CBP Automated Export System Trade Interface Requirements

Appendix Q – PGA Record Formats

December 8, 2016

U.S. Customs and Border Protection

APPENDIX Q – PGA Record Formats

For more information regarding reasons/resolutions on response codes received, select the link below.

https://www.cbp.gov/document/guidance/aestir-appendix-commodity-filing-response-messages

For more information regarding Schedule B codes that are required or optional for specific PGAs, select the link below.

https://www.cbp.gov/document/guidance/ace-aestir-appendix-x-hts-codes-pgas

For more information regarding Appendix PGA select the link below and select the 'Chapters: PGA Message Set' tab.

https://www.cbp.gov/trade/ace/catair

AMS – Agricultural Marketing Service

Participating Government Agency Detail (Input AM1 Record)

The PGA record contains specific information related to a particular PGA regarding a reported commodity contained in the shipment. Do NOT transmit if NOT required. A PGA is NOT allowed if the CL1 Line Item Filing Action Request Indicator is a **D** (Remove Line Item). The PGA record will conditionally be returned to the filer/transmitter, unchanged, in an output response when a data element in the record has caused or contributed to a fatal, warning, compliance alert, or verification condition.

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
AMS Export Certificate Number	13X	7-19	M	AMS Export Certificate Number	1
Filler	61X	20-80	M	Space fill.	

1) If the shipment is exempted from having an AMS export permit, please use BULKCONTR in the permit field for these shipments.

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MAN*ZZ*AM1*1234ABCD9832F~

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
AMS Export Certificate Number	13X	MAN03 1-13	M	AMS Export Certificate Number	1

1) If the shipment is exempted from having an AMS export permit, please use BULKCONTR in the permit field for these shipments.

ATF – Bureau of Alcohol, Tobacco, Firearms and Explosives

Participating Government Agency Detail (Input AT6 Record)

The PGA record contains specific information related to a particular PGA regarding a reported commodity contained in the shipment. Do NOT transmit if NOT required. A PGA is NOT allowed if the CL1 Line Item Filing Action Request Indicator is a **D** (Remove Line Item). The PGA record will conditionally be returned to the filer/transmitter, unchanged, in an output response when a data element in the record has caused or contributed to a fatal, warning, compliance alert, or verification condition. ATF records can be reported up to 999 times per commodity line.

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
FFL Number	20X	7-26	C	Space fill if not used	1
FFL Exemption Code	1N	27	C	Valid values are: 1 – Government Contract 2 – ATF Exemption Letter Space fill if not used	1
Permit Number	11X	28-38	C	Space fill if not used	2
Permit Exemption Code	1N	39	C	Valid values are: 1 – Government Contract 2 – ATF Exemption Letter Space fill if not used	2
Quantity	9N	40-48	M	Whole number greater than zero	
Category Code	4AN	49-52	O	Valid values for exports: AW – ANY OTHER WEAPON DD – DESTRUCTIVE DEVICE MG – MACHINE GUN SI – SILENCER SR – SHORT BARRELED RIFLE SS – SHORT BARRELED SHOTGUN Space fill if not used	
Description	28X	53-80	O	Space fill if not used	

1) Either FFL (Federal Firearm License) Number or FFL Exemption Code can be specified, but not both.
2) Either Permit Number or Permit Exemption Code can be specified, but not both.

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MAN*ZZ*AT6*1234-BCD9832-12349OK 2000003412AW DESCRIPTION HERE~

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
FFL Number	20X	MAN03 1-20	C	Space fill if not used	1
FFL Exemption Code	1N	MAN03 21	C	Valid values are: 1 – Government Contract 2 – ATF Exemption Letter Space fill if not used	1
Permit Number	11X	MAN03 22-32	C	Space fill if not used	2
Permit Exemption Code	1N	MAN03 33	C	Valid values are: 1 – Government Contract 2 – ATF Exemption Letter Space fill if not used	2
Quantity	9N	MAN03 34-42	M	Whole numbers greater than zero	
Category Code	4AN	MAN03 43-46	O	Valid values for exports only: AW – ANY OTHER WEAPON DD – DESTRUCTIVE DEVICE MG – MACHINE GUN SI – SILENCER SR – SHORT BARRELED RIFLE SS – SHORT BARRELED SHOTGUN Space fill if not used	
Description	28X	MAN03 47-74	O	Space fill if not used	

1) Either FFL (Federal Firearm License) Number or FFL Exemption Code can be specified, but not both.
2) Either Permit Number or Permit Exemption Code can be specified, but not both.

DEA – Drug Enforcement Administration

Participating Government Agency Detail (Input DEA Record)

The PGA record contains specific information related to a particular PGA regarding a reported commodity contained in the shipment. Do NOT transmit if NOT required. A PGA is NOT allowed if the CL1 Line Item Filing Action Request Indicator is a **D** (Remove Line Item). The PGA record will conditionally be returned to the filer/transmitter, unchanged, in an output response when a data element in the record has caused or contributed to a fatal, warning, compliance alert, or verification condition. DEA records can be reported up to three times per commodity line.

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
Drug Code	4AN	7-10	M	See '*Master DEA Drug Code-HTS-Schedule B Reference Table*'	
Quantity	14N	11-24	M		1
Unit of Measure	3A	25-27	M	G, MG, KG, MCG	
Transaction Type	1A	28	M	E – Export T – Transient	
Permit Number/Transaction ID Number	7AN	29-35	M	DEA Permit Number or DEA Transaction authorization number	
Entity Number	9AN	36-44	M	The DEA registration number or company identification number. DEA assigns identification numbers to companies that are not registrants.	
Filler	36AN	45-80	M	Space fill	

1) Last 4 numbers of quantity is after the decimal point. For example: 32 Grams should be reported as 00000000320000. If reporting .25 Grams, report as 00000000002500.

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MAN*ZZ*DEA*87EF00006312123401MCGEAB1293783741FL34~

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
Drug Code	4AN	MAN03 1-4	M	See '*Master DEA Drug Code-HTS-Schedule B Reference Table*'	
Quantity	14N	MAN03 5-18	M		1
Unit of Measure	3A	MAN03 19-21	M	G, MG, KG, MCG	
Transaction Type	1A	MAN03 22	M	E – Export T – Transient	
Permit Number/Transaction ID Number	7AN	MAN03 23-29	M	DEA Permit Number or DEA Transaction authorization number	
Entity Number	9AN	MAN03 30-38	M	The DEA registration number or company identification number. DEA assigns identification numbers to companies that are not registrants.	

1) Last 4 numbers of quantity is after the decimal point. For example: 32 Grams should be reported as 00000000320000. If reporting .25 Grams, report as 00000000002500.

EPA – Environmental Protection Agency

Participating Government Agency Detail (Input EP1 Record)

The PGA record contains specific information related to a particular PGA regarding a reported commodity contained in the shipment. Do NOT transmit if NOT required. A PGA is NOT allowed if the CL1 Line Item Filing Action Request Indicator is a **D** (Remove Line Item). The PGA record will conditionally be returned to the filer/transmitter, unchanged, in an output response when a data element in the record has caused or contributed to a fatal, warning, compliance alert, or verification condition. Only one PGA record for EP1 will be allowed per commodity line.

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
EPA Licensed Required Indicator	1A	7	M	If 'Y', information below is required.	
EPA Consent Number	12AN	8-19	M	Consent number, including waste stream number, from the Acknowledgement of Consent Letter is mandatory. For example, if your consent number for waste stream one is 007071/12E/13.001, you would remove special characters and provide 007071E13001.	
RCRA (Resource Conservation & Recovery Act) Hazardous Waste Manifest Tracking Number	12AN	20-31	C	EPA RCRA Hazardous Waste Manifest Number (OMB Form # 8700-22) associated with the export is conditionally required if waste is required to be RCRA manifested (not CBP manifested) within the United States. For example, 000024109FLE.	1
EPA Net Qty Unit of Measure	3AN	32-34	C	Unit of measure. Conditionally required if neither CL1 nor CL2 quantity are the unit of measure indicated for the AOC. KG are required if waste is solid, L is required if waste is liquid or sludge.	2
EPA Net Quantity	10N	35-44	C	Quantity of the export for Unit of Measure provided. Conditionally required if neither CL1 nor CL2 quantity are the unit of measure indicated for the AOC. For example, 000000100.	2
Filler	36AN	45-80	M	Space fill.	

1) The format should be 12 characters in length, comprised of 9 numbers, then 3 letters without dashes and other special characters (e.g. NNNNNNNNNAAA).
2) If filer provides the Quantity Unit of Measure and Quantity in the CL2 record in a unit of measure of kilograms or liters, it is not necessary to provide an EPA Net Quantity and Unit of Measure.

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MAN*ZZ*EP1*Y008054E13001571468164XGBKG 0000000199~

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
EPA Licensed Required Indicator	1A	MAN03 1	M	If 'Y', information below is required.	
EPA Consent Number	12AN	MAN03 2-13	M	Consent number, including waste stream number, from the Acknowledgement of Consent Letter is mandatory. For example, if your consent number for waste stream one is 007071/12E/13.001, you would remove special characters and provide 007071E13001.	
RCRA (Resource Conservation & Recovery Act) Hazardous Waste Manifest Tracking Number	12AN	MAN03 14-25	C	EPA RCRA Hazardous Waste Manifest Number (OMB Form # 8700-22) associated with the export is conditionally required if waste is required to be RCRA manifested (not CBP manifested) within the United States. For example, 000024109FLE.	1
EPA Net Qty Unit of Measure	3AN	MAN03 26-28	C	Unit of measure. Conditionally required if neither CL1 nor CL2 quantity are the unit of measure indicated for the AOC. KG are required if waste is solid, L is required if waste is liquid or sludge.	2
EPA Net Quantity	10N	MAN03 29-38	C	Quantity of the export for Unit of Measure provided. Conditionally required if neither CL1 nor CL2 quantity are the unit of measure indicated for the AOC. For example, 000000100.	2

1) The format should be 12 characters in length, comprised of 9 numbers, then 3 letters without dashes and other special characters (e.g. NNNNNNNNNAAA).
2) If filer provides the Quantity Unit of Measure and Quantity in the CL2 record in a unit of measure of kilograms or liters, it is not necessary to provide an EPA Net Quantity and Unit of Measure.

FWS – Fish & Wildlife Service

Participating Government Agency Detail (Input FW7 Record)

The PGA record contains specific information related to a particular PGA regarding a reported commodity contained in the shipment. Do NOT transmit if NOT required. A PGA is NOT allowed if the CL1 Line Item Filing Action Request Indicator is a **D** (Remove Line Item). The PGA record will conditionally be returned to the filer/transmitter, unchanged, in an output response when a data element in the record has caused or contributed to a fatal, warning, compliance alert, or verification condition.

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
eDecs Confirmation number	14AN	7-20	C	Number indicating export is authorized by FWS and will be validated. Format: CCYY, a two letter alphanumeric port code and a 7 digit unique number Sample: 2015CH1234567.	1
Taxonomic Serial Number	19X	21-39	C	The Taxonomic Serial Number (TSN) representing the species or other taxonomic classification of an animal.	1
FWS Purpose Code	1A	40	C	Code indicating the specific FWS processing codes based upon purpose of which the data set is related B – Breeding in captivity E – Educational H – Hunting trophy M – Biomedical Research P – Personal Q – Circus/Travelling Exhibition S – Scientific T – Commercial Y – Reintroduction into the wild Z – Zoo	
FWS Description Code	3A	41-43	C	Description Codes assigned by FWS. See *'Appendix PGA'*	1
Species Country of Origin	2A	44-45	C	Two letter ISO code that identifies the country where the species of animal was taken from the wild or born. For shipments of wildlife (sea turtles, fish, etc.) that were landed (introduced) after harvest on the high seas, enter ZZ.	1

APPENDIX Q – PGA Record Formats

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
Source Code	1A	46	C	A code indicating the FWS source of animal W – Specimens taken from the wild R – Specimens originating from a ranching operation O – Pre-convention specimens F – Animals that do not qualify as captive-bred under CITES U – Source unknown (lack of information must be justified) C – Animals bred in captivity (from parents that mated in captivity) I – Confiscated or seized specimens D – CITES Appendix I animals commercially bred in CITES J – Specimens that are domesticated X – Specimens taken on the high seas	
Exemption Certification Code	3AN	47-49	C	Code indicating FWS exemption certification FW1- Certification of No Wildlife FW2 – Salmonid Certification	2,3
FWS Wildlife Category Code	3A	50-52	C	A code representing the FWS Wildlife Category Codes. See *'Appendix PGA'*.	1
State of Species Origin	2A	53-54	C	2-letter US state code where species was born, bred, taken from the wild	1
Filler	26AN	55-80	M	Space fill	

1) Validation against reference table. If valid eDecs Number, then no other information is required.
2) FW1 – I certify that this commodity is not, or does not, contain any live wildlife part of product.
3) FW2 – I certify that any salmonid fish are dead, eviscerated (all inter organs removed) fish, fillet fish, or fish or eggs that have been processed by canning, pickling, smoking, or otherwise prepared in a manner whereby the Onchorhynchus masou virus and the viruses causing viral hemorrhagic septicemia, an infectious hematopoietic necrosis, and infectious pancreatic necrosis have been killed.

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MAN*ZZ*FW7*2015CH1234567TSN18383801234567890HCARAFFFW1APDCO ~

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
eDEcs Confirmation number	14AN	MAN03 1-14	C	Number indicating export is authorized by FWS and will be validated. Format: CCYY, a two letter alphanumeric port code and a 7 digit unique number Sample: 2015CH1234567 Space fill if not required	1
Taxonomic Serial Number	19X	MAN03 15-33	C	The Taxonomic Serial Number (TSN) representing the species or other taxonomic classification of an animal. Space fill if not required	1
FWS Purpose Code	1A	MAN03 34	C	Code indicating the specific FWS processing codes based upon purpose of which the data set is related B – Breeding in captivity E – Educational H – Hunting trophy M – Biomedical Research P – Personal Q – Circus/Travelling Exhibition S – Scientific T – Commercial Y – Reintroduction into the wild Z – Zoo Space fill if not required	
FWS Description Code	3A	MAN03 35-37	C	Description Codes assigned by FWS. See *'Appendix PGA'*. Space fill if not required.	1
Species Country of Origin	2A	MAN03 38-39	C	Two letter ISO code that identifies the country where the species of animal was taken from the wild or born. For shipments of wildlife (sea turtles, fish, etc.) that were landed (introduced) after harvest on the high seas, enter ZZ. Space fill if not required	1
Source Code	1A	MAN03 40	C	A code indicating the FWS source of animal W – Specimens taken from the wild R – Specimens originating from a ranching operation O – Pre-convention specimens F – animals that do not qualify as captive-bred under CITES U – Source unknown (lack of information must be justified) C – Animals bred in captivity (from parents that mated in captivity) I – Confiscated or seized specimens	

APPENDIX Q – PGA Record Formats

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
				D – CITES Appendix I animals commercially bred in CITES J – Specimens that are domesticated X – Specimens taken on the high seas Space fill if not required	
Exemption Certification Code	3AN	MAN03 41-43	C	Code indicating FWS exemption certification FW1- Certification of No Wildlife FW2 – Salmonid Certification Space fill if not required	2,3
FWS Wildlife Category code	3A	MAN03 44-46	C	A code representing the FWS Wildlife Category Codes Space fill if not required	1
State of species origin	2A	MAN03 47-48	C	2-letter US state code where species was born, bred, taken from the wild Space fill if not required	1

1) Validation against reference table. If valid eDecs Number, then no other information is required.
2) FW1 – I certify that this commodity is not, or does not, contain any live wildlife part of product.
3) FW2 – I certify that that any salmonid fish are dead, eviscerated (all inter organs removed) fish, fillet fish, or fish or eggs that have been processed by canning, pickling, smoking, or otherwise prepared in a manner whereby the Onchorhynchus masou virus and the viruses causing viral hemorrhagic septicemia, an infectious hematopoietic necrosis, and infectious pancreatic necrosis have been killed.

APPENDIX Q – PGA Record Formats

Participating Government Agency Detail (Input FW8 Record)

The PGA record contains specific information related to a particular PGA regarding a reported commodity contained in the shipment. Do NOT transmit if NOT required. A PGA is NOT allowed if the CL1 Line Item Filing Action Request Indicator is a **D** (Remove Line Item). The PGA record will conditionally be returned to the filer/transmitter, unchanged, in an output response when a data element in the record has caused or contributed to a fatal, warning, compliance alert, or verification condition.

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
Commercial Description	70AN	7-76	C	Clear description of the commercial line item in English. Provide the description according to other agency instruction(s).	
Filler	3AN	77-80	M	Space fill	

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MAN*ZZ*FW8*CLEAR DESCRIPTION OF FWS; NOT SURE WHAT ANIMALXX*C*DESCRIP CONTINUATION! ~

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
Commercial Description	48AN	MAN03 1-48	C	Clear description of the commercial line item in English. Provide the description according to other agency instructions	
CONTINUATION	1A	MAN04		CONTINUATION	
Commercial Description continuation	22AN	MAN05 1-22		Commercial description continuation Space fill if not required	

NMFS - National Oceanic and Atmospheric Administration, National Marine Fisheries Service

Participating Government Agency Detail (Input NM7 Record)

The PGA record contains specific information related to a particular PGA regarding a reported commodity contained in the shipment. Do NOT transmit if NOT required. A PGA is NOT allowed if the CL1 Line Item Filing Action Request Indicator is a **D** (Remove Line Item). The PGA record will conditionally be returned to the filer/transmitter, unchanged, in an output response when a data element in the record has caused or contributed to a fatal, warning, compliance alert, or verification condition. For HMS program, NM7, NM8 and NM9 records are required. For AMR program, only NM7 and NM9 records are required. The NM7, NM8 and NM9 can be repeated up to 999 times per commodity line.

Changes to NM8 and NM9 are listed in the Summary of Changes document. These changes will not be effective until early January 2017.

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
Description	70A	7-76	C	Description of product	1,2
Govt Agency Program Code	3A	77-79	M	AMR – Antarctic Marine Living Resources HMS – Highly Migratory Species	1,2
Filler	1AN	80	M	Space Fill.	

1) Mandatory fields for Highly Migratory Species (HMS) program
2) Mandatory fields for Antarctic Marine Living Resources (AMR) program

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MAN*ZZ*NM7*description here for up to 48 characters12345678*C*next 22 char descriptnHMS~

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
Description	48A	MAN03 1-48	C	Description of product Space fill if not required	1,2
Continuation	1A	MAN04	M	Continuation	1,2
Description continuation	22A	MAN05 1-22	M	Description continuation Space fill if not required	1,2
Govt Agency Program Code	3A	MAN05 23-25	M	AMR – Antarctic Marine Living Resources HMS – Highly Migratory Species	1,2

1) Mandatory fields for Highly Migratory Species (HMS) program
2) Mandatory fields for Antarctic Marine Living Resources (AMR) program

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Participating Government Agency Detail (Input NM8 Record)

The PGA record contains specific information related to a particular PGA regarding a reported commodity contained in the shipment. Do NOT transmit if NOT required. A PGA is NOT allowed if the CL1 Line Item Filing Action Request Indicator is a **D** (Remove Line Item). The PGA record will conditionally be returned to the filer/transmitter, unchanged, in an output response when a data element in the record has caused or contributed to a fatal, warning, compliance alert, or verification condition. For HMS program, NM7, NM8 and NM9 records are required. For AMR program, only NM7 and NM9 records are required. The NM7, NM8 and NM9 can be repeated up to 999 times per commodity line.

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
Processing Type code	3A	7-9	C	See Processing Type Codes	1
Document Identifier	3N	10-12	C	At least one of the Catch, Statistical or re-export documents listed above is required for all exports or re-exports of HMS products except for shark fins and Atlantic Bluefin Tuna. The document required is determined by the regulatory parameters involving the particular species (HTS), ocean harvest area and export re-export status. These documents must be submitted at the time of the filing to CBP via DIS (Document Imaging System). See NMFS Document Identifiers.	1
Document Number	30AN	13-42	C	Enter the unique/specific number that appears on every document submitted via DIS. This is the specific 'serialized' number assigned to the individual reporting form for that specific harvest. If the product is Atlantic Bluefin Tuna, enter the "eBCD" number provided by the ICCAT system. A DIS submission of an eBCD is not required only the number provided via the ICCAT systems is required for Atlantic Bluefin Tuna.	1
Document Image(s) Indicator	1A	43	C	Y = Yes , Documents will be sent immediately by filer following receipt of ITN. N = No	1
Source - country	2A	44-45	C	ISO code or ZZ for high seas international waters harvests	1
Commodity Harvesting vessel Country of Registry	2A	46-47	C	See ISO code Space fill if not required	1
Geographic Location	3AN	48-50	C	Where the shipment originated/was harvested, use valid codes for the ocean area. See *'Appendix PGA'*. Space fill if not required	1

1) Mandatory fields for Highly Migratory Species (HMS) program
2) Mandatory fields for Antarctic Marine Living Resources (AMR) program

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MAN*ZZ*NM8*BBFABC0123456789DHMSDOC9212123456789YAUAUIND~

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
Processing Type code	3A	MAN03 1-3	C	See NMFS Processing Type Codes Space fill if not required	1
Document Identifier	3N	MAN03 4-6	C	At least one of the Catch, Statistical or re-export documents listed above is required for all exports or re-exports of HMS products except for shark fins and Atlantic Bluefin Tuna. The document required is determined by the regulatory parameters involving the particular species (HTS), ocean harvest area and export re-export status. These documents must be submitted at the time of the filing to CBP via DIS (Document Imaging System). See NMFS Document Identifiers. Space fill if not required	1
Document Number	30AN	MAN03 7-36	C	Enter the unique/specific number that appears on every document submitted via DIS. This is the specific 'serialized' number assigned to the individual reporting form for that specific harvest. If the product is Atlantic Bluefin Tuna, enter the "eBCD" number provided by the ICCAT system. A DIS submission of an eBCD is not required only the number provided via the ICCAT systems is required for Atlantic Bluefin Tuna. Space fill if not required	1
Document Image(s) Indicator	1A	MAN03 37	C	Y = Yes, Documents will be sent immediately by filer following receipt of ITN. N = No	1
Source - country	2A	MAN03 38-39	C	ISO code or ZZ for high seas international waters harvests Space fill if not required	1
Commodity Harvesting vessel Country of Registry	2A	MAN03 40-41	C	See ISO code Space fill if not required	1
Geographic Location	3AN	MAN03 42-44	C	Where the shipment originated/was harvested, use valid codes for the ocean area. See *'Appendix PGA'*. Space fill if not required	1

1) Mandatory fields for Highly Migratory Species (HMS) program
2) Mandatory fields for Antarctic Marine Living Resources (AMR) program

Participating Government Agency Detail (Input NM9 Record)

The PGA record contains specific information related to a particular PGA regarding a reported commodity contained in the shipment. Do NOT transmit if NOT required. A PGA is NOT allowed if the CL1 Line Item Filing Action Request Indicator is a **D** (Remove Line Item). The PGA record will conditionally be returned to the filer/transmitter, unchanged, in an output response when a data element in the record has caused or contributed to a fatal, warning, compliance alert, or verification condition. For HMS program, NM7, NM8 and NM9 records are required. For AMR program, only NM7 and NM9 records are required. The NM7, NM8 and NM9 can be repeated up to 999 times per commodity line.

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
Permit Number	14AN	7-20	M	International Fisheries Trade Permit number	1,2
LCPO Quantity	15N	21-35	C	Include total quantity by weight being exported Space fill if not required	2
LCPO Unit of Measure	2A	36-37	C	Kilograms = KG	2
Catch Document Number	12AN	38-49	C	The original Dissostichus Catch document number (example, CL-15-0001-E)	2
Re-Export Number	12AN	50-61	C	Re-export Approval number provided by NMFS	2
Filler	19AN	62-80	M	Space fill	

1) Mandatory fields for Highly Migratory Species (HMS) program
2) Mandatory fields for Antarctic Marine Living Resources (AMR) program

NM9 FOR X12 – EXPORT SHIPMENT INFORMATION 601

MAN*ZZ*NM9*PERMIT9844MS22000000000123456KG*C*CL-15-0001-E ~

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
Permit Number	14AN	MAN03 1-14	M	International Fisheries Trade Permit number	1,2
LCPO Quantity	15N	MAN03 15-29	C	Include total quantity by weight being exported Space fill if not required	2
LCPO Unit of Measure	2A	MAN03 30-31	C	Kilograms = KG Space fill if not required	2
Continuation	1A	MAN04	C	Continuation	2
Catch Document Number	12AN	MAN05 1-12	C	The original Dissostichus Catch document number (example, CL-15-0001-E) Space fill if not required	2
Re-Export Number	12AN	MAN05 13-24	C	Re-export Approval number provided by NMFS Space fill if not required	2

1) Mandatory fields for Highly Migratory Species (HMS) program
2) Mandatory fields for Antarctic Marine Living Resources (AMR) program

APPENDIX Q – PGA Record Formats

NMFS – Processing Type Codes

Code	*Name*	*Definition*
BB	Baitboat	
BBF	Baitboat: Freezer	
BBI	Baitboat: Ice-well	
BLL	Longline: Bottom or Deep longliners	
DN	Large Scale Driftnet (High Seas)	
GIL	Gillnet	
GN	Gillnet less than 1.5 miles (2.4 km) in total length	
HAN	Handline	
HAR	Harpoon	
HS	Haul Seine	
LL	Longline	
LLA	Longline: Targeting ALB	
LLF	Longline: Foreign-Based	
LLH	Longline: Home-Based	
LLJ	Longline: Japanese Type	
LLM	Longline: with mother boat	
MWT	Mid-water Trawl	
PTM	Trawl: Mid-water paired trawl	
OTH	Other Type	
PL	Pole and Line, Hook and Line	
PS	Purse Seine Net	
PSD	Purse Seine: Double-boats	
PSL	Purse Seine: Catching large fish	
PSF	Purse seine: Catching small fish	
PSG	Purse Seine: Large Scale (over 200 MT capacity)	
PSB	Purse Seine: Using live bait	
PSM	Purse Seine: Medium scale (between 50 and 200 MT capacity)	
PSS	Purse Seine: Small Scale (less than 50 MT capacity)	
RR	Rod and Reel	
RFB	SPORT: Rod & Reel (catching large fish)	
RFS	SPORT: Rod & Reel (catching small fish)	
RSD	SPORT: Rod & Reel DISCARDS (small vessels)	
SHL	Sport Handline	

SPO	Sport Fisheries Unclassified	
SUR	Surface Fisheries Unclassified	
TL	Tended Line	
TN	Trammel Net	
TRA	Trap	
TRW	Trawl	
TRO	Troll	
UNC	Unclassified: Gears not reported or	

APPENDIX Q – PGA Record Formats

NMFS Document Identifiers

Code	*Name*	*Definition*
878	ICCAT Swordfish Statistical Document; OMB 0648-0040	Accompanies US imports of Swordfish harvested in the Atlantic Ocean and its adjacent seas. Swordfish dealers who export or import swordfish from all ocean areas are required to complete the appropriate document sections.
879	ICCAT Swordfish Re-Export Certificate; OMB 0648-0040	Accompanies US imports of swordfish harvested in the Atlantic Ocean and its adjacent seas that are re-exported from the country where it was first landed and later imported into the US. (To be accompanied by an ICCAT Swordfish Statistical Document)
880	ICCAT Bluefin Tuna Re-Export Certificate; OMB 0648-0040	Accompanies US import of Bluefin Tuna harvested in the Atlantic Ocean and its adjacent seas that is re- exported from the country where it was first landed/imported and later imported into the US. (To be accompanied by the original Bluefin Tuna Catch Document)
881	ICCAT Bigeye Tuna Statistical Document; OMB 0648-0040	Accompanies US imports of frozen Bigeye tuna harvested in the Atlantic Ocean and its adjacent seas.
882	ICCAT Bigeye Tuna Re-Export Certificate; OMB 0648-0040	Accompanies US import of frozen Bigeye Tuna harvested in the Atlantic Ocean and its adjacent seas that is re-exported from the country where it was initially (first) landed/imported and later imported into the US. (To be accompanied by the original ICCAT Bigeye Tuna Statistical Document)
883	Bluefin Tuna Catch Document	(also identified as the "ICCAT Bluefin Tuna Catch Document') Accompanies Bluefin tuna imported into the US. Dealers who import Bluefin tuna harvested from all ocean areas (except Southern Bluefin Tuna from the southern oceans) will be required to complete the appropriate sections of the BCD.
884	IATTC Bigeye Tuna Statistical Document; OMB 0648-0040	Accompanies frozen Bigeye tuna harvested in the Eastern Pacific Ocean (area east of 150°) and imported into the US. must be accompanied by an IATTC Bigeye Tuna Statistical Document.

APPENDIX Q – PGA Record Formats

Code	*Name*	*Definition*
885	IATTC Bigeye Tuna Re-Export Certificate	Frozen Bigeye tuna harvested in the Eastern Pacific Ocean (area east of 150°) re-exported from the country where it was initially (first) landed being imported into the US must be accompanied by the IATTC Bigeye Re- Export Certificate.
886	CCSBT Catch Monitoring Form	Accompanies Southern Bluefin tuna imported into the US. (Southern Bluefin is that harvested from the oceans of the southern hemisphere mainly in waters between 30 and 50 degrees south)
887	CCSBT Re-Export After Landing of Domestic Product Form	Accompanies Southern Bluefin tuna imported into the US that is re-exported from the country where it was first landed/imported and later imported into the US. (Southern Bluefin is that harvested from the oceans of the southern hemisphere mainly in waters between 30 and 50 degrees south) (accompanied by an CCSBT Catch Monitoring Form)
888	Reporting Form for Catch Documents Accompanying Fresh, Air-shipped Shipments of Toothfish; OMB0648-0194	Accompanies fresh (non-frozen) air shipped toothfish imported into the US. NMFS reporting document completed by the persons importing the toothfish
889	Dissostichus Catch Document	Accompanies all shipments of fresh toothfish. Submitted to confirm that the fish was harvested within the requirements of the international convention (CCAMLR-Commission for the Conservation of Antarctic Marine Living Resources and meets the U.S. regulatory requirements).
891	IOTC Bigeye Tuna Statistical Document	Accompanies Frozen Bigeye tuna harvested in the Indian Ocean and imported into the US
892	IOTC Bigeye Tuna Re-Export Document	Accompanies Frozen Bigeye tuna harvested in the Indian Ocean and re-exported from the country where it was first landed then later imported into the US. Must be accompanied by the IOTC Bigeye Re-Export Certificate.
893	Dissotichus Re-Export Document	Accompanies all shipments of fresh tooth fish re- exported from the country where it was initially (first) landed being re-exported and imported into the US. Necessary to assure that the fish was harvested within the requirements of the international convention the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR) and meets the U.S. regulatory requirements.

TTB – Alcohol and Tobacco Tax and Trade Bureau

Participating Government Agency Detail (Input TTB Record)

The PGA record contains specific information related to a particular PGA regarding a reported commodity contained in the shipment. Do NOT transmit if NOT required. A PGA is NOT allowed if the CL1 Line Item Filing Action Request Indicator is a **D** (Remove Line Item). The PGA record will conditionally be returned to the filer/transmitter, unchanged, in an output response when a data element in the record has caused or contributed to a fatal, warning, compliance alert, or verification condition. TTB records can be reported up to three times per commodity line.

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
TTB IRC (Internal Revenue Code) Registry Number, TTB IRC Permit Number, or EIN	15AN	7-21	M	TTB issued export permit number Format: Left justified: XX-XX-XXX-XXXXX or XX-XX-XXXXX or XXX-XX-XXXXX or EIN format.	1
Date	8N	22-29	M	Date products left the TTB bonded premises. The date of removal by manufacturer or export warehouse. This date cannot be greater than export date. Format: YYYYMMDD.	
Serial Number	11AN	30-40	M	Serial Number from the applicable TTB export form. Format: Left Justified: XXXXXXX	2
TTB Disclaimer	1AN	41	C	Valid values '1' or space fill if not used	3
Filler	39AN	42-80	M	Space fill	

3) TTB Data Disclaimed because commodity not regulated by TTB.

Note 1: TTB does not issue export specific permits, instead, TTB IRC registry numbers, IRC manufacturing permits, and IRC export warehouse permits are used for export. TTB will use EINs for alcohol wholesalers who export under a TTB bond. The following entities will use these formats:

ENTITY/(Type of Permit, Notice, Other)	TTB PERMIT/BREWERS NOTICE OR OTHER FORMAT USED TO EXPORT	NOTE
Brewery (IRC Registry)	BR-MD-AAA-11111	BR-Brewer; MD-State where premises are located; AAA-Used to identify name of brewer; 11111-Up to five numbers to identify brewer's premises.
Brewery (IRC Registry)	BR-MD-11111	BR-Brewer; MD-State where premises are located; 11111-Up to five numbers to identify brewer's premises

APPENDIX Q – PGA Record Formats

Winery (IRC Registry)	BW-MD-11111	BW-Winery; MD-State where premises are located; 11111-Up to five number to identify winery premises.
Winery (IRC Registry)	BWN-MD-11111	BWN-Winery; MD-State where premises are located; 11111-Up to five number to identify winery premises.
Winery (Basic Permit)	BWC-MD-11111	BWC-Winery; MD-State; 11111-Up to five number to identify winery premises.
Distilled Spirits Plant (IRC Registry)	DSP-MD-11111	DSP-Distilled Spirits Plant; MD-State where premises are located; 11111-up to five numbers to identify premises.
Tobacco Manufacturer (IRC Registry)	TP-MD-11111	TP-Manufacturer Tobacco Products; MD-State where premises are located; 11111-up to five numbers to identify premises.
Manufacturer Papers and Tubes	PT-MD-11111	PT-Manufacturer Papers and Tuebes; MD-State where premises are located; 11111-up to five numbers to identify premises.
Export Warehouse (IRC Permit)	EX-MD-11111	EX-Export Warehouse; MD-State where premises are located; 11111-up to five numbers to identify premises.
Alcohol Wholesaler (EIN)	XXXXXXXXXXX	EIN will be used for wholesalers who export

Note 2: **TTB Serial Number from TTB Forms**: TTB-regulated businesses are required to report exports of regulated products to TTB on export and drawback forms that include serial numbers. Serial numbers are required on the following TTB forms:

TTB 5100.11 – Withdrawal of Spirits, Specially Denatured Spirits or Wines for Exportation
TTB 5110.30 – Drawback on Distilled Spirits Exported
TTB 5120.24 – Drawback on Wines Exported
TTB 5130.12 – Beer for Exportation
TTB 5130.6 – Drawback on Beer Exported
TTB 5200.14 – Taxable Articles Without Payment of Tax

APPENDIX Q – PGA Record Formats

FOR X12 – EXPORT SHIPMENT INFORMATION 601

MAN*ZZ*TTB* DH-388-I9-974AI0112201609876543211 ~

Data Element	Length/ Class	Position	Desig	Description / Usage	Note
TTB IRC (Internal Revenue Code) Registry Number, TTB IRC Permit Number, or EIN	15AN	MAN03 1-15	M	TTB issued export permit number Format: Left justified: XX-XX-XXX-XXXXX or XX-XX-XXXXX or XXX-XX-XXXXX or EIN format.	1
Date	8N	MAN03 16-23	M	Date products left the TTB bonded premises. The date of removal by manufacturer or export warehouse. This date cannot be greater than export date. Format: YYYYMMDD.	
Serial Number	11AN	MAN03 24-34	M	Serial Number from the applicable TTB export form. Format: Left Justified: XXXXXXX	2
TTB Disclaimer	1AN	MAN03 35	C	Valid values '1' or space fill if not used	3

3) TTB Data Disclaimed because commodity not regulated by TTB.