

# CBP and Trade Automated Interface Requirements

## Appendix S: Importer Security Filing Error Codes

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U.S. Customs and  
Border Protection



# Appendix S: Importer Security Filing Error Codes

Code	Message	Rule
105	Invalid ISF Submission Type	A transaction is submitted with an invalid Submission Type
106	Invalid Action Code	A transaction is submitted with an invalid Action Code
107	Invalid Mode of Transportation	MOT is not 10 or 11
108	ISF Transaction Number not on file	A Replace/Delete transaction is submitted with an ISF Transaction Number that is not associated with any active transactions in that environment
109	Missing ISF Importer Number	A transaction is submitted with no ISF Importer Number
110	ISF Transaction Number not allowed for Add	An Add transaction is submitted with an ISF Transaction Number
111	Transaction belongs to another filer	A Replace/Delete transaction is submitted with an ISF Transaction Number that is associated with an active transaction belonging to a different Filer
114	Invalid ISF Submission Type	A unified EI/HI/FT transaction is submitted with a Submission Type other than 1
117	Duplicate ISF Transaction	A stand-alone Add transaction is submitted using an ISF Importer and Bill that was previously submitted in another stand-alone transaction
118	ISF Add/Replace not allowed	A unified EI/HI/FT transaction is submitted with a Delete action code in the EI/HI/FT record and an Add/Replace in the SF10 record
119	ISF on File - replace required	A unified EI/HI transaction is submitted as an ISF Add with an Entry Number that was previously submitted in another unified EI/HI transaction.
120	Invalid Shipment Type	A transaction is submitted with an invalid (or missing) Shipment Type
121	Missing Bond Holder	An Add/Replace transaction that requires bond information (shipment type 1, 2, 7, or 8; stand-alone ISF or unified Entry with IOR != ISF Importer) is submitted without a Bond Holder Number
122	Invalid Bond Activity Code	A transaction is submitted with an invalid Bond Activity Code
123	Invalid Bond Type	A transaction is submitted with an invalid Bond Type
124	Invalid ISF Importer Qualifier	A stand-alone transaction is submitted with an invalid ISF Importer Qualifier
125	Invalid ISF Importer Number format	A stand-alone transaction is submitted with an invalid ISF Importer Number format

<b>Code</b>	<b>Message</b>	<b>Rule</b>
<b>126</b>	Missing Surety Code	An Add/Replace transaction that requires bond information (shipment type 1, 2, 7, or 8; stand-alone ISF or unified Entry with IOR != ISF Importer) is submitted with Bond Activity Code 99, Bond Type 9, and no Surety Code
<b>127</b>	Invalid Bond Holder format	An Add/Replace transaction is submitted with an invalid Bond Holder Number format
<b>128</b>	Invalid Surety Code format	An Add/Replace transaction is submitted with an invalid Surety Code format
<b>129</b>	DOB must be MMDDYYYY	A transaction is submitted with ISF Importer Qualifier for passport number, and an invalid passport date of birth format
<b>130</b>	Passport Country not on file	A transaction is submitted with ISF Importer Qualifier for passport number, and an invalid passport country of issuance
<b>131</b>	Passport not allowed for Shipment Type	A transaction is submitted with ISF Importer Qualifier for passport number, and a Shipment Type other than 03 (personal effects)
<b>132</b>	Invalid Action Reason Code	A transaction is submitted with an invalid Action Reason Code
<b>133</b>	ISF Importer Number not on file	A stand-alone transaction is submitted with an ISF Importer Number that is not on file
<b>134</b>	Missing Bond Activity Code	An Add/Replace transaction that requires bond information (shipment type 1, 2, 7, or 8; stand-alone ISF or unified Entry with IOR != ISF Importer) is submitted without a Bond Activity Code
<b>135</b>	Missing Bond Type	An Add/Replace transaction that requires bond information (shipment type 1, 2, 7, or 8; stand-alone ISF or unified Entry with IOR != ISF Importer) is submitted without a Bond Type
<b>136</b>	Missing Surety Bond Reference Number	An Add/Replace transaction that requires bond information (shipment type 1, 2, 7, or 8; stand-alone ISF or unified Entry with IOR != ISF Importer) is submitted with Bond Activity Code 99, Bond Type 9, and no Surety Bond Reference Number
<b>137</b>	Bond Holder not allowed	A unified Entry, with the same entity as the Entry IOR and ISF Importer, is submitted with a Bond Holder in an SF record / segment
<b>138</b>	Bond Type not allowed	A unified Entry, with the same entity as the Entry IOR and ISF Importer, is submitted with a Bond Type in an SF record / segment
<b>139</b>	Bond Activity Code not allowed	A unified Entry, with the same entity as the Entry IOR and ISF Importer, is submitted with a Bond Activity Code in an SF record / segment
<b>140</b>	Surety Code not allowed	A unified Entry, with the same entity as the Entry IOR and ISF Importer, is submitted with a Surety Code in an SF record / segment
<b>141</b>	Complete Transaction Required	A warning issued to the filer when a flexible filing option is used to file the ISF. CBP expects an update when all information is complete.
<b>153</b>	Missing Bill of Lading	An Add/Replace transaction is submitted without a House or Regular Bill Number

<b>Code</b>	<b>Message</b>	<b>Rule</b>
<b>154</b>	Invalid Bill of Lading format	A transaction is submitted with a House or Regular Bill Number that is an invalid format
<b>157</b>	Duplicate Bill Numbers	A transaction is submitted with duplicate House or Regular Bill Numbers
<b>201</b>	SF20 maximum occurrence 999 exceeded	A transaction is submitted with more than 999 SF20 records
<b>203</b>	Invalid Reference Identifier Qualifier	A transaction is submitted with an invalid Reference Identifier Qualifier
<b>204</b>	Missing Reference Identifier	A transaction is submitted with a Reference Code Qualifier but without the corresponding Reference Identifier
<b>205</b>	Invalid Entry Number format	A stand-alone transaction is submitted with an Entry Number that has an invalid format
<b>209</b>	Invalid Bill of Lading format	A transaction is submitted with a Master Bill Number that is an invalid format
<b>210</b>	Duplicate Bill Numbers	A transaction is submitted with duplicate Master Bill Numbers, or the same number as a Master Bill and a House/Regular Bill
<b>211</b>	Missing Carnet Country of Issuance	A transaction is submitted with Shipment Type '06' and no Carnet Country of Issuance
<b>212</b>	Missing Carnet Number	A transaction is submitted with Shipment Type '06', Carnet Country of Issuance 'US', and no Carnet Number
<b>213</b>	Carnet Country of Issuance not on file	A transaction is submitted with an invalid Carnet Country of Issuance
<b>251</b>	SF25 maximum occurrence 999 exceeded	A transaction is submitted with more than 999 SF25 records
<b>252</b>	Invalid Equipment Description Code	A transaction is submitted with an SF25 equipment record and invalid Equipment Description Code
<b>253</b>	Missing Equipment Initial	A transaction is submitted with an SF25 equipment record and no Equipment Initial
<b>254</b>	Missing Equipment Number	A transaction is submitted with an SF25 equipment record and no Equipment Number
<b>255</b>	Invalid Equipment Size Type Code	A transaction is submitted with an SF25 equipment record and invalid Equipment Size Type Code
<b>301</b>	SF30 maximum occurrence 999 exceeded	A transaction is submitted with more than 999 SF30 records
<b>302</b>	Missing Importer of Record	An Add/Replace transaction is submitted with Submission Type 1, and no Importer of Record Number
<b>303</b>	Missing Consignee Number	An Add/Replace transaction is submitted with Submission Type 1, and no Consignee Number
<b>304</b>	Missing Manufacturer	An Add/Replace transaction is submitted with Submission Type 1, and no Manufacturers
<b>305</b>	Missing Seller	An Add/Replace transaction is submitted with Submission Type 1, and no Sellers
<b>306</b>	Missing Buyer	An Add/Replace transaction is submitted with Submission Type 1, and no Buyers

<b>Code</b>	<b>Message</b>	<b>Rule</b>
<b>307</b>	Missing Ship To Party	An Add/Replace transaction is submitted without any Ship To Parties
<b>308</b>	Missing Consolidator	An Add/Replace transaction is submitted with Submission Type 1, Action Reason Code FT or FX, and no Consolidators - Warning
<b>308</b>	Missing Consolidator	An Add/Replace transaction is submitted with Submission Type 1, Action Reason Code other than FT or FX, and no Consolidators - Reject
<b>309</b>	Missing Container Stuffing Location	An Add/Replace transaction is submitted with Submission Type 1, Action Reason Code FT or FX, and no Container Stuffing Locations - Warning
<b>309</b>	Missing Container Stuffing Location	An Add/Replace transaction is submitted with Submission Type 1, Action Reason Code other than FT or FX, and no Container Stuffing Locations - Reject
<b>310</b>	Missing Booking Party	An Add/Replace transaction is submitted with Submission Type 2, and no Booking Parties
<b>311</b>	Invalid Entity Code	A transaction is submitted with an invalid Entity Code
<b>312</b>	Missing Entity Identifier or Name	A transaction is submitted with an Entity Code other than IOR/Consignee, and no Entity Identifier or Entity Name
<b>313</b>	Invalid Importer Identifier Qualifier	A stand-alone transaction is submitted with an IOR and an Entity Identifier Qualifier that is invalid for that entity (EI, ANI, 34, AEF)
<b>314</b>	Invalid Consignee Identifier Qualifier	A stand-alone transaction is submitted with a Consignee Entity Code, and an Entity Identifier Qualifier that is invalid for that entity (EI, ANI, CIN, 34, AEF)
<b>315</b>	Missing Entity Identifier	A transaction is submitted with an IOR/Consignee Entity Code and a Entity Identifier Qualifier, but the corresponding Entity Identifier is missing
<b>316</b>	Invalid IRS format in Entity Identifier	A transaction is submitted with an Entity Identifier Qualifier for IRS #, but the corresponding Entity Identifier is an invalid IRS # format
<b>317</b>	Invalid Entity Identifier format	A transaction is submitted with an Entity Identifier Qualifier for CBP Assigned Number, but the corresponding Entity Identifier is an invalid CBP Assigned Number format
<b>318</b>	Invalid Entity Identifier format	A transaction is submitted with an Entity Identifier Qualifier for CBP encrypted consignee ID, but the corresponding Entity Identifier is an invalid CBP consignee ID format
<b>319</b>	Invalid SSN format in Entity Identifier	A transaction is submitted with an Entity Identifier Qualifier for SSN, but the corresponding Entity Identifier is an invalid SSN format
<b>320</b>	Invalid Buyer Identifier Qualifier	A transaction is submitted with a Buyer, and an Entity Identifier Qualifier that is invalid for Buyer (EI, ANI, CIN, 34,DUN, DNS)
<b>322</b>	Invalid Ship To Identifier Qualifier	A transaction is submitted with a Ship To Party, and an Entity Identifier Qualifier that is invalid for Ship To Party (DUN, DNS, FR)

<b>Code</b>	<b>Message</b>	<b>Rule</b>
<b>323</b>	Invalid Booking Party Identifier Qualifier	A transaction is submitted with a Booking Party, and an Entity Identifier Qualifier that is invalid for Booking Party (DUN, DNS)
<b>324</b>	Entity Identifier and Name not allowed	A transaction is submitted with both Entity Identifier and Entity Name
<b>325</b>	Invalid Manufacturer Identifier Qualifier	A transaction is submitted with a Manufacturer and an Entity Identifier Qualifier that is invalid for Manufacturer (DNS, DUN)
<b>326</b>	Missing MID link to HTS line item	A unified EI/HI/FT transaction is submitted with a Manufacturer and no corresponding MID that would link to a commodity line item
<b>327</b>	Entity Identifier not allowed	A stand-alone transaction is submitted with a Manufacturer that has Entity Identifier with an Entity Identifier Qualifier other than that for a D&B number
<b>328</b>	HTS must follow Manufacturer	A transaction is submitted with commodity line items linked to an entity that is not a Manufacturer
<b>329</b>	Entity Identifier not on file	A transaction is submitted with an Entity Identifier Qualifier for IRS#, CBP Assigned Number or SSN; but the corresponding Entity Identifier is not on file
<b>330</b>	Invalid Seller Identifier Qualifier	A transaction is submitted with a Seller, and an Entity Identifier Qualifier that is invalid for Seller (EI, ANI, CIN, 34,DUN, DNS)
<b>332</b>	Passport not allowed for Shipment Type	A transaction is submitted with Entity Identifier Qualifier for passport number, and an incompatible Shipment Type
<b>333</b>	DOB must be MMDDYYYY	A transaction is submitted with Entity Identifier Qualifier for passport number, and an invalid passport date of birth format
<b>334</b>	Passport Country not on file	A transaction is submitted with Entity Identifier Qualifier for passport number, and an invalid passport country of issuance
<b>335</b>	Invalid Consolidator Identifier Qualifier	A transaction is submitted with a Consolidator, and an Entity Identifier Qualifier that is invalid for Consolidator (DUN, DNS)
<b>336</b>	Invalid Stuffing Loc Identifier Qualifier	A transaction is submitted with a Container Stuffing Location, and an Entity Identifier Qualifier that is invalid for Container Stuffing Location (DUN, DNS)
<b>340</b>	A and B Rec DP/FLR/ Office Conflict	Record Identifier B - The Broker Profile is not found for the Broker/Port combination. or Record Identifier B - Processing Port Code, Entry Filer Code and when provided, Processing Office Code data elements do not match A record data elements.
<b>351</b>	Missing Entity ID or Address	A transaction is submitted with an Entity Code other than IOR/Consignee, and no Entity Identifier or Street Address
<b>352</b>	Invalid Address Component Qualifier	A transaction is submitted with an SF35 record that has an invalid Address Component Qualifier
<b>353</b>	Missing Street Address	A transaction is submitted with an SF35 record that has an Address Component Qualifier but no corresponding Street Address

<b>Code</b>	<b>Message</b>	<b>Rule</b>
<b>361</b>	Missing City	A transaction is submitted with an Entity Code other than IOR/Consignee, and no Entity Identifier or Address City
<b>362</b>	Invalid Address Country Code	A transaction is submitted with an Entity Code other than IOR/Consignee, and no Entity Identifier or Address Country Code
<b>370</b>	Invalid Character	<p>CATAIR record contains invalid characters. Valid characters are defined as:</p> <p>!"#\$%&amp;'()+,- ./0123456789:;&lt;=&gt;?@ABCDEFGHIJKLMN OPQRSTUVWXYZ ^_`{ }~</p> <p>If any character other than the ones indicated above appear in a transmission, a reject will occur.</p>
<b>401</b>	SF40 maximum occurrence 999 exceeded	A stand-alone Add/Replace transaction is submitted with more than 999 SF40 records
<b>403</b>	Missing HTS Code	A transaction is submitted without an HTS Code
<b>404</b>	HTS Code not on file	A transaction is submitted with an HTS Code that is not on file
<b>405</b>	Invalid Country of Origin	A transaction is submitted with an invalid commodity country of origin
<b>406</b>	Invalid length for HTS Code	A transaction is submitted with an HTS Code that is not 6, 8, or 10 digits
<b>408</b>	Missing Country of Origin	An Add/Replace transaction is submitted with Submission Type 1 and no commodity country of origin
<b>501</b>	Missing Foreign Port of Unlading	An Add/Replace transaction is submitted with Submission Type 2 and no Foreign Port of Unlading
<b>502</b>	Invalid Location Code Qualifier	A transaction is submitted with an invalid Location Code Qualifier
<b>503</b>	Invalid Foreign Port of Unlading	A transaction is submitted with a Foreign Port of Unlading that is not on file
<b>504</b>	Invalid Place of Delivery	A transaction is submitted with a Place of Delivery that is not on file
<b>505</b>	Missing Place of Delivery	An Add/Replace transaction is submitted with Submission Type 2 and no Place of Delivery