

APPENDIX X –HTS/Schedule B Codes for PGAs

# Automated Export System Trade Interface Requirements

## Appendix X – HTS/Schedule B Codes for PGAs

November 2016



U.S. Customs and  
Border Protection



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**APPENDIX X –HTS/Schedule B Codes for PGAs****AMS – Agricultural Marketing Service**

The AMS issues export permits for export shipments of fresh grape or fresh apple shipments. The below Harmonized Tariff Schedule (HTS) and Schedule B require these permit numbers to be include on the Electronic Export Information (EEI).

**Grapes**

0806.10.0010

0806.10.0050

**Apples**

0808.10.0010

0808.10.0050

0808.10.0045

0808.10.0065

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**APPENDIX X –HTS/Schedule B Codes for PGAs****ATF – Alcohol Tobacco and Firearms and Explosives**

ATF issues export permits (ATF Form 9) for machineguns, silencers, short barreled rifles, short barreled shotguns, destructive devices, and a class of miscellaneous firearms defined by the National Firearms Act as “any other weapons.” ATF does not issue export permits for conventional pistols, revolvers, rifles or shotguns. The Harmonized Tariff Schedule (HTS) and Schedule B codes are not unique for these ATF regulated commodities and therefore no HTS or Schedule B will require the ATF data. The ATF data elements are required for an export shipment when a Federal Firearms License (FFL) and/or permit (ATF Form 9) are required.

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**APPENDIX X –HTS/Schedule B Codes for PGAs****DEA – Drug Enforcement Administration**

The DEA issues export permits (DEA-36) for certain controlled substances and requires an advance notification for the exports of controlled substances (DEA-236) that do not require a DEA-36. The DEA also requires an advance notification for the export of all listed chemicals (DEA-486) unless there's a regulatory exception for the listed chemical. The DEA data elements are required when a DEA permit has been issued or advance notification is required. The DEA has provided a mapping of valid Harmonized Tariff Schedule (HTS) and Schedule B codes (**Master DEA Drug Code-HTS-Schedule B Reference Table.xlsx – can be found using this link: <https://www.cbp.gov/document/guidance/ace-aestir-appendix-x-hts-codes-pgas>**) to its Drug and Chemical codes but as the HTS and Schedule B codes are not unique for only DEA regulated substances they are not required based on the HTS or Schedule B on the shipment.

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**APPENDIX X –HTS/Schedule B Codes for PGAs**
**EPA – Environmental Protection Agency**

The EPA issue export consent letters on hazardous waste shipments. These consent letters act as permits to allow the export of hazmat shipments of waste. EPA has identified the following codes that will require the additional EPA data elements. There are more commodities or valid Harmonized Tariff Schedule (HTS) and Schedule B codes that can require the EPA data than these identified below, but the HTS or Schedule B is not unique to EPA commodities.

**Required for EPA**

7802.00.0030	8548.10.0540
8548.10.0580	8548.10.2500

**Allowed for EPA**

2620.21.0000	3824.82.0000	8506.80.0000	8528.69.0100	8548.10.3500
2620.29.0000	3825.10.0000	8506.40.5000	8528.71.0000	8548.90.0100
2620.60.0000	3825.20.0000	8506.90.0000	8528.73.0000	8548.10.1500
2620.91.0000	3825.30.0000	8507.10.0030	8528.72.3000	
2710.91.0000	3825.41.0000	8507.10.0060	8528.59.6000	
2805.40.0000	3825.49.0000	8507.10.0090	8528.49.8000	
3006.92.0000	3825.50.0000	8507.20.0030	8528.72.6005	
3815.11.0000	3825.61.0000	8507.20.0040	8528.72.6010	
3815.12.0000	3825.69.0000	8507.20.0060	8528.72.6040	
3815.19.0000	3825.90.0000	8507.20.0090	8528.72.6057	
3815.90.0000	7112.30.0000	8507.30.0000	8528.69.7000	
3824.71.0100	7112.91.0000	8507.50.0000	8540.11.0035	
3824.72.0000	7112.92.0000	8507.60.0000	8540.11.0070	
3824.73.0000	7112.99.0000	8507.80.0002	8540.11.0080	
3824.74.0000	7802.00.0060	8507.90.4000	8540.12.0000	
3824.75.0000	8107.30.0000	8507.90.8000	8540.20.2000	
3824.76.0000	8112.22.0000	8528.41.0000	8540.40.1010	
3824.77.0000	8506.30.1000	8528.49.0100	8540.40.1050	
3824.78.0000	8506.40.1000	8528.51.0000	8540.20.4000	
3824.79.0002	8506.50.0000	8528.59.0100	8540.60.0055	
3824.81.0000	8506.30.5000	8528.61.0000	8540.60.0080	

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**APPENDIX X –HTS/Schedule B Codes for PGAs**
**FWS – Fish & Wildlife Service**

The FWS issues an export eDecs confirmation number for shipments consisting of regulated wildlife commodities. FWS has broken the HTS and Schedule B into four categories. Three of the four categories mandate FWS data. The first category includes tariff codes in which the commodities contain animals or animal products, but the classification is not detailed enough to make a determination on filing the FWS eDecs confirmation number. These tariff codes are flagged in the FWS Tariff Reference Table as N1-12 and Y1-11. The second category contains commodities in which the classification description identifies both animal and non-animal, but is likely to contain wildlife. For this category, the trade will provide either an exemption code or the eDec confirmation number (DEC/EDS). The third category also contains commodities in which the classification description identifies both animal and non-animal, but is unlikely to contain wildlife. For this category, the trade will provide an eDec confirmation number for any shipments containing wildlife (EDS/NDS). The final category includes tariff codes that automatically require the submission of an eDec confirmation number (EDS). All codes for FWS are posted in the Master FWS Schedule B Tables.

<b>Data Element Name</b>	<b>N1</b>	<b>N2</b>	<b>N3</b>	<b>N4</b>	<b>N5</b>	<b>N6</b>	<b>N7</b>	<b>N8</b>	<b>N9</b>	<b>N10</b>	<b>N11</b>	<b>N12</b>
<b>Commercial Description</b>	M	M	M	M	M	M	M	M	M	M	M	M
<b>PGA ID</b>	M	M	M	M	M	M	M	M	M	M	M	M
<b>FWS Purpose Code</b>			M									
<b>Taxonomic Serial Number</b>	M	M	M	M	M	M	M	M	M	M		M
<b>FWS Wildlife Category Code</b>											M	M
<b>FWS Description Code</b>										M		
<b>Species Country of Origin</b>				M	M	M	M					
<b>State of Species Origin</b>				M		M						
<b>Source Code</b>		M	M									M

## APPENDIX X –HTS/Schedule B Codes for PGAs

Data Element Name	N1	N2	N3	N4	N5	N6	N7	N8	N9	N10	N11	N12
Exemption Certificate Code						M	M		M			

Data Element Name	ED S	Y1	Y2	Y3	Y4	Y5	Y6	Y7	Y8	Y 9	Y 10	Y 11
eDecs Confirmation Number	M	M	M	M	M	M	M	M	M	M	M	M

This list of 86 HTS and Schedule B codes require the filing of an eDec number on the commodity filings as listed as follows.

0102.31.0000	0102.39.0000	0106.11.0000	0106.12.0100
0106.15.0000	0106.20.0000	0106.31.0000	0106.32.0000
0106.33.0000	0208.10.0000	0208.30.0000	0208.40.0100
0208.50.0000	0208.90.2500	0210.91.0000	0210.92.0100
0210.93.0000	0210.99.0002	0301.11.0000	0301.19.0000
0301.91.0000	0301.92.0000	0301.93.0100	0301.94.0100
0301.95.0000	0301.99.0200	0303.90.2000	0307.41.0020
0307.41.0040	0307.41.0060	0307.49.0010	0307.49.0022
0307.49.0024	0307.49.0029	0307.49.0050	0307.49.0060
0307.51.0000	0307.59.0000	0307.60.0000	0308.11.0000
0308.19.0000	0308.21.0021	0308.21.0029	0308.29.0000
0308.30.0000	0308.90.0000	0507.10.0000	0508.00.0000
0510.00.5000	0511.91.0000	0511.99.3600	1504.30.0000
1604.31.0000	1605.54.0010	1605.54.0020	1605.54.0030
1605.55.0000	1605.58.0000	1605.61.0000	1605.62.0000
1605.63.0000	1605.69.0000	4101.20.3500	4101.50.3500
4101.90.3500	4103.20.1000	4103.20.2000	4103.20.3000
4104.11.3000	4104.19.3000	4104.41.3000	4104.49.3000
4106.40.0000	4107.11.4000	4107.12.4000	4107.19.4000
4107.91.4000	4107.92.4000	4107.99.4000	4113.30.0000
4301.10.0000	4301.60.0000	4301.80.0210	4302.11.0000
4303.10.0030	9601.10.0000		

HTS/Schedule B numbers not covered in the final category can be identified in ‘Master FWS Schedule B Reference Table.xls’ and can be found using this link:

<https://www.cbp.gov/document/guidance/ace-aestir-appendix-x-hts-codes-pgas>

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**APPENDIX X –HTS/Schedule B Codes for PGAs**
**NMFS - National Oceanic and Atmospheric Administration,  
National Marine Fisheries Service**

The NMFS issues permits for export shipments under the Highly Migratory Species (HMS) and the Antarctic Marine Living Resource (AMLR). The following Harmonized Tariff Schedule (HTS) and Schedule B codes require the filing of NMFS data with the export filing.

<b>Antarctic Marine Living Resources</b>	
<b>Code</b>	<b>Description</b>
0302.83.0000	Toothfish (Dissostichus spp.) Fish, fresh or chilled, excluding fish fillets and other fish meat of heading 0304
0303.83.0000	Toothfish (Dissostichus spp.) Fish, frozen, excluding fish fillets and other fish meat of heading 0304
0304.46.0000	Toothfish (Dissostichus spp.) Fish fillets and other fish meat (whether or not minced), fresh, chilled or frozen
0304.55.0000	Toothfish (Dissostichus spp.) Fish fillets and other fish meat (whether or not minced), fresh, chilled or frozen
0304.85.0000	Toothfish (Dissostichus spp.) Fish fillets and other fish meat (whether or not minced), fresh, chilled or frozen
0304.92.1000	Toothfish (Dissostichus spp.): Fish fillets and other fish meat (whether or not minced), fresh, chilled or frozen.) In bulk or in immediate containers weighing with their contents over 6.8 kg each
0304.92.9000	Toothfish (Dissostichus spp.): Fish fillets and other fish meat (whether or not minced), fresh, chilled or frozen.
0306.19.0030	Antarctic krill (Euphausia superba)
0511.99.3030	Antarctic krill (Euphausia superba)

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**APPENDIX X –HTS/Schedule B Codes for PGAs**

<b>Highly Migratory Species</b>	
<b>Code</b>	<b>Description</b>
0302.35.0100	Atlantic and Pacific bluefin tunas (Thunnus thynnus, Thunnus orientalis)
0302.36.0000	Southern bluefin tunas (Thunnus maccoyii)
0303.45.01	Atlantic and Pacific bluefin tunas(Thunnus thynnus, Thunnus orientalis)
0303.45.0110	Thunnus thynnus
0303.45.0150	Thunnus orientalis
0303.46.0000	Southern bluefin tunas (Thunnus maccoyii)
0302.47.0000	Swordfish (Xiphias gladius)
0302.47.0010	Steaks
0302.47.0090	Other
0303.57.0000	Swordfish (Xiphias gladius)
0303.57.0010	Steaks
0303.57.0090	Other
0304.45.0000	Swordfish (Xiphias gladius)
0304.54.0000	Swordfish (Xiphias gladius)
0304.84.0000	Swordfish (Xiphias gladius)
0304.91.00	Swordfish (Xiphias gladius)
0304.91.1000	In bulk or in immediate containers weighing with their contents over 6.8 kg each
0304.91.9000	Other Frozen bigeye tuna
0305.71.0000	Shark fins
0303.44.0000	Bigeye tunas (Thunnus obesus)

NMFS will also have a requirement to file documents with the Document Imaging System (DIS). The exporter will first file the export shipment and once an ITN is obtained it will be used to file the documents in DIS per guidelines that are published.

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**TTB – Alcohol and Tobacco Tax and Trade Bureau**

The TTB is collecting information related to the export of alcohol, tobacco, firearms and ammunition where either the liability for tax is relieved or if the export is eligible for a drawback claim on tax paid. The following Harmonized Tariff Schedule (HTS) and Schedule B codes require the inclusion of TTB data on the export shipment commodity filing.

Code	Description
2106.90.1200	Food preparations not elsewhere specified or included: Other: Compound alcoholic preparations of an alcoholic strength by volume exceeding 0.5 percent vol., of a kind used for the manufacture of beverages: Containing not over 20 percent of alcohol by weight
2106.90.1500	Food preparations not elsewhere specified or included: Other: Compound alcoholic preparations of an alcoholic strength by volume exceeding 0.5 percent vol., of a kind used for the manufacture of beverages: Containing over 20 percent but not over 50 percent of alcohol by weight
2106.90.1650	Compound alcoholic preparations of a kind used for the manufacture of beverages
2106.90.1800	Food preparations not elsewhere specified or included: Other: Compound alcoholic preparations of an alcoholic strength by volume exceeding 0.5 percent vol., of a kind used for the manufacture of beverages: Containing over 20 percent but not over 50 percent of alcohol by weight
2203.00.00	Beer made from malt
2204.10.00	Wine of fresh grapes, including fortified wines; grape must other than that of heading 2009: Sparkling wine
2204.21	Wine of fresh grapes, including fortified wines; grape must other than that of heading 2009: Other wine; grape must with fermentation prevented or arrested by the addition of alcohol: In containers holding 2 liters or less
2204.29	Wine of fresh grapes, including fortified wines; grape must other than that of heading 2009: Other wine; grape must with fermentation prevented or arrested by the addition of alcohol: Other
2204.30	Wine of fresh grapes, including fortified wines; grape must other than that of heading 2009: Other wine; grape must with fermentation prevented or arrested by the addition of alcohol: Other grape must
2205	Vermouth and other wine of fresh grapes flavored with plants or aromatic substances
2206	Other fermented beverages (for example, cider, perry, mead); mixtures of fermented beverages and mixtures of fermented beverages and non-alcoholic beverages, not elsewhere specified or included
2207.10	Undenatured ethyl alcohol of an alcoholic strength by volume of 80 percent vol. or higher; ethyl alcohol and other spirits, denatured, of any strength: Undenatured ethyl alcohol of an alcoholic strength by volume of 80 percent vol. or higher
2207.20	Undenatured ethyl alcohol of an alcoholic strength by volume of 80 percent vol. or higher; ethyl alcohol and other spirits, denatured, of any strength: Ethyl alcohol and other spirits, denatured, of any strength
2208.20	Undenatured ethyl alcohol of an alcoholic strength by volume of less than 80 percent vol.; spirits, liqueurs and other spirituous beverages: Spirits obtained by distilling grape wine or grape marc (grape brandy)
2208.30	Undenatured ethyl alcohol of an alcoholic strength by volume of less than 80 percent vol.; spirits, liqueurs and other spirituous beverages: Whiskies:
2208.40	Undenatured ethyl alcohol of an alcoholic strength by volume of less than 80 percent vol.; spirits, liqueurs and other spirituous beverages: Rum and other spirits obtained by distilling

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**APPENDIX X –HTS/Schedule B Codes for PGAs**

Code	Description
	fermented sugar-cane products:
2208.50	Undenatured ethyl alcohol of an alcoholic strength by volume of less than 80 percent vol.; spirits, liqueurs and other spirituous beverages: Gin and Geneve
2208.60	Undenatured ethyl alcohol of an alcoholic strength by volume of less than 80 percent vol.; spirits, liqueurs and other spirituous beverages: Vodka
2208.70	Undenatured ethyl alcohol of an alcoholic strength by volume of less than 80 percent vol.; spirits, liqueurs and other spirituous beverages: Liqueurs and cordials
2208.90	Undenatured ethyl alcohol of an alcoholic strength by volume of less than 80 percent vol.; spirits, liqueurs and other spirituous beverages: Other
2402.10	Cigars, cheroots, cigarillos and cigarettes, of tobacco or of tobacco substitutes: Cigars, cheroots and cigarillos, containing tobacco
2402.20	Cigars, cheroots, cigarillos and cigarettes, of tobacco or of tobacco substitutes: Cigarettes containing tobacco
2403	Other manufactured tobacco and manufactured tobacco substitutes; "homogenized" or "reconstituted" tobacco; tobacco extracts and essences
4813.10	Cigarette paper, whether or not cut to size or in the form of booklets or tubes: In the form of booklets or tubes
4813.20	Cigarette paper, whether or not cut to size or in the form of booklets or tubes: In rolls of a width not exceeding 5 cm
4813.90	Cigarette paper, whether or not cut to size or in the form of booklets or tubes: Other
9301.90	Military weapons, other than revolvers, pistols and the arms of heading 9307: Other
9302	Revolvers and pistols, other than those of heading 9303 or 9304
9303	Other firearms and similar devices which operate by the firing of an explosive charge (for example, sporting shot- guns and rifles, muzzle-loading firearms, Very pistols and other devices designed to project only signal flares, pistols and revolvers for firing blank ammunition, captive-bolt humane killers, line-throwing guns)
9306.21	Bombs, grenades, torpedoes, mines, missiles and similar munitions of war and parts thereof; cartridges and other ammunition and projectiles and parts thereof, including shot and cartridgewads: Shotgun cartridges and parts thereof; air gun pellets: Cartridges
9306.30.4110	Other cartridges and parts thereof: Cartridges and empty cartridge shells: Cartridges containing a projectile: For rifles or pistols: .22 caliber
9306.30.4120	Other cartridges and parts thereof: Cartridges and empty cartridge shells: Cartridges containing a projectile: For rifles or pistols: Other
9306.30.4130	Other cartridges and parts thereof: Cartridges and empty cartridge shells: Cartridges containing a projectile: Other
9306.30.4160	Other cartridges and parts thereof: Cartridges and empty cartridge shells: Other
9306.30.8000	Other cartridges and parts thereof: Other