

# ACE

# Automated Broker Interface

# Customs and Trade Interface

# Requirements

Partner Government Agency Query



U.S. Customs and  
Border Protection





# Partner Government Agency Query

*This chapter provides record formats to permit users to query Partner Government Agency Files for information on affirmation of compliance types.*

## **FOOD AND DRUG ADMINISTRATION .....OGQ-3**

The Food and Drug Administration (FDA) has created Affirmation of Compliance codes that can be queried electronically.

## **AFFIRMATION OF COMPLIANCE FILE .....OGQ-3**

A file that allows the importer to identify the compliance status of FDA merchandise.

### **RECORD DESCRIPTIONS**

#### **Record Identifier FDOP-01 (Input) ..... OGQ-8**

A mandatory Food and Drug Administration Affirmation of Compliance Types input record that provides data pertaining to the reference file code for the FDA Affirmation of Compliance Types file.

#### **Record Identifier FDOT-F2 (Output)..... OGQ-9**

A mandatory Food and Drug Administration Affirmation of Compliance Types output record that displays the FDA Affirmation of Compliance Type Code.

#### **Record Identifier FDOT-F3 (Output).....OGQ-10**

A mandatory Food and Drug Administration Affirmation of Compliance Types output record that provides the FDA affirmation type code, FDA affirmation of compliance type description, and qualifier indicator.

#### **Record Identifier FDOT-F9 (Output).....OGQ-11**

An optional Food and Drug Administration Affirmation of Compliance Types output record that provides an error message and error message number.



**Table of Changes .....**

<b>Revision Number</b>	<b>Date of Change</b>	<b>Section(s) Affected</b>	<b>Brief Description of Change</b>
1	May 13, 2016	FDNT2	Correction to description to reflect actual programming.
2	November 25, 2016	Product Code File	Product code query removed from chapter. Will not be developed for ACE.
2	November 25, 2016	Establishment identifiers	References to the FEI query have been removed.

## Other Government Agency Query

This chapter provides record formats to permit users to query Other Government Agency Files for information on product codes, and affirmation of compliance types.

### Food and Drug Administration

The Food and Drug Administration (FDA) has created an Affirmation of Compliance file that can be queried electronically.

### Affirmation of Compliance File

The Affirmation of Compliance file allows the importer to identify the compliance status of FDA merchandise.

**Input:** Record Identifier FDOP-01 is a mandatory FDA input record to query the description of an Affirmation of Compliance Type code.

The application identifier on Record Identifier B is AA. For additional information on Record Identifier B, refer to the ACE ABI Batch & Block Control chapter of this publication.

**Output:** Record Identifiers FDOT-F2 and FDOT-F3 are mandatory FDA output records that provide a description of the Affirmation of Compliance Type code queried. Record Identifier FDOT-F9 is an optional FDA output record that provides an error message.

The application identifier on Record Identifier B is AY. For additional information on Record Identifier B, refer to the ACE ABI Batch & Block Control chapter of this publication.



## Record Identifier FDOP-01 (Input)

This is a mandatory Food and Drug Administration (FDA) Affirmation of Compliance Types input record that provides data pertaining to the reference file code for the FDA Affirmation of Compliance Types file.

<b>Record Identifier FDOP-01 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal FD.	
Reference File Code	2AN	3-4	M	Must always equal F1.	
FDA Affirmation of Compliance Type Code	3AN	5-7	M	A code identifying the type of FDA Affirmation of Compliance. To review all Affirmation of Compliance Codes, input <i>ALL</i> .	
Filler	73AN	8-80	M	Space fill.	



## Record Identifier FDOT-F2 (Output)

This is a mandatory Food and Drug Administration Affirmation of Compliance Types output record that displays the FDA Affirmation of Compliance Type Code. This record is followed by the FDOT-F3 record or the FDOT-F9 error record.

<b>Record Identifier FDOT-F2 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal FD.	
Reference File Code	2AN	3-4	M	Must always equal F2.	
FDA Affirmation of Compliance Type Code	3AN	5-7	M	The FDA Affirmation of Compliance Type Code as input on the FDOP-F1 record.	
Filler	73AN	8-80	M	Space fill.	



## Record Identifier FDOT-F3 (Output)

This is a mandatory Food and Drug Administration Affirmation of Compliance Types output record that provides the FDA affirmation type code, FDA affirmation of compliance type description, and qualifier indicator.

<b>Record Identifier FDOT-F3 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal FD.	
Reference File Code	2AN	3-4	M	Must always equal F3.	
FDA Affirmation of Compliance Type Code	3AN	5-7	M	A code indicating the reason for the compliance.	
FDA Affirmation of Compliance Type Description	45X	8-52	M	A description of the Affirmation of Compliance Type code.	
Qualifier Indicator	1AN	53	M	A code of Y (yes) indicates the qualifier must be transmitted with the entry certified for cargo release; otherwise, space fill.	
Filler	27AN	54-80	M	Space fill.	



## Record Identifier FDOT-F9 (Output)

This is an optional Food and Drug Administration Affirmation of Compliance Types output record that provides an error message and error message number.

<b>Record Identifier FDOT-F9 (Output)</b>					
<b>Data Element</b>	<b>Length/Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal FD.	
Reference File Code	2AN	3-4	M	Must always equal F9.	
Error Message	30AN	5-34	M	A narrative explanation of the error message.	1
Filler	1AN	35	M	Space fill.	
Error Message Number	3AN	36-38	M	A number identifying the error message.	1
Filler	42AN	39-80	M	Space fill.	

### Note 1

Valid Error Message Numbers and their descriptions are:

<b>Number</b>	<b>Description</b>
<b>JAF</b>	No Data Found for Key
<b>IM5</b>	Invalid Data Entered
<b>AI8</b>	Input Fields Are Blank