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## **Introduction to Post Summary Corrections**

U.S. Customs and Border Protection has deployed enhanced Automated Commercial Environment (ACE) capabilities related to Entry Summary, Accounts and Revenue (ESAR) that will provide new Electronic Data Interchange (EDI) capabilities specific to the Automated Broker Interface (ABI) processing of post summary corrections for entry summaries filed in ACE. The Federal Register Notice announcing this latest release of ACE should be consulted for specific information pertaining to requirements and restrictions for post summary corrections.

- Trade participants will be able to submit a post summary correction (PSC) for an existing ACE entry type 01, 02, 03, 06, 07, 21, 22, 23, 31, 32, 34, 38, 51, and 52 entry summary. Type 11 informal and type 12 informal+quota entries are NOT eligible for post summary corrections.
- The PSC will replace the existing entry summary as a new version of the entry summary on file with CBP and will be processed through existing validations including Census warnings. The version increases to the next whole number, (e.g., 2.0 in ACE reports).
- PSCs can be made at the header or the line level of the ACE entry summary or both.
- The (PSC) transaction is a trade submitted ABI "AE" transaction.
- The PSC will replace the existing Post Entry Amendment (PEA) hardcopy process for all ACE entry summaries.
- All entry summaries including PSCs may be subject to team review. CBP will not review each PSC.
- CBP will consider the PSC to be the importer's assertion that the entry summary data is correct. CBP will accept the data as the most up to date information available and will change the associated collection information to reflect any resulting monetary changes.
- If a PSC is filed by someone other than the original filer, the original filer will not get a courtesy notice of liquidation via ABI.
- Filers under NILS will NOT be impacted. They will still receive the courtesy notice of liquidation if they have been designated by the importer even if they are NOT the



PSC filer. The same is true for filers when the importer has filed a CBPF 4811 naming them as the recipient of the bills, refunds, and liquidation notices.



*If the entry summary is transmitted through the Automated Commercial System (ACS), a PSC cannot be filed through ACE.*

### Who Can Correct an ACE Entry Summary?

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- An authorized ACE entry summary filer may submit a post summary correction for an ACE entry summary originally submitted by another ACE entry summary filer if authorized by the same importer of record.
- The act of filing a PSC will constitute “customs business” as defined in 19 C.F.R.111.1.
- The "PSC filer" is a new field within ACE which identifies the filer that submits the PSC.
- If the PSC is filed by someone other than the entry summary filer, ownership of the entry summary moves to the PSC filer. Only the PSC filer will be able to view the specific entry summary that was corrected. The filer of the original entry summary will receive an Entry Summary Status Notification (UC) message when a PSC has been filed, but will not have visibility to the new filing. The entry filer will also be able to run an ABI query and view limited information on the entry summary that was corrected.

### Post Summary Corrections Criteria

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To file a post summary correction for an existing ACE type 01, 02, 03, 06, 07, 21, 22, 23, 31, 32, 34, 38, 51, and 52 entry summary, the original entry summary or previously filed PSC must meet the following criteria:

- The entry summary cannot be liquidated.
- The entry summary must be fully-paid or revenue free. This means for entry summaries included on a periodic monthly statement, it may be up to 45 days following the entry date before CBP receives payment for the statement.
- The entry summary must be in “accepted” status and in “CBP control.”
- PSCs must be filed within 270 calendar days of the date of entry. The PSC cannot be filed within 20 calendar days of the scheduled liquidation date.
- ACE will reject the transmission and the filer must file a protest if the entry summary is less than 21 days from the liquidation date.
- The entry summary cannot be under CBP review. The filer will receive a message indicating “PSC not allowed-under CBP Review” if a PSC is submitted when the entry summary is in CBP review status.
- Reconciliation fields such as flagging or unflagging an entry summary are not permitted using PSC.



- A text explanation and at least one reason code are required for each PSC submission.
- There are NO limitations to the number of PSCs that can be submitted for any one entry summary as long as the PSC is within the permitted time frame and all other requirements are met.
- An AD/CVD entry type 03 cannot be changed to another entry type using a PSC.
- Entry summaries cannot be flagged or un-flagged for reconciliation using a PSC.



*For additional PSC rules, please refer to the “U.S. Customs & Border Protection Business Rules and Process Document (Trade)” posted on [CBP.gov](http://CBP.gov).*

### **Record Keeping Requirements**

*The trade is responsible for keeping a record of every version of the entry summary submitted to CBP. As per the regulations, the trade must retain those copies for five years from the date of entry.*

### **Post Summary Correction Restrictions**

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Data elements that cannot be changed via a PSC include the following:

- Importer of record
- Consolidated summary indicator
- District/port of entry
- Cargo release certification request indicator (including Department of Transportation (DOT) grouping, Food and Drug Administration (FDA) grouping and Participating Government Agency (PGA) grouping.)
- Live entry indicator
- NAFTA indicator
- Reconciliation issue code
- Preliminary statement print date
- Periodic statement month
- Statement client branch identifier
- Location of goods
- Any release detail (release entry filer code, release entry number)



*For additional documentation specifying the details of electronic submissions of PSCs, please refer to the *Customs and Trade Automated Interface Requirements (CATAIR)* posted on [CBP.gov](http://CBP.gov).*



### EDI Changes for Post Summary Corrections

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Several new fields have been added to the Customs and Trade Automated Interface Requirements (CATAIR) records to accommodate the new PSC functionality. Users should consult CBP.gov and the latest version of the CATAIR before sending any PSC transactions to CBP. The new PSC data elements are as follows:

- PSC indicator (10 Record)
- Accelerated Liquidation Request Indicator (10 Record)
  - The Accelerated Liquidation Request Indicator will result in the PSC being liquidated by CBP using the two week liquidation cycle in order to generate a refund or a bill.
- PSC Reason Code -Header (35 Record)
- PSC Filing Explanation (36 Record)
  - The 36 Record was added to allow the user to provide a textual explanation of the changes. This is a new mandatory text record and may be repeated up to 99 times to allow for a thorough explanation to what is provided to Customs and Border Protection (CBP) similar to the explanation provided when a Post Entry Amendment is submitted.
- PSC Reason Code –Line (63 Record)



*Up to five reason codes at the header and/or line level may be identified on a single PSC.*

The “Entry Summary Status Notification” message is an ABI response message generated from any of the following: CBP ACE entry summary processing; CBP manual review of entry summary and related information; CBP action with agreement or request from the entry summary filer. This message will only be sent to ACE ABI filers for those entry summaries filed in ACE.

Filers may receive an “Entry Summary Status Notification” message to indicate any of the following: additional documentation is required; an entry summary has been rejected, cancelled, or inactivated; documentation has been received by CBP; or a PSC has been presented by another filer (Disposition Type Code 8 = A Post Summary Correction (PSC) has been presented by another filer).

Three new Entry Summary Query criteria types have been introduced: PSC (for Post Summary Corrections); LIQ (for liquidated summaries); and ULQ (for un-liquidated summaries).

Output records have been split into “semi-private” information and “private/owner” information. BOTH types of output will be returned if the requester is the current owner



of an entry summary (whether the originator or the owner as the result of a PSC filing). Only “semi-private” information will be returned if the requester is a previous owner of the entry summary.

The following rules will determine what level of information can be returned to the filer of the entry summary query:

- **Owner** is determined by comparing the “Entry Filer Code” on the B-record of the entry summary query with the “Filer Code” on the entry summary block control table for the latest entry summary on file. If the filer codes match, then the filer submitting the entry summary query is the Owner.
- **Semi-private** is determined using the same comparison above. However, if the filer is not the current Owner, but has been an Owner on a previous (i.e. noncurrent) entry summary version, then the filer is considered a Semi-private Owner.

If a filer no longer owns the entry summary because a PSC was transmitted by another filer, the original filer will receive the following information in the ACE Entry Summary Query:

- Entry Number
- Filer Code
- Version Number
- Accepted Date Time,
- PSC Indicator,
- PSC Accept Date,
- Ownership Data Returned Indicator
- Liquidation Status Code
- Liquidation Date

## Reports

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The Entry Summary Universe for ACE Portal Reports has been redesigned to make it easier to use and to provide additional flexibility when creating and modifying Entry Summary Management (ESM) reports. The redesign resolves the numerous incompatibility issues that users were experiencing. Data objects now appear in alphabetical order within each report sub-class. Additional PSC data elements have been added to the universe under the “Summary Header and Line” class. The “PSC” sub-class includes the following:

- Accelerated Liquidation Request Indicator
- PSC Date
- PSC Filer
- PSC Filer Name
- PSC Indicator



- PSC Reason Code Concatenated
- PSC Reason Code (Header)
- PSC Reason Code Description (Header)
- PSC Reason Code (Line)
- PSC Reason Description (Line)
- PSC Reason Code (ALL)
- PSC Reason Description (ALL)

Only data associated with the current version of the entry summary will be displayed in reports.

If the PSC is filed by someone other than the original entry summary filer then only the PSC filer and the importer will be able to view the specific entry summary that was corrected in ACE reports. The entry summary information will not appear in reports when run by the original filer.



*For ACS entry summaries, the owner will continue to be the entry summary filer.*

The reports that are impacted by the **PSC filer** are listed below.

**Impacted Reports and Categories**

<b>Report Category/Report or Extract Name</b>	<b>Report Tool Navigation Folder Path</b>
Aggregate Entry Summary – all reports	Account Management/Aggregate Reports/Entry Summary
Aggregate ES Compliance – all reports	Account Management/Aggregate Reports/ES Compliance
Detail Entry Summary – all reports	Account Management/Detail Reports/Entry Summary
Detail ES Compliance – all reports	Account Management/Detail Reports/ES Compliance
Authorized Data Extract/Entry Summary Report (AD 001)	Authorized Data Extract
Authorized Data Extract/Team Review Report (AD 005)	Authorized Data Extract
Authorized Data Extract/ Trade Aged Liquidation ADCVD Entry Summary Report (AD 8027)	Authorized Data Extract
Entry Summary/Entry Summary Census Warning and Override Report (ESM 7001)	Entry Summary
Entry Summary/Entry Summary Line Detail Report (ESM 7008)	Entry Summary
Entry Summary/Entry Summary Report (ESM 7068)	Entry Summary



Entry Summary/Trade Aged Liquidation ADCVD Entry Summary Report (ESM 8027)	Entry Summary/ADCVD
Entry Summary/ACE Reject Report (ESM 7024)	Entry Summary/Trade Actions
Entry Summary/CBP Form 28, 29 4647 Status Report (ESM 7025)	Entry Summary/Trade Actions

- Account Profile, Account Revenue, Declarations, Quick Views, Reference and Transactions reports are not impacted by the PSC filer.

Reports other than those associated with the Entry Summary Universe which have been changed include:

- AD-001(Entry Summary Extract Report) has been updated to include the PSC indicator.
- The PSC Indicator and the PSC Filer data objects can be added to Aggregate and Detail Account Management Entry Summary reports when run under "Modify".

### **Additional Resources**

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For additional assistance, take the web-based training (WBT) titled **Post Summary Corrections** posted on CBP.gov.





# Topic: Post Summary Corrections

The URL for the ACE Training and Reference Guides site is:

[https://www.cbp.gov/trade/ace/trading-and-reference-guides](https://www.cbp.gov/trade/ace/training-and-reference-guides)

The screenshot shows the 'ACE Training and Reference Guides' page. The header includes the U.S. Customs and Border Protection logo and navigation links. The main content area is titled 'ACE Training and Reference Guides' and contains several sections: 'Trade User' with a 'Launch HHS URL' button, 'ACE Portal Training' with a list of topics, and 'ACE Manifest Training' with a list of topics. A red box highlights a 'Materials in Support of October 29, 2016 Transition' section on the right side of the page.



**Do you need additional assistance with Post Summary Corrections? If you are a trade member calling or if you are calling outside the United States, please contact **CBP Technology Service Desk** at 1-866-530-4172.**