

Automated Export System Trade Interface Requirements

Appendix F

License and License Exemption Type Codes and Reporting Guidelines

May 5, 2025



U.S. Customs and
Border Protection



License and License Exemption Type Codes

Report a License or License Exemption Type Code in a commodity filing.

The License Code/License Exemption Code indicates the type of export license, export permit, license exemption, license exception or other export authorization. The code indicates the type of licensing requirements that apply to the export shipment as determined by various government agencies participating in AES. An Export License Number, CFR Citation Number or other authorization symbol is required. An Export Control Classification Number (ECCN) may conditionally be required.

Each Line Item Detail grouping reported must cite the license authority (License Number or other authorization symbol). An ECCN must be cited when required.

The ECCN, when reported in AES must be a valid 5 - position ECCN as listed on the Commerce Control List. See Supplement 1 to § 774 of the EAR for a complete list of valid ECCNs and their descriptions.
www.access.gpo.gov/bis/ear/ear_data.html

An example would be if the Bureau of Industry and Security (BIS) license code 'C43', Gift Parcels and Humanitarian Donations (GFT), is reported then the only acceptable Export Information Code (EIC) would be 'UG' which identifies a single gift parcel as encompassed by BIS General License GFT. Certain Modes of Transportation may not be acceptable for a shipment based on the License Code reported. Using the example above, AES would not accept a License Code of 'C43' with a Mode of Transportation of '70' (Fixed Transport).

Table A: Department of Commerce/Bureau of Industry and Security (BIS) License Type Codes.

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|-----------|---|---|-----------|---------------------------|-------------------|------------------------|
| C30 | Licenses issued by BIS authorizing an export, re-export, or other regulated activity. The term 'license' does not include authority represented by a 'License Exception'. EAR99 may be reported as an ECCN. | Report the License Number. | Mandatory | IW, OS, OI, TL,CH | All | YES |
| C33 | No License Required (NLR) Part 758. For items not subject to a license requirement, NLR may be used. Part 758.1(g)(3) Mandatory reporting of ECCN for items with a reason for control other than or in addition to antiterrorism (AT). EAR99 may be reported as an ECCN. | Report 'NLR'. | Allowed | All except UG, FS, FI | All | NO |

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| | <p>For items under 600 series ECCNs with a .y paragraph, use C60 (DY6). NLR shipments of 600-series and 9x515 ECCNs (except .y paragraph) can be shipped only to Australia, Canada, and United Kingdom.</p> <p>For Census purposes, use C33 for shipments between the U.S. and Puerto Rico and from the U.S. to the U.S. Virgin Islands.</p> | | | | | |

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|-----------|--|---|--|--|-----------------------------------|------------------------|
| C35 | <p>Limited Value Shipments (LVS) Part 740.3</p> <p>EAR99 may NOT be reported as an ECCN.</p> <p>Only allow Countries of Destination from the Country Group B list</p> <p>Reference: www.access.gpo.gov/bis/ear/pdf/740spir.pdf </p> | Report 'LVS'. | <p>Mandatory</p> <p>Must be one of the following:</p> <p>0A018 0A501 0A502 0A504 0A505 0A602 0A606 0A614 0A617 0A918 0B501 0B505 0B602 0B604 0B606 0B614 0B617 0C606 0C617 1A001 1A002 1A003 1A008 1A613 1B001 1B002 1B003 1B018 1B607 1B608 1B613 1C002 1C003 1C004 1C005 1C006 1C007 1C008 1C009 1C010 1C608 2A001 2B003</p> | CR, IS, TE, TL, CH, CI, MS, GS, IP, IR, OI, OS, DD, IW | All except '70' (Fixed Transport) | NO |

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|--------------|-------------|--|--|------------------------------------|-------------------------|------------------------------|
| | | | 2B005 2B007 2B018 2B350 3A001 3A002 3A611 3B001 3B002 3B611 3C001 3C002 3C003 3C004 3C005 3C006 4A001 4A003 4A004 5A001 5A002 5A003 5A004 5B001 6A001 6A002 6A003 6A004 6A005 6A006 6A007 6A008 6B002 6B004 6B007 6B619 6C002 6C004 6C005 7A611 7B611 8A001 8A002 8A609 8A620 8A918 8B001 8B609 | | | |

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|--------------|-------------|--|---|------------------------------------|-------------------------|------------------------------|
| | | | 8B620 8C609 9A002 9A003 9A515 9A604 9A610 9A619 9A620 9B001 9B002 9B003 9B004 9B006 9B008 9B009 9B515 9B604 9B610 9B619 9B620 9C610 9C619 | | | |

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|------------------|--|--|--|--|-----------------------------------|---------------------------------------|
| C36 | Shipments to B Countries (GBS) Part 740.4 EAR99 may NOT be reported as an ECCN. Only allow Countries of Destination from the Country Group B list Reference: www.access.gpo.gov/bis/ear/pdf/740spir.pdf | Report 'GBS'. | Mandatory Must be one of the following: 1A004, 1A005, 3B002, 4A003, 1C006, 2A001, 3A001, 3A002, 3B001, 3C002, 3C005, 3C006, 5A001, 5B001, 6A001, 6A004, 6A005, 6A008, 6B002, 6B004, 6C004, 8A002, 9B001, 9B002, 9B003, 9B004, 9B006 | CR, GP, IS, TE, TL, MS, GS, IP, IR, TP, OI, OS, DD, IW | All except '70' (Fixed Transport) | NO |
| C38 | Restrict Technology and Software (TSR) Part 740.6 (AES or EEI filing not required) EAR99 may NOT be reported as an ECCN. Only allow Countries of Destination from the Country Group B list Reference: www.access.gpo.gov/bis/ear/pdf/740spir.pdf | Report 'TSR'. | Mandatory Must be one of the following: 1D001, 1D002, 3E002, 5D001, 6D003, 9D003, 1E001, 1E002, 2D001, 2D002, 2D003, 2E001, 2E002, 2E003, 3D001, 3D002, 3D003, 3D004, 3E001, 3E003, 5E001, 3E004, 6D001, 6D002, 6E001, 6E002, 6E003, 8D001, 8D002, 8E001, 8E002, 9D018, 9E018, 4D001, 4E001, 0E018, 2D018, 2E018, 4D001, 8E002 | CR, GP, IS, TE, TL, MS, GS, IP, IR, OI, OS, IW | All except '70' (Fixed Transport) | NO |

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|------------------|--|--|-------------|--|-----------------------------------|-------------------------------|
| C40 | Temporary Imports, Exports, and Re-exports (TMP) Part 740.9 Consolidates the following categories: Temporary exports and re-exports; Items temporarily in the U.S.; Beta Test Software. EAR99 may be reported as an ECCN. | Report 'TMP'. | Allowed | CR, GP, IS, TE, TL, MS, GS, IP, IR, TP, OI, OS, DD, IW | All except '70' (Fixed Transport) | NO |
| C41 | Servicing and Replacement of Parts and Equipment (RPL) Part 740.10 Consolidates the following categories: One-for-one replacement of parts; servicing and replacement of equipment. EAR99 may be reported as an ECCN. | Report 'RPL'. | Mandatory | GP, IS, TE, TL, MS, GS, IP, IR, TP, OI, OS, IW | All except '70' (Fixed Transport) | NO |
| C42 | Government and International Organizations (GOV) Part 740.11 (AES or EEI not required) Consolidates the following categories: International safeguards; shipments to U.S. Agencies and personnel; shipments to Agencies of cooperating governments. EAR99 may be reported as an ECCN. | Report 'GOV'. | Mandatory | GP, IS, TE, TL, CH, CI, MS, GS, IP, IR, TP, OI, OS, DD, IW | All except '70' (Fixed Transport) | NO |
| C43 | Gift Parcels and Humanitarian Donations (GFT) Part 740.12 (AES or EEI not required) Items under 600 series ECCNs are not eligible under this license type. Consolidates the following categories: Gift parcels; Humanitarian donations. | Report 'GFT'. | Mandatory | UG, IW | All except '70' (Fixed Transport) | NO |

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|------------------|---|--|-------------|--|-----------------------------------|---------------------------------------|
| | EAR99 may be reported as an ECCN. | | | | | |
| C44 | Technology and Software – Unrestricted (TSU) Part 740.13 Consolidates the following categories: Operating technology and software; Sales technology and software; Software updates; General software. EAR99 may be reported as an ECCN. | Report ‘TSU’. | Mandatory | CR, GP, IS, TE, TL, MS, GS, IP, IR, TP, OI, OS, IW | All except ‘70’ (Fixed Transport) | NO |
| C45 | Baggage (BAG) Part 740.14 EAR99 may be reported as an ECCN. | Report ‘BAG’. | Mandatory | OI, OS, IW | All except ‘70’ (Fixed Transport) | NO |
| C46 | Aircraft and Vessels (AVS) Part 740.15 (AES or EEI not required) Items under 600 series ECCNs are not eligible under this license type. EAR99 may be reported as an ECCN. | Report ‘AVS’. | Mandatory | GP, IS, TE, TL, MS, GS, IP, IR, TP, OI, OS, IW | All except ‘70’ (Fixed Transport) | NO |

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|------------------|--|--|---|--|--|-------------------------------|
| C50 | Encryption Commodities and Software (ENC) Part 740.17 Permits the export and re-export of any key length encryption commodities and software after review; permits the export and re-export of any key length encryption to U.S. subsidiaries without review. EAR99 may NOT be reported as an ECCN. | Report 'ENC'. | Mandatory Must be one of the following: 5A002, 5A004, 5B002, 5D002, 5E002 | CR, GP, IS, TE, TL, MS, GS, IP, IR, TP, OI, OS, IW | All except '70' (Fixed Transport) | NO |
| C51 | License Exception Agricultural Commodities (AGR) Part 740.18 Authorizes exports and certain re-exports of agricultural commodities to Cuba. Items under 600 series ECCNs are not eligible under this license type. EAR99 may be reported as an ECCN. | Report License Number. | Mandatory | CH, OI, OS, IW | All except '20', '21' (Rail), '30', '31' (Truck), '70' (Fixed Transport) | NO |
| C53 | Computers (APP) Part 740.7 Adjusted Peak Performance (APP) replaces Composite Theoretical Performance (CTP) EAR99 may NOT be reported as an ECCN. | Report 'APP'. | Mandatory Must be one of the following: 4A003, 4D001, 4E001 | CR, GP, IS, TE, TL, MS, GS, IP, IR, TP, OI, OS, IW | All except '70' (Fixed Transport) | NO |
| C54 | Short Supply Western Red Cedar – (SS-WRC) Part 754.4 EAR99 may NOT be reported as an ECCN. | Report 'SS-WRC' | Mandatory Must be 1C988 | All | All except '70' (Fixed Transport) | NO |
| C57 | Authorization for Validated End-User (VEU) for Certain Authorized Exports and Re-exports of Commerce Control List items to the People's Republic of China and India. | Report 'VEU'. | Mandatory | OI, OS, TL, IW | All except '70' (Fixed Transport) | NO |

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|-----------|---|---|--|---------------------------|-----------------------------------|------------------------|
| | Items to China under 600 series ECCNs are not eligible under this license type. | | | | | |
| C58 | License Exception CCD allows export and re-export of consumer products related to the free flow of information to, from and among the Cuban, Russian, and the Belarusian people, enabling communications among people within those countries and with the outside world. See 740.19 of the EAR. EAR99 may be reported as an ECCN. | Report 'CCD' | Must be one of the following: EAR99, 3A991, 4A994, 4D994, 5A991, 5A992, 5D991, 5D992, 5A992, 7A994 | OI, OS, CH, CI | All except '70' (Fixed Transport) | NO |
| C59 | Strategic Trade Authorization (STA) allows an exception to export specific controlled items to certain countries that would otherwise require a license because of CCL-based license requirements. EAR99 may NOT be reported as an ECCN. | Report 'STA' | 600-series ECCNs and other ECCNs are eligible to the extent permitted under part 740.20 of the EAR | OI, OS, CH, CI | All | NO |
| C60 | .y "600 series" and former USML items containing .y subparts to ECCNs because they have less military significance than other subparts in the ECCN. EAR99 may NOT be reported as an ECCN. | Report 'DY6' | Allowed When reported, the ECCN must be one of the following: 0A606 0A617 0D606 0D617 0E606 0E617 1A613 1D613 1E613 3A611 3D611 3E611 7A611 7D611 7E611 8A609 8A620 8D609 | OI, OS, CH, CI, MS | All | NO |

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|-----------|--|---|---|---------------------------|-------------------|------------------------|
| | | | 8D620 8E609 8E620 9A515 9A610 9A619 9B619 9D515 9D610 9D619 9E610 9E619 | | | |
| C62 | Support for the Cuban People (SCP) Part 740.21 of the BIS Export Administration Regulations (EAR). EAR99 may be reported as an ECCN. | Report 'SCP' | Mandatory Must be one of the following: EAR99, 0A999, 1A995, 1A999, 1C990, 1C996, 1C998, 1D993, 1D999, 1E994, 1E998, 2A991, 2A994, 2A999, 2B991, 2B992, 2B993, 2B996, 2B997, 2B998, 2B999, 2D991, 2D992, 2D994, 2E991, 2E994, 3A991, 3A992, 3A999, 3B991, 3B992, 3C992, 3D991, 3E991, 4A994, 4B994, 4C994, 4D993, 4D994, 4E992, 4E993, 5A991, 5A992, 5B991, 5C991, 5D991, 5D992, 5E991, 5E992, 6A991, 6A993, 6A994, 6A995, 6A996, 6A997, 6A999, 6B995, 6C992, 6C994, 6D992, 6D993, 6E992, 6E993, 7B994, 7D994, 7E994, 8A992, 8D992, 8E992, 9A990, 9A991, 9A992, 9B990, 9B991, 9D990, 9D991, 9E990, 9E991, 9E993 | All except UG, FS, FI | All | NO |

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|------------------|---|--|---|----------------------------------|--------------------------|-------------------------------|
| C63 | .y components under 0A501.y and former USML items containing .y subparts to ECCNs because they have less military significance than other subparts in the ECCN destined to certain countries. EAR99 may NOT be reported as an ECCN. | Report 'YFA' | <p>Allowed</p> <p>When reported, the ECCN must be one of the following: 0A501</p> | All except UG, FS, FI | All | NO |

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|------------------|--|--|---|----------------------------------|-----------------------------------|-------------------------------|
| C64 | Authorized Cybersecurity Exports (ACE) that authorizes exports, reexports and transfers (in-country) of cybersecurity items and certain IP network surveillance products, which are not also controlled in Category 5 – Part 2 of the CCL or for Surreptitious Listening (SL) reasons. | Report ‘ACE’ | Mandatory Must be one of the following: 4A005, 4D001, 4D004, 4E001, 5A001, 5B001, 5D001 and 5E001 | All except ‘UG’ | All except ‘70’ (Fixed Transport) | NO |

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|-----------|---|---|--|---------------------------|-----------------------------------|------------------------|
| C65 | Temporary General License for authorization of certain exports, reexports, in-country transfers, and exports from abroad destined to or within China or Macau by companies not headquartered in Country Groups D:1 or D:5 or E (see supplement no. 1 to part 740 of the EAR) to continue or engage in integration, assembly (mounting), inspection, testing, quality assurance, and distribution of items covered by ECCN 3A090.a or .b, 4A090, and associated software and technology in ECCN 3D001 (for 3A090.a or .b), 3E001 (for 3A090.a or .b), 4D090, or 4E001; or any item that is a computer, integrated circuit, “electronic assembly” or “component” and associated software and technology, specified elsewhere on Commerce Control List (supplement no. 1 to part 774), which meets or exceeds the performance parameters of ECCN 3A090.a or .b or 4A090. | Report “TGL” | <p>Mandatory</p> <p>Must be one of the following:</p> <p>3A001, 3A090, 3D001, 3E001, 4A003, 4A004, 4A005, 4A090, 4D001, 4D090, 4E001, 4D090, 5A002, 5A004, 5A992, 5D002, 5D992, 5E002, 5E992, 3B001, 3B991, 3B992, 3B993, 3B994, 3D991, 3D993, 3D994, 3E993, 3E991, or 3E994</p> | All Except UG | All except ‘70’ (Fixed Transport) | NO |

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|--|--|-------------|--|--|---------------------------------------|
| C66 | Supply Chain Authorization Letter for emergency export authorization granted by the BIS Deputy Assistant Secretary for export Administration. EAR99 may be reported as an ECCN. | Report “SCAL” | Required | All Except UG | All except ‘70’ (Fixed Transport) | NO |

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|-----------|--|---|--|------------------------------|--------------------------------------|------------------------|
| C67 | <p>Notified Advanced Computing (NAC) (WITH notification required for certain exports and reexports)</p> <p>See § 740.8 of the EAR.</p> <p><i>Note: For 3A001.z, 4A003.z, 4A004.z, 4A005.z, 5A002.z, 5A004.z, 5A992.z, 5D002.z, or 5D992.z that are authorized under License Exception NAC:</i></p> <ul style="list-style-type: none"> <i>The .z ECCN is not entered in the ECCN field.</i> <i>“.z” must be entered as the first characters to appear in the Commodity Description block, as specified in §758.1(g)(5) of the EAR.</i> <p>Countries Allowed: Macau or destinations specified in Country Group D:5, or to all destinations for exports and reexports to any entity headquartered in, or with an ultimate parent headquartered in, Macau or a destination specified in Country Group D:5.</p> | Report NAC confirmation number “(A#####)” | <p>Mandatory</p> <p>Must be one of the following: 3A090, 4A090, 3A001, 4A003, 4A004, 4A005, 5A002, 5A004, 5A992, 5D002, or 5D992</p> | All Except CH, CI, HV, UG | All except ‘70’ (Fixed Transport) | YES |

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|-----------|---|---|--|---------------------------|-----------------------------------|------------------------|
| C68 | <p>Advanced Computing Authorized (ACA) (NO notification required)</p> <p>See §740.8 of the EAR.</p> <p><i>Note: For 3A001.z, 4A003.z, 4A004.z, 4A005.z, 5A002.z, 5A004.z, 5A992.z, 5D002.z, or 5D992.z that are authorized under License Exception NAC:</i></p> <ul style="list-style-type: none"> • <i>The .z ECCN is not entered in the ECCN field.</i> • <i>“.z” must be entered as the first characters to appear in the Commodity Description block, as specified in §758.1(g)(5) of the EAR.</i> <p>Countries Allowed:</p> <ul style="list-style-type: none"> • Exports, reexports, or transfers (in-country) to destinations specified in Country Groups D:1 or D:4; or • Transfers (in-country) to Macau or any destination specified in Country Group D:5. | Report ‘ACA’ | <p>Mandatory</p> <p>Must be one of the following: 3A090, 4A090, 3A001, 4A003, 4A004, 4A005, 5A002, 5A004, 5A992, 5D002, or 5D992</p> | All Except CH, CI, HV, UG | All except ‘70’ (Fixed Transport) | NO |

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|--|--|---------------|----------------------------------|-----------------------------------|-------------------------------|
| C69 | License Exception Medical Devices (MED) allows authorizes the export, reexport, and transfer (in-country) of “medical devices” designated as EAR99 and “parts,” “components,” “accessories,” and “attachments” designated as EAR99 that are exclusively for use in or with “medical devices” designated as EAR99 to or within Russia, Belarus, the temporarily occupied Crimea region of Ukraine, or the covered regions of Ukraine (as specified in § 746.6(a)(2) of the EAR). Terms of paragraphs (a) through (d) must be met to use License Exception MED. See 740.23 of the EAR. | Report ‘MED’ | Must be EAR99 | All except UG | All except ‘70’ (Fixed Transport) | NO |

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|--|--|--|--|--|---------------------------------------|
| C70 | Implemented Export Control (IEC) that authorizes exports, reexports and transfers (in-country) to and by countries that have implemented equivalent technical controls. See §740.24 and §740.2 of the EAR for additional restrictions. | Report 'IEC' | Mandatory Must be one of the following: 2B910, 2D910, 2E903, 2E910, 3A901, 3A904, 3B001, 3B903, 3B904, 3C907, 3C908, 3C909, 3D001, 3D002, 3D901, 3D907, 3E001, 3E901, 3E905, 4A906, 4D906, and 4E906 | All Except UG | All except '70' (Fixed Transport) | NO |

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|---|--|--------------------------------|--|--|---------------------------------------|
| C71 | High Bandwidth Memory (HBM) that authorizes exports, reexports and transfers (in- country) of items specified in ECCN 3A090.c if all terms and conditions within paragraphs §740.25 (a) through (e) are met. See §740.25 and §740.2 of the EAR for additional restrictions. | Report 'HBM' | Mandatory Must be 3A090 | All Except UG | All except '70' (Fixed Transport) | NO |

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|---|--|---|----------------------------------|-----------------------------------|-------------------------------|
| C72 | Restricted Fabrication Facility (RFF) that authorizes exports, reexports and transfers (in-country) of items not specified in ECCNs 3B001, 3B002, 3B993, 3B994, 3D992, 3D993, 3D994, 3E992, 3E993, or 3E994 to specific entities on the Entity List (Supplement No. 4 to Part 744) when § 740.26 is referenced in the Entity List entry. See §740.26 and §740.2 of the EAR for additional restrictions. | Report 'RFF' | Mandatory All except 3B001, 3B002, 3B993, 3B994, 3D992, 3D993, 3D994, 3E992, 3E993, or 3E994 | All Except UG | All except '70' (Fixed Transport) | NO |

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|--|--|--|--|--|---------------------------------------|
| C73 | Artificial Intelligence Authorization (AIA) authorizes the export, reexport, or transfer (in-country) of eligible advanced computing ICs and associated software and technology to entities located in a destination listed in paragraph (a) of supplement no. 5 to part 740 and other parameters. See § 740.27(a) | Report 'AIA' | Mandatory Must be one of the following: 3A001.z, 3A090.a, 3A090.z, 3D001, 4A003.z, 4A004.z, 4A005.z, 4A090.a, 4A090 .z, 4D001, 4D090, 4E001, 4E091, 5A002.z, 5A004.z, 5A992.z, 5D002.z, 5D992.z, 5E002, and 5E992 | All Except UG | All except '70' (Fixed Transport) | NO |

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|---|--|---|----------------------------------|-----------------------------------|-------------------------------|
| C74 | Advanced Compute Manufacturing (ACM) authorizes the export, reexport, and transfer (in-country) of eligible items to a 'private sector end user' that is located in a destination not listed in Country Group D:5 or Macau. See § 740.28. | Report 'ACM' | Mandatory Must be one of the following: 3A001.z, 3A090, 3D001, 3E001, 4A003.z, 4A004.z, 4A005.z, 4A090, 4D001, 4D090, 4E001, 5A002.z, 5A004.z, 5A992.z, 5D002.z, 5D992.z, 5E002, and 5E992 | All Except UG | All except '70' (Fixed Transport) | NO |

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|-----------|---|---|---|---------------------------|-----------------------------------|------------------------|
| C75 | Exception Low Processing Performance (LPP) authorizes the export and reexport of low amounts of compute that do not present significant national security risks, up to 26,900,000 Total Processing Performance (TPP) of advanced computing ICs per-calendar year to any individual ultimate consignee. See § 740.29 | Report 'LPP' | Mandatory Must be one of the following: 3A001.z.1.a, z.2.a, z.3.a, z.4.a; 3A090.a; 4A003.z.1.a, z.2.a; 4A004.z.1; 4A005.z.1; 4A090.a; 5A002.z; 5A004.z.1.a, z.2.a; and 5A992.z.1 | All Except UG | All except '70' (Fixed Transport) | NO |

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|--|--|---|----------------------------------|--|-------------------------------|
| C76 | Universal VEU (UVEU) Companies headquartered in, or whose ultimate parent is headquartered in destinations specified in paragraph (a) of supplement no. 5 to part 740 may apply for the UVEU Authorization. See § 740.15 | Report ‘UVEU’ | Mandatory See Supplement 7 to part 748 for specific end users and ECCNs. | All Except UG | All except ‘70’ (Fixed Transport) | NO |

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|--|--|---|----------------------------------|---|-------------------------------|
| C77 | National VEU (NVEU) Companies headquartered in, or whose ultimate parent is headquartered in, all destinations worldwide except Macau or destinations specified in Country Group D:5 may apply for the NVEU Authorization. See § 740.15 | Report 'NVEU' | Mandatory All ECCNs EXCEPT 600 series and ECCNs with a reason for control: Missile Technology and/or Crime Control | All Except UG | All except '70' (Fixed Transport) | NO |

Table B: Nuclear Regulatory Commission (NRC) License Type Codes.

| Lic. Code | Description | Export License Nbr / CFR Citation / Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|--|--|-------------|----------------------------------|--|-------------------------------|
| N01 | NRC Form 250/250A 'Specific' export license for nuclear material and equipment. | Report the License Number. | Allowed | MS, GS, OI, OS | All except '60' (Passenger Hand Carried), '70' (Fixed Transport) | NO |
| N02 | NRC 'General' Export License | Report the CFR Citation Number. | Allowed | MS, GS, OI, OS | All except '60' (Passenger Hand Carried), '70' (Fixed Transport) | NO |

Table C: Department of State/ Directorate of Defense Trade Control (DDTC) License Type codes.

When the License Code S61, S73, S85 or LOA is reported and accepted in AES, the filer is required to present the original license and proof of filing to CBP prior to export.

See 'Appendix O – DDTC Exemption Codes' for a list of ITAR Exemption numbers.

| Lic. Code | Description | DDTC ITAR Exemption | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# / ACM# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|---|--------------------------------------|---|-------------|----------------------------------|-----------------------------------|-------------------------------|
| SAG | Agreements Agreements (i.e. AG, BA, MA, RR, TA and VD) | Report ITAR DDTC Exemption Citation. | Report spaces. | Allowed | MS, GS, OI, OS | All except '70' (Fixed Transport) | NO |
| SAU | Australia ITAR Exemptions | Report ITAR DDTC Exemption Citation | Report the Approved Community Member Number. # | Allowed | MS, GS, OI, OS | All except '70' (Fixed Transport) | NO |
| SCA | Canadian ITAR Exemption | Report ITAR DDTC Exemption Citation. | Report spaces. | Allowed | MS, GS, OI, OS | All except '70' (Fixed Transport) | NO |

| Lic. Code | Description | DDTC ITAR Exemption | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# / ACM# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|--|--------------------------------------|--|-------------|----------------------------------|--|-------------------------------|
| SGB | United Kingdom ITAR Exemptions | Report ITAR DDTC Exemption Citation | Report the Approved Community Member Number. # | Allowed | MS, GS, OI, OS | All except '70' (Fixed Transport) | NO |
| S00 | License Exemption Citation | Report ITAR DDTC Exemption Citation. | Report spaces. | Allowed | MS, GS, OI, OS | All except '70' (Fixed Transport) | NO |
| S05 | DSP-5 Permanent export of unclassified defense articles and services. | Report spaces. | Report the License Number. | Allowed | MS, GS, OI, OS | All except '70' (Fixed Transport) | YES |
| S61 | DSP-61 Temporary import of unclassified articles subsequently exported. | Report spaces. | Report the License Number. | Allowed | MS, GS, OI, OS | All except '70' (Fixed Transport) | YES |
| S73 | DSP-73 Temporary export of unclassified articles. | Report spaces. | Report the License Number. | Allowed | MS, GS, TP, OI, OS | All except '70' (Fixed Transport) | YES |
| S85 | DSP-85 Temporary or permanent import or export of classified articles. | Report spaces. | Report the License Number. | Allowed | MS, GS, OI, OS | All except '70' (Fixed Transport) | YES |
| S94 | Foreign Military Sales (FMS) Letter of Acceptance (LOA) | Report spaces. | Report the case id Format: CC- two character country code, X- Implementing agency, XXX – Case Identifier Report: GB-B-ACD | Allowed | MS, GS, FS, FI | All except '60' (Passenger Hand Carried), '70' (Fixed Transport) | YES |

Table D: Department of Treasury/Office of Foreign Assets Control (OFAC) License Type Codes.

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KPC# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|--|--|---------------------|----------------------------------|-----------------------------------|-------------------------------|
| T10 | <p>OFAC ‘Specific’ License</p> <p>Specific export license issued, on a case-by-case basis, by OFAC for certain export shipments that would otherwise be barred by sanctions.</p> | <p>Report the case id Format: CC-two character country code, YYYY-Year, CASENO – case number assigned by OFAC, AMEND-amendment number if the license was amended. Report: IA2015123456</p> | Allowed | MS, GS, OI, OS | All except ‘70’ (Fixed Transport) | NO |
| T11 | <p>OFAC ‘General’ Export License</p> <p>Export shipments permitted under ‘General’ license which conform to criteria set forth in an OFAC authorization published as a regulation (no individual clearance by OFAC) covers certain shipments that would otherwise be barred by sanctions.</p> | <p>Report the CFR citation or if there is no CFR citation, the Federal Register Citation if there is one, or the General License Number.</p> | Allowed | MS, GS, OI, OS | All except ‘70’ (Fixed Transport) | NO |
| T12 | <p>Kimberley Process Certificate Number</p> <p>The unique identifying number of the Kimberley Process Certificate (KPC) issued by the United States Kimberley Process Authority must accompany any export (reexport) of rough diamonds. See standards, practices, and procedures of the Kimberley Process set forth in the Rough Diamond Control Regulations, 31 CFR part 592, promulgated by OFAC (69 FR 56936 dated September 23, 2004).</p> | <p>Report the KPC Number.</p> | EAR99 or blank only | OI, OS | All except ‘70’ (Fixed Transport) | YES |

Table E: Other Partnership Agency License Type Code.

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KCP# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|--|--|-------------|----------------------------------|--------------------------|-------------------------------|
| OPA | Other Partnership Agency License Shipments subject to PGA data requirements must submit a PGA Record set. BIS/DOS/OFAC/NRC/DOE licenses cannot be used with license type 'OPA'. | Report 'OPA' | Allowed | All except UG, FS, FI, IW | All | NO |

Table F: Department of Energy/National Nuclear Security Administration (DOE/NNSA) License Type Code.

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Auth Symbol/KCP# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|---|---|-------------|----------------------------------|-----------------------------------|-------------------------------|
| E01 | Authorization for Nuclear Security Enterprise of government-owned, contractor-operated (GOCO) Management and Operations entities that perform work under the directions and oversight of the National Nuclear Security Administration, to export items authorized by the Atomic Energy Act Authorization/Licensing and as acknowledged in the International Traffic in Arms Regulations section 123.20 and 125.1. | Report 'AEA' | Not Allowed | OS | All except '12', '20', '21', '70' | NO |

Table G: Voluntary Disclosure (VD) License Type Codes.

| Lic. Code | Description | Exp Lic#/ CFR Citation/ Authorization Symbol/KCP# | ECCN | Allowed Export Info Codes | Allowed MOT Codes | License Value Required |
|------------------|--|--|-------------|----------------------------------|-----------------------------------|-------------------------------|
| VDC | Commerce Department Voluntary Self-Disclosure | Report spaces | Allowed | All | All | NO |
| VDS | State Department Voluntary Disclosure | Report spaces | Allowed | MS, GS, OI, OS, FS, FI, TP | All except '70' (Fixed Transport) | NO |
| VDO | Other Partner Government Agency Voluntary Disclosure | Report spaces | Allowed | All except UG, FS, FI, IW | All | NO |