# U.S. Customs and Border Protection 

GENERAL NOTICE<br>COPYRIGHT, TRADEMARK, AND TRADE NAME RECORDATIONS

(No. 9 2011)
AGENCY: U.S. Customs and Border Protection, Department of Homeland Security.

SUMMARY: Presented herein are the copyrights, trademarks, and trade names recorded with U.S. Customs and Border Protection during the month of September 2011. The last notice was published in the CUSTOMS BULLETIN on September 28, 2011.

Corrections or updates may be sent to: Department of Homeland Security, U.S. Customs and Border Protection, Office of Regulations and Rulings, IPR Branch, 1300 Pennsylvania Avenue, N.W., Mail Stop 1179, Washington, D.C. 20229-1179

FOR FURTHER INFORMATION CONTACT: Delois Johnson, Paralegal, Intellectual Property Rights Branch, (202) 325-0088.
Dated: October 6, 2011

Charles R. Steuart<br>Chief,<br>Intellectual Property Rights \& Restricted Merchandise Branch

CBP IPR RECORDATION — SEPTEMBER 2011

| Recordation No. | Effective <br> Date | Expiration <br> Date | Name of Cop/Tmk/Tnm | Owner Name | GM <br> Restricted |
| :--- | :--- | :--- | :--- | :--- | :--- |
| TMK 93-00489 | $9 / 28 / 2011$ | $6 / 4 / 2015$ | FERRAGAMO STYLIZED | SALVATORE FERRAGAMO S.P.A. | No |
| TMK 06-00361 | $9 / 28 / 2011$ | $9 / 24 / 2021$ | DUBBLE BUBBLE | CONCORD BRANDS ULC | No |
| TMK 08-00174 | $9 / 28 / 2011$ | $10 / 3 / 2021$ | MAZOLA | ACH FOOD COMPANIES, INC. | No |
| TMK 02-00390 | $9 / 19 / 2011$ | $7 / 21 / 2021$ | PERMA-LASH | AMERICAN INTERNATIONAL <br> INDUSTRIE | Yes |
| TMK 02-00705 | $9 / 19 / 2011$ | $2 / 9 / 2012$ | MC (STYLIZED) | WROUGHT WASHER MFG., INC. | No |
| TMK 03-00065 | $9 / 28 / 2011$ | $4 / 28 / 2012$ | FERRAGAMO(\& BUCKLE DESIGN) | SALVATORE FERRAGAMO S.PA | No |
| TMK 03-00237 | $9 / 19 / 2011$ | $8 / 21 / 2021$ | BABY GUND AND DESIGN | ENESCO | No |
| TMK 03-00148 | $9 / 28 / 2011$ | $4 / 15 / 2017$ | DESIGN (TRI DIMENSIONAL TWO <br> HOOK DEVICE) | SALVATORE FERRAGAMO SPA | No |
| TMK 03-00580 | $9 / 19 / 2011$ | $8 / 7 / 2021$ | GOLDEN WRAP | REPUBLIC TOBACCO L.P. | No |
| TMK 03-00581 | $9 / 30 / 2011$ | $10 / 9 / 2021$ | GOLDEN WRAP TOBACCO WRAPS <br> AND DESIGN | REPUBLIC TOBACCO L.P. | No |
| TMK 04-00068 | $9 / 19 / 2011$ | $4 / 29 / 2013$ | LA MORENA | GRUPO OMOR, S.A. DE C.V. | No |
| TMK 04-00890 | $9 / 30 / 2011$ | $9 / 15 / 2021$ | PICCOLO PETE | INC. | No |
| TMK 05-00854 | $9 / 30 / 2011$ | $4 / 30 / 2021$ | MISCELLANEOUS DESIGN (BROWNIE <br> ELF) | BALTIMORE ORIOLES LIMITED PART- <br> NERSHIP | No |
| TMK 06-00495 | $9 / 19 / 2011$ | $7 / 10 / 2021$ | X XPLOD \& DESIGN | SONY KABUSHIKI KAISHA T/A SONY <br> CORPORATION | No |

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| TMK 91-00440 | $9 / 30 / 2011$ | $5 / 25 / 2021$ | FlAMM AND DESIGN | FABBRICA ITALIANA ACCUMULATORI <br> MOTOCARRI MONTECCHIO-F.I.A.M.M. <br> S.p.A. |  |
| TMK 05-01002 | $9 / 30 / 2011$ | $7 / 3 / 2021$ | DAGGER \& A HEART | CHROME HEARTS, LLC |  |
| TMK 06-00982 | $9 / 19 / 2011$ | $12 / 11 / 2021$ | LUMINOR | OFFICINE PANERAI A.G. |  |
| TMK 06-01141 | $9 / 19 / 2011$ | $5 / 29 / 2021$ | CYPRESS | CYPRESS SEMICONDUCTOR CORP. |  |
| TMK 07-00314 | $9 / 28 / 2011$ | $9 / 25 / 2021$ | NIVEA BODY | No |  |
| TMK 11-01115 | $9 / 28 / 2011$ | $5 / 16 / 2020$ | DESIGN | BEIERSDORF AKTIENGESELLSCHAFT <br> OWENS CORNING INTELLECTUAL <br> CAPITAL LLC |  |
| TMK 11-01116 | $9 / 28 / 2011$ | $5 / 25 / 2020$ | PS FROM AEROPOSTALE | No |  |
| TMK 11-01117 | $9 / 28 / 2011$ | $6 / 14 / 2021$ | EMERGE | AEROPOSTALE PROCUREMENT COM- <br> PANY, INC. |  |
| TMK 11-01118 | $9 / 28 / 2011$ | $11 / 24 / 2019$ | PS FROM AEROPOSTALE | N.P. GREEN \& ASSOCIATES, INC. |  |
| TMK 11-01217 | $9 / 30 / 2011$ | $7 / 23 / 2021$ | DUCK HEAD | AEROPOSTALE PROCUREMENT COM- <br> PANY, INC. | No |
| TMK 07-00266 | $9 / 30 / 2011$ | $6 / 26 / 2021$ | ABREVA | DHIP HOLDINGS LLC |  |
| TMK 11-01247 | $9 / 30 / 2011$ | $8 / 26 / 2017$ | DESIGN | SMITH KLINE BEECHAM (CORK) LIM- <br> ITED | No |
| TMK 03-00490 | $9 / 28 / 2011$ | $9 / 11 / 2021$ | VERSUS | OWENS CORNING INTELLECTUAL <br> CAPITAL LLC |  |

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| TMK 11-01246 | 9/30/2011 | 9/13/2021 | CUDDLE SOX | BDSRCO, INC. | No |
| TMK 07-00939 | 9/30/2011 | 7/17/2021 | VITAMINS BABY AND DESIGN | VITAMINS PLAYWEAR LTD .. | No |
| TMK 07-01259 | 9/30/2011 | 6/9/2021 | GIORGIO ARMANI | GIORGIO ARMANI S.P.A. | No |
| TMK 08-00036 | 9/28/2011 | 6/12/2021 | FERRARI | FERRARI S.P.A. | No |
| TMK 08-00262 | 9/19/2011 | 7/17/2021 | HALLMARK | HALLMARK LICENSING, INC. | No |
| TMK 08-00582 | 9/19/2011 | 7/29/2021 | SCOTCH LITE | 3M COMPANY | No |
| TMK 09-01185 | 9/19/2011 | 12/17/2021 | THE ORIGINAL \& BEST | KELLOGG NORTH AMERICA COMPANY | No |
| TMK 10-00436 | 9/30/2011 | 8/1/2016 | XL X-LOOK | E\& D TRADING INC. | No |
| COP 11-00146 | 9/30/2011 | 9/30/2031 | PURPLE BUNNY STUFFED ANIMAL PILLOW | BTC ENTERPRISES LLC | No |
| COP 11-00147 | 9/30/2011 | 9/30/2031 | POODLE STUFFED ANIMAL PILLOW. | BTC ENTERPRISES LLC, | No |
| COP 11-00149 | 9/30/2011 | 9/30/2031 | FROG STUFFED ANIMAL PILLOW | BTC ENTERPRISES LLC | No |
| COP 11-00150 | 9/30/2011 | 9/30/2031 | TRICERATOPS STUFFED ANIMAL PILLOW. | BTC ENTERPRISES LLC, | No |
| COP 11-00151 | 9/30/2011 | 9/30/2031 | DOLPHIN STUFFED ANIMAL PILLOW. | BTC ENTERPRISES LLC, | No |
| COP 11-00152 | 9/30/2011 | 9/30/2031 | GREEN DRAGON STUFFED ANIMAL PILLOW | BTC ENTERPRISES LLC | No |
| TMK 11-01111 | 9/19/2011 | 6/14/2021 | $360{ }^{\circ}$ PERRY ELLIS | PEl LICENSING, INC. | No |
| TMK 11-01244 | 9/30/2011 | 6/28/2021 | NINTENDODSI AND DESIGN | NINTENDO OF AMERICA INC. | No |
| TMK 11-01242 | 9/30/2011 | 2/22/2021 | METROID | NINTENDO OF AMERICA INC. | No |

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| TMK 11-01238 | $9 / 30 / 2011$ | $1 / 20 / 2020$ | HOT WHEELS AND DESIGN | MATTEL, INC. |  |
| TMK 11-01241 | $9 / 30 / 2011$ | $7 / 22 / 2013$ | TOP \& SPINNING TOP DESIGN | TOP TOBACCO L.P. |  |
| TMK 11-01229 | $9 / 30 / 2011$ | $5 / 17 / 2015$ | THE SIMPSONS | TWENTIETH CENTURY FOX FILM COR- <br> PORATION |  |
| TMK 11-01233 | $9 / 30 / 2011$ | $6 / 1 / 2013$ | THE SIMPSONS | No |  |
| TMK 11-01210 | $9 / 30 / 2011$ | $6 / 11 / 2015$ | JOB | TWENTIETH CENTURY FOX FILM COR- <br> PORATION |  |
| TMK 11-01231 | $9 / 30 / 2011$ | $7 / 26 / 2021$ | STELLA ROSA | No |  |
| TMK 11-01243 | $9 / 30 / 2011$ | $6 / 28 / 2021$ | NINTENDODSI AND DESIGN | REPUBLIC TECHNOLOGIES (NA), LLC | No |
| TMK 11-01234 | $9 / 30 / 2011$ | $6 / 14 / 2021$ | NINTENDODSI | NAN ANTONIO WINERY, INC. |  |
| TMK 11-01240 | $9 / 30 / 2011$ | $7 / 19 / 2021$ | WIISPORTSRESORT | No |  |
| TMK 11-01114 | $9 / 19 / 2011$ | $3 / 8 / 2021$ | GOLDEN SUN | NINTENDO OF AMERICA INC. |  |
| TMK 11-01112 | $9 / 19 / 2011$ | $7 / 19 / 2021$ | ININTENDODSI AND DESIGN | NINTENDO OF AMERICA INC. |  |
| TMK 11-01218 | $9 / 30 / 2011$ | $7 / 5 / 2021$ | CLUB NINTENDO | NINTENDO OF AMERICA INC. |  |
| TMK 11-01232 | $9 / 30 / 2011$ | $5 / 31 / 2021$ | M CLUB. NINTENDO | NINTENDO OF AMERICA INC. |  |
| TMK 11-01235 | $9 / 30 / 2011$ | $4 / 10 / 2017$ | FAMILY GUY | NINTENDO OF AMERICA INC. |  |
| TMK 11-01203 | $9 / 30 / 2011$ | $3 / 18 / 2018$ | FAMILY GUY | NINTENDO OF AMERICA INC. |  |

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| TMK 11-01202 | 9/30/2011 | 9/27/2015 | FAMILY GUY | TWENTIETH CENTURY FOX FILM CORPORATION | No |
| COP 11-00145 | 9/30/2011 | 9/30/2031 | MARIO KART WII (US COMMERCIAL PACKAGING SLEEVE) | NINTENDO OF AMERICA INC., | No |
| TMK 11-01204 | 9/30/2011 | 12/2/2018 | FAMILY GUY | TWENTIETH CENTURY FOX FILM CORPORATION | No |
| TMK 11-01207 | 9/30/2011 | 9/24/2012 | FAMILY GUY | TWENTIETH CENTURY FOX FILM CORPORATION | No |
| TMK 11-01208 | 9/30/2011 | 6/11/2012 | FAMILY GUY | TWENTIETH CENTURY FOX FILM CORPORATION | No |
| TMK 11-01205 | 9/30/2011 | 9/25/2017 | THE SIMPSONS | TWENTIETH CENTURY FOX FILM CORPORATION | No |
| TMK 03-00579 | 9/28/2011 | 10/11/2021 | GAMBLER AND DESIGN | TOP TOBACCO L.P. | No |
| TMK 11-01236 | 9/30/2011 | 4/2/2015 | 1.25 POINT (STYLIZED) | DRL ENTERPRISES, INC. | No |
| TMK 11-01206 | 9/30/2011 | 2/21/2016 | IMAGE OF A MAN SMOKING | REPUBLIC TOBACCO, L.P. | No |
| TMK 11-01209 | 9/30/2011 | 5/17/2015 | MAX STEEL | MATTEL, INC. | No |
| TMK 11-01237 | 9/30/2011 | 4/15/2016 | PUSH POP | TOPPS PROPERTIES, INC. | No |
| TMK 11-01239 | 9/30/2011 | 11/28/2016 | BABY BOTTLE POP | TOPPS PROPERTIES, INC. | No |
| TMK 11-01078 | 9/12/2011 | 11/20/2017 | GAMBLER | TOP TOBACCO L.P. | No |
| TMK 11-01219 | 9/30/2011 | 5/17/2015 | HOT WHEELS | MATTEL, INC. | No |

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| TMK 11-01220 | 9/30/2011 | 4/12/2021 | WIIMOTIONPLUS | NINTENDO OF AMERICA INC. | No |
| TMK 11-01221 | 9/30/2011 | 9/8/2020 | MATTEL AND DESIGN | MATTEL, INC. | No |
| TMK 11-01211 | 9/30/2011 | 4/13/2014 | TOP | TOP TOBACCO L.P. | No |
| TMK 11-01222 | 9/30/2011 | 11/10/2019 | TOP AND DESIGN | TOP TOBACCO L.P. | No |
| TMK 11-01180 | 9/30/2011 | 6/15/2014 | THE SIMPSONS | TWENTIETH CENTURY FOX FILM CORPORATION | No |
| TMK 11-01043 | 9/1/2011 | 1/12/2020 | GTM SPORTSWEAR | IT'S GREEK TO ME, INC. | No |
| TMK 11-01181 | 9/30/2011 | 6/23/2019 | TOMEI | TOMEI POWERED INC. | No |
| TMK 11-01182 | 9/30/2011 | 12/14/2020 | DUCK HUNT | NINTENDO OF AMERICA INC. | No |
| TMK 11-01044 | 9/1/2011 | 2/22/2020 | DESIGN (CYLINDRICAL CONTAINER) | THE TOPPS COMPANY, INC. | No |
| TMK 11-01045 | 9/1/2011 | 1/28/2017 | SOUTHWIRE AND DESIGN | SOUTHWIRE COMPANY | No |
| TMK 11-01046 | 9/1/2011 | 3/6/2021 | JOB \& DIAMOND DESIGN IN CIRCLE | REPUBLIC TECHNOLOGIES (NA), LLC | No |
| TMK 11-01049 | 9/1/2011 | 8/22/2016 | TYCO R/C AND DESIGN | MATTEL, INC. | No |
| TMK 11-01184 | 9/30/2011 | 1/30/2018 | HOT WHEELS | MATTEL, INC. | No |
| TMK 11-01050 | 9/1/2011 | 9/24/2014 | MATCHBOX | MATTEL, INC. | No |
| TMK 11-01183 | 9/30/2011 | 12/21/2020 | CLUB NINTENDO | NINTENDO OF AMERICA INC. | No |
| TMK 11-01230 | 9/30/2011 | 6/28/2021 | NINTENDODSI | NINTENDO OF AMERICA INC. | No |
| TMK 11-01048 | 9/1/2011 | 8/17/2020 | JOB | REPUBLIC TECHNOLOGIES (NA), LLC | No |
| TMK 11-01047 | 9/1/2011 | 7/22/2013 | TOP | TOP TOBACCO L.P. | No |
| TMK 11-01071 | 9/9/2011 | 12/1/2019 | TOP AND DESIGN | TOP TOBACCO L.P. | No |

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| TMK 11-01077 | 9/12/2011 | 9/1/2019 | TOP AND DESIGN | TOP TOBACCO L.P. | No |
| TMK 11-01072 | 9/9/2011 | 12/8/2019 | SUPEROLL | TOP TOBACCO L.P. | No |
| TMK 11-01073 | 9/9/2011 | 9/1/2018 | TOP \& SPINNING TOP DESIGN | TOP TOBACCO L.P. | No |
| TMK 11-01075 | 9/12/2011 | 1/18/2021 | CLUB NINTENDO | NINTENDO OF AMERICA INC. | No |
| TMK 11-01067 | 9/9/2011 | 7/19/2021 | NINTENDODSI | NINTENDO OF AMERICA INC. | No |
| COP 11-00130 | 9/30/2011 | 9/30/2031 | THE LEGEND OF ZELDA: SPIRIT TRACKS (US COMMERCIAL PACKAGING) | NINTENDO OF AMERICA INC. | No |
| COP 11-00131 | 9/30/2011 | 9/30/2031 | KIRBY SUPER STAR ULTRA (US COMMERCIAL PACKAGING) | HAL LABORATORY, INC. | No |
| TMK 11-01185 | 9/30/2011 | 2/5/2018 | TECNO SPORT | ABC TIMEPIECES CORP | Yes |
| COP 11-00129 | 9/30/2011 | 9/30/2031 | NINTENDO DSI AC ADAPTER HARDWARE PACKAGING. | NINTENDO OF AMERICA INC. | No |
| COP 11-00140 | 9/30/2011 | 9/30/2031 | PLUSH FROGGIE BACK PACK | PECOWARE COMPANY INC. | No |
| TMK 11-01186 | 9/30/2011 | 8/28/2017 | AEROPOSTALE | AEROPOSTALE PROCUREMENT COMPANY INC. | No |
| TMK 11-01188 | 9/30/2011 | 10/3/2016 | A87 | AEROPOSTALE PROCUREMENT COMPANY, INC. | No |

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| COP 11-00141 | 9/30/2011 | 9/30/2031 | OPEN HEARTS NECKLACE (SILVER \& DIAMONDS) AND (GOLD \& DIAMONDS) | CATFISH PRODUCTIONS, INC. | No |
| TMK 11-01193 | 9/30/2011 | 3/20/2021 | POKE BALL | NINTENDO OF AMERICA INC. | No |
| COP 11-00113 | 9/30/2011 | 9/30/2031 | WII FIT (US COMMERCIAL PACKAGING SLEEVE) | NINTENDO OF AMERICA INC., | No |
| TMK 11-01194 | 9/30/2011 | 5/19/2018 | ZOTE | FABRICA DE JASON LA CORONA SA DE CV | No |
| TMK 11-01224 | 9/30/2011 | 8/2/2021 | NINTENDODSI | NINTENDO OF AMERICA INC. | No |
| TMK 11-01197 | 9/30/2011 | 12/21/2020 | CLUB NINTENDO | NINTENDO OF AMERICA INC. | No |
| TMK 11-01201 | 9/30/2011 | 2/12/2018 | 7-7 | CHOI, BYONG MOON | No |
| TMK 11-01068 | 9/9/2011 | 2/5/2012 | VENUS | FABRICA DE JASON LA CORONA S.A. DE C.V. | No |
| TMK 11-01070 | 9/9/2011 | 1/8/2012 | U-2 | LOCKHEED MARTIN CORPORATION | No |
| COP 11-00102 | 9/12/2011 | 9/12/2031 | HOLOGRAPHIC PACKAGING FILM DESIGNS. | STAR GIFTS INTERNATIONAL INC. | No |
| TMK 11-01069 | 9/9/2011 | 4/1/2018 | TOP | TOP TOBACCO L.P. | No |
| TMK 11-01113 | 9/19/2011 | 10/26/2020 | POKÉEPARK | NINTENDO OF AMERICA INC. | No |
| TMK 11-01079 | 9/12/2011 | 12/7/2020 | POKÉMON RUMBLE | NINTENDO OF AMERICA INC. | No |
| TMK 11-01080 | 9/12/2011 | 3/22/2021 | METROID: OTHER M | NINTENDO OF AMERICA INC. | No |

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| TMK 11-01166 | 9/30/2011 | 4/3/2017 | THE SIMPSONS | TWENTIETH CENTURY FOX FILM CORPORATION | No |
| TMK 11-01060 | 9/9/2011 | 5/28/2021 | DR. MARIO | NINTENDO OF AMERICA INC. | No |
| TMK 11-01081 | 9/12/2011 | 5/12/2019 | CARDIOLOGY III | 3M COMPANY | No |
| TMK 11-01167 | 9/30/2011 | 11/30/2020 | WIISPEAK | NINTENDO OF AMERICA INC. | No |
| TMK 11-01169 | 9/30/2011 | 6/8/2013 | THE SIMPSONS | TWENTIETH CENTURY FOX FILM CORPORATION | No |
| TMK 11-01061 | 9/9/2011 | 5/19/2019 | RIDERSRASP | TOMLINSON FARRIER SERVICE | No |
| TMK 11-01059 | 9/9/2011 | 1/18/2021 | CLUS NINTENDO | NINTENDO OF AMERICA INC. | No |
| TMK 11-01196 | 9/30/2011 | 8/16/2021 | NINTENDODSI | NINTENDO OF AMERICA INC. | No |
| TMK 11-01062 | 9/9/2011 | 7/19/2021 | PILOTWINGS RESORT | NINTENDO OF AMERICA INC. | No |
| TMK 11-01066 | 9/9/2011 | 3/1/2021 | POKÉPARK | NINTENDO OF AMERICA INC. | No |
| TMK 11-01082 | 9/12/2011 | 7/27/2019 | POKÉMON | NINTENDO OF AMERICA INC. | No |
| TMK 11-01100 | 9/19/2011 | 12/13/2014 | AERO AND DESIGN | AEROPOSTALE PROCUREMENT COMPANY, INC. | No |
| TMK 11-01101 | 9/19/2011 | 7/7/2019 | 87 | AEROPOSTALE PROCUREMENT COMPANY, INC. | No |
| COP 11-00126 | 9/30/2011 | 9/30/2031 | BEST BUDDY SLIPPERS MONSTER (B005MR) | PECOWARE COMPANY INC. | No |
| TMK 02-00403 | 9/19/2011 | 6/12/2021 | RIRI | RIRI SA | No |

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| TMK 11-01063 | 9/9/2011 | 10/26/2014 | F-22 | LOCKHEED MARTIN CORPORATION | No |
| TMK 11-01064 | 9/9/2011 | 10/2/2017 | RAPTOR | LOCKHEED MARTIN CORPORATION | No |
| TMK 11-01065 | 9/9/2011 | 4/19/2021 | POKÉWALKER | NINTENDO OF AMERICA INC. | No |
| TMK 11-01058 | 9/9/2011 | 8/20/2012 | P-38 LIGHTNING | LOCKHEED MARTIN CORPORATION | No |
| TMK 11-01102 | 9/19/2011 | 3/8/2021 | CLUB NINTENDO | NINTENDO OF AMERICA INC. | No |
| TMK 11-01090 | 9/19/2011 | 9/27/2015 | 87 | AEROPOSTALE PROCUREMENT COMPANY, INC. | No |
| TMK 11-01168 | 9/30/2011 | 4/3/2017 | THE SIMPSONS | TWENTIETH CENTURY FOX FILM CORPORATION | No |
| TMK 11-01086 | 9/12/2011 | 5/10/2021 | NINTENDO DSI XL | NINTENDO OF AMERICA INC. | No |
| TMK 11-01051 | 9/9/2011 | 2/6/2021 | SR-71 | LOCKHEED MARTIN CORPORATION | No |
| TMK 11-01173 | 9/30/2011 | 3/8/2021 | WII POINTS CARD | NINTENDO OF AMERICA INC. | No |
| COP 11-00124 | 9/30/2011 | 9/30/2031 | BEST BUDDY DUFFEL BAG-POODLE (B010PD) | PECOWARE COMPANY, INC. | No |
| COP 11-00125 | 9/30/2011 | 9/30/2031 | BEST BUDDY DUFFLE BAG -BALLERINA BEAR (B010DB) | PECOWARE COMPANY, INC. | No |
| COP 11-00127 | 9/30/2011 | 9/30/2031 | WII SPORTS RESORT. | NINTENDO OF AMERICA INC., | No |
| TMK 11-01087 | 9/12/2011 | 10/5/2014 | BLANCA NIEVES AND DESIGN | FABRICA DE JABON LA CORONA SA DE CV | No |
| TMK 11-01076 | 9/12/2011 | 11/5/2012 | F-117 | LOCKHEED MARTIN CORPORATION | No |

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| TMK 11-01088 | 9/12/2011 | 2/13/2021 | BLACKBIRD | LOCKHEED MARTIN CORPORATION | No |
| TMK 11-01052 | 9/9/2011 | 4/3/2021 | SKUNK DESIGN | LOCKHEED MARTIN CORPORATION | No |
| TMK 11-01083 | 9/12/2011 | 9/29/2019 | LIGHTNING II | LOCKHEED MARTIN CORPORATION | No |
| TMK 11-01085 | 9/12/2011 | 12/21/2020 | CLUB NINTENDO | NINTENDO OF AMERICA INC. | No |
| TMK 11-01178 | 9/30/2011 | 10/17/2016 | GA | GIORGIO ARMANI S.P.A., MILAN, SWISS BRANCH MENDRISIO | No |
| TMK 11-01107 | 9/19/2011 | 7/27/2018 | ALADDIN | PACIFIC MARKET INTERNATIONAL, LLC | No |
| TMK 11-01172 | 9/30/2011 | 2/25/2014 | ALADDIN | PACIFIC MARKET INTERNATIONAL, LLC | No |
| COP 11-00109 | 9/19/2011 | 9/19/2031 | POKÉMON SOULSILVER VERSION (US COMMERCIAL PACKAGING BOX) | GAME FREAK INC. | No |
| TMK 11-01084 | 9/12/2011 | 5/10/2021 | NINTENDO DSI XL | NINTENDO OF AMERICA INC. | No |
| TMK 11-01074 | 9/12/2011 | 10/26/2020 | RUMBATIME AND DESIGN | RUMBATIME, LLC | No |
| TMK 11-01175 | 9/30/2011 | 9/20/2017 | FRESH PET | PROFESSOR CONNOR'S, INC. | No |
| TMK 11-01171 | 9/30/2011 | 4/26/2021 | R11 | TAYLOR MADE GOLF COMPANY, INC. | No |
| TMK 11-01179 | 9/30/2011 | 12/12/2016 | DESIGN | ADIDAS AG (FED REP GERMANY JOINT STOCK COMPANY) | No |
| TMK 11-01170 | 9/30/2011 | 4/13/2020 | USPS | UNITED STATES POSTAL SERVICE | No |

CBP IPR RECORDATION - SEPTEMBER 2011

| Recordation No. | Effective <br> Date | Expiration <br> Date | Name of Cop/Tmk/Tnm | Owner Name | GM <br> Restricted |
| :---: | :---: | :---: | :---: | :---: | :---: |
| TMK 11-01104 | 9/19/2011 | 11/20/2017 | ALADDIN | PACIFIC MARKET INTERNATIONAL, LLC | No |
| COP 11-00108 | 9/19/2011 | 9/19/2031 | POKÉMON HEARTGOLD VERSION | NINTENDO OF AMERICA INC. | No |
| COP 11-00105 | 9/19/2011 | 9/19/2031 | POKÉMON HEARTGOLD VERSION (US COMMERCIAL PACKAGING BOX) | CREATURES INC. | No |
| COP 11-00106 | 9/19/2011 | 9/19/2031 | POKEPARK WII: PIKACHU'S ADVENTURE (US COMMERCIAL PACKAGING) | THE POKÉMON COMPANY | No |
| TMK 11-01053 | 9/9/2011 | 8/30/2015 | F-16 | LOCKHEED MARTIN CORPORATION | No |
| TMK 11-01054 | 9/9/2011 | 4/11/2016 | C-130 HERCULES | LOCKHEED MARTIN CORPORATION | No |
| TMK 11-01165 | 9/30/2011 | 7/20/2020 | WII FIT | NINTENDO OF AMERICA INC. | No |
| TMK 11-01103 | 9/19/2011 | 4/11/2020 | PANERAI | OFFICINE PANERAI A.G. | No |
| TMK 11-01191 | 9/30/2011 | 4/22/2013 | USPS | UNITED STATES POSTAL SERVICE | No |
| TMK 11-01174 | 9/30/2011 | 10/24/2016 | USPS | UNITED STATES POSTAL SERVICE | No |
| COP 11-00112 | 9/30/2011 | 9/30/2031 | POKÉMON RANGER (US COMMERCIAL PACKAGING) | NINTENDO OF AMERICA INC. | No |
| TMK 11-01098 | 9/19/2011 | 11/9/2020 | VMI SYNCHRODRUM | VMI HOLLAND B.V. | No |
| TMK 11-01106 | 9/19/2011 | 7/29/2018 | A87 | AEROPOSTALE PROCUREMENT COMPANY, INC. | No |
| TMK 11-01108 | 9/19/2011 | 6/10/2018 | AEROPOSTALE | AEROPOSTALE PROCUREMENT COMPANY, INC. | No |

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| Recordation No. | Effective <br> Date | Expiration <br> Date | Name of Cop/Tmk/Tnm | Owner Name | GM <br> Restricted |
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| TMK 11-01109 | $9 / 19 / 2011$ | $7 / 18 / 2019$ | AEROPOSTALE | AEROPOSTALE PROCUREMENT COM- <br> PANY, INC. | No |
| TMK 11-01057 | $9 / 9 / 2011$ | $3 / 1 / 2021$ | THE LEGENDARY STARFY | NINTENDO OF AMERICA INC. | No |
| TMK 11-01055 | $9 / 9 / 2011$ | $9 / 9 / 2013$ | LOCKHEED MARTIN | LOCKHEED MARTIN CORPORATION | No |
| TMK 11-01056 | $9 / 9 / 2011$ | $8 / 30 / 2015$ | F-16 FIGHTING FALCON | LOCKHEED MARTIN CORPORATION | No |
| TMK 11-01110 | $9 / 19 / 2011$ | $1 / 9 / 2021$ | RADIOMIR | OFFICINE PANERAI A.G. <br> LLCIFIC MARKET INTERNATIONAL, | No |
| TMK 11-01138 | $9 / 30 / 2011$ | $5 / 26 / 2019$ | ALADDIN | PACIFIC MARKET INTERNATIONAL, <br> LLC | No |
| TMK 11-01139 | $9 / 30 / 2011$ | $3 / 26 / 2017$ | STANLEY | $9 / 30 / 2031$ | WARIOWARE: D.I.Y. (US COMMERCIAL <br> PACKAGING) |
| COP 11-00114 | $9 / 30 / 2011$ | $9 / 30 / 2031$ | WII FIT PLUS (US COMMERCIAL <br> PACKAGING SLEEVE) | NINTENDO OF AMERICA INC., | No |
| COP 11-00115 | $9 / 30 / 2011$ | $9 / 9 / 2011$ | $9 / 19 / 2031$ | BIG BRAIN ACADEMY WII DEGREE. | NINTENDO OF AMERICA INC., |

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| TMK 11-01177 | 9/30/2011 | 9/20/2015 | ARMANI JUNIOR | GIORGIO ARMANI S.P.A., MILAN, SWISS BRANCH MENDRISIO | No |
| TMK 11-01195 | 9/30/2011 | 4/12/2021 | RUMBA | RUMBATIME, LLC | No |
| TMK 11-01223 | 9/30/2011 | 7/26/2021 | FLINGSMASH | NINTENDO OF AMERICA INC. | No |
| TMK 11-01200 | 9/30/2011 | 5/29/2017 | DESIGN (HORSE SHOE) | INDIANAPOLIS COLTS, INC. | No |
| TMK 11-01189 | 9/30/2011 | 6/29/2020 | ALADDIN (STYLIZED) | PACIFIC MARKET INTERNATIONAL, LLC | No |
| TMK 11-01199 | 9/30/2011 | 4/18/2018 | AEROPOSTALE | AEROPOSTALE PROCUREMENT COMPANY, INC. | No |
| TMK 03-00020 | 9/19/2011 | 6/4/2021 | ANGELS | ANAHEIM ANGELS L.P. | No |
| COP 11-00144 | 9/30/2011 | 9/30/2031 | DONKEY KONG COUNTRY 3 | NINTENDO OF AMERICA INC. | No |
| TMK 11-01225 | 9/30/2011 | 10/2/2020 | EMPORIO ARMANI GA | GIORGIO ARMANI S.P.A., MILAN, SWISS BRANCH MENDRISIO | No |
| TMK 11-01198 | 9/30/2011 | 7/19/2021 | DONKEY KONG COUNTRY | NINTENDO OF AMERICA INC. | No |
| TMK 11-01190 | 9/30/2011 | 2/21/2019 | AEROPOSTALE | AEROPOSTALE PROCUREMENT COMPANY, INC. | No |
| COP 11-00134 | 9/30/2011 | 9/30/2031 | BEST BUDDIES SLIPPERS-FROG (BOO5 FR) | PECOWARE COMPANY INC. | No |
| TMK 11-01192 | 9/30/2011 | 8/2/2021 | STEEL DIVER | NINTENDO OF AMERICA INC. | No |

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| COP 11-00133 | 9/30/2011 | 9/30/2031 | BEST BUDDY PURSE -POODLE (B003PD) | PECOWARE COMPANY INC. | No |
| COP 11-00111 | 9/30/2011 | 9/30/2031 | PLUSH POODLE BACK PACK. | PECOWARE COMPANY INC. | No |
| TMK 02-00297 | 9/19/2011 | 8/28/2021 | ANGELS AND DESIGN | ANAHEIM ANGELS, L.P. | No |
| COP 11-00103 | 9/19/2011 | 9/19/2031 | ANIMAL CROSSING: CITY FOLK (US COMMERCIAL PACKAGING) | NINTENDO OF AMERICA INC. | No |
| TMK 11-01187 | 9/30/2011 | 7/3/2017 | G | GREEN BAY PACKERS, INC. | No |
| TMK 11-01094 | 9/19/2011 | 12/21/2020 | M CLUB. NINTENDO | NINTENDO OF AMERICA INC. | No |
| COP 11-00136 | 9/30/2011 | 9/30/2031 | BEST BUDDY COLLECTION. | PECOWARE COMPANY, INC.. | No |
| TMK 11-01091 | 9/19/2011 | 1/1/2018 | AEROPOSTALE | AEROPOSTALE PROCUREMENT COMPANY INC. | No |
| TMK 11-01093 | 9/19/2011 | 7/4/2020 | THEAM | GARREC, JEAN M. | No |
| TMK 11-01095 | 9/19/2011 | 8/18/2019 | 87 | AEROPOSTALE PROCUREMENT COMPANY, INC. | No |
| COP 11-00139 | 9/30/2011 | 9/30/2031 | PLUSH TOY BACK PACK. | PECOWARE COMPANY INC. | No |
| COP 11-00135 | 9/30/2011 | 9/30/2031 | POCKET BEST BUDDIES. | PECOWARE COMPANY INC. | No |
| COP 11-00138 | 9/30/2011 | 9/30/2031 | BEST BUDDIES SLIPPERS -MONKEY (BOO5MK) | PECOWARE COMPANY INC. | No |
| COP 11-00137 | 9/30/2011 | 9/30/2031 | BEST BUDDY PURSE -BALLERINA BEAR (B003DB) | PECOWARE COMPANY INC. | No |

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| TMK 11-01226 | $9 / 30 / 2011$ | $5 / 3 / 2021$ | UNITED STATES POSTAL SERVICE <br> EST. 1775 OFFICIAL LICENSED PROD- <br> UCT | UNITED STATES POSTAL SERVICE | No |
| TMK 02-00415 | $9 / 19 / 2011$ | $9 / 8 / 2021$ | CARDINALS | SEROPOSTALE | AEROPOSTALE PROCUREMENT COM- <br> PANY, INC. |
| TMK 11-01096 | $9 / 19 / 2011$ | $1 / 1 / 2018$ | No |  |  |
| TMK 11-01141 | $9 / 30 / 2011$ | $6 / 8 / 2014$ | THE SIMPSONS | TWENTIETH CENTURY FOX FILM COR- <br> PORATION | No |
| TMK 11-01142 | $9 / 30 / 2011$ | $7 / 26 / 2015$ | THE SIMPSONS | TWENTIETH CENTURY FOX FILM COR- <br> PORATION | No |
| TMK 11-01143 | $9 / 30 / 2011$ | $8 / 14 / 2017$ | THE SIMPSONS | TWENTIETH CENTURY FOX FILM COR- <br> PORATION | No |
| TMK 11-01144 | $9 / 30 / 2011$ | $6 / 8 / 2014$ | THE SIMPSONS | TWENTIETH CENTURY FOX FILM COR- <br> PORATION | No |
| TMK 11-01145 | $9 / 30 / 2011$ | $5 / 17 / 2015$ | THE SIMPSONS | TWENTIETH CENTURY FOX FILM COR- <br> PORATION | No |
| TMK 11-01097 | $9 / 19 / 2011$ | $3 / 1 / 2021$ | M CLUB. NINTENDO | NINTENDO OF AMERICA INC. | No |
| TMK 06-00279 | $9 / 19 / 2011$ | $8 / 27 / 2021$ | W (STYLIZED) | TEXAS RANGERS BASEBALL PART- <br> NERS | No |
| COP 11-00104 | $9 / 19 / 2011$ | $9 / 19 / 2031$ | SUPER MARIO GALAXY 2 (US IN- <br> STRUCTION BOOKLET) | NINTENDO OF AMERICA INC. | No |

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| COP 11-00123 | $9 / 30 / 2011$ | $9 / 30 / 2031$ | BEST BUDDIES SLIPPERS-POODLE <br> (BOO5PD) | PECOWARE COMPANY INC. | No |
| TMK 11-01213 | $9 / 30 / 2011$ | $6 / 7 / 2013$ | ST. JOHN | ST. JOHN KNITS, INC. | No |
| COP 11-00116 | $9 / 30 / 2011$ | $9 / 30 / 2031$ | YELLOW/ORANGE OPEN CIRCLE | EVEREADY BATTERY COMPANY INC. | No |
| TMK 11-01099 | $9 / 19 / 2011$ | $8 / 2 / 2021$ | CLUB.NINTENDO AND DESIGN | NINTENDO OF AMERICA INC. | No |
| TMK 11-01089 | $9 / 19 / 2011$ | $6 / 13 / 2021$ | CANDIDA PRAECORDIA PUCCI | PUCCI COMPANY | No |
| TMK 11-01212 | $9 / 30 / 2011$ | $12 / 8 / 2018$ |  | COLLECTION 2000 COSMETICS,INC, | No |
| TMK 11-01214 | $9 / 30 / 2011$ | $6 / 9 / 2012$ | ST. JOHN | ST. JOHN KNITS INC. | No |
| COP 11-00122 | $9 / 30 / 2011$ | $9 / 30 / 2031$ | BLUE CROSSED LINES | EVEREADY BATTERY COMPANY, INC. | No |
| TMK 11-01149 | $9 / 30 / 2011$ | $7 / 8 / 2020$ | XANAX | PHARMACIA \& UPJOHN COMPANY LLC | No |
| TMK 11-01215 | $9 / 30 / 2011$ | $7 / 1 / 2017$ | ST. JOHN | ST. JOHN KNITS, INC. | No |
| TMK 11-01148 | $9 / 30 / 2011$ | $6 / 12 / 2017$ | J BRAND | J BRAND, INC. | No |
| TMK 11-01157 | $9 / 30 / 2011$ | $7 / 19 / 2021$ | NINTENDODSI | NINTENDO OF AMERICA INC. | No |
| COP 11-00121 | $9 / 30 / 2011$ | $9 / 30 / 2031$ | SIDE BEAMS BLUE | EVEREADY BATTERY COMPANY, INC. | No |
| TMK 11-01092 | $9 / 19 / 2011$ | $8 / 17 / 2020$ | CLUB NINTENDO | NINTENDO OF AMERICA INC. | No |
| TMK 03-00584 | $9 / 19 / 2011$ | $6 / 11 / 2021$ | MISCELLANEOUS DESIGN $(B O R D E R ~$ | REPUBLIC TOBACCO L.P. | No |
| COP 11-00118 | $9 / 30 / 2011$ | $9 / 30 / 2031$ | HAIR BEAN PACKAGING. | TELEBRANDS CORP. | No |
| COP 11-00120 | $9 / 30 / 2011$ | $9 / 30 / 2031$ | PET RIDER PACKAGING. | TELEBRANDS CORP. | No |
| TMK 11-01163 | $9 / 30 / 2011$ | $9 / 13 / 2021$ | ELLEMENNO | OUTRCO INC. | No |

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| TMK 11-01158 | $9 / 30 / 2011$ | $8 / 29 / 2020$ | DESIGN | OWENS CORNING INTELLECTUAL <br> CAPITAL LLC | No |
| TMK 11-01162 | $9 / 30 / 2011$ | $9 / 3 / 2016$ | DUCK WITHIN A CIRCLE | DHIP HOLDINGS LLC <br> KABUSHIKI KAISHA SONY COMPUTER <br> ENTERTAINMENT TA SONY COMPUTER <br> ENTERTAINMENT INC. | No |
| TMK 11-01150 | $9 / 30 / 2011$ | $8 / 30 / 2021$ | PS3 | ST. JOHN KNITS, INC. | No |
| TMK 11-01216 | $9 / 30 / 2011$ | $9 / 24 / 2012$ | ST. JOHN | OWENS CORNING INTELLECTUAL <br> CAPITAL LLC | No |
| TMK 11-01136 | $9 / 30 / 2011$ | $8 / 29 / 2020$ | DESIGN | SALVATORE FERRAGAMO S.P.A | No |
| TMK 04-00376 | $9 / 28 / 2011$ | $2 / 8 / 2014$ | DESIGN ONLY | TRACTOR SUPPLY CO. OF TEXAS | No |
| TMK 11-01159 | $9 / 30 / 2011$ | $4 / 29 / 2018$ | GROUNDWORK | HANDGARDS, INC. | No |
| TMK 11-01160 | $9 / 30 / 2011$ | $7 / 15 / 2017$ | HANDGARDS | UNITED STATES POSTAL SERVICE | No |
| TMK 11-01161 | $9 / 30 / 2011$ | $11 / 29 / 2015$ | UNITED STATES POSTAL SERVICE <br> AND DESIGN | CITIKITTY, INC. | No |
| TMK 11-01152 | $9 / 30 / 2011$ | $6 / 20 / 2016$ | CITIKITTY | NINTENDO OF AMERICA INC. | No |
| TMK 11-01153 | $9 / 30 / 2011$ | $3 / 8 / 2021$ | WII | EVEREADY BATIERY COMPANY, INC., | No |
| COP 11-00119 | $9 / 30 / 2011$ | $9 / 30 / 2031$ | GREEN FIGURE 8. | FABRICA DE JABON LA CORONA SA DE <br> CV | No |
| TMK 11-01154 | $9 / 30 / 2011$ | $6 / 26 / 2020$ | ROSA VENUS | CAMBRO MANUFACTURING COMPANY | No |
| TMK 04-00413 | $9 / 28 / 2011$ | $5 / 11 / 2022$ | CAMBRO |  |  |

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| TMK 11-01155 | $9 / 30 / 2011$ | $7 / 12 / 2021$ | CUFF KEEPER | DEDO, RICHARD G. | ADIDAS AG (FED REP GERMANY JOINT |
| TMK $11-01164$ | $9 / 30 / 2011$ | $5 / 1 / 2017$ | DESIGN |  |  |
| TMK 11-01121 | $9 / 28 / 2011$ | $12 / 28 / 2020$ | NINTENDO | NINTENDO OF AMERICA INC. | No |
| TMK 11-01122 | $9 / 28 / 2011$ | $7 / 19 / 2021$ | NINTENDO DSI AND DESIGN | NINTENDO OF AMERICA INC. | No |
| TMK 11-01156 | $9 / 30 / 2011$ | $3 / 29 / 2021$ | FLUFF MONKEY | FLUFF MONKEY ENTERPRISES, LLC | No |
| TMK 11-01151 | $9 / 30 / 2011$ | $3 / 8 / 2021$ | WII | NINTENDO OF AMERICA INC. | No |
| COP 11-00117 | $9 / 30 / 2011$ | $9 / 30 / 2031$ | SNAP-ON FEATHERS PACKAGING | TELEBRANDS CORP. | No |
| TMK 11-01228 | $9 / 30 / 2011$ | $5 / 25 / 2019$ | PRIORITY MAIL | UNITED STATES POSTAL SERVICE | No |
| COP 11-00110 | $9 / 28 / 2011$ | $9 / 28 / 2031$ | SIDE BEAMS GREEN. | EVEREADY BATIERY COMPANY, INC., | No |
| TMK 11-01133 | $9 / 30 / 2011$ | $11 / 10 / 2019$ | PS FROM AEROPOSTALE | AEROPOSTALE PROCUREMENT COM- <br> PANY, INC. | No |
| TMK 11-01105 | $9 / 19 / 2011$ | $12 / 21 / 2020$ | CLUB NINTENDO | NINTENDO OF AMERICA INC. | No |
| TMK 11-01147 | $9 / 30 / 2011$ | $7 / 19 / 2021$ | NINTENDODSI AND DESIGN | NINTENDO OF AMERICA INC. | No |
| TMK 11-01130 | $9 / 28 / 2011$ | $8 / 16 / 2021$ | ROWLEY | ROWLEY COMPANY, LLC | No |
| COP 11-00143 | $9 / 30 / 2011$ | $9 / 30 / 2031$ | POKÉMON BLACK VERSION | NINTENDO OF AMERICA INC. | No |
| TMK 11-01127 | $9 / 28 / 2011$ | $2 / 28 / 2016$ | DESIGN | UNITED STATES POSTAL SERVICE | No |
| TMK 11-01123 | $9 / 28 / 2011$ | $9 / 20 / 2021$ | ZAZEN | ZAZEN LLC | No |
| TMK 11-01124 | $9 / 28 / 2011$ | $6 / 8 / 2020$ | UNITED STATES POSTAL SERVICE | UNITED STATES POSTAL SERVICE | No |
|  |  |  | U.S. MAIL AND DESIGN |  |  |

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| TMK 11-01131 | 9/28/2011 | 6/16/2019 | INCIPIO | INCIPIO TECHNOLOGIES, INC. | No |
| TMK 11-01135 | 9/30/2011 | 5/30/2016 | AVION | BECKER DESIGNED INC. | No |
| TMK 11-01129 | 9/28/2011 | 1/22/2018 | DESIGN (HEAD OF A BIRD) | DHIP HOLDINGS LLC | No |
| TMK 11-01132 | 9/30/2011 | 1/22/2022 | BROADCAST TOOLS | BROADCAST TOOLS, INC. | No |
| COP 11-00128 | 9/30/2011 | 9/30/2031 | POKÉMON RANGER: GUARDIAN <br> SIGNS (US COMMERCIAL PACKAG- <br> ING) | NINTENDO OF AMERICA INC., | No |
| COP 11-00132 | 9/30/2011 | 9/30/2031 | PUNCH-OUT!! (US COMMERCIAL PACKAGING) | NINTENDO OF AMERICA INC., | No |
| TMK 11-01125 | 9/28/2011 | 1/27/2018 | UNITED STATES POSTAL SERVICE | UNITED STATES POSTAL SERVICE | No |
| TMK 11-01126 | 9/28/2011 | 9/30/2018 | UNITED STATES POSTAL SERVICE AND DESIGN | UNITED STATES POSTAL SERVICE | No |
| TMK 11-01134 | 9/30/2011 | 4/13/2020 | UNITED STATES POSTAL SERVICE | UNITED STATES POSTAL SERVICE | No |
| TMK 11-01128 | 9/28/2011 | 2/28/2016 | DESIGN | UNITED STATES POSTAL SERVICE | No |
| TMK 11-01137 | 9/30/2011 | 4/19/2014 | AMEC DESIGN | AMEC INDUSTRY INC. | No |
| TMK 11-01119 | 9/28/2011 | 6/28/2015 | UNITED STATES POSTAL SERVICE AND DESIGN | UNITED STATES POSTAL SERVICE | No |
| TMK 11-01120 | 9/28/2011 | 4/4/2016 | UNITED STATES POSTAL SERVICE AND DESIGN | UNITED STATES POSTAL SERVICE | No |
| TMK 11-01140 | 9/30/2011 | 8/16/2021 | NINTENDODSI | NINTENDO OF AMERICA INC. | No |

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| TMK 11-01146 | $9 / 30 / 2011$ | $7 / 27 / 2020$ | WII | NINTENDO OF AMERICA INC. | No |
| TMK 11-01227 | $9 / 30 / 2011$ | $9 / 8 / 2019$ | UNITED STATES POSTAL SERVICE <br> EST. 1775 OFFICIAL LICENSED PROD- <br> UCT | UNITED STATES POSTAL SERVICE | No |
| TMK 11-01245 | $9 / 30 / 2011$ | $10 / 25 / 2016$ | DUCK HEAD | DHIP HOLDINGS, LLC | No |
| COP 11-00148 | $9 / 30 / 2011$ | $9 / 30 / 2031$ | HUSKY STUFFED ANIMAL PILLOW | BTC ENTERPRISES LLC | No |

Total Records: 293
Date as of: 10/5/2011

# NOTICE OF ISSUANCE OF FINAL DETERMINATION CONCERNING CERTAIN ETHERNET SWITCHES 

AGENCY: U.S. Customs and Border Protection, Department of Homeland Security.

ACTION: Notice of final determination.
SUMMARY: This document provides notice that U.S. Customs and Border Protection ("CBP") has issued a final determination concerning the country of origin of certain Ethernet switches. Based upon the facts presented, CBP has concluded that the programming operations performed in the United States, using U.S.-origin software, substantially transform the non-TAA country switches. Therefore, the country of origin of the switches is the United States for purposes of U.S. Government procurement.

DATES: The final determination was issued on October 4, 2011. A copy of the final determination is attached. Any party-at-interest, as defined in 19 CFR 177.22(d), may seek judicial review of this final determination on or before November 7, 2011.

FOR FURTHER INFORMATION CONTACT: Heather K. Pinnock, Valuation and Special Programs Branch: (202) 325-0034.

SUPPLEMENTARY INFORMATION: Notice is hereby given that on October 4, 2011, pursuant to subpart B of Part 177, U.S. Customs and Border Protection Regulations (19 CFR Part 177, subpart B), CBP issued a final determination concerning the country of origin of Ethernet switches which may be offered to the U.S. Government under an undesignated government procurement contract. This final determination, HQ H175415, was issued under procedures set forth at 19 CFR Part 177, subpart B, which implements Title III of the Trade Agreements Act of 1979, as amended ( 19 U.S.C. 2511-18). In the final determination, CBP concluded that, based upon the facts presented, the programming operations performed in the United States, using U.S.-origin software, substantially transform the non-TAA country Ethernet switches. Therefore, the country of origin of the switches is the United States for purposes of U.S. Government procurement.
Section 177.29, CBP Regulations (19 CFR 177.29), provides that a notice of final determination shall be published in the Federal Register within 60 days of the date the final determination is issued. Section 177.30, CBP Regulations ( 19 CFR 177.30), provides that any party-at-interest, as defined in 19 CFR 177.22(d), may seek judicial review of a final determination within 30 days of publication of such determination in the Federal Register.

Dated: October 4, 2011

> Sandra L. Bell, Executive Director, Regulations and Rulings, Office of International Trade.

Attachment

Josephine Aiello LeBeau, Esq. Anne Seymour, Esq.

Wilson Sonsini Goodrich \& Rosati, PC
1700 K Street, NW, F Ifth $_{\text {F }}^{\text {Loor }}$
Washington, DC 20006-3817
RE: U.S. Government Procurement; Country of Origin of Local Area Network Switches; Substantial Transformation
Dear Ms. LeBeau and Ms. Seymour:
This is in response to your letter, dated July 6, 2011, requesting a final determination on behalf of Arista Networks, Inc. ("Arista"), pursuant to subpart B of part 177 of the U.S. Customs and Border Protection ("CBP") Regulations (19 C.F.R. Part 177). Under these regulations, which implement Title III of the Trade Agreements Act of 1979 ("TAA"), as amended (19 U.S.C. § 2511 et seq.), CBP issues country of origin advisory rulings and final determinations as to whether an article is or would be a product of a designated country or instrumentality for the purposes of granting waivers of certain "Buy American" restrictions in U.S. law or practice for products offered for sale to the U.S. Government.

This final determination concerns the country of origin of Arista's 7048, $7050,7100,7124$, and 7500 series (" 7 Series") local area network ("LAN") switches. We note that as a U.S. importer, Arista is a party-at-interest within the meaning of 19 C.F.R. § $177.22(\mathrm{~d})(1)$ and is entitled to request this final determination.

## FACTS:

Arista is importing 7 Series Ethernet switches assembled in China. The switches are designed to interconnect servers and storage appliances in data centers. Each switch consists of one or more printed circuit board assembly ("PCBAs"), chassis, top cover, power supply, and fans. After importation, the switches will be programmed with U.S.-origin software.

The following operations occur in China:

1. The chassis and top cover are manufactured from sheet metal.
2. The PCB is populated with various electronic components to make a PCBA.
3. The PCBA is tested to ensure functionality.
4. The power supply and fans are installed in the chassis.
5. The PCBA is installed in the chassis.
6. The chassis and top cover are assembled together.
7. The serial numbers of the components are entered into the data tracking system, and the switch is packaged and shipped to the United States.
The following operations occur in the United States:
8. U.S.-origin EOS ${ }^{\text {TM }}$ software is downloaded onto the flash memory on the PCBA.
9. The switch is tested, packaged, and prepared for shipping.

Arista's EOS ${ }^{\text {TM }}$ (Extensible Operating System) software is designed to provide switching functionality, secure administration, and reliability, and to optimize network management. Specifically, EOS software provides the following capabilities and benefits to Ethernet switches: in-service software upgrade, software fault containment, fault repair, security exploit containment, and scalable management interface. According to your submission, the units imported from China could not function as network switches without this software, which was developed in the United States at considerable cost to Arista. Since 2005, more than 140 software engineers have continued to develop the software and more than 80 percent of Arista's Research and Development spending has been on EOS software development.

## ISSUE:

What is the country of origin of the Arista's 7 Series Ethernet switches for purposes of U.S. Government procurement?

## LAW AND ANALYSIS:

Pursuant to Subpart B of Part 177, 19 CFR § 177.21 et seq., which implements Title III of the Trade Agreements Act of 1979, as amended (19 U.S.C. $\S 2511$ et seq.), CBP issues country of origin advisory rulings and final determinations as to whether an article is or would be a product of a designated country or instrumentality for the purposes of granting waivers of certain "Buy American" restrictions in U.S. law or practice for products offered for sale to the U.S. Government.

Under the rule of origin set forth under 19 U.S.C. § 2518(4)(B):
An article is a product of a country or instrumentality only if (i) it is wholly the growth, product, or manufacture of that country or instrumentality, or (ii) in the case of an article which consists in whole or in part of materials from another country or instrumentality, it has been substantially transformed into a new and different article of commerce with a name, character, or use distinct from that of the article or articles from which it was so transformed.
See also 19 C.F.R. § 177.22(a).
In Data General v. United States, 4 Ct. Int'l Trade 182 (1982), the court determined that for purposes of determining eligibility under item 807.00, Tariff Schedules of the United States (predecessor to subheading 9802.00.80, Harmonized Tariff Schedule of the United States), the programming of a foreign PROM (Programmable Read-Only Memory chip) in the United States substantially transformed the PROM into a U.S. article. In programming the imported PROMs, the U.S. engineers systematically caused various distinct electronic interconnections to be formed within each integrated circuit. The programming bestowed upon each circuit its electronic function, that is, its "memory" which could be retrieved. A distinct physical change was effected in the PROM by the opening or closing of the fuses, depending on the method of programming. This physical alteration, not visible to the naked eye, could be discerned by electronic testing of the PROM. The court noted that the programs were designed by a U.S. project engineer with many years of experience in "designing and building hardware." While replicating the program
pattern from a "master" PROM may be a quick one-step process, the development of the pattern and the production of the "master" PROM required much time and expertise. The court noted that it was undisputed that programming altered the character of a PROM. The essence of the article, its interconnections or stored memory, was established by programming. The court concluded that altering the non-functioning circuitry comprising a PROM through technological expertise in order to produce a functioning read only memory device, possessing a desired distinctive circuit pattern, was no less a "substantial transformation" than the manual interconnection of transistors, resistors and diodes upon a circuit board creating a similar pattern.

In Texas Instruments v. United States, 681 F.2d 778, 782 (CCPA 1982), the court observed that the substantial transformation issue is a "mixed question of technology and customs law."

In C.S.D. 84-85, 18 Cust. B. \& Dec. 1044, CBP stated:
We are of the opinion that the rationale of the court in the Data General case may be applied in the present case to support the principle that the essence of an integrated circuit memory storage device is established by programming; ... [W]e are of the opinion that the programming (or reprogramming) of an EPROM results in a new and different article of commerce which would be considered to be a product of the country where the programming or reprogramming takes place.

Accordingly, the programming of a device that changes or defines its use generally constitutes substantial transformation. See also Headquarters Ruling Letter ("HQ") 558868, dated February 23, 1995 (programming of SecureID Card substantially transforms the card because it gives the card its character and use as part of a security system and the programming is a permanent change that cannot be undone); HQ 735027, dated September 7, 1993 (programming blank media (EEPROM) with instructions that allow it to perform certain functions that prevent piracy of software constitute substantial transformation); and, HQ 733085, dated July 13, 1990; but see HQ 732870, dated March 19, 1990 (formatting a blank diskette does not constitute substantial transformation because it does not add value, does not involve complex or highly technical operations and did not create a new or different product); and, HQ 734518, dated June 28, 1993, (motherboards are not substantially transformed by the implanting of the central processing unit on the board because, whereas in Data General use was being assigned to the PROM, the use of the motherboard had already been determined when the importer imports it).

You believe that under the manufacturing scenario described in the FACTS section above, Arista's 7 Series Ethernet switches are products of the United States. You argue that without the EOS software, the units exported from China lack the intelligence to perform as network switches. In fact, you claim that the EOS software gives the switches their essential character by providing network switching and routing functionality, management functions, network performance monitoring, security and access control, and by allowing interaction with other switches. Further, programming the switches with the EOS software creates a permanent change in the PCBAs that cannot be undone by third parties during the normal course of business. The only
reprogramming operation that may be performed during the normal course of business is either updating the installed software or entering licensing keys that enable the activation of additional EOS software features. In support of your position, you cite Data General (supra), HQ H052325 (Feb. 14, 2006) and HQ 735027 (Sept. 7, 1993), among others.

HQ H052325 concerned the country of origin of a switch and a switch/ router. The Brocade 7800 Extension Switch was assembled to completion in China and programmed in the U.S. with U.S.-origin operating system (OS) software and customer specified firmware and software. The Brocade FX8-24 switch/router contained a PCBA that was assembled and programmed in China and shipped to the U.S., where it was assembled with other components to make the final product. The completed unit was then programmed with U.S.-origin OS software and customer firmware and software. In both cases, the U.S.-origin OS software provided the devices with their functionality. Customs found that in both cases, the processing performed in the United States, including the downloading of the U.S.-origin OS software, resulted in a substantial transformation of the foreign origin components, and that the United States was the country of origin.

In HQ H014068, dated October 9, 2007, CBP determined that a cellular phone designed in Sweden, assembled in either China or Malaysia and shipped to Sweden, where it was loaded with software that enabled it to test equipment on wireless networks, was a product of Sweden. Once the software was installed on the phones in Sweden, they became devices with a new name, character and use, that is, network testing equipment. As a result of the programming operations performed in Sweden, CBP found that the country of origin of the network testing equipment was Sweden.

In this case, hardware components are assembled into complete Ethernet switches in China. The switches are then shipped to the U.S., where they are programmed with EOS software, developed in the U.S. at significant cost to Arista and over many years. Since 2005, more than 140 software engineers have continued to develop the software and more than 80 percent of Arista's Research and Development spending has been on EOS software development. The U.S.-origin EOS software enables the imported switches to interact with other network switches through network switching and routing, and allows for the management of functions such as network performance monitoring and security and access control. Without this software, the imported devices could not function as Ethernet switches. As a result of the programming performed in the U.S., with software developed in the U.S., the imported switches are substantially transformed in the U.S. See Data General, C.S.D. 84- 85, HQ 052325, HQ 558868, HQ 735027, and HQ 733085. The country of origin of the switches is the United States.

Please be advised, however, that whether the switches may be marked "Made in the U.S.A." or with similar words, is an issue under the authority of the Federal Trade Commission ("FTC"). We suggest that you contact the FTC, Division of Enforcement, 6th and Pennsylvania Avenue, NW, Washington, DC 20508, on the propriety of markings indicating that articles are made in the United States.

## HOLDING:

Based on the facts provided, the programming operations performed in the United States impart the essential character to Artista's 7 Series Ethernet
switches. As such, the switches will be considered products of the United States for purposes of U.S. Government procurement.

Notice of this final determination will be given in the Federal Register, as required by 19 C.F.R. § 177.29. Any party-at-interest other than the party which requested this final determination may request, pursuant to 19 C.F.R. $\S 177.31$, that CBP reexamine the matter anew and issue a new final determination. Pursuant to 19 C.F.R. § 177.30, any party-at-interest may, within 30 days of publication of the Federal Register Notice referenced above, seek judicial review of this final determination before the Court of International Trade.

Sincerely,<br>Sandra L. Bell,<br>Executive Director<br>Regulations and Rulings Office of International Trade

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