CBP and Trade Automated Interface Requirements

ACE Cargo Release

July 15, 2016 Version 18

U.S. Customs and Border Protection

ACE Cargo Release / SE

This chapter provides records pertaining to ACE Cargo Release (formerly known as Simplified Entry) processing.

Note to reader:

This document is not intended to be a stand-alone handbook to conducting business with U.S. Customs and Border Protection (CBP).

This CATAIR chapter provides direction on format and syntax for submitting the ACE Cargo Release (SE) transaction dataset, and for submitting a single dataset which satisfies the filing requirements for both Importer Security Filing (ISF) and Cargo Release. Information included regarding definitions, business rules and input parameters is present for the purpose of facilitating the implementation of various statutes, regulations, directives, and policy.

While primarily a technical document, this text is written with the presumption that the reader has some familiarity with applicable CBP laws, regulations, policies and procedures.

Be Advised:

A filer who transmits data or information through any electronic means to CBP certifies, by the act of transmitting such data or information, that the data or information transmitted is true and correct to the best of the filer's knowledge and belief. The filer also declares that all statements in an electronically transmitted entry, entry summary, invoice, claim, or other filing and all other information or data filed with the entry are true and correct to the best of the filer's knowledge and belief, and that the filer will produce at once any data or information showing that the statements are not true and correct.

TRANSACTION PROCESSING SE-16
A description of the records required to process an ACE Cargo Release (SE) transaction.

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UNIFIED ENTRY/ISF (IMPORTER SECURITY FILING) SE-27

IMPORTER SECURITY FILING (SF) INPUT RECORDS USAGE MAP SE-29

RECORD DESCRIPTIONS

Record Identifier SE10 (Input) SE-30
A mandatory record that provides data pertaining to the update action.

Record Identifier SE11 (Input) SE-35
This is a conditional record that provides additional data according to the specific entry needs.

Record Identifier SE13 (Input) SE-39
This is a conditional record that provides data pertaining to corrections/cancellation requests.

Record Identifier SE15 (Input) SE-41
A mandatory record that provides data pertaining to the bill of lading numbers.

Record Identifier SE16 (Input) SE-47
This is a conditional record that provides conveyance data pertaining to the preceding bill of lading.

Record Identifier SE17 (Input) SE-49
This is an optional record that provides equipment data pertaining to the preceding bill of lading.

Record Identifier SE20 (Input) SE-50
A conditional record that provides reference data.

Record Identifier SE30 (Input) SE-52
A mandatory record that identifies the commercial entities being reported at the header level.

Record Identifier SE35 (Input) SE-55
A conditional record that is used to report the street number, street name and additional addressing information, such as the suite/floor number and building name.

Record Identifier SE36 (Input) SE-56
A conditional record that is used to report geographical data pertaining to the city, state/province, postal code and country.

Record Identifier SE40 (Input) SE-57
A mandatory record that provides data pertaining to the line number and country of origin.

Record Identifier SE41 (Input) SE-58
A conditional record that provides data pertaining to FTZ Status and Unit Quantity reporting.

Record Identifier SE50 (Input) SE-60
A mandatory record that identifies the commercial entities being reported at the line level.

Record Identifier SE55 (Input) SE-63
A conditional record that is used to report the street number, street name and additional addressing information, such as the suite/floor number and building name.

Record Identifier SE56 (Input) SE-64
A conditional record that is used to report geographical data pertaining to the city, state/province, postal code and country.

Record Identifier SE60 (Input) SE-65
A mandatory record used to report the tariff number and line item value.

Record Identifier SE61 (Input) SE-64
A conditional record that is used to report the current HTS classification for the merchandise when Privileged Foreign status is declared in the preceding SE41 record and the associated HTS declared in the preceding SE60 record is no longer an active HTS number.

Record Identifier SF10 (Input)..... .... SE-66
A mandatory record that provides the ISF Importer number to which the ISF submission is related.

Record Identifier SF20 (Input)..... .... SE-71
This is a conditional input record that provides reference data.

Record Identifier SF25 (Input)..... .... SE-71
This is an optional input record that is used to report information for each container.

Record Identifier SF30 (Input)..... .... SE-73
A mandatory record that identifies the commercial entity being reported.

Record Identifier SF31 (Input)..... .... SE-76
An optional record that provides the secondary name of the commercial entity.

Record Identifier SF35 (Input)..... .... SE-77
A conditional record that is used to report the street number, street name and additional addressing information, such as the suite/floor number and building name.

Record Identifier SF36 (Input)..... .... SE-78
A conditional record that is used to report geographical data pertaining to the city, state/province, postal code and country.

Record Identifier SE90 (Output) SE-79
This is a mandatory output record used to provide acceptance/rejection information to the filer.

APPENDICES

Appendix A SE-80
Instructions for Submission of Documents via E-mail to CBP Document Imaging System (DIS)

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Example Scenarios For Filing When A Split Shipment Is Involved

TABLE OF CHANGES

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change

18
Updated
	June 15, 2016	a. Table of Contents
		b. Transaction Processing
		c. Input Record Usage Map
		d. Record Layouts
			Updated page numbers and page hyperlinks
			
			Updated text throughout the document - "SE" replaced where appropriate with "ACE Cargo Release (SE)".
			
			Updated text of Transaction Processing:
			• Updated list of eligible MOT types
			• Updated list of eligible entry types
			• Updated references to local port clusters for cross-port processing
			• Replaced sub-section *General Rules* with new sub-section *Record Layout Key*.
			• Text of *Record Layout Key* aligns with ACE CATAIR Appendix Q, Record Layout Key, dated July 10, 2013.
			• Added sub-section *Additional Record Key Layout Rules* to further clarify format reporting in this CATAIR Chapter.
			
			Updated the text of *Note 2* of the Input Record Usage Map to make the filing of the SE13 record mandatory when submitting an SE transaction with Action Code = 'D'.
			
			Updated the header text of Input Usage Map for submitting an SE transaction with Action Code = 'U'.
			• Added *Notes* 1, 2, and 3
			
			Updated SE10 record:
			• Updated description text to the following data elements to clarify reference to ACS CATAIR Chapters publication appendices:
			  ○ *Entry Number*
			  ○ *Entry Type*
			  ○ *Mode of Transportation (MOT) Code*

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			• Updated text of *Note 2* removing the notation that entry types 06, 21, 22, and 23 are for "For Future Use" • Added text to Note 7 regarding a derived Port of Entry when an In-Bond is associated with the shipment. • Updated text of Notes 9 and 10 to remove 'For Future Use' designation • Updated text of Note 9 to reflect local port clusters for cross-port processing. Updated SE11 record: • Updated Description text for *Elected Exam Site (FIRMS).* • Updated Data Element text for *Conveyance Name or FTZ Zone ID* with important comment. • Updated Note 1 with a raw data text format example. • Updated Note 2 to reflect local port clusters for cross-port processing. • Updated text of Note 6 to correct format example and added a raw data text format example. Updated SE15 record: • Updated Description text for *Bill Type Indicator* specifying the use of Bill Type R for Mode Truck and Mode Rail, and references to new Note 9. • Updated text of Note 1 with new section on reporting of Bills of Lading on Rail shipments. • Updated text of Note 8 specifying use of Bill Type R for Mode Truck • Added new Note 9 • Updated Description text for *Issuer Code of Bill of Lading Number*.

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			• Updated Description text for *Bill of Lading Number*, and references to new Note 9. • Updated Description text for *Quantity*, and references to new Note 9. • Updated text of Note 1 • Updated text of Note 2 • Removed 'For Future Use' designation from Note 3. • Updated Note 9 Updated SE16 record: • *Carrier Code*: Added reference to new Note 3 regarding MOT 60 • *Voyage/Flight/Trip Manifest Number*: Added reference to new Note 3 regarding MOT 60 • *Date of Arrival*: Added reference to new Note 3 regarding MOT 60 • *Quantity*: Added reference to new Note 3 regarding MOT 60 • Added new Note 3 regarding MOT 60, Passenger, Hand Carried. • Updated Note 3 Updated SE20 record: • Added new Reference Identifier Qualifier, RRN. • Updated text of Note 1 providing detail formatting direction for various *Reference Identifier Qualifier* values • Added text in Note 1 referring reader to new Appendix B for CBP policy guidance on use of *Reference Identifier Qualifier*, KII. • Added text in Note 1 regarding *Reference Identifier Qualifier*, RRN. • Updated text in Note 1 regarding *Reference Identifier Qualifier*, CR.

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			Updated SE30 record: • Updated text of Note 3 regarding record input format. Updated SE41 record: • Updated text of Note 2 with raw data text format example. Updated SE50 record: • Updated text of Note 3 regarding record input format. Updated SE60 record: • Updated text of Note 1 with raw data text format example for entry type 23. Updated SE61 record: • Updated text of Note 1 with raw data text format example for entry type 06. Updated SF30 record: • Updated text of Note 2 regarding record input format.
17	May 25, 2016	a. Input Records	Updated SE10 record: • Removed "Future Use" for MOTs 32, 33, and 34.
16	May 13, 2016	a. Record Layouts	Updated SE10 record: • Updated description for Port of Unlading to remove the condition making this data element mandatory for filings with PGA data included.
15.1	Oct. 8, 2015	a. Record Layouts	Updated SE10 record: • Updated description text to the following data elements to clarify reference to CATAIR Chapters publication appendices:

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			○ *Entry Number* ○ *Entry Type* ○ *Mode of Transportation (MOT) Code* • Updated text of Note 1 for clarification and to bring it in line with the Notes for the Input Record Usage Maps • Updated text of Note 1 to indicate that the SE11 Record may be included when the Action Code is "U" (Update) Updated SE11 record: • Updated description text of *Originating Warehouse Entry Number* to clarify reference to CATAIR Chapters publication appendix. • Updated text of Note 4 regarding the use of Action Code "U"(Update) to update the *Location of Goods* data element. Updated SE15 record: • Added Note 8 regarding use of *Bill Type Indicator*. • Corrected description text of *Non-AMS indicator* to refer to Note "5". Updated SE41 record: • *Zone Status* corrected to show that it is Mandatory when the SE41 record is provided. • Updated description text for *Privileged FTZ Merchandise Filing Date* for added clarity. Updated SE61 record: • Updated description text of *Control Identifier* to read "Must always equal SE**6**1". Removed reference to Legacy Customs-Assigned Number format which expired July 1, 1992 from the following: • SE30, Note 3

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			• SE50, Note 3 • SF30, Note 2 Updated Appendix A: • Now the text directs user to the DIS CATAIR Chapter, Appendix B.

15	Sept 9, 2015	a. Table of Contents
		b. Transaction Processing
		c. Rules for ACE Cargo Release Test
		d. Input Records Usage Map
		e. Output Records Usage Map
		f. Record Layouts
		g. Appendices
			Updated Table of Contents:
			• Added new records, SE41 & SE61
			• Added Appendix B
			• Added Appendix C
			• Updated Page Numbers and page hyperlinks
			Added text regarding Consignee reporting for low value shipments
			Updated Rules for ACE Cargo Release Test
			• Added modes of transportation
			• Added entry types
			Added new records SE41 and SE61 to the Input and Output Record Usage Maps
			Added two new Input Record Usage Maps specific to filing:
			• SE with a D (Cancel) action; and
			• SE with a U (Update) action
			Updated SE10 record:
			• Added Note 11
			• Updated Description text for *Planned Port of Entry*
			• Updated text of Note 1
			• Updated text of Note 2
			  o added entry types 06 & 52
			• Updated text of Note 5
			  o added modes 50, 60, 70
			• Updated text of Note 7
			Updated SE11 record:
			• Changed data element name of *Conveyance Name* to *Conveyance Name or FTZ Zone ID*
			• Added new data element *Immediate Delivery Indicator*
			• Updated Description text for:

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			○ *Entry Date Election Code* ○ *Elected Entry Date* ○ *Conveyance Name or FTZ Zone ID* • Updated text of Notes 1, 4, and 5 • Added Note 6 • Added Note 7 Updated SE13 record: • Updated Note 1 ○ Added new Cancellation Reason Code 12 ○ Updated text • Updated text of Note 2 Updated SE15 record: • Added text in record description specifying that SE15 record is not used for entry type 06. • Updated Description text for *Bill Type Indicator* specifying the use of Bill Type R for Mode Pipeline • Updated Description text for *Issuer Code of Bill of Lading Number* • Added Note 4 to: ○ *Issuer Code of Bill of Lading Number* ○ *Bill of Lading Number* • Added Note 3 to Quantity • Added Filler in Positions 68-72 to modify and account for the removal of data element *Unit of Measure* • Updated Note 4 regarding filing of bills of lading in mode 70,Pipeline • Updated Note 5 • Added Note 7 Updated SE16 record: • Added text in record description referring reader to new Appendix C for Split Shipment scenario filing examples

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			• Added text in record description specifying that SE16 record is not used for entry type 06. • Added (inserted) new data element, *Unit of Measure* • Updated Position details for *Conveyance Name* • Updated Length and Position details for *Filler* • Updated text of Note 1 • Updated text of Note 2 Updated SE17 record: • Added text in record description specifying that SE17 record is not used for entry type 06. • Updated text for Description of *Equipment Number* Updated SE20 record: • Updated text of Note 1 providing detail formatting direction for various *Reference Identifier Qualifier* values • Added text in Note 1 referring reader to new Appendix B for CBP policy guidance on use of *Reference Identifier Qualifier*, KII. Updated SE30 record: • Added text to record description regarding Consignee reporting for low value shipments • Added (inserted) Note 2 regarding Consignee reporting for low value shipments • Renamed former Note 2 to now be Note 3. Added new conditional record SE41for use with entry type 06 FTZ. Updated SE50 record: • Added text to record description regarding Consignee reporting for low value shipments

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			• Added text to record description regarding maximum use of SE50 within the SE Line Grouping • Added (inserted) Note 2 regarding Consignee reporting for low value shipments • Renamed former Note 2 to now be Note 3. Updated SE60 record: • Removed three data elements o *Zone Status* o *Privileged FTZ Merchandise Filing Date* o *FTZ Line Quantity* *(These elements are now located in the new SE41 record) • Updated the Length and Position detail for the *Filler* Added new conditional record SE61for use with entry type 06 FTZ. Added Appendix B Added Appendix C
14	Jan 31, 2015	h. Table of Contents i. Updated rules for ACE Cargo Release Test j. Input Records Usage Map k. Record Layouts	Added Appendix A Added and updated entry types in SE10 record. Added mode of transportation codes in SE10 record. Added new element and note to SE11 record. Added new element and note to SE15 record. Added new element to SE16 record. Added new element to SE60 record.
13	Dec 8, 2014	a. Input Records Usage Map b. Output Records Usage Map c. Record Layouts	Updated max use count for Header Level Entity Grouping in input records usage map and output records usage map. Updated Notes 2 and 9 of SE10 record. Updated Note 2 for SE11 record. Updated description for elements in SE13 record. Added Note 3 to SE15 record. Updated description for elements on SE17 record.

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			Updated Note 1 of SE20 record. Added details about Buyer and Ship To entities in SE30 record. Updated Note 1 of SE30 record. Added details about Buyer and Ship To entities in SE50 record. Updated Note 1 of SE50 record.
12	August 26, 2014	l. Table of Content m. Usage Map n. Transaction Processing o. Record Layouts	Updated max use count for SF31 record in the Importer Security Filing Input Record Usage map. Updated Notes 5, 9, and 10 of SE10 record. Added new elements to SE11 record. Added Notes 2 and 3 for SE11 record. Added Reference Qualifiers EXP and KII to SE20 record and added text to Note 1. Updated description and Note 1 of SE30 record. Updated description and Note 1 of SE50 record. Updated description, Note 4 and Note 5 of SF10 record. Updated description and Note 2 of SF30 record.
11	April 30, 2014	a. Table of Content b. Usage Map c. Transaction Processing d. Record Layouts	Added listings for ISF Usage map and SF10, SF20, SF25, SF30, SF31, SF35, SF36 records to the Table of Content. Added Note 1 to Input Usage Map. Added Input Usage Map for ISF reporting. Updated Transaction Processing detail for Unified Entry/ISF filing. Added text to Note 1 of SE20 record clarifying input format of replacement entry number. Added text to Note 1 of SE15 record clarifying that Bill Quantity is not reported in the SE15 when the Bill Type Indicator is “I” (In-Bond). Added text to Note 1 of SE30 record to provide for BKP (Booking Party). Edited descriptive text of SE40 to clarify that this record occurs only once in an SE Line Grouping. Added SF10, SF20, SF25, SF30, SF31, SF35, SF36 records.

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			Updated Rules for Phase Three of the ACE Cargo Release Test. Updated Note 6 on SE10 record. Added Reference Qualifiers V1 and AMT to SE20 record.
10	Feb 07, 2014	a. Transaction Processing b. Record Layout	Added Truck mode of transportation. Updated Note 5 on SE10 record. Updated Note 2 on SE30 and SE50 records.
9	Nov 15, 2013	a. Record Layouts	Added SE11 record to allow reporting data for certain entry types. Added SE17 record to allow reporting container or equipment data. Added data element to SE60 record to allow reporting zone status.
8	July 24, 2013	a. Record Layouts	Added data element to the SE10 record to allow the reporting of a split shipment release code. Added SE13 record and added qualifiers to the SE20 record to allow the reporting of additional data elements for a correction / cancellation request. Added qualifiers to the SE15 record to allow reporting in-bond number. Added SE16 record to allow reporting of conveyance information for a split bill. Added a message type code to the SE90 record to indicate that a correction / cancellation request has been referred to human review.
7	May 25, 2012	a. Transaction Processing b. Record Layouts	Correction to transaction processing commentary. Modified Note 1 in the SE10 record.
6	March 7, 2012	a. Record Layouts	Modified data element names in the SE40 and SE60 records.
5	February 28, 2012	a. Transaction Processing b. Record Layouts	Added more detail to the transaction processing commentary. Modified SE10 record to remove Bond Holder
4	February 21, 2012	a. Usage Map b. Record Layouts	Updated the usage map to add a looping structure for the SE15 record. Modified SE10 record to allow for possible future expansion of the Entry Filer Code

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
			and/or Entry Number; to add action code 'U'; and to rename the Estimated Shipment Value element to Estimated Entry Value. Modified SE15 record to clarify how to report Bill of Lading information.
3	January 18, 2012	a. Transaction Processing b. Usage Map c. Record Layouts	Correction to transaction processing commentary. Correction to output usage map. Removed FR as a supported entity identifier qualifier.
2	December 16, 2011	a. Table of Content b. Transaction Processing c. Record Layouts	Added commentary and rules for the Initial Pilot Test Phase. Added Simple / Regular Bill of Lading type in SE15 record. Renamed line level party records.
1	November 14, 2011	a. Table of Content b. Record Layouts	Initial Draft

ACE Cargo Release

This chapter contains sections pertaining to ACE Cargo Release (SE) transaction processing.

Transaction Processing

ACE Cargo Release processing in this submission will be available to importers and customs brokers acting on behalf of an importer. The transaction set identifier for this input set is SE, and the response from CBP will be in the SX output transaction set. The cargo release processing status from CBP will be in the SO output transaction set described in the SO chapter of the CATAIR.

To cancel a previously accepted ACE Cargo Release, both the SE10 and SE13 records are required; the Mandatory/Conditional status indicated for all other records applies to “Add” or “Replace” actions only. After a "D" (Cancel) action has been accepted by CBP, the entry remains on file with a status of Cancelled. The entry number associated with the Cancelled entry cannot be reused.

An ACE Cargo Release can be associated with one or more Bills of Lading. With the exception of a single entry for a Split Shipment (i.e. cargo tendered to the carrier as a single shipment under a single bill of lading for carriage on a single conveyance, and subsequently split by the carrier for transport on multiple conveyances) all Bills of Lading must arrive at the U.S. Port of Unlading on a single conveyance.

One or more SE15 records may be used to report all the required Bill of Lading numbers. For Air mode of transportation:

- If an Express Carrier Tracking Number is used, then the AWB prefix must be reported along with the tracking number in the Bill of Lading Number data element.
- If a House Bill of Lading is issued for the cargo under a Master Bill of Lading, then two SE15 records must be used with the AWB Prefix and Master Bill of Lading number reported in the Bill of Lading Number data element of the first record, and the House Bill of Lading number reported in the Bill of Lading Number data element of the second record.
- If a Simple Bill of Lading number is used, then AWB prefix must be reported along with the Simple Bill of Lading number in the Bill of Lading Number data element.

There is one Importer of Record per ACE Cargo Release transaction, and this is reported in the SE10 record only; all other required parties can be reported at the header or line level. The SE30, SE35, and SE36 records are used to report a party at the header level; the SE50, SE55, and SE56 records are used to report a party at the line level. Consignee (CN) and Manufacturer (MF) are required at the line level; however, if all line items have the same Consignee or Manufacturer, then that party may be reported at the header level only and will be deemed to be associated to all line items. The remaining parties are required at the header level, though may be reported at the line item if that allows for more accurate reporting.

The Importer of Record and Consignee are reported using an identifier in an Importer of Record number format. Refer to the Notes to the SE30 and SE50 record sets for information about an exception for low value shipments when the entity name and address may be reported for a Consignee. All the other parties are required to be reported using a name and address.

The output transaction will always return the SE10 and SE15 records, as well as any record on which an error occurs. Each record that has an error will be followed by one or more SE90 error record describing the error. A final transaction-level SE90 record is returned at the end of the transaction indicating the overall acceptance or rejection of the transaction.

Rules covered by this draft of the Cargo Release Implementation Guide

1. Only Air, Ocean, Rail, Truck, Pipeline, Mail, Passenger, Auto, Pedestrian, and 'Road, other. Includes foot and animal-borne' modes of transportation;
2. Only Entry Types 01, 02, 03, 06, 07, 11, 12, 21, 22, 23 and 52;
3. Only a continuous bond and an electronically-filed single transaction bond may be used to support the entry filing at this time;
4. The filing of entries on unassembled or disassembled entities will not be supported at this time.
5. If the Port of Entry is different from the Port of Unlading, and, the Port of Entry and Port of Unlading are not both part of the same local port cluster for cross-port processing, then no release will be issued unless an In-Bond is found to be associated with the bill of lading in the CBP system.
6. If an In-Bond number is provided in the entry submission, the Planned Port of Entry must also be provided.
7. When the ACE Cargo Release (SE) transaction is used the corresponding entry summary must be filed in ACE.
8. Entries that require additional data for another government agency will not be accepted unless the specific PGA filing is one that CBP has announced it is supporting with the ACE Cargo Release (SE) transaction data set.
9. Use of CBP-created Encrypted Number [Format: **-CCCCCCCCCCC]** for Consignee is not accommodated for the ACE Cargo Release (SE) transaction at this time.
10. In a Unified Entry/ISF filing, the Importer of Record for Entry purposes and the ISF Importer <u>must</u> be the same entity, with the same Importer of Record Number.
11. In a Unified Entry/ISF filing, the entry must be for the full manifested bill of lading quantity.
12. In a Unified Entry/ISF filing, the same bond must cover both the import entry/entry summary transaction and the Importer Security Filing transaction.

Key Data Elements To Be Filed

Data Element Name	Record Identifier	Requirement
Importer of Record Number	SE10 record	**Mandatory**
Buyer Name and Address	SE30, SE35, and SE36 records; or SE50, SE55, and SE56 records	**Mandatory**
Consignee Number	SE30 record or SE50 record	**Mandatory**
Seller Name and Address	SE30, SE35, and SE36 records; or SE50, SE55, and SE56 records	**Mandatory**
Ship To Party Name and Address	SE30, SE35, and SE36 records; or SE50, SE55, and SE56 records	**Optional**
Consolidator Name and Address	SE30, SE35, and SE36 records; or SE50, SE55, and SE56 records	**Optional**
Container Stuffing Location Name and Address	SE30, SE35, and SE36 records; or SE50, SE55, and SE56 records	**Optional**
Manufacturer / Supplier Name and Address	SE30, SE35, and SE36 records; or SE50, SE55, and SE56 records	**Mandatory**
HTS 10-digit number	SE60 record	**Mandatory**
Country of Origin	SE40 record	**Mandatory**
Bill of Lading / House Air Waybill Number	SE15 record	**Mandatory**
Bill of Lading issuer code	SE15 record	**Conditional**
Entry Number	SE10 record	**Mandatory**
Entry Type	SE10 record	**Mandatory**
Estimated Entry Value	SE10 record	**Mandatory**

Record Layout Key

This sub-section is copied from **ACE CATAIR Appendix Q, dated July 10, 2013**, and provides the record layout key for ACE ABI CATAIR chapters. It describes the columns of the record layout definitions contained in ACE ABI CATAIR chapters. Please note that this key is *different* from the record layout key for the ACS ABI CATAIR.

Data Element:
Contains the name of the reported data field.

Length:
Indicates the maximum, allowed length of the reported data element.

Class:
Defines the domain of values accepted for the data element. The class definition represents the accepted domain to be used in **all** filing scenarios. Class codes are as follows:

S = Space ONLY.
A = Alphabetic Data. Consists of characters A through Z (uppercase ONLY).
N = Numeric Data Only. Consists of numerals 0 through 9.
(S)N = Numeric Data Variation. May contain '**Numeric Data Only**' **or** may consist of one or more spaces followed by numerals 0 through 9. Must consist of at least one right justified numeral. This variation of numeric class may be used for amounts, rates, and counts (where specified).
AN = Alphanumeric Data. Consists of characters A through Z (uppercase ONLY), numerals 0 through 9, and space.
D = Known Date. Consists of numerals 0 through 9 (format MMDDYY).
X = Special Data. Consists of characters A through Z (uppercase ONLY), numerals 0 through 9, space, and any other character found on a standard keyboard. The following characters are accepted:

! @ # $ % ^ & * ( ) - _ = + [{] } \ | ; : ' " , < . > / ? ` ~

Generally, the numeric data class is reserved for a data element used as an integer. It may also include a data element used as a sequencer (e.g., a line number).

Generally, any identifier or code is classed as alphanumeric. Note that this would include an identifier or code that may be required to be reported as all numerals.

Position:
Indicates the beginning and ending position, respectively, of the reported data element within the 80-character record.

Designation:

Indicates the reporting requirement as follows:

M = Mandatory. A value conforming to the listed class is required in all filing scenarios.

C = Conditional. A value conforming to the listed class may or may not be required for a particular filing scenario. The usage notes will describe the specific cases in which the data element is required or not allowed to be reported.

O = Optional. A value conforming to the listed class will be conditionally accepted if provided. An optional data element may be subject to validation, however.

Description:

Defines the data element and provides a further description of how it is to be reported. May include a list of acceptable values, an exception or further specification of the data class, and/or various rules regarding format, justification, and truncation.

Note:

Points to a further discussion regarding the reporting of the particular data element.

Additional Record Key Layout Rules

Unused fields, which are defined as numeric, should not be zero-filled. These fields should be space filled or data errors may result.

Data should not be placed in fields defined as filler. If data is present in filler fields, an error message may be system generated.

When transmitting data, all letters must be upper case. While some applications may not return specific error messages when detecting lower-case letters, CBP cannot guarantee the consistency of processing results for data transmitted in lower case.

All data elements are in fixed-length format. If all positions are not filled, the formatting rules are:

Code	Description
A	Left justify and Space fill.
AN	Left justify and Space fill.
N	Right justify and zero-fill.
X	Left justify and Space fill.

<u>Note</u>: Unless otherwise specified, if there is no data to insert in a field, Space fill.

INPUT RECORD USAGE MAP

The following tables illustrate how the automated interface expects repeating groups to be structured within an input message.

Record ID	Name	Req. Des.	Max Use	Loop Repeat	Notes
	Block Control Grouping		M	1	**1**
	Transaction Control Headers (A,B)		M		
	SE Header Grouping		M	999	
SE10	SE Header		M	1	
SE11	SE Additional Header		O	1	
SE13	Correction / Cancellation information		O	1	
	Bill of Lading Grouping		C	999	
SE15	Bill of Lading information		M	3	
	Conveyance Grouping		C	99	
SE16	Conveyance information		M	1	
	Equipment Grouping		O	99	
SE17	Equipment information		M	1	
SE20	Reference information		C	99	
	Header Level Entity Grouping		C	8	
SE30	Entity Name and Type		M	1	
SE35	Entity Street Address		C	3	
SE36	Entity City and Country		C	1	
	SE Line Grouping		C	999	
SE40	Line Item		M	1	
SE41	FTZ Status & Unit Quantity Information		C	1	
	Line Level Entity Grouping		C	99	
SE50	Entity Name and Type		M	1	
SE55	Entity Street Address		C	3	
SE56	Entity City and Country		C	1	
	Harmonized Tariff Schedule Grouping		M	8	
SE60	Harmonized Tariff Schedule		M	1	
SE61	FTZ Privileged Foreign Status Add'l Detail		C	1	
	PGA Grouping		C	999	
OI			M	1	
PG01			M	1	
…					
PG35			C	1	
	Transaction Control Trailers (Y,Z)		M		**1**

Designation: M = Reporting Mandatory; C = Reporting Conditional; O = Reporting Optional

"D" Action to Cancel a Cargo Release transaction:

Record ID	Name	Req. Des.	Max Use	Loop Repeat	Notes
	Block Control Grouping	M		1	**1**
	Transaction Control Headers (A,B)	M			
	SE Header Grouping	M		999	
SE10	SE Header	M	1		**2, 3**
SE13	Correction / Cancellation information	M	1		**2, 3**
SE20	Reference information	C	99		
	Transaction Control Trailers (Y,Z)	M			**1**

Designation: M = Reporting Mandatory; C = Reporting Conditional; O = Reporting Optional

Note 1

Transaction Control Headers and Trailers must conform to the requirements set out in the ACE CATAIR ABI Batch & Block Control Chapter

Note 2

Both the SE10 and SE13 records are required for the submission of a cancellation action "D" at all times.

> *Previous draft versions of this ACE Cargo Release (SE) Implementation Guide provided that only the SE10 record needed to be transmitted to request the cancellation of a Cargo Release transaction if the request was made prior to a bill match of any listed bills of lading on the transaction.*
>
> ***The SE13 record is now required for the submission of a cancellation action "D".***

Prior to any bill match on the transaction, and if there is no pending review by CBP, the filer should expect the cancellation action to be automatically approved by the CBP system.

Under any of the following conditions, the filer should expect that the submission of the action code "D" to cancel the cargo release transaction will automatically result in a Cancellation Request which must be manually adjudicated by an authorized CBP user:

- The transaction is pending a review by CBP, such as *Document Requested* and *Intensive* statuses.
- There has been a bill match for at least one bill of lading listed on the cargo release transaction.
- The cargo release transaction has been released.

Note 3

Both the SE10 and SE13 records are required for the submission of a Replace action "R" at all times.

Prior to any bill match on the transaction, and if there is no pending review by CBP, the filer should expect the Replace action to be automatically approved by the CBP system.

Under any of the following conditions, the filer should expect that the submission of the action code "R" to replace the cargo release transaction will automatically result in a Correction Request which must be manually adjudicated by an authorized CBP user:

- The transaction is pending a review by CBP, such as *Document Requested* and *Intensive* statuses.
- The shipment has arrived but the cargo release transaction has not been released.

Once the shipment has arrived *and* the cargo release transaction has been released, no additional EDI changes by the trade will be accepted using the "R" Replace action. The "U" Update can still be used to correct bill of lading and manifest data for up to 15 calendar days after cargo release.

"U" Action to Update Bill of Lading and Manifest information on a Cargo Release transaction:

The "U" Update can still be used to correct bill of lading and manifest data for up to 15 calendar days after cargo release.

Please note: The Port of Entry should NOT be updated using the Update action. A business rule validation will soon be deployed to prevent this data element from being updated via the Update action. In the meantime, filers are instructed not to use the Update action to update the Port of Entry on the Cargo Release transaction.

Record ID	Name	Req. Des.	Max Use	Loop Repeat	Notes
	Block Control Grouping	M		1	**1**
	Transaction Control Headers (A,B)	M			
	n Header Grouping	M		999	
SE10	SE Header	M	1		2
SE11	SE Additional Header	O	1		3
	Bill of Lading Grouping	C		999	
SE15	Bill of Lading information	M	3		
	Conveyance Grouping	C		99	
SE16	Conveyance information	M	1		
	Equipment Grouping	O		99	
SE17	Equipment information	M	1		
	Transaction Control Trailers (Y,Z)	M			**1**

Designation: M = Reporting Mandatory; C = Reporting Conditional; O = Reporting Optional

Note 1

Transaction Control Headers and Trailers must conform to the requirements set out in the ACE CATAIR ABI Batch & Block Control Chapter

Note 2

Updating the value reported for *Planned Port of Entry* is not allowed when submitting an ACE Cargo Release (SE) with action code "U" (Update)

Note 3

The Location of Goods may be updated via the Update action.

OUTPUT RECORD USAGE MAP

The following tables illustrate how the automated interface will structure repeating groups within an output response message.

Record ID	Name	Req. Des.	Max Use	Loop Repeat	Notes
	Block Control Grouping	M		1	
	Transaction Control Headers (A,B)	M			
	SE Header Grouping	M		999	
	SE Header Error Grouping	M		1	
SE10	SE Header	M	1		
SE90	Error Record	C	9		
SE11	SE Additional Header	M	1		
SE90	Error Record	C	9		
	Correction / Cancellation Error Grouping	C		99	
SE13	Correction / Cancellation information	M	1		
SE90	Error Record	C	9		
	Bill of Lading Grouping	C		999	
	Bill of Lading Error Grouping	C		3	
SE15	Bill of Lading information	M	1		
SE90	Error Record	C	9		
	Conveyance Grouping	C		99	
	Conveyance Error Grouping	C		1	
SE16	Conveyance information	M	1		
SE90	Error Record	C	9		
	Equipment Grouping	C		99	
	Equipment Error Grouping	C		1	
SE17	Equipment information	M	1		
SE90	Error Record	C	9		
	Reference Error Grouping	C		99	
SE20	Reference information	M	1		
SE90	Error Record	C	9		
	Header Level Entity Grouping	C		8	
	Entity Name and Type Error Grouping	C		1	
SE30	Entity Name and Type	M	1		
SE90	Error Record	C	9		
	Entity Street Address Error Grouping	C		3	
SE35	Entity Street Address	M	1		
SE90	Error Record	C	9		

	Entity City and Country Error Grouping	C		1
SE36	Entity City and Country	M	1	
SE90	Error Record	C	9	
	Simplified Entry Line Grouping	C		999
	Line Item Grouping	C		1
SE40	Line Number	M	1	
SE90	Error Record	C	9	
SE41	FTZ Status & Unit Quantity Information	C	1	
SE90	Error Record	C	9	
	Line Level Entity Grouping	C		99
	Entity Name and Type Error Grouping	C		1
SE50	Entity Name and Type	M	1	
SE90	Error Record	C	9	
	Entity Street Address Error Grouping	C		3
SE55	Entity Street Address	M	1	
SE90	Error Record	C	9	
	Entity City and Country Error Grouping	C		1
SE56	Entity City and Country	M	1	
SE90	Error Record	C	9	
	Harmonized Tariff Schedule Grouping	C		8
	Harmonized Tariff Schedule Error Grouping	C		1
SE60	Harmonized Tariff Schedule	M	1	
SE61	FTZ Privileged Foreign Status Add'l Detail	C	1	
SE90	Error Record	C	9	
	PGA Grouping	C		999
OI		M	1	
PG01		M	1	
…				
PG35		C	1	
SE90	Transaction Disposition Record	M	1	
	Transaction Control Trailers (Y,Z)	M		

Designation: M = Reporting Mandatory; C = Reporting Conditional; O = Reporting Optional

Unified Entry/ISF Filing

An ISF-10 Importer Security Filing (ISF) can be included with an Entry submission by inserting the appropriate SF records immediately following the last Entry data record; i.e. the last SE60 record or the last Participating Government Agency (PG) record that is associated with the last SE60 record.

Importer Security Filing ISF-10 reporting information:

1. Manufacturer Name and Address
2. Seller Name and Address
3. Buyer Name and Address
4. Ship To Name and Address
5. Scheduled Container Stuffing Location
6. Consolidator Name and Address
7. Importer of Record*****
8. Consignee Number

The following are required ISF data elements but are not reported in the SF10 to SF36 records because they will be extracted from the required Entry data for all entry types.

9. Country of Origin
10. Harmonized Tariff Schedule

In a Unified Entry/ISF filing, the Importer of Record for entry purposes (reported in the SE10 Record) and the ISF Importer (reported in the SF10 Record) <u>must</u> be the same entity, with the <u>same</u> Importer of Record Number.

*****As part of the Unified Entry/ISF transaction processing, the CBP system will create an SF30 record with the business entity type IM (Importer of Record). The data for this record will be derived from the ISF Importer listed in the SF10 record.

The Consignee Number must be reported using an identifier in an Importer of Record number format.

To delete a previously accepted Importer Security Filing, only the SF10 record is required containing the ISF transaction number previously provided in the SN output transaction. The Mandatory/Conditional status indicated for all other records applies to “Add” or “Replace” actions only.

Response to an Importer Security Filing included with Entry data will be issued separately from the Entry Response. The output application for the ISF-10 filing is SN.

General Rules

Unused fields, which are defined as numeric, should not be zero-filled. These fields should be space filled or data errors may result.

Data should not be placed in fields defined as filler. If data is present in filler fields, an error message may be system generated.

When transmitting data, all letters must be upper case. While some applications may not return specific error messages when detecting lower-case letters, CBP cannot guarantee the consistency of processing results for data transmitted in lower case.

Data Elements

The data element descriptors are defined as:

Code	Description
A	The data element is alphabetic only.
AN	The data element is alphanumeric only.
C	The data element is special characters.
N	The data element is numeric only.
X	The data element is alphanumeric or special characters.

All data elements are in fixed-length format. If all positions are not filled, the formatting rules are:

Code	Description
A	Left justify and Space fill.
AN	Left justify and Space fill.
C	Left justify and Space fill.
N	Right justify and zero-fill.
X	Left justify and Space fill.

<u>Note</u>: Unless otherwise specified, if there is no data to insert in a field, Space fill.

IMPORTER SECURITY FILING (SF) INPUT RECORD USAGE MAP

The following SF input records must be included within the B-Y block following the last input record of the ACE Cargo Release (SE) (i.e. the last SE60 record or the last Participating Government Agency (PG) record that is associated with the last SE60 record).

Record ID	Name	Req. Des.	Max Use	Loop Repeat
	ISF Header Grouping	M		1
SF10	Provides ISF header information	**M**	1	
SF20	Provides reference data	**C**	999	
SF25	Provides container information	**O**	999	
	Entity Grouping	M		999
SF30	Identifies the type of commercial entity whose identity is being reported	**M**	1	
SF31	Provides a secondary name for the commercial entity reported in the associated SF30 record.	**O**	1	
SF35	Provides the street number, street name and optional addressing information of the suite/floor number and building name	**C**	3	
SF36	Provides geographical data pertaining to the city, state/province, postal and country	**C**	1	

Designation: M = Reporting Mandatory; C = Reporting Conditional; O = Reporting Optional

Record Identifier SE10 (Input)

This is a mandatory input record that provides data pertaining to the update action code, entry filer code, entry number, entry type, importer of record number, mode of transportation, bond type code, estimated total entry value, planned port of entry, split shipment release code, and port of unloading.

Record Identifier SE10 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE10	
Update Action Code	1A	5	M	A code representing the update action.	1
Entry Filer Code	3AN	6-8	M	A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of an entry number regardless of where the entry is filed. This code must be the same as the Entry Filer Code in the block control header record (Record Identifier B).	
Filler	2X	9-10	M	Space fill. Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number.	
Entry Number	8AN	11-18	M	Unique identifying number assigned to the Entry by the Filer. For additional information on valid entry number formats, refer to Appendix E of the ACS CATAIR Chapters publication.	
Filler	1X	19	M	Space fill.	
Entry Type	2AN	20-21	M	A code representing the entry type. Valid entry type codes are listed in Appendix B of the ACS CATAIR Chapters publication.	2, 9, 10
Importer of Record Type	3AN	22-24	M	The type of number being used to identify the importer of record.	3
Importer of Record	12X	25-36	M	Identification of the importer of record.	4
Mode of Transportation (MOT) Code	2AN	37-38	C	A code representing the mode of transportation. Valid mode of transportation codes are listed in Appendix B of the ACS CATAIR Chapters publication.	5, 11
Bond Type Code	1N	39	M	A code representing the bond type.	6
Estimated Entry Value	10N	40-49	M	The total entered value of the entry in whole US dollars.	

Record Identifier SE10 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Planned Port of Entry	5AN	50-54	C	Planned / scheduled port of entry in Schedule D code. Required if PGA data is reported in the filing. **See Note 7 for explanation regarding input for this data element.** Updating the value reported for *Planned Port of Entry* is not allowed when submitting an SE with action code "U" (Update)	7
Split Shipment Release Code	1AN	55	O	A code representing an option for releasing split shipments.	8, 11
Port of Unlading	5AN	56-60	C	Planned / scheduled port of unlading in Schedule D code.	11
Filler	20X	61-80	M	Space fill.	

Note 1

Valid Update Action Codes are:

Code	**Description**
A	Add
D	Cancel
R	Replace
U	Update

Both the SE10 and SE13 records are required for the submission of a cancellation action "D" at all times.

Previous draft versions of this ACE Cargo Release (SE) Implementation Guide provided that only the SE10 record needed to be transmitted to request the cancellation of a Cargo Release transaction if the request was made prior to a bill match of any listed bills of lading on the transaction.

The SE13 record is now required for the submission of a cancellation action "D".

If the update action code is D, and a bill match has occurred, the transaction is processed as a Cancellation Request that requires approval by CBP. For a Cancellation Request, the SE10 and SE13 records are mandatory, and the SE20 record is conditional. After a Cancellation Request has been accepted by CBP, the entry remains on file with a status of Cancelled. The entry number associated with the Cancelled entry cannot be reused.

A submission with an update action of R will not be accepted if the bill of lading has arrived and the entry has been issued a release.

If the update action code is **U**, the only records that can be reported are SE10, SE11, SE15, SE16, SE17, and SE20. The U update action code is used to replace the Bill of Lading information and to report specific transportation information.

Note 2

Only the below Entry Type codes are valid for use at this time:

Code	Description
01	Consumption – Free and Dutiable
02	Consumption - Quota/Visa **
03	Consumption - Antidumping/ Countervailing Duty
06	Consumption - Foreign Trade Zone (FTZ)
07	Consumption - Antidumping/ Countervailing Duty & Quota/Visa Combination**
11	Informal – Free and Dutiable
12	Informal - Quota/Visa (other than textiles)**
21	Warehouse
22	Re-Warehouse
23	Temporary Importation Bond (TIB)
52	Government Dutiable

**(For Future Use)

Note 3

The qualifiers for Importer of Record Type (left justified) are:

Code	Description
EI	Employer Identification Number (IRS #)
ANI	CBP-assigned Number
34	Social Security Number

Note 4

Valid formats for the Importer of Record Number are:

NN-NNNNNNNXX	Internal Revenue Service (IRS) Number
YYDDPP-NNNNN	CBP Assigned Number
NNN-NN-NNNN	Social Security Number

In these codes, *N* = number, *X* = alphanumeric, *YY* = the last two digits of the calendar year when the number is assigned, *DDPP* = the district/port code where the number is assigned.

Note 5

Valid Mode of Transportation Codes are:

Code	Description
10	Vessel, Non-container
11	Vessel, Container
12	Border Water-borne (only Mexico and Canada)
20	Rail, Non-container
21	Rail, Container
30	Truck, Non-container
31	Truck, Container
32	Auto
33	Pedestrian
34	Road, other. Includes foot and animal-borne.
40	Air, Non-container
41	Air, Container
50	Mail
60	Passenger, Hand Carried
70	Fixed Transport Installations. Includes pipeline and powerhouse.

Note 6

Valid Bond Type Codes are:

Code	Description
0	No bond required
8	Continuous bond
9	Single transaction bond

If bond type is 9, it is mandatory to report the following Reference Identifier Codes in SE20 records:

V1	Surety Code
AMT	Bond amount

Note 7

If the Planned Port of Entry is left blank (i.e. Space Filled), then the filer is making an affirmative election to have the Planned Port of Entry derived from the U.S. Port of Unlading of the Bill of Lading listed in the SE15 record.

In the case where the Planned Port of Entry is left blank (i.e. Space Filled) and an In-Bond is posted against the Bill of Lading, the Planned Port of Entry will be derived from the Port of In-Bond Destination of the involved In-Bond.
If the associated In-Bond has been reported as Arrived in a Port (aka ***Port C***) other than the Port of In-Bond Destination listed with the creation of the In-Bond, the CBP system recognizes '*Port C'* as the current location of the cargo. In the scenario where the Planned Port of Entry is left blank

(i.e. Space Filled), the Planned Port of Entry will be derived from *'Port C'*. If a Planned Port of Entry is declared on the Cargo Release submission then the declared Planned Port of Entry will be compared to *'Port C'* for purposes of authorizing CBP release of the transaction.

The Planned Port of Entry must be provided if any of the following conditions apply:

- an In-Bond number is reported in the entry filing
- PGA data is included in the entry filing
- Entry types 02, 06, 07, 12, 21, 22, 23

Updating the value reported for *Planned Port of Entry* is not allowed when submitting an ACE Cargo Release (SE) with action code "U" (Update)

Note 8

Valid Split Shipment Release Codes are:

Code	Description
1	Hold All - Release Entry when all cargo qualifies for release.
2	Request Special Permit to release cargo incrementally under Immediate Delivery procedures. Entry date will be set to when the first portion of the split shipment is released.

Note 9

When the Entry type is 21 or 22, the FIRMS Code of the CBP Bonded Warehouse that the Importer is applying to enter the cargo into must be reported in the SE11 Record, Position 65-68. The FIRMS Code must be a facility type 04 (Bonded Warehouse), be located in the Port of Entry (or in the recognized local port cluster for cross-port processing) of the Cargo Release transaction, and must be in an Active status in the CBP system.

Note 10

When the Entry type is 22, the originating warehouse entry number that the merchandise is coming from must be reported in the SE11 Record, Position 69-79.

Note 11

This element is not used for entry type 06 FTZ.

Record Identifier SE11 (Input)

This is a conditional input record that provides additional data according to the specific entry needs.

Record Identifier SE11 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE11.	
Entry Date Election Code	1X	5	C	A code representing the source of the Elected Entry Date. Valid codes are: **P** = Date of Presentation **A** = Date of Arrival **W** = Weekly Entry (Entry type 06, only) Codes "P" and "A" may only be used if the *Immediate Delivery Indicator* data element is reported as "Y". Code "W" is **only** reported on an entry type 06 when the transaction is being used to submit a Weekly Entry.	
Elected Entry Date	6N	6-11	C	Enter a numeric date in MMDDYY (month, day, year) format. The date submitted in this field will be used as the Date of Entry for Entry Summary and duty calculation purposes. For entry type 06, and an Entry Date Election Code = "W" (Weekly Entry), this represents the date of the first day of the Zone Week.	1, 5
Location of Goods (FIRMS)	4AN	12-15	C	FIRMS code of the location where the cargo is currently stored.	4

Record Identifier SE11 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Elected Exam Site (FIRMS)	4AN	16-19	O	Filer's preferred **Centralized Examination Station (CES)** location. For use when CBP determines that a required Intensive Examination is to be conducted at a **Centralized Examination Station (CES)**. As per CD 3550-29, if there is more than one CES in the port of entry, the broker/filer specifies which CES location they wish used for the examination. If there is only one CES in the port of entry, no FIRMS Code needs to be submitted for this data element. This data element should be Space Filled if no FIRMS Code is being submitted.	
Conveyance Name or FTZ Zone ID* *[Note: This is not the same format that is used on the e214 FTZ Admission.]*	20X	20-39	C	Name of the Conveyance. For entry type 06, list the Foreign Trade Zone 'Zone ID'. The data must be submitted in the format specified in Note 6*. ***NOTE: Please refer to Note 6 below for proper format of the FTZ Zone ID**.	6
Voyage/Flight/Trip Manifest Number	5X	40-44	C	The voyage/flight/trip number of the importing carrier.	7
General Order (G.O.) Number	20AN	45-64	C	The G.O. Number if the cargo has been placed in General Order.	
CBP Bonded Warehouse (FIRMS)	4AN	65-68	C	FIRMS code of the CBP Bonded Warehouse where cargo is to be entered. (Entry Types 21 and 22 only)	2
Originating Warehouse Entry Filer Code	3AN	69-71	C	Filer Code of the originating warehouse entry that the merchandise is coming from. The Originating entry listed must be entry type 21 or 22 only. The Entry Filer Code occupies the first three positions of an entry number.	3

Record Identifier SE11 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Originating Warehouse Entry Number	8AN	72-79	C	Originating warehouse entry number that the merchandise is coming from. The Originating entry listed must be entry type 21 or 22 only. Unique identifying number assigned to the Entry by the Filer. For additional information on valid entry number formats, refer to Appendix B of the ACS CATAIR Chapters publication.	3
Immediate Delivery Indicator	1X	80	C	Enter 'Y' if requesting to use Immediate Delivery Procedures [19 CRF 142.21] If this field is reported as "Y", either "P" or "A" must be reported in the *Entry Date Election Code* field. This field is not related to special procedures for Split Shipments [19 CFR 142.21(g)]. Do not use this field if the sole purpose is to take advantage of the special split shipment procedures. If the field is not applicable, Space fill.	5

Note 1

An entry date must be provided if 'W' is selected for Entry Date Election Code. The date submitted in this field will be used as the Date of Entry for Entry Summary and duty calculation purposes.

The elected entry date may be the current date or a future date. If a future date is used, the date must be within 7 calendar days of the transaction filing date. Note: The zone week is a Zone Operator selected 7 calendar day period.

```
         1         2         3         4         5         6         7         8
12345678901234567890123456789012345678901234567890123456789012345678901234567890
SE11W051816V273    FTZ2990T02
```

Note 2

Mandatory when the Entry type is 21 or 22. This identifies the FIRMS Code of the CBP Bonded Warehouse that the Importer is applying to enter the cargo into. The FIRMS Code must be a facility type 04 (Bonded Warehouse), be located in the Port of Entry (or in the recognized local port cluster for cross-port processing) of the Cargo Release transaction, and must be in an Active status in the CBP system.

Note 3

Mandatory when the Entry type is 22. This identifies the originating warehouse entry number that the merchandise is coming from. The Import Date on the *original* warehouse entry (type 21) [which introduced the merchandise into a customs bonded warehouse] cannot be greater than 5 years ago.

Note 4

When the Entry type is 06, the FIRMS code of the FTZ site must be entered. If the local Port Director, or his designee, has approved the use of a single FIRMS code for filing electronic FTZ Admissions (aka e214) for a subzone with multiple authorized sites (each with a unique assigned FIRMS code) which are all covered under a single activity type FTZ bond and under a single inventory control and record keeping system, this single FIRMS code *may* also be declared as the Locations of Goods in the SE11 record.

If the SE11 Record is included in the submission of an ACE Cargo Release (SE) with Action Code "U" (Update), the Location of Goods data element can be updated as well.

Note 5

An Elected Entry Date must be provided if 'Y' is entered for Immediate Delivery Indicator. The date submitted in this field will be used as the Date of Entry for Entry Summary and duty calculation purposes.

Note 6

When the Entry type is 06, enter the characters "FTZ" followed by the FTZ project number [3 digits]; Subzone number or General Purpose Zone Acreage Site number) [2 characters]; Subzone Site number [2 digits] (as applicable), in one of the following format(s), including any needed leading zeros:

FTZ026**0A**01	FTZ 26, Subzone A, Subzone Site 01
FTZ026**10**	FTZ 26, General Purpose Zone Acreage Site 10
FTZ026**T2**	FTZ 26, General Purpose Zone Acreage Site T2

```
         1         2         3         4         5         6         7         8
12345678901234567890123456789012345678901234567890123456789012345678901234567890
SE11        V273    FTZ2990B02
```

Note 7

This element is not used for entry type 06 FTZ.

Record Identifier SE13 (Input)

This is a conditional input record that provides data pertaining to correction and cancellation requests.

Record Identifier SE13 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE13.	
Contact Name	40AN	5-44	M	Contact Name for the Correction / Cancellation request	
Contact Phone	15AN	45-59	M	Phone Number for the Correction / Cancellation request	
Reason Code	2A	60-61	C	Reason code for Cancellation request. Space fill for Correction requests.	1
Multiple Cargo Dispositions Indicator	1N	62	O	Use 1 to indicate that multiple cargo dispositions exist in addition to the reason code provided for the entry in the cancellation request. Space fill for Correction requests.	
DIS indicator	1N	63	O	Use 1 to indicate a Document Image System (DIS) submission has been made in support of the correction or cancellation request	2
Split Shipment indicator	1N	64	O	Use 1 to indicate that the SE is associated with a split shipment	3
Filler	16X	65-80	M	Space fill.	

Note 1

Valid **Cancellation** request reason codes are:

Code	**Description**
01	Clerical error
02	Entry replaced by CBPF 7512 (replacement in-bond number required)
03	Merchandise cleared under another entry (replacement entry number required)
04	Entry replaced by FTZ Admission (replacement FTZ Admission Number required)
05	Merchandise cleared under informal entry
06	Merchandise seized
07	Merchandise destroyed
08	Non-arrival
09	Shipment refused by importer
10	Shipment not authorized for import
11	System error
12	No Foreign status goods removed from FTZ [Used only for Entry Type 06]

For Reason Code **02**, an In-bond Number that is on file with CBP must be provided in the SE20 record; for reason code **03**, the replacement Entry Number that is on file with CBP must be provided in the SE20 record; for reason code **04**, the replacement FTZ Admission Number that is on file with CBP must be provided in the SE20 record.

Reason Code 12, is to be submitted only for Entry Type 06 FTZ. This code may also be submitted when the Entry Type 06 Cargo Release transaction is used as a Weekly Entry. The submission of this reason code indicates that no Foreign [zone] status merchandise has been, or will be, entered into the U.S. Commerce using the Cargo Release transaction which the filer is requesting be cancelled.

Note 2

The use of the DIS indicator denotes to CBP that the filer has submitted an electronic file or files in support of the correction or cancellation request. When the DIS indicator is reported in the SE13 record, the SE20 record is required with the Reference Identifier Qualifier code "DIS" (Filer-Defined DIS Reference Number). This is a filer-assigned reference number to identify the DIS submission. This reference number should be unique for the associated entry number and should not be repeated for other DIS submissions for the same entry number, including for the entry summary and other post summary submissions. .

The DIS submission related to the correction / cancellation request:

- should be transmitted *in advance of* the submission of the correction / cancellation request
- should include the Filer-assigned [DIS] Reference number which links it to the specific correction / cancellation request.

For instructions on submitting electronic files to CBP via DIS, see the DIS Implementation Guide of the ACE ABI CATAIR.

See Appendix A to this document for instructions and format for submitting documentation electronically to CBP via e-mail for inclusion in the DIS repository. **Please note that the e-mail alternative for submitting electronic documentation is meant only as an interim solution. CBP intends to sunset this e-mail alternative in lieu of EDI DIS at an as yet undetermined future date. All filers are encouraged to develop and make use of EDI DIS capabilities in conjunction with the ACE Cargo Release (SE) transaction.

Note 3

Use 1 to indicate that the ACE Cargo Release (SE) is associated with a split shipment, which is a factor in adjudicating the Cancellation Request.

Record Identifier SE15 (Input)

This is a conditional input record that provides data pertaining to the issuer of a bill of lading number, bill of lading quantity to be entered, and unit of measure relating to the bill of lading quantity. Additional SE15 Records are used to report multiple bill of lading/air waybill data.

This record is not used for entry type 06 FTZ.

Record Identifier SE15 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE15.	
Bill Type Indicator	1A	5	M	Code identifying the type of Bill of Lading Number. Valid codes are: R = Regular / Simple Bill of Lading; ▪ Use 'R' for Mode Rail (MOT 20, 21) ▪ Use 'R' for Mode Truck (MOT 30, 31) [aka *Shipment Control Number*] ▪ Use 'R' for Mode Pipeline (MOT 70) ▪ Use 'R' for Mode Passenger, Hand Carried (MOT 60) M = Master Bill of Lading; H = House Bill of Lading; *(Not currently valid for Mode Truck)* S = Sub-House Bill of Lading *(future use)* T = Express Carrier Tracking Number *(air only)* I = In-bond number See Note 3 regarding entry type 22. See Note 9 regarding MOT 60.	3,7,8, 9
Issuer Code of Bill of Lading Number	4AN	6-9	C	A code representing the issuer of the bill of lading. Space fill for: ▪ In-Bond ▪ Air Shipments (MOT 40, 41) ▪ Passenger, Hand Carried (MOT 60) Use of "UNKN" as a carrier code is not permitted.	9

Record Identifier SE15 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Bill of Lading Number	50X	10-59	M	The bill of lading number as listed on the manifest; or, In-Bond number. If the number is less than 50 positions, it is left justified. Do not include spaces, hyphens, slashes or other special characters. Include the AWB prefix for Air shipments for Master / Simple Bill of Lading and Tracking Numbers. See Note 3 regarding entry type 22. See Note 9 regarding MOT 60.	1,3,4, 9
Quantity	8N	60-67	C	Enter the quantity associated with the bill of lading number being reported. It is the smallest exterior packaging unit. See Note 3 regarding entry type 22. See Note 9 regarding MOT 60.	2,3,9
Filler	5X	68-72	M	Space fill.	
Non-AMS indicator	1X	73	M	Default value is 'N'. Enter 'Y' to represent that the bill of lading will not be manifested via EDI with a CBP automated manifest system. See Note 5 below for specific instances when a 'Y' must be declared. When 'Y' is declared in this field, use of the SE16 record is mandatory.	5, 6
Filler	7X	74-80	M	Space fill.	

Note 1

To report Bill of Lading Numbers for **Air** shipments:

- Master Bill of Lading / House Bill of Lading – Use an SE15 record with Bill Type Indicator 'M' to report the Master Bill of Lading Number (including the AWB prefix) followed by another SE15 with Bill Type Indicator 'H' and the House Bill of Lading Number.

- Simple Bill of Lading – Use a single SE15 record with Bill Type Indicator 'R' to report the Simple Bill of Lading Number (including the AWB prefix).

- Express Carrier Tracking Number – Use a single SE15 record with Bill Type Indicator 'T' to report the Tracking Number (including the AWB prefix).

- In-bond Number – Use an SE15 record with Bill Type Indicator 'I' to report the In-bond

Number. This record should:
  - not contain a Quantity, as it is only reported at the Bill Level; and,
  - be followed by another SE15 with Simple Bill of Lading; or,
  - be followed by pair of SE15 records: the first SE15 record with Bill Type Indicator 'M' and the Master Bill of Lading Number (including the AWB prefix), and second SE15 record with Bill Type Indicator 'H' and the House Bill of Lading Number.

Reminder: Bill quantity is entered at the most detailed (i.e. lowest) level of the bill.

To report Bill of Lading Numbers for **Ocean** shipments:

- Master Bill of Lading / House Bill of Lading – Use an SE15 record with Bill Type Indicator 'M' and the Master Bill of Lading Number followed by another SE15 with Bill Type Indicator 'H' and the House Bill of Lading Number.
- Simple Bill of Lading – Use a single SE15 record with Bill Type Indicator 'R' and the Simple Bill of Lading Number.
- In-bond Number – Use an SE15 record with Bill Type Indicator 'I' to report the In-bond Number. This record should:
  - not contain a Quantity, as it is only reported at the Bill Level; and,
  - be followed by another SE15 with Simple Bill of Lading; or,
  - be followed by pair of SE15 records: the first SE15 record with Bill Type Indicator 'M' and the Master Bill of Lading Number, and second SE15 record with Bill Type Indicator 'H' and the House Bill of Lading Number.

Reminder: Bill quantity is entered at the most detailed level of the bill.

To report Bill of Lading Numbers for **Rail** shipments:

- Simple Bill of Lading – Use a single SE15 record with Bill Type Indicator 'R' and the Simple Bill of Lading Number.
- In-bond Number – Use an SE15 record with Bill Type Indicator 'I' to report the In-bond Number. This record should:
  - not contain a Quantity, as it is only reported at the Bill Level; and,
  - be followed by another SE15 with Simple Bill of Lading

To report Bill of Lading Numbers for **Truck** shipments:

- Simple Bill of Lading (aka Shipment Control Number) – Use a single SE15 record with Bill Type Indicator 'R' and the Simple Bill of Lading Number.
- In-bond Number – Use an SE15 record with Bill Type Indicator 'I' to report the In-bond Number. This record should:
  - not contain a Quantity, as it is only reported at the Bill Level; and,
  - be followed by another SE15 with Simple Bill of Lading

Valid formats for In-bond Number are:

NNNNNNNNN	CBP assigned IT number
NNNNNNNNNNN	Air Waybill number *(leading NNN = airline prefix code)
XXXNNNNNNNN	"Paperless" Master In-Bond format

Note 2

If a data value *is* reported for *Quantity* (Pos 60-67) in the ACE Cargo Release (SE), that value will be used as the entered and released quantity for the bill. If a data value for *Quantity* is not reported in the ACE Cargo Release (SE), the full manifested bill quantity will be used as the entered and released quantity. In the case of a split shipment, release will be issued to the carrier under either the Hold All or Incremental Release under Immediate Delivery procedures.

In the case where the Cargo Release is being filed for a quantity which is *less* than the full manifested bill of lading quantity, the data element *Quantity* must be submitted and the value reported must be greater than zero.

Do not provide quantity on SE15 record if SE16 record is present, except as specified in Note 3 and Note 9.

Note 3

No Bill of Lading is reported for entry type 22, Re-Warehouse.

If the originating Warehouse entry (type 21) or Re-Warehouse entry is in another port of entry so that the merchandise is transported under an In-Bond, then only the In-Bond Number is reported:

Use an SE15 record with Bill Type Indicator 'I' to report the In-bond Number. This SE15 record should contain a Quantity.

Note 4

If the mode of transportation code in the SE10 record is Pipeline (70), the pipeline carrier's SCAC code should be entered here.

If the mode of transportation code in the SE10 record is Pipeline (70), there must be a bill of lading reported, and that bill of lading must have a prefix consisting of the carrier's prefix.

If this is an In-Bond movement originating from one of the following, the originating bonded facility's FIRMS Code may have been set up as a Carrier Code in the CBP system and may have been used on the In-Bond as the SCAC of the 'non-import-manifest' bill of lading. This is acceptable:

General Order Warehouse
Customs Bonded Warehouse
Foreign Trade Zone
Seizure

Note 5

Non-AMS Indicator – This is a mandatory field. The default value is 'N', meaning the bill of lading in the SE15 record is, yes, expected to be manifested electronically with CBP and the bill is expected to be matched to the tables in CBP's automated manifest systems.

Enter 'Y' to represent that the bill of lading will not be manifested electronically with CBP.

In all instances, if the Bill Type Indicator in the SE15 is "I", set this field to 'N'.

If the Entry Type Code in the SE10 record is 22 Re-Warehouse, and the Bill Type Indicator in the SE15 is "I", set this field to 'N'.

If the Mode of Transportation Code in the SE10 record is any of those listed below, this field must be set to 'Y':

32	Auto
33	Pedestrian
34	Road, other. Includes foot and animal-borne.
50	Mail
60	Passenger, Hand Carried
70	Fixed Transport Installations. Includes pipeline and powerhouse.

If the General Order Number field in the SE11 record is *not* {null} this field must be set to 'Y'.

If this is a shipment originating from Seizure (CBP custody), this field must be set to 'Y'.

Note 6

When 'Y' is declared in this field, use of the SE16 record is mandatory.

Note 7

Bill type ='T' cannot be Non-AMS bill type.

Note 8

Bill Type Indicator values 'M' and 'H' are not valid for **Rail** mode of transportation (MOT 20, 21). Rail bills of lading are to be reported as 'R' (Regular/Simple).

Bill Type Indicator values 'M' and 'H' are not currently valid for **Truck** mode of transportation (MOT 30, 31). Truck bills of lading are to be reported as 'R' (Regular/Simple).

Note 09

For **Passenger, Hand Carried (MOT 60)**:

- *Bill Type Indicator* is reported as → '**R**' (Regular / Simple Bill of Lading)
- *Issuer Code of Bill of Lading Number* is reported as → [*Space Filled*]
- *Bill of Lading Number* is reported as → *{See Below for some examples of Non-AMS 'Bill of Lading' values for MOT 60}
- *Quantity* should be declared as '**1**'. {"1" is the *minimum* accepted value for reporting Quantity.}
- *Non-AMS Indicator* should be declared as '**Y**'

While no Bill of Lading normally exists for MOT 60, it is common practice or even specific CBP Port protocol to populate this data element. Here are some common examples of Non-AMS Bill of Lading values reported for Passenger Hand Carry shipments:

'HANDCARRIED'
'125PASSENGER' [Air Waybill Prefix + "PASSENGER"]
'LEVINE,SETH' [Passenger Last Name; or, Passenger Last Name, Passenger First Name]

Keep in mind that a Bill of Lading Number is **required** for the Entry Summary transaction, and the *Bill of Lading Number* data field in the Entry Summary is currently limited to 12 characters.

Here is an example of the SE15/SE16 records raw data text using the following example data:

Bill of Lading Num: 'HANDCARRIED'
Carrier Code: DAL
Flight Number: 52
Date of Arrival: May 23, 2016
Quantity: 1

```
         1         2         3         4         5         6         7         8
12345678901234567890123456789012345678901234567890123456789012345678901234567890
SE10AITC  54004280 01EI 22-2009469ZZ6080010105086470 1  4701
SE11       E781
SE15R    HANDCARRIED                                                      Y
SE16DAL 00052052316 00000001
```

In some circumstances for Passenger Hand Carry, CBP instructs the importing air carrier to assign a Bill of Lading to the shipment and to belatedly amend the flight's automated manifest by adding that Bill. In this scenario the shipment now has an *automated* Bill of Lading and must be reported as such.

If an automated Bill of Lading has been assigned and electronically filed with CBP, then the MOT changes to Mode Air (40) and the SE16 Record is not required to be transmitted.

Record Identifier SE16 (Input)

This is a **conditional** input record that provides data pertaining to the conveyance associated with the bill of lading number reported in the preceding SE15 record.

The inclusion of this record is mandatory if the bill of lading in the preceding SE15 record is Non-Automated.

When to use if a Split Shipment is involved:

If the cargo release transaction filing is for the full manifested quantity of the bill of lading, and the bill is a split shipment, then the SE16 record should *not* be submitted.

This record is used when filing for cargo release if the bill of lading is a split shipment and the bill quantity being declared is *less than the full manifested quantity* for the bill. The SE16 record will specify the manifest details (e.g. carrier, flight, date, bill qty) and specific bill quantity for which the cargo release transaction is being filed.

This record may be repeated once for each split part being represented on the cargo release transaction.

*[Refer to **Appendix C** to this document for examples of filing for Split Shipments.]

This record is not used for entry type 06 FTZ.

Record Identifier SE16 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE16.	
Carrier Code	4AN	5-8	M	A code identifying the carrier.	3
Voyage/Flight/Trip Manifest Number	5X	9-13	M	The voyage/flight/trip number of the importing carrier.	3
Date of Arrival	6N	14-19	M	A numeric date in MMDDYY (month, day, year) format representing the date of arrival.	2,3
Quantity	8N	20-27	M	The entered quantity associated with the bill of lading number being reported in the related SE15 record. It is the smallest exterior packaging unit.	1,3
Unit of Measure	5X	28-32	O	The unit of measure corresponding to the bill of lading quantity.	
Conveyance Name	20X	33-52	C	Name of the conveyance.	2
Filler	28X	53-80	M	Space fill.	

Note 1

This data field is used to indicate the quantity to be entered for each SE16 record (i.e. each 'split part') that is being reported on the cargo release transaction.

Note 2

When the mode of transportation code in the SE10 record is Pipeline (70) enter the name of the pipeline as the conveyance name.

Date of Arrival must be reported.

Note 3

When the mode of transportation code in the SE10 record is Passenger, Hand Carried (60):

- Report the Carrier Code of the carrier which brought the passenger and the merchandise to the 1st Port of U.S. Unlading.
- Report the Voyage/Flight/Trip Manifest Number of the conveyance which brought the passenger and the merchandise to the 1st Port of U.S. Unlading.
- Report the Date of Arrival of the conveyance which brought the passenger and the merchandise to the 1st Port of U.S. Unlading.
- Report Quantity as '**00000001**' {Right justify and zero-fill}

Here is an example of the SE15/SE16 records raw data text using the following example data:

Bill of Lading Num: 'HANDCARRIED'
Carrier Code: DAL
Flight Number: 52
Date of Arrival: May 23, 2016
Quantity: 1

```
         1         2         3         4         5         6         7         8
12345678901234567890123456789012345678901234567890123456789012345678901234567890
SE10AITC  54004280 01EI 22-2009469ZZ6080010105086470 1  4701
SE11       E781
SE15R    HANDCARRIED                                                        Y
SE16DAL 00052052316 00000001
```

Record Identifier SE17 (Input)

This is an optional input record that provides data pertaining to the container or equipment associated with the bill of lading number in the immediately preceding SE15 record which holds the merchandise for which cargo release submission is being made.

This record is not used for entry type 06 FTZ.

Record Identifier SE17 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	4AN	1-4	M	Must always equal SE17.	
Equipment Number	20AN	5-24	M	Equipment unit's full number including: the SCAC prefix, the sequencing or serial part of an equipment unit's identifying number, and the check digit	
Filler	56X	25-80	M	Space fill.	

Record Identifier SE20 (Input)

This is a conditional input record that provides reference data.

Record Identifier SE20 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE20.	
Reference Identifier Qualifier	3AN	5-7	M	Code that defines the Reference Identifier.	1, 2
Reference Identifier	50X	8-57	M	Reference data.	1, 2
Filler	23X	58-80	M	Space fill	

Note 1

Valid Reference Identifier Qualifier codes are:

Code	**Description**	**Format**
CR	Filer-defined Reference Number	9X
EN	Replacement Entry Number	11AN
IB	Replacement In-bond Number	11AN

Valid formats for In-bond Number are:

NNNNNNNNN	CBP assigned IT number
NNNNNNNNNNN	Air Waybill number **(leading NNN = airline prefix code)*
XXXNNNNNNNN	"Paperless" Master In-Bond format

FTZ	Replacement FTZ Admission Number	17AN
DIS	Filer-defined DIS Reference Number	
V1	Surety Code	3AN
AMT	Bond amount	10N
EXP	Express Consignment Shipment	"Y"
KII	Known Importer Indicator ***(see note below)**	"Y"
RRN	Rail Reference Number	

CR is an optional Filer-defined reference number that will be returned in the SO response messages.

EN/IB/FTZ is the reference data provided by the filer in relation to the reason for the cancellation request selected in SE13.

When **EN** is reported as the Reference Identifier Qualifier the replacement entry number is to be reported in the following format: **FFFNNNNNNNNN** (FFF represents the Filer Code)

For Bond Type '9': Surety Code (**V1**) and Bond Amount (**AMT**) must be provided.

When **AMT** is reported as the Reference Identifier Qualifier the bond amount is to be reported in the following format:

- Left-justified
- Numeric only
- No leading Zeroes
- *Maximum* of 10N (10 digits)
- No commas, No decimal. (whole numbers only)
- Amount must be greater than Zero.

Examples:	SE20**AMT**90000	(representing $90,000 of bond value)
	SE20**AMT**10500000	(representing $10,500,000 of bond value)

When the Reference Identifier Qualifier is **EXP**, the Reference Identifier must always be "Y" to indicate that this entry filing is for an express consignment shipment. This is to be used only in cases where the entry processing is to take place in an established express consignment CBP sub-port of the locale's standard Port of Entry.

When the Reference Identifier Qualifier **KII** is used, the Reference Identifier must always be "Y" to indicate that the Importer of Record in the SE10 record is a Known Importer.

*For CBP policy on usage of KII, refer to CSMS Message #15-000275, dated May 13, 2015, a copy of which can be found in Appendix B to this document.

RRN, Rail Reference Number, is an optional Rail Carrier-assigned reference number. When submitted in the ACE Cargo Release (SE) transaction data set, the Rail Reference Number will be returned to the filer in the SO response messages.

Note 2

When the DIS indicator is reported in the SE13 record the Reference Identifier Qualifier code "DIS" (Filer-defined DIS Reference Number) is required. This is a filer-assigned reference number to identify the DIS submission. This reference number should be unique for the associated entry number and should not be repeated for other DIS submissions for the same entry number, including for the entry summary and other post summary submissions.

The DIS submission related to the correction / cancellation request:

- should be transmitted *in advance of* the submission of the correction / cancellation request; and,
- should include the Filer-defined [DIS] Reference number which links it to the specific correction / cancellation request.

Record Identifier SE30 (Input)

This is a conditional header-level record that identifies the type of commercial entity whose identity is being reported.

When reporting a commercial entity, the SE30, SE35 and SE36 records must be used if the Entity Code in the SE30 record is equal to MF (Manufacturer), SE (Seller), CS (Consolidator), or LG (Stuffing Location). The SE30 record identifies the entity being reported. The SE35 and SE36 records provide parsed fields for address and geographical location reporting to accommodate the various conventions found in the formats of non US addresses.

If the SE30 record contains the Entity Code BY (Buyer) or ST (Ship To Party), the filer has the option of transmitting the SE30 to SE36 record grouping, or transmitting only the SE30 record to report the commercial entity, while records SE35 and SE36 are not used. (See Notes 1 and 2.)

If the SE30 record contains the Entity Code CN (Consignee), then only the SE30 record is used to report the commercial entity, while records SE35 and SE36 are not used.

Consignee Name and Address for low value shipments: *(For Future Use)
In the special case of low value shipments, Entity Code CN (Consignee) may be transmitted in the SE30 record along with the Entity Name; and then the SE35 and SE36 records follow with the Entity Address. See Note 2 for business rules surrounding the submission of Name and Address.

If Entity Name and Address are being submitted for Entity Code CN, the filer must not submit the Entity Identifier Qualifier and must not submit the Entity Identifier.

Record Identifier SE30 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE30.	
Entity Code	3A	5-7	M	Code identifying the type of commercial entity being reported.	1,2
Entity Name	35X	8-42	C	The name of the entity identified by the Entity Code.	
Entity Identifier Qualifier	3X	43-45	C	This field must be used if the entity identifier is being supplied in lieu of name and address. This field is mandatory if the entity code is CN.	2, 3
Entity Identifier	20X	46-65	C	The code identified by the entity identifier qualifier. This field is mandatory if the entity code is CN.	2, 3
Filler	15X	66-80	M	This field is to be space filled	

Note 1

Entity Codes:

Code	Description
MF	Manufacturer/Supplier
SE	Selling Party
BY	Buying Party
ST	Ship To Party
LG	Scheduled Container Stuffing Location
CS	Consolidator
CN	Consignee
BKP	Booking Party

With the exception of Importer of Record which is reported in the SE10 record, all other required parties can be reported at the header or line level. The SE30, SE35, and SE36 records are used to report a party at the header level; the SE50, SE55, and SE56 records are used to report a party at the line level. Consignee (CN) and Manufacturer (MF) are required at the line level; however, if all line items have the same Consignee or Manufacturer, then that party may be reported at the header level only and will be deemed to be associated to all line items. The remaining parties are required at the header level, though may be reported at the line item if that allows for more accurate reporting.

Each Entity Code may be reported a maximum of one time at the header level.

Consignee is reported using an identifier in an importer of record number format. All the other parties are required to be reported using a name and address. Consignee is defined as the individual or firm in the United States on whose account the merchandise is shipped.

Note 2 *(For Future Use)

Consignee Name and Address for low value shipments →

A single SE30 record can list ***either***:

- Entity Identifier in an importer of record number format; ***or***,
- Entity Name (SE30) [to be followed by Address (SE35 – SE36)]

but not both.

The total of all HTS lines using a single unique Name and Address for the business entity CN must be less than $2500.00

Note 3

Valid values for Entity Identifier Qualifier and Entity Identifier are:

Qualifier	Description and Valid Entity Identifier Format
EI	Employer Identification Number (IRS #) Valid format is: **NN-NNNNNNNXX** This qualifier may only be used with Entity Codes **BY, ST,** or **CN**
ANI	CBP-assigned Number Valid format is: **YYDDPP-NNNNN** This qualifier may only be used with Entity Codes **BY, ST,** or **CN**
34	Social Security Number Valid format is: **NNN-NN-NNNN** This qualifier may only be used with Entity Codes **BY, ST,** or **CN**

In these codes, *A* = alpha, *N* = number, *X* = alphanumeric or special characters, *YY* = the last two digits of the calendar year when the number is assigned, and *DDPP* = the district/port code where the number is assigned.

Use of CBP-created Encrypted Number [*Format: **-CCCCCCCCCCC]** for Consignee is not accommodated for the ACE Cargo Release (SE) transaction at this time.

* *The data element descriptor "**C**" indicates that the data element is special characters. The data value for the CBP-created Encrypted Number is a fixed-length format. When this format is valid to be reported, the value should be submitted in the as Left justified and then Space filled to the end of the 20-character length allotted for the Entity Identifier,*

Record Identifier SE35 (Input)

Entity Address Record

This is a conditional header-level record used to transmit lines of the entity's address data. Only either an Entity Name or an Entity Identifier can be provided for each SE30. If the SE30 record contains an Entity Name, then the SE35 and SE36 records must be used. If the SE30 record contains an Entity Identifier, then the SE35 and SE36 records are not used.

Record Identifier SE35 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE35.	
Address Component Qualifier	2AN	5-6	M	Address Component Qualifier describing the information in the Address Information data element	1
Address Information	35X	7-41	M	Address Information corresponding to the Address Component Qualifier data element	
Address Component Qualifier	2AN	42-43	O	Address Component Qualifier describing the information in the Address Information data element	1
Address Information	35X	44-78	O	Address Information corresponding to the Address Component Qualifier	
Filler	2X	79-80	M	Space fill	

Note 1

Valid Address Component Qualifiers:

Code	**Description**
01	Street Number
02	Street Name
05	P.O. Box Number
12	Building Name
13	Apartment Number
14	Suite Number
15	Unstructured Street Address
28	Association Name
30	Pier
31	Wing
32	Floor Number
35	Room
37	Unit
57	Cross Street
AK	Building Number

Record Identifier SE36 (Input)

Entity Geographic Area Record

This is a conditional header-level record that provides data pertaining to the city, country, geographical sub entity code and postal code associated with the address of the entity reported in the SE30 record. Only either an Entity Name or an Entity Identifier can be provided for each SE30. If the SE30 record contains an Entity Name, then the SE35 and SE36 records must be used. If the SE30 record contains an Entity Identifier, then the SE35 and SE36 records are not used.

Record Identifier SE36 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE36.	
City Name	35X	5-39	M	The city portion of the address where the entity is located.	
Country Sub Entity Code	3AN	40-42	C	ISO subdivision code. Space fill if not applicable. A list of country codes and their subdivision codes may be found at: **http://www.unece.org/cefact/locode/service/location.html**	
Filler	6X	43-48	M	Space fill	
Postal Code	15X	49-63	C	Postal code (i.e. ZIP code in USA). Space fill if no postal code is available.	
Country Code	2A	64-65	M	The International Standards Organization (ISO) country code representing the country portion of the address. A list of ISO country codes is found in Appendix B of this publication.	
Filler	15X	66-80	M	Space fill.	

Record Identifier SE40 (Input)

This is a conditional input record that provides data pertaining to the record control number and country of origin. This record occurs only once per SE Line Grouping.

Record Identifier SE40 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE40.	
Line Item Identifier	3N	5-7	M	The line item identifier begins with *001* and is incremented by one each time record SE40 is repeated.	
Country of Origin	2A	8-9	M	The International Organization for Standardization (ISO) country code representing the country of origin. Valid ISO codes are listed in Appendix B of this publication.	
Filler	1AN	10	M	Space fill.	
Commercial Invoice Description	70X	11-80	O	A clear description of the commercial invoice line item in English. Broad, generalized language is unacceptable, as are tariff descriptions. Commercial description is not the same as the Harmonized Tariff description. Provide the description according to other agency instructions.	

Record Identifier SE41 (Input)

This is a conditional input record pertaining to the preceding SE40 record. This record provides information required for FTZ reporting. The SE41 record may be reported only once per SE Line Grouping.

The SE41 record is used *only* for Entry Type 06 FTZ. Do not submit if not required.

The SE41 record is mandatory for Entry Type 06 FTZ.

Record Identifier SE41 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE41.	
Zone Status	1A	5	M	Code indicating FTZ status. This field is required for entry type 06 (FTZ) entries. Code P = Privileged Foreign Code N = Non-privileged Foreign ~~Code D = Domestic~~ ~~Code Z = Zone restricted~~ *These two zone statuses are not used on type 06 consumption entries.	1
Privileged FTZ Merchandise Filing Date	6N	6-11	C	**(See Note 2 for use guidance)** **Space fill if** NOT Privileged Foreign. **Space fill if** Privileged Foreign and the HTS number in the subsequent SE60 record is a current ***active*** HTS number. **This record is used <u>ONLY</u> if:** • **the HTS number in the subsequent SE60 record is no longer an active HTS number; <u>*and*</u>,** • **the merchandise has been granted Privileged Foreign status.** For Privileged Foreign status, (i.e., that merchandise that has not been manipulated or manufactured so as to effect a change in tariff), a numeric date in MMDDYY (month, day, year) format representing the date the merchandise was granted Privileged Foreign Status. This record is <u>NOT</u> for use with HTS numbers which are currently active.	2

Record Identifier SE41 (Input)					
FTZ Line Item Quantity	8N	12-19	M	Enter the quantity in units of this HTS Line (SE40 record) to be removed from the FTZ and entered into the Commerce of the U.S. Quantity entered must be a whole number. Quantity entered must be greater than Zero.	
Filler	61X	20-80	M	Space fill.	

Note 1

No entry allowed for merchandise in Restricted Zone Status or Domestic Status

Note 2

This is a conditional input data field for use when the filer knows that the HTS number is no longer in the active HTS database for the current time period (e.g. the HTS is expired, superseded, or no longer exists). The HTS number is system validated for "active on dates" based on this date data: For Privileged Foreign (i.e., that merchandise that has not been manipulated or manufactured so as to effect a change in tariff), a numeric date in MMDDYY (month, day, year) format representing the date the merchandise was *granted* Privileged Foreign Status by CBP. The reason that this is important is that the merchandise may stay in the FTZ for years, and when being entered for consumption, the HTS number may no longer be valid.

```
         1         2         3         4         5         6         7         8
12345678901234567890123456789012345678901234567890123456789012345678901234567890
SE40001FR
SE41P031501000000200
SE50MF MANFACTURE LOUIS LTD
SE551542 PLACE DES BDOS     CEDEX 2
SE56AUBIERE                                         63170         FR
SE608508800090
SE618467290090
```

Record Identifier SE50 (Input)

Entity Name and Type Record

This is a conditional line-level record that identifies the type of commercial entity whose identity is being reported as associated with the preceding SE40 record

When reporting a commercial entity, the SE50, SE55 and SE56 records must be used if the Entity Code in the SE50 record is equal to code MF (Manufacturer), SE (Seller), BY (Buyer), ST (Ship To Party), CS (Consolidator), or LG (Stuffing Location). The SE50 record identifies the entity being reported. The SE55 and SE56 records provide parsed fields for address and geographical location reporting to accommodate the various conventions found in the formats of non US addresses.

If the SE50 record contains the Entity Code CN (Consignee), then only the SE50 record is used to report the commercial entity, while records SE55 and SE56 are not used.

Consignee Name and Address for low value shipments: *(For Future Use)
In the special case of low value shipments, Entity Code CN (Consignee) may be transmitted in the SE50 record along with the Entity Name; and then the SE55 and SE56 records follow with the Entity Address. See Note 2 for business rules surrounding the submission of Name and Address.

If Entity Name and Address are being submitted for Entity Code CN, the filer must not submit the Entity Identifier Qualifier and must not submit the Entity Identifier.

Record Identifier SE50 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE50.	
Entity Code	3A	5-7	M	Code identifying the type of commercial entity being reported.	1,2
Entity Name	35X	8-42	C	The name of the entity identified by the Entity Code.	
Entity Identifier Qualifier	3X	43-45	C	This field must be used if the entity identifier is being supplied in lieu of name and address. This field is mandatory if the entity code is CN.	2,3
Entity Identifier	20X	46-65	C	The code identified by the entity identifier qualifier. This field is mandatory if the entity code is CN.	2,3
Filler	15X	66-80	M	This field is to be space filled	

Note 1

Entity Codes:

Code	Description
MF	Manufacturer/Supplier
SE	Selling Party
BY	Buying Party
ST	Ship To Party
LG	Scheduled Container Stuffing Location
CS	Consolidator
CN	Consignee

With the exception of Importer of Record which is reported in the SE10 record, all other required parties can be reported at the header or line level. The SE30, SE35, and SE36 records are used to report a party at the header level; the SE50, SE55, and SE56 records are used to report a party at the line level. Consignee (CN) and Manufacturer (MF) are required at the line level; however, if all line items have the same Consignee or Manufacturer, then that party may be reported at the header level only and will be deemed to be associated to all line items. The remaining parties are required at the header level, though may be reported at the line item if that allows for more accurate reporting.

Each Entity Code may be reported a maximum of one time per Line Item (i.e. SE40 Record).

Consignee is reported using an identifier in an importer of record number format. All the other parties are required to be reported using a name and address. Consignee is defined as the individual or firm in the United States on whose account the merchandise is shipped.

Note 2 *(For Future Use)

Consignee Name and Address for low value shipments →

A single SE50 record can list ***either***:

- Entity Identifier in an importer of record number format; ***or***,
- Entity Name (SE50) [to be followed by Address (SE55- SE56)]

but not both.

The identical Consignee Identifier number, or Consignee "Name and Address" may be used on more than one HTS line. Note: The ACE Cargo Release (SE) processing code does not try to match the Name and Address to an Identifier number (used elsewhere in the transaction).

The total value of all HTS lines using a single unique Name and Address for the business entity CN must be less than $2500.00

Note 3

Valid values for Entity Identifier Qualifier and Entity Identifier are:

Qualifier	Description and Valid Entity Identifier Format
EI	Employer Identification Number (IRS #) Valid format is: **NN-NNNNNNNXX** This qualifier may only be used with Entity Codes **BY, ST,** or **CN**
ANI	CBP-assigned Number Valid format is: **YYDDPP-NNNNN** This qualifier may only be used with Entity Codes **BY, ST,** or **CN**
34	Social Security Number Valid format is: **NNN-NN-NNNN** This qualifier may only be used with Entity Codes **BY, ST,** or **CN**

In these codes, *A* = alpha, *N* = number, *X* = alphanumeric or special characters, *YY* = the last two digits of the calendar year when the number is assigned, and *DDPP* = the district/port code where the number is assigned.

Use of CBP-created Encrypted Number [*Format: **-CCCCCCCCCCC]** for Consignee is not accommodated for the ACE Cargo Release (SE) transaction at this time.

* *The data element descriptor "**C**" indicates that the data element is special characters. The data value for the CBP-created Encrypted Number is a fixed-length format. When this format is valid to be reported, the value should be submitted in the as Left justified and then Space filled to the end of the 20-character length allotted for the Entity Identifier,*

Record Identifier SE55 (Input)

Entity Address Record

This is a conditional line-level record used to transmit lines of the entity's address data. Only either an Entity Name or an Entity Identifier can be provided for each SE50. If the SE50 record contains an Entity Name, then the SE55 and SE56 records must be used. If the SE50 record contains an Entity Identifier, then the SE55 and SE56 records are not used.

Record Identifier SE55 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE55.	
Address Component Qualifier	2AN	5-6	M	Address Component Qualifier describing the information in the Address Information data element	1
Address Information	35X	7-41	M	Address Information corresponding to the Address Component Qualifier data element	
Address Component Qualifier	2AN	42-43	O	Address Component Qualifier describing the information in the Address Information data element	1
Address Information	35X	44-78	O	Address Information corresponding to the Address Component Qualifier	
Filler	2X	79-80	M	Space fill	

Note 1

Valid Address Component Qualifiers:

Code	**Description**
01	Street Number
02	Street Name
05	P.O. Box Number
12	Building Name
13	Apartment Number
14	Suite Number
15	Unstructured Street Address
28	Association Name
30	Pier
31	Wing
32	Floor Number
35	Room
37	Unit
57	Cross Street
AK	Building Number

Record Identifier SE56 (Input)

Entity Geographic Area Record

This is a conditional ACE Cargo Release (SE) line-level record that provides data pertaining to the city, country, geographical sub entity code and postal code associated with the address of the entity reported in the SE50 record. Only either an Entity Name or an Entity Identifier can be provided for each SE50. If the SE50 record contains an Entity Name, then the SE55 and SE56 records must be used. If the SE50 record contains an Entity Identifier, then the SE55 and SE56 records are not used.

Record Identifier SE56 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE56.	
City Name	35X	5-39	M	The city portion of the address where the entity is located.	
Country Sub Entity Code	3AN	40-42	C	ISO subdivision code. Space fill if not applicable. A list of country codes and their subdivision codes may be found at: **http://www.unece.org/cefact/locode/service/location.html**	
Filler	6X	43-48	M	Space fill	
Postal Code	15X	49-63	C	Postal code (i.e. ZIP code in USA). Space fill if no postal code is available.	
Country Code	2A	64-65	M	The International Standards Organization (ISO) country code representing the country portion of the address. A list of ISO country codes is found in Appendix B of this publication.	
Filler	15X	66-80	M	Space fill.	

Record Identifier SE60 (Input)

This is a conditional input record that provides data pertaining to the preceding SE40 record. This record is repeated as often as necessary.

Record Identifier SE60 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE60.	
HTS Number	10AN	5-14	M	The appropriate duty/statistical reporting number under which the article is classified in the *Harmonized Tariff Schedule of the United States Annotated* (HTS).	1
Line Item Value	10N	15-24	O	The line item value in whole US dollars.	
Filler	56X	25-80	M	Space fill.	

Note 1

If the Entry type in the SE10 record is 23 TIB, a minimum of two SE60 records are required. These SE60 records *are submitted in pairs* as follows:

First SE60 record: The HTS Number field must contain a valid 9813 HTS number.
Second SE60 record: The HTS Number field must contain a valid HTS number which identifies the merchandise being imported.

```
         1         2         3         4         5         6         7         8
12345678901234567890123456789012345678901234567890123456789012345678901234567890
SE40001DE PASSENGER MOTOR VEHICLE
SE50MF ELECTRICH Y HOLLISTER
SE5501490                                   02DUDELHOFFSTRASSE
SE56MUNCHENBUNCHEN                                 00788          DE
SE609802008068
SE608703230062
```

Record Identifier SE61 (Input)

This is a **conditional** input record pertaining to the preceding SE60 record. This record provides information required for FTZ reporting when the zone merchandise is in Privileged Foreign status (SE41 record) *and* the HTS reported in the preceding SE60 record is no longer an active HTS number.

The SE61 record may be reported only once per Harmonized Tariff Schedule Grouping.

The SE61 record is reported *only* when:

- **Privileged Foreign status is declared in the preceding SE41 record; and,**
- **the associated HTS declared in the preceding SE60 record is no longer an active HTS number.**

The SE61 record is used *only* for Entry Type 06 FTZ. Do not submit if not required.

The SE61 record is conditional for Entry Type 06 FTZ.

Record Identifier SE61 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE61.	
Current HTS Number for PF Status Merchandise	10AN	5-14	M	FTZ Privileged Foreign Status Add'l Detail A **mandatory** data element that is to be used to report the current Harmonized Tariff Schedule number that fully or partially describes/classifies the article. Report the full 10-digit classification number.	1
Filler	66X	15-80	M	Space fill.	

Note 1

This data element will be used for the purpose of identifying current PGA flagging for the merchandise being entered into the Commerce.

The duty rate and statistical reporting requirements for the HTS number reported in this data field will not be used for entry summary reporting or duty calculation purposes.

```
         1         2         3         4         5         6         7         8
12345678901234567890123456789012345678901234567890123456789012345678901234567890
SE40001FR
SE41P03150100000200
SE50MF MANFACTURE LOUIS LTD
SE551542 PLACE DES BDOS     CEDEX 2
SE56AUBIERE                                     63170          FR
SE608508800090
SE618467290090
```

Record Identifier SF10 (Input)

This is a mandatory header input record that provides information related to the submission/ shipment type, ISF Importer number, and bonding details.

Record Identifier SF10 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SF10.	
ISF Submission Type	1N	5	M	Always code 1 = Importer Security Filing 10 (ISF-10) submission	1
Shipment Type Code	2N	6-7	M	Code identifying the type of shipment being submitted.	2
Action Code	1A	8	M	A = Add, D = Delete, R = Replace	3
Action Reason Code	2X	9-10	C	CT = Complete Transaction	3
ISF Importer Number Qualifier	3X	11-13	M	Qualifier denoting the type of data provided in the ISF Importer Number field.	4
ISF Importer Number	15X	14-28	M	ISF Importer Number	4
Reserved	8X	29-36	M	Space fill.	
Mode of Transportation Code	2N	37-38	O	Ocean vessel non-containerized = '10' (Break Bulk) Ocean vessel containerized = '11'	
ISF Transaction Number	15X	39-53	C	Unique transaction identifier assigned by CBP. CBP will assign a unique ISF Transaction Number (FFF-NNNNNNNNNNN) that will be returned in the SF10 record of the output response from CBP to the ISF filer in the SN transaction set. FFF=Filer code and NNNNNNNNNNN=numeric sequence number. This field needs to be space filled if the Action Code is Add.	
SCAC Identifier	4A	54-57	O	Standard Carrier Alpha Code. The SCAC of the vessel operator that is transporting the container.	
Bond Holder	15X	58-72	M	Space fill for Unified Entry/ISF filing	5
Bond Activity Code	2AN	73-74	M	Space fill for Unified Entry/ISF filing	5
Bond Type	1N	75	M	Space fill for Unified Entry/ISF filing	5
Filler	3X	76-78	M	Space fill	
Country of Issuance	2A	79-80	M	Space fill for Unified Entry/ISF filing.	

Note 1

When one of the ISF-10 submission types is used, the SF data set must have an SF30 record for each of the following Entity Codes: MF, SE, BY, ST, CS, CN, IM* and LG.

As part of the Unified Entry/ISF transaction processing, the CBP system will create an SF30 record with the business entity type IM, Importer of Record. The data for this record will be derived from the ISF Importer listed in the SF10 record.

In cases where ISF Submission Types '3' or '4' have been previously used and another replace transaction must be submitted to CBP, please continue to use these ISF Submission Types and use the stand-alone ISF transaction to update your filing. DO NOT use '1 or '2' after you have modified an ISF using '3' or '4'.

Note 2

Valid values for shipment type code are:

Code	Description
01	Standard or regular filings
02	To Order Shipments
04	Military, Government
07	US Goods Returned
09	International Mail Shipments
10	Outer Continental Shelf Shipments

Note 3

For a "Delete" Action Code, only the SF10 record is required to be submitted with the ISF transaction number that was previously provided in the SN output transaction.

For Action Reason Code, CT is mandatory when Action Reason Code is 'A' (Add) or 'R' (Replace):

> **CT = Complete Transaction** – All data is present, no special flexibility rules apply. If "CT" is used, the ISF can be updated if necessary, but CBP does not require the ISF to be updated.

Note 4

In a Unified Entry/ISF filing, the Importer of Record for entry purposes (reported in the SE10 Record) and the ISF Importer (reported in the SF10 Record) must be the same entity, with the same Importer of Record Number.

The qualifiers for data element ISF Importer Number Qualifier (left justified) are:

EI	Employer Identification Number (IRS #)
ANI	CBP-assigned Number
34	Social Security Number

Valid formats for the ISF Importer Number are:

NN-NNNNNNNXX	Internal Revenue Service (IRS) Number
YYDDPP-NNNNN	CBP Assigned Number
NNN-NN-NNNN	Social Security Number

In these codes, *N* = number, *X* = alphanumeric, *YY* = the last two digits of the calendar year when the number is assigned, *DDPP* = the district/port code where the number is assigned.

If the ISF Importer Number is in the Employer Identification Number format, and the last two positions are blank, the system may convert the blanks to zeroes. Importer Security Filing output data may show the last two positions of the ISF Importer Number as zeroes when two blanks were input.

Note 5

A Bond Type 9 may only be used with a Bond Activity Code 16. If this condition is present, an SF20 is mandatory for both the Surety Code (V1) and the Bond Reference Number (SBN). Note: the Bond Reference Number is NOT the bond number.

Bond information is mandatory for all Add or Replace transactions when the Shipment Type code = 01, 02, 07, or 10. Space fill for all other transactions.

If the ISF Importer is the same party as the Importer of Record, these fields must be space filled. The bond information submitted in the entry portion of the submission will also be used to satisfy the bond requirements for ISF.

Valid formats for the Bond Holder are:

NN-NNNNNNNXX	Internal Revenue Service (IRS) Number
YYDDPP-NNNNN	CBP Assigned Number
NNN-NN-NNNN	Social Security Number

The valid Bond Activity Codes are:

Code	Description
01	Importer or Broker
02	Custodian of Bonded Merchandise
03	International Carrier
04	Foreign Trade Zone Operator
16	ISF Bond

The valid ISF Bond Types are:

8	Continuous
9	Single Transaction

Record Identifier SF20 (Input)

This is a conditional input record that provides reference data.

Record Identifier SF20 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SF20.	
Reference Identifier Qualifier	3AN	5-7	M	Code that defines the Reference Data.	1
Reference Identifier	50x	8-57	M	Reference data. Do not include spaces, hyphens, slashes or other special characters.	
Filler	23X	58-80	M	Space fill	

Note 1

Valid qualifier codes are:

SBN Bond Reference Number (Note: this is NOT the same as the bond number)
V1 Surety Code
CR User-defined Reference Number

V1 and SBN are required if the Bond Activity code is ‘16’ and the Bond Type is ‘9’.

CR is an optional user-defined field that will be returned in both the SN and SA messages.

Record Identifier SF25 (Input)

This is an optional input record that is used to report information for each container.

Record Identifier SF25 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SF25.	
Equipment Description Code	2X	5-6	M	Code that describes the equipment. Valid codes are listed in Appendix B of this publication.	
Equipment Initial	4A	7-10	M	Alpha prefix preceding the equipment serial number	
Equipment Number	15N	11-25	M	Serial number of the equipment	
Equipment Number Check Digit	1N	26	C	Check digit of the equipment number. Not all intermodal equipment have a check digit but if the equipment has a check digit, it must be reported in this location.	
Equipment Size Type Code	4AN	27-30	O	Code identifying the type of equipment. Valid codes are listed in Appendix B of the ISF publication.	
Filler	50X	31-80	M	Space fill	

Record Identifier SF30 (Input)

This is a mandatory record that identifies the type of commercial entity whose identity is being reported.

The SF data set must have an SF30 record for *each* of the following Entity Codes: MF, SE, BY, ST, CS, LG, CN, and IM*****.

*****As part of the Unified Entry/ISF processing, the CBP system will create an SF30 record with the entity type IM (Importer of Record). The data for this record will be derived from the ISF Importer listed in the SF10 record. There can only be a single Importer of Record reported for an ISF. An SF30 with Entity Code IM should *not* be included in the Unified Entry/ISF filing.

If the SF30 record contains the Entity Code CN (Consignee), then only the SF30 record is used to report the commercial entity, while records SF31, SF35, and SF36 are not used. **Consignee is defined as the individual or firm in the United States on whose account the merchandise is shipped.**

When reporting a commercial entity, the SF30, SF35 and SF36 records must be used if the Entity Code in the SF30 record is equal to code MF (Manufacturer), SE (Seller), BY (Buyer), ST (Ship To Party), CS (Consolidator), LG (Stuffing Location) or BKP (Booking Party). The SF30 record identifies the entity being reported. The SF35 and SF36 records provide parsed fields for address and geographical location reporting to accommodate the various conventions found in the formats of non US addresses. Alternatively, a FIRMS code may be used to report ST (Ship To Party). When a FIRMS Code is used to report the Ship To Party, only the SF30 record is required.

For each MF (Manufacturer) loop, the SF30, SF31 (Optional), SF35, and SF36 records must be followed by the SF40 record(s) containing the Harmonized Tariff Schedule and Country of Origin information relevant to that manufacturer.

Record Identifier SF30 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SF30.	
Entity Code	3A	5-7	M	Code identifying the type of commercial entity being reported.	1
Entity Name	35X	8-42	C	The name of the entity identified by the Entity Code. This field must be blank if an Entity Identifier is used.	
Entity Identifier Qualifier	3X	43-45	C	This field must be used if the entity identifier is being supplied in lieu of name and address. This field is mandatory if the entity identifier is CN.	2
Entity Identifier	20X	46-65	C	The code identified by the entity identifier qualifier. This field is mandatory if the entity identifier is CN.	2
Country Code	2AN	66-67	M	Space fill for Unified Entry/ISF filing	
Date of Birth (DOB)	8X	68-75	M	Space fill for Unified Entry/ISF filing	
Filler	5X	76-80	M	Space fill.	

Note 1

Entity Codes:

Code	Description
MF	Manufacturer/Supplier
SE	Selling Party
BY	Buying Party
ST	Ship To Party
LG	Scheduled Container Stuffing Location
CS	Consolidator
BKP	Booking Party
CN	Consignee

Consignee (CN) is reported using an identifier in an importer of record number format. All the other parties are required to be reported using a name and address. Consignee is defined as the individual or firm in the United States on whose account the merchandise is shipped.

Note 2

Valid values for Entity Identifier Qualifier are:

EI = Employer Identification Number (IRS #)
Valid format is: **NN-NNNNNNNXX**
This qualifier may only be used with Entity Code **CN.**

ANI = CBP-assigned Number
Valid format is: **YYDDPP-NNNNN**
This qualifier may only be used with Entity Code **CN.**

In these codes, *A* = alpha, *N* = number, *X* = alphanumeric, *YY* = the last two digits of the calendar year when the number is assigned, *DDPP* = the district/port code where the number is assigned and *C* = alphanumeric or special character.

CIN = CBP encrypted Consignee ID
Valid format is: **-CCCCCCCCCCC**
*[The data element format descriptor "**C**" indicates that the data reported consists of special characters.]*
This qualifier may only be used with Entity Code **CN.**

34* = Social Security Number
Valid format is: **NNN-NN-NNNN**
This qualifier may only be used with Entity Code **CN.**

FR = Facility Information Resource Management System (FIRMS) Code
Valid format is: **ANNN**
This qualifier may only be used with Entity Code **ST.**

If the SF30 record contains an Entity Name, then the SF35 and SF36 records must be used. If the SF30 record contains an Entity Identifier, then the SF31, SF35 and SF36 records are not used.

Record Identifier SF31 (Input)

This is an optional record that provides a secondary name for the commercial entity reported in the previous SF30 record.

Record Identifier SF31 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SF31.	
Entity Code	3A	5-7	M	Code identifying the type of secondary name.	1
Entity Name	35X	8-42	M	The secondary name of the entity reported in the SF30 record.	
Filler	38X	43-80	M	Space fill	

Note 1

Valid Entity Codes are:

Code	**Description**
ALA	Alternative Addressee
DH	Doing Business As
DV	Division
NU	Formerly Known As
NV	Formerly Doing Business As
XD	Alias (Other Names Used)

Record Identifier SF35 (Input)

This is a conditional record used to transmit lines of the entity's address data. Only either an Entity Name or an Entity Identifier can be provided for each SF30. If the SF30 record contains an Entity Name, then the SF35 and SF36 records must be used. If the SF30 record contains an Entity Identifier, then the SF31, SF35 and SF36 records are not used.

Record Identifier SF35 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SF35.	
Address Component Qualifier	2AN	5-6	M	Address Component Qualifier describing the information in the Address Information data element	1
Address Information	35AN	7-41	M	Address Information corresponding to the Address Component Qualifier data element	
Address Component Qualifier	2AN	42-43	O	Address Component Qualifier describing the information in the Address Information data element	1
Address Information	35AN	44-78	O	Address Information corresponding to the Address Component Qualifier	
Filler	2X	79-80	M	Space fill	

Note 1

Valid Address Component Qualifiers:

Code	**Description**
01	Street Number
02	Street Name
05	P.O. Box Number
12	Building Name
13	Apartment Number
14	Suite Number
15	Unstructured Street Address
28	Association Name
30	Pier
31	Wing
32	Floor Number
35	Room
37	Unit
57	Cross Street
AK	Building Number

Record Identifier SF36 (Input)

This is a conditional record that provides data pertaining to the city, country, geographical sub entity code and postal code associated with the address of the entity reported in the SF30 record. Only either an Entity Name or an Entity Identifier can be provided for each SF30. If the SF30 record contains an Entity Name, then the SF35 and SF36 records must be used. If the SF30 record contains an Entity Identifier, then the SF31, SF35 and SF36 records are not used.

Record Identifier SF36 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SF36.	
City Name	35AN	5-39	M	The city portion of the address where the entity is located.	
Country Sub Entity Code	3AN	40-42	C	ISO subdivision code - **http://www.unece.org/cefact/locode/service/sublocat.htm**. Space fill if not applicable.	
Filler	6X	43-48	M	Space fill	
Postal Code	15AN	49-63	C	Postal code (i.e. ZIP code in USA). Space fill if no postal code is available.	
Country Code	2A	64-65	M	The International Standards Organization (ISO) country code representing the country portion of the address. A list of ISO country codes is found in Appendix B of this publication.	
Filler	15X	66-80	M	Space fill.	

Record Identifier SE90 (Output)

This is a mandatory output record that provides either an error or acceptance message.

NOTE: There are two types of SE90 records; a record-level SE90 and a message-level SE90. The record-level SE90 provides a description of the error related to a specific record/field. The message-level SE90 provides the status of the ACE Cargo Release entry filing.

Record Identifier SE90 (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal SE90.	
Message Type Code	2AN	5-6	M	01 = Message Rejected 02 = Message Accepted 03 = Message Accepted with Warning(s) 04 = Message Referred to Human Review 11 = Record Rejected 13 = Record Accepted with a Warning	
Message Identifier Code	3AN	7-9	C	A code that identifies the message.	
Narrative Message Text	40X	10-49	M	Narrative message text.	
Filler	31X	50-80	M	Space-fill	

Appendix A (updated 10/8/2015)

Instructions for Submission of Documents via E-mail to CBP Document Imaging System (DIS)

* Filers should refer to following document for the most current instructions for submitting documents to CBP in support of ACE Cargo Release (SE) transactions:

Appendix B of the *Document Imaging System Implementation Guide* (CATAIR)

Appendix B

CSMS #15-000275

Title: **Implementation of Broker Known Importer Program (BKIP)**
Date: 5/13/2015 1:40:44 PM
To: Automated Broker Interface

The purpose of this message is to announce the implementation of the Broker-Known Importer Program (BKIP). Through the BKIP, licensed customs brokers would alert CBP that the importer on an entry is known to the customs broker and that the customs broker has advised the importer of their compliance responsibilities pertaining to customs regulations. BKIP would create a framework whereby customs brokers could discuss with their importer clients in greater depth the importer's trade activities in the context of import regulations. These conversations may increase the importer's compliance understanding and enhance the communication between broker and client. With the BKIP indicator factoring into CBP's cargo risk segmentation, the importer may also benefit from improved cargo targeting by CBP at the time of arrival. In summary, BKIP offers an additional avenue for CBP to collaborate with the trade to enhance the sharing of trade intelligence.

Automated Commercial Environment functionality for the transmission of the BKIP indicator has been deployed, thus allowing licensed customs brokers the ability to transmit a Known Importer indicator when filing an entry on behalf of a Known Importer client.

Further questions regarding the implementation of the Broker-Known Importer Program may be directed to the National Customs Brokers and Forwarders Association of America at BKIP@NCBFAA.org or to CBP at commercialanalysis@cbp.dhs.gov.

Appendix C

Example Scenarios For Filing When A Split Shipment Is Involved

Regular Bill of Lading *12345* is a Split Shipment, with manifested quantity of 100, and arrives in three split parts.

If the mode of transportation is Air, the examples shown below can be used file a Cargo Release for a split *House Bill of Lading* as well.

SE15 Master Bill of Lading *12345*
SE15 House Bill of Lading *TEC49875*
…

Example 1:

Single Cargo Release transaction [Entry# ITC-44465001] is filed for the full manifested bill quantity. No In-Bond movements are involved.

If the mode of transportation is not Air, the filing **must** be for the full manifested Master bill of lading quantity.

SE15 [Regular Bill of Lading *12345* Qty = 100]

Example 2:

Single Cargo Release transaction [Entry# ITC-44465001] is filed for the full manifested bill quantity. An In-Bond movement is involved for Split Part B.

If the mode of transportation is Air, the Importer has the option of filing one SE15 (Example 1).

If the mode of transportation is not Air, the Importer has the option of filing one SE15 (Example 1) only if the filing is for the full manifested Master bill of lading quantity.

Or, if desired, the Importer has the option of filing the complete split shipment details →

SE15 Regular Bill of Lading *12345*
SE16 [Manifest Information (Split Part A) Qty = 20]
SE16 [Manifest Information (Split Part C) Qty = 50]
SE15 [In-Bond Number]
SE15 Regular Bill of Lading *12345*
SE16 [Manifest Information (Split Part B) Qty = 30]

Example 3:

Originally, the Cargo Release transaction [Entry# ITC-44465001] is filed for the full manifested bill quantity for Regular Bill of Lading *12345*.

Split Shipment for Bill of Lading *12345*, with manifested quantity of 100, arrives in three split parts. Split Part B arrives within 10 days of Split Part B. Split Part C arrives 11 days after Split Part A.

Cargo Release transaction [Entry# ITC-44465001] is released by the CBP system for Split Part A and Split Part B only. The total bill quantity released is 50 on Entry# ITC-44465001.

As Split Part C is not qualified to be released on Entry# ITC-44465001, Cargo Release transaction [Entry# ITC-44465002] is filed for Split Part C.

Entry# ITC-44465001 (as submitted by the filer)

SE15 Regular Bill of Lading *12345*

Entry# ITC-44465002

SE15 Regular Bill of Lading *12345*
SE16 [Manifest Information (Split Part C) Qty = 50]

Example 4:

Split Shipment for Regular Bill of Lading *12345*, with manifested quantity of 100, arrives in three split parts.

Cargo Release transaction [Entry# ITC-44465001] is filed for less than the full manifested bill quantity for Bill of Lading *12345*, for specific merchandise that arrives on more than one split part.

Cargo Release transaction [Entry# ITC-44465002] is filed for less than the full manifested bill quantity for Bill of Lading *12345*, for specific merchandise that arrives on more than one split part.

Entry# ITC-44465001

SE15 Regular Bill of Lading *12345*
SE16 [Manifest Information (Split Part A) Qty = 15]
SE16 [Manifest Information (Split Part B) Qty = 21]
SE16 [Manifest Information (Split Part C) Qty = 28]

Entry# ITC-44465002

SE15 Regular Bill of Lading *12345*
SE16 [Manifest Information (Split Part A) Qty = 5]
SE16 [Manifest Information (Split Part B) Qty = 9]
SE16 [Manifest Information (Split Part C) Qty = 32]