



Requirements for Partner Government Agency Information in ACE & Methods for Import Data Submission

MANDATORY AGENCIES

The agencies below have promulgated regulations that require their data be provided electronically in ACE:

Department of Agriculture - Animal and Plant Health Inspection Service - Lacey Act

Program/ Commodity	Form or Data Description	Form #	Required for Submission Via	
			Message Set	DIS
Lacey Act	Lacey Act Data	PPQ-505	✓	
	Lacey Act Supplemental Form	PPQ-505B	✓	

Health and Human Services - Food and Drug Administration

Program/ Commodity	Form or Data Description	Form #	Required for Submission Via	
			Message Set	DIS
FDA - General	FDA data collected today through OGA feed to transition		✓	

Department of Transportation - National Highway Traffic Safety Administration

Program/ Commodity	Form or Data Description	Form #	Required for Submission Via	
			Message Set	DIS
NHTSA - General	Declaration Form*	HS-7	✓	
	Bond to Ensure Conformity with Motor Vehicle Safety and Bumper Standards	HS-474		✓
	Box 2B Attachment: Canadian Motor Vehicle Safety Standards			✓
	Box 6 Attachment: Official Orders for Tour of Duty in United States (Diplomat)			✓
	Box 12 Attachment: Official Orders for Tour of Duty in United States (Military)			✓
	Box 9 Manufacturer's instruction for vehicle to comply with			✓

* Based on Declaration Form input, certain supplemental documents will be required to be submitted via DIS





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Department of Commerce - National Marine Fisheries Service

Program/ Commodity	Form or Data Description	Form #	Required for Submission Via	
			Message Set	DIS
	International Fisheries Trade Permit (IFTP) (replacing collection of AMLR Dealer Permit and HMS International Trade Permit data)		✓	
Antarctic Marine Living Resource	Dissostichus Catch Document*		✓	✓
	Dissostichus Re-Export Document*		✓	✓
	Reporting Form for Catch Documents Accompanying Fresh, Air-shipped Shipments of Toothfish*		✓	✓
	Toothfish Pre-Approval*		✓	
Highly Migratory Species	CCSBT Catch Monitoring Form*		✓	✓
	CCSBT Re-Export/Export After Landing of Domestic Product*		✓	✓
	IATTC Bigeye Tuna Statistical Document *		✓	✓
	IATTC Bigeye Tuna Re-export Certificate*		✓	✓
	ICCAT Bigeye Tuna Statistical Document*		✓	✓
	ICCAT Bigeye Tuna Re-export Certificate*		✓	✓
	ICCAT Bluefin Tuna Catch Document*		✓	✓
	ICCAT Swordfish Statistical Document*		✓	✓
	ICCAT Swordfish Re-export Certificate*		✓	✓
	IOTC Bigeye Tuna Statistical Document*		✓	✓
IOTC Bigeye Tuna Re-export Certificate*		✓	✓	
Tuna Tracking and Verification	Fisheries Certificate of Origin	NOAA 370	✓	✓
	Attachment: IDCP Member Nation Certification			✓
	Attachment: Captain's Statement			✓
	Attachment: Observer's Statement			✓

* - NMFS may require certain data from this form to be submitted through PGA Message Set and an image of the form to be submitted via DIS





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CLOSED PILOT AGENCIES

The agencies below have closed their pilots, and ALL filers may enter data in ACE as outlined below:

Department of Justice - Bureau of Alcohol, Tobacco, Firearms, and Explosives

Program/ Commodity	Form or Data Description	Form #	Available for Submission Via	
			Message Set	DIS
	Application and Permit for Importation of Firearms, Ammunition and Implements of War	6 (5330.3A)	✓	
	Release and Receipt of Imported Firearms, Ammunition and Implements of War	6A (5330.3c)	✓	
	Federal Firearms License		✓	
	Federal Explosives License		✓	

Department of Agriculture - Animal and Plant Health Inspection Service

Program/ Commodity	Form or Data Description	Form #	Available for Submission Via	
			Message Set	DIS
	Animal Care - Health Certificate	7041	✓	
	Continuation Sheet for Application for Import Permit for Dogs	7040A	✓	
	Continuation Sheet for Veterinary Treatment Agreement	7043A	✓	
	Animal Care - Veterinary Treatment Agreement	7043	✓	
	Application for Import Permit for Dogs	7040	✓	
	Import Permit for Dogs	7040B	✓	
	Rabies Vaccination Certificate	7042	✓	
	Interstate Movement, Importation or Courtesy Permit	2000	✓	
APHIS - Core	Foreign Site Certificate of Inspection and/or Treatment	PPQ-203	✓	
	Notice of Arrival	PPQ-368	✓	
	Permit to Import Soil	PPQ-525B	✓	
	Application for Permit to Move Live Plant Pests or Noxious Weeds	PPQ-526	✓	
	Application for Permit to Import Timber or Timber Products (SDS)	PPQ-585	✓	
	Permit to Transit Plants and/or Plant Products, Plant Pests, and/or Associated Soil through the United States	PPQ-586	✓	
	Permit to Import Plant or Plant Products	PPQ 587	✓	
	Controlled Import Permit	PPQ 588	✓	
	General Permit to Engage in the Business of Import, Export, or Re-Exporting Terrestrial Plants	PPQ-622	✓	





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Department of Agriculture - Animal and Plant Health Inspection Service (Continued)

Program/ Commodity	Form or Data Description	Form #	Available for Submission Via	
			Message Set	DIS
APHIS - Core	Foreign Government Sanitary Certificate (Veterinary Health Certificates)			To Remain Paper
	U. S. Veterinary Biological Product Permit	2006		✓
	U. S. Veterinary Permit for Importation & Transportation of Controlled Materials and Organisms and Vectors	VS16-6A	✓	
	U.S. Permit to Import (Includes Transit)	VS17-135		To Remain Paper
	Declaration of Importation (Required to Accompany Animal)	VS17-29		✓
	Application for Inspection and Dipping	VS17-32		✓
	Agreement of Pet Bird Owner (U.S. Origin Returning)	VS17-8		To Remain Paper
	Foreign Meat Certificate			To Remain Paper
	STAT Supporting Statement			✓
	Phytosanitary Certificate (Issued by Country of Origin)			To Remain Paper
Foreign Government Health Certificates(including dip certificates, spay certificates, test charts, etc.)			To Remain Paper	
Various import documents (invoices, packing list, etc.)			✓	

Department of Agriculture - Food Safety and Inspection Service

Program/ Commodity	Form or Data Description	Form #	Available for Submission Via	
			Message Set	DIS
FSIS - General	Application For The Return Of Exported Products to the United States	9010-1		To Remain Paper
	Import Inspection Application and Report	9540-1	✓	
	Official Foreign Inspection Certificate			To Remain Paper





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OPEN PILOT AGENCIES

These agencies are currently conducting pilots, and filers may enter data as outlined below for each agency. Filers wishing to participate in open PGA pilots are encouraged to visit <https://www.cbp.gov/trade/ace/features> for more details.

Department of Agriculture - Agricultural Marketing Service

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
AMS - General	Request for Inspection, Reinsertion, Appeal Inspection	FV-237	✓	
	Application for Inspection	FV-356	✓	
	Importers Exempt Commodity Form	FV-6	✓	
	Foreign Government Export Certificate			✓
	Notification of Entry	FV-357	✓	
	Import Request Shell Eggs	LPS-222	✓	

Health and Human Services - Centers for Disease Control and Prevention

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
CDC - General	Permit to Import or Transfer Etiologic Agents or Vectors of Human Disease	0728		✓
	Permission Letters to import African Rodents, Civets or Turtles			✓
	Notice to Owners and Importers of Dogs (Requirement for Dog Confinement)	75.37	To Remain Paper	
	Application to Transfer Select Toxins			✓
	CDC Permit for Unimmunized Dogs			✓
	Certification Statement/Statement of Noninfectiousness			✓
	CDC Permission Message/Permission to Import the Nonhuman Primate Shipment			✓
	Death Certificate for Human Remains		To Remain Paper	
	Permit to Import Remains of Person Who Died of Quarantinable Communicable Disease		To Remain Paper	
	Rabies Vaccination Certificate			✓
	Non-Human Primate (NHP) Notification Message			✓





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Consumer Product Safety Commission

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
CPSC - General	General Conformity Certificate		✓	
	Children's Product Third Party Certificate		✓	

Department of Defense - Defense Contracts Management Agency

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
DCMA - General	Certificate of Duty Free Entry			✓

Department of State - Directorate of Defense Trade Controls

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
DDTC - General	Application/License for Temporary Import of Unclassified Defense Articles	DSP-61	✓	
	Application for Amendment to a DSP-61 License	DSP-62	✓	
	Application/License for Temporary Export of Unclassified Defense Articles	DSP-73	✓	
	Application for Amendment to a DSP-73 License	DSP-74	✓	
	Application/License for Permanent/ Temporary Export or Temporary Import of Classified Defense Articles and Classified Technical Data	DSP-85	✓	

Department of Justice - Drug Enforcement Administration

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
DEA - General	Import / Export Declaration for List I and List II Chemicals	486	✓	✓
	Import Declaration for Ephedrine, Pseudoephedrine, and Phenylpropanolamine	486A	✓	✓
	Controlled Substances Import/Export Declaration	236	✓	✓
	Import Permit	35	✓	✓





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Department of Commerce - Enforcement and Compliance

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
	AD/CVD Foreign Government Export License: Uranium products from Russia, Ukraine and France			✓
	AD/CVD Foreign Government Export Certificate: Uranium products from Russia			✓
	AD/CVD Foreign Government Export License: Sugar from Mexico			✓
	Anticircumvention/Scope Certifications: Uranium Products from any country including Russia			✓
	Anticircumvention/Scope Certifications: AD/CVD Case-Specific Producer/Further Processor/Country of Origin certifications			✓
	Declaration of Intent to Re-Export Uranium Products from Russia within a Certain Period			✓
	Declaration of Intent to Re-Export Uranium Products from France			✓
E&C - General	Processor Certification: Uranium Products from Russia			✓
	End-User Certification: Uranium Products from Russia			✓
	End-User Certification: Uranium Products from France			✓
	Origin Certification: Uranium Products from any Country Including Russia			✓
	Permit to enter watches and watch movements into the Customs Territory of United States	ITA-340P	To Remain Paper	
	Confirmation that import will be used in accordance with guidelines under the Florence Agreement/ application for duty free entry of scientific instruments	ITA-338P	To Remain Paper	
	Certificate of Entitlement to Secure the Refund of Duties on Articles that Entered the Customs Territory of the United States	ITA-360P	No longer in use, this aspect of the program expired in March 2015	
	Request for Refund of Duties on Articles that Entered the Customs Territory of the United States Duty Paid	ITA-361P	To Remain Paper	





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Environmental Protection Agency

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
Ozone Depleting Materials	(CAA) Import of Ozone Depleting Substances		✓	
Pesticides	Pesticide Label			✓
	Notice of Arrival of Pesticides and Devices	3540-1	✓	
Vehicles and Engines	Importation of Motor Vehicles and Engines (On Road) *	3520-1	✓	
	Importation of Motor Vehicles and Engines (Off Road) *	3520-21	✓	
	Pre-approved Vehicle/Engine Exemption Letter *		✓	
Toxic Substance Control	Toxic Substances Control Act (TSCA) Import Certification		✓	
EPA - General Non-Objection Notice				✓

Department of Treasury - Alcohol and Tobacco Tax and Trade Bureau

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
TTB - General	Notice of Release of Tobacco Products, Cigarette Papers, or Cigarette Tubes	5200.11	✓	
	Permit (IRC)	5200.10	✓	
	Certificate of Label/Bottle Approval	5100.31	✓	
	Certificates of Age, Origin, Identity, Nonstandard Fill, and Statements of Process		✓	
	Transfer Record and Package Gauge Record		✓	
	Spirits for Use in the US	5150.33	✓	
	Industrial Alcohol User Permit	5150.9	✓	
	Basic Permit (FAA)	5170.2	✓	





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Office of the United States Trade Representative

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
USTR - General	P1/P2 Pasta Certificates			✓

Department of Homeland Security - Customs and Border Protection

NOTE: The following records are required by CBP to be presented at entry. Currently, these forms and documents may be transmitted via the Document Image System (DIS) according to the rules, terms and conditions of the DIS test. CBP envisions promulgating new regulations by the end of 2016 that will require the use of DIS to electronically transmit these forms and documents at entry if the entry is filed electronically.

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
Trade Transactions	Kimberley Process Certificate			✓
	Softwood Lumber Certificate		To Remain Paper	
	Packing List			✓
	Commercial Invoice			✓
	Certificate of Registration	4455		✓
	Bill of Lading			✓
	Pro forma Invoice			✓
	Non-Commercial Invoice			✓
	STB (Single Transaction Bond) (Type 1)			✓
	ISF (Importer Security Filing) Bond (Type 16)			✓
	Invoice Details for Footwear	5523		✓





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NOT YET PILOTING AGENCIES

These agencies will soon be conducting pilots, and pilot filers will be able to enter data in ACE as outlined below. Filers wishing to participate in PGA pilots are encouraged to visit <https://www.cbp.gov/trade/ace/features> for more details.

Department of State - Office of Marine Conservation

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
OMC - General	Shrimp Exporter/Importer Declaration	DS-2031	✓	✓

Department of Interior - Fish and Wildlife Service

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
FWS - General	Declaration for the Importation and Exportation of Fish or Wildlife	3-177	✓	
	CITES Export Permit issued by exporting Foreign Government			✓*
	CITES Re-export Certificate issued by re-exporting foreign government			✓*
	CITES "Other" Document issued by foreign exporting or re-export government			✓*
	CITES Import Permit issued by FWS for imports.			✓*
	Wildlife Export and Re-export Permits issued by Foreign Government			✓
	Endangered Species Act Import Permit		✓	
	Marine Mammal Protection Act Import Permit		✓	
	Wild Bird Conservation Act Import Permit		✓	
	Migratory Bird Treaty Act Import Permit		✓	
	Injurious Species Import Permit		✓	
	Bald and Golden Eagle Protection Act Import Permit			
	Transportation Documents			✓
	Transaction Documents			✓
	Commodity Specific Documents			✓
Veterinary Permits, CDC Permits, ATA carnet			✓	

* CITES paper form still required





Requirements for Partner Government Agency Information in ACE & Methods for Import Data Submission

POST RELEASE AGENCIES

These agencies may require forms to be submitted electronically post release, upon request:

Department of Agriculture - Foreign Agricultural Service

Program/ Commodity	Form or Data Description	Form #	Required Upon Request	
			Message Set	DIS
FAS - General	Certificate of Quota Eligibility	961		✓
	Specialty Sugar Certificate			✓
	General Note 15(c) Letter of exemption from Quota			✓

Department of Commerce - Office of Textiles and Apparel

Program/ Commodity	Form or Data Description	Form #	Required Upon Request	
			Message Set	DIS
OTEXA - General	Dominican Republic Earned Import Allowance Program			✓
	Haiti Earned Import Allowance Program			✓

Department of Homeland Security - Customs and Border Protection (Continued)

NOTE: The following records may be transmitted to CBP electronically or in paper form, either as part of an entry summary or in a response a CBP request for records made after submission of an entry summary.

Program/ Commodity	Form or Data Description	Form #	Required Upon Request	
			Message Set	DIS
Trade Transactions	Entry Summary Package	4315		✓
	Application for Allowance in Duties	4315		✓
	Notice of Action	29		✓
	Notice to Mark and/or Notice to Redeliver	4647		✓
	Passport, Driver's License, Government Issued ID			✓
	Certificate of Registration for Personal Effects Taken Abroad	4457		✓
	Declaration for Free Entry of Unaccompanied Articles	3299		✓
	Cost Submission	247		✓





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Department of Homeland Security - Customs and Border Protection (Continued)

Program/ Commodity	Form or Data Description	Form #	Required Upon Request	
			Message Set	DIS
	Certificate of Origin	3229		✓
	Other			✓
	Request for Information	28		✓
	CAA FAA Certificate			✓
	Steel Import License	7501/ITA-4141(P)		✓
	Invoice Worksheet			✓
	Licensee Agreement			✓
	Licensing Agreement			✓
	Maintenance Agreement			✓
	Service Agreement			✓
	Serial Numbers Document			✓
	Export Manifest Package			✓
	Delivery Certificate	7552		✓
Trade Transactions	Declaration of Free Entry of Returned American Products	3311		✓
	Photos or Image of Maintenance Agreements, UPCs, Bar Codes, Serial Numbers, Product, Outer Carton with Markings, Container Being Loaded Showing Cartons and/or Seal			✓
	Label			✓
	Generic Document			✓
	Vehicle Title/Certificates			✓
	Permit			✓
	Duty Free Entry Certificate			✓
	Informal for Vessel Repairs, Parts and Equipment			✓
	Declaration of Artist, Seller, or Shipper, Curator, Etc.			✓
	Declaration by Institution			✓
	Declarations for Articles Exported and Returned			✓
	Purebred Breeding Certificate			✓
	Documents for Commercial Samples, Tools, Theatrical Events			✓





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Department of Homeland Security - Customs and Border Protection (Continued)

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
	Automotive Products Certificate			✓
	Master Records and Metal Matrices: Detailed Statement of Cost of Production			✓
	Declarations for Copper Fluxing Material			✓
	Declaration of Non-Beverage Ethel Alcohol (ATF Permit)			✓
	PTPA Records that the Importer may have in Support of a PTPA Claim for Preferential Tariff Treatment, Including Importer's			✓
	Certificate from the U.S. Department of State for Visual/Auditory Materials			✓
	Stipulation for Government Shipments and/or Certification for Government Duty-Free Entries			✓
	Report for Rescue and Relief Equipment			✓
	Certificate of Importer of Crude Petroleum			✓
	Certificate if Fresh, Chilled, or Frozen Beef			✓
Trade	European Community Cheese Affidavit			✓
Transactions	HHS Permit for Milk or Cream Importation			✓
	Notice of Arrival for Plant and Plant Products			✓
	Boat Declarations and U.S. Coast Guard Exemption	CG-5096		✓
	Certificate of Match Inspection and Importer's Declaration			✓
	Certificate of Origin/Declarations for Goods Made by Forced Labor, Etc.			✓
	Declaration for Switchblade Knives			✓
	Cultural Property Declarations, Statements and Certificates of Origin			✓
	Pre-Columbian Monumental and Architectural Sculpture and Murals: Certificate of Legal Exportation; Evidence of Exemption			✓
	Documentation Supporting Importer's Certification on Jadeite, Rubies, or Articles of Jewelry Containing Jadeite or Rubies, including Exporter's Certification			✓
	Re-Melting Certificate			✓
	Declaration by Importer of Use of Certain Metal Articles			✓





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Department of Homeland Security - Customs and Border Protection (Continued)

Program/ Commodity	Form or Data Description	Form #	Required for Pilot Participants	
			Message Set	DIS
	CAA FAA Certificate			✓
	U.S. - Chile FTA: Certification of Origin, Certificate of Eligibility, Corrected Claim/Statement, and other records			✓
	U.S. - Singapore FTA: Supporting Statement, Certificate of Eligibility, Corrected Claim/Statement, and other records			✓
	U.S. - Australia FTA: Supporting Statement, Corrected Claim/Statement, and other records			✓
	U.S. - Morocco FTA: Declaration, Corrected Claim/Statement, and other records			✓
	U.S. - Bahrain FTA: Declaration, Certificate of Eligibility, Corrected Claim/Statement, and other records			✓
	U.S. - Jordan FTA: Declaration, Corrected Claim/Statement, and other records			✓
	U.S. - Oman FTA: Preferential Tariff Treatment Declaration, Trade Preference Level Declaration, Corrected Claim/Statement, and other records			✓
	U.S. - Peru FTA: Certification, Corrected Claim/Statement, and other records			✓
	U.S. - Korea FTA: Certification, Corrected Claim/Statement, and other records			✓
	U.S. - Colombia FTA: Certification, Corrected Claim/Statement, and other records			✓
Trade Agreements	Dominican Republic- Central America - U.S. FTA: Certificate of Eligibility, Corrected Claim/Statement, and other records			✓
	U.S. - Panama Trade Promotion Agreement: Certification, Corrected Claim/Statement, and other records			✓
	Israel - Qualifying Industrial Zones (QIZ) Free Trade Agreement			✓
	U.S. Insular Possession Certificate of Origin	3229		✓
	NAFTA Certificate of Origin	434		✓
	NAFTA Verification of Origin Questionnaire	446		✓
	NAFTA Motor Vehicle Averaging Election	447		✓
	Caribbean Basin Trade Partnership Act Certificate of Origin: Certificate of Origin, Corrected Declaration, and other records	450		✓
	Caribbean Basin Economic Recovery Act (CBERA)			✓
	African Growth and Opportunity Act (AGOA): Certificate of Origin Corrected Declaration, and other records			✓
	Generalized System of Preferences (GSP): Declaration and other records			✓
	Haitian Hemispheric Opportunity through Partnership Encouragement Act (HOPE)			✓

