigned number	O	1	-	1
TN	Overflow	O	1	-	1
	Individual Certification Information	M	1	-	1
TL	Provide data pertaining to the Importer's electronic signature, certifying individual full name, and title	M	1	-	1
TN	Overflow	O	1	-	1
	Broker Certification Information	O	1	-	1
TM	Provide data pertaining to the Importer's broker's name, certifying individual's phone number, and broker's phone number	O	1	-	1
TN	Overflow	O	1	-	1

The total number of items in the grouping cannot exceed the maximum specified. Until such time that the automated environment supports the larger groupings, the first number (if more than one specified) is the limitation (i.e., the limitation compatible with ACS).

Designation: **M** = Reporting Mandatory; **C** = Reporting Conditional; **O** = Reporting Optional

Input Record Descriptions

Record Identifier T1 (Input)

This is a mandatory input record to add data to the Importer/Consignee File pertaining to the importer number, importer type, name, and address. Changes to existing importer records (including those importers which have a continuous bond on file) can now be made by submitting this record with an Action Code = U.

Record Identifier T1 *(Input)*					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal 1.	
Action Code	1A	3-3	M	Valid codes are: **A** = Add an importer number, **U** = Update an existing importer number, or **N** = Apply for a CBP assigned number.	
Importer #	12X	4-15	M	A code identifying the importer. Space fill if the Action Code is *N*	1
Abbreviated Importer Name	32X	16-47	M	The abbreviated importer's name. If the full legal name is greater than 32X, provide full legal name in Record Identifier T3.	2
Line One of the Mailing Address	32X	48-79	M	The first line of the importer's mailing address. If the importer has separate mailing and business addresses, the mailing address must be shown as the first address. If the mailing address is a post office box, a second address must be provided. If the mailing address is a rural route and box number or HC (Highway Contract) and box number, a second address is not required.	3
Importer Type	1A or 1S	80-80	C	A code identifying the type of importer. This code is required for all U.S. importers. Valid Importer Type Codes are: C = Corporation P = Partnership I = Individual S = Sole Proprietor F = Foreign Government G = US Government L = State Government K = LLC	

Note 1

Valid formats for the Importer Number are:

NN-NNNNNNNXX   Internal Revenue Service (IRS) Number
NNN-NN-NNNN   Social Security Number
NNNNNN-NNNNN   Previously assigned CBP Number (for updates only)

In these codes, N = number, and X = alphanumeric.

If the importer number is in IRS format, the last two-position suffix (XX) cannot be the letters O, I and/or Z.

Note 2

When abbreviating names, refer to directive 5610-002A on cbp.gov for business naming convention on everything except Canadian and Mexican state abbreviations. The state abbreviations for Mexico and Canada that should be for this record are in Appendix B, located on page ADD-51, of this CATAIR. If the full legal name of the entity is less than 32 characters, do not abbreviate the name or fill out the T3 "Full Legal Importer Name" record.

Note 3

When Record TE Mailing Address Type is 7 - Post Office Box, please only enter the Post Office Box Number and not "PO Box". In addition, Line One of the Mailing Address cannot be more than 10 characters.

Record Identifier TA (Input)

This is conditional input record to add data to the Importer/Consignee File pertaining to the name qualifier and alternate importer name.

Data Element	Length/ Class	Position	Desig	Description	Note
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal A.	
Name Qualifier	3A	3-5	M	A code qualifying the second line of the importer name. Valid Name Qualifier Codes are: **DIV** = The name of the parent company if the importer is a division under the parent company. **DBA** = The name the importer is doing business as. **AKA** = The name the importer is also known as.	
Alternate Importer Name	32X	6-37	M	The alternate importer name is required if there is a Name Qualifier (positions 3-5). If second line of the importer is provided but there is not a Name Qualifier in positions 3-5, an error message is system generated.	
Filler	43S	38-80	M	Space fill.	

Record Identifier TA (Input)

Record Identifier T2 (Input)

This is a mandatory input record to add data to the Importer/Consignee File pertaining to the mailing address, city, state, postal code, and International Organization for Standardization (ISO) country code.

Record Identifier T2 (Input)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal 2.	
Filler	12S	3-14	M	Space fill.	
Line Two of the Mailing Address	32X	15-46	O	The second line of the importer's address. Space fill if the address is foreign.	
City, Mailing Address	21X	47-67	M	The city where the importer is located.	
State Code, Mailing Address	2A	68-69	M	The code representing the state where the importer is located.	1
Postal Code, Mailing Address	9AN	70-78	C	The postal code of where the importer is located. Required for U.S. and Canadian addresses. If available, provide in valid format for other foreign address, space fill if not provided.	2
ISO Country Code, Mailing Address	2A	79-80	M	The International Organization for Standardization (ISO) country code representing the country where the importer is located. Provide the appropriate two-position ISO code for all foreign addresses including Canada. Valid ISO country codes are listed in ISO 3166-1, located on the www.ISO.org website.	

Note 1

For a U.S., Canadian, or Mexican mailing address, a 2-character alphabetic code representing the state/province must be provided. Please reference Appendix B for valid values. All other foreign addresses must provide "FN".

Note 2

If the Importer's address is located in a foreign country and you provide a point of contact in the TP message, the system *may* require the submission of a zip code in a specified format. Please see 5106 Appendix C for the zip code requirements and formats.

Record Identifier T3 (Input)

This is a conditional input record to add data to the Importer/Consignee File pertaining to the full legal name.

Record Identifier T3 (Input)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal 3.	
Full Legal Importer Name	30X	3-32	M	Provide the full legal name of the importer, including punctuation marks. If there is not enough room, provide overflow with Record Identifier TN. Maximum length is 100X.	1
Filler	48S	33-80	S	Space fill.	

Note 1

Directive 5610-002A and Appendix B of this CATAIR do not apply to the T3. This name should be provided in its entirety as it is legally registered.

Record Identifier TB (Input)

This is a conditional input record to add data to the Importer/Consignee File pertaining to the first and second line of the physical address. If the mailing address type is Business Service Center, U.S. Post Office Box, or Other, this record is mandatory. This record must be followed by Record Identifier TC.

Record Identifier TB (Input)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal B.	
Line One of the Physical Address	32X	3-34	M	The company's place of business, if it is different from the mailing address in positions 48-79, Record Identifier T1. If the mailing address type is a U.S. Post Office Box or Other (Record Identifier TE), this data field is mandatory.	
Line Two of the Physical Address	32X	35-66	C	The second line of the physical address. Space fill if the address is foreign.	
Filler	14S	67-80	M	Space fill.	

Record Identifier TC (Input)

This is a conditional input record to add data to the Importer/Consignee File pertaining to the city, state, postal code, and International Organization for Standardization (ISO) country code for the physical address. This record must follow Record Identifier TB.

Record Identifier TC (Input)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal C.	
City, Physical Address	21X	3-23	M	The city name of the physical address associated with the importer.	
State Code, Physical Address	2A	24-25	M	The state code for the physical address.	1
Postal Code, Physical Address	9AN	26-34	C	The postal code for the physical address of the importer. Required for U.S. and Canadian addresses. If available, provide in valid format for other foreign address, space fill if not provided.	2
ISO Country Code, Physical Address	2A	35-36	M	The International Organization for Standardization (ISO) country code representing the country where the importer is located. Provide the appropriate two-position ISO code for all foreign addresses including Canada. Valid ISO country codes are listed in ISO 3166-1, located on the www.ISO.org website.	
Filler	44S	37-80	M	Space fill.	

Note 1

For a U.S., Canadian, or Mexican mailing address, a 2-character alphabetic code representing the state/province must be provided. Please reference Appendix B for valid values. All other foreign addresses must provide "FN".

Note 2

If the Importer's address is located in a foreign country and you provide a point of contact in the TP message, the system *may* require the submission of a zip code in a specified format. Please see 5106 Appendix C for the zip code requirements and formats.

Record Identifier TD (Input)

This is a mandatory input record to add or update the data pertaining to the Importer's Number of Entries planning per Year, Utilization of Identification No, Utilization of Identification No Description, Program Code 1, Program Code 2, Program Code 3, Program Code 4, and Phone number.

Record Identifier TD (Input)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal D.	
Number of Entries Planning per Year	1N or 1S	3-3	O	Indicate the number of entries the importer is planning on filing per year.	1
Identification No Utilization – Importer of Record	1X	4-4	C	Space filled if not indicating Importer of Record X = Treat Importer # as an Importer of Record	
Identification No Utilization- Consignee	1X	5-5	C	Space filled if not indicating Consignee X = Treat Importer # as an Consignee	
Identification No Utilization - Drawback Claimant	1X	6-6	C	Space filled if not indicating Drawback Claimant X = Treat Importer # as an Drawback Claimant	
Identification No Utilization - Refunds/Bills	1X	7-7	C	Space filled if not indicating Refunds/Bills X = Treat Importer # as for the purpose of Refunds/Bills	
Identification No Utilization - Other	1X	8-8	C	Space filled if not indicating Other X = Treat Importer # as Other	
Utilization of Identification No Description	15X	9-23	C	Required if Identification No Utilization is "Other".	
Program Code 1	5AN	24-28	O	5 digit Program Code	2
Program Code 2	5AN	29-33	O	5 digit Program Code	2
Program Code 3	5AN	34-38	O	5 digit Program Code	2
Program Code 4	5AN	39-43	O	5 digit Program Code	2
Phone #	15AN	44-58	M	Provide a phone number to contact the importer. Phone numbers should be provided as either an unseparated group of numbers, example	

				1234567899, or with spaces, example 123 456 7899.	
Extension	6N or 6S	59-64	O	If applicable, provide a phone extension number to contact the importer.	
CBP-Assigned Number Request Reason Indicator	1X	65-65	C	Space filled if not indicating has SSN, Wish to use CBP-Assigned Number X = I have SSN, but wish to use a CBP-Assigned Number on all my entry documents	3
SSN# Indicator	1X	66-66	C	Space filled if indicated has SSN # X = I have no Social Security Number	
IRS # Indicator	1X	67-67	C	Space filled if indicated have IRS # X = I have no IRS Number	
IRS or SSN Indicator	1X	68-68	C	Space filled if indicating has IRS # or SSN X = I have not applied for IRS # or SSN	
U.S. Resident Indicator	1X	69-69	C	Space filled if indicating U.S. Resident X = I am not a U.S. Resident	
Filler	11S	70-80	M	Space fill.	

Note 1

The following options are allowed for Number of Entries Planning per Year

Codes	Description
1	1-4 Entries per Year
2	5-24 per year
3	25 or more per year
4	Infrequent personal Shipments
5	Do not intend to Import

Note 2

Please note that if a Program Code is provided, then the information that is contained in Section 3 of the revised CBP Form will not be required.
The following options are allowed for Program Codes 1, 2, 3, or 4

Codes	Description
CTPAT	Customs Trade Partnership Against Terrorism
ISA	Importer Self-Assessment
AEO	Authorized Economic Operator
PIP	Partners in Protection

Note 3

If the CBP-Assigned Number Request Reason Indicator has been marked, the SSN # in Record Identifier TI is required.

Record Identifier TE (Input)

This is a mandatory input record to add or update data to the Mailing address type, Mailing address explanation, Physical address type, Physical address explanation and Business description.

Record Identifier TE (Input)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal E.	
Mailing Address Type	1N or 1S	3-3	M	Indicate the type of Mailing address.	
Mailing Address - Explanation	15X	4-18	C	Required if Mailing address type is "Other".	
Physical Address Type	1N or 1S	19-19	C	Indicate the type of Physical address. Required if Physical Address is provided (Record Identifier TB).	
Physical Address - Explanation	15X	20-34	C	Required if Physical address type is "Other".	
Business Description	40X	35-74	O	Describe the operations of the importer's business.	
Filler	6S	75-80	M	Space fill.	

Note 1

Mailing address type:
The following options are allowed for Mailing Address Types

Codes	Description
1	Residence
2	Corporate Office
3	Warehouse
4	Retail Location
5	Office Building
6	Business Service Center
7	Post Office Box
8	Other

Note 2

Physical address type:
The following options are allowed for Physical Address Types.

Codes	Description

1	Residence
2	Corporate Office
3	Warehouse
4	Retail Location
5	Office Building
8	Other

Record Identifier TF (Input)

This is a mandatory input record to add or update data to the Importer/Consignee File pertaining to Email address, Org website address and fax number.

Record Identifier TF (Input)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal F.	
Email	30X	3-32	M	The Email to contact the importer. If there is not enough room, provide overflow with Record Identifier TN. Maximum length is 100X.	
Website	30X	33-62	O	The Website URL Address to contact the importer. If there is not enough room, provide overflow with Record Identifier TN. Maximum length is 100X.	
Fax #	15AN	63-77	O	A fax number to contact the importer. Fax numbers should be provided as either an unseparated group of numbers, example 1234567899, or with spaces, example 123 456 7899.	
Filler	3S	78-80	M	Space fill.	

Record Identifier TG (Input)

This is an optional input record to add or update data to the Importer/Consignee File pertaining to NAICS Code, DUNS Number, Filer Code, Year Established, State/Country ISO Code and Reference No.

Record Identifier TG *(Input)*					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal G.	
NAICS Code	6N or 6S	3-8	O	A 6-digit North American Industry Classification Systems code unique to the importer.	
DUNS #	9N or 9S	9-17	O	A 9-digit code Dun & Bradstreet identification number unique to the importer.	
Filer Code	3AN	18-20	O	Entry Filer's identification code (as assigned by CBP).	
Year Established	4N or 4S	21-24	O	The year the importer was officially established.	
State	2A or 2S	25-26	O	The state code where the importer's Certificate or Articles of Incorporation was filed. This will be used as the importer's Certificate or Articles of Incorporation locator ID.	1
Country ISO Code	2A or 2S	27-28	O	The International Organization for Standardization (ISO) country code representing the country where the importer's Certificate or Articles of Incorporation was filed. Provide the appropriate two-position ISO code for all foreign addresses including Canada. Valid ISO country codes are listed in ISO 3166-1, located on the www.ISO.org website. This will be used as the importer's Certificate or Articles of Incorporation locator ID.	
Reference #	30X	29-58	O	A reference number where the importer's Certificate or Articles of Incorporation was filed.	
SCAC Identifier (Future Use)	4A or 4S	59-62	O	Standard Carrier Alpha Code. The SCAC of the vessel operator that is transporting the container.	
Location of Goods (FIRMS) (Future Case)	4AN	63-66	O	Facilities Information and Resources Management System. The FIRMS code of the location where the cargo is currently stored.	

Filler	14S	67-80	M	Space fill.	

Note 1

For a U.S., Canadian, or Mexican mailing address, a 2-character alphabetic code representing the state/province must be provided. Please reference Appendix B for valid values. All other foreign addresses must provide "FN".

Record Identifier TH (Input)

This is an optional input record to add or update data to the Importer/Consignee File pertaining to Primary Bank Name, City, State, and Country ISO Code.

Record Identifier TH (Input)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal H.	
Primary Bank	30X	3-32	O	The name of the Primary Banking Institution which is associated with the importer. If there is not enough room, provide overflow with Record Identifier TN. Maximum length is 100X.	
Routing #	11AN	33-43	O	The Bank Routing Number of the Primary Banking Institution.	
City	30X	44-73	O	The City where the Primary Banking Institution is located. If there is not enough room, provide overflow with Record Identifier TN. Maximum length is 100X.	
State	2A or 2S	74-75	O	The state code where the Primary Banking Institution is located.	1
Country ISO Code	2A or 2S	76-77	O	The International Organization for Standardization (ISO) country code representing the country where the Primary Banking Institution is located. Provide the appropriate two-position ISO code for all foreign addresses including Canada. Valid ISO country codes are listed in ISO 3166-1, located on the www.ISO.org website.	
Filler	3S	78-80	M	Space fill.	

Note 1

For a U.S., Canadian, or Mexican mailing address, a 2-character alphabetic code representing the state/province must be provided. Please reference Appendix B for valid values. All other foreign addresses must provide "FN".

Record Identifier TI (Input)

This is a conditional line-item input record to add or update data to the Importer/Consignee File pertaining to Business Structure/Company Officers details PART – I (Name, Company Position Title and SSN Number). If Record Identifier TI is provided, Record Identifier TJ must be provided.

Record Identifier TI *(Input)*					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal I.	
Line Item #	2N	3-4	M	A two digit sequential Number and incremented by 1 starting with 01 that will be linked to the information provided in the TJ record.	
Name	30X	5-34	M	The name of an owner or officer within the importer's company. The name provided must be in the following format with comma separators: Last Name (max 40 characters), First Name (max 40 characters), Middle Initial (max 18 characters). When submitting this data element, Last Name and First Name are required. If there is not enough room, provide overflow with Record Identifier TN. Maximum length is 100X.	
Title	22X	35-56	M	The owner's or officer's title within the importer's company.	
SSN #	9N or 9S	57-65	C	The Social Security Number associated with the owner or officer within the importer's company.	1
Filler	15S	66-80	M	Space fill.	

Note 1

SSN # is required if the CBP-Assigned Number Request Reason Indicator in Record Identifier TD has been marked.

Record Identifier TJ (Input)

This is a conditional line-item input record to add or update data to the Importer/Consignee File pertaining to Business Structure/Company Officers details PART – II (Passport Number, Country of Issuance, Passport Type and Phone Number). This record must follow Record Identifier TI.

Record Identifier TJ (Input)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal J.	
Line Item #	2N	3-4	M	A two digit Number where if record TI exist in the CATAIR message, then the TJ record must follow TI record and TJ Line Item Number must match respective Line Item Number of TI.	
Passport #	13AN	5-17	O	The numerical code used to identify a Passport.	
Expiration Date	8N or 8S	18-25	C	The date (MMDDYYYY) in which the Passport expires. Required if the Passport # is provided.	
Country of Issuance	2A or 2S	26-27	C	The 2 character country code where the Passport was issued. Valid ISO country codes are listed in ISO 3166-1, located on the www.ISO.org website. Required if Passport # is provided.	
Passport Type	1N or 1S	28-28	C	A 1-digit numerical code to identify the type of passport. Required if Passport # is provided.	1
Phone #	15AN	29-43	M	Provide a phone number to contact the owner or officer within the importer's company. Phone numbers should be provided as either an unseparated group of numbers, example 1234567899, or with spaces, example 123 456 7899.	
Extension	6N or 6S	44-49	O	If applicable, provide a phone extension to contact the owner or officer within the importer's company.	
Email	30X	50-79	M	Provide an email to contact the owner or officer within the importer's company.	

				If there is not enough room, provide overflow with Record Identifier TN. Maximum length is 100X.	
Filler	1S	80-80	M	Space fill	

Note 1

Passport Type is required when Passport Number submitted.
The following options are allowed for Passport Type.

Codes	Description
1	Regular
2	Official
3	Diplomatic
4	Passport Card

Record Identifier TK (Input)

This is an optional line-item input record to add or update data to the Importer/Consignee File pertaining to Related Business, Name of the Business Entity and TIN/EIN/SSN/CBP assigned number.

Record Identifier TK (Input)					
Data Element	Length/ Class	Position	Desig	Description	Note
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal K.	
Line Item #	2N	3-4	M	Line Item No must be sequential and start at 01.	
Related Business	1AN	5-5	M	A 1-digit numeric code to describe the relationship between the importer and another business.	1
Name of the Entity	30X	6-35	M	The full legal name of the related Business Entity, including punctuation marks. If there is not enough room, provide overflow with Record Identifier TN. Maximum length is 100X.	
TIN/EIN/SSN/CBP Assigned #	12X	36-47	M	The TIN/EIN/SSN/CBP assigned Number of the related Business Entity.	2
Filler	33S	48-80	M	Space fill.	

Note 1

The following options are allowed for Related Business indicator

Codes	Description
1	Current
2	Previous

Note 2

Valid formats are:

NN-NNNNNNNXX　　　　TIN/EIN Number
NNN-NN-NNNN　　　　Social Security Number
YYDDPP-NNNNN　　　　CBP assigned Number

In these codes, N = number, YY = the last two digits of the calendar year when the number is assigned, and $DDPP$ = the district/port code where the number is assigned.

Record Identifier TL (Input)

This is a mandatory input record to add data to the Importer/Consignee File pertaining to the electronic signature, certifying individual full name, and title.

Record Identifier TL (Input)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal L.	
Electronic Signature	1A	3-3	M	A filer who transmits data or information through any electronic means to CBP certifies, the data or information transmitted is true, correct, and provided in good faith. The filer certifies that if there is intentionally false data, or commits deception or fraud in the 5106 submission, that the filer will be fined or imprisoned (18 U.S.C. § 1001). X = Filer's Electronic Signature	
Certifying Individual Full Name	30X	4-33	M	The Last Name (max 40 characters), First Name (max 40 characters), Middle Initial (max 18 characters) of the person certifying the submission of the 5106 form. When submitting this data element, Last Name and First Name are required. If there is not enough room, provide overflow with Record Identifier TN. Maximum length is 100X.	
Title	22X	34-55	M	The title of the person submitting the 5106 form.	
Filler	25S	56-80	M	Space fill.	

Record Identifier TM (Input)

This is an optional input record to add data to the Importer/Consignee File pertaining to the broker's name, certifying individual's phone number, and broker's phone number.

Record Identifier TM (Input)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal M.	
Broker's Name	30X	3-32	O	The name of the broker associated to this 5106 submission. If there is not enough room, provide overflow with Record Identifier TN. Maximum length is 100X.	
Certifying Individual's Phone #	15AN	33-47	O	A phone number to contact the person submitting the 5106 form. Phone numbers should be provided as either an unseparated group of numbers, example 1234567899, or with spaces, example 123 456 7899.	
Broker's Phone #	15AN	48-62	O	A phone number to contact the broker submitting the 5106 form. Phone numbers should be provided as either an unseparated group of numbers, example 1234567899, or with spaces, example 123 456 7899.	
Filler	18S	63-80	M	Space fill.	

Record Identifier TN (Input)

This is an optional input record used to provide additional information about data in the record that precedes during the submission of an Importer/Consignee Create/Update.

This record can follow a T3, TF, TH, TI, TJ, TK, TL, or TM input record and can be used to provide the additional information noted by the additional reference qualifier code list.

Record Identifier TN (Input)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	1AN	1-1	M	Must always equal T.	
Record Type	1AN	2-2	M	Must always equal N.	
Additional Information Qualifier Code	3AN	3-5	M	Code indicating the type of additional information being provided.	1
Additional Information	70X	6-75	C	Text of the additional information related to the additional reference qualifier code.	
Filler	5S	76-80	M	Space fill.	

Note 1

Only specific Additional Information Qualifier Codes are valid based on the designated parent record it appears immediately after. Valid Additional Information Qualifier Codes are:

Codes	Description
IN1	Full Legal Importer Name overflow for T3
CE1	Company Email overflow for TF
CW1	Company Website overflow for TF
BN1	Primary Bank Name overflow for TH
BC1	Bank City overflow for TH
CN1	Contact Name overflow for TI
CE2	Contact Email overflow for TJ
NE1	Name of the Entity overflow for TK
IN2	Certifying Individual's Full Name overflow for TL
BN2	Broker's Name overflow for TM

Output Record Descriptions

Output Record Structure Map

The following table illustrates how repeating groups are structured and returned in a proprietary format Importer Create/Update filing response by ACE.

Control ID	Name	Designation	Loop Repeat
	Importer Create/Update Response **TRANSACTION** Grouping		
	Importer Create/Update Response Grouping	C	>1
E0	Importer Create/Update Condition Reference (IMPACC)	M	
	Importer Create/Update Condition Grouping	C	>1
E1	Importer Create/Update **Condition**/Disposition Response	M	

Note: Alphabetic characters in any Transaction Grouping data element received from CBP in the response will be uppercase.

Importer Create/Update Response TRANSACTION Grouping

An Importer Create/Update Condition Reference (type: IMPACC) will unconditionally be returned to the Filer/Transmitter in an output response.

Importer Create/Update Condition Reference (Output E0)

The Importer Create/Update Condition Reference will be returned in the output multiple times within a single Importer Create/Update Response TRANSACTION Grouping.

The output record conveys to the Filer/Transmitter which data grouping component in the submission has caused or contributed to a fatal condition.

Record Identifier E0 (Output)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	2AN	1-2	M	Always equals E0.	
Filler	1S	3-3	M	Space fill.	
Reference Data Type Code	6AN	4-9	M	An indication as to the type of reference information returned. See Table 1 *'Returned Importer Create/Update Reference Data'* for a list of codes.	
Filler	1S	10-10	M	Space fill.	
Occurrence Position	6N	11-16	M	If a repeating group, the relative position of the submitted input detail within the grouping, otherwise zero.	
Filler	1S	17-17	M	Space fill.	
Reference ID Constant	7X	18-24	M	Always "**REF ID:**"	
Filler	1S	25-25	M	Space fill.	
Reference Data Text	55X	26-80	M	Identifying data extracted from the submitted input that corresponds to the Reference Data Type Code. See Table 1 *'Returned Importer Create/Update Reference Data'* for a list of codes.	

Returned Importer Create/Update Reference Data

Table 1: Returned Importer Create/Update Reference Data

Reference Data Type Code	Description / Usage	Returned 'Reference Data Text' Content		
IMPACC	**Description:** Importer Create/Update Identifier. **Usage:** Occurrence Position = the relative sequence of the Importer Create/Update Transaction within the Block Control Grouping. Type will be unconditionally be returned.	**Position**	**Description / Source**	
		26-37	**Importer Number** (T1-Record)	
		38-69	**Abbreviated Importer Name** (T1-Record)	
		70-80	Space.	

Importer Create/Update Condition/Disposition Response (Output E1)

The Importer Create/Update Condition/Disposition Response may be returned in the output multiple times within a single response Importer Create/Update Response TRANSACTION Grouping.

The output record conveys to the Filer/Transmitter a single, discreet *condition* regarding an input validation or the *final disposition* of the requested action.

Record Identifier E1 (Output)					
Data Element	**Length/ Class**	**Position**	**Desig**	**Description**	**Note**
Control Identifier	2AN	1-2	M	Always equals E1.	
Disposition Type Code	1AN	3-3	M	A code representing the status of the update. Valid Update Status Codes are: **Space** = Not a final disposition record. **A** = Final disposition, the transaction has been **ACCEPTED** by CBP, the action requested was successfully performed. **R** = Final disposition, the transaction has been **REJECTED** by CBP, the action requested was NOT performed.	3
Severity Code	1AN	4-4	M	An indication as to the severity of the condition (if any): • **F** = 'Fatally' invalid data or critical error. • **I** = Informational notice ONLY. • **Space** = No condition(s) encountered.	2
Condition Code	3AN	5-7	M	Code that identifies the condition or final disposition regarding an Importer Account filing. If there is an error, this will be the error number.	1
Reason Code	3AN	8-10	C	A further identification of the condition for CBP internal user ONLY.	
Narrative Text	40AN	11-50	M	Text description that corresponds to the Condition Code. If there is an error, this will be the error description.	1
Filler	30S	51-80	M	Space fill.	

Note 1

A list of Condition Codes and the Narrative Text will be found under the "ACE Automated Broker Interface (ABI) and CBP and Trade Automated Interface Requirements (CATAIR)" page, "Supporting Documents" tab on www.cbp.gov. The document will be called ACE Importer Account Error Dictionary.

Note 2

An *informational* condition results in a non-critical notification to the filer. If a *final disposition* Entry Summary response, if neither fatal conditions are found, yet one or more informational notations are found, the Severity Indicator will be 'I'.

Note 3

The data element will be space if the record is NOT a final disposition.

Appendix

Appendix A

Please see a mapping between the new CBP Form 5106 and the CATAIR.

U.S. Customs and Border Protection

OMB APPROVAL NO. 1651-0064
EXPIRATION DATE 01-31-2018
ESTIMATED BURDEN: 45 MIN.

CREATE/UPDATE IMPORTER IDENTITY FORM
19 CFR 24.5

As the importer, consignee, or other party listed in block 1, you are responsible for the validity of the information provided in this document. Any Customs Broker or third party who is submitting the information on your behalf is only obligated to convey this information to Customs and Border Protection (CBP).

TYPE OF ACTION (Mark all applicable): ☐ Notification of identification number **(T1)** ☐ Change of name* **(T1)** ☐ Change of address* **(T1)**

1. NAME AND IDENTIFICATION NUMBER

1A. Importer/Business/Private Party Name **(T1):**

1B. Internal Revenue Service (IRS) number/Social Security Number (SSN) **(T1):**

1C. ☐ DIV **(TA)** ☐ AKA **(TA)** ☐ DBA **(TA)**

1D. DIV/AKA/DBA Name **(TA):**

1E. ☐ I wish to be assigned a CBP Number. Check here if requesting a CBP-assigned number and indicate reason(s). Check all reasons that apply **(T1).**

☐ I have a SSN, but wish to use a CBP-Assigned Number on all my entry documents **(TD)**
☐ I have no Social Security Number **(TD)**
☐ I have no IRS Number **(TD)**
☐ I have not applied for an IRS number or SSN **(TD)**
☐ I am not a U.S. Resident **(TD)**

1F. CBP-Assigned Number **(T1):**

1G. Type of Company: ☐ Corporation **(T1)** ☐ Partnership **(T1)** ☐ LLC **(T1)** ☐ Sole Proprietorship **(T1)** ☐ Individual **(T1)**
☐ U.S. Government **(T1)** ☐ State/Local Government **(T1)** ☐ Foreign Government **(T1)**

1H. If you are an importer, how many entries do you plan on filing in a year? Please select from the following: ☐ 1-5 per year **(TD)**
☐ 5-25 per year **(TD)** ☐ 25 or more per year **(TD)** ☐ Infrequent personal shipments, or **(TD)** ☐ I do not intend to import. **(TD)**

1I. How will the identification number be utilized? Please select all options that will apply: ☐ Importer of Record **(TD)**
☐ Consignee/Ultimate Consignee **(TD)** ☐ Drawback Claimant **(TD)** ☐ Refunds/Bills, or **(TD)** ☐ Other **(TD)**_____

1J. Program Code 1 **(TD):** | **1K.** Program Code 2 **(TD):** | **1L.** Program Code 3 **(TD):** | **1M.** Program Code 4 **(TD):**

2. ADDRESS INFORMATION
2A. MAILING ADDRESS

Street Address 1 **(T1):**	City: **(T2)**	State/Province **(T2):**
Street Address 2 **(T2):**	Zip Code **(T2):**	Country ISO Code **(T2):**

Is the address in 2A, a ☐ Residence **(TE)** ☐ Corporate Office **(TE)** ☐ Warehouse **(TE)** ☐ Retail Location **(TE)** ☐ Office Building **(TE)**
☐ Business Service Center **(TE)** ☐ Post Office Box or **(TE)** ☐ Other – Explain**(TE):** _____

2B. PHYSICAL LOCATION ADDRESS

Street Address 1 **(TB)** :	City: **(TC)**	State/Province **(TC):**
Street Address 2 **(TB):**	Zip Code **(TC):**	Country ISO Code **(TC):**

Is the address in 2B, a ☐ Residence **(TE)** ☐ Corporate Office **(TE)** ☐ Warehouse **(TE)** ☐ Retail Location **(TE)** ☐ Office Building or **(TE)**
☐ Other – Explain **(TE):** _____

2C. Phone number **(TD):**	Extension **(TD):**	**2D.** Fax number **(TF):**
2E. Email address **(TF/TN):**		**2F.** Website **(TF/TN):**

3. COMPANY INFORMATION

3A. Provide a brief business description **(TE):** _____

3B. Provide the 6-digit North American Industry Classification System (NAICS) code for this business **(TG):** _____

3C. Provide the D-U-N-S Number for the Importer **(TG):** _____

3D. If you are also a broker/self-filer, supply the filer code that will be used when conducting business with CBP **(TG):** _____

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3E. Year established (TG): _____

3F. List the names and Internal Revenue Service (IRS) employer identification, Social Security or CBP-assigned numbers for current or previous related business entities.

Related Business (TK)	Name of Business Entities (TK/TN)	IRS/SSN/CBP Assigned Number (TK)
☐ Current ☐ Previous		
☐ Current ☐ Previous		
☐ Current ☐ Previous		

3G. Primary Banking Institution: _____

(Name) (TH/TN)

_____ _____ _____ _____
(Bank Routing Number) (TH)   (City) (TH/TN)   (State) (TH)   (Country ISO Code) (TH)

3H. Certificate or Articles of Incorporation - (Locater I.D.) (TG) _____

3I. Certificate or Articles of Incorporation – (Reference Number) (TG) _____

3J. Business Structure/Beneficial Owner/Company Officers - The officers listed in this section must have importing and financial business knowledge of the company listed in section 1 of this form and must have legal authority to make decisions on behalf of the company listed in section 1. Elements designated below with an asterisk are optional data fields.

Company Position Title (TI):	Name (First, Middle, Last): (TI/TN)		
Direct Phone Number (TJ):	Extension: (TJ)	Direct Email (TJ):	
*Social Security Number (TI):	*Passport Number (TJ):	*Country of Issuance (TJ):	*Passport Expiration Date (TJ):

*Passport Type: ☐ Regular (TJ) ☐ Official (TJ) ☐ Diplomatic (TJ) ☐ Passport Card (TJ)

Company Position Title (TI):	Name (First, Middle, Last): (TI/TN)		
Direct Phone Number (TJ):	Extension: (TJ)	Direct Email (TJ):	
*Social Security Number (TI):	*Passport Number (TJ):	*Country of Issuance (TJ):	*Passport Expiration Date (TJ):

*Passport Type: ☐ Regular (TJ) ☐ Official (TJ) ☐ Diplomatic (TJ) ☐ Passport Card (TJ)

Company Position Title (TI):	Name (First, Middle, Last): (TI/TN)		
Direct Phone Number (TJ):	Extension: (TJ)	Direct Email (TJ):	
*Social Security Number (TI):	*Passport Number (TJ):	*Country of Issuance (TJ):	*Passport Expiration Date (TJ):

*Passport Type: ☐ Regular (TJ) ☐ Official (TJ) ☐ Diplomatic (TJ) ☐ Passport Card (TJ)

Company Position Title (TI):	Name (First, Middle, Last): (TI)		
Direct Phone Number (TJ):	Extension: (TJ)	Direct Email (TJ):	
*Social Security Number (TI):	*Passport Number (TJ):	*Country of Issuance (TJ):	*Passport Expiration Date (TJ):

*Passport Type: ☐ Regular (TJ) ☐ Official (TJ) ☐ Diplomatic (TJ) ☐ Passport Card (TJ)

4. CERTIFICATION

By my signature below, I certify that, to the best of my knowledge and belief, all of the information included in this document is true, correct, and provided in good faith. I understand that if I make an intentional false statement, or commit deception or fraud in this 5106 document, I may be fined or imprisoned (18 U.S.C. § 1001).

Printed or Typed Full Name and Title (TL/TN):		Signature (TL):	
Telephone Number (TM):	Date (SYS GEN):	Broker Name (TM/TN):	Telephone Number (TM):

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Appendix B

For Canadian addresses use the Canadian Province/Territory Code. Valid Canadian Province/ Territory Codes for the CBPF 5106 transaction are:

Code	Description
AB	Alberta
NB	New Brunswick
BC	British Columbia
MB	Manitoba
NS	Nova Scotia
ON	Ontario
PE	Prince Edward Island
QC	Quebec
SK	Saskatchewan
NT	Northwest Territories
NU	Nunavut
NL	Newfoundland
YT	Yukon Territory

For addresses in Mexico, use the Mexican state code. Valid state codes for Mexico are:

Code	Description	Code	Description
AG	Aguascalientes	MO	Morelos
BC	Baja California	NA	Nayarit
BS	Baja California Sur	NL	Nuevo Leon
CM	Campeche	OA	Oaxaca
CS	Chiapas	PU	Puebla
CH	Chihuahua	QT	Queretaro
CO	Coahuila	QR	Quintana Roo
CL	Colima	SL	San Luis Potosi
DF	Distrtio	SI	Sinaloa
DG	Durango	SO	Sonora
GT	Guanajuanto	TB	Tabasco
GR	Guerrero	TM	Tamaulipas
HG	Hidalgo	TL	Tlaxcala
JA	Jalisco	VE	Veracruz
MX	Mexico	YU	Yucatan
MI	Michoacan	ZA	Zacatecas

For address in the United States, use the U.S. state or territory code. Valid state or territory codes for U.S. are:

Code	Description	Code	Description
AK	Alaska	MT	Montana
AL	Alabama	NC	North Carolina
AR	Arkansas	ND	North Dakota
AZ	Arizona	NE	Nebraska
CA	California	NH	New Hampshire
CO	Colorado	NJ	New Jersey
CT	Connecticut	NM	New Mexico
DC	District of Columba	NV	Nevada
DE	Delaware	NY	New York
FL	Florida	OH	Ohio
GA	Georgia	OK	Oklahoma
GU	Guam	OR	Oregon
HI	Hawaii	PA	Pennsylvania
IA	Iowa	PR	Puerto Rico
ID	Idaho	RI	Rhode Island
IL	Illinois	SC	South Carolina
IN	Indiana	SD	South Dakota
KS	Kansas	TN	Tennessee
KY	Kentucky	TX	Texas
LA	Louisiana	UT	Utah
MA	Massachusetts	VA	Virginia
MD	Maryland	VI	Virgin Islands
ME	Maine	VT	Vermont
MI	Michigan	WA	Washington
MN	Minnesota	WI	Wisconsin
MO	Missouri	WV	West Virginia
MS	Mississippi	WY	Wyoming