

Trade User Requirement Report - Active User Requirements

Requirement #	GIF	TSN Requirement Description	Submission date	Status	Release (s)	Comments
ACT-007.1	972	The importer account portal should contain the following additional detail: b. List/search capability for all Protests/Petitions/PEAs/SILs filed and status	6/14/2002	Pending CBP L/P Input	Post Core	<p>DEC 1 2015: Post Core Development; Per Monica Crockett - search capability via the Protestant Account for protest only will be developed for protests entered via the Portla. All other searches will be available in ACE reports.</p> <p>October 2014: PSC data is available via ACE reports. Protests/Petitions data will also be made available via ACE reports once capability is delivered.</p> <p>AUGUST 18, 2009 - THIS REQUIREMENT (CSPO-GIF-972) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO COVERS ACT-007, ACT-007.1, ACT-007.12, ACT-007.8, ACT-007.9</p> <p>3/25/08 - Post Summary Corrections (replacing PEAS) is planned for A2.3. Protests will be in A2.4</p> <p>8/9/07 - Will be implemented in late A2 or A3. Will require a GIF</p> <p>Display capability will be available. Searches will be by entry number. 1/17/07 Post Summary Corrections will replace SILs and PEAs with A2.</p> <p style="text-align: right;"><i>Owner:</i> Monica Crockett</p>
ACT-007.8	972	List open increased Duty Bills/Sanction	6/14/2002	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending development in future increments.</p> <p>AUGUST 18, 2009 - THIS REQUIREMENT (CSPO-GIF-972) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO COVERS ACT-007, ACT-007.1, ACT-007.12, ACT-007.8, ACT-007.9</p> <p>Update: 3/25/08 - Planned for A2.4</p> <p>Status 4/11/07 - Should be owned by V. Neuhart or P. Henry. Need to discuss further.</p> <p style="text-align: right;"><i>Owner:</i> Monica Crockett</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-007.9	972	List open outstanding Duty Bills (all duty bills that do6/14/2002 not have a paid status) (portal requirement)		Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD October 2014: Pending development in future increments. AUGUST 18, 2009 - THIS REQUIREMENT (CSPO-GIF-972) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO COVERS ACT-007, ACT-007.1, ACT-007.12, ACT-007.8, ACT-007.9 Update: 03/25/08 - Rev GIF 669 submitted covering request 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Update : 6/18/2007: Does the trade want a query (pull) or a push capability. CBP can provide a query capability. If the trade wants a pull a separate GIF will be required
<i>Owner:</i> Monica Crockett						

ACT-009.16	959	SURETY AND EXTRACT REFERENCE FILE REQUIREMENTS - The surety account shall have the ability to view, query and download information from the "Extract Reference File" as currently identified in ABI, or its equivalent under ACE. The surety account requests the ability to query any code in the extract reference file, such as but not limited to claims and status codes. (Also referenced in Rev-008.) (Portal & download requirement)	6/14/2002	Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER SEACATS MOD October 2014: Pending development in future increments. AUGUST 28, 2009 - THIS REQUIREMENT IS INCLUDED IN CSPO-GIF=959-ACT-828-A2.3-EXTRACTS TO SURETIES ASI DATA DOWNLOAD. Update: 3/25/08 - A2.4 if not earlier 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Update 6/18/2007: Same status as ACT-009.12 Requirement revised 9/23/04 ABI filers can download reference data. CBP is currently reviewing whether all reference data will be available on the portal.
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-009.22	988	SURETY PAYMENT - The portal should have the capability for a surety to set up payment to CBP through it. It should allow a surety to identify an item which will be paid in full, mitigated amount paid, or if an offer in compromise is being paid. The access to this part shall be controlled by the surety/account owner. (Portal requirement)	9/23/2004	Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD October 2014: Pending development in future increments. AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -988) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Update: 3/25/08 - A2.4 - tied to Payment tab GIF 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Update 6/18/2007: Same status as ACT-009.12 Requirement revised 9/23/04
<i>Owner:</i> Monica Crockett						
ACT-009.23	989	SURETY: TRACKING PAYMENT: The surety and CBP should have the ability to track payment by bond. (i.e.: CBP should be aware when a surety has paid its full limit of liability and the bond can no longer be called upon). (Portal requirement)	9/23/2004	Pending CBP L/P Input	POST CORE	DEC 2 2015: BOND SUFFICIENCY CAPABILITY IS ON THE BACKLOG FOR POST CORE E-BOND WORK. October 2014: Pending development in future increments. AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -989) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Update: 3/25/08 - A2.4 at the latest 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Update 6/18/2007: Same status as ACT-009.12 Requirement revised 09/23/04
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-009.6	959	<p>SURETY: VIEW LIQUIDATED AND UNLIQUIDATED ENTRIES</p> <p>The surety account shall provide the ability for the surety to view/query all entry data, liquidated and unliquidated for all entries for any bond a surety writes.</p> <p>Part II: It is requested that this include line item HTS data, ADD/CVD case number, open CF 28/29 and closed CF 28/29 information and A1A documents available to surety for public access.</p> <p>(Portal & download requirement)</p>	6/14/2002	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending development in future increments.</p> <p>AUGUST 28, 2009 - THIS REQUIREMENT IS INCLUDED IN CSPO-GIF-959-ACT-828-A2.3-EXTRACTS TO SURETIES ASI DATA DOWNLOAD.</p> <p>Update: 3/25/08 - A 2.4 at earliest if CBP legal allows.</p> <p>8/9/07 - Need more information from committee on what data elements are needed. May need legal policy determination on access to data. Will discuss further at the October 2007 Revenue Workshop. Will require a GIF.</p> <p>Revised 9/23/04</p> <p>Trade will be able to query an entry summary to determine if a 28 or 29 was issued.</p>
			<i>Owner:</i>	Monica Crockett		
ACT-009.7	983	<p>SURETY VIEW OF ENTRY SUMMARY DATA - The</p> <p>surety account shall allow the surety to view or query entry data including periodic payment and reconciliation flags secured by any of its bonds by importer identification number.</p> <p>(Portal requirement)</p>	6/14/2002	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending development in future increments.</p> <p>FEBRUARY 3, 2011 - This GIF was determined to be not in scope for cargo release. The GIF is still listed in "Review" status in Dimensions.</p> <p>JANUARY 7, 2011- This GIF was updated and submitted to CBP Change Control Board (CCB) review as part of the cargo release revalidation effort.</p> <p>AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -983) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Update: 3/25/08 - A2.4 at the earliest if no legal issues.</p> <p>8/9/07 - ACE can provide status field for reconciliation that can be downloaded in a report. Need to confer with Phyllis Henry on periodic payment data. Will discuss at the October 2007 Revenue Workshop. Will need a GIF</p> <p>Revised 9/23/04.</p>
			<i>Owner:</i>	Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-009.9	985	<p>SURETY: GUI REQUIREMENTS</p> <p>I. The surety account shall provide the ability for the surety to view/query, both by importer number or entry number or bill/case number, the Increased Duty/Additional Duty/Supplemental Duty Bill or FPF Case. These claim types include: FPF claims, Increased Duty/Additional Duty/Supplemental Duty Bills, Passenger User Fees, Debit Vouchers/ACH Defaults, Liquidated Damages, and Penalties.</p> <p>II. The surety should be able to view detailed information on each claim type above by status: open, closed, protested, protest decision, petitioned, petition decision, offer in compromise, offer in compromise decision, formal demand on surety, billing cycle statuses, and sanction eligibility. (For purposes of this document any reference to "bill" is considered an increased duty bill, additional duty bill or supplemental duty bill).</p> <p>(Portal requirement)</p>	6/14/2002	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER SEACATS MOD</p> <p>October 2014: Pending development in future increments.</p> <p>AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -985) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Update: 3/25/08 - A2.3</p> <p>/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: Same status as ACT-009.12</p> <p>Revised 9/23/04</p> <p>No FP&F data</p>
				<i>Owner:</i>		Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-013	842	CF 28's & 29's: This requirement involves the introduction of the CF 28, 29 and 4647 into the ACE portal. Quite often, brokers are involved in responding to the CBP's requests on CF 28s and 29s for importers. In order to do so in a timely manner, it would be vary advantageous for importers and brokers to be notified through the account portal as soon as CBP creates a CF 28 or 29. An alert message could appear on the Account's home page notifying them of a new item (potentially within the SAL). If so, SAL would also need to display the creation date or due date of the request. Also, the advent of a reminder notice as the CF 28 or 29 due date approaches, would allow importers to be even more compliant.	6/13/2003	Pending CBP L/P Input	POST CORE	<p>DEC 1 2015: (REF ALSO ACT-013 GIF 842) IMPORTERS WHO SIGN UP TO RECEIVE COMMUNICATIONS VIA THE PORTAL ALREADY HAVE THIS CAPABILITY. THIS CAPABILITY WOULD HAVE TO EXTEND TO BROKERS AND SHOULD BE CONSIDERED WHEN WE ENHANCE/REFACTOR ACE ACCOUNTS.</p> <p>tober 2014: Pending development in future increments.</p> <p>JANUARY 21, 2009 - THIS REQUIREMENT (CSPO-GIF-842) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Update: 3/25/08 - A2.2 importers only. At the January 2007 meeting with trade brokers requested not to push forms to brokers via the portal. The Broker Account Subcommittee will be preparing a GIF requesting this capability in A2.3</p> <p>8/9/07 - Accepted in part. There will be no alert or reminder notice. Brokers would need to request the information and let CBP know the preferred method of communication.</p> <p>Currently under review. Subject to CBP policy considerations.</p> <p>Notification will be thru ABI or paper.</p>
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-020	702	<p>MULTIPLE HTS NUMBER REPORT. An authorized user should have the ability to request a report that reflects all entry summary lines that were classified within a range of HTS numbers or for multiple HTS numbers with total value, quantity, and a list of entries under which any were filed.</p> <p>A. An authorized user should have the ability to request a report that reflects all entry summary lines associated with HTS number groupings (e.g. clocks, American goods returned, etc) even if there isn't a value reported for each associated HTS number. B. ACE should be designed to allow the user to specify up to the maximum HTS numbers per line item (currently eight) when requesting a report. C. Each entry summary line where the HTS was changed in liquidation should be flagged such that a report can be generated reflecting the changes. D. A link to rulings pertaining to any of the specified HTS classifications, including rulings affiliated with the importer should be provided. E. An Authorized user should be able to run a report that reflects the HTS data for a specific period, a specific importer, port of entry, entry type, mode of transport, release message, liquidation status, reported primary and secondary quantities, MID, TIB status, "entry query status stuff". F. Report elements should include all data and data fields referred to in the CATAIR. G. The requestor should receive a message notification that either the requested size of the report exceeds the maximum allowed size parameter or that the return report contains only partial information.</p>	1/30/2004	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: A GOOD PORTION OF THIS DATA IS ALREADY AVAILABLE. THE FEW THAT ARE NOT AVAILABLE ARE THINGS THAT ARE NOT AVAILABLE AT THIS TIME SUCH AS A LINK TO RULINGS. ADDITIONAL DATA CAN BE DEVELOPED POST CORE.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>APRIL 11, 2008 - THIS REQUIREMENT (CSPO-GIF-702) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Update: 3/25/08 - The Accounts Committee is drafting a GIF to address running a report with multiple HTS numbers. An indication of where the HTS was changed in liquidation should be available with A2.1. There will be no link to ruling (D). There will be no release message (E).</p> <p>1/25/08 This requirement needs to go back to the Entry Committee for review/input. Is this requirement still relevant given the reports that are available today? If the Committee is seeking a separate report for "entry date," a GIF will be required</p> <p>8/9/07 - Item A - Accepted Item B - Accepted Item C - Accepted Item D - Rejected - CBP will not provide a direct link Item E - Should be an M2 requirement. Does Entry have a GIF on this? This is a mix of entry and entry summary data. Steven Lubel and Anita Brown will coordinate resolution. Potential GIF. Item F - Need data on CATAIR messages referred to. Item G - Partial data is available at current time.</p> <p>Timeframe for reports will be based on design.</p> <p>Reporting tool is under investigation.</p> <p>A GIF will be prepared addressing the two reports requested: Additional HTS Range report and Additional HTS Multiple report.</p>
				<i>Owner:</i>		Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-047	985	CLAIM LIST BY ACCOUNT REPORT List claims (open & closed) by account including FP&F, supplemental duty bills and any claims as they are added to ACE	3/30/2005	Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD. October 2014: Pending determination for development in future increments. OCTOBER 20, 2009 - THIS REQUIREMENT WAS ADDED AS AN ATTACHMENT TO CSPO-GIF-985. REFERENCE CSPO-GIF-985 - ACT-009.9 "ACCESS TO CLAIM INFORMATION" SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the Surety Accounts Subcommittee. <i>Owner:</i> Monica Crockett
ACT-050	1075	BROKER PERMIT REPORT: This report will capture permits specified under section 111.19 to reflect the permits held by the broker as well as the qualifying licensed individual for local amd matopma; [ermits to meet section 111.19 provisions.	3/30/2005	Pending CBP L/P Input	POST CORE	DEC 2 2015: THIS SHOULD BE PART OF THE ACCOUNT MANABEMENT ENHANCEMENT EFFORTS THAT ARE CURRENTLY SCHEDULED FOR POST CORE PENDING LEGAL AND POLICY REVIEW October 2014: Pending determination for development in future increments. OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1075) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It was delivered in A1 and then taken down after a problem was discovered with the report. It is currently covered by a problem ticket report. <i>Owner:</i> Monica Crockett
ACT-051	985	DEMAND ON SURETY CLAIM LISTING REPORT: List all claims that have reached demand on surety including FP&F, supplemental duty bills and any claims as they are added to ACE so that the sureties can review claims which CBP has demanded payment.	3/30/2005	Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNTE REV MOD October 2014: Pending determination for development in future increments. OCTOBER 20, 2009 - THIS REQUIREMENT WAS ADDED AS AN ATTACHMENT TO CSPO-GIF-985. REFERENCE CSPO-GIF-985 - ACT-009.9 "ACCESS TO CLAIM INFORMATION" SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the Surety Account subcommittee. <i>Owner:</i> Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-054	1081	FP&F CLAIM DISPLAY REPORT: Display all information including current status, status history and payment information regarding a particular FP&F claim to provide a current status of any FP&F claim to complement the weekly FP&F download.	3/30/2005	Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER SEACATS MOD October 2014: Pending determination for development in future increments. OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1081) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the Surety Account subcommittee.
<i>Owner:</i> Monica Crockett						
ACT-058	1077	OPEN BROKER PERMITS REPORT: This report shows those ports where the permitted broker has been terminated. When the permit qualifier for the port leaves, the broker has 180 days to provide a new permit qualifier. The report will track that process.	3/30/2005	Pending CBP L/P Input	POST CORE	DEC 2 2015: THIS SHOULD BE PART OF THE ACCOUNT MANABEMENT ENHANCEMENT EFFORTS THAT ARE CURRENTLY SCHEDULED FOR POST CORE PENDING LEGAL AND POLICY REVIEW October 2014: Pending determination for development in future increments. OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1077) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It was delivered in A1 and then taken down after a problem was discovered with the report. It is currently covered by a problem ticket report.
<i>Owner:</i> Monica Crockett						
ACT-060	986	MONTHLY STATEMENT REPORT FOR SURETY: This report lists all entries for an importers that have been flagged to be paid on a monthly statement to allow the surety to evaluate the outstanding duty owed to CBP on an importer basis.	3/30/2005	Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD October 2014: Pending determination for development in future increments. OCTOBER 20, 2009 - THIS REQUIREMENT WAS ADDED AS AN ATTACHMENT TO CSPO-GIF-985. REFERENCE CSPO-GIF-986 - ACT-009.19 "ACCESS TO ACCOUNT REVENUE REPORTS" SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worded by the Surety Account subcommittee. This requirement is partially covered by CSPO-GIF-986 but a sample report is not included.
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-064	1082	SANCTION ELEGIBLE FP&F CASE LISTING: List of FP&F claims that have reached sanction eligible status for a surety. Sureties will want to review claims which CBP has demanded payment and could result in a sureties sanction.	3/30/2005	Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER SEACATS MOD October 2014: Pending determination for development in future increments. OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1082) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the Surety Account subcommittee.
<i>Owner:</i> Monica Crockett						
ACT-069	1144	TEMPORARY IMPORTATION UNDER BOND (TIB) REPORT: This report will track TIBs and allow the filer to track TIBs for compliance purposes.	3/30/2005	Pending CBP L/P Input	Inc 5, 6, 7	October 2014: Pending determination for development in future increments. JULY 8, 2010 - THIS REQUIREMENT (CSPO-GIF-1144) IS PENDING CPB CHANGE CONTROL BOARD (CCB) REVIEW. SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. This requirement has been partially accepted/delivered. The remaining portion will be addressed in a separate GIF
<i>Owner:</i> Monica Crockett						
ACT-070	1078	TRIENNIAL REPORT (PARTNERSHIP, ASSOCIATION, OR CORPORATION) This report provides for the Triennial Report as required in section 111.30 (d). The triennial report is a status report and fee payment that must be submitted every three years by all licensed brokers, whether they are licensed as in individual, corporation, partnership or association. :	5/30/2005	Pending CBP L/P Input	POST CORE	DEC 2 2015: THIS SHOULD BE PART OF THE ACCOUNT MANABEMENT ENHANCEMENT EFFORTS THAT ARE CURRENTLY SCHEDULED FOR POST CORE PENDING LEGAL AND POLICY REVIEW October 2014: Pending determination for development in future increments. OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1078) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It was delivered in A1 an then taken down after a problem was discovered with the report. It is currently covered by a problem ticket report.
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-801	842	<p>BROKER ACCESS TO CBP FORMS 28, 29, 4647 VIA THE ACE PORTAL OR EDI</p> <p>Brokers are requesting the ability to receive their courtesy copy of CBP Forms 28, 29, 4647 through the ACE Portal. They would also like the ability to respond to CBP Forms on behalf of their importer clients through their broker portal account. The functionality being requested by brokers through the ACE portal is similar to what is available to importers with ACE accounts in A2.2. Brokers will also need the ability to select their method of communication to receive their courtesy copy of the forms.</p>		Pending CBP L/P Input	POST CORE	<p>DEC 1 2015: (REF ALSO ACT-013 GIF 842) IMPORTERS WHO SIGN UP TO RECEIVE COMMUNICATIONS VIA THE PORTAL ALREADY HAVE THIS CAPABILITY. THIS CAPABILITY WOULD HAVE TO EXTEND TO BROKERS AND SHOULD BE CONSIDERED WHEN WE ENHANCE/REFACTOR ACE ACCOUNTS.</p> <p>tober 2014: Pending determination for development in future increments.</p> <p>MAY 19, 2009 - THIS REQUIREMENT (GIF 842) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p>
<i>Owner:</i> Monica Crockett						
ACT-824	957	<p>FREEZING IMPORTER RECORD NUMBERS VIA THE ACE PORTAL:</p> <p>Trade would like to request the ability through the ACE portal to freeze/unfreeze importer or record numbers at the 11 digit level and to identify the users in a company that are authorized to request changes to the IR number. The Trade Account Owner and Proxy Trade Account Owner, along with designated users should have the ability to freeze/unfreeze the importer of record number or make changes to the related information. The authorization of each user will be to each importer of record number at the 11 digit level. This functionality is applicable to the importer and carrier views only. The freeze should apply to both ACS and ACE entry summaries.</p>	8/7/2009	Pending CBP L/P Input	POST CORE	<p>DEC 1 2015: POST CORE DEVELOPMENT</p> <p>Oct 014: Pending determination for development in future increments.</p> <p>AUGUST 7, 2009 - THIS REQUIREMENT (CSPO-GIF-957) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>April 14, 2009 - Pending submission to CBP CCB for review. Awaiting designation of a CBP Business sponsor.</p>
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-829	960	<p>SUBMITTING ITAR EXEMPTIONS VIA ACE. The trade requests the ability to transmit International Trade in Arms Regulations (ITAR) exemptions via ACE cargo release and entry summary. This information should then be available to filers of Shippers Export Declarations when they reference the import entry number. Currently the broker is required to override a paperless release and submit documents to the port of entry to register the import exemption. If the goods are subsequently exported, the shipper must prove that the goods were in the US via the exemption by submitting copies of the import paperwork. This manual processing causes delays and unnecessary work for both the trade and CBP.</p> <p>The electronic submission of the exemption code would allow CBP to apply automated targeting to these data points. This would improve security and tracking of these items for both the importation and exportation of the goods.</p> <p>A list of the commonly used import exemptions is being provided for convenience. This list may not be all inclusive. The trade would like the ability to transmit the code only. For example, 22CFR123.4a1. CBP should allow for the field to be large enough to accept the correct format. Some of the regulations are case sensitive as shown above. A lower case "A" may appear as an upper case letter, and CBP will need to allow slight deviations from the actual citation due to the limitations. Also, the format may or may not include the parenthesis () separating the fields. If included, additional field space will need to be allocated.</p>	8/7/2009	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT</p> <p>October 2014: CBP will coordinate development with State Dept.</p> <p>AUGUST 7, 2009 - THIS REQUIREMENT (CSPO-GIF-960) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>
				<i>Owner:</i>	Bill Delansky	
ACT-836	1098	<p>POST SUMMARY CORRECTION EXTRACT: This GIF contains a request from the trade community related to a post summary corrections extract for brokers and importers. While a list of data elements is provided below, this is not the final list. This GIF will need to be updated once CBP identifies all data elements to trade that can be corrected via post summary correction. The extract should contain the following data elements as know on October 7, 2009: SEE REQUIREMENT FOR LIST OF DATA ELEMENTS</p>	10/30/2009	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: PSC DATA IS AVAILABLE VIA ACE REPORTS TODAY. IF ADDITIONAL DATA IS ALLOWED TO CHANGE VIA PSC, REPORTS WILL BE MODIFIED TO INCLUDE THE NEW DATA ELEMENTS.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>OCTOBER 30, 2009 - THIS REQUIREMENT (CSPO-GIF-1098) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>
				<i>Owner:</i>	Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-837	1099	<p>ABILITY TO FILE A PSC THROUGH THE ACE PORTAL:</p> <p>CBP has delivered the ability for the broker and self filer to file post summary corrections via ABI for ACE entry summaries. However, the ability for the importer to make their own corrections to their entry summaries has been taken away since CBP will no longer accept paper Post Entry Amendments (PEA) from the importer if the entry summary was filed in ACE. Many importers both large and small file their own PEAs today. This is a means of ensuring compliance from their perspective. Importers are therefore requesting that ability to maintain control over their own corrections by being provided the means to make post summary (pre-liquidation) amendments and corrections to the entry summary data initially filed and accepted by CBP through the ACE Portal.</p> <p>The ACE Portal should provide the ability for the importer and the broker or a third party designee to correct an individual entry summary through the ACE Portal.</p> <p>Notifications:</p> <ul style="list-style-type: none"> •When a PSC is made through the portal, an initial system generated acceptance or rejection message should be displayed in the ACE Portal once CBP has edited the entry summary. The acceptance message should include a date. If the correction is rejected the message should include a date and the reject reason. •The filer/broker associated with the entry summary should receive a notification through ABI or the portal when a PSC is received from the importer. •When a broker files a PSC on behalf of the importer, the importer should be notified through the ACE Portal that a correction has been made on their behalf. The same information that was provided to the filer through ABI should be included in the portal notification to the importer. •If a PSC is filed by a third party, then both the importer and the broker should be notified of the change. <p>Portal Functionality:</p> <ul style="list-style-type: none"> •All functionality available to the importer through the Portal must be available to the broker through their ACE portal account. •When entering a correction, users should be able to enter an entry number and the most recent version of the entry summary displays with all line items shown for the user to input their corrections. •Each PSC will create a new version of the Entry Summary or, if there was a previous PSC, it will replace that. Corrections will be subject to CBP validations upon submission. Note: If trade corrects the HTS number on a line item, they will be 	10/30/2009	Pending CBP L/P Input	Post Core	<p>October 2014: Pending determination for development in future increments.</p> <p>NOVEMBER 10, 2011 - This GIF was revised and resubmitted to the CBP Change Control Board for review. New text is included.</p> <p>OCTOBER 30, 2009 - THIS REQUIREMENT (CSPO-GIF-1099) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>

responsible for making any additional changes needed to duty, taxes and fees both at the line and header level.

- If a change to duty is posed and a change to fees is not but fees should have been adjusted as well, an error message will display to the user (not display when the MPF is at max).
- Users need to be able to view the version number associated with the entry summary through the ACE portal.
- Users should receive an initial system generated "Accepted CBP Status notification" or a "Rejected notification" through the ACE portal once CBP has successfully edited the entry summary. The acceptance or rejection notice should include a date and the reason for the rejection. The initial acceptance of the PSC should start the clock for final approval of the PSC by CBP.
- When a post summary correction is accepted through the ACE portal, the source of the correction (portal or ABI) needs to be captured such that the party and user making the updates can be identified. This indicator likewise will need to be available in any post summary correction report.
- The importer will be able to make multiple PSCs prior to liquidation. Trade will have 270 days from the entry summary date to file the post summary correction, but not less than 20 days prior to liquidation.
- Trade would like the ability to request an extension of liquidation through the ACE portal.
- Corrections through the ACE Portal is to the line or lines since that might impact the fees for the entire entry summary, the changes should be processed as a complete replace of the entry summary.
- The ACE Portal should provide the ability for a filer other than the PSC filer to be able to make a correction to the entry summary through the ACE Portal, as designated/authorized by the importer.

Types of Corrections:

- PSCs should be allowed for all types of corrections. Users should be able to enter the individual correction (s) at the header or line level on the entry summary and ACE should treat the corrected summary as a full replace.
- The trade would also like the ability to make corrections to other governmental agency requirements, as agencies participate in PGA filing. In addition, if the PGA is not a filing participant but is a DIS participant, we would like the ability to PSC a DIS-identified form.

Security/Access Requirements:

- The Trade Account Owner should be able to designate who can make post summary corrections

on behalf of the Account. Trade requests user access should be defined as “No Access”, “Read Only Access” and “Full Access.”

- The Trade Account Owner should be able to designate PSC capability by account type to allow for a broker, consultant or attorney to file on their behalf.
- When a user is removed from portal access, ACE should capture the expiration date and display the field under “AllPersons.” This would allow the TAO to monitor, limit, and reauthorize access with greater ease due to personnel changes, vendor consolidations, etc.
- The portal should prevent more than one user from editing an entry summary at the same time and display an “entry summary under edit” message.
- When submitting a post summary correction through the ACE portal, trade requests an “Are you sure” message appear after hitting “Submit” such that the user would need to confirm they want to submit the correction.
-

Extension of Liquidation

- Since a post summary correction beyond 270 days and within 20 days must include a request to extend liquidation, the ACE portal needs to provide the ability for trade to request liquidation be extended.

Comparing Different Versions of the Entry Summary

- Trade is requesting the ability to view through the ACE Portal the entry summary before and after the post summary correction. This could be accomplished through some sort of a comparison view where the user could see version 1 and version 2 displayed simultaneously.
- The other option would be to have a report that would display multiple versions of the entry summary.

Owner: Monica Crockett

ACT-842	1216	ACCESS TO DOCUMENT IMAGE SYSTEM (DIS):12/7/2011 Trade requests access to images submitted electronically to CBP through the DIS. The ACE Portal should provide the option for viewing DIS data and the image itself either through the ACE Portal or by linking from the ACE Portal to the DIS Portal.	12/7/2011	Pending CBP L/P Input	POST CORE	DEC 1 2015: REQUIRES TRADE SECURITY TO BE IN PLACE FOR N-ACE. DIS ACE REPORTS ARE PLANNED FOR 2016 October 2014: Pending determination for development in future increments. DECEMBER 7, 2011- This GIF was submitted to the CBP Change Control Board (CCB) for review.
----------------	-------------	---	-----------	-----------------------	-----------	---

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT- 855	1240	AMEND PGA MESSAGE SET VIA EDI AND PORTAL Ability to Correct the PGA Message Set: As part of cargo release the trade is requesting the ability to make changes to the PGA Message Set via EDI and through the ACE Secure Data Portal.	4/17/2013	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS CAPABILITY IS BEING DEVELOPED FOR FDA IN INCREMENT 12. IT WILL BE DEVELOPED FOR OTHER AGENCIES AS PART OF POST CORE DEVELOPMENT Sept 2014 - Pending development in Increment 7. There will be no portal application. August 20 2013: CSPO GIF 1240 was submitted for CBP Change Control Board Review on 4/17/2013
				<i>Owner:</i> Gary Schreffler		
ACT-857	1242	VIEW PGA MESSAGE DATA VIA THE ACE PORTAL Ability to view the PGA Message Set via the ACE Portal:The trade is requesting the ability to view the PGA Message Set via the ACE Portal or a link from the ACE Portal to where the data is housed.	4/17/2013	Pending CBP L/P Input	POST CORE	DEC 2 2015: POST CORE GIF FOR CARGO RELEASE AND PORTAL. CLARIFY OWNERSHIP AND PLANS FOR DEVELOPMENT October 2014: Pending determination for development in future increments. August 20 2013: CSPO GIF 1242 was submitted to the CBP Change Control Board for review on 4/17/2013
				<i>Owner:</i> Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ACT-GIF 187	981	<p>ACCESS TO BOL NUMBER FOR REPORTS: Make the bill of lading field from the 7501 available to "add" to reports. The bill of lading number should be available on all cargo entry and entry summary detail reports.</p> <p>Often times the trade has a BOL number and needs to tie the bill back to the entry/entry summary data. If the BOL field was available under the "add hoc or modify" feature of reports, reports such as AM_008, ESM_008, etc could be used to cross reference the data. The bill of lading number should be available on all cargo entry and entry summary reports as well as ACE Entry Summary reports. This change should be designed so that any house bill of lading or sub-house bill of lading numbers would also be provided once such data is transmitted or available. This GIF covers trade user requirement ACT-GIF-197 dated 12/6/2005. A CR was approved for this GIF but has not been scheduled. Please note that GIF 187 was approved and CR 1731 created. The CR has never been scheduled.</p>	12/6/2005	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: THIS IS NOT CURRENTLY AVAILABLE. WE ARE WOPKING WITH TASPД TO BRING IT INTO EDW FOR INCLUSION IN THE NEW CARGO RELEASEL (AND EVENTUALLY ES) UNIVERSE. THERE IS NO TIMELINE FROM TASPД YET, BUR WE ARE HOPEFUL THAT IT WILL BE AVAILABLE UPDON DEPLOYMENT OF THE NEW UNIVERSE.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>SEPTEMBER 16, 2011 - This requirement is pending CBP HQ input for cargo release in TMAC.</p> <p>JANUARY 7, 2011-This GIF was updated and submitted for CBP Change Control Board (CCB) review as part of the cargo release revalidation effort.</p> <p>AUGUST 18, 2009 - THIS REQUIREMENT (CSPO-GIF-981) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. CR 1731 WAS CREATED TO HANDLE THIS GIF.</p> <p>Update: 3/25/08 - Approved for A2.3</p> <p>4/11/07 - will be met with new reports tool</p> <p>1/17/07 - Scheduled to be part of the conversion to the new ACE reporting tool. Will be delivered prior to A1.</p> <p>GIF was approved by PBE Board on January 17, 2006. CR 1731 created.</p>
		<i>Owner:</i>	Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-GIF 203	974	<p>As part of the overall Automated Commercial Environment Account Portal, the trade needs the ability to download entry summary data, and other compliance related results and statistics via the Internet "account portal". In addition, carriers require the capability to download all data related to their Account including history of manifest transactions, penalty/liquidated damages, bond obligations, compliance data, statistical data, etc.</p> <p>1. A properly authorized user would be able to download entry data for a specific period, a specific importer of record number (for which the entry has been filed), and even for a specific filer, SCAC or port of entry. This data would be downloadable in a variety of formats such as Adobe Acrobat (.pdf), MS Excel (.xls), Dbase formats (.dbf), comma delimited, etc.</p> <p>2. The minimum "maximum time/date parameter" for a single download should enable an authorized account user to download data for a period covering at least one year. Additionally, the ability to download data on a daily, weekly or monthly basis must also be provided.</p> <p>*3. All entry summary elements (on all entry summary lines) that have been transmitted to CBP should be available to download. In addition, all related compliance data, such as exam results, including Stratified compliance exams, and related commodity specialist (and entry specialist) review notations, should be available to download.</p>	1/9/2006	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: MOST OF THIS DATA IS AVAILABLE VIA ACE REPORTS. ANY REQUIREMENTS NOT AVAILABLE TODAY VIA ACE REPORTS WILL BE ADDRESSED POST CORE.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>AUGUST 18, 2009 - THIS GIF (CSPO-GIF-974) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. THIS GIF COVERS ACT-014, AND ACT-GIF-203.</p> <p>062909: Existing functionality, if carried through to M1 and future releases, will meet this trade requirement.</p> <p>MAY 19, 2009 - This requirement is covered by CR1687</p> <p>Update: 3/25/08 - Authorized data extract to be delivered prior to A2.1. Carrier authorized data extract is scheduled for A2.2</p> <p>1/17/07 - The capability to do an authorized data extraction is scheduled to be delivered about 6 weeks after the conversion to the new reporting tool. (Current GIF #288)</p> <p>Reference TSN Requirements - (ACT 014 & MMM FR 05.15). This GIF was submitted to CBP on January 9 and was reviewed by the Production Baseline Enhancements Board on January 31, 2006. The ability to do a bulk data download will be added to an existing PBE Change Request.</p> <p>Please note that exam results will not be part of the data download as requested by the trade. The trade will need to request that information thru a Freedom of Information Act (FOIA) request. Information provided will be subject to CBP policy.</p>

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-GIF 425	425	<p>Importers will have the ability to flag entries for reconciliation at the line item level in A2. Currently they can only flag the entries at the header level. Surety companies receive a download of all entries secured by that surety's bonds. This entry data is summary data - the surety does not receive line item data. With the change in reconciliation flagging, the surety may not be aware of reconciliation entries if the entry is not flagged at the header level.</p> <p>CBP must ensure that the surety will receive the entry data flagged for reconciliation at the header level even if the importer is flagging only one line item on the entry.</p>	9/26/2006	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>SEPTEMBER 10, 2009 - THIS REQUIREMENT WAS ACCEPTED BY CBP BUT HAS NOT YET BEEN DELIVERED.</p> <p>FEBRUARY 26, 2007 - THIS REQUIREMENT (CSP0-GIF-425) WAS ACCEPTED BY THE CBP CHANGE CONTROL BOARD.</p> <p>Update: 3/25/08 - A2.3</p> <p>1/17/07 - In review status.</p> <p>1/29/08 - to be baked into 2.3 functionality</p>
			<i>Owner:</i>	Monica Crockett		
ACT-GIF 574	979	<p>SURETY BOND PORTLET - The screens that will be available to the surety include a "Bond Portlet". While the screens presented at the Dec 2006 TSN conference are for CBP use only, the surety subcommittee is commenting on how the surety screen should be set up and queried. Query capabilities are important as any one surety can have thousands of bonds on file with CBP. The portlet should allow for querying by bond type (continuous vs single), bond activity code, ACS/ACE bond number, IRS/Importer number, Principal Name, Bond Effective Date, filer code and Agent ACE ID. In addition, the portlet should allow for querying by a date range and by terminated and active bonds. In the future, when single transaction bonds are in the system, the portlet should also allow for querying by entry number and entry type.</p>	3/20/2007	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT</p> <p>October 2014: Pending determination for development in future increments.</p> <p>ACCEPTED IN PART AND REMAINING FUNCTIONALITY COVERED BY ACT-830 (CSPO-GIF-979).</p> <p>MAY 8, 2008 - THIS REQUIREMENT WAS CLOSED IN CCB</p> <p>Update: 3/25/08 - Surety will have partial query capability prior to A2.1</p> <p>1/29/08 - CR in place for searching by bond number. A new CR will be created to address other A2 functionality.</p>
			<i>Owner:</i>	Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ACT-GIF 575	575	The surety account concept calls for an optional ACE ID number for a surety administrator/agent. This Surety Agent ACE ID number should be a mandatory field in the surety account portal, as well as the Surety Producer Account Number. The Surety Agent ACE ID must be built into the BAF. This should be available in A1 with the ability for the surety to update existing bonds with an Agent ACE ID.	3/20/2007	Pending CBP L/P Input	Post Core	<p>October 2014: Pending determination for development in future increments.</p> <p>FEBRUARY 3, 2011 - This GIF was determined to be not in scope for cargo release.</p> <p>JANUARY 7, 2011 - This GIF was updated and submitted for CBP Change Control Board (CCB) review as part of the cargo release revalidation effort.</p> <p>JUNE 12, 2008 - CCB STATUS IS DEFERRED. REASON FOR DEFERRAL WAS THAT MORE INFORMATION WAS REQUIRED.</p>
				<i>Owner:</i> Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-003	690	<p>Release: Implement Importer Activity Summary Statement (IASS) to provide an option for aggregate filing of statistical and revenue data for multiple releases on a monthly basis.</p> <p>A. The IASS will consist of "reconfigured entries", i.e., entries filed on an IASS which substitute for all or part of an importer's entries. In general, reconfigured entries will each contain rolled-up data, aggregating values and quantities of common elements such as multiple imports of goods with the same tariff number. In any case, the reconfigured entry will be a legal entity for purposes of finality and for purposes of satisfying the requirement that summary information be filed (e.g., The summary declaration for a month) for filers who use it instead of individual entry summaries.</p> <p>B. If Customs needs to extend for reasons allowed by law (i.e., additional information is needed from the importer/filer), the entire IASS (all reconfigured entries filed on an IASS) should not be extended. Rather, either via extension or a new reconciliation requested by Customs, only those reconfigured entries on the IASS with respect to which it has insufficient information to should remain open.</p> <p>B. Multiple refunds per IASS must be allowed.</p> <p>C. Multiple IASS's can be filed for a month.</p> <p>D. Importers need the flexibility to structure the IASS in a manner that best comports with their business process.</p>	6/21/2001	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>July 14, 2009: THIS REQUIREMENT (GIF 690) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>3/18/08: CSPO-GIF-690</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Committee for review. GIF 003 includes ENT 003.1 and ENT 003.2</p> <p>1/25/08 All IASS requirements are to be reviewed by the IASS subcommittee</p> <p>8/9/07 - ALL IASS AND RECONFIGURED ENTRY REQUIREMENTS NEED TO BE SUBMITTED AS SEPARATE GIFS.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. This requirement recommendation is based on the IASS being the legal entity and thus needs to be re-written. The requirement is being returned to the Entry Committee for incorporation in one comprehensive requirement addressing IASS.</p> <p>Revised 9/24/04 to clarify that the IASS will consist of reconfigured entries. Pending reconfigured entry legislation.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p>
				<i>Owner:</i>	Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-003.1	690	In addition, because the reconfigured entries in an IASS will cover aggregate import activity during an entire month, multiple protest (and request for re-liquidation) issues are likely to abound. For some issues, Customs may be willing to grant a protest, while other issues may be subject to judicial contest. The use of reconfigured entries on an IASS enables each issue with respect to the covered imports to be handled individually without reference to other reconfigured entries.	6/21/2001	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>JULY 14, 2009: THIS REQUIREMENT (GIF 690) IS PENDING CBP CHANGER CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>4/8/08: This requirement has been submitted to CBP for GIF Board review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review. GIF 003 includes ENT 003.1 and ENT 003.2</p> <p>1/25/08 All IASS requirements are to be reviewed by the IASS subcommittee</p> <p>8/9/07 - ALL IASS AND RECONFIGURED ENTRY REQUIRMENTS NEED TO BE SUBMITTED AS SEPARATE GIFS.</p> <p>Revised 09/24/04 to reflect the IASS will consist of reconfigured entries. Pending reconfigured entry legislation.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p>
			<i>Owner:</i>	Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-003.2	690	The use of reconfigured entries on an IASS enables each issue with respect to the covered imports to be handled individually without reference to other reconfigured entries. This allows importers to receive refunds without having to wait for all issues to be resolved as would be the case if the entire IASS was the legal entity and required re-liquidation. This protects importers' interest in maintaining cash flows and solves the government's dilemma of accumulating large interest obligations on uncontested principal amounts that would otherwise have to await resolution of other issues in the IASS. Importers should have flexibility to structure the IASS in the manner that best comports with their business practices (e.g., either by importer number, port, etc.). Multiple IASS's can be filed for a month. This flexibility simplifies the aggregation rules required for an individual IASS.	6/21/2001	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>JULY 14, 2009: THIS REQUIREMENT (GIF690) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>4/8/08: This requirement has been submitted to CBP for GIF Board review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review. GIF 003 includes ENT 003.1 and ENT 003.2.</p> <p>1/25/08 All IASS requirements are to be reviewed by the IASS subcommittee</p> <p>8/9/07 - ALL IASS AND RECONFIGURED ENTRY REQUIRMENTS NEED TO BE SUBMITTED AS SEPARATE GIFS.</p> <p>Revised 9/24/04 to reflect the IASS will consist of reconfigured entries. Pending reconfigured entry legislation.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p>
				<i>Owner:</i>		Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-004	922	<p>Post Release: Importers should have flexibility to use an IASS or any of the prescribed methods for completing statistical and accounting requirements.</p> <p>A. ACE should accommodate full data at release, or within a specified period after release.</p> <p>B. ACE should accommodate release followed by the filing of an IASS containing statistical and revenue data for each release not later than a specific number of days after the close of the month in which a shipment is released.</p> <p>C. ACE should accommodate release followed by an IASS summarizing statistical and revenue activity for the previous month, filed no later than a specific number of days after the closed of the month in which a shipment is released.</p>	6/21/2001	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>LY 14, 2009: THIS REQUIREMENT (GIF 922) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>4/8/08: This requirement has been submitted to CBP for GIF Board review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements are to be reviewed by the IASS subcommittee</p> <p>8/9/07 - ALL IASS AND RECONFIGURED ENTRY REQUIREMENTS NEED TO BE SUBMITTED AS SEPARATE GIFS.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. This requirement recommendation is based on the IASS being the legal entity and thus needs to be re-written. The requirement is being returned to the Entry Committee for incorporation in one comprehensive requirement addressing IASS. Pending reconfigured entry legislation.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p>
				<i>Owner:</i> Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-005	923	The IASS, as originally introduced into the law, was a consolidation/aggregation of periodic entry summary data; it was envisioned as the legal entity (i.e., the entry) for purposes of finality. The trade community required a change to this status so that if CBP extends liquidation it should extend only those lines on the IASS with respect to which it has insufficient information to finalize the IASS. As a result, the "reconfigured entry," as described in ENT-003 was developed. Consequently, for purposed of extension, it is the reconfigured entry (both for filers that report each release in list in the IASS and for those that summarize aggregated/rolled-up monthly import data) that will be extended, rather than the entire IASS.	6/21/2001	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>JULY 14, 2009: THIS REQUIREMENT (GIF 923) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>4/8/08: This requirement has been submitted to to CBP for GIF Board review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements are to be reviewed by the IASS subcommittee</p> <p>8/9/07 - ALL IASS AND RECONFIGURED ENTRY REQUIRMENTS NEED TO BE SUBMITTED AS SEPARATE GIFS.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. It has now been decided that the IASS will not be the legal entity. The IASS summary itself will function as a box. Each line item on the IASS will be considered a reconfigured entry summary and will be subject to liquidation, protest, extension and etc. This requirement recommendation is based on the IASS being the legal entity and thus needs to be re-written. The requirement is being returned to the Entry Committee for incorporation in one comprehensive requirement addressing IASS.</p> <p>Revised 9/24/04 to reflect the reconfigured entry.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p>
<i>Owner:</i> Monica Crockett					

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-006	921	<p>IASS IMPORTER BUSINESS PRACTICES FLEXIBILITY - Post-release. Importers should have flexibility to structure the IASS in the manner that best comports with their business practices (e.g. by importer number, port, etc.)</p> <p>A. The trade should not have a flag at the time of release, indicating the type of follow-up: individual summary of IASS.</p> <p>B. The release should be treated as an IOU, with satisfaction coming through the actual filing of an individual summary (currently within 10 working days) or through an IASS.</p>	6/21/2001	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>JULY 14, 2009: THIS REQUIREMENT (GIF 921) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>4/8/08: This requirement has been submitted to CBP for GIF Board review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements are to be reviewed by the IASS subcommittee</p> <p>8/9/07 - ALL IASS AND RECONFIGURED ENTRY REQUIREMENTS NEED TO BE SUBMITTED AS SEPARATE GIFS.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. This requirement recommendation is based on the IASS being the legal entity and thus needs to be re-written. The requirement is being returned to the Entry Committee for incorporation in one comprehensive requirement addressing IASS.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p>
<i>Owner:</i> Monica Crockett					

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-008	1087	RECONCILIATION: DATA ELEMENT EXPANSION:6/21/2001 Expansion of data elements under reconciliation that can be revised. Additionally, proposal of new narrower flags, e.g., value assist and broad flags. A. All data elements should be subject to revision through the filing of a post-entry amendment or reconciliation. B. Provide for both broad flagging of an issue like value and for narrow flags like dutiable commissions to reduce contingent liabilities.		Pending CBP L/P Input	POST CORE	DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES. October 2014: Pending determination for development in future increments. OCTOBER 23, 2009 - THIS REQUIREMENT (CSPO-GIF-1087) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 6/29/09: this requirement was submitted to the ESAR team for review as part of the reconciliation requirements; this requirement is also still outstanding for legal/policy review (email sent to legal on 1/27/09) 4/20/09: Pending further Committee review (also submitted to legal/policy review on 1/27/09) Status: 03/26/2008 - Per ESAR review legal policy approval will also be required. 3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review. 1/25/08 All reconciliation requirements are to be reviewed by the reconciliation subcommittee 8/9/07 - Will require HQ and legal policy approval. A SEPARATE GIF WILL BE REQUIRED. Subject to CBP policy determination and possible statutory and regulatory changes. There is an addition requirement submitted by the Reconciliation Subcommittee providing recommendations for addition reconciliation issues. This requirement is being referred to the Entry Committee for further input. CBP is rejecting the request for narrow flags. Updated 10/16/2005 to reflect current ESAR plan. 11/2/05: Partially implemented in A2. the reconciliation reasons are not being changed for A2 with the exception of an additional reason for AD/CVD Reconciliation. The Post Summary Correction component is being implemented in A2. Will continue to review the requirement.

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-009	908	Aggregate Reconciliation: ACE should accommodate current policies/options to file aggregate reconciliations. In addition, once CBP has been satisfied that its release control function requirement has been met, there should be no need to link reconciliation back to individual releases.	6/21/2001	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF- 908) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>6/29/09: this requirement was submitted to the ESAR team for review as part of the reconciliation requirements</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All reconciliation requirements are to be reviewed by the reconciliation subcommittee</p> <p>8/9/07 - Aggregate reconciliation is in scope for A2. WILL REQUIRE A SEPARATE GIF.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. This requirement is being referred to CBP for additional input on aggregate reconciliation. CBP is rejecting the statement that once CBP has been satisfied that its release control function requirement has been met, there should be no need to link the reconciliation back to the individual releases. (072704: Changed to L/P. SM)</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: Partial acceptance in A2. Still being reviewed by CBP.</p>
				<i>Owner:</i> Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-010	924	<p>IASS RECONCILE/REVISE - Importers should be able to designate either prospectively, or retrospectively those elements of an ES/IASS they want to reconcile or revise. Business reality dictates that an importer will frequently not know or be able to identify on a prospective basis the types of adjustments it will need to make. While an importer filing computed value reconciliations will always know ahead of time that Computed Values will have to be adjusted, an importer using Transaction Value may not have such a general knowledge. For example, a given importer knows that for the vast majority (99.9%) of its business, Transaction Value will be based on the price paid or payable, without any adjustments to price or for the other statutory additions provided for in 19 USC 1401a(b). On occasion, however, certain business transactions may occur which may impact dutiable value. For a specific group of SKU's, months after import, the importer may receive a bill for a special mold that had to be provided to the manufacturer. Upon performing a review, the importer's compliance department discovers and validates that the mold expense relates to merchandise previously imported. At that point in time, the importer is ready to signal intent to reconcile as to the specific SKU's (and issue) affected.</p> <p>Retroactive flagging does not guarantee that every issue will be identified in a manner timely enough to capture within the reconciliation process, i.e., discovery of the issue may still occur after the deadline for retroactive flagging, necessitating an SIL or prior disclosure. However, many importers do not believe that aberrational adjustments warrant the prospective blanket flagging of all import activity just in case a Reconciliation adjustment may be necessary. Such blanket prospective flagging would require that the importer who might have an assist covering ten out of ten thousand SKU's, flag each and every summary/IASS covering all SKU's. Moreover, it is inequitable to create huge contingent liabilities with respect to an importer as a result of flagging all activity for the few aberrations (for finality purposes, Customs currently treats each flagged element as open (unliquidated) for one year after the Reconciliation is filed plus extensions, when applicable). Retroactivity provides the means to pinpoint the exact merchandise and issues subject to adjustment. Such pinpointing allows every thing else to liquidate.</p> <p>Under current legal and policy protocols, Customs needs to know what will be reconciled prior to liquidation, so that the items (e.g. IASS line (s)) will</p>	6/21/2001	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES FOR POST CORE DEVELOPMENT.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-924) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 927)</p> <p>6/29/09: This requirement was submitted to the ESAR team for review as part of the reconciliation requirements</p> <p>Status: 03/26/2008 - Per ESAR review this requirement needs to be split into an IASS component and a Reconciliation component. Reconciliation is scheduled for development in ESAR A2.3 and IASS is scheduled for development in ESAR A3.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements will need to be reviewed by the IASS subcommittee</p> <p>8/9/07 - WILL REQUIRE A SEPARATE GIF FOR IASS AND RECONCILIATION.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. ENT 039 also references prospective and retrospective flagging in more detail.</p> <p>Revised 9/24/04</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: partial acceptance in A2. Retroactive flagging for reconciliation will be supported in A2 via a Post Summary correction. It is unknown how this will be supported in IASS.</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
----------------------	------------	------------------------------------	------------------------	---------------	--------------------	-----------------

remain open for adjustment by the importer. However, in the case of Aggregate Reconciliation, where the aggregate adjustments are never traced back to individual entry summaries, there is no systems logic to flagging summaries or IASS's. In the latter case, the items are flagged and then the flags are resolved as a pro-forma process.

Owner: Monica Crockett

ENT-016	925	IASS NATIONAL REPORT - The Importer Activity Summary Statement (IASS) should be national, not port specific. However, the port needs to be identified for statistical purposes.	7/11/2001	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-925) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 927)</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements are to be reviewed/revised by the IASS subcommittee</p> <p>8/9/07 - Will require a GIF</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. This requirement recommendation is based on the IASS being the legal entity and thus needs to be re-written. The requirement is being returned to the Entry Committee for incorporation in one comprehensive requirement addressing IASS.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p>
						<p><i>Owner:</i> Monica Crockett</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-017	926	IASS MULTIPLE FILINGS PER MONTH - Multiple IASSs can be filed for a month. The Trade community should have the flexibility to determine what to put on an IASS and how many to file. This flexibility simplifies the aggregation rules required for an individual IASS.	7/11/2001	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-926) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 927)</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements are to be reviewed/revise by the IASS subcommittee</p> <p>8/9/07 - Will require a GIF.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. This requirement recommendation is based on the IASS being the legal entity and thus needs to be re-written. The requirement is being returned to the Entry Committee for incorporation in one comprehensive requirement addressing IASS.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p>
		<i>Owner:</i>	Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-030	917	LAB SAMPLE NOTIFICATIONS ACE shall notify the importer when a sample is taken. A. ACE shall identify the reason the sample is being taken. B. ACE shall identify the date the sample was sent to the laboratory. C. ACE shall identify the lab (location) where the sample was sent. D. ACE shall provide lab findings and reports from CBP and PGAs. E. ACE shall display the information on the web portal.	6/10/2002	Pending CBP L/P Input	POST CORE DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES. October 2014: Pending determination for development in future increments. JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-917) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 1/27/09: This requirement was submitted to the ESAR team for review. 3/6/08: This requirement has been transferred to a GIF and is with the Committee for review. 1/25/08 This requirement will need to be converted into a GIF; additional input is also needed from CBP 8/28/07 - Requires further review by OFO - Jim Swanson Subject to CBP policy considerations. Points A, B and E have preliminary CBP approval while points C and D are being referred to CBP for input. Updated 10/16/2005 to reflect current ESAR plan. 11/2/05: CCR, not PR.

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-039	915	<p>IASS DE-FLAG AND RECONFIGURED ENTRIES FOR RECONCILIATION - The trade needs the ability to de-flag entries and reconfigured entries/IASS lines previously flagged for reconciliation. The trade also needs the ability to retroactively flag entries and reconfigured entries/IASS lines for reconciliation.</p> <p>A. A reason code will be provided to indicate why the entry is being de-flagged. B. CBP's approval is required for some reason codes but not for "no change". C. ACE should provide the flexibility for an importer or their designated broker to do aggregate de-flagging, by this we mean an importer should be able to de-flag a group of entry summaries at one time as opposed to individually. D. Importers may NOT retroactively add reconciliation flags after the entry or reconfigured entry liquidates. E. ACE should allow de-flagging either through the portal or via EDI. F. De-flagging would need to occur within the 21 month time frame set for filing the reconciliation entry. G. In addition to de-flagging, the trade needs the ability to change the reconciliation issue flag. H. The filer should have the option of transmitting minimal data elements or the complete entry summary to accomplish the de-flagging, retroactively flagging or changes to the reconciliation issue flag.</p>	5/17/2004	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-915) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>6/29/09: This requirement was submitted to the ESAR team as part of the reconciliation requirements review</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>Status: 03/26/2008 - Per ESAR review this requirement should be split into an IASS and Reconciliation component. IASS is scheduled for development in ESAR A3 and Reconciliation is scheduled for development in ESAR A2.3.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements are to be reviewed/revise by the IASS subcommittee</p> <p>8/9/07 - Will require a GIF.</p> <p>Subject to CBP policy considerations. Points A through E have preliminary CBP approval. Point F has preliminary approval but the time period should read 10 months. Point G requires additional explanation from the Subcommittee and CBP input. Point H also requires CBP input.</p> <p>Updated 10/16/2005 to reflect current ESAR plan. Deflagging has been rejected. Retroactive has preliminary CBP approval.</p> <p>11/2/05: Partial acceptance in A2 Retroactive flagging will be supported in A2 via a Post Summary Correction. IASS impacts are unknown.</p>
		<i>Owner:</i>	Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-040	1089	<p>EXPANSION OF RECONCILIATION ISSUES: ACE should provide the ability for the trade to file quantity reconciliations, except on quota lines where a quantity change could indicate an admissibility issue.</p> <p>ACE should provide for the expansion of the use of HTS reconciliation to include American Goods Returned when AGR has been claimed at the time of summary filing.</p> <p>ACE should provide the flexibility for additional reconciliation categories as might be needed in the future to reconcile trade agreements.</p>	5/17/2004	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>OCTOBER 23, 2009 - THIS REQUIREMENT (CSPO-GIF-1089) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>6/29/09: This requirement was sent to the ESAR team as part of their reconciliation requirements review</p> <p>4/27/09: This requirement is awaiting further Committee review</p> <p>3/06/2008 - This requirement has been integrated into ENT-008 and is pending further Entry committee review.</p> <p>1/25/08 All reconciliation requirements are to be reviewed/revise by the reconciliation subcommittee</p> <p>8/9/07 - Needs legal policy input and will require a GIF.</p> <p>Subject to CBP policy considerations. (072704: Changed to L/P. SM)</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: This is not planned for A2. Need L&P approval before implementing in a future release.</p>
				<i>Owner:</i> Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-041	930	<p>The trade needs the ability to make post-summary corrections through the portal during the 10 month period when corrections by the trade will be allowed. Changes to the entry summary and the reconfigured entry may occur at the header or the line level.</p> <p>A. The trade also needs the ability to make post-summary corrections via EDI.</p> <p>B. The trade needs to be notified when CBP makes a change to the entry summary or reconfigured entry.</p> <p>C. The trade should not need to retransmit the entire entry or reconfigured entry if just one piece of information is changing.</p> <p>D ACE should accommodate either a retransmission of the entire entry summary/reconfigured entry or a correction to certain fields. Corrections at the line level may result in corrections to the entry totals.</p> <p>E. The trade requires that any electronically made adjustment have the capability to credit or debit the subject entry's duties, taxes, and fees.</p> <p>F. The trade needs the ability to electronically correct entries/reconfigured entries via ABI as well as through the web portal and EDI.</p> <p>G. The trade will supply a reason code, general in nature, which describes the asserted change.</p> <p>H. The trade should have the ability to designate the change as being covered under prior disclosure provisions.</p> <p>I. The trade requires this electronic feature to provide similar features to the current Post Entry Amendment process. The trade also needs the option to report any change immediately whether major or minor.</p>	5/17/2004	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: SOME CAPABILITIES HAVE BEEN DELIVERED. OTHERS WILL BE COMPLETED POST CORE.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-930) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>6/29/09: This requirement was submitted to the ESAR team as part of their post summary corrections requirements review</p> <p>4/20/09: This requirement will be revised and will be transferred into a GIF; this requirement was discussed with CBP during the 3/31-4/1 Trade Ambassador Work Session; the request for PSC via the Portal will be submitted as a separate GIF by the Accounts Committee</p> <p>Status: 03/26/2008 - Per ESAR review: Item C - trade requested retransmission of entry summary not just one piece.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>8/9/07 - Post Summary Corrections will be available and the time period will be 183 days not 10 months.</p> <p>Item A - OK Item B - Yes as an ABI query - not a notification. Item C - Valarie Neuhart needs to confirm. Item D - OK Item E - OK Item F - not is xcope for the Portal in A2. Item G - OK Item H - Not in scope Item I - OK</p> <p>Points A and B have preliminary CBP approval. Points C and D need to be referred to the Reconciliation Subcommittee. CBP would like one decision from the trade community. Point E is referred for CBP Legal Policy review. Point F and G have preliminary CBP approval. Point H needs to be clarified by the Reconciliation Subcommittee and point I has preliminary CBP approval.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: Partial acceptance. This is currently only palnnd via ABI and not via the ACE Portal.</p> <p>1/26/07: Post Summary Correction is currently planned to be allowed up to 183 calendar days from the date of Release.</p>

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-045	907	ACE should allow the paperless release on warehouse withdrawals for consumption (type 31) on the classes of merchandise where a paperless release is currently allowed in ACS on a consumption entry (type 01).	9/24/2004	Pending CBP L/P Input	<p>POST CORE DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT</p> <p>SEPT 2014 - Dependent on Entry Summary Types 21-22. Planned for development in Increment 7 (Aug-Nov 2014)</p> <p>SEPTEMBER 16, 2011 - Per legal policy review this requirement is accepted in part for cargo release. Additional review is required. CBP supports a paperless warehouse withdrawal, however, electronic blanket withdrawals and inventory controls are out of scope.</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-907) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/27/09: Transferred into a GIF and submitted for legal/policy review</p> <p>Status: 03/26/2008 - Per ESAR review legal policy approval will also be required.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review. ENT-045 includes both ENT045.1 and ENT-045.2</p> <p>8/9/07 Pending legal policy review.</p> <p>May require legal policy review</p> <p>Pilot is currently proposed under ACS</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: subject to pilot programs initiated by CBP</p>
				<i>Owner:</i> Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-045.1	907	In the same vein, ACE should allow paperless release on the classes of merchandise entered for warehouse (types 21 and 22) that ACS allows a paperless release when entered for consumption (type 01).	9/24/2004	Pending CBP L/P Input	<p>POST CORE DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT</p> <p>SEPT 2014 - Functional development in Increment 7 (Aug-Nov 2014)</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-907) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/27/09: Transferred into a GIF and submitted for legal/policy review</p> <p>Status: 03/26/2008 - Per ESAR review legal policy approval will also be required.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review. ENT-045 includes both ENT045.1 and ENT-045.2</p> <p>8/9/07 - pending legal policy review</p> <p>May require legal policy review.</p> <p>Pilot is currently proposed under ACS</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: subject to pilot programs initiated by CBP</p>

Owner: Gary Schreffler

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-045.2	907	To enable the paperless release of warehouse entries, ACE must provide a mechanism to allow the specific designations that are currently manually indicated on the face of the CF7501 to be electronically transmitted such as requests for blanket withdrawals provided for in 19.6(d), use of the FIFO method of recordkeeping required in 19.12(f)(6), and the statement when merchandise is entered "For Export Only."	9/24/2004	Pending CBP L/P Input	<p>POST CORE DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT PENDING LEGAL POLICY REVIEW</p> <p>SEPT 2014: Functional development Increment 7 (Aug-Nov 2014)</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-907) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/27/09: Transferred into a GIF and submitted for legal/policy review</p> <p>Status: 03/26/2008 - Per ESAR review legal policy approval will also be required.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review. ENT-045 includes both ENT045.1 and ENT-045.2</p> <p>8/9/07 - In scope for implementation</p> <p>Updated 10/16/2005 to reflect current ESAR plan. Crossover with Accounts</p>
			<i>Owner:</i>	Gary Schreffler	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-050	913	<p>ACE should allow paperless release on warehouse entries (types 21 and 22) on the classes of merchandise where ACS currently allows a paperless release when entered for consumption (type 01). Reference ENT 045.</p> <p>To enable the paperless release of warehouse entries, ACE must provide a mechanism to allow the specific designations that are currently manually indicated on the face of the CF 7501 to be transmitted electronically such as requests for blanket withdrawals provided for in 19.6 (d), use/approval of the FIFO method of recordkeeping required in 19.12 (f)(6), and the statement when merchandise is entered "For Export Only".</p> <p>ACE must also allow transmission of cargo selectivity on entry type 22.</p>	11/1/2004	Pending CBP L/P Input	Post Core	<p>Pending Post Core Development</p> <p>SEPTEMBER 16, 2011 - Per legal policy review this requirement is accepted in part with additional review required. CBP supports a paperless warehouse withdrawal, however electronic blanket withdrawals and inventory controls are out of scope.</p> <p>JULY 13, 2009: THIS REQUIREMENT (CSPO-GIF- 913) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW - ENT-050-M2.3-PAPERLESS RELEASE ON WAREHOUSE ENTRIES (TYPES 21 & 22).</p> <p>1/27/09: Transferred into a GIF and submitted for legal/policy review</p> <p>4/8/08: This requirement has been submitted to CBP for GIF Board review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 This requirement will require a GIF; further input required from the bonded warehouse subcommittee.</p> <p>8/9/07 - Will require a GIF for further CBP review.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: OPEN Needs further discussion by CBP.</p>

Owner: Gary Schreffler

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-051	914	<p>WAREHOUSE ENTRIES: ELECTRONICALLY TRANSMITTED FOR TAX EXEMPT GOODS. ACE should provide a mechanism that will permit warehouse entries (types 21 and 22) covering tax exempt goods of domestic origin (US Country of Export) to be electronically transmitted and processed through ACE. (Reference ENT-048). The mechanism must provide a means to differentiate tax exempt domestic goods entered for warehouse from entires of American Goods Returned.</p> <p>For the Census Bureau and the thade to be able to track this merchandise separately, a new country code for tax exempt domestically produced goods is recommended; the existing "US" country of origin code is designated for American Goods Returned only.</p>	11/1/2004	Pending CBP L/P Input	INC 13	<p>DEC 1 2015: WAREHOUSE ENTRY SUMMARIES WILL DEPLOY FEBRUARY 2016.</p> <p>JULY 13, 2009: THIS REQUIREMENT (GIF 914) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW - ENT-051-A2.3-AUTOMATE WAREHOUSE ENTRIES FOR TAX EXEMPT DOMESTIC GOODS/US COUNTRY OF EXPORT.</p> <p>1/27/09: Transferred into a GIF and submitted for legal/policy review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 This requirement will require a GIF; further input required from the bonded warehouse subcommittee.</p> <p>8/9/07 - Will require a GIF for further CBP review.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: This is not planned. Not in A2.</p>
		<i>Owner:</i>	Monica Crockett			

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-062	896	<p>Trade members consisting of law firms and economic consulting firms would like to request a new and separate ACE Account category, entitled AD/CVD Interested Parties. For the most part, this Account would be available to those law firms and economic consulting firms who participate in the Department of Commerce and International Trade Commission proceedings for specific AD/CVD cases. However, other Interested Parties, such as trade associations, could also apply for ACE Interests Party Account status.</p> <p>As ACE Accounts, approved AD/CVD Interested Parties would have the same access, through their Portal, as importers, filers and sureties will have to the Commerce AD/CVD Case Information Management System that was previewed for the Trade Ambassadors at the December 17, 2008 ESAR Trade Session.</p> <p>Additionally, AD/CVD Interested Party Accounts would have limited functionality with regard to AD/CVD Reports. Accounts should be able to get reports on AD/CV duties paid, AD/CV duties bonded, and AD/CV duties liquidated during a specific Commerce Period-of-Review (POR). These summary reports would only be available at the 7-digit case level, not the 10-digit case level. Under this restriction, no individual entry information would be available, nor any value summary information. The information proposed for these reports is already provided by Customs on their website, but on a fiscal year basis.</p> <p>This GIF would require no additional information. It would only involve establishing certain limits to existing data and reports.</p>		Pending CBP L/P Input	<p>POST CORE DEC 1 2015: UNDER THE NEWLY CREATED PROTESTANT ACCOUNT, ATTORNEYS WILL HAVE ACCESS TO THE REFERENCE TAB, THEREBY GIVING ATTORNEYS ACCESS TO THE AD/CVD CASE MANAGEMENT MODULE. THE REMAINING CAPABILITIES IN THIS GIF WILL NOT BE ADDRESSED UNTIL CBP LEGAL APPROVES PROVIDING ACCESS, INCLUDING REPORTS.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-896) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>5/4/09: This GIF was discussed during the March 31-April 1 ESAR Trade Ambassador Work Session. This GIF was further discussed during a 5/1/09 internal call with the Office of International Trade and a determination is pending from that office.</p>
				<i>Owner:</i> Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-063	903	<p>TRADE ASSOCIATION OR INTERESTED PARTIES ACCOUNT; Trade members consisting trade associations and other interested trade associated firms would like to request a new and separate ACE Account category, entitled Trade Associations or ACE Interested Party. For the most part, this Account would be available to those associations dealing with trade as its primary function or serving as an association for such firms, and who have an interest in AD/CVD cases as well as other reference only material.</p> <p>As ACE Accounts, approved ACE Interested Parties would have the same access, through their Portal, as importers, filers and sureties will have to the Commerce AD/CVD Case Information Management System that was previewed for the Trade Ambassadors at the December 17, 2008 ESAR Trade Session, as well as other reference only data available.</p> <p>Additionally, ACE Interested Party Accounts would have limited functionality with regard to AD/CVD Reports. Accounts should be able to get reports on AD/CV duties paid, AD/CV duties bonded, and AD/CV duties liquidated during a specific Commerce Period-of-Review (POR). These summary reports would only be available at the 7-digit case level, not the 10-digit case level. Under this restriction, no individual entry information would be available, nor any value summary information. The information proposed for these reports is already provided by Customs on their website, but on a fiscal year basis.</p> <p>This GIF would require no additional information. It would only involve establishing certain limits to existing data and reports.</p>		Pending CBP L/P Input	<p>POST CORE DEC 1 2015: THESE CAPABILITIES WILL NOT BE ADDRESSED UNTIL CBP LEGAL APPROVES PROVIDING EXPANDED ACCESS, INCLUDING REPORTS.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-903) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>5/4/09: This GIF was discussed during the March 31-April 1 ESAR Trade Ambassador Work Session. This GIF was further discussed during a 5/1/09 internal call with the Office of International Trade and a dermination is pending from that office.</p>

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-065	1071	<p>oACE should provide functionality to report Department of State licenses and International Traffic in Arms (ITAR) exemptions as they apply to U.S. imports as part of the release and entry declaration process, and maintain this data as part of the entry related information.</p> <p>oThe license and exemption information, together with entry number and date, should be loaded into ACE to allow future reports showing entries claimed under these exemptions / licenses. (See also CSPO-GIF-960 for Account Management, "Submitting ITAR Exemptions via ACE".)</p> <p>oFor entries requiring Department of State licenses at time of entry, capture the associated value and quantity declared against that license in ACE. Ensure this information is available for future use in license balancing (see also CSPO-GIF-943-EXP005 for Export Process, "Export Control & Licensing via ACE".)</p> <p>Certain ports have also released specific bulletins on this issue addressing the current gaps in ACS and attempting to implement manual workarounds to compensate for this gap (See for example, Information Notice Number 09-FO-017, issued on April 29, 2009, from the Port of Buffalo, N.Y.)</p>	10/15/2009	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT</p> <p>October 2014: CBP will coordinate development with the State Dept.</p> <p>OCTOBER 15, 2009 - THIS REQUIREMENT (CSPO-GIF-1071) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>
<p><i>Owner:</i> Gary Schreffler</p>						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-066	1090	<p>ABI REPORTS TO REPLACE DAILY STATEMENT:10/23/2009</p> <p>When ACE is fully integrated with the new financial system [need name] the result will be a full separation of the trade's operational and financial interface with CBP. When this occurs, the operational/financial flow of information to the filer that currently exists under ACS will change. As a result, filers utilizing the Periodic Monthly Statement (PMS), will no longer receive the Daily PMS Statements (PDS) that incorporates both the financial information and operational information currently used by the trade to manage its workflow. This change creates the need for something in ACE to replace the statement. It also raises a number of operational questions.</p> <p>Today, customs brokers and self filers utilize the Daily PMS Statement (PDS) to manage their workload to create the checks and balances necessary to manage (validate) the PMS statement process on a daily basis. Under ACE PMS, the PDS represents all releases for a particular date that will be due for payment, in aggregate, the following month. In addition, the PDS is used as a daily transmission sheet for "documents due;" that is, trade uses it to monitor the 10-day clock to file documents required for specific entries identified on the PDS. It also is used to track the acceptance and finalization of duty free and fee free entries where no payment is due.</p> <p>From an operational standpoint, after full ACE financial integration, brokers and self filing importers that will handle any volume of transactions will still need daily information to manage their operations. Waiting until the end of the month to receive the first ACE PMS statement is not an option. The inability to manage this large volume of data could subject the filer to late filing penalties. More frequent access to this information allows the importer/filer to incorporate "due diligence" into the process.</p> <p>Requirement: The trade would like the ability to have three reports that would replace the PDS and provide, essentially, the same information. The reports covered by this GIF are specifically aimed at the filers and exchanges only through ABI EDI interfaces in ACE as this is the way all entry filers work with CBP and the one that will have the immediate critical need for this once the revenue processing is broken away from the commercial operations in ACE. It is expected that some similar requests may come using the portal, but this request is not intended to cover the more limited needs of the group using the Portal.</p> <p>The first report (Report A) would be provided to the</p>	10/23/2009	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: ABO RECOMMENDS THIS GIF FOR CONSIDERATION UNDE REV MOD</p> <p>October 2014: Pending determination for development in future increments.</p> <p>OCTOBER 23, 2009 - THIS REQUIREMENT (CSPO-GIF-1090) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>

filer (push by CBP via ABI) on a daily basis. It would be similar to the current PDS and would be managed the same way. Unless a different day was selected through the portal, the report would be provided eight working days after the date of entry and would list all entries (free and dutiable) for that release date. If documents were due for those entry summaries, the report would indicate what is due. The second report (Report B) would be a selectable ABI report that would allow the filer to pull a report, with the same data as Report A. Report B would allow the filer to select a single date of release or a range of release dates.

The last report (Report C) would also be a pull report via ABI. This report would provide information about open "docs required" requests. In simplest form, this report would list the entry number, date of release, documents requested by CBP and the due date. The request could be made for all open "docs required" requests, or based on due date. For example, a filer could request all open "docs required" requests from CBP that are expiring within 5 days.

While these are general outlines of reports that will be needed, it is necessary for CBP to have a sufficient number of work sessions with the trade to fully vet the needs and methods to satisfy them with CBP and the programmers to finalize agreement on data details, timing, role of the Portal in the filer selecting time periods and other options.

There are still a number of issues that need further dialogue include:

- Proof of payment requirement for due diligence under 19 CFR 111.29 to satisfy CBP's auditors. It has been suggested that there may need to be a further message from CBP indicating that an ABI entry has been satisfied.

- Question as to whether reports A&B can be combined into one regular request from the broker/importer-filer as a daily pull request from the filer. Questions arise as to whether CBP wants all these messages.

- The whole "docs required" changes need to be reviewed in the context of this change and the proposed changes by CBP. There were a number of discussions as to ways for the filer to batch paper document submissions on a daily basis and CBP to issue one receipt for all -- as opposed to CBP and the trade having to process each one separately.

- Different size filers may need to process their workload differently depending on their size. A filer that submits large volumes will need daily management, but smaller filers may want to manage on a weekly basis. We need input from both types of filers.

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
<i>Owner:</i> Monica Crockett						
ENT-071	1128	Importers filing Post Summary Corrections (PSC) on 4/12/2010 Type 03 entries that result in an AD/CV duty reduction would have the option of requesting that the PSC also be considered to be a formal request to CBP for an administrative refund under 19 USC 1520(a). If CBP agrees to the request, once the automated payment process is in place, the refund will be reflected on the next Periodic Monthly Statement, as this functionality will only be available to participants in that program. Likewise, if the PSC correction results in an AD/CV duty increase, the importer will have the option of electing to have a supplemental tender incorporated into their PMS, thus halting the accrual of additional AD/CV interest. In the interim (that is, until CBP automates the payment process), the current process should remain in effect for payment.	on 4/12/2010	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT APRIL 120, 2010 - THIS REQUIREMENT (CSPO GIF 1128) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.
<i>Owner:</i> Monica Crockett						
ENT-073	C-89	Paperless Entry Summary Statement: As ACE becomes mandatory, and filers use the Document Image System, the paper statement that is filed at the local port should become paperless also.	1/3/2014	Pending CBP L/P Input	POST CORE	DEC 1 2015: DIS CAPABILITY IS AVAILABLE. THIS IS A POLICY AND OPERATIONS DECISION (TPP AND OFO). October 2014: Pending determination for development in future increments. January 3, 2014: Entered in URTM. Will be submitted to CBP for review and assignment of the GIF number.
<i>Owner:</i> Monica Crockett						
ENT-074	C-90	ENHANCED POST SUMMARY CORRECTION FUNCTIONALITY IN ACE: Trade requests that this requirement be implemented immediately or be incorporated into the "Refactor ACE Entry Summary Foundation" currently proposed for Increment 7. Modify PSC to: 1. Allow PSC for unflagging elements for reconciliation 2. Allow PSC for flagging elements for reconciliation 3. Allow PSC for 520(d) claims 4. Allow PSC requesting extension of liquidation under 19 CFR 159.12 5. Allow PSC on extended entries up to 90 days prior to new liquidation date 6. Allow PSC on Type 03 entries until suspension of liquidation is removed by the Department of Commerce.		Pending CBP L/P Input	POST CORE	DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES. October 2014: Pending determination for development in future increments. January 3, 2014: Entered in URTM. Will be submitted to CBP for review and assignment of the GIF number.
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-076	C-92	ACE ATTORNEY PORTAL: Develop an ACE Attorney Portal to allow attorneys to: 1. File protests and other correspondence with CBP 2. Monitor protests for all clients from a single portal 3. Track other administrative actions (penalty proceedings, liquidated damages claims, (CF-28 responses, etc.) 4. Monitor transactions for importers 5. Monitor liquidations	1/3/2014	Pending CBP L/P Input	POST CORE	DEC 1 2015: UNDER THE NEWLY CREATED PROTESTANT ACCOUNT, ATTORNEYS WILL HAVE ACCESS TO THE REFERENCE TAB AND THE ABILITY TO FILE PROTESTS ON BEHALF OF THEIR CLIENTS. THE REMAINING CAPABILITIES OF THIS GIF WILL NOT BE ADDRESSED UNTIL CBP LEGAL APPROVES PROVIDING EXPANDED ACCESS, INCLUDING ACE REPORTS. October 2014: Pending determination for development in future increments. January 3, 2014: Entered in URTM. Will be submitted to CBP for review and assignment of the GIF number.
				<i>Owner:</i>		Monica Crockett
ENT-077	C-93	PUBLIC ACCESS PORTAL: Public access to all ACE information that is not business proprietary, though a single window to include: 1. AD/CVD case query 2. HTSUS database 3. Liquidation Notices 4. IPR database 5. Reference files including - ports of entry - currency conversion rates - interest rates - import specialist team assignment file - quota query - manufacturer ID query	1/3/2014	Pending CBP L/P Input	POST CORE	DEC 1 2015: THESE CAPABILITIES WILL NOT BE ADDRESSED UNTIL CBP LEGAL APPROVES. October 2014: Pending determination for development in future increments. January 3, 2014: Entered in URTM. Will be submitted to CBP for review and assignment of the GIF number.
				<i>Owner:</i>		Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-079	C-95	<p>RECONCILIATION ENTRY FOR TYPE O3 ENTRIES (AD/CVD)</p> <p>The statutory authority for Reconciliation Entry for AD/CVD entries was included in the Customs ModAct legislation, passed in 1993. At some point after the legislation was passed, Customs determined that ACS could not support Reconciliation for AD/CVD entries, so Reconciliation was postponed until it could be developed in ACE. The NCAP Test for Reconciliation for AD/CVD entries was scheduled to be implemented in the February 2010 ACE Release that included the AD/CVD Case Information System and ACE for Type 03 entries. However, Customs announced at the November 2009 TSN Plenary Session that Reconciliation for AD/CVD entries was being postponed indefinitely, due to budget reductions. No action has been taken with regard to Reconciliation for AD/CVD entries since that decision. In the recently announced schedule for ACE Deployment, Reconciliation is listed in the work program for Increments 8 and 9, with all other entry types. Implements 8 and 9 are scheduled to occur from November 2014 to May 2015. However, before Reconciliation Entry for AD/CVD entries can be developed in ACE, there are a number of critical policy issues, remaining from 2009, that must be decided in advance of the Development Team's work. This paper hopes to detail those issues and clarify the trade's position, to re-start the active discussions between the trade and Customs that were ongoing prior to the 2009 termination decision.</p>	6/25/2014	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES. MAY NEED LEGAL AND POLICY REVIEW.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>June 25, 2014. This requirement is being submitted for CBP policy review.</p>
				<i>Owner:</i>	Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
		<p>FILER ACCESS TO IMPORTER CBP FORMS 28, 29, 4647 IN ACE PORTAL -</p> <p>Filers request the ability to receive their courtesy copy of CBP Forms 28, 29, and 4647 through the Broker ACE Portal if the broker filed the entry or entries involved. Filers also request to have the ability to respond to CBP Forms 28, 29, and 4647 on behalf of their importer clients through the broker's ACE Portal, provided that the Importer has the ability to restrict or eliminate the filer's ability to respond to these forms through the importer's ACE Portal.</p> <p>The functionality being requested by filers through the ACE Portal is similar to functionality currently available to importers (see attachment in below the "Attachments" section of this document for details on importer functionality). Filers would be able to select their method of communication to receive their courtesy copy of the forms. The broker Trade Account Owner would be able to grant access to forms to specific users of its account. If the broker selects the ACE Portal as its method of communication, it will receive all CBP Form 28s, 28s, and 4647s for ACE entry summaries that it files on behalf of its importer clients. Filers will also need the ability to search for forms in the ACE Portal and view search results. Functionality should include the ability to upload attachments as a response to the form and the ability to print a form as a PDF. Filers should also have the ability to run a report on their open or closed CBP Form 28s, 29s, and 4647s for a specific time period. (Previous GIF# 842 was previously submitted for this proposal.)</p>	12/14/2015	Pending CBP L/P Input	POST CORE JANUARY 5, 2016. Entered in URTM for CBP review.
				<i>Owner:</i>	Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-081		<p>Filers request the ability to receive their courtesy copy of CBP Forms 28, 29, and 4647 through the Broker ACE Portal if the broker filed the entry or entries involved. Filers also request to have the ability to respond to CBP Forms 28, 29, and 4647 on behalf of their importer clients through the broker's ACE Portal, provided that the Importer has the ability to restrict or eliminate the filer's ability to respond to these forms through the importer's ACE Portal.</p> <p>The functionality being requested by filers through the ACE Portal is similar to functionality currently available to importers (see attachment in below the "Attachments" section of this document for details on importer functionality). Filers would be able to select their method of communication to receive their courtesy copy of the forms. The broker Trade Account Owner would be able to grant access to forms to specific users of its account. If the broker selects the ACE Portal as its method of communication, it will receive all CBP Form 28s, 28s, and 4647s for ACE entry summaries that it files on behalf of its importer clients. Filers will also need the ability to search for forms in the ACE Portal and view search results. Functionality should include the ability to upload attachments as a response to the form and the ability to print a form as a PDF. Filers should also have the ability to run a report on their open or closed CBP Form 28s, 29s, and 4647s for a specific time period. (Previous GIF# 842 was previously submitted for this proposal.)</p>	12/14/2015	Pending CBP L/P Input	POST CORE JANUARY 5, 2016 - Entered in URTM for CBP review
				<i>Owner:</i> Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-FTZ-053	898	<p>REPORTS E-214 DATA. Upon inclusion of e214 data in ACE, it will be necessary for the trade to be able to access that data through ACE reporting.</p> <p>The trade needs reporting that provides information about the concurrence status of zone admissions (FZ program filings).</p> <p>Those with access to such reporting would include:</p> <ul style="list-style-type: none"> •Persons with authority for the IOR of the zone via the portal (operator – operator account type by IOR of the operator) •Persons admitting to the zone (users, applicants – importer account type by IOR of the applicant) •Persons filling admissions to the zone (broker, service provider account type by filer code) <p>Data elements should include:</p> <ul style="list-style-type: none"> •Bill of Lading •Zone ID •Calendar Year •Control Number •Authorization Date (system posted date on which the e214 was authorized in the system) – if not authorized, the item would appear on the report, but the authorization date would be blank •Concurrence Date (system posted date on which the concurrence was recorded in the system) – if not concurred, the item would appear on the report, but the concurrence date would be blank •Port Code •Import Date (in the FT20 record) •CBP's Arrival Date (as posted on the bill of lading in AMS when it arrived in port) •Number of days left on the GO clock (as calculated by CBP) <p>Report Filters:</p> <ul style="list-style-type: none"> •System implied (required according to ACE system access rules) <ul style="list-style-type: none"> oOperator IOR appearing on the admission oUser IOR appearing on the admission oBroker Code(s) on the admission •Concurrence Date (system posted date on which the e214 was accepted into the system) – Primary Filter •Bill of Lading – Secondary Filter 	12/5/2008	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: THIS SHOULD BE CONSIDERED FOR POST CORE PENDING LEGAL AND POLICY REVIEW</p> <p>October 2014: ACE Reports Team will consult with Cargo Release Team regarding which data elements to make available in reports.</p> <p>JUNE 9, 2010 - Jim Swanson - BOL level data should be available but not the information from the e214 at this time. Can be looked at with cargo release.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1 - IS PENDING CCB REVIEW FOR RELEASE M2.3</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-898) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>4/27/09- E214 Admission Concurrence Report: This requirement was submitted to Vinny Annunziato on 12/5/08 pursuant to Vinny's request for M2.3 requirements.</p>
			<i>Owner:</i>	Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-FTZ-054	899	E-214 - M1 Admission Content Report	7/13/2009	Pending CBP L/P Input	POST CORE	DEC 2 2015: THIS SHOULD BE CONSIDERED FOR POST CORE PENDING LEGAL AND POLICY REVIEW August 20 2013:This CSPO GIF 899 was submitted to the CBP Change Control Board for review on 7/13/2009
				<i>Owner:</i> Monica Crockett		
ENT-FTZ-055	900	Upon inclusion of the e-214 in ACE, it will be necessary for: •The FTZ Applicant or FTZ Operator to have the ability to add detail PGA information required for admission for each COO, HTSUS, and Status being admitted; •PGA's to have the ability to draw data that has been entered into the system as needed by the PGA rather than requiring the FTZ Operator to take action. •The ACE system must have the capability to notify the FTZ Applicant and/or FTZ Operator when information has been provided to the PGA. In developing this functionality beyond the existing e214 data sharing with Census, CBP, the trade and the individual PGA should discuss the appropriate admission data sharing needed as compared to data sharing performed through the entry summary	11/21/2008	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT SEPT 2014: Functional Development Increment 10 (May-Aug 2015) JUNE 9, 2010 - Jim Swanson - Should be evaluated for cargo release development with PGAs DETERMINED TO BE OUT OF SCOPE FOR M1 - IS PENDING CCB REVIEW FOR RELEASE M2.3 JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-900) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. April 27, 2009: PGA Access to E214: This requirement was submitted to Vinny Annunziato on 11/21/08 pursuant to Vinny's request for M2.3 requirements.
				<i>Owner:</i> Gary Schreffler		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-FTZ-056	901	<p>REAL TIME MONITORING OF IN-BONDS FOR TRADE - REPORT - Upon inclusion of In-Bonds in ACE, it will be necessary for the trade, particularly related parties, to be able to access real-time data to monitor and track in-bond movements. The trade needs this information to monitor the movement of in-bond cargo on a real time basis to meet their security, efficiency and quality objectives. "Inbond" includes both those traveling under a CBP 7512 process or a Permit to Transfer (PTT) process.</p> <p>Those with access to such reporting would include valid ACE/ABI account holders including but not limited to:</p> <ul style="list-style-type: none"> •Foreign-Trade Zone Operators •Carriers •Brokers •Importers •Bonded Warehouses <p>Reporting would include:</p> <ol style="list-style-type: none"> 1.Ability to search for previously entered in-bond information for a particular destination firms code. 2.Ability for a carrier to receive notification that an in-bond movement has been initiated by a zone under the carrier's bond. 3.Ability for a carrier to review an in-bond movement initiated by FTZ under the carrier's bond 4.Ability for a carrier to receive notification that a permit to transfer movement has been initiated by a zone under the carrier's bond. 5.Ability for a carrier to review a permit to transfer movement initiated by a zone. 	11/21/2008	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE BUILT POST CORE AND NEEDS TO BE INCLUDED IN REPORTS.</p> <p>October 2014: Partiall accepted - will be completed if future increments. DETERMINED TO BE IN SCOPE FOR M1</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-901) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>April 27, 2009: InBond: This requirement was submitted to Vinny Annunziato on 11/21/08 pursuant to Vinny's request for M2.3 requirements.</p>
				<i>Owner:</i>	Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-FTZ-057	902	<p>Provide the ability to pay HMF via ABI by ACH Debit/ACH Credit. Goal is to schedule and submit all payments to CBP through the same automated system. Trade prefers to maintain the process of ACH Debit though the ABI process. This would allow the FTZ operator to view all import and related revenue activity with CBP through ACE—either the portal or via ABI. The FTZ operator can see what is due, submit changes and approve and pay through ABI. The preference is to move away from the use of “3rd party” processors such as Pay.Gov to submit payment.</p> <p>Since the HMF is due by the 31st day after the quarter ends, it would be important to have a preliminary statement issued immediately after the close of the quarter. This would allow the FTZ to adjust the statement if necessary.</p> <p>The system must allow the ability to indicate the EIN of the HMF responsible party so that the charges will be billed to the right party. The HMF payment process in ACE would provide:</p> <p>1.Ability for the system to generate a calculation for the HMF due for the admission (including any corrections noted during acceptance) using the known information of HMF requirements for the provided HMF responsible party (without requiring the user to provide an indication of HMF requirements)</p> <p>2.Ability for the HMF responsible party to choose to accept the system calculated HMF or to disagree by entering an independently calculated HMF amount</p> <p>- OR -</p> <p>1.Ability for HMF responsible party to submit the CBPF349 or CBPF350 through ACE and, as it does for the entries that are submitted, ACE would send a statement that could be approved and paid by the 31st day following the end of the quarter.</p>		Pending CBP L/P Input	<p>POST CORE 7/16/09: This may require coordination with BEMS</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-902) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>4/21/09: Electronic Payment of HMF: This GIF is pending subcommittee further review</p>
				<i>Owner:</i> Monica Crockett	
ENT-FTZ-059		AIR MANIFEST DELETE/CLOSE MESSAGE Enable the filers of an in bond movements to be notified when a carrier deletes a bill or any other action is taken on the in bond that would cause the in bond to be deleted.	6/23/2014	Pending CBP L/P Input	<p>POST CORE October 2014: Pending future development</p> <p>June 23, 2014 - Entered in URTM. Requires CBP Policy Review</p>
				<i>Owner:</i> Bill Delansky	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-FTZ-060		AIR MANIFEST IN-BOND PROCESSING Enable an FTZ operator to use one standard process when creating in bond movements to and from an FTZ. Currently the process for processing in bond movements for air bills of lading is not identical to other modes of transport. Due to CBP system limitations zones have to process air in bond movements differently which is causing additional work and costs to FTZ operators.	6/23/2014	Pending CBP L/P Input	POST CORE	October 2014: Pending future development June 23, 2014 - Entered in URTM for CBP Policy Review.
		<i>Owner:</i>	Bill Delansky			
ENT-FTZ-061		AIR MANIFEST NOTIFICATION MESSAGE: Request that Air Manifest include the same informative notifications of actions taken on a bill of lading and In bond as are available in the Ocean/Rail manifest systems.	6/23/2014	Pending CBP L/P Input	POST CORE	October 2014: Pending future development June 23, 2014 - Entered in URTM for CBP Policy review.
		<i>Owner:</i>	Bill Delansky			
ENT-FTZ-062		AIR MANIFEST SPLIT AIR SHIPMENTS: Request BOL processing in Air Manifest to be done in a manner so that a single admission can include receiving splits and manifest splits. Currently Air AMS allows for multiple entries to be filed against one (non-Split) air waybill. The programming was never extended to include e214's. The result of this is that FTZ operators are forced to report air split shipments on 2 separate e214's even if the merchandise was received into the zone on a single day and should be allowed to be reported on one cumulative e214 for the daily receipts as allowed by the regulations.	6/23/2014	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS REQUIREMENT WILL BE BUILT AS PART OF POST CORE DEVELOPEMENT June 23, 2014 - Entered in URTM for CBP Policy review.
		<i>Owner:</i>	Bill Delansky			

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-GIF-196	295	<p>e214 Data in Importer Portal Account The e214 data should be visible in the Importer Portal in the same way entry summary data is viewable in the Importer Portal today. The e214 data would include the data elements found in the FT application (documentation can be found at http://www.cbp.gov/linkhandler/cgov/import/cargo_control/ftz_trade_req.ctt/ftz_trade_req.doc). The format would be the same as the format used to view e214 data in the FTZ Operator Portal account, and it would appear in a new view in the Importer Portal account. Along with the data contained in the e214 document, operators should be able to view all concurrences that have been transmitted related to each e214 (FZ application), messages produced by CBP concerning the admission (NF application) and the current status of the e214 (approved, docs required, etc.) should be shown with the e214 data. Since the applicants EIN is included in the e214, that identifier could be used to determine if an e214 needs to be displayed in an Importer's Portal account.</p> <p>Upon completion of the e214, the zone admission data will be available in ACS, as entry and entry summary data is available today. An importer, with an Importer Portal Account, could act as an applicant and file an e214. In this case, the importer should be able to view the e214 in his/her Importer Portal Account.</p>	10/6/2005	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT</p> <p>DEC 2 2015: THERE IS NO VIEWABLE ENTRY SUMMARY DATA VIA IMPORTER ACCOUNT VIEW. IMPORTERS MUST USE ACE REPORTS TO VIEW ENTRY SUMMARY DATA</p> <p>October 2014: Pending determination for development in future increments.</p> <p>SEPTEMBER 16, 2011 - This requirement is pending CBP HQ Input for cargo release under TMAC as part of the FTZ review process.</p> <p>JUNE 9, 2010 - Jim Swanson - Should be evaluated for cargo release development with PGAs</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1 - IS PENDING CCB REVIEW FOR RELEASE M2.3</p> <p>New GIF = CSPO-GIF-295 Status = In Review</p> <p>This is conditionally accepted, but cannot be scheduled for a release until e-214 data is available in ACE.</p>
				<i>Owner:</i>		Gary Schreffler

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-GIF-197	294	<p>e214 Data in FTZ Operator Portal Account</p> <p>The e214 data should be visible in the FTZ Operator Portal in the same way entry summary data is viewable in the Importer Portal today. The e214 data would include the data elements found in the FT application (documentation can be found at http://www.cbp.gov/linkhandler/cgov/import/cargo_control/ftz_trade_req.ctt/ftz_trade_req.doc). The e214 data should be in a separate view from the entry summary data. Along with the data contained in the e214 document, operators should be able to view all concurrences that have been transmitted related to each e214 (FZ application), messages produced by CBP concerning the admission (NF application) and the current status of the e214 (approved, docs required, etc.) should be shown with the e214 data.</p> <p>Upon completion of the e214, the zone admission data will be available in ACS, as entry and entry summary data is available today. When the FTZ Operator Account is added in A1, that portal account view should include the e214 data available in ACS.</p>	10/6/2005	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT</p> <p>DEC 2 2015: THERE IS NO VIEWABLE ENTRY SUMMARY DATA VIA IMPORTER ACCOUNT VIEW. IMPORTERS MUST USE ACE REPORTS TO VIEW ENTRY SUMMARY DATA</p> <p>October 2014: Pending determination for development in future increments.</p> <p>SEPTEMBER 16, 2011 - This requirement is pending CBP HQ input for cargo release under TMAC as part of the FTZ review process.</p> <p>JUNE 9, 2010 - Jim Swanson - Should be evaluated for cargo release development with PGAs</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1 - IS PENDING CCB REVIEW FOR RELEASE M2.3</p> <p>JANUARY 12, 2007: THIS REQUIREMENT (CSPO-GIF-294) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>This is conditionally accepted, but cannot be scheduled for a release until e-214 data is available in ACE.</p>
		<i>Owner:</i>	Monica Crockett			

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-GIF-199	292	<p>e214 Data in Broker Portal Account</p> <p>The e214 data should be visible in the Broker Portal in the same way entry summary data is viewable in the Importer Portal today. The e214 data would include the data elements found in the FT application (documentation can be found at http://www.cbp.gov/linkhandler/cgov/import/cargo_control/ftz_trade_req.ctt/ftz_trade_req.doc). The format would be the same as the format used to view e214 data in the FTZ Operator Portal account, and it would appear in a new view in the Broker Portal account. Along with the data contained in the e214 document, brokers should be able to view all concurrences that have been transmitted related to each e214 (FZ application), messages produced by CBP concerning the admission (NF application) and the current status of the e214 (approved, docs required, etc.) should be shown with the e214 data. Since the broker's filer code is included in the e214, that identifier could be used to determine if an e214 needs to be displayed in an Broker's Portal account.</p> <p>Upon completion of the e214, the zone admission data will be available in ACS, as entry and entry summary data is available today. A broker, with a Broker Portal Account, could file an e214. In this case, the broker should be able to view the e214 in his/her Broker Portal Account.</p>	10/6/2005	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: THERE IS NO VIEWABLE ENTRY SUMMARY DATA VIA IMPORTER ACCOUNT VIEW. IMPORTERS MUST USE ACE REPORTS TO VIEW ENTRY SUMMARY DATA</p> <p>October 2014: Pending determination for development in future increments.</p> <p>SEPTEMBER 16, 2011 - This requirement is pending CBP HQ Input for cargo release under TMAC. This is part of the FTZ review process.</p> <p>JUNE 9, 2010 - Jim Swanson - Should be evaluated for cargo release development with PGAs</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1 - IS PENDING CCB REVIEW FOR RELEASE M2.3</p> <p>JANUARY 12, 2007: THIS REQUIREMENT (CSPO-GIF-292) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>This is conditionally accepted, but cannot be scheduled for a release until e-214 data is available in ACE.</p>
				<i>Owner:</i> Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-GIF-198	293	<p>e214 Data in Carrier Portal Account</p> <p>The e214 data should be visible in the Carrier Portal in the same way entry summary data is viewable in the Carrier Portal today. The e214 data would include the data elements found in the FT application (documentation can be found at http://www.cbp.gov/linkhandler/cgov/import/cargo_control/ftz_trade_req.ctt/ftz_trade_req.doc). The format would be the same as the format used to view e214 data in the FTZ Operator Portal account, and it would appear in a new view in the Carrier Portal account. Along with the data contained in the e214 document, carriers party to the admission should be able to view all concurrences that have been transmitted related to each e214 (FZ application), messages produced by CBP concerning the admission (NF application) and the current status of the e214 (approved, docs required, etc.) should be shown with the e214 data. Since the carriers SCAC code is included in the e214, that identifier could be used to determine if an e214 needs to be displayed in a Carrier's Portal account.</p> <p>Upon completion of the e214, the zone admission data will be available in ACS, as entry and entry summary data is available today. A carrier, with a Carrier Portal Account, needs to know goods are authorized to move to the zone. In this case, the carrier should be able to view the e214 in his/her Carrier Portal Account.</p>	10/6/2005	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: THERE IS NO VIEWABLE ENTRY SUMMARY DATA VIA IMPORTER ACCOUNT VIEW. IMPORTERS MUST USE ACE REPORTS TO VIEW ENTRY SUMMARY DATA</p> <p>October 2014: Pending determination for development in future increments.</p> <p>SEPTEMBER 16, 2011 - This requirement is pending CBP HQ Input under TMAC as part of the FTZ review process.</p> <p>JUNE 9, 2010 - Jim Swanson - Should be evaluated for cargo release development with PGAs</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1 - IS PENDING CCB REVIEW FOR RELEASE M2.3</p> <p>JANUARY 12, 2007: THIS REQUIREMENT (CSPO-GIF-293) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>This is conditionally accepted, but cannot be scheduled for a release until e-214 data is available in ACE.</p>
		<i>Owner:</i> Monica Crockett				
ENT-RSC-006	661	<p>CBP VALIDATION OF EIN/SSN AT TIME OF 5106 ADD:</p> <p>Whatever validation program is being run by CBP, it should be performed real-time as each 5106 add es received. An ABI response should be returned to the filer if the number is deemed invaled and the 5106 add should be relected. Filers would then be forced to contact the importer for a valid EIN/SSN before the shipment could be entered.</p>	3/6/2008	Pending CBP L/P Input	Inc 8	<p>October 2014: Pending determination for development in future increments.</p> <p>NOVEMBER 23, 2011 - Determined to be out of scope for cargo release. Do not have the technical capability to do this at this time.</p> <p>SEPTEMBER 16, 2011- This requirement is pending CBP L/P Input for cargo release.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 661) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW</p> <p>03/06/2008 - Submitted to CBP for GIF Board Review. Assigned CSPO-GIF-661</p>
		<i>Owner:</i> Monica Crockett				

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-RSC-007	533	CONTACT INFORMATION TO BE TAGGED WITH MANIFEST OR RELEASE TRANSMISSION: ACE will allow contact information to be transmitted with manifest, release or entry summary records on the bill, house bill, and entry number level. These will be optional fields.	2/1/2007	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT</p> <p>OCTOBER 12, 2011- This requirement is accepted for cargo release. Pending legal policy review</p> <p>SEPTEMBER 16, 2011 - This requirement is pending CBP L/P Input for cargo release.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 533) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW</p> <p>29/08: CSPO-GIF-533</p> <p>8/17/07 - Old GIF No. = RSC-007. OFO Sponsor = Jim Swanson. Currently under review by OFO and CCR team for implementation.</p>
<i>Owner:</i> Bill Delansky						
ENT-RSC-014	539	In order to align field descriptions and values in a weekly estimate 06 release, the definitions of some fields must be changed and some must be eliminated for a release from a Foreign Trade Zone. Due to the nature of field descriptions and values used today, a list of proposed values to be submitted for a weekly estimate follow: 1) Entry presentation date 2) Elected entry date 3) Entry type 4) Entry number 5) Port 6) Importer number 7) Importer of Record name 8) Location of goods (FIRMS) 9) HS number 10) Zone number 11) Description of Merchandise	2/1/2007	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT</p> <p>SEPT 2014: Rejected. Policy will need to be changed and this is not part of core development.</p> <p>JANUARY 5, 2012 - The FTZ process will be included in cargo release however the process will not change as it exists per current regulations.</p> <p>SEPTEMBER 16, 2011 - Per legal policy review this requirement is being withdrawn and will be considered separately by CBP as part of the FTZ review process.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 539) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/29/08: CSPO-GIF-539</p> <p>8/17/07 -)ld GIF No. = RSC-014. OFO Sponsor - Jim Swanson. Currently under review by CCR team. POC - Jerry Leuters.</p>
<i>Owner:</i> Gary Schreffler						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-RSC-020	545	RELEASE ENTRY QUERY FOR CARRIERS/NVOCC/TERMINAL OPERATORS: A query within ACE will be implemented for carriers, NVOCCs, or terminal operators utilizing one of the following identifiers at a minimum: BOL/HBOL, SID/SCN, Entry number.	2/1/2007	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: POST CORE FUNCTIONALITY - WILL BE AVAILABLE IN ACE REPORTS</p> <p>October 2014: Pending future development - Entry Committee needs to provide more information</p> <p>FEBRUARY 8, 2011 - DETERMINED TO BE NOT IN SCOPE FOR CARGO RELEASE. Referred to Manifest</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 545) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/29/08: CSPO-GIF-545</p> <p>8/17/07 - Old GIF No. RSC-020. OFO Sponsor - Jim Swanson. Currently under review by OFO and CCR team for implemenation.</p>
<i>Owner:</i> Gary Schreffler						
ENT-RSC-024	549	TRANSFER OF ENTRY LIABILITY PROCESS: The transfer of liability from one broker/filer to another broker/filer should be an electronic process within ACE. The original broker (broker #1) will transmit a request to CBP to transfer liability to another superseding broker (broker #2) requesting that the responsibility for filing the entry summary be transferred to broker #2	2/1/2007	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: SOME OF THIS FUNCTIONALIYT HAS BEEN DEVELOPED. REMAINING FUNCTIONALITY WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT</p> <p>SEPT 2014: Allow for cancellation an adding another entry to replace an existing entry via automation.</p> <p>NOVEMBER 23, 2011 - This requirement is pending CBP L/P Input for cargo release.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 549) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/29/08: CSPO-GIF-549</p> <p>8/17/07 - Old GIF No. - RSC-024. OFO Sponsor - Jim Swanson. Currently under review by OFO and CCR team.</p>
<i>Owner:</i> Gary Schreffler						
ENT-RSC-026	628	5106 DATABASE SEPARATION OF INFORMATION-IMPORTER OF RECORDS AND REPORTING PARTIES: The company records (5106 data records) within ACE will be separated into two categories: Importer of Record and Reporting Parties.	9/21/2007	Pending CBP L/P Input	POST CORE	<p>October 2014: Pending determination for development in future increments.</p> <p>NOVEMBER 17, 2011- This requirement is pending CBP L/P Input for cargo release.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GI) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/29/08: CSPO-GIF-628</p>
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-WHS-059	905	<p>CONVERT CF-3499 PAPER PROCESS TO ELECTRONIC FORMAT IN ACE - Currently anytime there is a need to invoke CF 3499 it is a very manual and paper filled process that results in a paper backlog and many hours of inefficient time to transport and process paperwork that can otherwise be processed electronically.</p> <p>Description of Recommended Change: We the trade believe that the CF3499 should be made available through ACE in an electronic format. We envision completing an online version of the form and submitting it to customs for their review. Once the customs agent has reviewed the electronic CF 3499, they could: A.Reply with approval electronically placing the CF 3499 in an "Approved Status" through ACE. B.Reply with a request for further information or need for inspection putting the CF 3499 into a "Pending Status" through ACE. C.Reply with a "Declined" notification requiring the requestor to resubmit and/or contact customs to determine next course of action. This option would leave the CF 3499 in a "Declined Status" Through ACE.</p> <p>All final approvals would be completed online by customs with the electronic status notifications being available to the requestor through ACE.</p> <p>For example; if a bonded facility handling product in full case quantities and needs to repackage in less than full case quantities, the Bonded Facilities operator would create and submit CF 3499 through ACE to U.S. Customs. U.S. Customs would review and either choose to approve the CF 3499 as provided, put the CF 3499 into "Pending Status" if an inspection is deemed necessary or disapprove and put the CF 3499 into a "Declined Status" with comments as to why and what is required. In the case noted above, once the CF3499 was approval electronically through ACE by U.S. Customs, there would be an inventory adjustment made with a printed copy of the screen approval for the Bonded Facilities file.</p>		Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF REQUIRES LEGAL AND POLICY REVIEW.</p> <p>October 2014: Currently in production backlog for development</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-905) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>April 27 2009: CF3499: Pending submission to CBP CCB for review. Awaiting designation of a CBP Business sponsor.</p>
			<i>Owner:</i>	Gary Schreffler		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
ENT-WHS-O60	906	<p>Current Regulations provide for an allowance in Duty and Tax upon satisfactory proof of the destruction or loss, in whole or in part, of any merchandise while in a bonded warehouse – 19 USC 1563, 19 CFR 158.21. Within 30 days of the discovery of the loss, theft, injury or destruction, the importer SHALL file an application, in DUPLICATE, on Customs Form 4315, with the Port Director wherein the loss, theft, injury or destruction occurred and within 90 days from the date of discovery of such incident, the importer SHALL file with the Port Director wherein the loss, theft, injury or destruction occurred, any evidence required in sections 158.26 and 158.27 of 19 CFR. Furthermore, any theft, suspected theft, overage or extraordinary shortage or damage (one percent or more of the value of the merchandise in an entry or unique identifier – OR – if the missing merchandise is subject to duty and tax in excess of \$100) SHALL be immediately brought to the attention of the Port Director wherein the incident occurred and confirmed in writing within five (5) business days thereafter. The warehouse proprietor SHALL record all shortages, overages, thefts, loss, injury, damage or destruction of merchandise, whether extraordinary or NON-extraordinary in nature, in the inventory control and recordkeeping system of the warehouse proprietor, whether or not such an incidence need be required to be reported to the Port Director.</p> <p>REQUESTED CHANGE TO CURRENT PROCEDURE</p> <p>As it is the case that CBP no longer maintains a duplicate permit file folder for warehouse entries as such records are now required to be maintained by the warehouse proprietor, in lieu of processing and transmitting Customs Form 4315 in the antiquated manner of submitting a paper document to CBP for signature, which is inefficient and burdensome to both the filer and CBP, ACE should allow for the paperless release of an application for an allowance in duties on Customs Form 4315.</p>		Pending CBP L/P Input	<p>POST CORE DEC 1 2015: ABO RECOMMENDS THIS FOR DIS.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-906) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>April 27, 2009: Paperless Release on Warehouse Breakage: Pending submission to CBP CCB for review. Awaiting designation of a CBP Business sponsor.</p>
				<i>Owner:</i> Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
ENT-WHS-061	904	<p>TRANSFER OF MERCHANDISE BETWEEN BONDED WAREHOUSES WITHIN THE SAME PORT</p> <p>Current Regulations provide for the transfer of merchandise between bonded warehouses within the same port through the use of the non-automated CF 6043 – 19 CFR 144.34 and 144.41. Additionally, the port director MAY require the filing of a rewarehouse entry if the port director determines it is necessary for the proper control of the merchandise.</p> <p>REQUESTED CHANGE TO CURRENT PROCEDURE</p> <p>Current regulations and procedures governing the transferring of in-bond merchandise between bonded warehouses within the same port (1) do not allow for a paperless release, (2) do not allow for the transference of bond or importer information between warehouse proprietors and (3) do not provide for the filing of a paperless type 22 entry. Transferring in-bond merchandise to different bonded facilities operated by different entities within the same port limits is nothing new to Customs. FTZ's have been doing this for quite some time using a CF 7512 (see FTZM 9.11(a)) in order to assure that the bonded facility at destination has the necessary information in order to exercise proper control over the in-bond merchandise and to be able to file proper documentation for the admission (entry) of the merchandise into the bonded facility at destination.</p> <p>Ace should allow for the paperless release of in-bond merchandise, on CF 7512, for the purpose of transportation between bonded warehouses within the same port limits. Ace should also allow the filing of a paperless type 22 entry for such transferred merchandise.</p>		Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: POST CORE DEVELOPMENT FOR CARGO RELEASE</p> <p>October 2014: Pending determination for development in future increments.</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-904) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>April 27, 2009: Paperless Transfers Within Port Limits: Pending submission to CBP CCB for review. Awaiting designation of a CBP Business sponsor.</p>
			<i>Owner:</i>	Gary Schreffler		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
EXP-002	940	<p>"Filing Export Manifest Information via the ACE Portal and EDI" TRADE ACT OF 2002, P.L. 107-210 SEC. 343. <<NOTE: 19 USC 2071 note.>> MANDATORY ADVANCED ELECTRONIC INFORMATION FOR CARGO AND OTHER IMPROVED CUSTOMS REPORTING PROCEDURES. (a) Cargo Information.-- (1) In general.--Subject to paragraphs (2) and (3), not later than 1 year after the date of enactment of this Act, the Secretary shall promulgate regulations providing for the transmission to the Customs Service, through an electronic data interchange system, of information pertaining to cargo destined for importation into the United States or exportation from the United States, prior to such importation or exportation. Background Information • The Federal Register, Vol. 68, No 234/Friday December 5, 2003 – Department of Homeland Security, 19 CFR Parts 4, 103 et al., Required Advance Electronic Presentation states on page 68162: The USPPI or its authorized agent must report the port of exportation as known when the USPPI or its agent tenders the cargo to the outbound carrier. Should the carrier export the cargo from a different port, the carrier so informs the USPPI or agent, the port of exportation must be corrected by the filer in AES. Proposed 192.14 (c) (2) (vi) is revised in this final rule to clarify this issue. • The USPPI or his agent, pre-filing the EEI data, has only estimated transportation data. When the carrier transmits transportation data, ACE will be in receipt of updated EEI transportation information in ACE (AES and AES Direct) automatically rather than require updates from the EEI filer based upon second hand data. REQUIREMENT RECOMMENDATION 1. ACE must provide the capability to file export transportation data via the ACE secure data portal or via electronic (EDI) batch transmissions. ACE must provide the means for USPPIs (exporters), freight forwarders, carriers, or their authorized agents to file all transportation information required for the advanced electronic filing of the outbound manifest. 2. For filing export transportation data, ACE shall make available to carriers and NVOCCs all functionality that is currently provided to them by</p>	12/18/2006	Pending CBP L/P Input	<p>POST CORE DEC 3 2015: THIS GIF IS ACCEPTED PENDING CBP AND CENSU LEGAL AND POLICY REVIEW.</p> <p>October 2014: Pending future developement</p> <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-940) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p>

AES and AES Direct, except for functionality that has been rendered unnecessary by changes in the CBP business processes that AES and AES Direct support.

3. When USPPIs or EEI filers access their EEI data in ACE, updates made by ACE to transportation based upon the Export Manifest shall be accessible along with the originally submitted values.

CLARIFICATION - The Federal Register, Vol. 68, No 234/Friday December 5, 2003 – Department of Homeland Security, 19 CFR Parts 4, 103 et al., Required Advance Electronic Presentation states on page 68176: (2) Transportation Data, Reporting of the following transportation information is currently mandatory for AES participants under 15 CFR 30.63 for the vessel, air, truck and rail modes:

- (v) Country of ultimate destination (this is the country as known to the USPPI or its authorized agent at the time of exportation, where the cargo is to be consumed or further processed or manufactured ; this country would be identified by the 2-character ISO code for the country of ultimate destination.
- Please note that this is not transportation data. This information can only be supplied by the USPPI. The carrier can only supply the ultimate destination of his contract of carriage. The carrier could be moving the goods to Rotterdam, and the ultimate country of destination could be Germany. The buyer may elect to pick up the goods in Rotterdam and truck them to Germany with his own transportation. The carrier's ultimate destination could be different than the USPPI's country of ultimate destination.

4. ACE must provide the capability for an authorized Trade user to view, download, and print Export Manifest data that they have filed or that has been filed on their behalf.

5. ACE must provide viewable, downloadable, and printable reports to the TAO and authorized Export Manifest filers through the ACE portal. The report set must include the number of Export Manifest filed over a specified period, the number late or in error over a specified period, and specific details for each incident of a late or erroneous filing.

Note: -- These reports will support tracking of transaction statistics and compliance rates.

6. Upon acceptance of Export Manifest data by ACE, ACE shall update estimated transportation data elements on the corresponding EEI using the transportation data values from the Export Manifest? In case of a discrepancy between the two sets of transportation data, the ACE system will

NOT notify the USPPI or filer of the EEI. The discrepancy will be resolved upon submission of the departure message by the carrier to CBP. The TAO will have access to any transaction changes that have been made via the ACE Portal.

[SEE ATTACHMENT FOR DETAILED PROCESS FLOW DIAGRAMS]

Owner: William Delansky

EXP-003	941	<p>"Export Batch Filing Methodology" BACKGROUND (This is a technology based requirement and subject to technology available at the time of implementation)</p> <ul style="list-style-type: none"> • It is required that any standards adopted for filing export commodity and transportation data must be consistent with the trade links to the Bureau of Customs and Border Protection (CBP) for other components of ACE. • Given the large investment in the existing links established to CBP by the trade (AMS, ABI, AES) the equivalent links to ACE should be evolutionary (not revolutionary), and as far as possible backwards compatible. • ACE should allow alternate Network suppliers (e.g. Sprint) for MQ communications to introduce competition. <p>USER REQUIREMENT RECOMMENDATION</p> <ol style="list-style-type: none"> 1. ACE should continue to support, for the foreseeable future, the existing methods of communication to CBP. At the current time these are primarily 1-800 Dial-up, SDLC, and VPN communication systems. 2. ACE should continue to support existing formats; Customs Propriety, X12, CATAIR, AESTIR 3. ACE development should ensure that all changes to existing formats are, as far as possible, backwards compatible. This means that if a new data item(s) or records are introduced they should be included in such a way that companies not required to send them will not have to alter their existing processors (file layouts). In other words added and not inserted in the middle of records. <p>Any introduction of XML based message should be offered on an optional basis.</p>	12/18/2006	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT IS ACCEPTED AND PARTS ARE AVAILABLE IN ACE. ADDITIONAL FILING METHODOLOGIES WILL BE BUILT ON AN ON GOING BASIS.</p> <p>October 2014: Pending future development</p> <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-941) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release. THIS RERQUIREMENT REPLACES EXP-004</p>
						<p><i>Owner:</i> William Delansky</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
EXP-004	942	<p>"EXPORT CONTROL & LICENSING VIA ACE BUREAU OF INDUSTRY & SECURITY (BIS), DEPARTMENT OF COMMERCE"</p> <p>1. The ACE portal should provide the exporter (USPPI) or its authorized filer access to information on export licenses and related export control information on a shipment that falls under the authority of the Bureau of Industry & Security, Department of Commerce pertaining to the export of dual use merchandise as stated in the BIS Export Administration Regulations.</p> <p>2. ACE should provide a mechanism whereby the USPPI or its authorized filer could communicate with BIS for information regarding a transaction or shipment concerning export control or licensing requirements.</p> <p>3. ACE should provide a mechanism whereby BIS could communicate with a particular USPPI or its authorized filer for information regarding a transaction or shipment.</p> <p>4. ACE should provide for all authorized parties (BIS, USPPs, and their authorized filers) the ability to query license balance (value and/or quantity) and expiration date information.</p> <p>5. ACE should provide for license decrementation functionality based on date, amount, quantity, and expiration date.</p>	12/18/2006	Pending CBP L/P Input	<p>POST CORE DEC 3 2015: THIS GIF IS ACCEPTED PENDING CBP OFO AND LEGAL POLICY REVIEW</p> <p>October 2014: Pending future development and coordination with Commerce/BIS</p> <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-942) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p>
			<i>Owner:</i>	William Delansky	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
EXP-005	943	<p>"Export Control & Licensing via ACE - State Department, Directorate of Defense Trade Controls (DDTC)"</p> <ol style="list-style-type: none"> 1. The ACE portal should provide the exporter (USPPI) or its authorized filer access to information on export licenses and related export control information on a shipment that falls under the authority of the Directorate of Defense Trade Controls (DDTC), Department of State, pertaining to the export of merchandise covered under the International Traffic in Arms (ITAR) regulations for items on the U.S. Munitions List. 2. ACE should provide a mechanism whereby the exporter or its authorized filer could communicate with the DDTC for information regarding a transaction or shipment concerning export control or licensing requirements. 3. ACE should provide a mechanism whereby the DDTC could communicate with a particular exporter or its authorized filer for information regarding a transaction or shipment. 4. ACE should provide for all authorized parties (DDTC, Exporters, and their authorized filers) the ability to query license balance (value and/or quantity) and expiration date information. 5. ACE should provide for license decrementation functionality based on date, amount, quantity, and expiration date. 6. ACE should provide the functionality to allow users to verify that a particular State Department (International Traffic In Arms Regulation (ITAR)) license exemption applies to their export (e.g. have access to Canada's list of Registered companies for purpose of verifying that the Canadian exemption 22 CFR 126.5 can be used). This functionality should include a list of prompts to help a user verify whether or not a particular license exemption (or license exception if controlled by the Commerce Department's Export Administration Regulations (EAR) applies to their export. 7. ACE should provide the functionality to access the State Department's tracking system for tracking of temporary ITAR licenses. 	12/18/2006	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT IS ACCEPTED PENDING DDTC DETERMINATION ON A RESPONSE MESSAGE.</p> <p>October 2014: Pending future development and coordination with DDTC/State.</p> <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-943) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p>
			<i>Owner:</i>	William Delansky		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
EXP-006	944	<p>"Export Control & Licensing via ACE - Department of the Treasury, Office of Foreign Assets Control (OFAC)"</p> <ol style="list-style-type: none"> 1. The ACE portal should provide the exporter (USPPI) or its authorized filer access to information on export licenses and related export control information on a shipment that falls under the authority of the Office of Foreign Assets Control (OFAC), Department of the Treasury, pertaining to the export of merchandise covered under OFACs sanctioned countries and parties lists. 2. ACE should provide a mechanism whereby the exporter or its authorized filer could communicate with the OFAC for information regarding a transaction or shipment concerning export control or licensing requirements or current restrictions on exports to a certain country or entity. 3. ACE should provide a mechanism whereby the OFAC could communicate with a particular exporter or its authorized filer for information regarding an export transaction or shipment. 4. ACE should provide for all authorized parties (OFAC, Exporters, and their authorized filers) the ability to query license balance (value and/or quantity) and expiration date information. 5. ACE needs to be able to address special license requirements issued by OFAC on a case by case basis. 	12/18/2006	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT IS ACCEPTED PENDING COORDINATION WITH OFAC/TREASURY</p> <p>October 2014: Pending future development and coordination with OFAC/Treasury</p> <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-944) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p>

Owner: William Delansky

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
EXP-007	945	<p>"Export Control & Licensing via ACE - Department of Justice, Drug Enforcement Administration (DEA)"</p> <p>1. The ACE portal should provide the exporter (USPPI) or its authorized filer access to information on export licenses and related export control information on a shipment that falls under the authority of the Drug Enforcement Administration (DEA), Department of the Treasury, pertaining to the export of merchandise covered under DEA permit regulations and statute.</p> <p>2. ACE should provide a mechanism whereby the exporter or its authorized filer could communicate with the DEA for information regarding a transaction or shipment concerning export control or permit requirements or current restrictions on exports to a certain country or entity.</p> <p>3. ACE should provide a mechanism whereby the DEA could communicate with a particular exporter or its authorized filer for information regarding an export transaction or shipment.</p> <p>4. ACE should provide for all authorized parties (DEA, Exporters, and their authorized filers) the ability to query DEA permit information.</p>	12/18/2006	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF IS ACCEPTED FOR POST CORE DEVELOPMENT PENDING COORDINATION WITH DOJ/DEA.</p> <p>October 2014: Pending future development and coordination with DEA/Dept of Justice</p> <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-945) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p>
<i>Owner:</i> William Delansky						
EXP-008	946	<p>Export Control & Licensing via ACE, Nuclear Regulatory Commission"</p> <p>1. The ACE portal should provide the exporter (USPPI) or its authorized filer access to information on export licenses and related export control information on a shipment that falls under the authority of the Nuclear Regulatory Commission (NRC), pertaining to the export of merchandise covered under NRC regulations and statutes.</p> <p>2. ACE should provide a mechanism whereby the exporter or its authorized filer could communicate with the NRC for information regarding a transaction or shipment concerning export control or licensing requirements or current restrictions on exports to a certain country or entity.</p> <p>3. ACE should provide a mechanism whereby the NRC could communicate with a particular exporter or its authorized filer for information regarding an export transaction or shipment.</p> <p>4. ACE should provide for all authorized parties (NRC, Exporters, and their authorized filers) the ability to query license balance (value and/or quantity) and expiration date information.</p> <p>5. Need to add more detail on specific NRC licensing requirements (General Licenses and Specific Licenses)</p>	12/18/2006	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF IS ACCEPTED FOR POST CORE DEVELOPMENT.</p> <p>October 2014: Pending future development and coordination with NRC</p> <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-946) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p>
<i>Owner:</i> William Delansky						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
EXP-012	948	<p>"PROCESSING EXPORT CARNETS" the trade requires US Customs and Border Protection (CBP) to accept the electronic Shipper's Export Declaration (SED/ EEI) that is filed through ACE as one of the methods for proof of export for a closure of CARNET's. The ITN Number (ACE confirmation number issued to filer of SED) will be transmitted on the CARNET. The ITN number is a unique identifier on the transaction that indicates the exact export commodity data filed on behalf of a USPPI (US Principle Party of Interest) . The commodity data and export record provide substantial and necessary proof of export and meet the requirements for proof of export under Part 191.72. Proof of exportation requires proof of the date fact of exportation and identify of the exporter. The date of export will be on this record as provided by the carrier and the fact of exportation will be established by the information showing destination, vessel and specific description of the exported articles by Schedule B or HS number, quantity and value. The information contained in the SED should satisfy all of the requirements for proof of export. Information is filed by HTSUS or Schedule-B number (commodity details) and the transportation details are confirmed by all carriers (VOCC and NVOCC) filing their cargo manifest in advance of cargo loading for ocean carrier, prior to flight for air carriers and prior to crossing for all truck and rail carriers.. The cargo manifest details will be matched in ACE exactly to the commodity details by way of the ITN number. As mentioned above, we expect in 2005 the mandatory electronic filing of all SED information. We also expect the term "SED" to be changed to "EEI" (Electronic Export Information). This change will not change data elements required today in the export process.</p>	12/18/2006	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF IS PENDING CBP LEGAL AND POLICY REVIEW.</p> <p>October 2014: Pending future development and internal policy discussions with CBP</p> <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-948) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p>
		<i>Owner:</i> William Delansky				

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
EXP-013	949	<p>"TEMPORARY IMPORTATION UNDER BOND (TIB) PROCESS"</p> <p>Trade requests that US Customs and Border Protection (CBP) accept the Electronic Export Information (EEI) that is filed through ACE as one of the methods for proof of export for a closure of TIB's. The ITN Number (ACE confirmation number issued to filer of EEI) will be transmitted on the TIB. The ITN number is a unique identifier on the transaction that indicates the exact export commodity data filed on behalf of a USPPI (US Principle Party of Interest). The commodity data and export record provide substantial and necessary proof of export and meet the requirements for proof of export under CFR Part 191.72.</p> <p>Proof of exportation requires proof of the date as fact of exportation and identity of the exporter. The date of export will be on this record as provided by the carrier and the fact of exportation will be established by the information showing destination, conveyance and specific description of the exported articles by Schedule B or HTS number, quantity and value.</p> <p>The information contained on the EEI should satisfy all of the requirements for proof of export. Information is filed by HTS or Schedule-B number (commodity details) and the transportation details are confirmed by all carriers filing their cargo manifest in advance of cargo loading for ocean carrier, prior to flight for air carriers and prior to crossing for all truck and rail carriers.. The cargo manifest details will be matched in ACE exactly to the commodity details by way of the ITN number.</p>	12/18/2006	Pending CBP L/P Input	<p>POST CORE DEC 3 2015: THIS REQUIREMENT IS ACCEPTED PENDING CBP LEGAL POLICY REVIEW.</p> <p>October 2014: Pending future development and CBP internal review.</p> <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-949) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p>
		<i>Owner:</i>	William Delansky		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
EXP-014	950	"EXPORTS OUT OF A FOREIGN TRADE ZONE" Foreign Trade Zone Outbound/Export Requirements. This will serve as a placeholder for future development of export user requirements for exports out of a foreign trade zone. Direct & Indirect exports after entry - Export of merchandise directly out of the zone - Export of merchandise manufactured in the zone - NAFTA duty deferral merchandise - FTZ/Exports that require PGA release authority for export out of the zone (DEA, FWS, BIS, State, OFAC) - In-bond process - Close out the FTZ import with the export document (automated closeout within ACE) – requirements need to be focused on ACE! - OIT movement between U.S. & Puerto Rico - Bonded Warehouse movement?	12/18/2006	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT October 2014: Pending future development but requires more trade information. JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-950) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release. This requirement also needs additional development in conjunction with the Foreign Trade Zone subcommittee of the Entry committee.
			<i>Owner:</i>	William Delansky		
MMM-006	997	SEND WARNING TO CARRIER IF ALL PARTIES REPORTED IN FAST ARE NOT C-TPAT: The carrier needs to know if the information supplied by the shipper is acceptable for FAST release.	7/10/2001	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS GIF IS ACCEPTED FOR POST CORE DEVELOPMENT October 2014: Done for FAST/NCAP. Not done for FAST PAPS SEPTEMBER 16, 2011 - This requirement is pending CBP L/P Input. There is a legal issue pertaining to maintaining the confidentiality of C-TPAT participants. JANUARY 7, 2011-This GIF was determined to be cargo release related in the cargo release revalidation effort. SEPTEMBER 1, 2009 - THIS REQUIREMENT (CSPO-GIF-997) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 4/11/07 - Scheduled for implementation FAST shipment processing available for Truck carriers. (Reviewed by CCR team June 2006-regular updates will be provided)
			<i>Owner:</i>	Bill Delansky		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-FR05.03	998	Carrier account shall include all programs in which the carrier participate i.e. FAST & C-TPAT, BRASS	5/23/2002	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT</p> <p>SEPTEMBER 1, 2009 - THIS REQUIREMENT (CSPO-GIF-998) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>Status: 03/26/2008 - ESAR review - Delivered in A1 - CBP must add these programs.</p> <p>4/11/07 In scope for A1 for FAST</p> <p>Comments from review with T.F. on 6/7/04: Prelim CBP approval for C-TPAT Rejected for others.</p>
<i>Owner:</i> Bill Delansky						
MMM-FR05.10	1040	CARRIER ACCESS TO PENALTIES/LIQUIDATED DAMAGES The carrier requires the capability to access all penalties/liquidated damages issued to the specific carrier. The information shall include a description of the penalty/liquidated damage, date issued, status, and disposition if closed including the amount paid if applicable.	5/23/2002	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF IS PENDING CBP LEGAL POLICY REVIEW.</p> <p>October 2014: Not in current scope. Will require CBP internal review.</p> <p>SEPTEMBER 11, 2009 - THIS REQUIREMENT (CSPO-GIF-1040) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>4/11/07 - Owner changed to Valarie Neuhart</p> <p>Comments from review with T.F. on 6/7/04: Prelim CBP Approval.</p> <p>No FP&F data.</p>
<i>Owner:</i> Bill Delansky						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-FR05.11	1003	CARRIER REQUIRES ABILITY TO ELECTRONICALLY SEND PETITIONS	5/23/2002	Pending CBP L/P Input	TBD	<p>October 2014: Not in current scope. Will require CBP internal review.</p> <p>JUNE 9, 2010 - Jim Swanson - Penalty and seizure information is not is scope at this time.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1003) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>8/9/07 Will require a GIF for further CBP review.</p> <p>Comments from review with T.F. on 6/7/04: Prelim CBP Approval.</p>
				<i>Owner:</i> Bill Delansky		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-FR05.12	1004	Carrier to receive electronically all notices of Penalties, Decisions on petitions/mitigations. The carrier requires capability to receive all notices issued relative to penalty, liquidated damages, including decisions on petitions/migrations, electronically . Through the carrier account the carrier may designate a specific point of contact to which these notices shall be addressed.	5/23/2002	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT PENDING LEGAL POLICY REVIEW</p> <p>October 2014: Not in current scope. Will require CBP internal review.</p> <p>JUNE 9, 2010 - Jim Swanson - Penalty and seizure information is not in scope at this time.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1004) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>8/9/07 - Will require a GIF for futher CBP review.</p> <p>Created during review with T.F. on 6/7/04: Prelim CBP Approval</p>
				<i>Owner:</i> Bill Delansky		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-FR05.14	1006	The Carrier requires the capability to order overtime electronically from all Participating Government Agencies (PGAs.) The carriers' request shall include the date, time, and location for the overtime and the government agency requested. The Carrier requires confirmation from the specified government agency, which includes the date, time and location of the overtime to be performed.	5/23/2002	Pending CBP L/P Input	TBD	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT PENDING LEGAL POLICY REVIEW</p> <p>October 2014: Not in current scope. Will require CBP internal review.</p> <p>JUNE 9, 2010 - Jim Swanson - Conveyance management is not in scope at this time.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1006) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>062909: Requirement Pending</p> <p>4/11/07 - Will be referred to MMM committee for further review.</p> <p>Created during review with T.F. on 6/7/04: Further Committee evaluation required.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p>
				<i>Owner:</i>	Bill Delansky	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
MMM-FR05.16	1008	The Carrier shall have access to their compliance rates and any 'report card' type information recorded about the carrier. This may include transmission rejects and the reasons for those rejects. This will allow the carriers to monitor their compliance in this area.	5/23/2002	Pending CBP L/P Input	<p>POST CORE DEC 3 2015: THIS REQUIREMENT IS ACCEPTED FOR POST CORE DEVELOPMENT AND WILL REQUIRE A REPORT FIX.</p> <p>October 2014: Will require CBP internal review.</p> <p>JUNE 9, 2010 - Jim Swanson - Not currently in scope but should be evaluated as part of a future release.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1008) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>4/11/07 -The below functionality is included in the CBP requirements set: - "ACE shall provide the capability for an authorized to produce compliance reports" - "ACE shall provide the capability for an authorized user to produce carrier activity reports."</p> <p>Created during review with T.F. on 6/7/04: Prelim CBP Approval.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p>
				<i>Owner:</i> Bill Delansky	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-FR05.17	1009	The carrier requires that all monies owed for penalty/liiquidated damages cases may be presented on a periodic statement. The carriers could make then make payment for all or part of this statement with one check or utilize an electronic payment capability such as ACH. This requirement will be optional based on the carrier's indication to elect this form of payment.	5/23/2002	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT PENDING LEGAL POLICY REVIEW</p> <p>October 2014: Not in current scope. Will require CBP internal review.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1009) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: This will require a GIF if FP&F will be in A3</p> <p>Created during review with T.F. on 6/7/04:</p>
<i>Owner:</i> Bill Delansky						
MMM-HL-005		CBP regulations currently require a separate identifying control number for each part of a split shipment. The air waybill number is used for the first part of the split and individual CBP 7512 control numbers are assigned to each subsequent part of the split shipment. Retain option of using the V number.	8/31/2001	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT PENDING LEGAL POLICY REVIEW</p> <p>October 2014: Requires additional CBP/Committee review.</p> <p>July 2009 - This requirement needs further clarification from the MMM committee.</p> <p>4/11/07 - This requirement has been included in the CBP requirements set - "ACE shall allow the same In-Bond number to be used on all parts of an air split shipment."</p> <p>Subject to CBP policy determination and possible regulatory changes.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p>
<i>Owner:</i> Bill Delansky						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-011	1017	To allow for remote filing for all entry methods.	8/31/2001	Pending CBP L/P Input	Inc 13	<p>DEC 3 2015: THIS GIF REQUIRES LEGAL POLICY REVIEW</p> <p>October 2014: Pending CBP internal review.</p> <p>JANUARY 11, 2011 - Dependent on whether policy office will determine to continue RLF in the future.</p> <p>SEPTEMBER 16, 2011 - This requirement is pending CBP L/P input for cargo release. RLF will be available for all entry types. There are two policy and legal implications: 1) The inclusion of FAST PAPS is a policy decision and 2) Filing an entry in lieu of an in-bond requires legal review. This requirement will require additional discussion.</p> <p>JANUARY 7, 2011-This GIF was determined to be cargo release related in the cargo release revalidation effort.</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1017) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - MMM committee recommends that this requirement be moved to the Release committee for further action.</p> <p>4/11/07 - Pending CBP Legal Policy Input</p> <p>Subject to CBP policy determination and possible regulatory changes.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p>
				<i>Owner:</i> Monica Crockett		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-012	1018	When CBP is informed by ocean carriers the port of discharge has been changed, CBP system can replace the original port of discharge with the new port of discharge automatically without requiring ocean carrier re-transmit amendment on B/L by B/L basis	8/31/2001	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT</p> <p>JUNE 9, 2010 - Jim Swanson - Not currently in scope for M1 but should be evaluated as part of a future release. The biggest work on this involves release/entry.</p> <p>DETERMINED TO BE NOT IN SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1018) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - MMM committee recommends this requirement be kept open for further risk review (diversion, broker not permitted to file at new POD, but clearances remain in effect, the cargo is released in error.) Open for review by OFO and ORR.</p> <p>4/11/07 - Diversion requirements included in M2.2 Cargo Release . To be finalized.</p> <p>Subject to CBP policy determination.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Bill Delansky</p>
MMM-HL-015	TBD	<p>UNIQUE BILL OF LADING NUMBER</p> <p>The unique bill of lading number used by airlines shall be composed of the following elements: carrier's code, origin airport code and air waybill number.</p> <p>A. The bill shall also be identified in the system by the destination code, flight number and flight date.</p>	8/31/2001	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF REQUIRES LEGAL POLICY REVIEW</p> <p>October 2014: Require CBP/Committee review.</p> <p>July 2009 - This requirement is currently being reviewed by the MMM committee.</p> <p>Subject to CBP policy determination.</p> <p>Comment from review with T.F. on 6/7/04:</p> <p>Airlines asked CBP and were given a period of 1 year for Airway bill to be unique.</p> <p><i>Owner:</i> Bill Delansky</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-019	TBD	Allow for release/hold information to be sent while in-bond traffic is still moving in the US to the final destination.	8/31/2001	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT PENDING LEGAL POLICY REVIEW</p> <p>October 2014: Requires CBP/OFO internal review.</p> <p>July 2009 - Open for in-bond committee review</p> <p>Subject to CBP policy determination and possible regulatory changes.</p> <p>CBP rejected this requirement and will send advisory messages instead of all messages</p> <p><i>Owner:</i> Bill Delansky</p>
MMM-HL-023	1023	Expand the export progressive clearance process to allow cargo that has been cleared for export at an inland gateway to transload to another international export conveyance of the same carrier at another US gateway.	8/31/2001	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT PENDING LEGAL POLICY REVIEW</p> <p>October 2014: Requires CBP/OFO internal review.</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1023) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - Leave open - this is an air manifest requirement.</p> <p>4/11/07 - Subject to CBP and PGA policy determinations and possible regulatory changes.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Bill Delansky</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-025	1024	Broker (filer code) download should be made available for all transportation modes from CBP (Rail is currently using).	8/24/2001	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT PENDING LEGAL POLICY REVIEW</p> <p>October 2014: In scope for TASPO development.</p> <p>FEBRUARY 8, 2011 - DETERMINED TO BE IN SCOPE FOR M1: SEA & RAIL MANIFEST AND WILL BE AVAILABLE FOR ALL CARGO RELEASE APPLICATIONS</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1024) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - Leave open to verify post M1 functionality.</p> <p>4/11/07 -Parts of this requirement is included in the CBP requirements set: - "ACE shall provide BOL dat in the ABI format for broker download." - (Syster Interfaces) "ACE shall transmit BOL data to ACE for purposes of broker download utilizing the ABI BD transaction."</p> <p>CR has been filed and working towards R4 Truck Manifest implementation. Subject to CBP policy determination.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Bill Delansky</p>
MMM-HL-038	952	ENTRY ON FILE MESSAGE SENT TO CARRIER PRIOR TO ACE MANIFEST FILING. CBP will send the entry on file message to the carrier before the ACE manifest is filed. This will give the carrier prior notice of the entry on file allowing the carriers to plan their loads, reduce costs due to removing the manual tracing on the broker website, remove excess calls to brokers, remove excess faxing costs, allow carriers to further automate the entire process and reduce costs. If this GIF is accepted then CR-2136 would be cancelled. CR-2136 requests that the entry on file message be sent to the carrier as soon as a preliminary bill is placed on file rather than after the carrier has submitted their 358 and 353 (truck) to status the manifest as complete	8/3/2009	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF IS ACCEPTED FOR POST CORE DEVELOPMENT</p> <p>October 2014: Pending R4 Re-engineering.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>AUGUST 3, 2009: THIS REQUIREMENT (CSPO-GIF-952) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p><i>Owner:</i> Bill Delansky</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-043.	1043	<p>INCLUDE THE CBP ORIGIN AND DESTINATION CITY PAIRS IN THE TRN REQUEST LINE:</p> <p>1. Include the CBP origin and destination city pairs in the TRN request line</p> <p>This would apply to any TRN line in any type of message</p> <p>NOTE: In-bond number could be 9-digit CBP issued CCN or a 50 character MAWB, MAWB + HAWB, or MAWB + HAWB + Sub-HAWB combination</p> <p>Current FRC Transfer message: FRC MIAAAL 001-76547332-M ARR/AAL0918/14FEB TRN/ORD-D//inbond # CSD/CN/1700-USD RFA/19</p> <p>New version of TRN line showing city pair in TRN line FRC MIAAAL 001-76547332-M ARR/AAL0918/14FEB TRN/MIAORD-D//inbond # CSD/CN/1700-USD RFA/19</p>	9/14/2009	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF IS ACCEPTED FOR POST CORE DEVELOPMENT PENDING LEGAL POLICY REVIEW. ALSO NEEDS MORE DETAILED DESCRIPTION FROM THE TRADE</p> <p>SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1043) IS PENDING CBP CHANGE CONTROL BOARD (CCB)</p>

Owner: Bill Delansky

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-043.	1044	<p>MODIFY DATA RETURNED IN THE CSN LINE: 2. Modify data returned in the CSN line Include seconds in the time stamp and data relative to the Transfer request (city pairs, inbond type indicator, forms code and complete inbond number) in the returned CSN line of the FSN</p> <p>Current AMS response: FSN MIAAAL 001-76547332-M ARR/AAL0918/14FEB CSN/1D-15/14FEB1224</p> <p>New version of CSN line showing seconds and relative TRN information FSN MIAAAL 001-76547332-M ARR/AAL0918/14FEB CSN/1D-15/14FEB122432/MIAORD-D//inbond #</p> <p>Or</p> <p>FRC MIAAAL 001-30665106-M ARR/AAL0109/11SEP TRN/MIAMIA-D//N783 RFA/03</p> <p>New version of CSN line showing seconds and relative TRN information FSN MIAAAL 001-30665106-M ARR/AAL0109/11SEP CSN/1F-15/12SEP122432/MIAMIA-D//N783</p>	9/15/2009	Pending CBP L/P Input	POST CORE	<p>DEC 3 2105: THIS GIF IS ACCEPTED FOR POST CORE DEVELOPMENT PENDING FURTHER CBP/OFO AND LEGAL POLICY REVIEW.</p> <p>October 2014: Requires additional CBP/Committee review. CBP needs more information</p> <p>SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1044) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>
			<i>Owner:</i>	Bill Delansky		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-043.	1045	<p>NEW CONDITIONAL LINE IDENTIFIER FOR EXPANDED COMMENTS:</p> <p>3. Implement new conditional line identifier for expanded comments</p> <p>In FSN message, implement a new line identifier "CMT" for comments from CBP.</p> <p>This line will be mandatory if any hold status code is present in CSN line. When mandatory, the first 4 characters will default to the port code number that originated the hold status. If the hold was generated by the system, the port code will default to 9999.</p> <p>The port code can be followed by text manually entered by a CBP officer.</p> <p>It is suggested that this will assist carriers to research and resolve the reason for the hold status more quickly and reduce the number of calls to CBP personnel seeking information.</p> <p>New version of CSN line showing CMT line information with default port code</p> <p>FSN MIAAAL 001-76547332-M ARR/AAL0918/14FEB CSN/1H-15/14FEB122432/MIAORD-D//inbond # CMT/5206</p> <p>New version of CSN line showing CMT line information with comments</p> <p>FSN MIAAAL 001-76547332-M ARR/AAL0918/14FEB CSN/1H-15/14FEB122432/MIAORD-D//inbond #</p>	9/14/2009	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF IS ACCEPTED FOR POST CORE DEVELOPMENT PENDING ADDITIONAL DETAIL FROM THE TRADE MMM COMMITTEE</p> <p>October 2014: Being developed as part of AIR import manifest processing.</p> <p>SEPTEMBER 14, 2009- THIS REQUIREMENT (CSPO-GIF-1045) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>
			<i>Owner:</i>	Bill Delansky		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-043.	1049	<p>USE OF THE AWB AS THE IN BOND CONTROL NUMBER ON ALL PARTS OF SPLIT SHIPMENT ARRIVALS:</p> <p>7. Use of the AWB as the inbond control number on all parts of split arrivals</p> <p>With the introduction of the new unique flight identifier capability, and the introduction of the inbond origin and destination city pairs in the TRN line, it is suggested that the AWB number can be used as the inbond control number on all parts of a split arrival shipment.</p> <p>FRC MIAAAL 001-82289546-M ARR/AAL091802142006/14FEB/B125/1900K TRN/MIAORD-D CSD/CN/2700-USD</p> <p>RFA/03 FRC EWRAAL 001-82289546-M ARR/AAL093202162006/16FEB/B75/900K TRN/EWRORD-D CSD/CN/2700-USD RFA/03</p>	9/14/2009	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF IS ACCEPTED PENDING CBP/OFO AND LEGAL POLICY REVIEW</p> <p>October 2014: Being developed as part of AIR import manifest processing</p> <p>SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1049) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>
			<i>Owner:</i>	Bill Delansky		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-044	1051	<p>INTER-MODAL SHIPMENTS - a method that would "cross reference" specific data that would allow each Mode to use their standard revenue tracking numbers for subsequent movements while maintaining a relationship or link to the original shipment control number that was reported to Customs and Border Protection when the shipment was first reported entering the US.</p> <p>Due to variations in carrier liability and conditions of carriage, Bills of Lading are not interchangeable between various modes of transportation. When the mode of transportation (MOT) changes during the movement of an international shipment, a new Bill of Lading is issued on behalf of the mode performing the next leg of transport.</p> <p>Additionally in the case of a consolidation, the contract of carriage is at the Master Bill of Lading level between a specific origin and destination, yet the lower level House Bills could require further transportation beyond the Master level termination point in order to reach their final their destination.</p> <p>The following scenarios suggests a method that would "cross reference" specific data that would allow each Mode to use their standard revenue tracking numbers for subsequent movements while maintaining a relationship or link to the original shipment control number that was reported to Customs and Border Protection when the shipment was first reported entering the US.</p> <p>In these scenarios the standard revenue tracking document for a mode is referred to as a Supplemental Bill of Lading (SBL) and would be issued based on the following: SBL field is conditional but</p> <ul style="list-style-type: none"> • Mandatory on MAWB when new MOT Bill of Lading is required for onward movement • Mandatory when TRN is initiated at HAWB level <p>(See Requirement for Detail)</p>	9/14/2009	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF IS ACCEPTED PENDING CBP/OFO AND LEGAL POLICY REVIEW</p> <p>October 2014: Being developed as part of AIR import manifest processing</p> <p>SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1051) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>
			<i>Owner:</i>	Bill Delansky		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-047	1054	<p>The MAWB or the MAWB-HAWB combination should be allowable as the inbond control number on all parts of a split MAWB or split HAWB: Split Shipments</p> <ul style="list-style-type: none"> • It is the carriers understanding that there will no longer be a part arrival indicator. The unique flight number and the boarded quantity in the ARR line will distinguish various parts on a split shipment. • Given the ability to distinguish parts of a split shipment by the unique flight number, and the specific origin/destination of the inbond leg, the MAWB or the MAWB-HAWB combination should be allowable as the inbond control number on all parts of a split MAWB or split HAWB. • In order to allow the broker to file a single entry which will decrement from the total shipment count, it is assumed that ABI will allow listing of multiple flight numbers is the shipment is split. 	9/14/2009	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: SAME AS GIF 1049 - ACCEPTED PENDING CBP/OFO AND LEGAL POLICY REVIEW.</p> <p>October 2014: Being developed as part of AIR import manifest processing</p> <p>NOVEMBER 23, 2011 - This requirement is pending further review for cargo release.</p> <p>JANUARY 7, 2011-This GIF was determined to be cargo release related in the cargo release revalidation effort.</p> <p>SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1054) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>
			<i>Owner:</i>	Bill Delansky		
MMM-HL-049	1055	<p>Extend GO clock to 30 days: G.O. Process--Carriers would like to see the GO clock extended to 30 days.</p>	9/14/2009	Pending CBP L/P Input	POST CORE	<p>SEPTEMBER 16, 2011 - Per Legal Policy review this requirement was determined to be out of scope for cargo release.</p> <p>JANUARY 7, 2011-This GIF was determined to be cargo release related in the cargo release revalidation effort.</p> <p>SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1055) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>
			<i>Owner:</i>	Bill Delansky		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-050	497	<p>DEFAULT TRIP EQUIPMENT TO SHIPMENT - TRUCK</p> <p>The process of associating a shipment to a piece of equipment is very laborious. When creating a shipment record it is required that you identify what equipment the shipment is located in. Most of the time a carrier has identified the equipment in the trip section of the manifest prior to entering shipment records. However, the carrier currently is required to drop down a list from which he is to choose Account, Create One Time, Conveyance or Trip. Once selected he must continue to identify the equipment then wait for more details to be displayed on a separate screen from which he must finally click continue. If the equipment changes then the user must change every shipment record, this causes a great deal of re-work and increases chance for error. Equipment can change for many reasons, i.e. out of service, equipment failure, user error. When the user arrives at the shipment screen we should have the equipment that was reported in the trip already associated with the shipment. The user should be able to immediately proceed to the commodity screens. The option to report that the commodities are located in the conveyance should remain for the user to override if necessary. If there is more than one piece of equipment reported in the trip then the user should be required to select which equipment the commodity is loaded in. If a user chooses to change the equipment in the trip screen ask the user if they wish to change the equipment in all attached shipments.</p>	1/17/2007	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF IS ACCEPTEP FOR POST CORE DEVELOPMENT FOR TRUCK. WILL REQUIRE A PORTAL SCREEN FOR TRUCK. COULD BE FIXED IN REFACTORING OF ACE.</p> <p>October 2014: Being developed as part of Truck import manifest processing</p> <p>MAY 30, 2007- THIS REQUIREMENT WAS ACCEPTED BY THE CBP CHANGE CONTROL BOARD AND WILL BE IMPLEMENTED IN M2.2</p>
<i>Owner:</i> Bill Delansky						
MMM-HL-051	498	<p>DISPLAY COUNTRY CODES, CONVEYANCES, US PORT CODES, AND SCHEDULE K IN USABLE ORDER:</p> <p>A. It takes 14 key strokes to enter Mexico and 5 to enter Canada. Place US, CA & MX as top three selections. B. The display of conveyances is in no obvious order. C. The list of US Port codes is not in a convenient order. (PTR 11949)D. When user looks up schedule K for Canada we display all Canadian Province codes. When user looks up schedule K for Mexico we display all seaports. E. If a user looks up a schedule K they also have to answer the qualifier that says that this is a schedule K number. This is redundant work for the user</p>	1/17/2007	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT IS ACCEPTED FOR POST CORE DEVELOPMENT</p> <p>October 2014: Being developed as part of Truck import manifest processing</p> <p>MAY 25, 2007 - THIS REQUIREMENT WAS APPROVED WITH LOW PRIORITY BY THE CBP CHANGE CONTROL BOARD (CCB) ALSO REFERENCE PTR-11949 ON LIST OF US PORT CODES.</p>
<i>Owner:</i> Bill Delansky						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-052	499	<p>SET ON SCREEN FIELD LENGTH VALIDATIONS (PORTAL) - TRUCK</p> <p>There are many data entry fields that allow the user to enter more characters than the element requires or should allow. (CR 1447)</p> <p>G. On screen field length validation (US port code (4), BCN (12), Shipment Identifier (??) Broker down load filer code (3), office code (2), est date of arrival, estimated time of arrival, seal numbers, all data in driver record, Equipment number, place of receipt, firms code (5), shipper and consignee fields.</p>	1/17/2007	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF IS ACCEPTED FOR POST CORE DEVELOPMENT. REQUIRES A TRUCK SCREEN</p> <p>October 2014: Being developed as part of Truck import manifest processing</p> <p>APRIL 4, 2007 - THIS REQUIREMENT WAS DEFERRED BY THE CBP CHANGE CONTROL BOARD (CCB) PENDING ADDITIONAL INFORMATION. ALSO REFERENCES PTR-11949 AND CR-1447</p>
<i>Owner:</i> Bill Delansky						
MMM-HL-053	500	<p>ELIMINATE REDUNDANT KEYSTROKES: Improve usability. Eliminate redundant and unnecessary keystrokes. PTR - 11506</p>	1/17/2007	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT IS ACCEPTED FOR POST CORE DEVELOPMENT.</p> <p>October 2014: Being developed as part of Truck import manifest processing</p> <p>NOVEMBER 15, 2007- THIS REQUIREMENT IS LISTED AS CLOSED IN CCB AND WAS DEFERRED PENDING ADDITIONAL INFORMATION. CR-11506 and PTR-11506 apply to this GIF</p>
<i>Owner:</i> Bill Delansky						
MMM-HL-055	502	<p>ENLARGE THE COMMODITY DESCRIPTION FIELD</p> <p>A carrier cannot give the complete description of some commodities in the limited space given today. If a carrier is reporting hazardous materials he does not have enough space to give a proper description. Enlarge the size of the commodity description field</p>	1/17/2007	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT CAN BE DEVELOPED POST CORE.IGS WOULD NEED TO CHANGE</p> <p>October 2014: Being reviewed for possible developed as part of Truck import manifest processing</p> <p>APRIL 4, 2007 - THIS REQUIREMENT IS LISTED AS DEFERRED BY THE CBP CHANGE CONTROL BOARD (CCB) PENDING REQUEST FOR ADDITIONAL INFORMATION</p>
<i>Owner:</i> Bill Delansky						
MMM-HL-057	505	<p>TAO TO GRANT ACCESS TO TRANSACTIONS TAB FOR ANY PAO OR USER:</p> <p>Valuable information is stored in the Transactions tab in the Carrier view. Details on entries being filed@, FDA Prior Notice having been filed, status of crew, equip., conveyance and shipments. Currently only the Trade Account Owner can access this Tab. Enable the TAO and PAO to grant access to the Transactions Tab.</p>	1/17/2007	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS GIF WILL BE CONSIDERED FOR POST RELEASE DEVELOPMENT</p> <p>October 2014: Requires further CBP internal review.</p> <p>APRIL 4, 2007 - THIS REQUIREMENT WAS DEFERRED BY THE CBP CHANGE CONTROL BOARD (CCB) PENDING REQUEST FOR ADDITIONAL INFORMATION. This requirement may be tied to PTR-15164</p>
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-058	506	ENABLE TAO TO SEE IN BOND ARRIVAL TAB: Trade account owner cannot currently see the in bond arrival tab. Enable the TAO to view and use the in bond arrival tab.	1/17/2007	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS GIF WILL BE CONSIDERED FOR POST CORE DEVELOPMENT October 2014: Requires further CBP internal review APRIL 4, 2007 - THIS REQUIREMENT IS IN DEFERRED STATUS BY THE CBP CHANGE CONTROL BOARD (CCB) PENDING REQUEST FOR ADDITIONAL INFORMATION.
<i>Owner:</i> Monica Crockett						
MMM-HL-060	508	ENTERING THE ADDRESS TO WHERE THE DRIVER WILL BE IN THE U.S. IS TIME CONSUMING AND LABORIOUS. A. The user is currently required to select USA in a field that is mandatory USA. Hardcode USA do not allow user to change.B. The address where the driver will be in the USA is often times the consignees address. Currently a carrier is required to manually type this complete address every time they use a non-FAST driver. Give the user the ability to access the consignee file on the screen where they are required to enter the address where the driver will be in the US.	1/17/2007	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT PENDING LEGAL POLICY REVIEW October 2014: Requires further CBP internal review MAY 25, 2007 - THIS REQUIREMENT WAS APPROVED WITH LOW PRIORITY BY THE CBP CHANGE CONTROL BOARD.
<i>Owner:</i> Monica Crockett						
MMM-HL-065	513	AMEND EQUIPMENT ERROR MESSAGE: A frequent error message received is License Plate Information Missing for Equip This error happens when an e-Manifest has been sent to CBP and the equipment needs to be amended. The carrier does everything correctly to replace the equipment but then receives this error. At this point the carrier has no choice but to cancel the manifest. They can keep the shipment by selecting "Cancel Manifest but Keep Shipment". The carrier then creates a new manifest and attaches the unassociated shipment to it, which now reflects the new equipment. This workaround is time consuming and cumbersome. Enable carriers to amend equipment details after filing a manifest.	1/17/2007	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT. October 2014: Requires further CBP internal review MARCH 26, 2007 - THIS REQUIREMENT WAS APPROVED BY THE CBP CHANGE CONTROL BOARD (CCB).
<i>Owner:</i> Bill Delansky						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-066	514	SHIPMENT PARTY ERROR MESSAGE A frequent error message received is: SHP_PTY: An exception with the type CX_SY_O PEN_SQL_DB occurred, but was neither handled locally, nor declared in a RAISING cause. There are also several other variations of this error message. They all mean the same thing to the carrier though and that is that they can not continue with the e-Manifest that they are working on. They must delete the whole thing and start over again. This error is occurring more and more and really needs to be addressed ASAP. Carriers are having to delete many large manifests and are becoming very, very frustrated. A frequent error that has occurred in many forms but has not been solved since at least June 2	1/17/2007	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT October 2014: Requires further CBP internal review APRIL 2, 2007 - THIS REQUIREMENT IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.
			<i>Owner:</i>	Bill Delansky		
MMM-HL-067	515	MEXICAN STATE CODES: TT# 326008 -This isn't a portal user issue but it is an officer trying to process an e-Manifest issue. The portal is transmitting 3 character state codes while the officer can only receive and process a 2 character code. If OFO is instructing the trade to use 3 character code to identify the state in which the license plate was issued and requiring this code to be placed on the paper manifest then the officer should be able to either type the 3 letter code and let the system translate to a two letter code or OFO should change the policy for both the trade and they system to require just a two letter code. Enable ACE and ACS/TECS to recognize either the two or three letter code so that it is transparent to CBP officer and the carrier.	1/17/2007	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT October 2014: Requires further CBP internal review APRIL 2, 2007 - THIS REQUIREMENT IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.
			<i>Owner:</i>	Bill Delansky		
MMM-HL-068	516	INVALID ADDITIONAL PARTY: TT# 215076 - Error message of: Invalid Party Type Qualifier ZAC000 combination. This happens when the carrier uses the "Additional Party" feature in the portal, wishing to indicate an "Ultimate consignee", multiple consignees, "delivery warehouse", etc..... If for any reason the carrier needs to "Save and Continue" or "Save and Finish Later" or go back in edit the manifest at a later time, they will receive this error and they will have no choice but to cancel the shipment and start over again.	1/17/2009	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT October 2014: Requires further CBP internal review APRIL 2, 2007 - THIS REQUIREMENT IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.
			<i>Owner:</i>	Bill Delansky		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-069	517	ADD LOOK UP PORT CODE NEXT TO IN BOND DESCRIPTION: Lookup US Port Code function is not located next to the "In-bond Destination field in the "pre-filed inbond@" shipment. This does exist in the trip section of a manifest. This is the cause for many calls from carriers trying to prepare an inbond shipment for the first time. They simply don't know what they're supposed to type this field because our "lookup@" is missing. Add US Port Code Look Up capability to this section. TT# 5803	1/17/2007	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED AS PART OF POST CORE DEVELOPMENT. October 2014: Being developed as part of Truck import manifest processing MAY 30, 2007 - THIS REQUIREMENT WAS APPROVED BY THE CBP CHANGE CONTROL BOARD (CCB)
			<i>Owner:</i>	Bill Delansky		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
MMM-HL-071	1101	<p>ALLOW NVOCC HOUSE BILL INFORMATION TO BE INPUTTED AND PROCESSED THROUGH THE SECURE ACE WEB PORTAL.</p> <p>The Current Non-Automated NVOCC issue/process: There are inefficiencies and added steps in the current process that perpetuate an already complex process. An example of this being the non-automated NVOCC and the process they and other trade partners must go through to provide the required house bill information into AMS. If the master loader for a consolidated container is an automated NVOCC, the Non-Automated NVOCC supplies the house bill information to the automated NVOCC who then submits the house bill information into AMS directly. However the automated NVOCC does so with a self generated (surrogate) house bill number NOT the true contract of carriage house bill number provided by the Non-Automated NVOCC. This can cause unnecessary confusion on the part of the customs broker as they have typically been supplied the house bill number from the Non-Automated NVOCC and are likely to file an ISF and entry that will result in a mismatch. This same scenario happens when the Master Loader is a Non-Automated NVO with the difference being the VOC then provides the submission of the house bill information into AMS directly and with the VOC also submitting a self generated (Surrogate) house bill number with no relationship to the Non-Automated NVOCC house bill number. In addition to the challenges this creates with mismatches to the brokers, it is also a major security issue. CBP doesn't have visibility to the true commercial party responsible for initiating the original shipment of cargo. In the scenarios presented CBP has the SCAC and bond of the filer submitting directly to AMS but not the original NVOCC who arranged the shipment.</p> <p>This current process delays broker transmission of entry release to CBP as it takes longer to discover the AMS HBL number. Many times it is not found out until after arrival. Container Freight Stations receive a manifest for the consolidated container reflecting the true contract of carriage HBL numbers for devanning and transportation release. The AMS HBL differs from the manifest causing delays in cargo release.</p> <p>Today's Non-Automated NVOCC only has a single automation solution available which is EDI based. Unless you have thousands of transactions occurring on a regular basis, an EDI solution direct from their operating system cannot be cost justified.</p>	11/4/2009	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT PENDING CBP/OFO AND LEGAL POLICY REVIEW</p> <p>October 2014: Requires further CBP/Committee review</p> <p>FEBRUARY 8, 2011-This GIF was determined to be NOT CARGO RELEASE RELATED. This refers to house bill input via the ACE portal. It is not directly related to cargo release but is intended to facilitate release of the cargo. Leave as cargo release related but it also ties to house bill release and manifest.</p> <p>JUNE 9, 2010 - Jim Swanson - This has not been developed for rail and sea due to limited interest and usage by that community.</p> <p>NOVEMBER 4, 2009 - THIS REQUIREMENT (CSPO-GIF-1101) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>

The use of Service Providers for very small companies is an additional transactional expense which many cannot afford. This is a major issue for the Non-Automated NVOCC businesses who play an important role in the process of international trade. Furthermore it is not reasonable to expect the automated filers to take on the liability against their bond for the Non-Automated NVOCC. Most importantly is the security risk the current process maintains.

Suggested Solution: Enhance the Secure ACE Web Portal so that the Non-Automated NVOCC has the ability to file their shipment information into ACE directly using the contract of carriage house bill number.

In the case of the NVOCC submitting the initial house bill information through the ACE web Portal they would:

1. Log onto the Secure ACE Web Portal and proceed via link to the "house bill Shipment Processing" screen where they will choose from a button to "Create" or a button to "Query"
2. If the NVO was creating a new shipment they would choose "Create" and if they were wanting to run a query to see what shipments are active that they have created they would choose "Query"
3. In the "Create" screen there would be the appropriate fields to be completed and then submitted much in the same way this information is submitted to AMS by the automated NVOCC and VOC today.
4. In the "Query" screen a group of parameter fields would be available and then a submit button to list all of the active/open shipments per the parameters provided.

Of course this is a high level outline however a very reasonable and low cost solution in which the benefits to CBP and the Trade are far greater.

Owner: Bill Delansky

MMM-HL-073	1160	ALLOW NVOCC HOUSE BILL INFORMATION FOR1/3/2011 IB CARGO RELEASE: Implement functionality in ACE to release cargo at the lowest shipment level. Visibility to these distinct Bill of Lading levels will enable the effective transportation and release of cargo electronically via ACE for those parties operating at the HBL level, while also maintaining the VOC and Terminal processes as they are today.	Pending CBP L/P Input	POST	CORE	NOVEMBER 18 2015 - Pending Legal Policy Review SEPTEMBER 16, 2011 - Per Legal Policy review this requirement was determined to be out of scope for cargo release. January 3, 2011 - Entered in the CBP Change Control Board Dimensions database
		<i>Owner:</i> Bill Delansky				

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-001	995	<p>ACTIVITY SUMMARY STATEMENTS - Provide a financial component to ACE for the management of Customs Trade Accounts that supports account based, periodic payment for accounts who elect to use this payment vehicle.</p> <p>A. Statements on accounts should reflect all payments and refunds including Importer Activity Summary Statements, other entries and payments and refunds discussed in Rev 001.1.</p> <p>B. Statement adjustments should be allowed prior to final statement without sanction by CBP if error is clerical and manifest from the paperwork.</p> <p>C. In addition, changes that do not alter the underlying summary data but perfect the duty calculations should also be allowed without sanction prior to the final settlement (i.e. SPI for NAFTA added based on CO, etc.). Additionally, the statement should reflect any adjustments by CBP Refer to proposal specifications for additional details.</p>	9/4/2001	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending determination for development in future increments.</p> <p>AUGUST 28, 2009 - THIS REQUIREMENT (CSPO-GIF-995) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Status: Sept 2008 - On hold in Revenue Committee until we get closer to the A3 Release date. Will work with Entry Committee to incorporate all IASS requirements.</p> <p>Status: 03/26/2008 - ESAR Review - Most of IASS functionality will be delivered in A3</p> <p>03/10/2008 - In discussion with BEMS for more details. Committee will revise</p> <p>01/28/08 - REV-GIF-001 drafted. There is some overlap with ENT-018. All IASS related ENT GIFs will be discussed at a later date with the Entry committee. The REV GIF is ready to submit to CBP for CBP GIF Board review.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: A. CBP will give a monthly report but not a statement. If anything is required in IASS a separate GIF will be required. B. This will be done in Post Summary Corrections - no GIF required. C. Same as B - no GIF required.</p> <p>Explanation of staged functionality for periodic payment: Release 3 – Generate statements and accept periodic payments via ACH credit and ACH debit, based on ACS activity. Release 5 - Periodic statements with ACE as system of record Release 7 - Full payment processing, Refund processing (including drawback and protest), IASS</p> <p>Explanation of multiple statuses (6/18/04): 1. Accepted in part: This recommendation is partially met with Release 3 2. Pending further committee review: While CBP is moving toward full periodic payment, in order for the trade's input to be fully considered in Business Blueprint, it is recommended that the Revenue Committee update this recommendation to (a) eliminate references to tracks 2, 3 and 4, (b) eliminate references to revenue neutrality, © decouple from IASS, (d) expand to encompass all payments, refunds rather than limiting to duties/taxes/fees, (e) include all account types</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
					<p>(carrier and brokers) and (f) eliminate references to specific data elements.</p> <p>No updates required for REV 001.1.</p> <p>9/24/04: Based on recommendations from CBP (See 6/18/04 above), this requirement recommendation was modified and resubmitted to CBP on 9/24/04. Updated status explanation: 1. Accepted in part: This recommendation is partially met with Release 3 2. Preliminary CBP approval: This recommendation will be considered in Business Blueprint for Releases 5 and 7 as appropriate.</p> <p>10/14/05: Updated to reflect ESAR, A2. Outstanding issues (9/27 TSN Revenue meeting): 1. Netting 2. Process for and information received on operational statement 3. National statements with non-portal accounts (reference REV-020)</p> <p>Periodic statement is mapped to A2, with protest, drawback and IASS coverage mapped to A3.</p> <p>11/2/05 -- Cross-team issue for Post Release - Part C of the requirement. Exception: PMS settlement vehicle will not allow "flexibility for refinement" by product or by surety. Exception: No Netting (Not accepted).</p> <p>11/28/06: 1) CBP is not opposed to netting, but they do want to ensure that they can do statements correctly in A2. At that point CBP will consider expanding netting in A3; 2) National Statements will be allowed for filers and importers in A2; 3) Protest and drawback will be on the statement in A3; 4) A financial statement will be provided on all activity during the month, but this will not be a statement on which a payment can be made.</p>
					<p><i>Owner:</i> Monica Crockett</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-001.3	666	STATEMENT ADJUSTMENTS: B. Statement adjustments should be allowed prior to final statement without sanction by CBP if error is clerical and manifest from the paperwork. Allow adjustments if error is clerical or changes do no alter summary data but perfect duty calculations	9/4/2001	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending determination for development in future increments.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 666) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW</p> <p>Sept 2008 - Deferred to A2.4. Being tracked in Dimensions Data Base as GIF 666.</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review: Assigned CSPO-GIF-666 - Pending GIF Board Review.</p> <p>01/28/08: REV-GIF-001.3 prepared. Ready to submit to CBP for CBP GIF Board review. May have legal policy implications.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: Covered in Post Summary Corrections - will need a GIF if it maps to A2.</p> <p>Explanation of staged functionality for periodic payment: Release 3 – Generate statements and accept periodic payments via ACH credit and ACH debit, based on ACS activity. Release 5 - Periodic statements with ACE as system of record, initial payments (including EFT and lockbox), violation billing, acceptance of cash payments Release 7 - Full payment processing, Refund processing (including drawback and protest), IASS</p> <p>Explanation of multiple statuses (6/18/04): 1. Accepted in part: This recommendation is partially met with Release 3 2. Pending further committee review: While CBP is moving toward full periodic payment, in order for the trade's input to be fully considered in Business Blueprint, it is recommended that the Revenue Committee update this recommendation to (a) eliminate references to tracks 2, 3 and 4, (b) eliminate references to revenue neutrality, © decouple from IASS, (d) expand to encompass all payments, refunds rather than limiting to duties/taxes/fees, (e) include all account types (carrier and brokers) and (f) eliminate references to specific data elements.</p> <p>No updates required for REV 001.1.</p> <p>9/24/04: Based on recommendations from CBP (See 6/18/04 above), this requirement recommendation was modified and resubmitted to CBP on 9/24/04. Updated status explanation:</p>

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
----------------------	------------	------------------------------------	------------------------	---------------	--------------------	-----------------

1. Accepted in part: This recommendation is partially met with Release 3
2. Preliminary CBP approval: This recommendation will be considered in Business Blueprint for Releases 5 and 7 as appropriate.

10/14/05: The portion of this requirement that isn't met in Release 3 is mapped to A2. Statement elements in A3 include protest, IASS, drawback.

11/28/06: This requirement ties to Post Summary Corrections in A2

12/11/06: If the PSC is received, accepted and processed by CBP prior to statement that the entry is on, CBP will net. This is the one time CBP will net in A2.

Owner: Monica Crockett

REV-001.4	722	ACH CREDIT ROLLING CREDIT BALANCES - The trade account should have the option of rolling credit balances forward to the next month or receiving an EFT (ACH Credit) or check from CBP to reconcile the account.	4/7/2008	Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD October 2014: Pending determination for development in future increments. MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 722) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Status: 04/07/2008 - Submitted to CBP for GIF Board Review = CSPO-GIF-722
------------------	------------	---	----------	-----------------------	-----------	--

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-002	667	PRESERVE CURRENT MECHANISM FOR SETTING FINANCIAL OBLIGATION: Preserve current mechanisms for settling financial obligations to and from CBP, including daily statements via ACH debit/credit/check, single payment, etc. Add EFT to support single entry payments. Preserve payment and refund options. Allow single entry payments via EFT.	7/26/2001	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending determination for development in future increments.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 667) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Sept 2008 - Deferred to A2.4. Being tracked in Dimensions Data Base as GIF 667</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review: Assigned CSPO-GIF-667 - Pending GIF Board Review</p> <p>01/28/08: REV-GIF-002 prepared. Ready to submit to CBP for CBP GIF Board review.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: Will require a GIF for anything mapped to A2. CBP is not doing electronic funds transfer for single entry payments.</p> <p>Explanation of staged functionality for daily statements:: Release 6 - Daily statements/payments to include ACE entry summary transactions, reconciliation and liquidation revenue adjustments, violation billing and regulatory audits. Release 7 -Daily statements/payments to include drawback, IASS, protest, miscellaneous refunds.</p> <p>10/14/05: Updated to reflect ESAR A2 and A3. Daily statement capability planned for A2. IASS, drawback, protest coverage on daily statement mapped to A3.</p> <p>ACH Debit, ACH Credit</p> <p>11/28/06: 1) Drawback and protest processing will be in A2, the statement will be in A3 - the netting issue will be considered for A3.. The refund will be processed in A2. The inclusion on the statement is tied to the netting concept which will be in A3.</p>

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-004	668	ACH REFUND AND NOTIFICATION TO TRADE: Process that will electronically deposit refunds due from CBP to an importers financial institution. Electronically deposit refunds to separate banks; provide transaction level detail, email notification of refund,. Refund notice to IR, account who paid, drawback filer, surety. Specification of notice listed (entry#, liq. Record, name/address, etc.)	10/1/2001	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending determination for development in future increments.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 668) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO INCLUDES REV-014.</p> <p>Sept 2008 - Deferred to A2.4. Being tracked in the Dimensions Data Base as GIF 668</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review: Assigned CSPO-GIF-668 - Pending GIF Board Review.</p> <p>01/28/08: REV-GIF-004 being prepared. Combined requirements from REV-014. Ready to submit to CBP for CBP GIF Board review.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: Will require a GIF for A2.</p> <p>Explanation of staged functionality: Release 5 - Initial refund processing for liquidation Release 7 - Refund processing for functionality deployed in Release 7 (protest, drawback, IASS) 10/14/05: Updated to reflect ESAR drops. Staged functionality for A2 maps to Release 5 and for A3 maps to Release 7, as stated above.</p> <p>11/2/05 -- Exception - enhanced 4811 to direct funds to separate, specific financial institutions based upon multiple criteria -- Not mapped to any release.</p> <p>11/28/06: 1) CBP needs more clarification on the 4811 issue; 2) Revenue committee needs to clarify issue with Account Management committee; 3) CBP will do ACH refunds in A2; 4) CBP needs to clarify several issues with the trade on this requirement - future updates will be provided; 5) Also refer to REV-012 which also refers to the 4811 issue. Should REV-004 and 012 be combined?</p>

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-005	669	PAYMENTS AND REFUNDS REPORT: ACE must provide the ability to access Payments Due, Outstanding refund claims, approved Refunds not issued. Access to payments due to CBP and refunds coming and approved refunds from CBP.	10/1/2001	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending determination for development in future increments.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 669) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO INCLUDES REV-001.1, REV-GIF-021, REV-GIF-022.</p> <p>Sept 2008 - Deferred to A2.4. Being tracked in the Dimensions Data Base as GIF 669</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review. Assigned CSPO-GIF-669 - Pending GIF Board Review</p> <p>01/28/08: REV-GIF-005 prepared for Revenue Committee review. Combined requirements from new GIFs Billing Notification Report and Refund Remittance Refund Report.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: Will require a GIF for functionality that maps to A2.</p> <p>Explanation of staged functionality: Release 3 - Ability to access entry summary payments due via periodic payment Release 5 - Ability to access entry summary payments due via daily statement, ability to access amounts due and liquidation refunds (including reconciliation) Release 7 - Ability to access liquidated damage amounts due, ability to access amounts due and/or refunds for drawback, protest, IASS</p> <p>Explanation of multiple statuses: 1. "Accepted in part" for functionality that has been captured in Periodic Payment (Release 3) 2. "Preliminary CBP Approval" for functionality that is pending future release</p> <p>10/14/05: Mapped to ESAR drops. A2: Ability to access entry summary payments due via daily statement, ability to access amounts due and liquidation refunds (including reconciliation). Drawback refunds will be processed in A2. A3: Ability to access liquidated damage amounts due, ability to access amounts due and/or refunds for protest and IASS.</p> <p>11/28/06: Refunds for protest are in A2</p>

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-009.1	670	CLAIMS MANAGEMENT SYSTEMS: AUTOMATION Customs claims management systems need to be updated to provide for the following: 1.All claims must be automated. (such as Supplemental Duty Bills, Penalties, Liquidated Damages, Debt Vouchers, passenger user fees, etc.)	8/5/2008	Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD October 2014: Pending development in future increments. MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 670) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 03/05/2008 - Submitted for CBP GIF Board Review. Assigned CSPO-GIF-670 - Pending GIF Board Review. Refer to REV-009 for more detail.
<i>Owner:</i> Monica Crockett						
REV-009.2	671	CLAIMS MANAGEMENT SYSTEMS: MULTIPLE BONDS Customs claims management systems need to be updated to provide for the following: 2.Mechanisms must be provided to recognize that there may be multiple bonds covering an entry and insure that claims are issued to the correct surety. Further, in the case of co-surety, the system must also insure that all sureties are notified in the event of a claim.	3/5/2008	Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD October 2014: Pending development in future increments. MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 671) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 03/05/2008 - Submitted for CBP GIF Board Review. Assigned CSPO-GIF-671 - Pending GIF Board Review. Refer to REV-009 for more detail.
<i>Owner:</i> Monica Crockett						
REV-009.3	672	CLAIMS MANAGEMENT SYSTEMS: RECOGNIZABLE FORMAT Customs claims management systems need to be updated to provide for the following: 3.When making a claim, the supporting data that may be in an electronic form must be provided in a means that can be read by the surety and in a format that can be used in any proceeding action against a bond principal.	3/5/2008	Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD October 2014: Pending development in future increments. MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 672) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 03/05/2008 - Submitted to CBP for GIF Board Review. Assigned CSPO-GIF-672 - Pending GIF Board Review. Refer to REV-009 for more detail.
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-009.4	673	CLAIMS MANAGEMENT SYSTEMS: TRADE NOTIFICATION Customs claims management systems need to be updated to provide for the following: 4.Claim notifications to the trade should be upgraded to contain more information to help the trade in determining the reason for the bill. Also Customs should provide a mechanism for the trade to re-print a notice.	3/5/2008	Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD October 2014: Pending development in future increments. MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 673) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 03/05/2008 - Submitted to CBP for GIF Board Review. Assigned CSPO-GIF-673 - Pending GIF Board Review. Refer to REV-009 for more detail
				<i>Owner:</i>		Monica Crockett
REV-010	674	REFUND TO SURETIES: All refunds on payments by the surety under its bonds will be tendered directly to the surety with applicable interest, rather than to the bond principal.	11/5/2001	Pending CBP L/P Input	POST CORE	October 2014: Pending development in future increments. MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 674) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Sept 2008 - Deferred to A2.4. Being tracked in the Dimensions Data Base as GIF 674 03/05/2008 - Submitted for CBP/GIF Board Review. Assigned CSPO-GIF-674 - Pending GIF Board Review. 01/28/08: REV-GIF-010 prepared. May overlap with a Report GIF in the Accounts committee. The Revenue committee will submit this GIF separate from REV-004 and REV-005. 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Will require a GIF for A2. Explanation of staged functionality: Release 5 - Initial refund processing including reconciliation and entry summary liquidation Release 7 - Refunds on drawback, protest, IASS 10/14/05: Mapped to ESAR A2: Initial refund processing including reconciliation, drawback and entry summary liquidation A3: Refunds on protest, IASS 11/2/05: OPEN issue 11/28/06: All Surety related requirements (REV-008, 009, 010, 014, 018) will be discussed in a separate meeting and updates provided.
				<i>Owner:</i>		Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-011	675	SETTLEMENT OF USER FEES: The system will provide functionality that allows for settlement of all user fees not collected in connection with entry of merchandise and not otherwise provided for in the requirements issuing from this committee, e.g., the customs brokers user fees specified in 19 C.F.R. 111.96(c) and 111.96(d), by check at a single Customs office designated for collection of payments or by EFT or similar electronic means through ACE.	2/12/2002	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending development in future increments.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 675) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Sept 2008 - Deferred to A2.4. Being tracked in the Dimensions Data Base as GIF 675</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review Assigned CSPO-GIF-674 - Pending GIF Board Review.</p> <p>01/28/08: REV-GIF-011 prepared. Ready to submit to CBP for CBP GIF Board review.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>6/18/2007: Will require a separate GIF fo A2.</p> <p>June, 2004: While CBP agrees in concept, the goal would be to have such fees collected via broker statement.</p> <p>9/23/04: The TSN Revenue Committee revised and resubmitted this requirement recommendation based on the clarification requested by CBP (above).</p> <p>10/14/05: Mapped to ESAR</p> <p>11/2/05 -- facilitated by ACH payments on bills.</p> <p>11/28/06: On schedule for implementation in A2.</p>
				<i>Owner:</i>		Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
REV-012	774	<p>REDIRECTION OF BILLS, REFUNDS, & LIQUIDATION NOTICES:</p> <p>The system will provide functionality equivalent to the current CF4811 that allows an importer to redirect CBP bills, refunds and notices of liquidation, singly or in any combination. Additionally, the system will provide for these changes to be defined, at the importer's discretion, with maximum flexibility, e.g.</p> <p>A. If an importer employs more than one entry filer, it can employ this functionality to the respective filer for the underlying entry transaction at the entry or summary level. B. It may elect to designate a single account to receive the items.</p> <p>C. It may select only specified entries or summaries for redirection</p> <p>D. It may set-up any logically consistent method for distribution of the items.</p>	5/17/2002	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending development in future increments.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 774) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Sept 2008 - Deferred to A2.4. Being tracked in the Dimensions Data Base as GIF 774</p> <p>Status: 03/25/2008 - ESAR review - in scope for A2.3</p> <p>4/11/07 - In scope for A2 - No GIF required.</p> <p>This requirement falls under the Account Mgmt business process.</p> <p>10/14/05: Mapped to ESAR</p> <p>11/2/05 -- secondary owner: Post Release</p> <p>11/28/06: Refer to comments on REV-004.</p>

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-013	676	BORDER CROSSING FEES: Provide the ability for CBP to recognize a crossing and determine that the carrier has pre-paid the annual border crossing fee or should be billed for a month of crossings (up to 20 crossings annually).	5/17/2002	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending development in future increments.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 676) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO INCLUDES REV-013, REV-013.1, REV-013.2.</p> <p>Sept 2008 - Deferred to A2.4. Being tracked in the Dimensions Data Base as GIF 676</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review Assigned CSPO-GIF-676 - Pending GIF Board Review.</p> <p>01/28/08: REV-GIF-013 prepared. Ready to submit to CBP for CBP GIF Board review.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>6/18/2007: Requires a Gif to cover border pass transponders that allows the account to be debited. Verify legal policy issues.</p> <p>10/14/05: Mapped to CCR</p> <p>11/2/05 -- Not currently planned as one of the ESAR drops.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p>11/28/06: CBP needs additional information on this requirement prior to implementation.</p> <p>12/11/06: Covers border crossing fees. This issue crosses between MMM and Finance. CBP is moving toward a method for decrementing via trasponder technology. The thought is to allow the carrier to pre-pay linking their conveyances to their carrier account and decrementing as trucks cross.</p>

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-013.1	676	ACCEPTABLE PAYMENT TYPES FOR CARRIERS AT BORDER A. Carriers not using transponders should be able to present debit and credit cards or cash to CBP at the border. B. Carriers with multiple truck should be able to make one annual payment for the fleet (account) at the beginning for the year.	5/17/2002	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending determination for development in future increments.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 676) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW..</p> <p>01/28/08: Included in REV-GIF-013. - CSPO-GIF-676</p> <p>Not in the A2 business design. Need to verify with ESAR CBP leads on status. Is a CR in the system?</p> <p>Mapped to CCR</p> <p>11/2/05 -- Not currently panned as one of the ESAR drops.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p>11/28/06: Proposed for inclusion in A2. May require a change request to be submitted.</p>
<i>Owner:</i> Monica Crockett						
REV-013.2	676	Provide the ability for CBP to recognize a crossing and determine that the carrier has pre-paid the annual border crossing fee or should be billed for a month of crossings (up to 20 crossings annually).	5/17/2002	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending development in future increments.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 676) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>01/28/08: Included in REV-GIF-013 - CSPO-GIF-676.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>6/18/2007: Same as REV-013 - will require a GIF for A2.</p> <p>10/14/05: Mapped to CCR</p> <p>11/2/05 -- Not currently panned as one of the ESAR drops.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p>11/28/06: Proposed for inclusion in A2. May require a change request to be submitted.</p>
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
REV-015	1034	Eliminate the requirement for the Trade to present hard copy preliminary statements daily to all ports of entry when filed and paid electronically except when documents are required. When documents are required, reduce the information to be presented to statement header and entry summary information.	1/14/2004	Pending CBP L/P Input	<p>POST CORE DEC 1 2015: DIS CAPABILITY IS AVAILABLE AS WELL AS IS PORTAL VIEW. BUT TPP AND/OR OFO WILL NEED TO DETERMINE IF THIS WILL BE ALLOWED.</p> <p>October 2014: Pending development in future increments.</p> <p>SEPTEMBER 10, 2009 - THIS REQUIREMENT (CSPO-GIF-1034) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW</p> <p>MAY 27, 2009 - Trade seeking OFO support.</p> <p>1/25/08 This requirement to be reviewed by the Entry Committee to determine if this has already been implemented.</p> <p>8/9/07 Need crossover review by Phyllis Henry for Revenue issues.</p> <p>(072704: Changed to L/P. SM) 10/14/05: Pending CBP policy determination.</p> <p>11/2/05: Elim. Requirement to present any paper when all CBP needs satisfied electronically and by ACH. This part of requirement is unnecessary (see CBP Admin Message 93-0458).</p> <p>11/28/06: Status change to "Preliminary CBP Approval". Functionality should be implemented in A2.</p>
				<i>Owner:</i> Monica Crockett	

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-017	677	<p>PERIODIC MONTHLY NATIONAL STATEMENTS: This requirement will provide for four additional aspects of flexibility to the current periodic monthly statement process as relates to national statements:</p> <ol style="list-style-type: none"> 1.The production of periodic monthly national importer statements sorted by, and consolidating the transactions of single filers, respectively. 2.The production of periodic monthly national and port broker statements in the same accounting period. 3.The production of periodic monthly national broker statements sorted by and consolidating the transactions of single importer, respectively. 4.The ability of the payer to direct each of multiple national statements, whether importer statements or broker statements, produced during the same accounting period for a single importer or filer, to different ports, and with the added flexibility for independently assigned print dates, for transactional settlement. <p>The ability to create national periodic statements is key to leveraging the benefits of both periodic monthly payment and account based business processes in ACE. ACE should provide for national statements to be available both as filer statements by importer and importer statements by filer. ACE should also provide a mechanism by which a filer or importer may create both national and port statements in the same month. The election of a national statement should neither prohibit the future or concurrent creation of statements by port. The "flag" for assignment of a payment to a port or national monthly statement should be moved from the profile to the entry.</p>	9/24/2004	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD</p> <p>October 2014: Pending development in future increments.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 677) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review. Assigned CSPO-GIF-677 - Pending GIF Board Review.</p> <p>01/28/08: REV-GIF-017 prepared. Combined REV-017.1, 017.2, 017.3 and 017.4. Ready to submit to CBP for CBP GIF Board review.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>6/18/2007: Refers to National Statements. CBP is planning on incorporating but will require four sepaate GIFs. Also a topic for the Statement workshop.</p> <p>10/14/05: Mapped to ESAR</p> <p>11/28/06: National statements are planned for implementation in A2. All 4 points will be covered. All points refer to the statement process in A2.</p>
				<i>Owner:</i>		Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-033	1073	<p>ELECTRONIC PETITIONS</p> <p>The trade community requests the capability to file a petition with respect to liquidated damages and penalty cases via ABI and the ACE Secure Data Portal. The account types who currently have the capability to file a petition should have access to the capability in ACE. These account types include:</p> <ul style="list-style-type: none"> • Importer • Broker • Surety • Consignee • Foreign Exporter/Producer • Attorney • Carrier (and NVOCC) • Terminal Operator • CFS <p>The ACE petition system should interface with SECATS system.</p> <p>The petition filer should also have the capability to attach files, images and any supporting document to complete the electronic submission of the petition.</p> <p>CBP should also be able to respond to the petition filed via ACE. CBP should have the capability to input a decision and provide explanation and comments – both text or files/images.</p>	10/16/2009	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>October 2014: Pending determination for development in future increments.</p> <p>OCTOBER 16, 2009 - THIS REQUIREMENT (CSPO-GIF-1073) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW</p>
<i>Owner:</i> Monica Crockett						
REV-DBK-001	745	<p>DRAWBACK ACCOUNT TYPE - Establish a Drawback account type to meet the express needs of the drawback claimant that is not defined by the existing ACE Portal Account types. The majority of drawback claimants will have access to an importer or broker account and will file drawback claims via ABI. But there will be instances in which an entity, who is not an importer/exporter and who does not want to use a broker will need ACE Portal account access..</p>	7/8/2008	Pending CBP L/P Input	POST CORE	<p>DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESS</p> <p>October 2014: Pending development in future increments.</p> <p>MAY 28, 2009 - THIS REQUIREMENT (GIF 745) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>July 8, 2008: Entered in Dimensions GIF database. Pending CCB (GIF Board) Review</p>
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-DBK-002	1068	ESTABLISH DRAWBACK REPORTS: Specific Drawback Reports are required by the trade to better monitor and track the status of drawback claims. Currently three (3) specific reports have been identified to satisfy these requirements: 1) Drawback Import Report; 2) Drawback Claimant Report; 3) Drawback Bond Report. Specific data elements for the reports are listed in the full requirement.	10/7/2009	Pending CBP L/P Input	POST CORE	DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES. October 2014: Pending development in future increments. OCTOBER 7, 2009 - THIS REQUIREMENT (CSPO-GIF-1068) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.
<i>Owner:</i> Monica Crockett						
REV-DBK-003	768	DRAWBACK RULINGS AND PRIVILEGE APPLICATIONS SUBMITTED TO CBP: 1. Ability to file via the ACE Portal, rulings and privilege applications along with scanned supporting documentation with CBP headquarters. 2. Ability to file via the ACE Portal, rulings and privilege applications along with scanned supporting documentation with the CBP Drawback Offices. 3. Ability to view via the ACE Portal for given IR# (with permission from the entity holding the approved ruling or privilege) the rulings and privilege applications approved by CBP headquarters and the CBP Drawback Offices. 4. Ability to view via the ACE Portal for given IR# (with permission from the entity who filed said ruling or privilege application) the approval status of the rulings and privilege applications on file with CBP headquarters and the CBP Drawback offices. 5. Ability to receive via the ACE Portal for given IR# (with permission from the entity who filed said ruling or privilege application) a request for revisions, additional information, or supplemental documentation for rulings or applications that are on file with CBP headquarters or the CBP Drawback Offices	7/29/2008	Pending CBP L/P Input	POST CORE	DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNER THE SIMPLIFIED PROCESSES. October 2014: Pending development in future increments. MAY 28, 2009 - THIS REQUIREMENT (GIF 768) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-DBK-004	1069	<p>DRAWBACK PROOF OF EXPORT: Trade requires CBP to accept the Electronic Export Information (EEI) that is filed through ACE as one of the methods for proof of export for a drawback claim. The Internal Transaction Number (ITN) issued to the filer of the EEI will be transmitted on the drawback claim. The ITN number is a unique identifier on the transaction that indicates the exact export commodity data filed on behalf of the exporter (U.S. Principal Party In Interest (USPPI)). The commodity data and export record provide substantial and necessary proof of export and meets the requirement for proof of export under Part 191.72. Proof of exportation requires proof of the date and fact of exportation and identity of the exporter. The date of export will be on this record as provided carrier and the fact of exportation will be established by the information showing destination, vessel and specific description of the exported articles by Schedule B or HTS number, quantity and value.</p>	10/7/2009	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT IS BEING CONSIDERED FOR DEVELOPMENT PENDING CBP/OFO AND LEGAL POLICY REVIEW</p> <p>October 2014: Pending development in future increments</p> <p>OCTOBER 7, 2009 - THIS REQUIREMENT (CSPO-GIF-1069) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>
			<i>Owner:</i>	Bill Delansky		

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s) Comments</i>
REV-DBK-005	1074	<p>ESTABLISH DRAWBACK CROSS ACCOUNT TYPE</p> <p>The trade requires cross account access for what is typically known as 3rd party drawback, and for situations where a broker is filing drawback on behalf of a claimant.</p> <ul style="list-style-type: none"> In 3rd party drawback, the drawback claimant is not always both the importer and the exporter. For example, a drawback claimant may be the exporter and the drawback claim will be based upon imports that have been transferred from an importer (in another importer's account). The drawback claimant might also be the importer and the drawback claim will involve exports made by an exporter (assuming exports are linked with ACE, in another party's export account). Any other party in the chain (manufacturer, producer, intermediate party) could also be a drawback claimant even though that party is neither the importer nor the exporter. In each of these instances, the claim will be based upon records (either import or export) in the account of another party. Where a broker files drawback, that broker will only have access to the transactions of the importer if the broker was the filer of the import entry. In order properly to prepare a claim or transfer drawback rights, the drawback broker (broker with a power of attorney (POA)) needs access to the transactions of its client even though the record may have been filed by another filer. Drawback claimants and brokers filing drawback need the ability to access this drawback data before the claim is filed in order to view the underlying import data to file claims, to download import entry information, or to view previous drawback claim data. This cross-account access would be based upon importer ID number. In order to grant access, the account would need to identify the specific IR number and perhaps user name for the party allowed access to this data. In addition, in order to prevent access beyond that necessary for drawback, the screen granting access should allow the account to limit this access to records with specific filer codes, dates, HTS numbers, ports, manufacturers, entry numbers, etc. Once the appropriate access has been granted, the party granted access would have the ability within its own portal to query/download to standard desktop application data for liquidated or un-liquidated import entries using selection criteria such as IRN, entry #, port, filer code, import date, entry date, HTS #, liquidation status. 	10/16/2009	Pending CBP L/P Input	<p>POST CORE DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES.</p> <p>October 2014: Pending development in future increments.</p> <p>OCTOBER 16, 2009 - THIS REQUIREMENT (CSPO-GIF-1074) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p>

Owner: Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-GIF-023	691	PAYMENTS TO CBP VIA THE ACE PORTAL: Set up payment to CBP via the ACE Portal.		Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD October 2014: Pending development in future increments. MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 691) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 03/18/2008 - Deferred to A2.4. Submitted for CCB Review CSPO-GIF-691 03/10/2008 - Account committee added comments. BEMS needs more detail. Currently being reviewed by the Office of Finance. 1/30/08: Action to draft GIF from the October 2007 Revenue workshop. Comments from ACT-009.22 included in the requirement. Ready to submit to CBP for CBP GIF Board review. <i>Owner:</i> Monica Crockett
REV-GIF-027	1035	NOTICE OF LIQUIDATION EXTENSION: Where liquidation has not been suspended or extended the system will generate and give official notice of liquidation at the thime of liquidation. If an extended liquidation will be further extednded the system will give official notice of further extension prior to the expiration of the last extension. The System will generate and give official notice of liquidation at the time of liquidation, or if that is not possible for any reason, as soon thereafter as is possible regardless of the legal authority by which the liquidation was done.	3/5/2008	Pending CBP L/P Input	POST CORE	DEC 2 2015: CAPABILITY HAS BEEN IDENTIFIED UNDER THE SIMPLIFIED PROCESSES. October 2014: Pending development in future increments. SEPTEMBER 10, 2009 - THIS REQUIRMENT (CSPO-GIF-1035) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Sept 2008 - On hold for review by Legal Policy Committee 03/05/2008 - Being reviewed internally by the Revenue Committee. <i>Owner:</i> Monica Crockett
REV-GIF-028	723	STATEMENT OF PAYMENT DELETE: For entries filed at the Port a customs broker may file an entry summary with a notation that the importer did not fund the duty payment, hence no duty tender accompanies the entry summary filing. For RLF entry the current ACS system does not permit deletion of the payment from a statement. The system currently allows this function for non-RLF entries. ACE should provide functionalty for both RLF and non-RLF entries to cover this business scenario. Additionally the importer should have near real time visibility to these deletions.	3/5/2008	Pending CBP L/P Input	POST CORE	DEC 2 2015: ABO RECOMMENDS CONSIDERATION UNDER REV MOD October 2014: Pending development in future increments. MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 723) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 05/29/2008 - Submitted for CCB review and being tracked in Dimensions data base CSPO-GIF-723 03/12/2008 - This requirement is in internal committee review. <i>Owner:</i> Monica Crockett

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-GIF-029	775	THIRD PARTY BOND QUERY: The system must identify the query submitter as the bond principal, surety, surety agent, bond filer, or customs broker of record, or other. If other, ACE will return limited information to include: bond on file, the name and address of the principal on that bond, type of bond activity covered. If the submitter matches one of the above identified parties, ACE will return complete bond information.	3/5/2008	Pending CBP L/P Input	POST CORE	DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT October 2014: Pending development in future increments. MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 775) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 08/01/2008 - Submitted for CCB review. Being tracked in Dimensions data base as CSPO-GIF-775 03/12/2008 - This requirement is in internal committee review.
<i>Owner:</i> Monica Crockett						
REV-GIF-030	990	NOTICE TO BOND FILER FOR PM STATEMENT ACTIVATION AND DEACTIVATION: Business Scenario problem that pertains to recommended change: Currently, there is no notice to the surety or the bond filer when an importer is approved for participation in periodic payment processing. When the bond rider was eliminated in the September 22, 2005 Federal Register notice, CBP agreed to "...notify sureties, electronically, of a bond principal's participation in the test." Description of Recommended Change: The system must generate a notice to the surety and bond filer that an importer has been authorized for PM Statement, including the name and I.D. number of the importer, the bond number, the three digit surety code and the name and contact of the party that initiated the authorization. Additional Comments: ACE should provide visibility for an obligor to any party that creates an obligation for their account. A customs bond is a "...legally enforceable contract between a first party (the principal or obligor), a second party (the customer or obligee) [CTB], and a third party (the surety, such as a bank, bonding company, or insurance company) whereby the surety guarantees payment of a specified maximum sum, or to otherwise compensate (indemnify), the obligee against damage or loss caused by the actions (or a failure to perform) of the obligor."	8/25/2009	Pending CBP L/P Input	POST CARE	DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT October 2014: Pending development in future increments. AUGUST 25, 2009 - THIS REQUIREMENT (CSPO-GIF-990) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.
<i>Owner:</i> Monica Crockett						

<i>Requirement #</i>	<i>GIF</i>	<i>TSN Requirement Description</i>	<i>Submission date</i>	<i>Status</i>	<i>Release (s)</i>	<i>Comments</i>
REV-GIF-611	611	SURETY ACCESS TO AUTHORIZED DATA EXTRACT: When there is a breach of bond conditions on an entry and/or entry summary, the surety which has underwritten the bond covering the entry/entry summary is entitled to all information related to that entry/entry summary. This gives the surety the information it needs so that it can address any demand against the bond in a timely fashion. The information is necessary for the surety to exercise its rights and obligations to protest, petition, or pay after reviewing the entry data to determine the validity of the CBP demand on the surety	9/5/2007	Pending CBP L/P Input	POST CORE	<p>DEC 3 2015: THIS REQUIREMENT WILL BE CONSIDERED FOR POST CORE DEVELOPMENT</p> <p>October 2014: Pending development in future increments.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 611) HAS BEEN ACCEPTED BY THE CCB ON JULY 9, 2008 AND IS MAPPED TO RELEASE A2.4. (REFERENCE CR 3027)</p> <p>09/05/2007 - Submitted to CBP for GIF Board Review. CSPO-GIF-611</p>
			<i>Owner:</i>	Monica Crockett		

Definition of Status categories:

Accepted	The user requirement and/or GIF has been accepted by CBP and delivered in an ACE Release
Accepted in Part	A portion of the use requirement has been delivered in ACE but other portions of the user requirement are still pending CBP approval
Pending	The user requirement is pending CBP review and analysis for a future release
Rejected	CBP has rejected this recommendation.
Withdrawn	The recommendation is: a) no longer relevant; b) overtaken by events; or c) already incorporated in existing functionality or other recommendations.
Preliminary CBP Approval	The user requirement has been agreed to by CBP for planning consideration in a future release, but the requirement has not yet been delivered in an ACE release.
Pending CBP HQ Input	The user requirement will require further review by appropriate CBP HQ offices prior to formal CBP CSPO acceptance.
Pending CBP L/P Input	Requires CBP L/P review to determine if there are any L/P dependencies that will impact action on the recommendation.
Pending Further Committee Review	The user requirement has been returned to the submitting committee for further clarification and specificity.
Replaced	Recommendation was completely revised and replaced by a new version of the recommendation and/or included in a GIF

Release/Drop Categories for Entry Summary Account and Revenue (ESAR) and Cargo Control and Release (CCR)

	ENTRY SUMMARY ACCOUNTS & REVENUE (ESAR)
A1	Master Data and Enhanced Accounts
A2	Entry Summary and Revenue
A3	Drawback, Protest, and IASS
	CARGO CONTROL & RELEASE (CCR)
M1	e-Manifest: Rail & Sea
M2.1	e-Manifest: Air
M2.2	Full Multi-Modal Manifest – All Modes
M2.3	Cargo Release Processes
M3	Enhanced Tracking, Exports (AES), Manifests