

Automated Commercial Environment

DDTC Licensable Shipment Reporting Requirement Matrix

October 3, 2014



U.S. Customs and
Border Protection



Table 1. DDTC Licensable Shipment Reporting Requirement Matrix.

DOS/DDTC License Type	Reported AES License Code	Reported Data Elements								
		Export License Number/ ACM#	DDTC ITAR Exemption Number	DDTC Registration Number	DDTC SME Indicator	DDTC Eligible Party Certification Indicator	DDTC USML Category Code	DDTC Unit of Measure Code	DDTC Quantity	DDTC License Line Number (Future)
Agreement	SAG	N	R	R	R	N	R	R	R	I
Australia ITAR Exemptions	SAU	R	R	R	R	R	R	R	R	I
Canadian ITAR Exemption	SCA	N	R	R	R	R	R	R	R	I
United Kingdom ITAR Exemptions	SGB	R	R	R	R	R	R	R	R	I
ITAR Exemption	S00	N	R	A	R	R	R	R	R	I
DSP-05 Permanent export of unclassified defense articles and services	S05	R	N	R	R	N	R	R	R	I
DSP-61 Temporary import of unclassified articles	S61	R	N	R	R	N	R	R	R	I
DSP-73 Temporary export of unclassified articles	S73	R	N	R	R	N	R	R	R	I
DSP-85 Temporary or permanent import or export of classified articles	S85	R	N	R	R	N	R	R	R	I
DSP-94 Foreign Military Sales	S94	R	N	R	R	N	R	R	R	I

Key: **R** = Reporting Mandatory (filing rejected if data not present); **N** = Reporting Not Allowed (filing rejected if data present); **I** = Ignored; **A**= Allowed

Additional edits/validations shall be applied to the DDTC license data as follows:

- The reported **DDTC Registration Number** must match an *active* Registrant on file in AES. An electronic SED shall not be accepted if the Registrant is found to be no longer active (i.e., 'expired').
- A Foreign Military Sales license claim (i.e., the reporting of **License Code** 'S94') requires the reporting of an **Export Information Code** of 'FS', 'FI', 'GS', or 'MS'. If the 'FS', 'FI', 'GS', or 'MS' code does not accompany an 'S94' claim, the shipment transaction will be rejected.
- An **Export Information Code** of 'FS' or 'FI' will not be accepted for other than a **License Code** claim of 'S94'. If the 'FS' or 'FI' code accompanies other than an 'S94' claim, the shipment transaction will be rejected.
- The acceptable format of an **Export License Number** when the filer makes a Foreign Military Sales license claim (i.e., the reporting of **License Code** 'S94') is:

“AA-A-AAA”

Where 'A' represents an alphanumeric character and '-' represents a dash. Left-justify and space fill. If an 'S94' license number is formatted in any other manner, the shipment transaction will be rejected.

- The reported **DDTC Unit of Measure Code** must be a value in the DDTC Unit of Measure Code list (AESTIR Appendix W).
- When reported, a **DDTC ITAR Exemption Number** must be a value in the DDTC ITAR Exemption Code list (AESTIR Appendix O).
- The reported **DDTC USML Category Code** must be a value in the DDTC USML Category Code list (AESTIR Appendix L).

- The reporting of a 'Canadian' ITAR Exemption (i.e., **License Code** 'SCA') requires that the **Country of Ultimate Destination** be reported as Canada (i.e., CA).

Additional filing notes:

- Filings that do not conform to the above edits and validations will be fatally rejected.
- In a proprietary format filing do not report the new ODT record unless required.
- When reported in a proprietary filing, the ODT record must be transmitted immediately following the CL2 record.
- The edits and validations for non-DDTC shipments will not change. Continue to report as instructed in AESTIR Appendix F.